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1 Article type: Original article

2

3 **Reduced plant water status under sub-ambient pCO₂ limits plant productivity in the**
4 **wild progenitors of C₃ and C₄ cereals**

5

6 Jennifer Cunniff^{1*}, Michael Charles², Glynis Jones³, and Colin P. Osborne¹

7 ¹Department of Animal and Plant Sciences, Alfred Denny Building, University of Sheffield,

8 Western Bank, Sheffield, S10 2TN, UK. ²Institute of Archaeology 36 Beaumont St, Oxford,

9 OX1 2PG, ³Department of Archaeology, Northgate House, University of Sheffield, West

10 Street, Sheffield, S1 4ET, UK.

11

12 Corresponding author: Jennifer Cunniff

13 *Current address: CABI, Nosworthy Way, Wallingford, Oxfordshire, OX10 8DE, UK.

14 Tel: +44 (0)1491 832111

15 j.cunniff@cabi.org

16

17 Running title: sub-ambient pCO₂ and plant water status

18

1 **ABSTRACT**

- 2 • **Background and Aims** The reduction of plant productivity by low atmospheric CO₂
3 partial pressure (pCO₂) during the last glacial period is proposed as a limiting factor for the
4 establishment of agriculture. Supporting this hypothesis, previous work has shown that
5 glacial pCO₂ limits biomass in the wild progenitors of C₃ and C₄ founder crops, in part due
6 to the direct effects of glacial pCO₂ on photosynthesis. Here, we investigate the indirect
7 role of pCO₂ mediated via water status, hypothesising that faster soil water depletion at
8 glacial (18 Pa) compared to post-glacial (27 Pa) pCO₂ due to greater stomatal conductance
9 feeds back to limit photosynthesis during drying cycles.
- 10 • **Methods** We grew four wild progenitors of C₃ and C₄ crops at glacial and post-glacial
11 pCO₂ and investigated physiological changes in gas exchange, canopy transpiration, soil
12 water content, and water potential between regular watering events. Growth parameters
13 including leaf area were measured.
- 14 • **Results** Initial transpiration rates were higher at glacial pCO₂ due to greater stomatal
15 conductance. However, stomatal conductance declined more rapidly over the soil drying
16 cycle in glacial pCO₂ and was associated with decreased intercellular pCO₂ and lower
17 photosynthesis. Soil water content was similar between pCO₂ levels as larger leaf areas at
18 post-glacial pCO₂ offset the slower depletion of water. Instead the feedback could be
19 linked to reduced plant water status. Particularly in the C₄ plants, soil-leaf water potential
20 gradients were greater at 18 Pa compared with 27 Pa pCO₂ suggesting an increased ratio of
21 leaf evaporative demand to supply.
- 22 • **Conclusions** Reduced plant water status appeared to cause a negative feedback on stomatal
23 aperture in plants at glacial pCO₂, thereby reducing photosynthesis. The effects were
24 stronger in C₄ species, providing a mechanism for reduced biomass at 18 Pa. These results
25 have added significance when set against the drier climate of the glacial period.

1

2 **Key words:** *Setaria viridis*, *Panicum miliaceum* var. *ruderales*, *Hordeum spontaneum*,
3 *Triticum boeoticum*, sub-ambient pCO₂, origin of agriculture, C₄ photosynthesis, C₃
4 photosynthesis, water relations, crop progenitors.

1 INTRODUCTION

2 Deglaciation at the end of the Pleistocene period was coupled to a rapid rise in atmospheric
3 $p\text{CO}_2$ from below 18 Pa to 27 Pa between 15,000 and 12,000 years ago (Petit et al., 1999,
4 Jouzel et al., 1993). Humans began to cultivate plants in the Fertile Crescent of western Asia
5 soon afterwards (Willcox et al., 2008) and, within five millennia, cultivation and subsequent
6 domestication of plants had occurred in at least five primary centres across the globe
7 (Purugganan and Fuller, 2009). This sequence of agricultural origins in widely separated
8 regions of the world suggests the involvement of a global factor, and Sage (1995) proposed
9 that $p\text{CO}_2$ during the last glacial period may have been too low to support the level of
10 productivity required for the successful establishment of agriculture.

11 An experimental test of this hypothesis showed that the wild progenitors of C_3 and C_4
12 founder cereals from independent centres of origin displayed significant increases in
13 vegetative biomass with a 50% increase in $p\text{CO}_2$ from 18 Pa to 27 Pa (2010, Cunniff et al.,
14 2008). Biomass of the one C_3 species in this experiment near-doubled and measurements of
15 leaf gas exchange revealed that photosynthesis (A) was strongly limited by glacial $p\text{CO}_2$ in
16 this species, highlighting a direct mechanism for biomass limitation. Biomass in the five C_4
17 species showed a smaller, but still substantial, 40% increase (Cunniff et al., 2008). However,
18 measurements of leaf gas exchange immediately after watering revealed that A was only
19 significantly limited by glacial $p\text{CO}_2$ in two of these C_4 crop progenitors, a finding consistent
20 with the known CO_2 -concentrating mechanism of C_4 photosynthesis (Pearcy and Ehleringer,
21 1984). Therefore an alternative, indirect explanation for the biomass increase is required.

22 The elevation of $p\text{CO}_2$ from a glacial to postglacial level in this experiment was
23 associated with large reductions in stomatal conductance (g_s) and transpiration (E), resulting
24 in a decrease in the use of water at leaf and canopy scales in all of the C_4 species, and greatly
25 improved water-use efficiency (WUE). These observations raise the possibility of an indirect

1 feedback on biomass accumulation at sub-ambient $p\text{CO}_2$ mediated via water relations
2 because, although plants were not exposed to drought per se, they experienced a soil drying
3 cycle between watering events on alternate days (Cunniff et al., 2008). This hypothesised
4 mechanism is consistent with studies investigating the effects of elevated atmospheric $p\text{CO}_2$
5 (55-70 Pa) on the water relations of C_4 plants, where reduced g_s and E improve plant and soil
6 water status, and extend the active period for photosynthesis and growth (Ghannoum et al.,
7 2000). This indirect effect of elevated $p\text{CO}_2$ is particularly important when C_4 plants
8 experience some kind of water deficit (Wall et al., 2001, Conley et al., 2001, Leakey et al.,
9 2004, Leakey et al., 2006, Leakey, 2009). It seems likely that these feedbacks of water status
10 on stomatal conductance, and hence photosynthesis, should be greater at sub-ambient than
11 elevated $p\text{CO}_2$. This is because the response of A to intercellular $p\text{CO}_2$ (P_i) in C_4 plants is
12 typically saturated at ambient $p\text{CO}_2$ levels, but shows a steep, near-linear response to $p\text{CO}_2$ at
13 lower P_i (Percy and Ehleringer, 1984). Therefore, decreases in g_s under water deficits could
14 lead to substantial reductions in A. This effect has been recognised in studies using maize
15 grown at ambient and elevated $p\text{CO}_2$ (Samarakoon and Gifford, 1995, Samarakoon and
16 Gifford, 1996).

17 It has been demonstrated previously that WUE improves linearly with CO_2 across sub-
18 ambient $p\text{CO}_2$ gradients in both C_3 and C_4 species (Polley et al., 1993, Polley et al., 2002,
19 Anderson et al., 2001, Polley et al., 1996). However, few studies have investigated the
20 interacting effects of water limitation and sub-ambient $p\text{CO}_2$. Polley et al. (2002) showed that
21 mid-day xylem potentials were depressed by sub-ambient $p\text{CO}_2$ in C_3 and C_4 species during
22 naturally occurring seasonal droughts. Furthermore Ward et al. (1999) found that A was
23 limited in the C_4 annual *Amaranthus retroflexus* by sub-ambient $p\text{CO}_2$ and, when a drought
24 treatment was applied experimentally, the CO_2 limitation was stronger. Additionally, these
25 plants showed the least recovery of leaf area and biomass upon re-watering at the end of the

1 drought period. These responses at sub-ambient pCO₂ were similar to those of C₃ annual
2 *Abutilon theophrasti* and showed that C₃ and C₄ species were equally affected by drought at
3 sub-ambient pCO₂, which is predicted from other studies (Polley et al., 1993, Polley et al.,
4 1995).

5 Here we report a controlled environment experiment investigating the interacting
6 effects of sub-ambient pCO₂, plant and soil water status on the modern day representatives of
7 C₃ and C₄ crop progenitors. We focus on two C₃ cereals from the Fertile Crescent and two C₄
8 millets from the Loess Plateau in China, all of which were among the earliest wild plants to be
9 brought into cultivation. Our experiment tested three hypotheses: (i) Greater g_s at glacial
10 pCO₂ increases the rate of soil water depletion and decreases leaf and plant water status
11 compared with plants at post-glacial pCO₂, (ii) Faster soil water depletion and reduced plant
12 water status increase the rate at which g_s and A decline in plants grown at glacial compared
13 with post-glacial pCO₂, and (iii) Water deficits amplify the limiting effect of glacial pCO₂ on
14 A to an equal extent in C₄ and C₃ crop progenitors. The aim was not to subject the crop
15 progenitors to a drought treatment; rather, it was an investigation of how physiology changes
16 between watering events in a controlled environment experiment.

17

18 **MATERIALS AND METHODS**

19 Growth conditions and plant material

20 The pCO₂ treatments were applied in controlled environment chambers (Conviron BDR16,
21 Conviron, Winnipeg, Manitoba, Canada) at two levels throughout the full 24 days of plant
22 growth: glacial (18 Pa) and postglacial (27 Pa). pCO₂ levels were maintained continuously
23 throughout the day and night. The chambers were operated in a closed configuration, by
24 connecting the outlet vent to the air inlet via a filter packed with a layer of activated charcoal
25 and a layer of sodalime (Sofnolime 1.0 - 2.5mm granules, Molecular Products Ltd, Mill End,

1 Essex); activated charcoal was employed to filter the air and remove any trace gases which
2 could be emitted by plants or soil, and have the potential to affect plant development. The
3 pCO₂ level in each chamber was measured using a CO₂ sensor (CARBOCAP® Carbon
4 Dioxide Probe GMP343, Vaisala, Finland) calibrated against a secondary standard. CO₂
5 control was achieved by linking the sensor to a feedback system regulating the circulation of
6 chamber air through the soda-lime scrubber. The pCO₂ of air in the chambers was recorded
7 every minute, giving overall mean values over the full growth period of 18.2 Pa (±SD 0.48)
8 and 27.1 Pa (± SD 0.49). To maintain this tight control, the soda lime was changed as soon as
9 pCO₂ started to drift above the target level, which was approximately every four weeks.
10 Treatment and plants were exchanged between the two controlled environment chambers
11 every week from germination to avoid confounding the effects of chamber and growth
12 environment.

13 Seeds were obtained from germplasm holdings or commercial sources. They included
14 two C₄ species, *Setaria viridis* (L.) P. Beauv [(green foxtail millet) (Herbiseed, Twyford, UK.
15 Cat no. 9602)] and *Panicum miliaceum* var. *ruderales* (Kitag.) [(wild broomcorn millet)
16 (Herbiseed, Cat no. 9507)] from North China, and two C₃ species, *Hordeum spontaneum* K.
17 Koch [(wild barley) (Leibniz Institute of Plant Genetics and Crop Plant Research (IPK),
18 Gatersleben, Germany, Accession number: HOR 13791)] and *Triticum boeoticum* Boiss.
19 [(einkorn wheat) (IPK, Accession number: TRI 17111)] from western Asia. Batches of 20
20 seeds of each species were sown into trays containing a 1:1 sand: John Innes no. 2 compost
21 mix (7 parts loam, 3 parts peat 2 parts sand N:P:K 20:10:10). This mix was chosen in an
22 attempt to replicate an unimproved soil (Ivandić et al., 2000). Seeds were germinated under
23 pCO₂ treatments in the controlled environment chambers at 25/15°C (day/night), with an 8
24 hour photoperiod and photosynthetic photon flux density (PPFD) of 300 μmol photons m⁻²s⁻¹.
25 Once established, eight even-sized seedlings of each species were selected and planted into 32

1 individual 2-litre pots [13.2cm (height) × 17cm (diameter)], containing the same growth
2 media. The pots had 8 holes in the base and were free to drain. Seedlings were returned to
3 the same controlled environment chambers; and in both chambers temperature was
4 maintained at 25/15°C (day/night), photoperiod was increased to 14 hours with a maximum
5 PPFD of 600 $\mu\text{mol m}^{-2}\text{s}^{-1}$, and vapour pressure deficit (VPD) had a minimum value of 0.49
6 kPa during the night and a maximum value of 0.96 kPa during the day.

7

8 Physiology

9 Physiological measurements of gas exchange, canopy transpiration and gravimetric water
10 content, and leaf water potential were taken over three separate drying cycles of three day
11 duration: photosynthesis (14 DAP-16 DAP), canopy transpiration and gravimetric water
12 content (17 DAP – 19 DAP), and water potential (20 DAP – 22 DAP). In total, physiological
13 measurements occurred over 9 days (14 DAP – 22 DAP). For each drying cycle, soil in the
14 pots was watered to pot capacity before “dawn” (7am) on day 1 (D1), and left to dry down
15 over a three-day cycle (D1-D3) before re-watering at the end of D3. We used a three-day
16 watering cycle as opposed to the two-day cycle applied in our previous experiment (Cunniff
17 et al., 2008) to exaggerate the hypothesized $\text{CO}_2 \times$ water interaction.

18

19 Gas exchange measurements

20 CO_2 and H_2O exchange were measured using a portable open gas exchange system (LI-6400P,
21 LI-COR Biosciences, Lincoln, Nebraska, USA) with the 6400-02B LED Light Source
22 chamber. Chamber conditions were set with the aim of approximating the growth
23 environment: PPFD was 600 $\mu\text{mol m}^{-2}\text{s}^{-1}$, leaf temperature was 25°C, incoming air was
24 maintained at a constant humidity to keep the leaf-air VPD at less than 1 kPa throughout all
25 measurements, and pCO_2 was set at 18 or 27 Pa as appropriate.

1 Plants were watered to pot capacity before “dawn” on D1 (14 DAP), allowed to drain,
2 and returned to the growth cabinets. Gas exchange was measured at nine time points: the
3 beginning (8am), midpoint (2pm) and end of the day (8pm) from D1 to D3 (16 DAP). At each
4 time point, measurements were commenced in a different cabinet, and were alternated
5 between the two cabinets within each time point. This avoided confounding time with CO₂
6 treatment, within the approximately 90 min that it took to complete all measurements. On
7 each occasion, the same, most recently expanded leaf was clamped in the leaf chamber and
8 allowed to equilibrate with chamber conditions for ~90s, before measuring leaf gas exchange.
9 The aim of these measurements was to obtain “snapshots” of photosynthesis (A), stomatal
10 conductance (g_s) and intercellular pCO₂ (P_i) under growth conditions, and these were
11 calculated using the equations of von Caemmerer and Farquhar (1981).

12

13 Transpiration and gravimetric soil water content

14 Transpiration and gravimetric soil water content (θ_g) were determined via lysimetry. Plants
15 were watered to pot capacity before “dawn” on D1 17 DAP, allowed to drain, and then
16 weighed (g). Pots were then returned to the controlled environment chambers and reweighed
17 (g) at each of the nine time points detailed for gas exchange measurements. Alongside the
18 plants, empty pots containing only the growth media were placed in the controlled
19 environment chambers to estimate soil evaporation. These were treated in the same way as the
20 plants, being weighed at saturation and the nine measurement occasions. At the end of D3 19
21 DAP, leaf area per plant was measured non-destructively using a ruler. Length \times maximum
22 width was measured for each leaf and used to estimate the total canopy area using an
23 allometric relationship established before the experiment [**Supplementary Information -**
24 **Table S1**]. The daily canopy transpiration of each plant (E_{plant}) was determined as:

$$E_{\text{plant}} = \frac{(\text{pot} + \text{plant weight}) - \text{empty pot weight}}{18}$$

1 (Equation 1),

2 where 18 is the molar mass of water. Similarly, the instantaneous rate of leaf transpiration

3 (E_{leaf}) was calculated as:

$$E_{\text{leaf}} = \frac{(E_{\text{plant}} \div 50400)}{\text{Leaf area}} \times 1000$$

4 (Equation 2),

5 where 50400 is the number of seconds during the light period of 14 hours, and 1000 is the

6 conversion from moles to mmoles.

7 To calculate θ_g , a core of known size was removed from the pots at the end of D3 and

8 this was oven dried at 100°C over 72 hours to a constant weight and the total dry weight of

9 soil per pot was determined. The θ_g for each of the nine points was then calculated as:

$$\theta_g = \frac{M_{\text{wet}} - M_{\text{dry}}}{M_{\text{dry}}}$$

10 (Equation 3),

11 where M_{wet} is the soil fresh weight (g) at each time point, and M_{dry} is the soil dry weight,

12 oven-dried at 100°C over 72 hours to a constant weight.

13

14 Leaf water potential

15 Leaf water potential (Ψ_{leaf}) was measured on detached leaves using a Scholander pressure

16 chamber (Model 1000 Pressure Chamber, PMS Instruments, Corvallis, OR, USA),

17 immediately following lysimetry measurements. Plants were watered to pot capacity before

18 “dawn” on D1, 20 DAP, allowed to drain, and returned to the growth cabinets. Ψ_{leaf} was then

19 measured at the end of D2 (8 pm) and before “dawn” (pre-dawn) on D3, 23 DAP. In the

20 controlled environment chambers, conditions remained constant throughout the day, and we

21 therefore expected the maximum plant water deficit to occur towards the end of the day. Pre-

22 dawn Ψ_{leaf} measurements were used to provide an estimate of soil water potential as the plants

1 returned to equilibrium with soil water during the dark (Tardieu and Simonneau, 1998). Only
2 two water potential measurements were taken, due to the destructive nature of the technique,
3 and small size of the plants (20-40 leaves).

4

5 Biomass

6 Total biomass was determined by a destructive harvest immediately following the completion
7 of water potential measurements (24 DAP). Plants were divided into roots and shoots,
8 washed clean of the growth medium, dried at 70°C for 7 days, and weighed.

9

10 Experimental design and statistical analysis

11 Four species were used for the experiment, with four replicates at each pCO₂ treatment.
12 Statistical analysis was carried out using the computing package R (version 3.0.1, The R
13 Foundation for Statistical Computing), with P = 0.05 as the critical level of significance.
14 Throughout the analysis the species were treated separately. ANOVA (aov) with repeated
15 measure factors was used to analyse the data. For the parameters A, g_s, θ_g and P_i, a three
16 factor mixed design (pCO₂, time and day) with repeated measures on time and day, was used,
17 and for the parameters E_{leaf} and Ψ_{leaf} a two factor design (pCO₂ and day) with repeated
18 measures on day, was employed. The estimable function [(library(gmodels))] was used to
19 apply a contrast matrix to the data, by computing a significance value between the levels of
20 pCO₂ at each time point. The data collected at the final harvest were evaluated using
21 Student's t-test to investigate the effect of pCO₂ on biomass and partitioning.

22

23

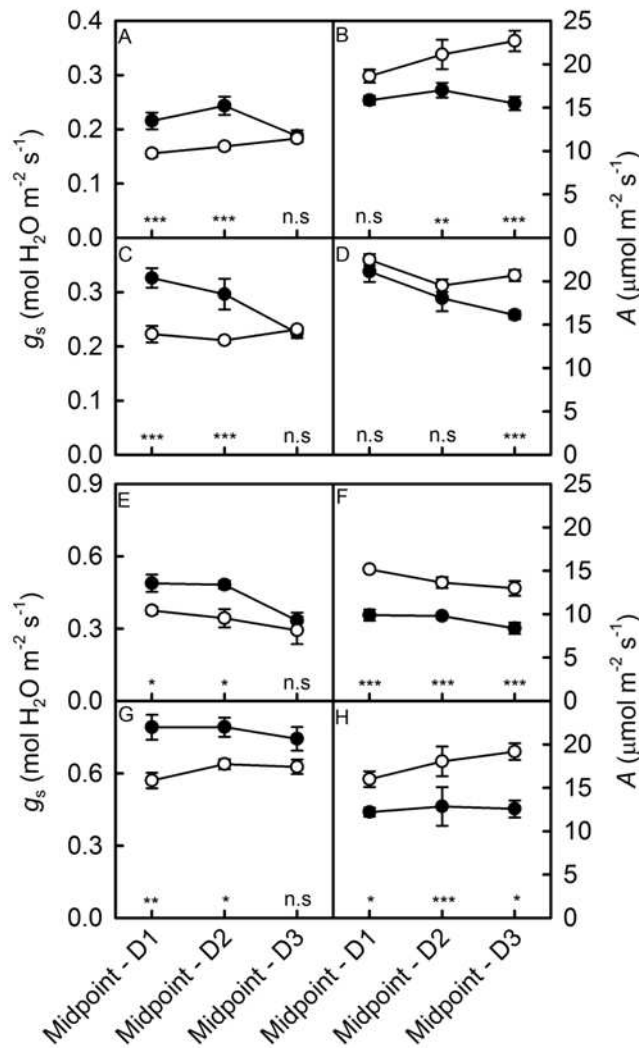
1 RESULTS

2 Stomatal conductance and photosynthesis

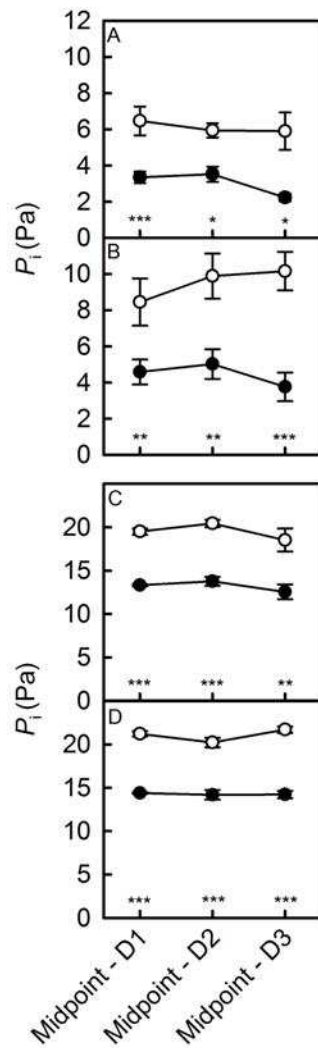
3 At the midpoint of D1 in *P. miliaceum*, g_s was 31% lower under post-glacial pCO_2 than
4 glacial pCO_2 (Fig. 1A). This difference in g_s between pCO_2 levels was maintained for D2, but
5 then declined in glacial pCO_2 and, by the midpoint of D3, was not significantly different from
6 the value in post-glacial pCO_2 (Fig. 1A). This strong decline in g_s under 18 Pa pCO_2 is
7 supported by a significant interaction between pCO_2 and day ($F_{2,62} = 8.8, <.001$).
8 Furthermore, g_s showed a steeper decline at the end of each day, at the 'pm' measurements,
9 where, on all days, there was no significant difference in g_s between pCO_2 levels [**Fig. S1 –**
10 **Supplementary information**]

11 Photosynthesis was impacted directly by the growth pCO_2 in *P. miliaceum* ($F_{1,64} =$
12 $59.8, <.001$) but also indirectly via the faster decline in g_s at 18 Pa, which fed back to limit A
13 (Fig. 1B). At the midpoint of D1, A was equal between the pCO_2 levels. However, by D2 A
14 differed, and by the midpoint of D3 A was 46% greater at post-glacial than at glacial pCO_2
15 (Fig. 1B). This change led to a significant interaction between pCO_2 and day ($F_{1,64} = 5.7,$
16 $<.001$). P_i appeared to track g_s , decreasing from D1 to D3 at 18 Pa (Fig. 2A, $F_{2,66} = 3.9, <.05$),
17 with a minimum P_i always being reached at 'pm' on each day [**Fig. S2 – Supplementary**
18 **information**]. This decline in P_i is not significantly greater in the glacial than post-glacial
19 treatment, but P_i is lower overall at glacial pCO_2 ($F_{1,66} = 89.7, <.001$), meaning that the same
20 decline in P_i is more limiting for A in this environment than in plants grown at post-glacial
21 pCO_2 .

22 Values of g_s in the second C_4 species, *S. viridis*, showed a very similar pattern to those
23 of *P. miliaceum* (Fig. 1C). At the midpoint of D1, g_s was lower at 27 Pa in comparison to 18
24 Pa pCO_2 . However, g_s declined by a greater extent at glacial pCO_2 ($F_{2,64} = 6.6, <.01$), and by
25 D3 g_s was not significantly different between the two levels of pCO_2 (Fig.1C). Higher pCO_2



1
2 **Figure 1.** Changes in stomatal conductance [g_s] (A, C, E & G) and photosynthesis [(A) B, D,
3 F & H] at the midpoint of each day over a three-day drying cycle (D1-D3) in two C_4 crop
4 progenitors: (A & B) *P. miliaceum* and (C & D) *S. viridis*, and two C_3 crop progenitors: (E &
5 F) *H. spontaneum* and (G & H) *T. boeoticum* grown at pCO_2 levels of 18 Pa (closed symbols)
6 and 27 Pa (open symbols). Data are means $\pm\text{SE}$ of four replicates. Significance codes are
7 ***= <0.001 , **= <0.01 and *= <0.05 , n.s. = not significant. Stomatal conductance is set to a
8 different scale for the C_3 and C_4 species. See Supplementary Information [Fig. S1 –
9 **Supplementary information**] for measurements taken at nine time points (am, midpoint and
10 pm) over the three days.



1
2 **Figure 2.** Changes in intercellular pCO₂ (P_i) at the midpoint of each day over a three-day
3 drying cycle (D1-D3), in (A) *P. miliaceum*, (B) *S. viridis*, (C) *H. spontaneum* and (D) *T.*
4 *boeoticum* grown at pCO₂ levels of 18 Pa (closed symbols) and 27 Pa (open symbols). Data
5 are means ±SE of four replicates. Significance codes are ***=<0.001, **=<0.01 and *=<0.0,
6 n.s = not significant. Values of P_i are set to a different scale for the C₃ and C₄ species. See
7 Supplementary Information [**Fig. S2 – Supplementary information**] for measurements taken
8 at nine time points (am, midpoint and pm) over the three days.

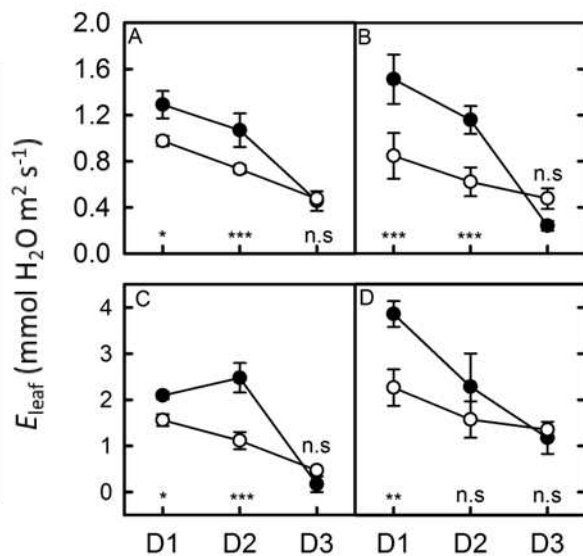
9

1 led to greater A overall ($F_{1,62} = 26.9, <.001$) but the effect was not seen on D1, and only
2 became significant on D2 during the ‘am’ and ‘pm’ measurements (Fig. 1D, **Fig. S1 –**
3 **Supplementary information**). Mirroring the response of g_s , the decrease in A was more
4 pronounced at 18 Pa compared to 27 Pa pCO_2 ($F_{1,62} = 3.8, <.05$). P_i was lower at 18 Pa pCO_2
5 compared to 27 Pa pCO_2 ($F_{1,66} = 99.8, <.001$). Although P_i looked to decline at the midpoint
6 of D3 at glacial pCO_2 , there was no significant interaction between $pCO_2 \times D$.

7 Measurements of g_s were significantly greater at 18 Pa pCO_2 for the C_3 species, H.
8 spontaneum (Fig. 1E, $F_{1,64} = 11.7, <.01$). At the midpoint of D1, g_s was 23% lower at post-
9 glacial pCO_2 , g_s then declined from D1 to D3; with the decline being greater under glacial
10 pCO_2 (Fig. 1E, $F_{1,64} = 5.8, <.01$). There was a strong direct effect of pCO_2 on A (Fig. 1F, $F_{1,62}$
11 $= 260.1, <.001$), but the indirect effect mediated via the reduction in g_s was less clear.
12 Photosynthesis declined marginally from D1 to D3 under both pCO_2 levels; and the decline
13 was not significantly greater at glacial compared to post-glacial pCO_2 (Fig. 1F). Similarly, P_i
14 was strongly affected by pCO_2 (Fig. 2C, $F_{1,64} = 361.4, <.001$) and showed a small decline on
15 D3, particularly at the ‘pm’ measurement [**Fig. S2 – Supplementary information**] however
16 the reduction in P_i was no more rapid at 18 Pa pCO_2 .

17 In the second C_3 species, *T. boeoticum*, g_s was 38% greater in glacial pCO_2 at the
18 midpoint of D1, but by the midpoint of D3 the difference between pCO_2 levels had declined
19 to 18% and was not significant (Fig. 1G). However, the decline of g_s at 18 Pa was not strong
20 and the interaction between pCO_2 and day was not significant. The 18 Pa pCO_2 level did
21 however, show an end-of-day decline at the ‘pm’ measurement which became stronger from
22 D1 to D3 [**Fig. S1 – Supplementary information**]. Photosynthesis was significantly lower at
23 glacial pCO_2 , and showed little change at each pCO_2 between D1 and D3 (Fig. 1H, $F_{1,68} =$
24 $70.3, <.001$). At glacial pCO_2 , A fluctuated marginally until the end of D3, when it dropped to
25 $8.7 \mu\text{mol m}^{-2}\text{s}^{-1}$, and this corresponded with the greatest decrease in g_s [**Fig. S1 –**

1 **Supplementary information]**. Consistent with these results, values of P_i showed no overall
2 decline in either pCO_2 (Fig. 2D).
3
4 Transpiration
5 Independent measurements of in situ water-loss per unit leaf area, calculated using lysimetry,
6 were consistent with the observed relationship between pCO_2 and g_s (Fig. 1 & Fig. 3). In *P.*
7 *miliaceum* and *S. viridis*, E_{leaf} was lower at post glacial pCO_2 on D1 by 25% and 43%
8 respectively, but a steeper decline in E_{leaf} at glacial pCO_2 meant that, by D3, there was no
9 difference between the pCO_2 levels (Fig 3A & B). As a result, there was a significant
10 interaction between pCO_2 and day for both *P. miliaceum* ($F_{2,18} = 6.1, <.01$) and *S. viridis* ($F_{2,18}$
11 $= 18.4, <.001$). Similarly, in the C_3 species *H. spontaneum*, E_{leaf} was 25% lower at post-
12 glacial pCO_2 on D1 yet, by D3, there was no significant difference between the pCO_2 levels
13 and this was due to E_{leaf} declining more rapidly under glacial than post-glacial pCO_2 (Fig. 3C,
14 $F_{2,18} = 12.8 <.001$). For the second C_3 species *T. boeoticum*, although E_{leaf} was 41% lower at
15 post-glacial than glacial pCO_2 on D1, and by D2 and D3 the difference had diminished, there
16 was no significant interaction between $pCO_2 \times D$ (Fig. 3D).



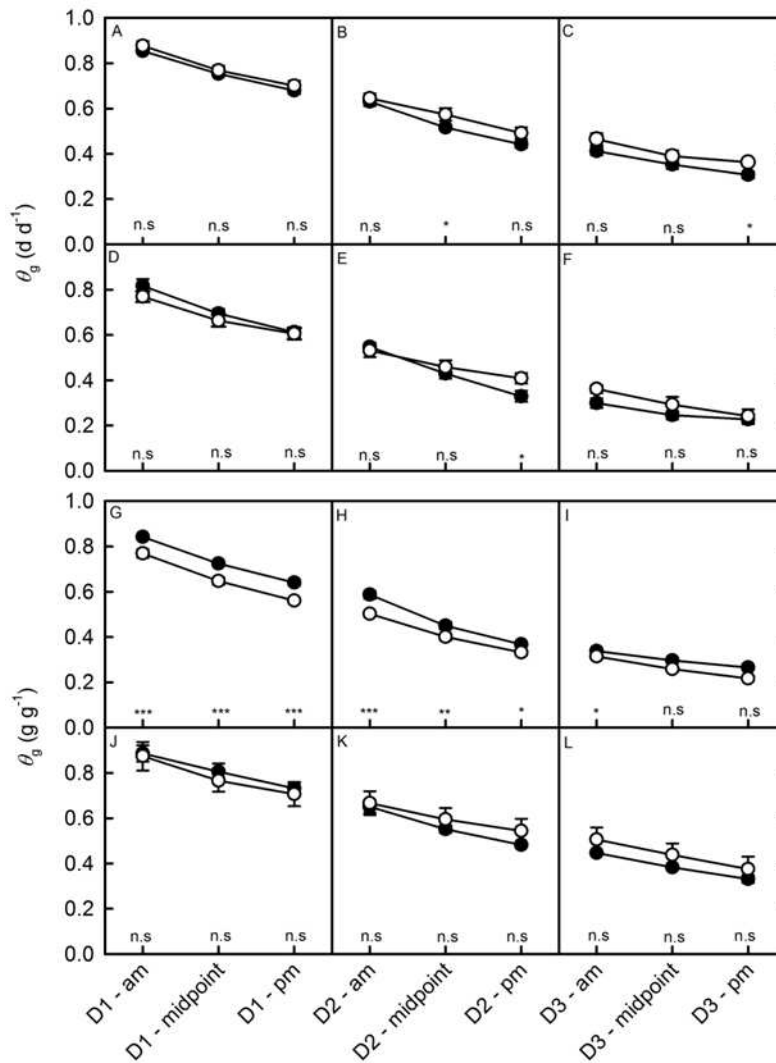
1 **Figure 3.** Changes in daily transpiration at the leaf scale (E_{leaf}) in the C_4 species (A) *P.*
2 *miliaceum* and (B) *S. viridis* and the C_3 species (C) *H. spontaneum* and (D) *T. boeoticum*, over
3 a three-day drying cycle (D1-D3). Plants were grown at $p\text{CO}_2$ of 18 Pa (closed symbols) and
4 27 Pa (open symbols). Data are means \pm SE of four replicates. Significance codes are
5 ***= <0.001 , **= <0.01 and *= <0.05 , n.s = not significant. Values of E_{leaf} are set to a different
6 scale for the C_3 and C_4 species.

7

8 Soil water content and leaf water potential

9 Measurements of θ_g and Ψ_{leaf} were made to investigate the potential mechanisms
10 underpinning the reductions in g_s and E_{leaf} . The value of θ_g was marginally higher by D3 in
11 post-glacial than glacial $p\text{CO}_2$ (Fig. 4). However, significant effects of $p\text{CO}_2$ were seen at
12 only a few time points in each species and were due to a marginally faster decline in θ_g at
13 glacial compared to post glacial $p\text{CO}_2$ (Fig. 4). This led to a significant interaction between
14 $p\text{CO}_2$ and day in *S. viridis* ($F_{2,66} = 2.8 <.05$) and *H. spontaneum* ($F_{2,60} = 3.9 <.05$) only.

15 The end-of-day Ψ_{leaf} (D2-pm) was significantly affected by $p\text{CO}_2$, and this effect was
16 strongest in the two C_4 species, where Ψ_{leaf} was 71% less negative in *P. miliaceum* and 41%
17 less negative in *S. viridis* at the post-glacial $p\text{CO}_2$ level (Fig. 5A & B). In the C_3 species, only
18 *T. boeoticum* showed a significant difference in Ψ_{leaf} (D2-pm), with a 27% less negative value
19 in 27 Pa $p\text{CO}_2$ (Fig. 5C & D). The pre-dawn Ψ_{leaf} showed a small difference between glacial
20 and post-glacial $p\text{CO}_2$ levels. Pre-dawn Ψ_{leaf} was 32% less negative in *P. miliaceum* and 24%
21 less negative in *S. viridis* at post-glacial compared to glacial $p\text{CO}_2$, and the difference was
22 only significant in *P. miliaceum* (Fig. 5A & B). In the C_3 species, only *T. boeoticum* showed
23 a significant difference in pre-dawn Ψ_{leaf} , which was 23% less negative at the post-glacial
24 $p\text{CO}_2$ level (Fig. 5C & D).

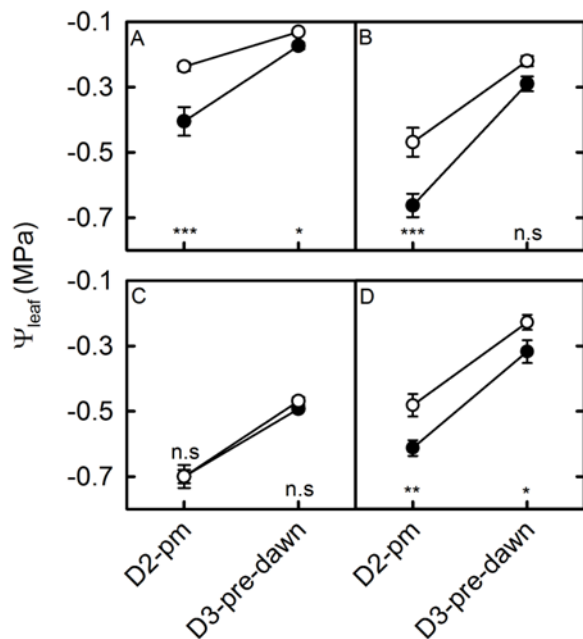


1

2 **Figure 4.** Changes in gravimetric soil water content (θ_g) over a three-day drying cycle (D1-D3) in two
 3 C_4 crop progenitors: (A-C) *P. miliaceum* and (D-F) *S. viridis*, and two C_3 crop progenitors: (G-I) *H.*
 4 *spontaneum* and (J-L) *T. boeoticum* grown at pCO_2 levels of 18 Pa (closed symbols) and 27 Pa (open
 5 symbols). Measurements were taken at 3 time points (am, midpoint and pm) over the 3 days. Data are
 6 means \pm SE of four replicates. Significance codes are ***= <0.001 , **= <0.01 and *= <0.05 , n.s = not
 7 significant. NB *H. spontaneum* likely had a significantly lower θ_g at the post-glacial level of pCO_2 on
 8 D1 because of a larger rooting. This may be introducing an error into the calculation of θ_g , since roots
 9 displace water but are less dense than soil.

10

1 The difference between daytime and pre-dawn leaf water potential ($\Delta\Psi$) provides an
 2 estimate of the soil-leaf water potential gradient, and the balance between the demand for H₂O
 3 by leaf transpiration and the supply of water from the soil. The two C₃ species showed no
 4 difference in $\Delta\Psi$ between glacial and post-glacial pCO₂, as illustrated by the equal slope of
 5 the line joining the two water potential measurements (Fig. 5C & D). However, both P.
 6 miliaceum and S. viridis showed an increase in $\Delta\Psi$ at 18 Pa, shown by the steeper slope in
 7 comparison to 27 Pa (Fig. 5C & D). This was supported by a significant interaction between
 8 pCO₂ and day in P. miliaceum ($F_{1,12} = 7.0 <.05$; the p-value for the interaction was 0.08 for S.
 9 viridis).



10
 11 **Figure 5.** Changes in leaf water potential (Ψ_{leaf}) in the C₄ species (A) *P. miliaceum* and (B)
 12 *S. viridis* and the C₃ species (C) *H. spontaneum* and (D) *T. boeoticum*, over a three-day drying
 13 cycle (D1-D3), grown at pCO₂ of 18 Pa (closed symbols) and 27 Pa (open symbols). Due to
 14 the destructive nature of the method, measurements were taken only at two time points, at the
 15 end of day 2 (D2-pm) and before “dawn” on day 3 (D3-pre-dawn). Data are means \pm SE of

1 four replicates. Significance codes are ***= <0.001 , **= <0.01 and *= <0.05 , n.s = not
 2 significant.

3

4 **Biomass and partitioning**

5 Total vegetative biomass and leaf area were higher at post-glacial than glacial pCO₂, the effect
 6 size being greater in the C₃ than the C₄ species. Partitioning between roots and shoots was also
 7 altered by changes in pCO₂; at post-glacial levels more biomass was partitioned to roots in
 8 comparison to leaves. These data showed qualitative agreement with previous results from
 9 Cunniff et al. (2008) and are presented in Table 1.

10

Species	Type	pCO ₂	Variable ± SE		
			Total biomass (g)	Leaf area (m ²)	Root: shoot ratio
P. miliaceum	C ₄	18	24.5 ± 0.62 ^a	0.122 ± 0.0028 ^a	0.48 ± 0.019 ^a
		27	32.2 ± 1.41 ^b	0.147 ± 0.0044 ^b	0.86 ± 0.098 ^b
S. viridis	C ₄	18	26.3 ± 0.92 ^a	0.094 ± 0.0025 ^a	0.26 ± 0.040 ^a
		27	34.1 ± 1.04 ^b	0.133 ± 0.0115 ^b	0.35 ± 0.011 ^a
H. spontaneum	C ₃	18	12.6 ± 0.52 ^a	0.074 ± 0.0015 ^a	0.69 ± 0.028 ^a
		27	24.9 ± 1.25 ^b	0.111 ± 0.0057 ^b	0.91 ± 0.024 ^b
T. boeoticum	C ₃	18	7.5 ± 0.19 ^a	0.026 ± 0.0004 ^a	0.62 ± 0.043 ^a
		27	13.9 ± 0.99 ^b	0.036 ± 0.0013 ^b	0.82 ± 0.085 ^a

11 **Table 1.** Values of total biomass, leaf area and root: shoot ratio for the C₄ and C₃ species.
 12 Values are means ±SE of four replicates. Different letters indicate statistically significant
 13 differences at p <0.01.

14

15 **DISCUSSION**

16 Declining g_s at glacial pCO₂ limits carbon fixation

17 The data are consistent with a mechanism whereby higher g_s at the glacial than post-glacial
 18 pCO₂ led to a decrease in plant water status which limited A. In three of the species, a more
 19 pronounced decrease in g_s was associated with reduced plant water status at glacial pCO₂, and
 20 there was some evidence that this also restricted the diffusion of CO₂ into the leaf. The

1 feedback on A was evident both at the end of the day and towards the end of the drying cycle
2 between watering events.

3 When mild water deficits develop, stomatal closure is one of the first leaf responses,
4 followed by a decline in P_i and a down-regulation of photosynthetic capacity to match the
5 available carbon substrate (Chaves et al., 2002, Brodribb, 1996, Lawlor and Cornic, 2002). In
6 both C_4 species grown at glacial pCO_2 , A declined alongside changes in g_s , suggesting that
7 stomatal closure had brought P_i down to the steep initial slope of the A/P_i curve, where C_4
8 photosynthesis becomes highly sensitive to changes in pCO_2 (Samarakoon and Gifford, 1996,
9 Samarakoon and Gifford, 1995). Values of P_i at glacial pCO_2 in *P. miliaceum* and *S. viridis*
10 had declined to 2.2 Pa and 3.2 Pa respectively by the end of D3. These values represent only
11 a small decline from those experienced on D1, but previous work with these species has
12 shown that A is strongly limited by these values of P_i (Cunniff et al., 2008). Furthermore,
13 measurements of A/P_i on well watered plants demonstrated that the operating point of A was
14 on or below the inflexion point of the A/P_i curve so small changes in P_i (like those shown in
15 this study) could cause significant changes in A in both C_4 species (Cunniff et al., 2008).
16 Although P_i also declined through the drying cycle in the plants grown at post-glacial pCO_2 ,
17 and often by a similar amount to plants grown at glacial pCO_2 , values were still 5.5 Pa in *P.*
18 *miliaceum* and 8 Pa in *S. viridis* at the end of D3 which are sufficient to achieve saturating
19 levels of A in these species (Cunniff et al., 2008). This pattern of stomatal response was
20 stronger in *P. miliaceum* than *S. viridis*, which is consistent with the previous observation that
21 A saturates at much lower levels of pCO_2 in *S. viridis* (Cunniff et al., 2008).

22 The changes in P_i were not large in the C_4 species and changed little in the C_3 species
23 over the three days in comparison to the strong interaction seen between g_s and pCO_2 . Wong
24 et al.(1979) showed that P_i remained constant whilst A and g_s varied together, and that
25 stomatal aperture is determined by the capacity of the mesophyll tissue to fix carbon. Our

1 results could therefore be a reflection of direct effects of leaf water status on A mediated via
2 non-stomatal (metabolic) limitations that are independent of P_i (Lawlor & Tezara, 2009).
3 Furthermore, it has been demonstrated that C_4 plants are sensitive to non-stomatal limitations
4 mediated via plant water status, and the effect was greater than in C_3 leaves (Ripley et al.,
5 2007, Taylor et al., 2011). Alternatively the variance introduced into the calculation of P_i from
6 both H_2O and CO_2 flux measurements could have diminished the power of statistical analyses
7 for P_i .

8 In the C_3 species, evidence for a feedback on A mediated via plant water relations was
9 more limited. Values of g_s and A did decrease more rapidly between D1 and D3 at glacial
10 pCO_2 . However, P_i showed little change until the end of D3 when it declined marginally to
11 9.9 Pa in *H. spontaneum* and 12 Pa in *T. boeoticum* under glacial pCO_2 . The decreases in A
12 expected to accompany these reductions in P_i are also much smaller in C_3 than C_4 species;
13 since the initial slope of the photosynthetic response is shallower, and much larger decreases
14 in P_i are required to produce comparable changes in A . Therefore, increases in biomass
15 between 18 Pa and 27 Pa pCO_2 seen in these two C_3 species were more likely related to the
16 direct effects of pCO_2 on A rather than the indirect effects of pCO_2 mediated via g_s .

17

18 Decreases in g_s at glacial pCO_2 may be related to reduced plant and soil water status.

19 The opening and closing of stomata are determined by the mechanical properties of the guard
20 cells and the epidermal cells with which they interact (Franks et al., 1998). Models of
21 stomatal function consider the hydration of epidermal cells an important control mechanism
22 (e.g. Buckley et al., 2003, Gao et al., 2002, Dewar, 2002), and more recently Peak and Mott
23 (2011) have linked stomatal movement to guard cell equilibration with water vapour in the air
24 at the base of the stomatal pore. Plant hydraulic conductances and transpirational flux are

1 major components of these models and therefore represent an important component of the
2 stomatal control mechanism, with considerable influence on A (Franks, 2003).

3 Our study provided evidence that plant water status may be controlling the response of
4 stomata over the three-day interval between watering events. Values of Ψ_{leaf} were
5 significantly more negative at glacial $p\text{CO}_2$ in both the C_4 species and in *T. boeoticum* (C_3),
6 yet Ψ_{leaf} was restored at night, and pre-dawn Ψ_{leaf} showed only a small difference between
7 $p\text{CO}_2$ levels. As a consequence, the inferred water potential gradient from root to shoot ($\Delta\Psi$)
8 was larger under glacial $p\text{CO}_2$. These patterns suggest that the supply of water to the leaf was
9 insufficient to meet the demands of transpiration, leading to lower daytime Ψ_{leaf} and then
10 stomatal closure in the leaf, which fed back to limit A.

11 The reduced plant water status found in the plants growing at sub-ambient $p\text{CO}_2$ has
12 analogies with the physiological changes seen in plants growing under conditions of raised
13 VPD (e.g. Franks and Farquhar, 1999, Brodribb and Jordan, 2008, Bunce, 2006). When the
14 rate of water loss is increased by raising VPD, leaf turgor and stomatal aperture both decline.
15 This response limits transpiration rates and also CO_2 supply to the mesophyll which, in turn,
16 can reduce A (Bunce, 2006). Photosynthesis is also very sensitive to the direct effects of leaf
17 water deficits measured as more negative Ψ_{leaf} (Lawlor and Tezara, 2009). Therefore,
18 improvements in Ψ_{leaf} at post-glacial compared to glacial $p\text{CO}_2$ in this study indicate that
19 plant water status was more favourable for carbon assimilation, and are consistent with
20 studies finding that leaf Ψ_{leaf} and A are improved under elevated $p\text{CO}_2$ in both C_3 and C_4
21 plants under water deficits (Wall et al., 2001, Wall, 2001, Robredo et al., 2006, LeCain et al.,
22 2003).

23 High $p\text{CO}_2$ can either increase, decrease, or have no effect on soil water status,
24 depending on the relative strength of leaf area and stomatal responses to high $p\text{CO}_2$ in the
25 species involved (Samarakoon and Gifford, 1995). Although the depletion of soil water in our

1 study was generally slower at post-glacial pCO₂, significant differences were only seen at a
2 few time points, suggesting only a modest effect; this interpretation was supported by pre-
3 dawn Ψ_{leaf} , which showed minor differences between pCO₂ levels. These small observed
4 effects on soil moisture may have been due partially to the large CO₂-induced increases
5 observed in leaf area, especially in the C₃ species, which counterbalanced reduced E_{leaf}
6 (Chaudhuri et al., 1986). Leaf area increased by 21-50 % under the post-glacial regime for
7 the four species tested, providing a substantial area for extra water loss. However, the θ_g
8 provides an explanation for the limited evidence of a hydraulic feedback in *T. boeoticum*. *T.*
9 *boeoticum* had a much smaller mass and leaf area than the other species in this experiment,
10 and θ_g therefore declined by a lesser amount. Soil water may therefore have been sufficient at
11 both pCO₂ levels to maintain g_s over the full three-day drying cycle.

12 The results of this study showed important points of difference with our three
13 hypotheses. In agreement with hypothesis one, the g_s was greater at glacial pCO₂. However,
14 this was linked to reduced plant water status, with only marginal differences in soil water
15 content. Furthermore, although g_s and A declined more rapidly over the three-day soil drying
16 cycle at glacial compared to post-glacial pCO₂, as predicted in hypothesis two, effects
17 appeared to be more related to reduced plant water status than declining soil water content.
18 The substantial increase in leaf area found in plants grown at post-glacial pCO₂ could be
19 responsible for the small differences between SWC in the two pCO₂ regimes. Hypothesis
20 three suggested that water deficits would affect A to a similar extent in C₃ and C₄ crop plants
21 as predicted in other studies. However, our work showed some evidence of stronger effects in
22 the C₄ species, which we attribute to the steeper response of A to P_i at low pCO₂ levels or a
23 result of non-stomatal, metabolic limitations of plant water status on A .

24 These conclusions must be tempered by two caveats. First, logistic constraints meant
25 that we investigated leaf gas exchange, plant transpiration, and water relations during

1 successive drying cycles. This means that we cannot exclude the possibility of carry-over
2 effects from one cycle to the next, or ontogenetic effects as the plants developed (Harb et al.,
3 2010, Walter et al., 2011). However, the patterns observed from one cycle to the next were
4 internally consistent overall, and consistent with mechanisms of CO₂ x water interactions
5 observed previously (Wall et al., 2001, Conley et al., 2001, Leakey et al., 2004, Leakey et al.,
6 2006, Leakey, 2009). Secondly, since our interest was in how physiological characteristics
7 vary over a short experimental watering cycle, we investigated changes over time and did not
8 use a control on a shorter watering cycle.

9

10 Implications for the origin of agriculture

11 Large improvements in the water status of the wild progenitors of cereal crops caused by
12 rising atmospheric pCO₂ levels during deglaciation would be particularly beneficial in the
13 climatic regions where these plants were first cultivated. The C₄ species *S. viridis* and *P.*
14 *miliaceum* were domesticated in North China, where the climate was arid, winters dry and
15 cold, and summer rains unreliable (Yu et al., 2000). The C₃ species were also domesticated
16 in dry climates. *T. boeoticum* currently colonises regions in western Asia which receive only
17 300-350 mm of rain per year (Willcox, 2005), and *H. spontaneum* is a major component of
18 the semi-arid grasslands of the Middle East (Grünzweig and Körner, 2000), and found in
19 areas with just 200-250 mm of yearly rainfall (Willcox, 2005). A detailed appraisal of climate
20 change in western Asia from 25,000 to 5,000 years ago by Robinson et al. (2006) using data
21 from both general circulation models and numerous geological climate proxies shows that, in
22 general, the sources are in agreement. The last glacial maximum (LGM) [23,000-19,000
23 calendar years before present (cal yrs BP)] was colder (in some records predicted to be 5°C
24 less) and more arid (with some sources predicting 50% less rainfall). Apart from a brief

1 climatic reversal at 12,700-11,500 cal yrs BP (the Younger Dryas) conditions began to
2 ameliorate from 15,000 cal yrs BP onwards, becoming both warmer and wetter.

3 Precipitation was not only regionally but globally lower, as evidenced by higher dust
4 fluxes in ice cores during glacial maxima compared to interglacials. It is predicted that the
5 strength of the hydrological cycle in the late Pleistocene was about half of that at present
6 (Yung et al., 1996). Deglaciation is therefore likely to have improved the water status of wild
7 plants, including crop progenitors, via two routes. First, through an intensification of the
8 hydrological cycle and an increase in the frequency and amount of rainfall. Secondly, via the
9 indirect mechanism outlined in this paper, whereby rising pCO₂ caused a reduction in
10 stomatal aperture and alleviated plant water deficits.

11 Enhanced productivity due to both the direct and indirect effects of pCO₂ would have
12 increased both the yield of crop progenitors, and its interannual reliability. Following from
13 this, the increasingly stable food base and reliable climate are hypothesized to have increased
14 the carrying capacity of the environment, and promoted sedentism, leading to population
15 growth (Cohen, 1977). More intensive exploitation of local resources could, in-turn, have led
16 to specialisation on a limited number of preferred plant species and the development of
17 cultivation practices (Sage, 1995). The isolation of a limited number of species from their
18 natural environment via cultivation would have allowed the selection of attributes favourable
19 to human use (both consciously and unconsciously), leading to their eventual domestication.

20

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3

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1 **APPENDIX**

2 A, net leaf photosynthetic CO₂-assimilation rate ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$); Cal yrs BP, calendar
3 years before present; DAP, days after planting; E, instantaneous rate of leaf transpiration
4 ($\text{mmol H}_2\text{O m}^{-2} \text{ s}^{-1}$); E_{leaf}, leaf transpiration ($\text{mmol H}_2\text{O m}^{-2} \text{ s}^{-1}$); E_{plant}, daily canopy
5 transpiration ($\text{mol H}_2\text{O plant}^{-1} \text{ d}^{-1}$); g_s, leaf stomatal conductance to H₂O vapour ($\text{mol m}^{-2} \text{ s}^{-1}$);
6 m_{dry}, soil dry weight (g); m_{wet}, soil fresh weight (g) pCO₂, atmospheric partial pressure of CO₂
7 (Pa); P_i, intercellular pCO₂ (Pa); PPFD, photosynthetic photon flux density ($\mu\text{mol m}^{-2} \text{ s}^{-1}$);
8 SLA, specific leaf area ($\text{m}^2 \text{ g}^{-1}$); VPD, vapour pressure deficit (kPa); Ψ_{leaf} , leaf water potential
9 (MPa); θ_g , gravimetric soil water content (g g^{-1}).

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11

12 **Supplementary information**

13

14 **Table S1.** Regression and R² values for the allometric relationship between leaf length ×
15 maximum leaf width for *H. spontaneum*, *T. boeoticum*, *P. miliaceum*, and *S. viridis*.

Species	Regression	R ²
<i>H. spontaneum</i>	y = 0.6824x+1.4316	0.9867
<i>T. boeoticum</i>	y = 0.8362x-0.1681	0.9787
<i>P. miliaceum</i>	y = 0.7492x-0.2826	0.9941
<i>S. viridis</i>	y = 0.0426x+13148	0.9756

16

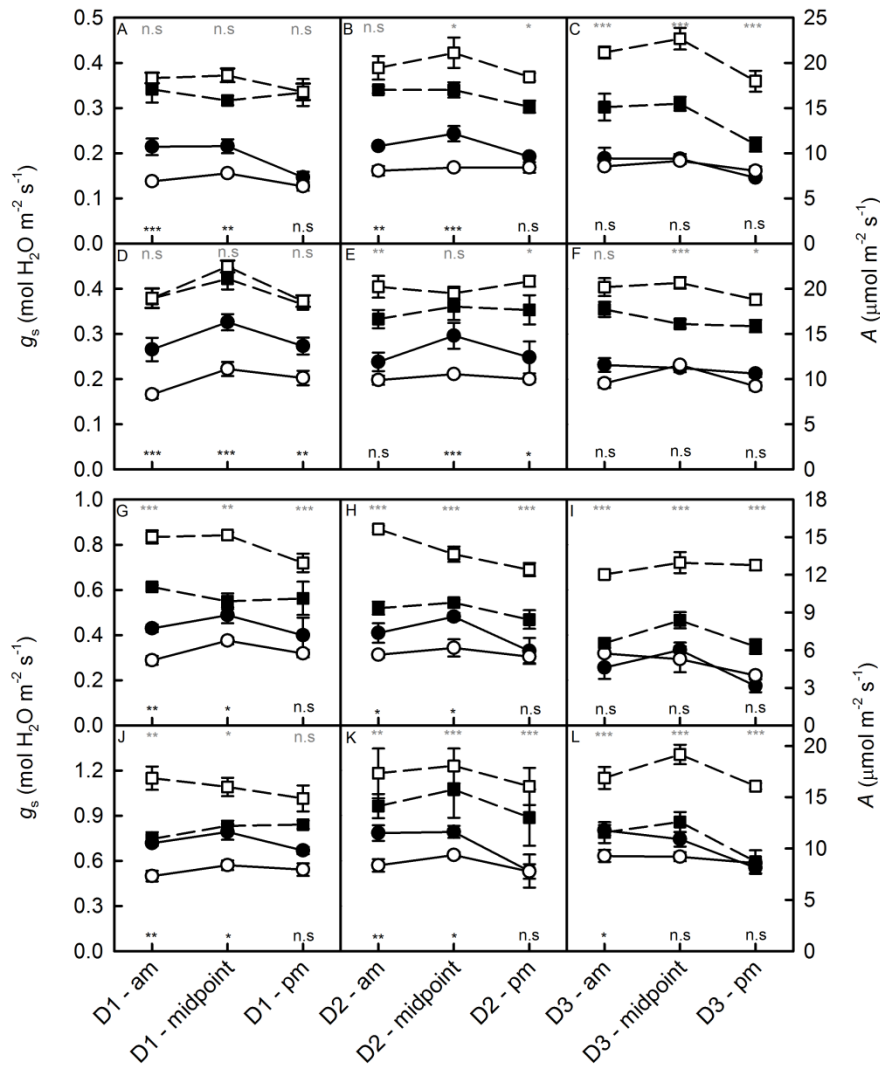
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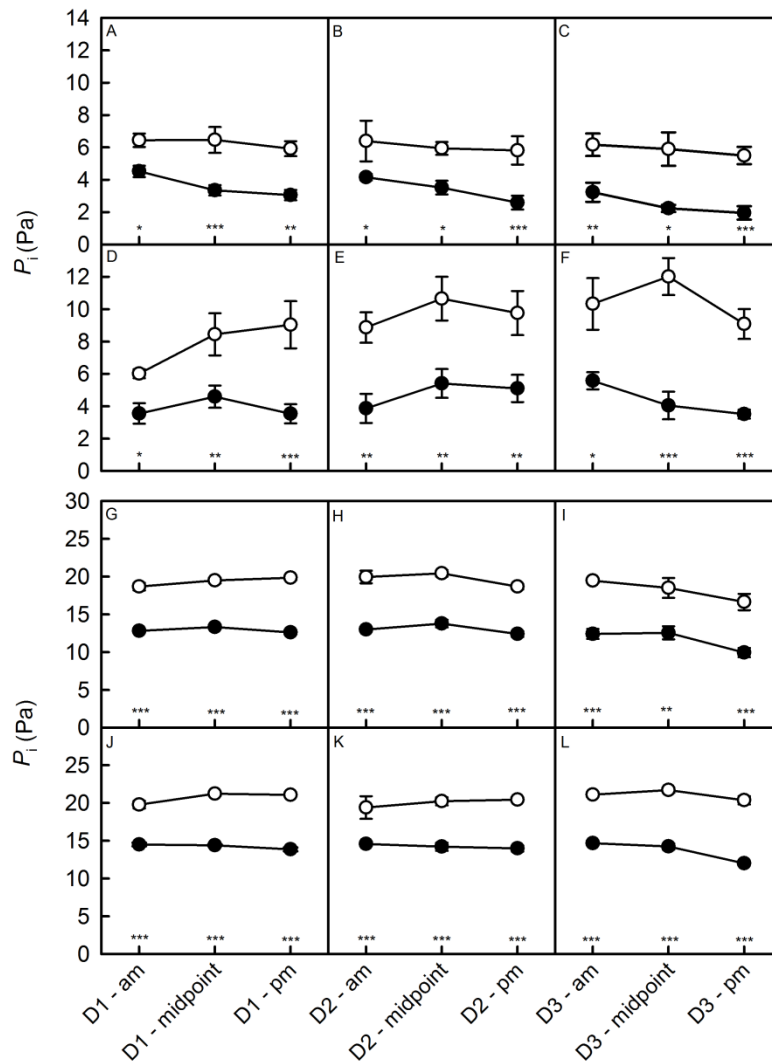
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2 **Figure S1.** Changes in stomatal conductance (g_s ; circles) and photosynthesis (A ; squares) over
3 a three-day drying cycle (D1-D3) in two C_4 crop progenitors: (A-C) *P. miliaceum* and (D-F)
4 *S. viridis*, and two C_3 crop progenitors: (G-I) *H. spontaneum* and (J-L) *T. boeoticum* grown at
5 pCO_2 levels of 18 Pa (closed symbols) and 27 Pa (open symbols). Measurements were taken
6 at 3 time points (am, midpoint and pm) over the 3 days. Data are means \pm SE of four
7 replicates. Significance codes are ***= <0.001 , **= <0.01 and *= <0.05 , n.s = not significant
8 and are black for g_s and grey for A . Values of A and g_s are set to a different scale for each
9 species.

10



1
2 **Figure S2.** Changes in intercellular pCO₂ (P_i) over a three-day drying cycle (D1-D3), in (A-C)
3 *P. miliaceum*, (D-F) *S. viridis*, (G-I) *H. spontaneum* and (J-L) *T. boeoticum* grown at pCO₂
4 levels of 18 Pa (closed symbols) and 27 Pa (open symbols). Measurements were taken at 3
5 time points (am, midpoint and pm) over the 3 days. Data are means ±SE of four replicates.
6 Significance codes are ***=<0.001, **=<0.01 and *=<0.0, n.s = not significant. Values of P_i
7 are set to a different scale for the C₃ and C₄ species.

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