

# Statistics and variability of the altitude of elves

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## **Key points:**

Elves appear mainly November through February over the Bay of Biscay and western Mediterranean Sea

Elve altitudes are determined combining optical methods and lightning location

Nightly mean elve altitudes vary between 83 and 93 km and also vary over a timescale of hours

## 22        **Abstract**

23

24        From June 2008 to January 2016 nearly 800 elves have been recorded by a low-light camera in northeastern  
25 Spain. Elves occur in this region mainly over the lower-topped cold airmass maritime thunderstorms, peaking from  
26 November to January. Cloud-to-ground strokes still produce elves when maritime winter storms are carried inland,  
27 suggesting the cold season thunderstorm charge configuration favors strokes with large electromagnetic pulses.  
28 Altitudes of 389 elves were determined using optical data combined with a lightning location network. The overall  
29 median altitude was 87.1 km, near the typical OH airglow height, but average heights during individual nights ranged  
30 between 83 and 93 km. The lower elve nights (~84 km) occurred during slightly elevated geomagnetic conditions ( $K_p$   
31  $>3$ -,  $ap$ -index  $>10$ ). Elve altitude often shifts by several kilometers during the night, apparently in response to changing  
32 background conditions in the upper mesosphere.

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## 34        **1. Introduction**

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36        Elves are transient optical emissions in the upper D-region ionosphere [*Inan et al.* 1991;  
37 *Taranenko et al.*, 1993] in the shape of donut-like rings of a few hundred kilometers wide, centered  
38 above cloud-to-ground lightning strokes that emit very strong electromagnetic pulses (EMP). They  
39 are produced by excitation of the first and second positive bands of nitrogen molecules ( $N_2$ )  
40 impacted by free electrons energized by the strong EMP [*Taranenko et al.*, 1993; *Marshall et al.*,  
41 2010; *Kuo et al.*, 2012]. Long-lasting perturbations of electron density have been observed after  
42 elves [*Haldoupis et al.*, 2012]. Models have been built which successfully reproduce aspects as the  
43 shape, expansion speed and the location and intensity of optical emissions of elves in response to  
44 lightning impulse and vertical profiles of electron density and neutral species [e.g. *Inan et al.* 1996;  
45 *Barrington-Leigh et al.*, 2001; *Kuo et al.*, 2007; 2012; *Marshall et al.*, 2010].

46        The earliest observations of elves were made from the space shuttle [*Boeck et al.*, 1992] and  
47 revealed a superposition with the hydroxyl (OH) airglow layer between 85 and 90 km altitude [e.g.  
48 *Baker and Stair*, 1988], corroborated by later space missions [*Israelevich et al.*, 2004; *Huang et al.*,

49 2010], although a precise altitude estimation from limb observations is complicated by the  
50 geometry. Elves have subsequently been reported from the ground [e.g. *Fukunishi et al.*, 1996;  
51 *Barrington-Leigh and Inan*, 1999; *Barrington-Leigh et al.*, 2001; *Takahashi et al.*, 2003; *Neubert et*  
52 *al.*, 2008; *Soula et al.*, 2010; *Montanyà et al.*, 2010; *van der Velde et al.*, 2011]. Satellite-derived  
53 global climatologies by *Chen et al.* [2008; 2014] show elves to be most frequent over the tropical  
54 seas.

55 The goal of this paper is to establish statistics of the altitude of elves using a large dataset of  
56 ground-based observations (continued since *van der Velde et al.* [2011]). In principle, the altitude of  
57 elves depends on characteristics of the very low frequency (VLF) lightning impulse, the increase of  
58 electron density with height, and the decrease of neutral density with height. The energy required  
59 for free electrons to excite the positive bands of  $N_2$  can only be reached where electron densities  
60 and mean free paths are sufficiently large and where the plasma frequency allows an electric field to  
61 penetrate. Studies of nighttime reflection heights using sferics in the extremely and very low  
62 frequency range report variations between 82 and 87 km [e.g. *Han and Cummer*, 2010; *Lay and*  
63 *Shao*, 2011; *Maurya et al.*, 2012] which roughly correspond to the nocturnal 82–92 km altitude  
64 range of the electron density increase from  $10^7$  to  $>2 \cdot 10^9 \text{ m}^{-3}$  in profiles taken by rocket probes  
65 summarized by *Friedrich and Torkar* [2001]. The steep electron gradient is often discussed  
66 referring to hydrated cluster ions below 85 km which scavenge free electrons due to their large  
67 electron recombination coefficient [e.g. *Reid*, 1970; *Sugiyama*, 1988]. These cluster ions also play a  
68 role in the recovery from electron perturbations produced by elves [*Gordillo-Vázquez et al.*, 2016].  
69 A relation between the electron density profile and OH airglow emissions is currently not known.

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## 2. Methods and measurement errors

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75 To find elve altitude we combine the elve's central elevation angle retrieved from star-  
76 calibrated camera images with the corresponding lightning CG stroke location providing the  
77 distance using spherical Earth geometry explained in detail in supporting information S1. The steps  
78 of the procedure are detailed in S2.

79 Elve images were obtained with a Watec 902H2 Ultimate 1/2" CCD camera located in  
80 northeastern Spain (41.67°N, 1.85°E, 190-270m), in almost all cases with a 12mm F0.8 lens  
81 (producing an image with a 31° angle wide view horizontally), occasionally with a 0.7x wide angle  
82 converter (43°). A UTC time stamp accurate to 1 ms was inserted into 20 ms exposures by a device  
83 using timing from the Global Positioning System. UFOCapture software was used to detect events  
84 in the video stream with the most sensitive thresholds possible with respect to the image noise level.  
85 As the camera system did not continuously monitor the entire sky and detection of elves is  
86 imperfect given the imager limitations, choices of the observer, interference by other triggers (bats,  
87 airplanes) and partial obstruction by clouds or hills, the data can only roughly approximate the true  
88 frequency of occurrence of elves.

89 For image analysis an image containing the mean value of the pixels over all frames was  
90 calculated for each elve video clip – excluding the frame containing the event. This background  
91 image was subtracted from the event image to remove luminosity gradients or clouds and the  
92 resulting event-only image was stretched for contrast. The background image was used to fit stars in  
93 a sky charts software to fix the view. This was done each time the camera view changed.  
94 Subsequently, azimuth and elevation angles of elve centers were manually read out at the cursor.  
95 The precision of the star fix combined with the precision of readout of the hole center are accurate  
96 to within 15 arcseconds, with far events having smaller readout variation because of the smaller  
97 elve hole. This has been tested by repeating star fixes and elevation readouts: a night with 8 elves  
98 around 400 km distance resulted in a mean difference in elevation of -1.3 arcminutes and a standard

99 deviation of 8 arcminutes between new and old readouts. At typical elve distances the optical error  
100 results in a -1 to +1 km altitude uncertainty range for individual events.

101 The conversion of elevation to altitude is described by *van der Velde* [2008] and assumes a  
102 spherical Earth, is based on great circle path between observer and lightning stroke and also  
103 considers camera altitude. It is included here as supporting information S1. Because the elevation  
104 readout was performed on the geometric center of the elliptical hole of the two-dimensional elve  
105 projection, the perspective effect causes the far half of the elve hole to be more compressed in  
106 elevation angles than the near half. The altitudes presented here are corrected for this effect,  
107 assuming a hole radius of  $\sim 35$  km [*Blaes et al.*, 2014]. The correction function amounts to -2 km for  
108 elves at 200 km distance, -1 km at 300 km, -0.5 km at 450 km and -0.2 km at 800 km distance. The  
109 effect on the correction of a 10 km difference in hole radius is less than 0.5 km in altitude for events  
110 beyond 300 km distance. Supporting information S3 includes an example that confirms the  
111 precision of the optical analysis by independently determining the altitude for the same elve  
112 recorded by two cameras at different altitudes (190m and 2880 m).

113 Cloud-to-ground lightning flashes responsible for the elves were located using the “Linnet” low-  
114 frequency time-of-arrival lightning detection network [*Betz et al.*, 2004; 2009]. The location  
115 accuracy is in principle better than 500 meters, but a comparison between elve and stroke azimuths  
116 and corresponding lateral distances shows differences of a few kilometers to 10 kilometers and  
117 more. There are three factors contributing to this difference: 1) The lightning signal detection and  
118 locating algorithms; 2) The projection of an elve by a tilted return stroke dipole antenna pattern or  
119 significant intracloud component [*Marshall et al.*, 2010], and 3) The readout precision of the elve  
120 center azimuth ( $\sim 0.5^\circ$ ).

121 Elve-producing strokes are the ones that produce the strongest EMPs of all lightning, and  
122 waveforms in low frequency receivers can exhibit a wave train not seen in normal strokes. This can  
123 cause multiple triggers on different parts of the waveform in different detection time slots, resulting

124 in multiple locations as well as ambiguity in reported polarity and peak current. Besides the main  
125 stroke, elve-producing strokes can be preceded by intense breakdown processes which can also  
126 cause triggers. These issues with the detection of this exceptional class of strokes are not unique to  
127 the Linet network, however. For quality control, we rejected strokes with lateral differences of more  
128 than 10 km ( $\sim >1^\circ$  azimuthal difference), but this still allows a distance error along the line of sight,  
129 where 10 km amounts to  $\sim 3.5$  km in altitude for elves at 250 km distance and 1.5 km for those at  
130 600 km. The median lateral distance for accepted strokes is 2.7 km, while it is 14.7 km for rejected  
131 detections. The error is in part natural, as some deviation in azimuth is expected in case of elves  
132 projected by return stroke channels tilted away from the vertical. So, in half of the cases the Linet  
133 location accuracy and tilted EMP projections contribute to an altitude error of less than  $\pm 1$  km.

134 Between June 2008 and February 2016, 795 elve events were recorded by the camera in  
135 northeastern Spain. It is supplemented by 21 elves recorded at Pic du Midi (42.94°N, 0.14°E,  
136 2877m) in the summers of 2010 and 2015. Of these 816 events, 545 events were suitable for optical  
137 analysis. Of those, Linet provided a plausibly located CG stroke detection in 389 cases.

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### 140 **3. Results**

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#### 142 3.1 Peak currents of elve-producing strokes

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144 A boxplot of the peak currents of the optically verified Linet strokes for the elves is displayed  
145 in Figure 1a. The whiskers extend to the 2% and 98% percentiles of the distribution, corresponding  
146 to 130 kA and 370 kA. The median is 228 kA. Excluded were any detections of strokes weaker than  
147 70 kA, as we believe that these were detections of other processes in the same flash under the elve's  
148 central azimuth, with the main elve-producing stroke undetected. The polarity indicated by the

149 Linet network is almost even between negative and positive (51% to 49%), and their statistical  
150 distributions are also very close.

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152 3.2 Elve locations

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154 Figure 1b shows that the majority of elves occur over the Bay of Biscay (Atlantic Ocean) and  
155 over the Mediterranean Sea. While elves were observed mainly from November through January  
156 from the central Catalonia location, the camera at Pic du Midi usually records elves throughout the  
157 entire winter half year, when cold airmasses over warm sea water provide a source of convective  
158 available potential energy for thunderstorms (as opposed to the cold land). During summer, storms  
159 initiate mainly over land and often produce sprites if they continue to grow at night [e.g. *Neubert et*  
160 *al.*, 2008; *Soula et al.*, 2009, *Bór*, 2013; *van der Velde et al.*, 2014]. However, these summer  
161 thunderstorms monitored by the same camera setups rarely produce elve detections, even if they  
162 move over sea, as illustrated by the cases discussed by *Füllekrug et al.* [2013] and *Soula et al.*  
163 [2014].

164 Several tracks of individual elve-producing winter thunderstorms can be noticed over the Bay  
165 of Biscay. Elve CGs continue to be produced over land (southwestern France and the north coast of  
166 Spain) as such storms move onshore. While not shown here, sprites are much less frequent than  
167 elves over the Bay of Biscay compared to the Mediterranean where the warmer and more humid air  
168 near the surface harbors energy for thunderstorms to grow into larger clusters allowing lightning to  
169 tap into larger reservoirs of positive charge for triggering sprites, which is not a requirement for  
170 elve-producing discharges.

171 A relative lack of elves is apparent in a zone of a few hundred kilometers wide from the  
172 Spanish and French coastlines over the western Mediterranean Sea. However, given the various  
173 reasons explained before, an absence of elves observed does not necessarily mean they did not

174 occur. The map does resemble very well the frequency of “winter lightning” under the criterion of  
175 temperatures below  $-10^{\circ}\text{C}$  at 700 hPa [Montanya *et al.*, 2016], and a similar distribution is shown  
176 for estimated elve producing strokes by *Blaes et al.* [2016] over the western Mediterranean region.  
177 However, we do observe relatively more elves over the Bay of Biscay in comparison to their map.

178       Thunderstorms are frequent and often very active with high flash rates in the Balearic Sea  
179 after the entry of a cold front into the Mediterranean in autumn. However, elves seem to be  
180 observed more frequently with limited instability, small cells and reduced flash rates, as is typical  
181 on the Atlantic side of the Iberian Peninsula. Just having any thunderstorm over sea in the right  
182 season is no guarantee for elve production. It may still take hours, hundreds of flashes and tens of  
183 sprites before an elve appears over maritime winter thunderstorms, for example on 5-6 and 6-7  
184 December 2015, observed from Pic du Midi over the Mediterranean Sea. It was also the case in  
185 *Soula et al.* [2010]. It strongly suggests a certain cloud charge configuration and type of lightning  
186 flash is required for elves, as is the case for sprites.

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### 189       3.3 Elve altitude

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191       Figure 1c shows that the determined altitudes of the winter elves range between 80 and 96 km  
192 with 25<sup>th</sup> percentile, median and 75<sup>th</sup> percentile values for the total population of 85.2 km, 87.1 km  
193 and 88.6 km, respectively. The standard deviation is 2.42 km. However, elve altitudes do vary from  
194 night to night (Figure 2). The mean standard deviation per night is 1.57 km, significantly smaller.  
195 The mean altitudes for nights with 7 or more elves vary between 83.4 km and 90.0 km. The 95%  
196 confidence intervals of these mean values are indicated in Figure 2 by red triangles.

197       It could be noted that the map in Figure 1b shows higher altitudes over the Mediterranean Sea  
198 (mean 87.8 km) than over the Bay of Biscay (86.2 km). This is unlikely to be a regional effect.



199 Before the inclusion of 5 November 2014, 17 January 2015 and 12 January 2016 events over the  
200 Bay of Biscay (collective mean of 84.3 km), the mean altitude in that region was in fact 87.6 km,  
201 virtually the same.

202 The markedly lower altitude nights mentioned above may be related to the solar cycle. The  
203 sunspot minimum occurred in the years 2008-2009 and the maxima appeared in late 2011 and early  
204 2014 with a decline over 2015 and 2016. The years 2008-2011 contributed more elves with altitudes  
205 above the mean, whereas late 2013 to early 2016 contributed more elves below the mean altitude. In  
206 fact, these nights were all associated with ap-index values between 10 and 30 nT (marked in grey in  
207 Figure 2), indicating only slightly disturbed global geomagnetic conditions (Kp index > 3-). Supp.  
208 Info. S6 shows slight negative correlations between elve night median altitude (35 nights), the ap-  
209 index ( $R^2 = 0.32$ ) and the F10.7 cm daily solar radio flux ( $R^2 = 0.08$ ).

210 To investigate the possibility of seasonal altitude differences we also considered two summer  
211 nights with significant elve activity, observed with a similar camera system installed on Pic du  
212 Midi. A storm over the Rhone Valley north of Avignon in France produced 9 elves and a number of  
213 sprites (as well as combined events) in the early hours of 20 August 2010. The mean altitude was  
214 93.3 km with a standard deviation of 2.4 km, significantly higher than the other cases. The other  
215 night of 6-7 June 2015 with 11 elves over the Aosta Valley in the Alps resulted in a more typical  
216 87.2 km as mean altitude and 2.1 km standard deviation (for 7 events with a successful CG  
217 detection). So, while one case appears to be anomalously high, more cases are needed to establish  
218 seasonal differences. There are a few isolated elves in May and June which fall close to the peak of  
219 the distribution.

220 It is also worth noting that there is no correlation between elve altitudes and the peak current  
221 of corresponding lightning strokes in the Linet data (see Figure S4 in the Supporting Information).

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223 3.4 Temporal and spatial altitude changes

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225 As elve altitude is affected by the electron density profile, the transition from daytime to  
226 nighttime conditions may be detectable for elves occurring in the hour after sunset and before  
227 sunrise. A time-altitude graph of elve events labeled by year is included as Figure S5 (Supp.  
228 Information). While elve altitudes are lowest at nightfall with a median of 86.2 km before 1930  
229 UTC (Atlantic) or 1900 UTC (Mediterranean), the number of nights (2) with elves in this time  
230 interval is so small that one cannot draw conclusions from this. The other periods of the night large  
231 altitude differences between nights which dominate over nocturnal evolution, although the years  
232 2014-2016 are mainly responsible for broadening the altitude range.

233 On the other hand, during individual nights with large numbers of elves, changes in altitude  
234 over time and space are often observed (Figure 3). One use of the graphs is to identify any bias in  
235 altitude according to observing distance (there is none). The graphs also show trails and tight  
236 clusters of elves when produced by one thunderstorm cell. For the Atlantic cases (the two columns  
237 at the right), the camera was looking into the direction of the northwesterly flow carrying the cold  
238 airmass thunderstorms towards the Iberian Peninsula and French coasts, so that distance of elves  
239 from the camera is decreasing with time. For the Mediterranean cases (left column) it is the reverse.

240 There appears to be less variability within traces than there is between traces or clusters  
241 occurring at different times. This suggests that individual elve altitude errors are smaller than the  
242 changes during the night, particularly when changes are as large as 2-3 km. The variation can be  
243 observed mainly over the time domain, and is of similar magnitude as reported by studies of  
244 reflection height by tweek studies [e.g. *Han and Cummer*, 2010]. It can be noted that the altitude  
245 changes occur at seemingly random moments during the night and in either direction. Transitions  
246 from lower to higher occurred at: 0300 UTC (*a*), 2300-0100 UTC (*f*); from higher to lower: 2030  
247 UTC (*c*), 0300-0400 UTC (*d*), 0230 UTC (*e*). Within individual storm traces there is no evidence of  
248 a systematic influence of the lingering ionization produced by previous elve-producing strokes on

249 the altitude of a next one. We also do not see any difference between nights with most elves  
250 displaying gravity wave patterns (*b, e* and *f*) versus nights with smooth elves (*a, c* and *d*).

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#### 256 **4. Discussion**

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258 Elves are observed mainly during the autumn and winter over the western Mediterranean Sea  
259 and the Bay of Biscay, similar to elve occurrence in Japan [*Takahashi et al.*, 2003]. They are also  
260 produced by CG strokes over land as the storms move ashore and dissipate. Peak currents reported  
261 by the Linet network for the detected elves range mainly between 130 kA and 370 kA (2% and 98%  
262 percentiles) with a median of 228 kA. This is well above the threshold of 88 kA [*Blaes et al.*, 2016]  
263 for elves to be detectable with 50% probability by photometers, which are more sensitive systems  
264 than the camera used here. The high conductivity of sea water compared to land should help in  
265 attaining high peak currents [e.g. *Said et al.*, 2013], and may be generally important as cold season  
266 thunderstorms occur mainly over sea, but it is not the only determining factor. *Chen et al.* [2014]  
267 show that the ratio of energetic to normal lightning, in our case over the Western Mediterranean Sea  
268 indeed changes with the season (note a mismatch between their figure captions and the well-known  
269 global lightning climatology).

270 As elves are rarely observed in summer thunderstorms and certain maritime autumn  
271 thunderstorms with our cameras, it is an indication that the meteorological regime and electrical  
272 cloud characteristics are an important factor for high rates of elve-producing CG strokes in the cold  
273 season, be it over sea or land. Elves have been detected in summer as well in the USA using  
274 photometers and high-speed cameras, but often have insufficient brightness to be detected by

275 conventional low-light video cameras [e.g. *Newsome and Inan*, 2010]. This means either that large  
276 peak current strokes occur less frequently or the optical excitation is less effective. As an example  
277 of the latter, the modeling of *Taranenko et al.* [1993] and *Marshall et al.* [2010] produces stronger  
278 emissions for tenuous electron density profiles. A denser electron profile means a lower reflection  
279 altitude at a larger neutral density, resulting in reduced electron energies, which need to be  
280 compensated by stronger lightning impulses. But also a too weak electron density will limit the  
281 emissions. Another factor that could come into play are increases [*Han and Cummer*, 2010] or  
282 decreases [*Shao et al.*, 2013] of D-region electron density produced by thunderstorms in proportion  
283 to their flash rates. Summer thunderstorms generally produce much higher rates of negative cloud-  
284 to-ground flashes and intracloud flashes because larger amounts of water vapor and buoyancy are  
285 available.

286       The overall median altitude of elves was 87.1 km with 50% of observations between 85.2 and  
287 88.6 km. However, there is significant night-to-night variation as well as a possible trend over the  
288 years. The night with the lowest elves featured a mean of 83.4 km and the night with the highest a  
289 mean of 90.0 km during the winter season. One summer night case (20 August 2010) with elves  
290 near 93.3 km altitude falls at the end of the tail of the elve altitude distribution. This may be an  
291 unusual case where a modification in the electron density gradient is produced at a higher altitude,  
292 perhaps associated with electron depletion below sporadic E [*Lehmacher et al.*, 2015]. Remarkable  
293 is that the winters of 2014 to 2016 contributed mainly low elves (~84 km), bringing down the  
294 average height from 87.8 km of the years before. A link with the solar cycle and geomagnetic  
295 disturbances is likely present as we found ap-index >10 in the reduced altitude cases while it was 0-  
296 5 in the normal altitude cases.

297       Changes of 1–3 km in elve heights are observed in individual nights over time spans of 0.5–2  
298 hours, in agreement with the findings of *Han and Cummer* [2010] and *Lay and Shao* [2011]. This  
299 suggests the nocturnal evolution of altitude may occur as a result of long period neutral density and

300 temperature changes (gravity waves and tides), or perhaps changes in meteoric dust particles [e.g.  
301 *Friedrich et al.*, 2012] or chemical factors altering the electron density gradient. Observed altitudes  
302 change at different moments during most elve nights, and may decrease or increase regardless of the  
303 time. Note that in case of gravity waves as cause, these must have a wavelength much longer than  
304 those that show up as bands and ripples within the elve disk [*van der Velde et al.*, 2011; *Yue and*  
305 *Lyons*, 2015]. The effect of large scale gravity waves can be to bring down and replace the D-region  
306 electron gradient [*Sugiyama*, 1988]. Some of the long period changes may be due to tides. These are  
307 known to increase O and OH airglow and to slightly lower their peak altitudes after 3 a.m. local  
308 time in midwinter [e.g. *Zhang and Shepherd*, 1999]. We do not see consistent lowering of elves  
309 after that time, however: in 2 nights (*d* and *e* in Figure 3) this might be the case, in one night (*a*) we  
310 actually see an increase, and other nights did not have sufficient numbers of elves late in the night.  
311 It can be noted that statistics of radiowave tweek reflection heights only show slightly increased  
312 altitudes (<1 km) at the beginning as well as the end of the night [*Ohya et al.*, 2011].

313 One may assume [*Wu et al.*, 2014] that a connection exists between OH and the electron  
314 density profile with possible roles for atomic oxygen, nitric oxide, cluster hydrates and meteoric  
315 dust particles. What needs to be established better is whether OH airglow and elves really occur  
316 simultaneously at the same altitude, and whether airglow and radiowave reflection heights are  
317 correlated.

318 This study provides the most precise elve emission height statistics to date and reveals some of  
319 its variability at the time scale of years, seasons, individual nights and hours. We hope this will be  
320 instrumental in solving links between physical processes in the upper mesosphere – lower  
321 ionosphere region.

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329

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337 this study are available from the authors (OV). Other data are supplied as detailed in the Supporting Information  
338 section.

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510 **Figure captions**

511

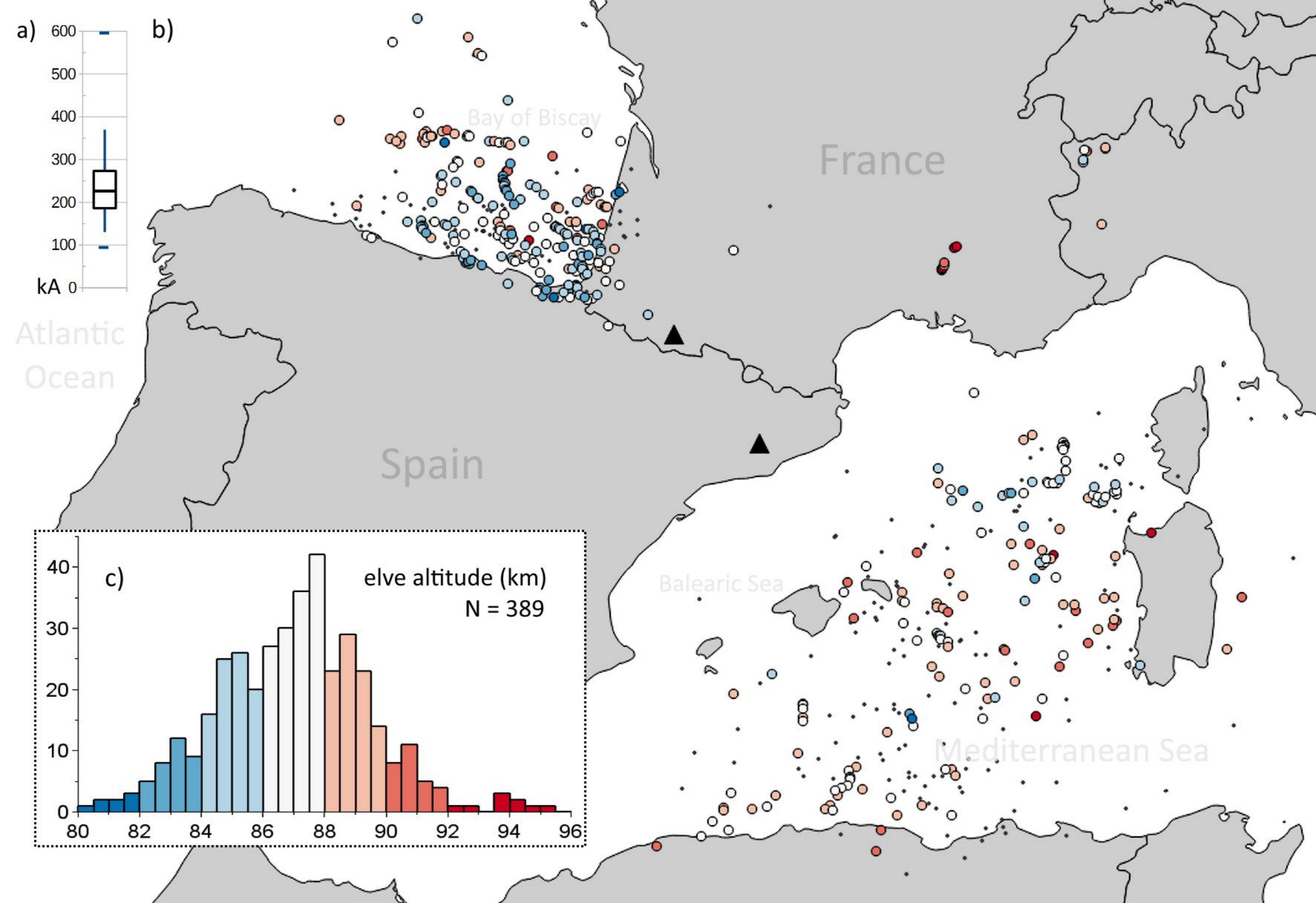
512 **Figure 1.** a) Boxplot of the peak current of Linet-detected cloud-to-ground strokes for those elves whose altitude  
513 has been determined. b) Map with locations of detected strokes which produced elves observed from 2008-2016 by the  
514 camera in northeastern Spain (blue triangle) and Pic du Midi (black triangle). The color indicates the elve altitude  
515 according to the histogram. Black dots are Linet detections for confirmed elves without the possibility of optical  
516 verification of the stroke locations. c) Histogram of the altitudes of the events shown on the map.

517

518 **Figure 2.** Variability of individual elve altitudes and the 95% confidence interval (bounded by red triangles) of the  
519 mean altitude for nights with elves observed from northeastern Spain. The two nights at the bottom indicated by stars  
520 are cases of summer elves obtained by the camera at Pic du Midi. The label refers to the 00 UTC date of the night.  
521 Labels marked in grey indicate nights when geomagnetic ap-index  $> 10$  nT. The vertical dashed line indicates the mean  
522 elve altitude.

523

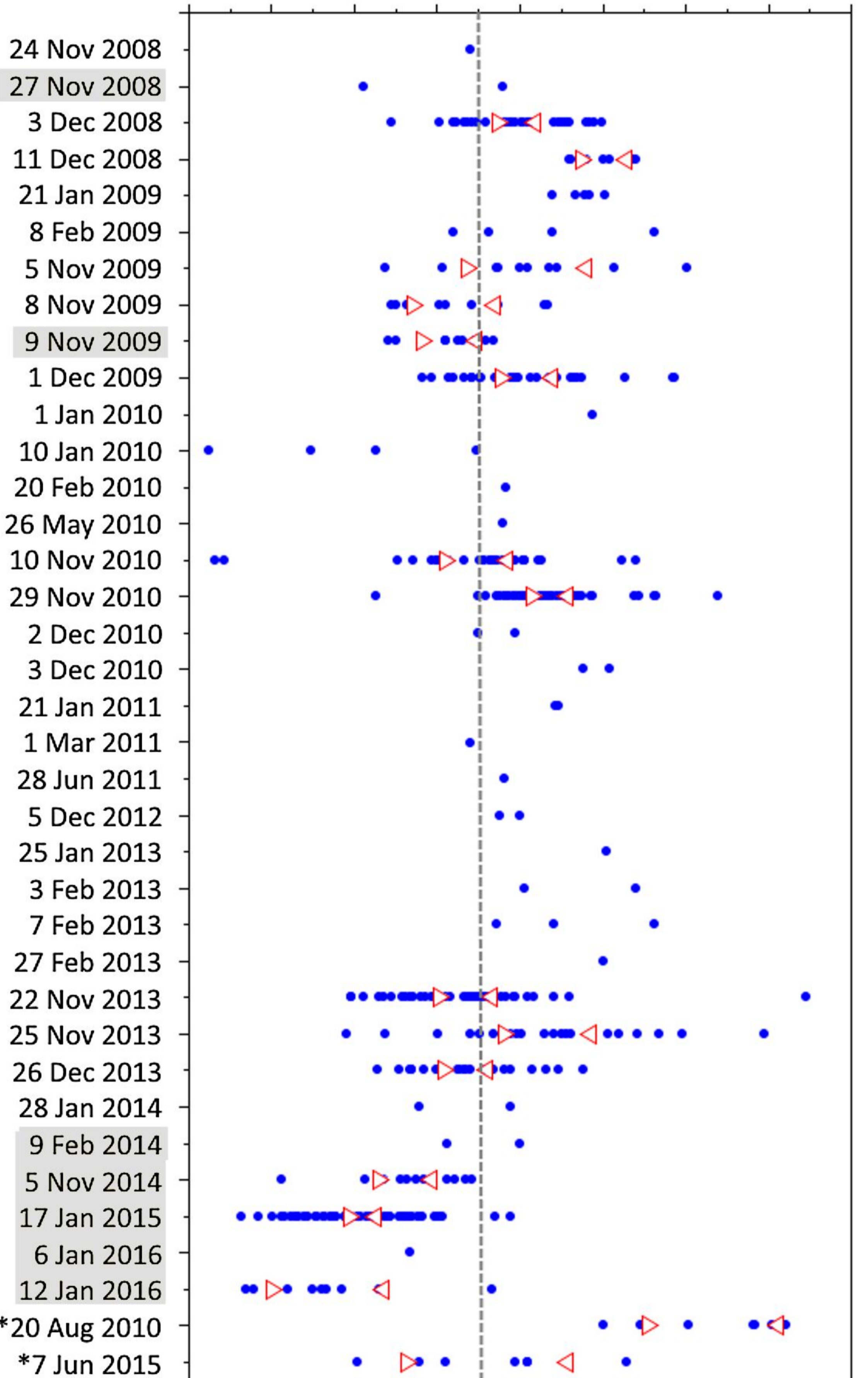
524 **Figure 3.** Altitudes of individual elves over time and space (distance from the camera).



# altitude (km)

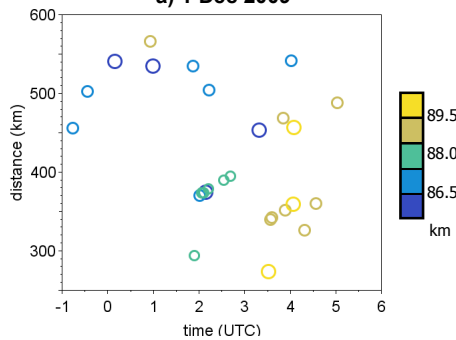
80 82 84 86 88 90 92 94 96

24 Nov 2008  
 27 Nov 2008  
 3 Dec 2008  
 11 Dec 2008  
 21 Jan 2009  
 8 Feb 2009  
 5 Nov 2009  
 8 Nov 2009  
 9 Nov 2009  
 1 Dec 2009  
 1 Jan 2010  
 10 Jan 2010  
 20 Feb 2010  
 26 May 2010  
 10 Nov 2010  
 29 Nov 2010  
 2 Dec 2010  
 3 Dec 2010  
 21 Jan 2011  
 1 Mar 2011  
 28 Jun 2011  
 5 Dec 2012  
 25 Jan 2013  
 3 Feb 2013  
 7 Feb 2013  
 27 Feb 2013  
 22 Nov 2013  
 25 Nov 2013  
 26 Dec 2013  
 28 Jan 2014  
 9 Feb 2014  
 5 Nov 2014  
 17 Jan 2015  
 6 Jan 2016  
 12 Jan 2016  
 \*20 Aug 2010  
 \*7 Jun 2015

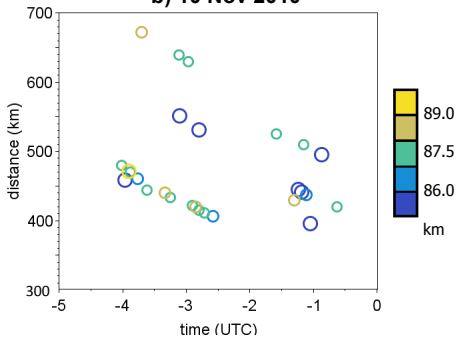


[ap > 10]

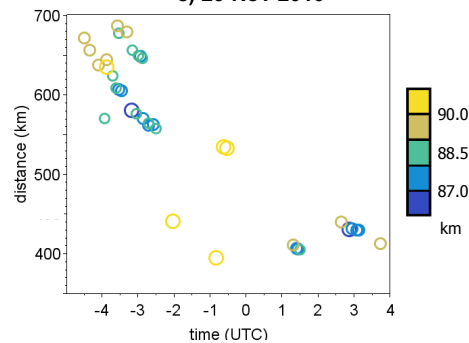
**a) 1 Dec 2009**



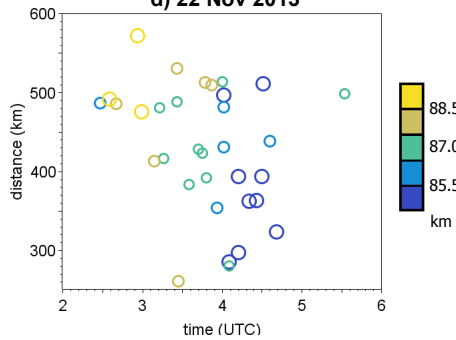
**b) 10 Nov 2010**



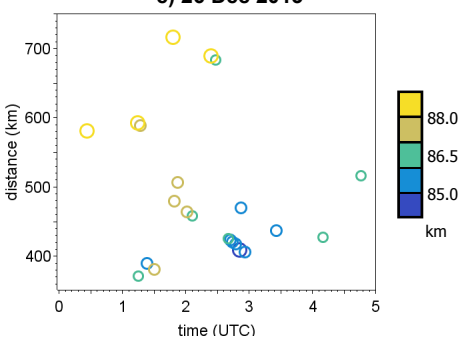
**c) 29 Nov 2010**



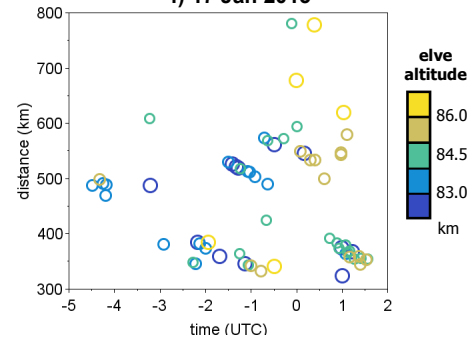
**d) 22 Nov 2013**



**e) 26 Dec 2013**



**f) 17 Jan 2015**





*Geophysical Research Letters*

Supporting Information for

**Statistics and variability of the altitude of elves**

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**Contents of this file**

Text S1-S5

Figures S1-S6

**Additional Supporting Information**

available at [www.lightningwizard.com/research/GRL\\_elve\\_altitudes.7z](http://www.lightningwizard.com/research/GRL_elve_altitudes.7z)

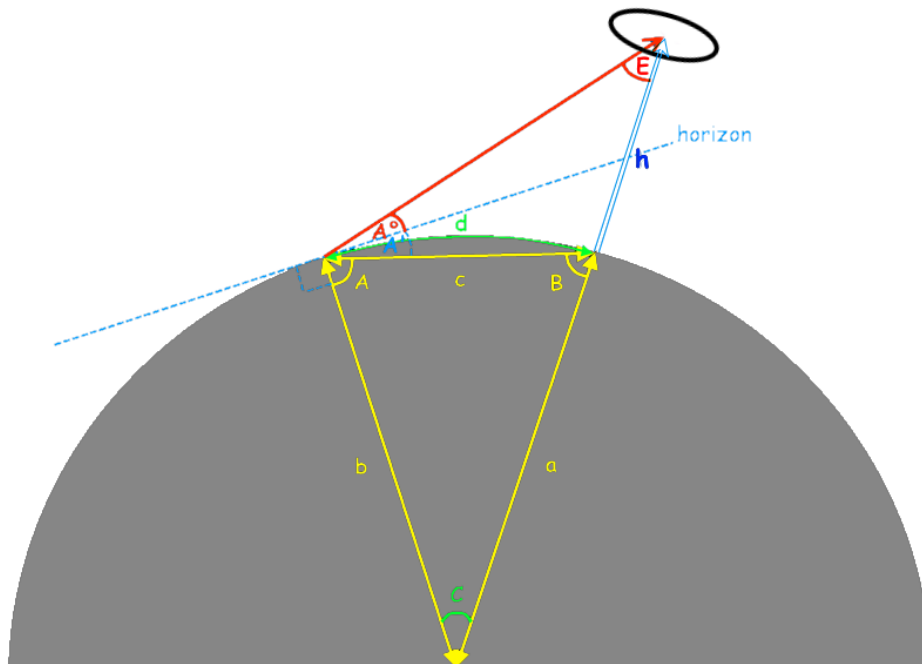
Linnet location data was reduced to two decimals. Full data can be obtained from Nowcast GmbH (H.-D. Betz, nowcast.de).

## Introduction

We include here in Text S1 the calculation for event altitude from an elevation angle determined by means of the star fit software combined with the known great circle distance to the triggering cloud-to-ground stroke. In Text S2 we detail the steps followed to obtain elve altitudes. Text S3 provides one elve example with resulting altitude estimate from two cameras. Figure S4 shows how elve altitude varies over the night.

### Text S1.

In order to calculate the altitude of a sprite, jet, elve or an object above the Earth's curved surface, its great circle distance  $d$  must be known, and from the images and star fitting method the elevation angle  $A^0$  is derived. The Earth is an oblate spheroid with a radius  $6356 \text{ km} < R < 6378 \text{ km}$ . For the calculations we assume it is a true sphere, which is, given the only  $<0.3\%$  differences, good enough for the comparatively short distances of the events. The above schematic picture exaggerates the height and distance of the event compared to the Earth.



**Figure S1.** Geometry for the calculation of event altitude  $h$  based on great circle distance  $d$  and observed elevation angle  $A^0$ .

The transient luminous event occurs in the zenith above point  $B$  at a great circle distance  $d$  from the camera in  $A$ . The astronomical software yields the elevation angle  $A^0$  to the center of the elve above the astronomical horizon, which is tangent to the Earth's curved surface in  $A$ . If an elevation angle

would have been derived as a difference from a visible horizon from a mountain or balloon camera location, one would have to consider this (a calculation different from described here, though perhaps not with significantly different results).

We can now construct a triangle  $ABC$ . Angle  $C$  (in degrees) follows simply from  $d$ :

$$C = \frac{180}{\pi} \frac{d}{a} \quad \text{with } a=R$$

The cosine rule for triangle  $ABC$  with sides  $abc$  opposite to the corresponding corners  $ABC$  goes:

$$c^2 = a^2 + b^2 - 2ab \cos C$$

so if  $a=b$  it simplifies to

$$c = \sqrt{2a^2 - 2a^2 \cos C}$$

which can be used for determining the second triangle:  $ABE$ . We happen to know more about the corners of this triangle, because the sum of  $A$  and  $A'$  is  $90^\circ$ , and the sum of  $ABC$  is  $180^\circ$ . So:

$$A=B = \frac{180^\circ - C}{2} = 90^\circ - \frac{1}{2}C \quad \text{and} \quad A' = 90^\circ - A = \frac{1}{2}C$$

While corner  $E$  can be derived from the large triangle  $ACE$ :

$$S = 180^\circ - C - (90^\circ + A^0) = 90^\circ - C - A^0$$

We can now solve the triangle  $ABE$  with the sine rule:

$$\frac{\sin A}{a} = \frac{\sin B}{b} = \frac{\sin C}{c}$$

Filling this out with the corresponding sides and angles gives:

$$\frac{\sin(A^0 + A')}{h} = \frac{\sin E}{c}$$

and the height of the TLE is found:

$$h = \frac{c \sin(A^0 + \frac{1}{2}C)}{\sin(90^\circ - C - A^0)}$$

If  $h_{cam}$  is not zero, we have to deal with this from the first step.

$$a = R + h_{cam}$$

Points  $A$  and  $B$  are then above the Earth's surface, which requires a correction of great circle distance  $d$  which was measured over the surface itself. The corrected  $d'$  is:

$$\frac{d'}{2\pi(R+h_{cam})} = \frac{d}{2\pi R} \quad \text{so} \quad d' = \frac{(R+h_{cam})}{R}d$$

By using the corrected  $a$  and  $d'$  the same calculation is performed, and  $h_{cam}$  is added to the final answer  $h$ .

Running a test calculation for typical TLE distances, it becomes obvious that the differences between  $d$  and  $c$ , as well as  $d$  and  $d'$ , are really small: less than 0.1 km, which is perhaps only a half percent of the distance. The uncertainty in the precise distance is larger than that imposed by the calculation when angle  $C$  is small (commonly less than  $6^\circ$  of latitude/longitude for TLE observed from ground).

It is therefore possible to simplify the calculation to:

$$h = \frac{d \sin(A^0 + \frac{1}{2}C)}{\sin(90^\circ - C - A^0)} + h_{cam}$$

avoiding the calculation of  $c$ , and involves only an initial calculation of angle  $C$ .

Note that the software SkyCharts (Cartes du Ciel) calculates the atmospheric refraction and scales its elevation grid accordingly.

## Text S2.

The steps taken to reproduce the results of this study are described here.

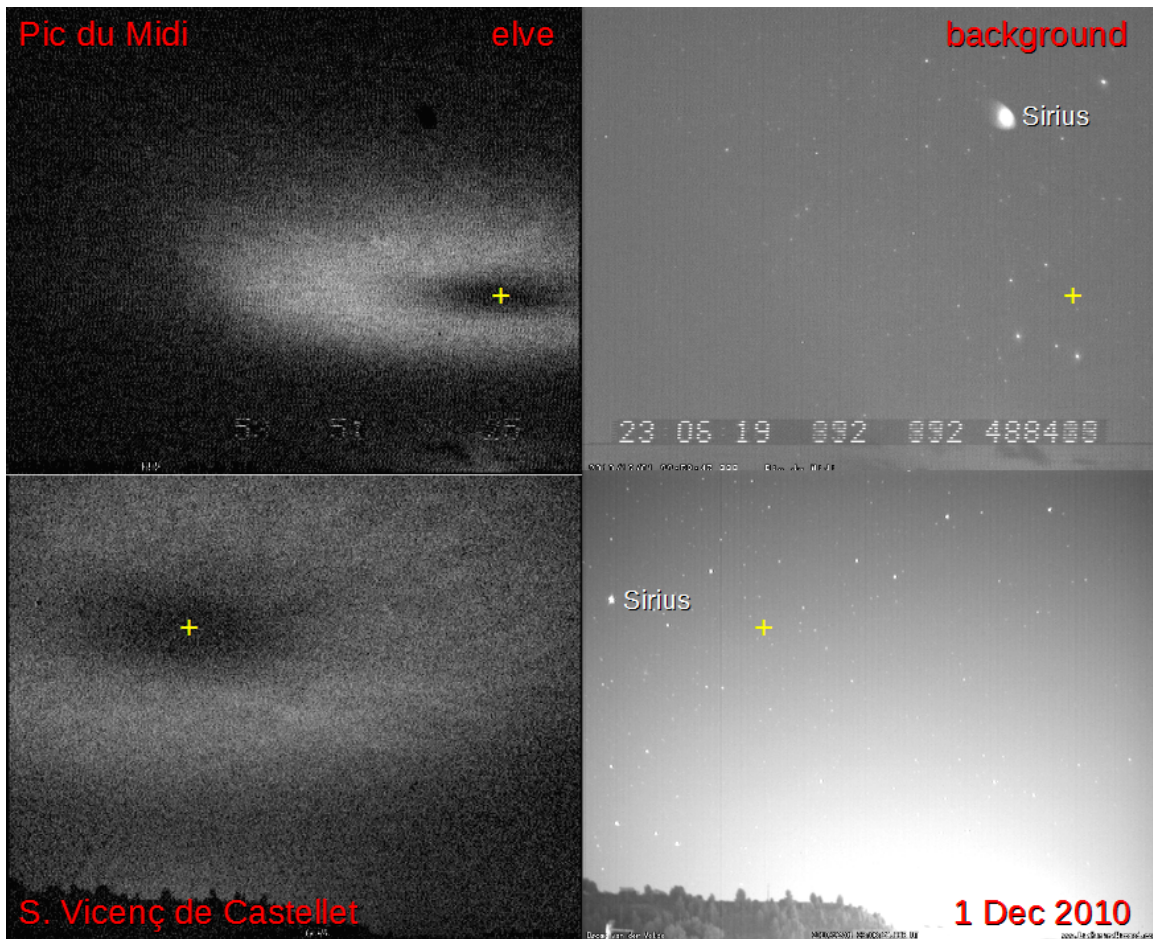
- 1) Observation with camera system described in the paper. UFOCapture V2/HD setting details for elves can be obtained by contacting the author (OV). The most important setting is Detect Level Noise tracking <100 and MinL-N between -3 to -1 setting a sensitive brightness difference threshold.
- 2) The video clips obtained contain about 20 frames. They are processed to separate event (background subtracted) and background sky. The resulting images are available for research purposes (personal database of the author OV).
- 3) Images have black borders and PAL aspect ratio. Batch cropping and resizing and output as BMP files was done in Irfanview 4.38. The following settings result in the best match with star fields: CROP: X-pos

- 6, Y-pos 0, Width 703, Height 576. RESIZE 760x576 (if e.g. 768x576 there will be a horizontal or vertical mismatch with stars)
- 4) Using Sky Charts - Cartes du Ciel v2.76c from [www.stargazing.net/astropro](http://www.stargazing.net/astropro) (not v3 which accepts only FITS images):
    - a) Make sure to set Preferences... Observatory (obtain from authors) and Date/Time for the event in UTC (+0). Projection: "ARC".
    - b) Aim in the roughly good direction (WNW for Atlantic, SE for Mediterranean)
    - c) Load Images: Background image... and select the background sky image.
    - d) Make sure there is an "eyepiece" to assist in star aiming and increase/decrease the number of visible stars.
    - e) Set the correct field of view, e.g. Search - Locate new position... and go for Field Width 31° 15' for the 12mm lens.
    - f) Find stars in the software matching those in the image. It can be tricky at first. Move around by rightclicking (Center) where the center of the view should be next. Images: Blinking image helps.
    - g) Fine-tune the match by right-clicking in small steps near the Eyepiece the amount of shift between software and image stars. Perhaps the view needs adjustment (if lens properties not tuned before).
    - h) Tune the Search - Locate new position... Orientation to rotate horizon.
    - i) When stars overlap precisely the ones in the image, save the configuration as a CDC file.
    - j) Now load the corresponding elve image without changing any parameters. Select Blinking image to remove the software projected stars, grids, etc. Point at the center of the elve, take note of the elevation in the info bar. Do this a few times then write down the most encountered Az/Ele values.
    - k) Repeat for next elve images - check if the background still fits the stars when time is adjusted to the next event. If so, just read out the elve center.
  - 5) With obtained Azimuth and Elevation take the lightning stroke location at the time of the elve into a simple great circle calculator (<http://williams.best.vwh.net/gccalc.htm> will do, use spherical Earth). Set the observation site as first point, the stroke as the second, calculate the distance and azimuth.
  - 6) Reject the stroke if azimuth does not match starfix-obtained one within 1 degree or if lateral stroke distance greater than 10 km. Try an alternative stroke if available within 20 ms of this event.
  - 7) Use a calculation spreadsheet/script (can be obtained from the authors) to input elve elevation and stroke great circle distance and find the corresponding elve altitude.
  - 8) The sheet with elve altitudes, strokes, times and dates, and the stroke error obtained by the authors is provided in a zipped file available at the link provided on the first page, or it can be obtained from the authors.
  - 9) The altitudes should be corrected for the effects of viewing perspective by a simple procedure, assuming the elve hole to be 35 km wide. The

script is included. As elves usually occur at 5-15° above the horizon, the use of great circle distance instead of direct distance through the air amounts only to -0.1 km in altitude.

**Text S3.**

As a demonstration of the precision of the height calculation method, we apply the procedure outlined in S2 to an elve (1 December 2010, 230619 UTC) observed simultaneously at the camera sites of Observatoire du Pic du Midi (42.94°N, 0.14°E, 2880m) and Sant Vicenç de Castellet (41.67°N, 1.86°E, 190m). A +250 kA stroke was detected by Linet at the location 39.8702°N 3.5136°E, halfway between the islands Mallorca and Menorca in the Mediterranean Sea. All calculations assume a spherical Earth with a local radius of 6368 km.



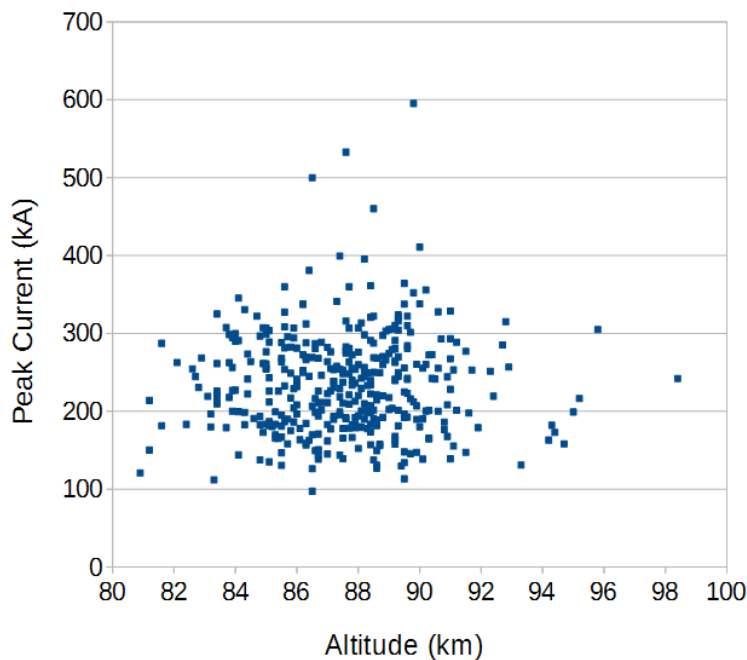
**Figure S3.** Elve event-only and background images (brightness was multiplied by 3), observed simultaneously from two camera locations. The approximate elve centers are marked. After fixing the stars in the background image in Cartes du Ciel v2.76, the resulting center values were read out and presented in Table S3.

**Table S3.** Elve altitude calculated from the optical analysis and Linet return stroke location.

	Azimuth	Elevation	Stroke distance	Resulting altitude	Perspective-corrected altitude
S. Vicenç C.	145.2°	18°52'	243.61 km	89.3 km	88.0
Pic du Midi	140.1°	8°57'	441.68 km	88.9 km	88.4

**Text S4.**

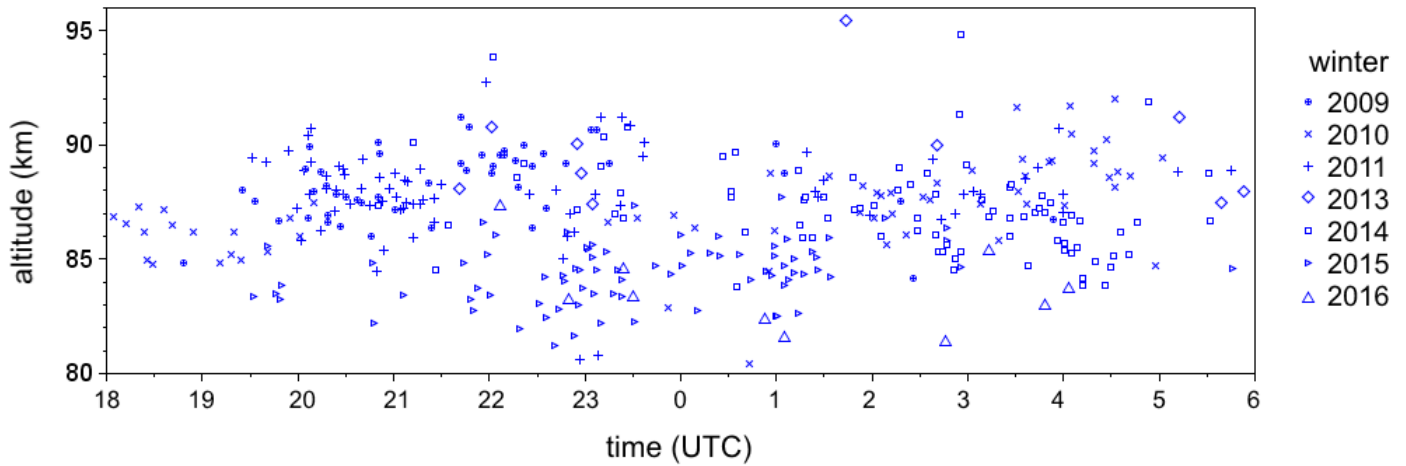
The peak current in Linet data corresponding to the unusual elve-producing strokes shows no correlation with elve altitude (Figure S4). Peak current does show some correlation with subjective elve brightness in a comparison we performed on a limited number of events (not shown here), so we consider the absence of correlation real.



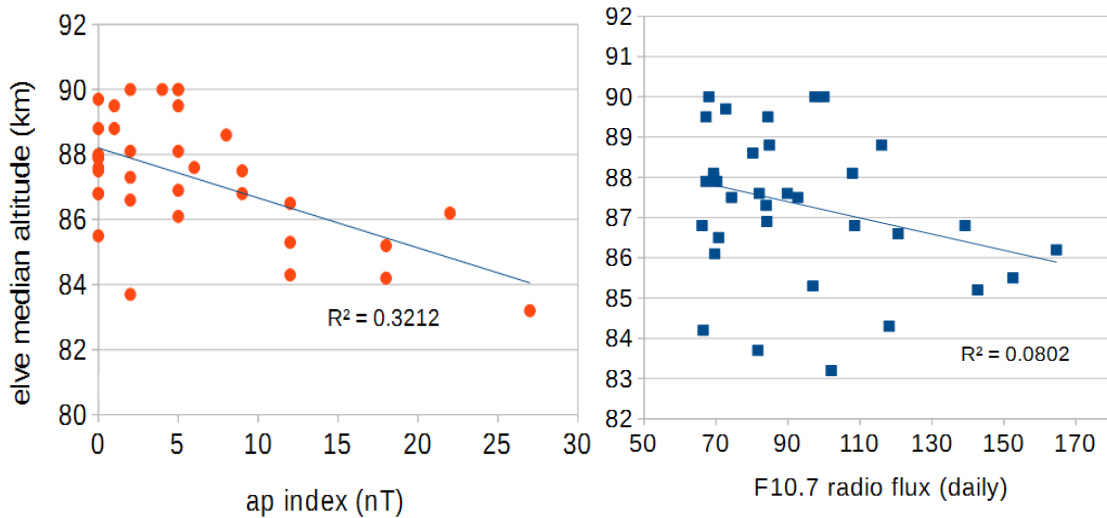
**Figure S4.** Linet peak current versus elve altitude (strokes <70 kA filtered out as those are unlikely to be detections of the main stroke)

**Text S5.**

Elve altitude variation with time is strongly dominated by the mean altitudes of individual nights, so no clear relation shows (unless 2014-2016 nights are removed, but even then there are too few elves and contributing elve nights per time interval to find statistical significance).



**Figure S5.** Elve altitude with time, grouped by year (e.g. “2009” is Nov 2008-Feb 2009).



**Figure S6.** Scatterplots for elve median altitude per night (35 nights) and the ap-index, as well as the F10.7 daily solar radio flux.