

Original citation:

Boger, E., Evans, N. D., Chappell, M. J. (Michael J.), Lundqvist, A., Ewing, P., Wigenborg, A. and Friden, M.. (2016) Systems pharmacology approach for prediction of pulmonary and systemic pharmacokinetics and receptor occupancy of inhaled drugs. *CPT : Pharmacometrics & Systems Pharmacology*, 5 (4). pp. 201-210.

Permanent WRAP URL:

<http://wrap.warwick.ac.uk/81380>

Copyright and reuse:

The Warwick Research Archive Portal (WRAP) makes this work of researchers of the University of Warwick available open access under the following conditions.

This article is made available under the Attribution-NonCommercial-NoDerivatives 4.0 (CC BY-NC-ND 4.0) license and may be reused according to the conditions of the license. For more details see: <http://creativecommons.org/licenses/by-nc-nd/4.0/>

A note on versions:

The version presented in WRAP is the published version, or, version of record, and may be cited as it appears here.

For more information, please contact the WRAP Team at: wrap@warwick.ac.uk

ORIGINAL ARTICLE

Systems Pharmacology Approach for Prediction of Pulmonary and Systemic Pharmacokinetics and Receptor Occupancy of Inhaled Drugs

E Boger^{1,2*}, N Evans², M Chappell², A Lundqvist¹, P Ewing¹, A Wigenborg¹ and M Fridén^{1,3}

Pulmonary drug disposition after inhalation is complex involving mechanisms, such as regional drug deposition, dissolution, and mucociliary clearance. This study aimed to develop a systems pharmacology approach to mechanistically describe lung disposition in rats and thereby provide an integrated understanding of the system. When drug- and formulation-specific properties for the poorly soluble drug fluticasone propionate were fed into the model, it proved predictive of the pharmacokinetics and receptor occupancy after intravenous administration and nose-only inhalation. As the model clearly distinguishes among drug-specific, formulation-specific, and system-specific properties, it was possible to identify key determinants of pulmonary selectivity of receptor occupancy of inhaled drugs: slow particle dissolution and slow drug-receptor dissociation. Hence, it enables assessment of factors for lung targeting, including molecular properties, formulation, as well as the physiology of the animal species, thereby providing a general framework for rational drug design and facilitated translation of lung targeting from animal to man.

CPT Pharmacometrics Syst. Pharmacol. (2016) 5, 201–210; doi:10.1002/psp4.12074; published online 14 April 2016.

Study Highlights

WHAT IS THE CURRENT KNOWLEDGE ON THE TOPIC? Several drug and formulation properties are held as individually effective for achieving lung-selectivity. However, simple empirical inhalation PK-models do not allow for evaluation of the net effect of property combinations or for translation of inhaled drug pharmacology. • WHAT QUESTION DID THIS STUDY ADDRESS? What combinations of drug and formulation properties result in lung-selective receptor occupancy, given the physiology of the test species?. • WHAT THIS STUDY ADDS TO OUR KNOWLEDGE A mechanistic inhalation PK-model was developed and is made available. This model can guide the design of compounds and inhaled drug formulations with optimal local pharmacology and provide a logic framework for translation of inhaled drug pharmacology. Specific findings in this study include lung-selectivity possibly being unattainable in the well-perfused parts of the lung and that slow drug-receptor dissociation can be a drug property providing lung-selectivity. • HOW THIS MIGHT CHANGE CLINICAL PHARMACOLOGY AND THERAPEUTICS The model can be used in clinical studies to tailor inhaled drug formulations, target appropriate dose ranges, and interpret study results.

Inhalation is an attractive route of administration that has been used for more than 2,000 years.¹ The capability of delivering drug directly to the target organ has been associated with advantages, such as a rapid onset of action and a higher and more sustained local tissue concentration.² The latter offers an opportunity to increase the therapeutic index by achieving lung-selectivity and thus fulfilling the aim of locally acting inhaled drugs (i.e., to obtain high concentrations at the lung target site while the systemic concentrations are kept at a minimum).³ In order to minimize the systemic exposure, and thus systemic side effects, drug discovery typically aims to develop inhaled drugs with high hepatic clearance to obtain a rapid elimination and to avoid absorption from the gastrointestinal tract.⁴

Nevertheless, achieving lung-selectivity after inhalation is not a trivial task. The large surface area, good vascularization, and thin alveolar epithelium offer the potential for rapid absorption into the systemic circulation.⁵ Indeed, with the exception

of i.v. administration, inhalation is the fastest route for systemic drug delivery of small molecules. This is particularly prominent for small lipophilic molecules, in which the absorption half-life is ~1–2 minutes.⁶ Several strategies for enhancing lung retention have therefore been explored, including increasing basicity,⁷ formulation approaches⁸ and low solubility.⁹

However, assessment of lung-selectivity has so far proven to be elusive. Collection of relevant exposure measurements is recognized as a challenge both within clinical and preclinical research. Because the appearance of drug in the systemic circulation is the result of pulmonary absorption, unbound concentrations in plasma cannot be assumed to reflect the target site concentration in the lung.² This constitutes a challenge because unbound plasma concentrations usually form the basis for establishing pharmacokinetic/pharmacodynamic (PK/PD) relationships.

In a preclinical setting, lungs can be collected by destructive sampling at several time points after intratracheal

¹Department of Respiratory, Inflammation, and Autoimmunity Innovative Medicines, AstraZeneca R&D, Mölndal, Sweden; ²School of Engineering, University of Warwick, Coventry, UK; ³Translational PKPD, Department of Pharmaceutical Biosciences, Uppsala University, Uppsala, Sweden. *Correspondence: E Boger (elin.boger@astrazeneca.com)

administration or dry powder inhalation. Drug concentrations are subsequently measured in lung tissue homogenates, providing a time profile of total lung concentrations in which the organ is erroneously reflected as one anatomic entity. Moreover, the homogenization process severely distorts the data interpretation by disrupting the normal compartmentalization (e.g., lysosomal trapping) and by dissolving solid drug particles.¹⁰ Indeed, the establishment of PK/PD relationships based on total lung concentrations is known to be more challenging for poorly soluble compounds.⁷ As receptor occupancy is driven by the unbound drug concentration at the target site, such measurements could clarify the PK after topical administration. A methodology capable of *in vivo* receptor occupancy measurements in the lung and in a reference organ for systemic exposure has therefore been developed.¹¹ By applying this method, further understanding can be gained as comparison of pulmonary and systemic occupancies provides a quantitative readout of the degree of lung-selectivity achieved by inhalation.

Even so, interpretation of data from preclinical PK studies can be challenging. Rodents are generally exposed via nose-only inhalation, in which a substantial deposition of drug particles will occur in the nose.¹⁰ Drug deposited in the lung and the nose will both be subject to the self-cleansing mechanism mucociliary clearance (MCC), which transports drug particles toward the pharynx where they are eventually swallowed.¹² Accordingly, the resulting plasma PK is a result of parallel absorption from the lung, the nose, and the gastrointestinal tract.^{13,14}

Hence, despite the historical success and widespread use of locally acting inhaled drugs, pulmonary drug disposition remains poorly understood. It is recognized as complex because several important processes take place simultaneously, such as regional drug deposition, dissolution of solid drug particles and MCC. Additional complexity comes from the heterogeneous nature of the organ with distinct differences between the tracheobronchial and alveolar regions.⁹ An integrated understanding, which takes the mechanistic processes as well as the organ heterogeneity into account, is thus desirable.

Simulation models have previously been used to predict the systemic exposure for inhaled corticosteroids in humans. Weber and Hochhaus¹⁵ developed a compartmental simulation tool, in which the lung was divided into two subcompartments representing the central and peripheral region, respectively. The model also included features, such as MCC and drug dissolution, described by rate constants.¹⁵ Earlier simulations, using an even simpler model structure with one lung compartment and receptor binding described by a static model, showed that slow drug dissolution gives lung-selectivity.¹⁶ Chaudhuri *et al.*¹⁷ used GastroPlus to predict the systemic PK of budesonide. The simulated plasma profiles of both models proved to agree well with experimental data. Nevertheless, a mechanistic model predictive of local tissue concentrations combined with measurements such as receptor occupancy for validation is currently lacking. Such a model would be necessary to elucidate the highly complex processes involved in pulmonary drug disposition.¹⁸

This study aimed to develop a physiologically based pharmacokinetic model including lung disposition for rats,

which can integrate the current knowledge of the system with drug- and formulation-specific properties. The model puts emphasis on lung disposition by mathematically describing both the physiology and the fate of the deposited dose. When drug- and formulation-specific input parameters for the poorly soluble drug fluticasone propionate (FP) were fed into the model, it accurately predicted the PK both after *i.v.* administration as well as via nose-only inhalation. It was also possible to predict target site exposure, as validated by receptor occupancy measurements. Because this approach allows for separation between drug- and system-specific properties, it will aid in understanding which drug- and/or formulation-specific properties are associated with lung-selectivity and thus yield favorable efficacy/safety profiles for inhaled drugs. Moreover, because the parametrization of this model is based on the physiology of the animal, it provides a framework for a facilitated translation by switching to human system-specific properties.

METHODS

Experiments

Please refer to the **Supplementary Material** for experimental details about the PK and the receptor occupancy studies.

PK study. The PK of FP was studied in rats after *i.v.* administration of 90 and 1,000 nmol/kg ($n = 2$ and $n = 3$, respectively). Blood samples were repeatedly collected from a venous catheter for 8 hours after administration.

Receptor occupancy studies. The time course of glucocorticoid receptor occupancy and the PK was studied in rats after nose-only inhalation (lung deposited dose [LDD]: 11.3 nmol/kg) and *i.v.* administration of FP (90 nmol/kg), the latter study as well as a detailed experimental protocol are described in a previous study.¹¹ The inhalation study was performed twice using the same experimental setup.

Model development

This section gives an overview of the model. Detailed descriptions of the model, its different subcomponents, and the mathematical derivations are included in the **Supplementary Material**.

Model structure. A mechanistic physiologically based pharmacokinetic model (PBPK) including lung disposition was implemented in MATLAB R2013a (Mathworks, Natick, MA). The structural model is illustrated in **Figure 1a**. Blood flows and volumes of the tissue compartments included are presented in **Table 1**.

The lung was divided into a tracheobronchial and alveolar region; each of these was in turn divided into three separate compartments (**Figure 1b**). The same compartmental representation was used for the nose: (1) solid drug (A_{solid}); (2) dissolved drug in the epithelial or nasal lining fluid (C_{fluid}); and (3) drug in tissue (C_{tissue}). The tracheobronchial region is perfused by the bronchial blood flow (Q_{br}), the alveolar region by the entire cardiac output (Q_{CO}), and the nose by the nasal blood flow (Q_n).

Perfusion-rate limited distribution was assumed to apply for all tissues. For compartment i , the rate of change of quantity within the organ can be described as²⁴:

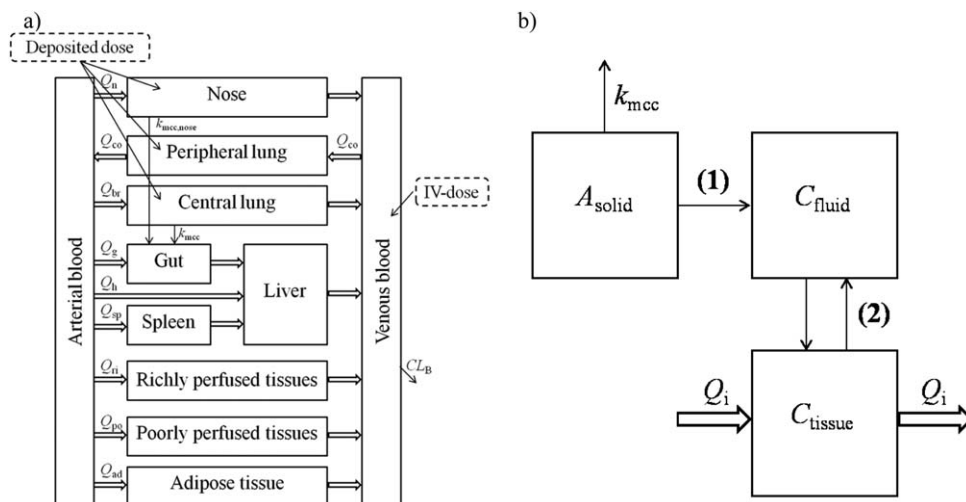


Figure 1 (a) Structure of the whole-body physiologically based pharmacokinetic (PBPK) model, and (b) compartmental representation of the central lung, peripheral lung, and the nose: solid drug (A_{solid}), dissolved drug in the epithelial or nasal lining fluid (C_{fluid}), and drug in tissue (C_{tissue}). In the nose and the central lung, solid particles are transported by mucociliary clearance (k_{mcc}). Drug particles are dissolved in the lining fluid (1), once dissolved the drug may permeate through the epithelial membrane to the tissue (2).

$$V_i \frac{dC_i(t)}{dt} = Q_i \left(C_A(t) - \frac{RC_i(t)}{K_{p,i}} \right), \quad C_i(0) = 0, \quad (1)$$

where V_i is the tissue volume, C_i is the drug tissue concentration, Q_i is the blood flow to the tissue, C_A is the arterial drug concentration, R is the blood/plasma ratio, and $K_{p,i}$ is the tissue-plasma partition coefficient.

Particle size distribution and regional deposition. The particle size distribution was determined using an impactor, providing a discrete distribution consisting of eight particle size classes. The mass fractions (f_1, \dots, f_8) are presented in **Table 2** and experimental details in the **Supplementary Material**.

Inhaled drug particles can be deposited in the extrathoracic, tracheobronchial, and alveolar region. This model neglects deposition in the pharynx, hence only nasal deposition is considered in the extrathoracic region. Henceforth,

Table 1 System-specific input parameters for the rat.

Tissue	Volume (fraction of BW)	Blood flow (fraction of Q_{CO})
Adipose	0.040 ^a	0.009217 ^a
Gut	0.0259 ^b	0.14 ^b
Liver	0.04 ^c	0.024 ^b
Lung	0.004127 ^d	0.021 ^{b/1}
Nose	0.000254 ^e	0.0015 ^f
Poorly perfused ^g	1-(the rest)	1-(the rest)
Richly perfused ^h	0.039 ^c	0.5096 ^c
Spleen	0.002 ^b	0.0715 ⁱ
Arterial blood	0.02 ^c	NA
Venous blood	0.04 ^c	NA

NA, not available.

^a Q_{CO} = cardiac output, 20.77 L/h/kg ref. 19; ^bRef. 20; ^cRef. 21; ^dInternal AstraZeneca data, han Wistar ($n = 100$); ^eSupplementary Material Eq. S52; ^fRef 22; ^gPoorly perfused = 1 - other organs; ^hRichly perfused = richly perfused + brain + kidney from ref. 19; ⁱRef 23.

the tracheobronchial and alveolar regions are referred to as the central and peripheral lung, respectively.

Several models have been developed for the prediction of regional particle deposition in rat lungs.^{25–28} By using deposition fractions for the relevant aerodynamic diameters extracted from ref. 25 and the mass fractions for the corresponding particle size classes, the number of deposited particles of size class i in region j ($N_{j,i}$) can be calculated. As specified in the **Supplementary Material**, $N_{j,i}$ is used for simulating drug dissolution and total amount of solid drug.

Mucociliary clearance. Because of MCC, $N_{j,i}$ was modeled as an exponential decay:

$$N_{j,i}(t) = N_{j,i}(0) \times e^{-k_{mcc,j}t}, \quad (2)$$

where $N_{j,i}(0)$ is the number of particles of size class i in region j at $t = 0$ and $k_{mcc,j}$ is MCC in region j . MCC in the central lung ($k_{mcc, lung}$) was estimated from ref. 29 as described in the **Supplementary Material** and MCC in the nose ($k_{mcc, nasal}$) was extracted from ref. 30; the rate constants are presented in **Table 3**. MCC in the peripheral lung was assumed to be negligible, since it is primarily associated with the tracheobronchial region.³⁴ Consequently, $N_{j,i}$ in the peripheral region is constant. Drug removed by MCC is transported to the gut, where the bioavailable fraction (F) subsequently can be absorbed into the systemic circulation. F is defined as:

$$F = f_{gut} \times f_{abs} \times f_h, \quad (3)$$

That is, F accounts for the fraction absorbed from the gastrointestinal tract (f_{abs}), the fraction that escapes the gut (f_{gut}), and hepatic extraction (f_h).³⁵ Because of the high blood clearance (CL_b), f_h was set to 0.

Dissolution of drug. Drug particles are dissolved in the epithelial lining fluid or in the nasal lining fluid. The dissolution process is modeled by the Nernst–Brunner equation,^{36,37}

Table 2 Drug- and formulation-specific input parameters for fluticasone propionate

Parameter	Value
Blood/plasma ratio	0.95
CL_B (L/h/kg)	11.53
CL_P (L/h/kg)	10.95
C_s (nM)	4530
Diffusion coefficient (m^2/s)	$2.27 \cdot 10^{-11}$
f_1, \dots, f_8^a	0.17, 0.30, 0.26, 0.18, 0.073, 0.0091, 0.0032, 0.0035
F^b	0
f_u	0.016
$f_{u,fluid}$	1
K_d (nM)	0.015 ± 0.0045
K_{off} (h^{-1})	0.51 ± 0.17
K_{on} (L/nmol/h)	34 ± 20
$\log D_{7.4}$	4.2
Molecular weight (g/mol)	500.6
P_{app} (cm/s)	$46.9 \cdot 10^{-6}$
Particle density (nmol/dm ³)	$1.430 \cdot 10^9$
r_1, \dots, r_8 (μm)	3.55, 2.31, 1.42, 0.887, 0.544, 0.349, 0.231, 0.118
V_{dss} (L/kg)	12.5
$V_{u,lung}$ (mL/g lung tissue)	213.4

CL_B = blood clearance; CL_P = plasma clearance; C_s = solubility; f_1, \dots, f_8 = mass fractions for particle size classes 1, ..., 8; F = oral bioavailability; f_{abs} = fraction absorbed; f_{gut} = fraction escaping gut metabolism; f_h = fraction escaping hepatic metabolism; f_u = fraction unbound in plasma; $f_{u,fluid}$ = fraction unbound in epithelial or nasal lining fluid; K_d = dissociation constant; K_{off} = dissociation rate constant; K_{on} = association rate constant; P_{app} = apparent permeability; r_1, \dots, r_8 = initial geometric radius for particle size classes 1, ..., 8; V_{dss} = volume of distribution at steady state; $V_{u,lung}$ = unbound lung volume of distribution.

^a $\sum_{i=1}^8 f_i = 1$ when all decimal places are used.; ^b $F = f_{abs} \cdot f_{gut} \cdot f_h$.

describing how the dissolution rate depends on the solubility of the drug (C_s), the concentration in the dissolution medium, the diffusion coefficient (D), and the surface area of the particle ($4\pi r_i(t)^2$). Detailed descriptions of both the dissolution and calculation of D^{35} are provided in the **Supplementary Material**.

Detailed description of the lung and nose. The compartmental representation of the nose and the two lung regions is shown in **Figure 1b**. The system-specific input parameters for the nose and lung are summarized in **Table 3**.

Table 3 System-specific input parameters for the central lung, peripheral lung, and the nose

Parameter	Central lung	Peripheral lung	Nose
Blood flow (fraction of Q_{CO})	0.021 ^a	1	0.0015 ^b
Surface area (dm ² /kg)	3.27 ^c	276.4 ^d	0.416 ^e
Lining fluid volume ($\mu L/kg$)	163.6 [*]	193.5 [*]	20.8 [*]
Fraction of tissue volume	0.19 [*]	0.81 [*]	NA
k_{mcc} (h^{-1})	0.0472 ^f	NA	0.2079

k_{mcc} , rate constant for mucociliary clearance; NA, not available; Q_{CO} , cardiac output.

*Calculations of the lining fluid volume and tissue fractions are provided in the **Supplementary Material**; References: a) Ref. 21; b) Ref. 22; c) Ref. 31 (normalized per kg); d) Ref. 32 (normalized per kg); e) Ref. 33 (normalized per kg); f) Ref 30.

Table 4 Tissue-plasma partition coefficients (K_p) for tissues included in the model

Tissue	$K_{p,i}$	Method
Liver	10.2	<i>in silico</i> ^a
Spleen	5.18	<i>in silico</i> ^a
Richly perfused ^b	9.27	<i>in silico</i> ^a
Poorly perfused ^c	7.70	<i>in silico</i> ^a
Gut	20.3	<i>in silico</i> ^a
Adipose	126	<i>in silico</i> ^a
Lung	3.41	$V_{u,lung}^d$
Nose	3.41	$V_{u,lung}^d$

^aRef. 38.

^b $K_{p,richly}$ is the mean value of the predicted K_p -values of the heart and kidney.

^c $K_{p,poorly}$ is the mean value of the predicted K_p -values of bone and muscle.

^dRef. 39.

Once the drug has dissolved (**Supplementary Material Eq. S33**) it may permeate to the tissue according to:

$$\frac{dJ}{dt} = PA_{surf} (C_{fluid}(t) f_{u,fluid} - \frac{C_i(t)}{K_{p,u,i}}), \quad (4)$$

where dJ/dt is the molar flow of drug (nmol/h), P is the permeability, A_{surf} is the surface area, C_i is the tissue concentration of drug, and $K_{p,u,i}$ is the tissue-to-unbound plasma partition coefficient. A detailed description of the prediction of $K_{p,u}$ -values as well as the subsequent calculations of K_p -values are provided in the **Supplementary Material**, all K_p -values are presented in **Table 4**. The *in vitro* apparent permeability across CaCo2-monolayers was measured and used as P (**Table 2**).

Receptor binding. Receptor binding was included in all tissue compartments and was described as:

$$\frac{dRD(t)}{dt} = \left(K_{on} (B_{max} - RD(t)) \frac{C_i(t)}{K_{p,u,i}} \right) - K_{off} RD(t), \quad (5)$$

where RD is the concentration of the drug-receptor complex, K_{on} is the association rate constant, B_{max} is the receptor density, and K_{off} is the dissociation rate constant.

B_{max} for the spleen was 31.5 nM,⁴⁰ B_{max} for the lung was set to 21 nM,¹¹ and B_{max} in the other tissue compartments was set to the mean value of B_{max} over five brain regions (23 nM).⁴¹

Parameterization of the model

Drug-specific input parameters. All drug-specific input parameters are specified in **Tables 2 and 4**. The blood/plasma ratio (R) was used for calculating CL_B from the plasma clearance (CL_P) obtained from the PK-study (**Supplementary Material Eq. S21**). As CL_P was estimated from venous drug concentrations, elimination was set to occur from the venous compartment. Accordingly, CL_B acts on the absorbed drug prior to entering the organs.

Parameter estimation. C_s was estimated using nonlinear least squares in the MATLAB curve-fitting toolbox, in which

the Levenberg–Marquardt algorithm minimized the difference between observed and predicted total lung concentrations (11.3 nmol/kg, LDD). The initial estimate had been selected from an exhaustive search, in which the sum of squares was initially evaluated using a broad search space ($10^2 \leq C_s \leq 10^5$ nM). The search space was then confined to the proximity of the best solution $C_{s,0}$ ($C_{s,0} - 200 \leq C_s \leq C_{s,0} + 200$ nM), in which 300 candidates were evaluated.

With the exception of C_s , all input parameters in the PBPK model are from literature sources, internal AstraZeneca data, or parameter estimates obtained from modeling of the PK and glucocorticoid receptor occupancy studies as specified.

Characterization of binding kinetics. Modeling of the binding kinetics is described in detail in the **Supplementary Material**.

Model validation and verification

Administrations via the i.v. route (20, 90, 150, 750, and 1,000 nmol/kg) and via nose-only inhalation (11.3 and 100 nmol/kg, LDD) were simulated using drug-specific input parameters for FP (**Tables 2 and 4**). Neither particle size distribution nor density was available for the higher LDD, it was therefore assumed to have the same formulation-specific properties as the lower dose. The simulations were subsequently compared with experimental data obtained from this study, AstraZeneca's internal database, or ref. 11.

Evaluation of concepts for lung-selectivity

In this article, lung-selectivity is defined as the difference between pulmonary (RO_{lung}) and systemic receptor occupancy (spleen, RO_{sp}) i.e., the criteria of lung-selectivity is met when:

$$RO_{lung} - RO_{sp} > 0. \quad (6)$$

Because the lung is divided into a central and a peripheral region, lung-selectivity can be evaluated for each region individually. Besides, the whole lung can also be considered by using a weighted average based on the occupancy for the two regions (RO_{ave} ; **Supplementary Material Eq. S51**). Because the experimental methodology cannot discriminate between central and peripheral occupancy, RO_{ave} corresponds to the observations.

The impact of the following drug-specific input parameters on lung-selectivity was evaluated: CL , F , C_s , and K_{off} . Furthermore, one formulation- and one system-specific input parameter were each investigated: particle size and Q_n , respectively. The contribution of nasal absorption was evaluated by comparing the systemic PK profile from a base-case scenario (input parameters from **Tables 1–4**) to a scenario in which Q_n was set to 0. The particle size distribution was investigated by comparing the base-case scenario to one in which the particles were evenly distributed between the four smallest size classes, that is $f_i = 0.25$ for $i = 5, \dots, 8$ and $f_i = 0$ otherwise. In all simulations, LDD was fixed at 11.3 nmol/kg.

Statistics and data presentation

Monte Carlo simulations were used for receptor occupancy simulations to account for the uncertainty in the estimated

binding kinetics parameters. Random parameter values for K_d and K_{off} were generated from a lognormal distribution based on the parameter precision obtained from the modeling. A large number of simulations ($n = 1,000$) were made and 90% confidence intervals were constructed.

Receptor occupancy measurements are presented as mean \pm one SE. As described in the **Supplementary Material**, receptor occupancy was calculated using the ratio method⁴² and propagation of error was used for the calculation of SE.

Sensitivity analysis was performed to investigate how the dynamic behavior of the system responded to changes in selected input parameters (**Supplementary Figure S5a,b**).

RESULTS

Characterization of binding kinetics

K_{on} and K_{off} were estimated to be 34 ± 20 nM⁻¹h⁻¹ and 0.51 ± 0.17 h⁻¹ (**Supplementary Figure S1b**). An exhaustive search algorithm confirmed that the global minimum had been found within the defined parameter space.

Model verification and validation

I.V. administration. Model predictions of the plasma profiles as well as the time course of occupancy (**Figure 2a,b**) were consistent with experimental i.v. data (90 and 1,000 nmol/kg). The predicted occupancy profiles in the two lung regions and the spleen were identical. No occupancy measurements were available for the higher dose. Regardless of the route of administration, inclusion of the receptor-bound concentration was essential for the predictive capability of spleen concentrations (**Figure 2c**).

The model was predictive of receptor occupancy measurements made 1.5 hours after three i.v. doses of FP. Simulated occupancies were 27%, 64%, and 86% for 20, 150, and 750 nmol/kg, respectively. Simulations agreed well with observed data: 27 ± 9.7 , 74 ± 5.0 , and $100 \pm 3.5\%$ for 20, 150, and 750 nmol/kg, respectively.

Nose-only inhalation. Following estimation of C_s (95% confidence interval = 4,530 [3,845–5,215] nM), total spleen, lung and plasma concentrations were well-predicted by the model after nose-only inhalation (**Figure 2c–e**). An under-prediction was noted for the last time point of the higher dose.

Model predictions of the systemic occupancy were consistent with the observations (**Figure 2f**). RO_{ave} captured key trends in the data, although a tendency toward under-prediction was noted (**Figure 2g**). Neither plasma concentrations nor receptor occupancy had been measured following inhalation of the higher dose.

Evaluation of concepts for lung-selectivity. It was noted that lung-selectivity could not be achieved if the peripheral lung was considered as the pulmonary region. That is, no difference was obtained between the occupancy in the peripheral lung and the spleen in this model. Therefore, the occupancy in the central lung (RO_C) is used as the pulmonary region for evaluation of lung-selectivity.

As can be seen in **Figure 3a**, a longer period of lung-selectivity was obtained for a poorly soluble drug ($C_s = 2.5$ μ M)

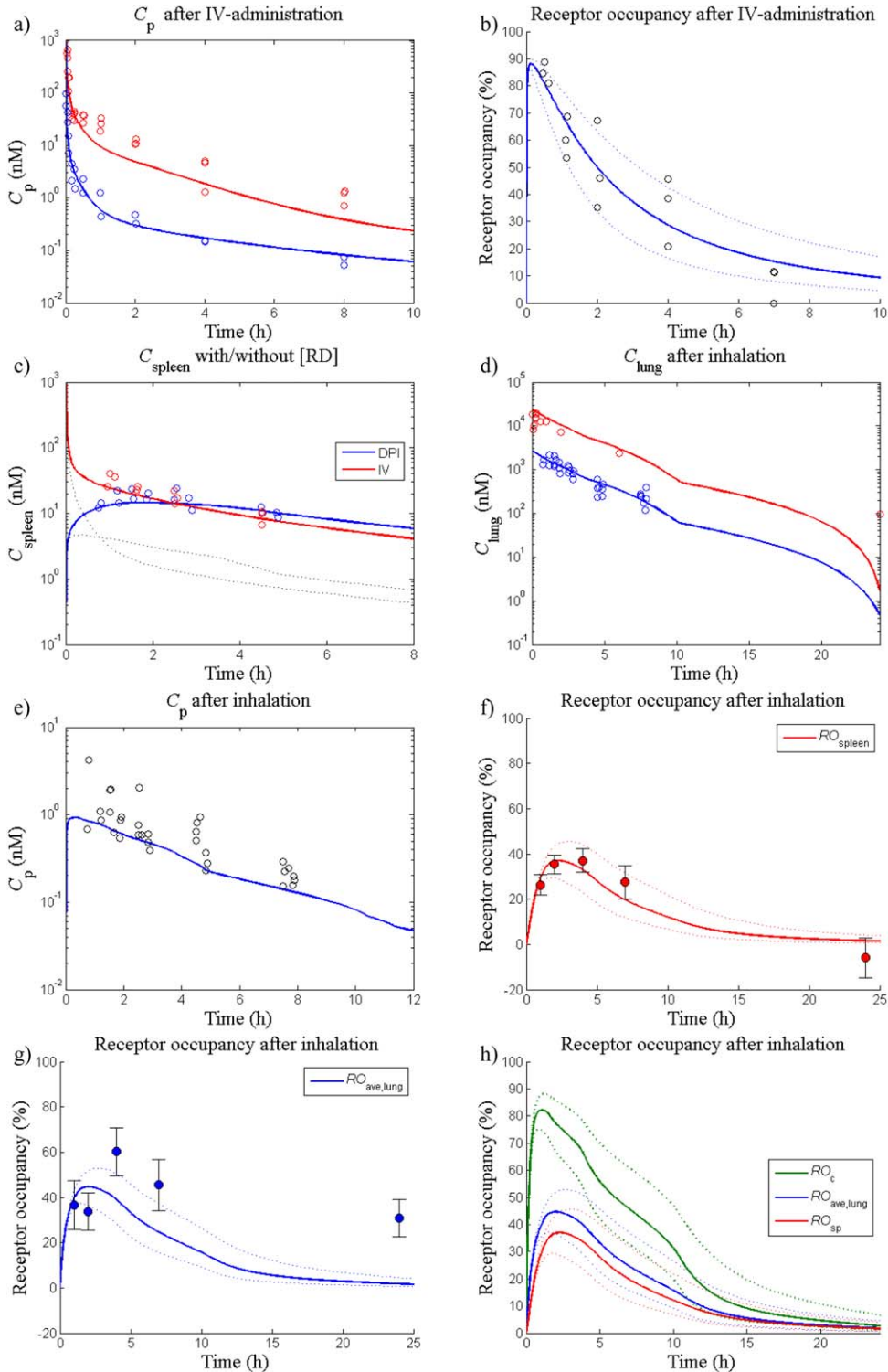


Figure 2 Simulations and observations of: (a) plasma concentrations (C_p) after i.v. administration of 90 (blue line) and 1,000 nmol/kg (red line), (b) receptor occupancy in the spleen/peripheral lung/central lung after i.v. administration of 90 nmol/kg (blue line), (c) spleen concentration (C_{spleen}) after i.v. administration of 90 nmol/kg (red line) and after inhalation of a lung deposited dose (LDD) of 11.3 nmol/kg (blue line). The dashed lines show C_{spleen} excluding the receptor-bound concentration (d) total lung concentrations after an LDD of 11.3 nmol/kg (blue line) and 100 nmol/kg (red line), (e) C_p after a LDD of 11.3 nmol/kg (blue line), (f) receptor occupancy in the spleen (RO_{sp}) after an LDD of 11.3 nmol/kg (red line), (g) whole lung receptor occupancy (RO_{ave}) after an LDD of 11.3 nmol/kg (blue line), and (h) receptor occupancy in the central lung (RO_c), RO_{ave} , and RO_{sp} after an LDD of 11.3 nmol/kg (green, blue, and red line, respectively). For each receptor occupancy simulation, a 90% confidence interval was created by a Monte Carlo simulation, which repeatedly sampled random values from a lognormal distribution of the binding kinetics parameters ($n = 1,000$, dashed lines). DPI, dry powder inhalation.

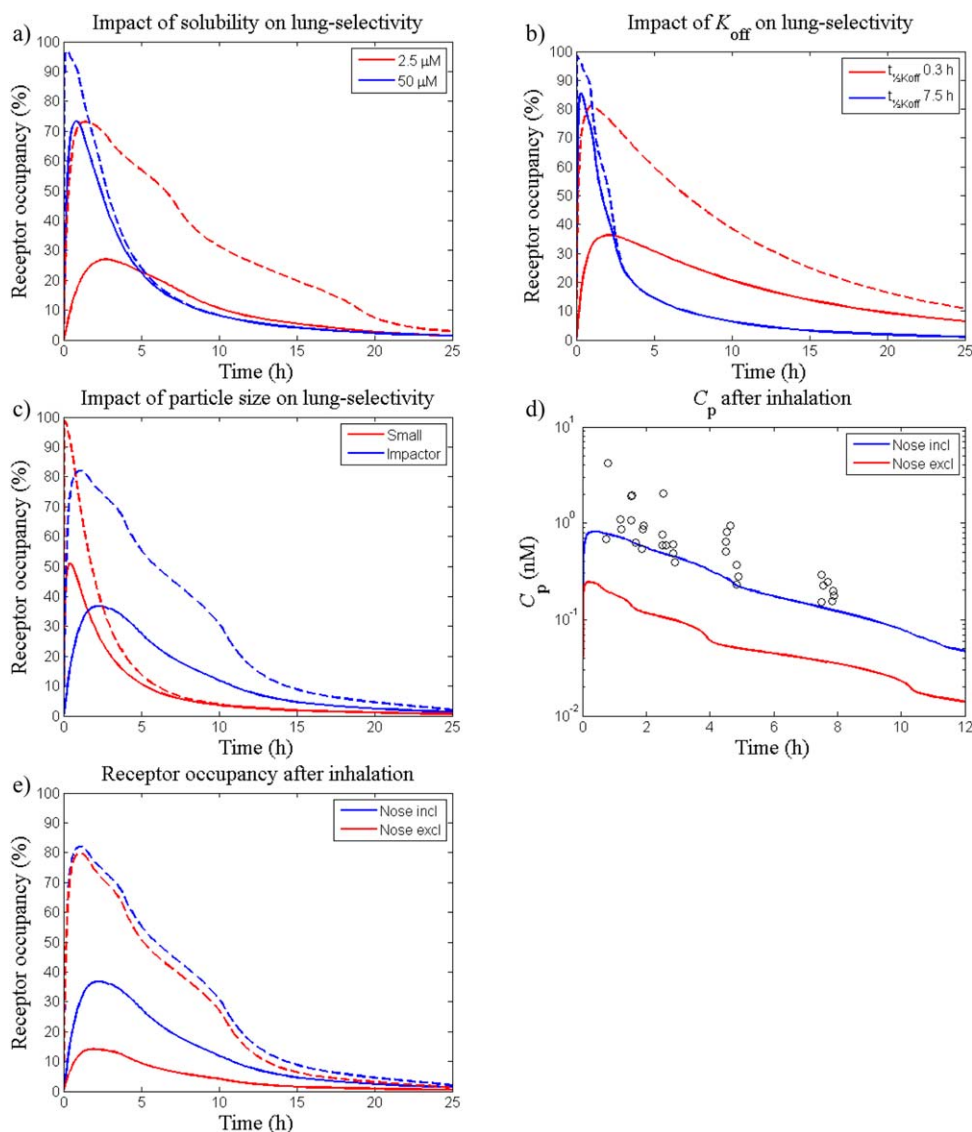


Figure 3 The impact of different drug-, formulation-, and system-specific properties on lung-selectivity was evaluated by varying the following parameters: (a) solubility; $C_s=2.5 \mu\text{M}$ (red line) and $50 \mu\text{M}$ (blue line), (b) dissociation rate; $t_{1/2,Koff}=7.5 \text{ h}$ (blue line) and 0.3 h (red line), (c) particle size distribution; f_1, \dots, f_8 from **Table 2** (blue line) and $f_i=0.25$ for $i=5, \dots, 8$ and $f_i=0$ otherwise (red line), (d) nasal absorption; nose included (blue line) and nose excluded (red line), and (e) nasal absorption; nose included (blue line) and nose excluded (red line). Except for subfigure e) which shows predictions (lines) and observations (open circles) of plasma concentrations of fluticasone propionate (C_p), dashed lines represent receptor occupancy in the central lung and solid lines represent occupancy in a systemic reference organ.

than for a highly soluble drug ($C_s = 50 \mu\text{M}$). A transient concentration gradient created during dissolution of a highly soluble compound ($C_s = 50 \mu\text{M}$) could also give rise to a prolonged lung-selectivity given a slow K_{off} (**Figure 3b**).

Given a certain LDD, simulations showed that the particle size distribution had an impact on the occupancy profile as well as the degree of lung-selectivity (**Figure 3c**).

Simulations showed a negative correlation between F and lung-selectivity, whereas a positive correlation was found for CL (simulations not shown).

According to the simulations, nasal absorption significantly contributed to the systemic exposure and decreased

the degree of lung-selectivity following nose-only inhalation of FP (**Figure 3d,e**). Noteworthy is that the nasally deposited dose was predicted to be several-fold higher than LDD (**Supplementary Figure S6**).

DISCUSSION

This article presents a systems pharmacology approach for prediction of systemic and pulmonary PK for inhaled drugs, which was validated by experimental measurements of drug concentrations and receptor occupancy. By virtue of being

mechanistic, this model provides a tool to theoretically explore pulmonary drug disposition and how key processes in a physiological context produce lung-selectivity.

Data generated from i.v. dosing as well as inhalation were used for validation, which thus allows the model to be used to compare the two administration routes. Model predictions of i.v. administrations were consistent with experimental data (**Figure 2a–c**), supporting a perfusion-rate limited distribution. Notably, input parameters (CL , $V_{d,ss}$, K_{on} , and K_{off}) obtained from the modeling of one dataset (90 nmol/kg, i.v.) proved predictive of data from four other i.v. dose levels, thus offering strong support and confidence in its predictive capability to determine systemic PK and receptor occupancy. Interestingly, inclusion of receptor binding was necessary for accurate predictions of spleen concentrations (**Figure 2c**), which verifies that FP has a high receptor-bound fraction.¹¹ This elucidates the potential pitfall of only relying on K_p -values when predicting tissue concentrations after low doses of highly potent compounds. Under such circumstances, underpredictions are inevitable as K_p -values do not account for receptor binding.

As the aqueous solubility of poorly soluble compounds tends to underpredict the *in vivo* dissolution rate,⁴³ the single parameter C_s was estimated from observations of total drug concentrations in the lung made in one inhalation study (11.3 nmol/kg, LDD). It is worth noting that the estimate of C_s (4,530 nM) was close to the measured FaSSIF-solubility (3,120 nM). When the optimized model was tested on another dataset (100 nmol/kg, LDD), it was shown to be predictive of the total lung concentrations with the exception of the last time point (**Figure 2d**). This could be explained by either inaccurately described particle size distribution or limitations of the Nernst–Brunner equation for the alveoli where the epithelial lining fluid layer might be smaller than the particle diameter.

Model predictions of plasma concentrations and systemic occupancy after nose-only inhalation agreed well with experimental data (**Figure 2e,f**). This consistency confirms that FP has a dissolution rate-limited absorption and emphasizes the importance of mechanistically describing the dissolution process for such compounds.

Validation of lung occupancy simulations was slightly more complex as these measurements reflect whole lung occupancy. Thus, for comparison of observations and simulations, a weighted average accounting for the relative contribution of each region was needed. Although exact determination of the tissue fractions cannot be made, the volume fraction of the central lung ($f_{v,c}$) was approximated to be 0.19 (**Supplementary Material**). Given the uncertainty in $f_{v,c}$ and the slightly lower accuracy of lung occupancy measurements, a whole lung occupancy prediction that qualitatively captures key features, including lung-selectivity and late occupancy peak (**Figure 2g**), can be regarded as a good description of the data.

For validation purposes, emphasis was not put on explaining the variability in the data, which is partly caused by the use of destructive sampling (one animal/time point). Apart from interindividual differences in model input param-

eters, a high variation in LDD is expected from preclinical studies. The validation instead focused on how well the model captured key features in the observations, both on a quantitative and a qualitative level.

The model was used to provide mechanistic insight into pulmonary drug disposition and to identify key determinants for lung-selectivity. As expected, simulations confirmed the current dogma that inhaled drugs benefit from a high CL and a low F . A previously unforeseen result was that lung-selectivity could not be achieved in the peripheral lung. This is attributed to the high perfusion rate of this region, which thus rapidly equilibrates with the systemic circulation. In fact, the model predicted the tissue distribution half-life of FP in the peripheral lung to be below 2 seconds. However, lung-selectivity could be obtained in the central region after inhalation as its lower perfusion-rate allows for a longer equilibration time.

It was shown that a concentration gradient, and thus lung-selectivity, was obtained during the dissolution phase (**Figure 3a**). As expected, the model described the risk of only obtaining transient lung-selectivity for a rapidly dissolving drug without any additional mechanisms enhancing its lung-retention (i.e., a scenario resembling i.v. administration in which no lung-selectivity is obtained). Nevertheless, a transient concentration gradient can give rise to an extended period of lung-selectivity provided that the drug receptor dissociation rate is relatively slow (**Figure 3b**). The latter feature was unforeseen by earlier models because the receptor binding was described by a static E_{max} -model.¹⁶ Accordingly, two mechanisms were found to be good strategies for achieving lung-selectivity: (1) slow dissolution; and (2) slow drug receptor dissociation. Notably, at the other end of the spectrum are low affinity compounds for which a low C_s might disrupt the opportunity of obtaining sufficiently high target site concentrations to elicit a pharmacological response. Hence, several drug-specific properties need to be considered during lead compound optimization and the presented model is indeed useful for such exercises.

Simulations verified that the particle size distribution also has an impact on the dissolution rate and can thus be used to partly control this process (**Figure 3c**). Moreover, particle size is well-known to be an important determinant of the regional deposition pattern. Model predictions elucidated that a high nasal deposition, possibly accompanied by significant absorption, is expected following nose-only inhalation studies (**Supplementary Figure S6**). Although nasal absorption is absent for orally inhaled products in the clinic, simulations suggest that nasal uptake reduces the degree of lung-selectivity seen in preclinical models (**Figure 3d,e**). Thus, accounting for this process might be important for interpretation and translation of preclinical data, further emphasizing the need of an integrated understanding of formulation-specific, drug-specific, and system-specific properties. If the technical challenges can be overcome, experiments addressing the extent of nasal absorption after nose-only inhalation would indeed be useful. Furthermore, this example illustrates how the model lends itself for translation. As it relies on a physiological parametrization, translation from animal to man can be done by changing from

nasal to oral inhalation and exchanging system-specific (rat vs. human physiology) and formulation-specific parameters (particle size, etc.). Human system-specific parameters are provided in the **Supplementary Material**. This study demonstrated the value of mechanistically describing the underlying processes of drug disposition in the lung to understand how the delicate interactions among drug-specific, formulation-specific, and system-specific properties produce the final outcome of the system. The model thereby provides a framework for rational drug design and a facilitated translation from animal to man, which will be instrumental to any drug discovery or development program targeting the lung via the inhaled route.

Acknowledgments. This work was supported by the Marie Curie FP7 People ITN European Industrial Doctorate (EID) [Project No. 316736] and the Innovative Modelling for Pharmacological Advances through Collaborative Training (IMPACT). The authors thank Susanne Arlbrandt, Britt-Marie Fihn, Marie Johansson, and Gina Hyberg for their invaluable contributions to the *in vivo* studies. Sara Johansson and Annica Jarke are acknowledged for their help with formulating nanosuspensions of FP for the PK study. Furthermore, the authors would like to thank Jan Westergren and Ulrika Tehler for fruitful discussions. Finally, gratitude is also extended to Erica Bäckström for kindly providing measurements of the unbound lung volume of distribution for FP.

Conflict of Interest. The authors declared no conflict of interest.

Author Contributions. E.B., N.E., M.J.C., A.L., P.E., A.W., and M.F. wrote the article. E.B., P.E., and M.F. designed the research. E.B., A.L., A.W., and M.F. performed the research. E.B., N.E., M.J.C., P.E., and M.F. analyzed the data. A.L. contributed new reagents/analytical tools.

1. Sanders, M. Inhalation therapy: an historical review. *Prim. Care Respir. J.* **16**, 71–81 (2007).
2. Bäckman, P., Adelman, H., Petersson, G. & Jones, C.B. Advances in inhaled technologies: understanding the therapeutic challenge, predicting clinical performance, and designing the optimal inhaled product. *Clin. Pharmacol. Ther.* **95**, 509–520 (2014).
3. Olsson, B. *et al.* *Pulmonary Drug Metabolism, Clearance, and Absorption. Controlled Pulmonary Drug Delivery* (eds. Smyth, H. & Hickey, A.) pp. 21–50 (Springer, New York, NY, 2011).
4. Winkler, J., Hochhaus, G. & Derendorf, H. How the lung handles drugs: pharmacokinetics and pharmacodynamics of inhaled corticosteroids. *Proc. Am. Thorac. Soc.* **1**, 356–363 (2004).
5. Agu, R.U., Ugwoke, M.I., Armand, M., Kinget, R. & Verbeke, N. The lung as a route for systemic delivery of therapeutic proteins and peptides. *Respir. Res.* **2**, 198–209 (2001).
6. Patton, J.S., Fishburn, C.S. & Weers, J.G. The lungs as a portal of entry for systemic drug delivery. *Proc. Am. Thorac. Soc.* **1**, 338–344 (2004).
7. Cooper, A.E., Ferguson, D. & Grime, K. Optimisation of DMPK by the inhaled route: challenges and approaches. *Curr. Drug Metab.* **13**, 457–473 (2012).
8. Loira-Pastoriza, C., Todoroff, J. & Vanbever, R. Delivery strategies for sustained drug release in the lungs. *Adv. Drug Deliv. Rev.* **75**, 81–91 (2014).
9. Patton, J.S. & Byron, P.R. Inhaling medicines: delivering drugs to the body through the lungs. *Nat. Rev. Drug Discov.* **6**, 67–74 (2007).
10. Forbes, B. *et al.* Challenges in inhaled product development and opportunities for open innovation. *Adv. Drug Deliv. Rev.* **63**, 69–87 (2011).
11. Boger, E. *et al.* A novel *in vivo* receptor occupancy methodology for the glucocorticoid receptor: toward an improved understanding of lung pharmacokinetic/pharmacodynamic relationships. *J. Pharmacol. Exp. Ther.* **353**, 279–287 (2015).
12. Edsbäcker, S., Wollmer, P., Selroos, O., Borgström, L., Olsson, B. & Ingelf, J. Do airway clearance mechanisms influence the local and systemic effects of inhaled corticosteroids? *Pulm. Pharmacol. Ther.* **21**, 247–258 (2008).

13. Sakagami, M. *In vivo*, *in vitro* and *ex vivo* models to assess pulmonary absorption and disposition of inhaled therapeutics for systemic delivery. *Adv. Drug Deliv. Rev.* **58**, 1030–1060 (2006).
14. Sakagami, M., Kinoshita, W., Sakon, K. & Makino, Y. Fractional contribution of lung, nasal and gastrointestinal absorption to the systemic level following nose-only aerosol exposure in rats: a case study of 3.7-µm fluorescein aerosols. *Arch. Toxicol.* **77**, 321–329 (2003).
15. Weber, B. & Hochhaus, G. A pharmacokinetic simulation tool for inhaled corticosteroids. *AAPS J.* **15**, 159–171 (2013).
16. Hochhaus, G., Möllmann, H., Derendorf, H. & Gonzalez-Rothi, R.J. Pharmacokinetic/pharmacodynamic aspects of aerosol therapy using glucocorticoids as a model. *J. Clin. Pharmacol.* **37**, 881–892 (1997).
17. Chaudhuri, S.R., Lukacova, V. & Woltosz, W.S. Simulating the disposition of budesonide from dry powder inhalers (DPIs) and nebulizer. <<http://abstracts.aaps.org/Verify/aaps2013/postersubmissions/T3181.pdf>> (2013).
18. Borghardt, J.M., Weber, B., Staab, A. & Kloft, C. Pharmacometric models for characterizing the pharmacokinetics of orally inhaled drugs. *AAPS J.* **17**, 853–870 (2015).
19. Gearhart, J.M., Jepson, G.W., Clewell, H.J. 3rd, Andersen, M.E. & Conolly, R.B. Physiologically based pharmacokinetic and pharmacodynamic model for the inhibition of acetylcholinesterase by diisopropylfluorophosphate. *Toxicol. Appl. Pharmacol.* **106**, 295–310 (1990).
20. Arundel, P.A. A multi-compartmental model generally applicable to physiologically-based pharmacokinetics. <https://www.researchgate.net/publication/267672776_A_multi-compartmental_model_generally_applicable_to_physiologically-based_pharmacokinetics_Astra-Zeneca_UK> (1997).
21. Brown, R.P., Delp, M.D., Lindstedt, S.L., Rhomberg, L.R. & Beliles, R.P. Physiological parameter values for physiologically based pharmacokinetic models. *Toxicol. Ind. Health* **13**, 407–484 (1997).
22. Campbell, J.L., Andersen, M.E. & Clewell, H.J. A hybrid CFD-PBPK model for naphthalene in rat and human with IVIVE for nasal tissue metabolism and cross-species dosimetry. *Inhal. Toxicol.* **26**, 333–344 (2014).
23. Chen, A. & Kaufman, S. Splenic blood flow and fluid efflux from the intravascular space in the rat. *J. Physiol.* **490**(Pt 2), 493–499 (1996).
24. Peters, S.A. Physiological model for distribution. In *Physiologically-Based Pharmacokinetic (PBPK) Modeling and Simulations: Principles, Methods, and Applications in the Pharmaceutical Industry* (ed. Peters, S.A.) p. 111 (Wiley, Hoboken, NJ, 2012).
25. Lee, D. & Wexler, A.S. Particle deposition in juvenile rat lungs: a model study. *J. Aerosol Sci.* **42**, 567–579 (2011).
26. Anjilvel, S. & Asgharian, B. A multiple-path model of particle deposition in the rat lung. *Fundam. Appl. Toxicol.* **28**, 41–50 (1995).
27. Hofmann, W., Asgharian, B., Bergmann, R., Anjilvel, S. & Miller, F.J. The effect of heterogeneity of lung structure on particle deposition in the rat lung. *Toxicol. Sci.* **53**, 430–437 (2000).
28. Schmid, O. *et al.* Model for the deposition of aerosol particles in the respiratory tract of the rat. I. Nonhygroscopic particle deposition. *J. Aerosol Med. Pulm. Drug Deliv.* **21**, 291–307 (2008).
29. Pesic, J. *et al.* *In vivo* assessment of mucociliary clearance in rodents via SPECT imaging after instillation and inhalation of a 99mTc-labelled colloid. American Thoracic Society International Conference Abstracts. Abstract #C37. COPD: What is new in imaging? A4327–A4327 (2014).
30. Creutzenberg, O., Muhle, H. & Bellmann, B. Nasal retention and clearance of wood dust in rats. *Ann. Occup. Hyg.* **38** (Suppl), 895–901 (1994).
31. Yeh, H.C., Schum, G.M. & Duggan, M.T. Anatomic models of the tracheobronchial and pulmonary regions of the rat. *Anat. Rec.* **195**, 483–492 (1979).
32. Jones, J.H. & Longworth, K.E. Gas exchange at rest and during exercise in mammals. In *Treatise on Pulmonary Toxicology, Volume I: Comparative Biology of the Normal Lung* (ed. Parent, R.A.) pp. 271–307 (CRC Press, Boca Raton, FL, 1992).
33. Schreider, J.P. Nasal airway anatomy and inhalation deposition in experimental animals and people. In *Nasal Tumors in Animals and Man* (eds. Reznik, G. & Stinson, S.F.) pp. 1–26 (CRC Press, Boca Raton, FL, 1983).
34. Lansley, A.B. Mucociliary clearance and drug delivery via the respiratory tract. *Adv. Drug Deliv. Rev.* **11**, 299–327 (1993).
35. Peters, S.A. Drug absorption and gut bioavailability. In *Physiologically-Based Pharmacokinetic (PBPK) Modeling and Simulations: Principles, Methods, and Applications in the Pharmaceutical Industry* (ed. Peters, S.A.) p. 47 (Wiley, Hoboken, NJ, 2012).
36. Brunner, E. & Nemst, W. Theorie der Reaktionsgeschwindigkeit in heterogenen Systemen. *Hoppe Seylers Z. Physiol. Chem.* **47**, 56–102 (1904).
37. Noyes, A. & Whitney, W.R. The rate of solution of solid substances in their own solutions. *J. Am. Chem. Soc.* **19**, 930–934 (1897).
38. Rodgers, T. & Rowland, M. Physiologically based pharmacokinetic modelling 2: predicting the tissue distribution of acids, very weak bases, neutrals and zwitterions. *J. Pharm. Sci.* **95**, 1238–1257 (2006).
39. Bäckström, E. *et al.* Development of a novel lung slice methodology for profiling of inhaled compounds. *J. Pharm. Sci.*; e-pub ahead of print 2015.

40. Miller, A.H., Spencer, R.L., Stein, M. & McEwen, B.S. Adrenal steroid receptor binding in spleen and thymus after stress or dexamethasone. *Am. J. Physiol.* **259**(3 Pt 1), E405–E412 (1990).
41. Spencer, R.L., Young, E.A., Choo, P.H. & McEwen, B.S. Adrenal steroid type I and type II receptor binding: estimates of in vivo receptor number, occupancy, and activation with varying level of steroid. *Brain Res.* **514**, 37–48 (1990).
42. Wadenberg, M.L., Kapur, S., Soliman, A., Jones, C. & Vaccarino, F. Dopamine D2 receptor occupancy predicts catalepsy and the suppression of conditioned avoidance response behavior in rats. *Psychopharmacology (Berl)* **150**, 422–429 (2000).
43. Jones, H. & Rowland-Yeo, K. Basic concepts in physiologically based pharmacokinetic modeling in drug discovery and development. *CPT Pharmacometrics Syst. Pharmacol.* **2**, e63 (2013).

© 2016 The Authors CPT: Pharmacometrics & Systems Pharmacology published by Wiley Periodicals, Inc. on behalf of American Society for Clinical Pharmacology and Therapeutics. This is an open access article under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited, the use is non-commercial and no modifications or adaptations are made.

Supplementary information accompanies this paper on the *CPT: Pharmacometrics & Systems Pharmacology* website (<http://www.wileyonlinelibrary.com/psp4>)