UNIVERSIDAD DE LA RIOJA

## APPENDIX TO DOCTORAL THESIS

# Towards a framework for making applications provenance-aware

# Specification of the UML to PROV patterns

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### 1 Introduction

This specification defines in detail the patterns for translating UML into PROV. The main objective of this specification is to provide a tool for implementing systems that include provenance capabilities during their design phase.

This document has been organized into three main parts:

- Section 2 provides an insight into the information required for the accurate understanding of this specification such as the notational conventions used throughout the document (Section 2.1), or the description of the structure for the pattern's explanations (Section 2.2).
- Section 3 shows a table that associates each pattern's identifier with the page where it is explained.
- Sections from 4 to 6 provide a systematic explanation of each pattern classified by the addressed UML diagram.

### 2 Before reading

As we see this document as the reference specification for UML2PROV, each pattern has been written in a self-contained way. A reader who reads all the patterns sequentially from the first to the last will find similar explanations, even repeated ones, in several patterns. We have preferred to make the reader suffer this small inconvenience, instead of running the risk that an occasional reader of a particular pattern loses part of the explanations that are discussed elsewhere.

We assume that the reader is familiar with the following UML diagrams: UML Sequence Diagrams (SqDs), UML State Machine Diagrams (SMDs), and UML Class diagrams (CDs). Readers unfamiliar with these diagrams are encouraged to read the UML specification [1]. Additionally, due to the fact that transformations referring to CDs make use of concrete UML stereotypes used to classify UML Class's operations, we refer to Appendix A for an overview about them.

Likewise, we assume that the reader is knowledgeable about both the PROV data model (PROV-DM) [2], to represent provenance information, and the PROV template approach [3], for designing provenance. If this is not the case, she/he is referred to [2] and [3], respectively.

#### 2.1 Notational conventions

More than a terminological nuance, the distinction between the *state* and the *status* of an object is fundamental to understand this document. More specifically:

- In SMDs, in accordance to UML terminology [1], the *state* of an object denotes a situation during which some invariant conditions holds.
- In CDs, we use the term object's *status* with a broad scope, referring to the values of the object's attributes at some moment, which particularly could correspond to a concrete *state* but not necessarily.

The PROV templates throughout this document are represented following the PROV graph conventions given in [4].

We also use *qualified names* (e.g., prov:value) in accordance to PROV-DM [2]. In compliance with PROV-DM, we note that a *qualified name* can be mapped to an Internationalized Resource Identifier (IRI) [5] by concatenating the IRI associated with the prefix (e.g., prov) and the local part (e.g., value). Every *qualified name* with a prefix refers to the namespace of the prefix. The following namespaces and prefixes are used throughout this document.

prefix	namespace IRI	definition
var	http://openprovenance.org/var#	The namespace for template variables
prov	http://www.w3.org/ns/prov#	The PROV namespace
xsd	http://www.w3.org/2000/10/XMLSchema#	XML Schema namespace
u2p	http://uml2prov.unirioja.es/ns/u2p#	UML2PROV namespace

 Table 1. Prefix and Namespaces used in this specification

#### 2.2 Structure of the patterns

We have structured the explanation of the defined patterns in the same five blocks: **Identifier**, **Context**, **UML Diagram**, **Mapping to PROV**, and **Discussion**. See the explanation of each block below.

#### 2.2.1 Identifier

Unique identifier of the transformation pattern. It is an acronym that refers to the type of UML diagram together with a numeric identifier. The UML *Seq*uence diagram *P*atterns are referred to as SeqP < N>, where *N* is the numeric identifier. Likewise, StP < N> corresponds to the UML *State* Machine *P*atterns, and ClP < N> to the UML *Class* Diagram *P*atterns.

#### 2.2.2 Context

The behaviour addressed by the pattern. In order to give a free of context explanation, being as agnostic as possible about the modeling language used to represent such a behaviour, we will use the natural language including well-known software engineering terminology (e.g., *object, operation...*), to identify the part of the domain for which the corresponding pattern proposes a translation.

Each pattern context block will include a detailed description of its *key elements*. When necessary, we will use nested elements to describe the different alternatives through which certain *key elements* participate in the context. We remark that not all the identified *key elements* explicitly appear in the context. Some patterns identify specific *key elements* that are inferred from the context because they play an important role in the pattern.

#### 2.2.3 UML Diagram

This block will depict the excerpt of the UML diagram with the elements that model the previous *key elements*. In addition, we provide a table, whose structure is illustrated below, that explains the representation of each *key element*, by means of UML elements. Additionally, we assign a green label containing a numeric identifier to each UML element, which makes it easier its location in the UML diagram.

Key Element	UML	Rationale
Name of the element	UML element 🗗	The fundamental reasons serving to account for the use of the <i>UML element</i> for modelling the <i>key element</i> .

#### 2.2.4 Mapping to PROV

This block contains the PROV template generated from the previous excerpt of *UML Diagram*, together with a explanation about the transformation, that is, the *PROV elements, attributes*, and *PROV relations* generated from the UML elements in the *UML Diagram*. We assign a numeric identifier to each *PROV element* that corresponds to the identifier of the *UML* element from which it comes from. Additionally, each *relation* among PROV elements appearing in the PROV template is labeled with a letter that helps link such a relation with its description. The structure used to specify this block is the following:

#### **PROV** elements

UML	PROV / id	Rationale
UML element 🗈	PROV element 🗗/	The explanation of the mapping between UML element and PROV ele-
	<pre>var:<identifier></identifier></pre>	ment.

#### Attributes

<b>PROV Element</b>	Attribute / Value	Description
PROV element 🗗	name of attribute / assigned value	The description of the meaning of the attribute and its value.

*Note*: Throughout this specification, we have included the attributes tmpl:startTime and tmpl:endTime associated with Activities because we consider such an information very useful from a provenance point of view. Nevertheless, both attributes are optional and the user is free to include them.

#### **PROV** relations

PROV Relation	Description
PROV relation <b></b>	Description of the relation.

*Note*: In PROV, two relationships of the form (B, prov:used, A) and (C, prov:wasGeneratedBy, B) may be enriched with (C, prov:wasDerivedFrom, A) to express the dependency of C on A. This structure is a provenance construction

called *use-generate-derive triangle* [3] which explicitly connects a *generated* prov:Entity to a *used* prov:Entity. In the realm of this work, it may be applied in those templates in which a prov:Entity is *used* by a prov:Activity, and such a prov:Activity *generated* another prov:Entity. However, aiming at avoiding the overburden of the PROV template explanations with information that can be inferred, we have decided to include the relation prov:wasDerivedFrom only when the context of the pattern explicitly refers to such a derivation.

#### 2.2.5 Discussion

Issues related to the transformation of UML to PROV. Concretely, we will focus on the explanation and justification of our transformation decisions together with alternative solutions (if any), and some questions that are likely to come up to the reader.

### **3** Index of patterns

Modelled by means of UML Sequence Diagrams		
Pattern identifier	Context	Page
SeqP1	A participant (the sender) interacts with another participant (the recipient) by calling an operation in the recipient, and then, it continues immediately. The call causes the recipient to execute the operation.	6
SeqP2	A participant (the sender) interacts with another participant (the recipient) by calling an operation in the recipient and waiting for a response. The call causes the recipient to execute the operation and to respond the sender after the execution.	9
SeqP3	During the execution of an operation (main operation), a nested operation call is made. After this call, the execution of the main operation can either continue immediately or wait for the response of that nested operation call. This way, this pattern complements <i>SeqP1</i> and <i>SeqP2</i> .	12
SeqP4	During the execution of an operation (main operation), a response of a previously issued nested operation call is received. The main operation's execution uses this response to complete its behaviour. This way, this pattern complements <i>SeqP1</i> and <i>SeqP2</i> with additional information regarding the response to the <i>nested operation call</i> (addressed by <i>SeqP3</i> ).	15

# Modelled by means of UML State Machine Diagrams Pattern identifier Context Page StP1 As a consequence of the execution of an operation, an object is created in its first state. This operation is usually the constructor of the object. 19 StP2 As a consequence of the execution of an operation, the behaviour of an object is completed. 23 StP3 As a consequence of the execution of an operation, an object changes its state. 27

Modelled	l by means	of UML	Class Diagrams
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Pattern	Context	Page
identifier		
ClP1	The execution of an operation provokes the creation of a new object.	33
ClP2	The execution of an operation provokes the destruction of an object.	36
CIP3	The execution of an operation on an object returns values of concrete object's attributes. The values are returned as they are, without any further processing. This execution does not provoke the change of the object's status.	38
ClP4	The execution of an operation on an object returns values that are computed based on the object's status as a whole (the values of concrete attributes involved in the computation are unknown or irrelevant). This execution does not provoke the change of the object's status.	41
CIP5	The execution of an operation on an object returns values that are computed based on values of concrete object's attributes. This execution does not provoke the change of the object's status.	44
ClP6	The execution of an operation on an object changes the object's status as a whole (the concrete modified attributes are unknown or irrelevant).	48
ClP7	The execution of an operation on an object directly sets the information passed to the operation as values of concrete object's attributes, thus provoking a change in the object's status.	53
ClP8	The execution of an operation on an object changes the values of concrete object's attributes, thus provoking a change in the object's status.	58
ClP9	The execution of an operation on an object removes element(s) from a concrete object's collection attribute, thus provoking a change in the object's status.	63
ClP10	The execution of an operation on an object directly adds the information passed to the operation as new element(s) of a concrete object's collection attribute, thus provoking a change in the object's status.	68

# 4 UML Sequence Diagrams

Pattern identifier	Context	Page
SeqP1	A participant (the sender) interacts with another participant (the recipient) by calling an operation in the recipient, and then, it continues immediately. The call causes the recipient to execute the operation.	6
SeqP2	A participant (the sender) interacts with another participant (the recipient) by calling an operation in the recipient and waiting for a response. The call causes the recipient to execute the operation and to respond the sender after the execution.	9
SeqP3	During the execution of an operation (main operation), a nested operation call is made. After this call, the execution of the main operation can either continue immediately or wait for the response of that nested operation call. This way, this pattern complements <i>SeqP1</i> and <i>SeqP2</i> .	12
SeqP4	During the execution of an operation (main operation), a response of a previously issued nested operation call is received. The main operation's execution uses this response to complete its behaviour. This way, this pattern complements $SeqP1$ and $SeqP2$ with additional information regarding the response to the <i>nested operation call</i> (addressed by $SeqP3$ ).	15

#### Identifier Sequence diagram Pattern 1 (SeqP1)

#### Context

A participant (the sender) interacts with another participant (the recipient) by calling an operation in the recipient, and then, it continues immediately. The call causes the recipient to execute the operation.

#### Key elements

Sender	The participant that makes the operation call.
Operation call	The call that starts the execution of the operation.
Input data	The information (if any) passed to the operation through the Operation call.
<b>Operation</b> execution	The execution of the operation.

#### **UML** Diagram

UML	Rationale
Lifeline 🕩	It models the Sender participant involved in the interaction.
Asynchronous Message 🗗	It models the <i>Operation call</i> when the <i>Sender</i> does not wait for a response, but instead continues immediately after sending the message.
Input Arguments B	They specify the information passed to the operation through the <i>Operation call</i> .
ExecutionSpecification 🗗	It shows the period of time that the recipient's participant devotes to the <i>Operation execution</i> .
-	Lifeline D Asynchronous Message D Input Arguments D

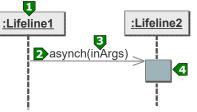


Figure 1. UML representation that models the context given by SeqP1

#### Mapping to PROV

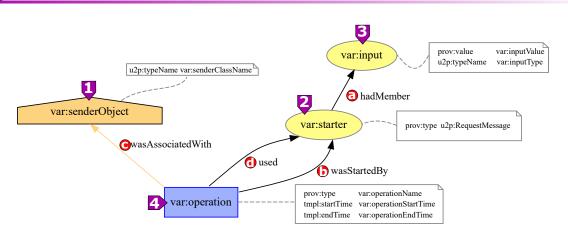


Figure 2. PROV template generated from the UML representation used in SeqP1 (Figure 1)

#### **PROV** elements

UML	PROV / id	Rationale
Lifeline 🕩	prov:Agent <b>D</b> / var:senderObject	The sender Lifeline D is mapped to a prov:Agent identified by var:senderObject. It assumes the responsibility for starting the ExecutionSpecification D.
Asynchronous Message 🕑	prov:Entity <b>D</b> / var:starter	The Asynchronous Message D that initiates the ExecutionSpecification D of the recipient is a prov:Entity with identifier var:starter.
Input Arguments 🗗	prov:Entity <b>D</b> / var:input	Each argument of Input Arguments <b>D</b> is a separate prov:Entity identified as var:input.
ExecutionSpecification 🗗	prov:Activity 🗗/ var:operation	The ExecutionSpecification is a prov:Activity with identifier var:operation.

#### Attributes

<b>PROV Element</b>	Attribute / Value	Description	
var:senderObject 🚺	u2p:typeName/	The value var:senderClassName is the string with the name	
	var:senderClassName	of the class to which the var:senderObject D belongs.	
var:starter 왿	prov:type/	The value u2p:RequestMessage shows that	
	u2p:RequestMessage	var:starter 🕑 is a request message.	
var:input 🖪	prov:value/	The value var:inputValue is the direct representation of	
	var:inputValue	var:input 🗈.	
	u2p:typeName/	The value var: input Type is the string with the name of the	
	var:inputType	class to which var: input B belongs.	
var:operation 4	prov:type/	The value var: operationName is the name of the operation	
	var:operationName	var:operation \Lambda.	
	<pre>tmpl:startTime/</pre>	<pre>var:operationStartTime is an xsd:dateTime value for</pre>	
	var:operationStartTime	the start of var:operation 🕗.	
	tmpl:endTime/	var:operationEndTime is an xsd:dateTime value for the	
	var:operationEndTime	end of var:operation 🕰.	

#### **PROV** relations

Description		
It states that var: input is one of the elements in var: starter.		
var:operation is deemed to have been started by var:starter.		
It is the assignment of responsibility to var:senderObject for		
var:operation.		
It is the beginning of utilizing var:starter by var:operation.		

#### Discussion

- Figure 2 depicts the responsibility of the *Sender* lifeline (var:senderObject) for the recipient lifeline to execute the operation (var:operation). However, the recipient lifeline is not modelled in this PROV template, even though it is the participant that executes the operation. This decision is based on other patterns' better ability to both (1) identify the participant responsible for executing that operation, and (2) give a more detailed information about the implications that the execution of that operation has in the recipient participant. More specifically, these patterns are: *StP1-StP3*, which mainly focus on representing possible changes in an object's state caused by an *Operation execution*; and patterns *ClP1-ClP10*, which put more stress on how the execution affects the status of the object responsible for performing such an execution.
- Although the *context* of this pattern does not explicitly state that *Input data* should be passed to the operation, we have considered this circumstance with the aim of covering a wider spectrum of cases. When the *Operation call* lacks *Input*

*data*, the UML representation in Figure 1 will not include Input Arguments **D**. As a consequence, the resulting PROV template in Figure 2 will also lack var:input **D** and its associated PROV relations. Finally, we remark that the resulting PROV template does not reflect the *usage* of var:input **D** by var:operation **D** because SqDs stick to the flow of information, not its usage. Patterns addressing CDs (*ClP1-ClP10*) are better suited for this purpose.

#### Identifier Sequence diagram Pattern 2 (SeqP2)

#### Context

A participant (the sender) interacts with another participant (the recipient) by calling an operation in the recipient and waiting for a response. The call causes the recipient to execute the operation and to respond the sender after the execution.

Key elements	
Sender	The participant that makes the operation call.
Operation call	The call that starts the execution of the operation.
Input data	The information (if any) passed to the operation through the Operation call.
Operation execution	The execution of the operation.
Response	The recipient's response to the Operation call.
Output data	The information contained in the Response.

#### **UML** Diagram

Key Element	UML	Rationale
Sender	Lifeline <b>D</b>	It models the Sender participant involved in the interaction.
Operation call	Synchronous Message 2	It models the <i>Operation call</i> when the <i>Sender</i> waits for a response.
Input data	Input Arguments 🗗	They specify the information passed to the operation through the <i>Operation call</i> .
Operation execution	ExecutionSpecification 争	It shows the period of time that the recipient's participant devotes to the <i>Operation execution</i> .
Response	Reply Message 🗗	It specifies the response to the Operation call.
Output data	Output Arguments 🗗	They specify the information contained in the <i>Response</i> .

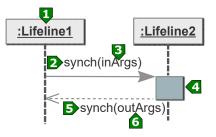


Figure 3. UML representation that models the context given by *SeqP2* 

#### Mapping to PROV

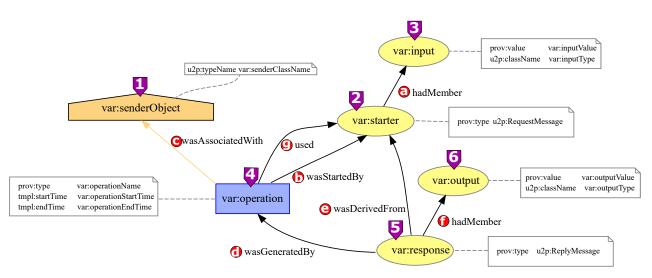


Figure 4. PROV template generated from the UML representation used in SeqP2 (Figure 3)

UML	PROV / id	Rationale
Lifeline D	prov:Agent <b>D</b> / var:senderObject	The sender Lifeline <b>D</b> is mapped to a prov:Agent identified by var:senderObject. It assumes the responsibility for starting the ExecutionSpecification <b>D</b> .
Synchronous Message 🗗	prov:Entity D/ var:starter	The Synchronous Message D that initiates the ExecutionSpecification D of the recipient is a prov:Entity with identifier var:starter.
Input Arguments B	prov:Entity B>/ var:input	Each argument of Input Arguments  is a separate prov:Entity identified as var:input.
ExecutionSpecification 🗗	prov:Activity 4)/ var:operation	The ExecutionSpecification is a prov:Activity with identifier var:operation.
Reply Message <b>5</b>	<pre>prov:Entity D/ var:response</pre>	The Reply Message Synchronous Message identifier var:response.
Output Arguments 🗗	<pre>prov:Entity / var:output</pre>	Each argument of Output Arguments  is a separate prov:Entity identified as var:output.

#### **PROV** elements

#### Attributes

<b>PROV Element</b>	Attribute / Value	Description
var:senderObject 🕩	u2p:typeName/	The value var:senderClassName is the string with the name
	var:senderClassName	of the class to which the var:senderObject D belongs.
var:starter 2	prov:type/	The value u2p:RequestMessage shows that
	u2p:RequestMessage	var:starter 🕑 is a request message.
var:input 🖻	prov:value/	The value var: inputValue is the direct representation of
	var:inputValue	var:input B.
	u2p:typeName/	The value var: input Type is the string with the name of the
	var:inputType	class to which the var:input <b>B</b> belongs.
var:operation 4	prov:type/	The value var: operationName is the name of the operation
	var:operationName	var:operation 💁.
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime value
	var:operationStartTime	for the start of var:operation [].
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value for
	var:operationEndTime	the end of var:operation 4.
var:response 5	prov:type/	The value u2p:ReplyMessage shows that var:response <b>D</b>
	u2p:ReplyMessage	is a reply message.
var:output 🗗	prov:value/	The value var:outputValue is the direct representation of
	var:outputValue	var:output 🗗.
	u2p:typeName/	The value var:outputType is a string with the name of the
	var:outputType	class to which var:output 🗗 belongs.

#### **PROV** relations

PROV Relation	Description		
<pre> prov:hadMember </pre>	It states that var: input is one of the elements in var: starter.		
<b>b</b> prov:wasStartedBy	var:operation is deemed to have been started by var:starter.		
• prov:wasAssociatedWith	It is the assignment of responsibility to var:senderObject for var:operation.		
<pre> prov:wasGeneratedBy </pre>	It is the completion of production of var:response by var:operation.		
<pre> prov:wasDerivedFrom </pre>	It is the construction of var: response based on var: starter reception.		
<pre> f prov:hadMember </pre>	It states that var:output is one of the elements in var:response.		
9 prov:used	It is the beginning of utilizing var:starter by var:operation.		

#### Discussion

- Figure 4 depicts the responsibility of the *Sender* lifeline (var:senderObject) for executing the operation (var:operation) in a recipient lifeline. However, the recipient lifeline is not modelled in this PROV template, even though it is the participant that executes the operation. This decision is based on other patterns' better ability to both (1) identify the participant responsible for executing that operation, and (2) give a more detailed information about the implications that the execution of that operation has in the recipient participant. More specifically, these patterns are: *StP1-StP3*, which mainly focus on representing possible changes in an object's state caused by an *Operation execution*; and patterns *ClP1-ClP10*, which put more stress on how the execution affects the status of the object responsible for performing such an execution.
- Although the *context* of this pattern does not explicitly state that *Input data* should be passed to the operation, we have considered this circumstance with the aim of covering a wider spectrum of cases. When the *Operation call* lacks *Input data*, the UML representation in Figure 3 will not include Input Arguments **D**. As a consequence, the resulting PROV template in Figure 4 will also lack var: input **D** and its associated PROV relations. Finally, we remark that the resulting PROV template does not reflect the *usage* of var: input **D** by var: operation **D** because SqDs stick to the flow of information, not its usage. Patterns addressing CDs (*ClP1-ClP10*) are better suited for this purpose.

#### Identifier Sequence diagram Pattern 3 (SeqP3)

#### Context

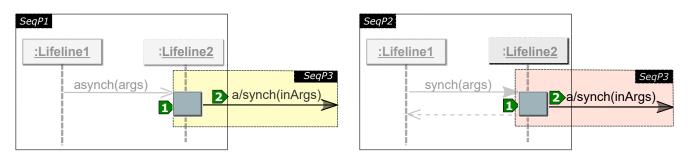
During the execution of an operation (main operation), a nested operation call is made. After this call, the execution of the main operation can either continue immediately or wait for the response of that nested operation call. This way, this pattern complements SeqP1 and SeqP2.

#### Key elements

(Main) Operation execution	The execution of the main operation.
(Nested) Operation call	The nested operation call sent during the Main operation execution.

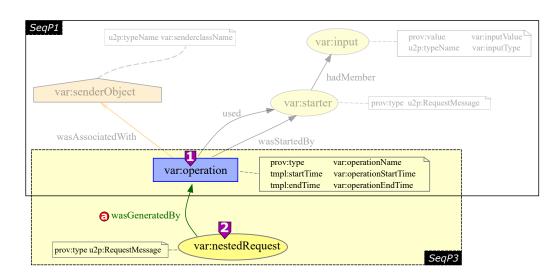
#### UML Diagram

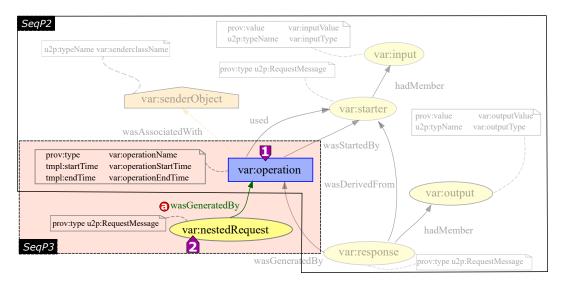
Key Element	UML	Rationale
Main operation exe- cution	ExecutionSpecification D	It shows the period of time that takes the <i>Main operation execution</i> .
Nested operation call	Synchronous Message ව or Asynchronous Message ව	It models the <i>Nested operation call</i> either when its sender waits for a response, or when it does not wait for a response, but instead continues immediately after sending the message.



**Figure 5.** The left hand side is the UML representation of *SeqP1* complemented by *SeqP3*, whereas the right hand side is the UML representation of *SeqP2* complemented by *SeqP3*. Only the shaded areas correspond to the UML elements contributed by this pattern.

#### Mapping to PROV





**Figure 6.** At the top, it is a PROV template generated from the UML representation in the left side of Figure 5. At the bottom, it is a PROV template generated from the UML representation in the right side of Figure 5. Only the shaded areas correspond to the PROV elements contributed by this pattern.

#### **PROV** elements

UML	PROV / id	Rationale
ExecutionSpecification D	prov:Activity D/ var:operation	The ExecutionSpecification <b>D</b> is a prov:Activity with identifier var:operation.
Synchronous Message 🗗 or Asynchronous Message 🗗	<pre>prov:Entity D/ var:nestedRequest</pre>	TheSynchronousMessageorAsynchronousMessageDsentfromthe
		ExecutionSpecification <b>D</b> is a prov:Entity with identifier var:nestedRequest.

#### Attributes

<b>PROV Element</b>	Attribute / Value	Description
var:operation 🕩	prov:type/	The value var:operationName is the name of the operation
	var:operationName	var:operation 🗈
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime
	var:operationStartTime	value for the start of var: operation 4.
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value
	var:operationEndTime	for the end of var: operation (2).
var:nestedRequest ව	prov:type/	The value u2p:RequestMessage shows that
	u2p:RequestMessage	var:nestedRequest 🇈 is a request message.

#### **PROV** relations

PROV Relation	Description
<pre>prov:wasGeneratedBy</pre>	It is the completion of production of var:nestedRequest by var:operation.

#### Discussion

• The same element ('request message' in this case) appears in different patterns playing different roles. In *SeqP3* the request message models the call started from an ExecutionSpecification. However, in *SeqP1* and *SeqP2*, this same request message models the call that starts an ExecutionSpecification. The former way of looking at the request message is translated into var:nestedRequest (in *SeqP3*), and the latter is translated into var:starter (in *SeqP1* and *SeqP2*). Consequently, despite var:nestedRequest and var:starter being two different elements of type prov:Entity appearing in two different PROV templates, both must be assigned to the same value during the execution of the application. Therefore, after merging all the expanded PROV templates, a single prov:Entity will be generated.

#### Identifier Sequence diagram Pattern 4 (SeqP4)

#### Context

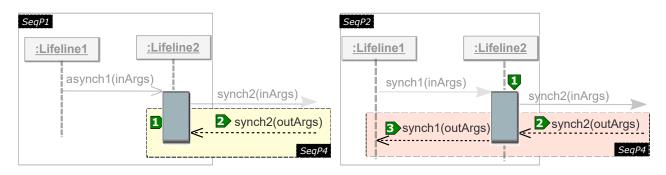
During the execution of an operation (main operation), a response of a previously issued nested operation call is received. The main operation's execution uses this response to complete its behaviour. This way, this pattern complements SeqP1 and SeqP2 with additional information regarding the response to the *nested operation call* (addressed by SeqP3).

#### Key elements

(Main) Operation execution	The execution of the main operation.	
(Nested) Response	The response to a nested operation call.	
(Main) Response	The response of the <i>Main operation execution</i> . This element is only identified when this pattern complements <i>SeqP2</i> .	

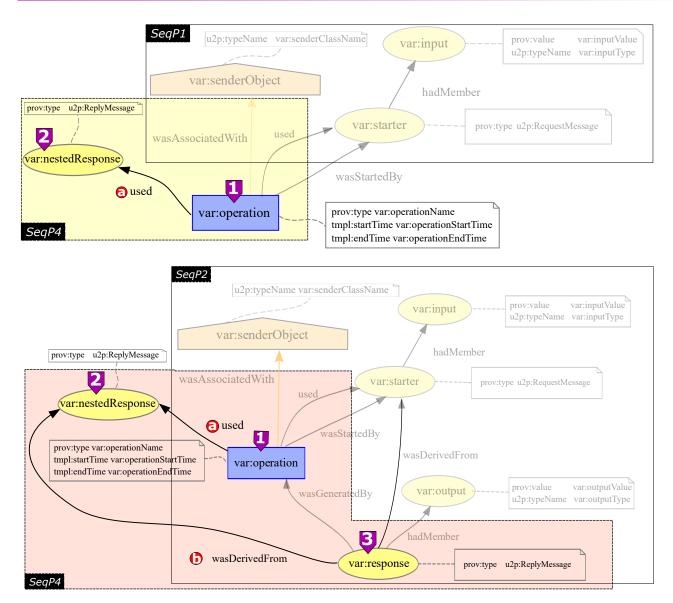
#### **UML** Diagram

Key Element	UML	Rationale
Main operation execution	ExecutionSpecification <b>D</b>	It shows the period of time that takes the <i>Main operation execution</i> .
Nested response	Reply Message 🕑	It specifies the response received in the <i>Main operation execution</i> .
Main response	Reply Message B	In case of complementing <i>SeqP2</i> , it specifies the response of the <i>Main operation execution</i> .



**Figure 7.** The left hand side is the UML representation that models the context given by *SeqP1* complemented by *SeqP4*, wheres the right hand side is the UML representation that models the context given by *SeqP2* complemented by *SeqP4*. Only the shaded areas correspond to the UML elements contributed by this pattern.

#### Mapping to PROV



**Figure 8.** At the top, it is the PROV template generated from the UML representation in the left side of Figure 7. At the bottom, it is a PROV template generated from the UML representation in the right side of Figure 7. Only the shaded areas correspond to the PROV elements contributed by this pattern.

#### **PROV** elements

UML	PROV / id	Rationale	
ExecutionSpecification D	prov:Activity D/ var:operation	The ExecutionSpecification <b>D</b> is a prov:Activity with identifier var:operation.	
Reply Message 🔁	<pre>prov:Entity D/ var:nestedResponse</pre>	The Reply Message D that is received in the ExecutionSpecification D is a prov:Entity with identifier var:nestedResponse.	
Reply Message 🗗	<pre>prov:Entity D/ var:response</pre>	In case of complementing <i>SeqP2</i> , the Reply Message sent from the ExecutionSpecification is prov:Entity with identifier var:response. For detail see <i>SeqP2</i> .	

#### Attributes

PROV Element	Attribute / Value	Description		
var:operation 🕩	prov:type/	The value var: operationName is the name of the op-		
	var:operationName	eration var:operation 🕩.		
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime		
	var:operationStartTime	value for the start of var:operation <b>D</b> .		
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime		
	var:operationEndTime	value for the end of var:operation <b>D</b> .		
var:nestedResponse 왿	prov:type/	The value u2p:ReplyMessage shows that		
	u2p:ReplyMessage	var:response 🕑 is a reply message.		
var:response 🗈	prov:type/	The value u2p:ReplyMessage shows that		
	u2p:ReplyMessage	var:response 🗈 is a reply message.		

#### **PROV** relations

PROV Relation	Description
<pre> prov:used </pre>	It is the beginning of utilizing var:nestedResponse by var:operation.
<pre> prov:wasDerivedFrom </pre>	It is the construction of var:response based on var:nestedResponse.

#### Discussion

• As it could be inferred from the context, a requirement for this pattern to be applied is that the *Main operation execution* uses the *Nested response* during its execution. This causes the relations prov:used and prov:wasDerivedFrom to appear in the template; the former showing that when the ExecutionSpecification receives the nested Reply Message , it *utilises* that Reply Message to complete its behaviour; and the latter showing that the main Reply Message is *influenced* by the nested Reply Message (this last one can only be applied if the *Main operation execution* is triggered by a synchronous message, i.e. when *SeqP4* complements *SeqP2*). If a specific scenario does not meet the aforementioned requirement, i.e., the *Main operation execution* does not use the *Nested response* or it is not worth recording such a dependency, this pattern should not be applied. Even in this case, the provenance about the nested operation call and its corresponding response would be captured thanks to *SeqP1* and *SeqP2*, respectively.

# 5 UML State Machine Diagrams

Pattern identifier	Context	Page
StP1	As a consequence of the execution of an operation, an object is created in its first state. This operation is usually the constructor of the object.	19
StP2	As a consequence of the execution of an operation, the behaviour of an object is completed.	23
StP3	As a consequence of the execution of an operation, an object changes its state.	27

#### Identifier State machine diagram Pattern 1 (StP1)

#### Context

As a consequence of the execution of an operation, an object is created in its first state. This operation is usually the constructor of the object.

#### Key elements

Object	The object created as a consequence of the execution of the operation.		
	<i>First object's state</i> The first state after the object creation. This is the first state the object may undergo during its lifetime.		
Object creation	The execution of the operation that creates the object.		

#### **UML** Diagram

Key Element	UML	Rationale	
Object Object D It represents the created obj		It represents the created object.	
		Note: since Object lacks a graphical representation in UML State Ma-	
		chine diagrams, Figure 9 does not depict this element.	
	StateMachine 2	In UML, a StateMachine represents the set of states an Object c	
		through during its lifetime in response to events.	
Object creation	Initial	It refers to the execution of the operation that creates the Object, lead	
	Pseudostate B	it to its first state.	
First object's state	State 4	It models the first state of the Object.	

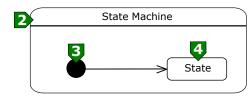


Figure 9. UML representation that models the context given by StP1

# Mapping to PROV



Figure 10. PROV template generated from the UML representation used in *StP1* (Figure 9)

u2p:typeName var:typeName

wasAttributedTo

#### **PROV** elements

UML	PROV / id	Rationale
Object 🕩	prov:Agent D/ var:object	The Object D bears some form of responsibility for the existence of the StateMachine D, since the existence of StateMachine D does not make sense without an Object D. To reflect this fact, the Object D is mapped to a prov:Agent identified by var:object.
StateMachine 🗗	prov:Entity 🗗 / var:objectSM	The StateMachine D is a prov:Entity identified by var:objectSM. It reflects the abstraction of the object's states, which will be specialized by each concrete state the object goes through.
Initial Pseudostate 🗗	prov:Activity <b>D</b> / var:operation	The Initial Pseudostate <b>D</b> , referring to the execution of the operation that creates the Object <b>D</b> , is a prov:Activity with the identifier var:operation.
State 🎝	prov:Entity 🕑/ var:postObject	The State  is a prov: Entity identified by var: postObject. We use this name for this identifier because it corresponds to the state of the Object  after (post) the object creation.

#### Attributes

PROV Element	Attribute / Value	Description	
var:object 🕩	u2p:typeName/	The value var:className is the string with the name of the class	
	var:className	to which var:object 🕩 belongs.	
var:objectSM 왿	prov:type/	The value u2p:StateMachine shows that var:objectSM D is	
	u2p:StateMachine	a state machine.	
var:operation B	prov:type/	The value var:operationName is the name of the operation	
	var:operationName	var:operation B	
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime value for	
	var:operationStartTime	the start of var:operation <b>D</b> .	
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value for	
	var:operationEndTime	the end of var:operation <b>B</b> .	
var:postObject 🕑	prov:type/	The value var:className is the name of the class to which the	
	var:className	object in the state var:postObject 💁 belongs.	
	u2p:state/	The value var:targetState is the string with the name of the	
	var:targetState	state var:postObject 🕑.	

#### **PROV** relations

PROV Relation	Description	
<pre> prov:wasAttributedTo </pre>	It is the assignment of responsibility to var:object for var:objectSM.	
<pre>prov:wasGeneratedBy</pre>	It is the completion of production of var:postObject by var:operation.	
• prov:specializationOf	var:postObject is a specialization of var:objectSM.	

#### Discussion

• Note that Figure 9 only contains simple states. We do not deal with composite or submachine states, and focus only on simple states, because the former may be transformed into the latter by resorting to a flattening process consisting of removing composite states as well as submachine states. In fact, to flatten State Machine diagrams is a very common approach in contexts such as model checking and code generation [6]. However, the user might be interested in representing composite states directly into the PROV templates, perhaps because she/he is interested in collecting information about them, or just because she/he does not want to flatten the State Machine diagram. We can give an insight into how composite states can be mapped to PROV by placing the elements from Figure 9 inside a Composite State **D** (see Figure 11). A reader familiar with the UML specification will realize that the semantics of the Initial Pseudostate **D** in Figures 9 and 11

are different, but these semantic nuances would have no effect on the PROV transformation. The transformation of Figure 11 is shown in Figure 12. Both Figure 11 and 12 highlight the added elements by blurring the elements coming from Figure 9 and Figure 10, respectively. Briefly speaking, the new Composite State D is translated into a prov:Entity identified by var:compState D, which is associated with var:objectSM D and var:targetState D by means of the relations O prov:specializationOf and O prov:hadMember, respectively. At this point, it is also worth remarking that for this example we have used a *simple composite state* (i.e., Composite State D), which means that only one substate is active at a given time within such a state; but we could have used *orthogonal composite states* instead, which means that into the same PROV template (see Figure 12); nevertheless, the generated bindings would be different. In case of a *simple composite state*, as there can be only one active substate at the same time, there would be only one value associated with the variable var:postObject D. Conversely, in case of an *orthogonal composite state*, var:postObject D will be associated with several values (as many as active states).

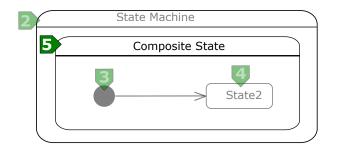


Figure 11. Excerpt of a UML State Machine diagram locating the UML elements from *StP1* in a *simple composite state*.

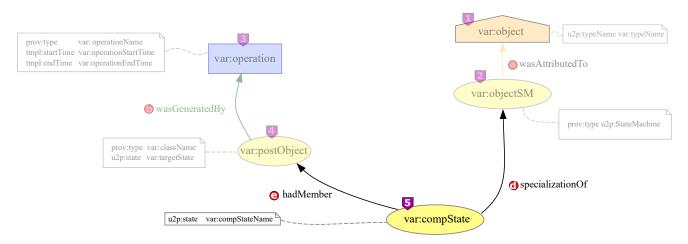


Figure 12. PROV template generated from the UML diagram in Figure 11

#### **PROV** elements

UML	PROV / id	Rationale	
Composite State 🗗	prov:Entity 5/	The Composite State	➡ is a prov:Entity identified by
	var:compState	var:compState.	

#### Attributes

PROV Element	Attribute / Value	Description
var:compState 🗗	u2p:state/	The value var:compStateName is the string with the name of
	var:compStateName	the state var:compState 5

#### **PROV** relations

PROV Relation	Description
<pre>prov:specializationOf</pre>	var:compState is a specialization of var:objectSM.
<pre> prov:hadMember </pre>	It states that var:postObject is one of the elements in var:compState.

#### Identifier State machine diagram Pattern 2 (StP2)

#### Context

As a consequence of the execution of an operation, the behaviour of an object is completed.

#### Key elements

Object	The object that completes its behaviour.	
	Pre-operation object's state	The state of the object before the execution of the operation. This is one of the states the object may undergo during its lifetime.
	Final object's state	The state that represents that the object's behaviour is completed.
Operation execution	The execution of the operation that leads the object to complete its behaviour.	

#### **UML** Diagram

Key Element	UML	Rationale
Object	Object 🕩	It represents the object whose behaviour is completed.
		Note: since Object lacks a graphical representation in UML State
		Machine diagrams, Figure 13 does not depict this element.
	StateMachine 2	In UML, a StateMachine can be used to express the set of states
		through which the <i>Object</i> goes during its lifetime in response to events.
Pre-operation object's	State B	It models the state of the <i>Object</i> before the <i>Operation execution</i> .
state		
Final object's state	FinalState 4	It models the state of the Object after the Operation execution.
Operation execution	Event <b>D</b>	It specifies that the Operation execution that triggers the change in the
		Object's state has taken place.

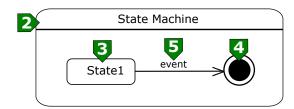


Figure 13. UML representation that models the context given by *StP2* 

#### Mapping to PROV

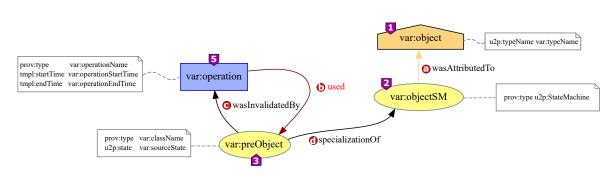


Figure 14. PROV template generated from the UML representation used in *StP2* (Figure 13)

#### **PROV** elements

UML	PROV / id	Rationale
Object 🕩	prov:Agent <b>D</b> / var:object	The Object <b>D</b> bears some form of responsibility for the existence of the StateMachine <b>D</b> , since the existence of StateMachine <b>D</b> does not make sense without an Object <b>D</b> . To reflect this fact, the Object <b>D</b> is mapped to a prov:Agent identified by var:object.
StateMachine 🗗	prov:Entity 🕑/ var:objectSM	The StateMachine D is a prov:Entity identified by var:objectSM. It reflects the abstraction of the object's states, which will be specialized by each state the object goes through.
State <b>D</b>	prov:Entity <b>D</b> / var:preObject	The State <b>D</b> is a prov: Entity identified by var: preObject. We use this name for this identifier because it corresponds to the state of the Object <b>D</b> before (pre) the execution of the operation.
FinalState	None /	Without mapping (see the discussion block for an explanation about this decision).
Event <b>D</b>	prov:Activity <b>D</b> / var:operation	The Event <b>D</b> represents that the execution of an operation has taken place. Such an execution is a prov:Activity with the identifier var:operation.

#### Attributes

PROV Element	Attribute / Value	Description
var:object 🕩	u2p:typeName/	The value var:className is the string with the name of the class
	var:className	to which var:object 🕩 belongs.
var:objectSM 왿	prov:type/	The value u2p:StateMachine shows that var:objectSM D is
	u2p:StateMachine	a state machine.
var:preObject 🕑	prov:type/	The value var:className is the string with the name of the class
	var:className	to which the object in the state var:preObject 🗈 belongs.
	u2p:state/	The value var: sourceState is the string with the name of the
	var:sourceState	state var:preObject 🗈.
var:operation 5	prov:type/	The value var:operationName is the name of the operation
	var:operationName	var:operation <b>5</b> .
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime value for
	var:operationStartTime	the start of var:operation D.
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value for
	var:operationEndTime	the end of var:operation <b>5</b> .

#### **PROV** relations

PROV Relation	Description
<pre>prov:wasAttributedTo</pre>	It is the assignment of responsibility to var:object for var:objectSM.
<b>b</b> prov:used	It is the beginning of utilizing var:preObject by var:operation.
• prov:wasInvalidatedBy	It shows that var:preObject is not longer available for use.
<pre> prov:specializationOf </pre>	var:preObject is a specialization of var:objectSM.

#### Discussion

• This pattern is consistent with *CIP2* because the completion of the object's behaviour usually involves its destruction. Among the different reasons why an *Object* can complete its behaviour, we can distinguish its destruction, from the remainder cases. In order to be consistent with *CIP2* (that addresses the execution of an operation which provokes the destruction of an object), we have decided not to explicitly map the FinalState D in the PROV template but including its semantics (the completion of the object's behaviour) by the relation **G** prov:wasInvalidatedBy showing that var:preObject **D** is not longer

available. However, if the user is interested in explicitly representing the FinalState D into the PROV templates, we refer him/her to *StP3*, where the state of the *Object* before and after an *Operation execution* is included.

• Figure 13 only contains simple states. We do not deal with composite or submachine states, and focus only on simple states, because the former may be transformed into the latter by resorting to a flattening process consisting of removing composite states as well as submachine states. In fact, to flatten State Machine diagrams is a very common approach in contexts such as model checking and code generation [6]. However, the user might be interested in representing composite states directly into the PROV templates, perhaps because she/he is interested in collecting information about them, or just because she/he does not want to flatten the State Machine diagram. We can give an insight into how composite states can be mapped to PROV by placing the elements from Figure 13 inside a Composite State D (see Figure 15). A reader familiar with the UML specification will realize that the semantics of the FinalState D in Figures 13 and 15 are different, but these semantic nuances would have no effect on the PROV transformation. The transformation of Figure 15 is shown in Figure 16. Both Figure 15 and 16 highlight the added elements by blurring the elements coming from Figure 13 and Figure 14, respectively. Briefly speaking, the new Composite State D is translated into a prov: Entity identified by var:compState 3, which is associated with var:objectSM 2 and var:preObject 3 by means of the relations f prov:specialization of and o prov:hadMember, respectively. At this point, it is also worth remarking that for this example we have used a *simple composite state* (i.e., Composite State **D**), which means that only one substate is active at a given time within such a state; but we could have used orthogonal composite states instead, which means that within such a state several substates are active at the same time. Note that both types of *composite states* would be translated into the same PROV template (see Figure 16); nevertheless, the generated bindings would be different. In case of a *simple* composite state, as there can be only one active substate at the same time, there would be only one value associated with the variable var:preObject **D**. Conversely, in case of an *orthogonal composite state*, var:preObject **D** will be associated with several values (as many as active states).

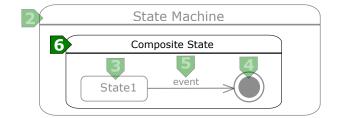


Figure 15. Excerpt of a UML State Machine diagram locating the UML elements from *StP2* in a *simple composite state*.

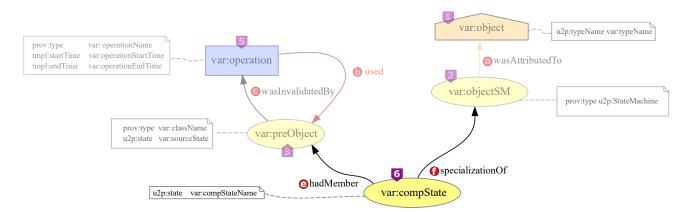


Figure 16. PROV template generated from the UML diagram in Figure 15

#### **PROV elements**

UML	PROV / id	Rationale	
Composite State 🗗	prov:Entity 🗗/ var:compState	The Composite State var:compState.	Is a prov:Entity identified by

#### Attributes

PROV Element	Attribute / Value	Description
var:compState 🗗	u2p:state/	The value var:compStateName is the string with the name of
	var:compStateName	the state var:compState 💿

#### **PROV** relations

PROV Relation	Description
<pre> prov:hadMember </pre>	It states that var:preObject is one of the elements in var:compState.
<pre> prov:specializationOf </pre>	var:compState is a specialization of var:objectSM.

#### **Identifier** State machine diagram Pattern 3 (StP3)

#### Context

As a consequence of the execution of an operation, an object changes its state.

#### Key elements

Object	The object that changes its state.	
	<i>Pre-operation object's state</i> The state of the object before the execution of the operation. This is one of the states the object may undergo during its lifeline.	
	<i>Post-operation object's state</i> The state of the object after the execution of the operation. This is one of the states the object may undergo during its lifeline.	
Operation execution	The execution of the operation that leads a change in the Object's state.	

#### UML Diagram

Key Element	UML	Rationale
Object	Object 🕩	It represents the object that changes its state.
•		Note: since Object lacks a graphical representation in UML State Ma-
		chine diagrams, Figure 17 does not depict this element.
	StateMachine <b>2</b>	In UML, a StateMachine can be used to express the set of object's states
		through which the Object goes during its lifetime in response to events.
Pre-operation object's state	State 🗗	It models the state of the <i>Object</i> before the <i>Operation execution</i> .
Post-operation ob- ject's state	State 🗗	It models the state of the <i>Object</i> after the <i>Operation execution</i> .
Operation execution	Event <b>D</b>	It specifies that the <i>Operation execution</i> that triggers the change in the
		<i>Object</i> 's state has taken place.

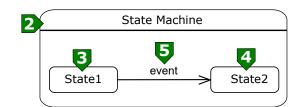


Figure 17. UML representation that models the context given by StP3

#### Mapping to PROV

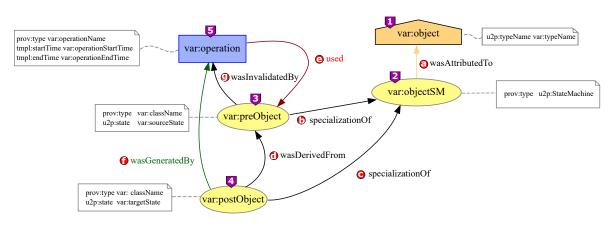


Figure 18. PROV template generated from the UML representation used in *StP3* (Figure 17)

#### **PROV** elements

UML	PROV / id	Rationale
Object 🕩	prov:Agent D/ var:object	The Object <b>D</b> bears some form of responsibility for the existence of the StateMachine <b>D</b> , since the existence of StateMachine <b>D</b> does not make sense without an Object <b>D</b> . To reflect this fact, the Object <b>D</b> is mapped to a prov:Agent identified by var:object.
StateMachine 🗗	prov:Entity 🗗/ var:objectSM	The StateMachine  is a prov:Entity identified by var:objectSM. It reflects the abstraction of the object's states, which will be specialized by each state the object goes through.
State B	prov:Entity <b>D</b> / var:preObject	The State <b>D</b> is a prov: Entity identified by var: preObject. We use this name for this identifier because it corresponds to the state of the Object <b>D</b> before (pre) the execution of the operation.
State	prov:Entity 🗗/ var:postObject	The State  is a prov: Entity identified by var: postObject. We use this name for this identifier because it corresponds to the state of the Object  after (post) the execution of the operation.
Event <b>D</b>	prov:Activity <b>D</b> / var:operation	The Event <b>D</b> represents that the execution of an operation has taken place. Such an execution is a prov:Activity with the identifier var:operation.

#### Attributes

PROV Element	Attribute / Value	Description
var:object 🕩	u2p:typeName/	The value var:className is the string with the name of the
	var:className	class to which var:object 🕩 belongs.
var:objectSM 왿	prov:type/	The value u2p:StateMachine shows that var:objectSM 2
	u2p:StateMachine	is a state machine.
var:preObject B	prov:type/	The value var:className is the name of the class to which
	var:className	the object in the state var:preObject 🕑 belongs.
	u2p:state/	The value var: sourceState is the string with the name of
	var:sourceState	the state var:preObject <b>D</b> .
var:postObject \Lambda	prov:type/	The value var:className is the name of the class to which
	var:className	the object in the state <code>var:postObject</code> 🕑 belongs.
	u2p:state/	The value var:targetState is the string with the name of
	var:targetState	the state var:postObject 🕑.
var:operation 🌗	prov:type/	The value var: operationName is the name of the operation
	var:operationName	var:operation <b>5</b> .
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime value
	var:operationStartTime	for the start of var:operation <b>5</b> .
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value for
	var:operationEndTime	the end of var:operation <b>D</b> .

#### **PROV** relations

PROV Relation	Description	
<pre> prov:wasAttributedTo </pre>	It is the assignment of responsibility to var:object for var:objectSM.	
<pre>prov:specializationOf</pre>	var:preObject is a specialization of var:objectSM.	
• prov:specializationOf	var:postObject is a specialization of var:objectSM.	
<pre>     prov:wasDerivedFrom </pre>	It is the update of var:preObject resulting in var:postObject.	
<pre> prov:used </pre>	It is the beginning of utilizing var:preObject by var:operation.	
<pre> prov:wasGeneratedBy </pre>	It is the completion of production of var:postObject by var:operation.	
prov:wasInvalidatedBy	It shows that var:preObject is not longer available for use.	

#### Discussion

• Figure 17 only contains simple states. We do not deal with composite or submachine states, and focus only on simple states, because the former may be transformed into the latter by resorting to a flattening process consisting of removing composite states as well as submachine states. In fact, to flatten State Machine diagrams is a very common approach in contexts such as model checking and code generation [6]. However, the user might be interested in representing composite states directly into the PROV templates, perhaps because she/he is interested in collecting information about them, or just because she/he does not want to flatten the State Machine diagram. We can give an insight into how composite states can be mapped to PROV by placing the elements from Figure 17 inside a Composite State 5 (see Figure 19). A reader familiar with the UML specification will realize that the semantics of the UML representation in Figures 17 and 19 are different, but these semantic nuances would have no effect on the PROV transformation. The transformation of Figure 19 is shown in Figure 20. Both Figure 19 and 20 highlight the added elements by blurring the elements coming from Figure 17 and Figure 18, respectively. Briefly speaking, the new Composite State **(b)** is translated into a prov: Entity identified by var:compState **(b)**, which is associated with var:objectSM D, var:preObject D, and var:postObject D by means of the relations **(**) prov: specializationOf, **(**) prov:hadMember, and **(**) prov:hadMember, respectively. At this point, it is also worth remarking that for this example we have used a *simple composite state* (i.e., Composite State **D**), which means that only one substate is active at a given time within such a state; but we could have used *orthogonal composite states* instead, which means that within such a state several substates are active at the same time. Note that both types of *composite* states would be translated into the same PROV template (see Figure 20); nevertheless, the generated bindings would be

different. In case of a *simple composite state*, as there can be only one active substate at the same time, there would be only one value associated with the variable var:preObject D and another value with var:postObject D. Conversely, in case of an *orthogonal composite state*, var:preObject D and var:postObject D will be associated with several values (as many as active states).

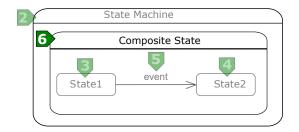


Figure 19. Excerpt of a UML State Machine diagram locating the UML elements from *StP3* in a *simple composite state*.

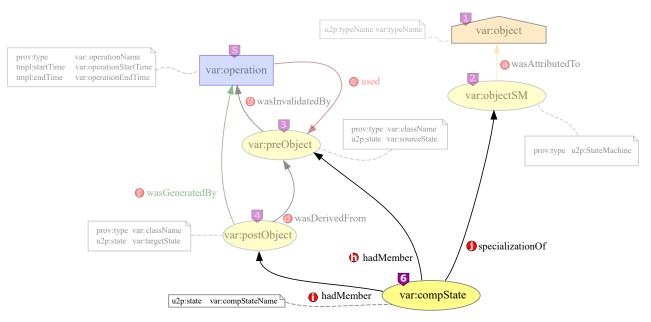


Figure 20. PROV template generated from the UML diagram in Figure 19

#### **PROV** elements

UML	PROV / id	Rationale	
Composite State 🗗	<pre>prov:Entity D/ var:compState</pre>	The Composite State var:compState.	Is a prov:Entity identified by

#### Attributes

PROV Element	Attribute / Value	Description
var:compState 🗿	u2p:state/	The value var:compStateName is the string with the name of
	var:compStateName	the state var:compState 5

#### **PROV** relations

PROV Relation	Description	
<b>()</b> prov:hadMember	It states that var:preObject is one of the elements in var:compState.	
<pre>prov:hadMember</pre>	It states that var:postObject is one of the elements in var:compState.	
<b>1</b> prov:specializationOf	var:compState is a specialization of var:objectSM.	

# 6 UML Class Diagrams

Pattern identifier	Context	Page
ClP1	The execution of an operation provokes the creation of a new object.	33
ClP2	The execution of an operation provokes the destruction of an object.	36
ClP3	The execution of an operation on an object returns values of concrete object's attributes. The values are returned as they are, without any further processing. This execution does not provoke the change of the object's status.	38
ClP4	The execution of an operation on an object returns values that are computed based on the object's status as a whole (the values of concrete attributes involved in the computation are unknown or irrelevant). This execution does not provoke the change of the object's status.	41
ClP5	The execution of an operation on an object returns values that are computed based on values of concrete object's attributes. This execution does not provoke the change of the object's status.	44
ClP6	The execution of an operation on an object changes the object's status as a whole (the concrete modified attributes are unknown or irrelevant).	48
ClP7	The execution of an operation on an object directly sets the information passed to the operation as values of concrete object's attributes, thus provoking a change in the object's status.	53
ClP8	The execution of an operation on an object changes the values of concrete object's attributes, thus provoking a change in the object's status.	58
ClP9	The execution of an operation on an object removes element(s) from a concrete object's collection attribute, thus provoking a change in the object's status.	63
ClP10	The execution of an operation on an object directly adds the information passed to the operation as new element(s) of a concrete object's collection attribute, thus provoking a change in the object's status.	68

#### Identifier Class diagram Pattern 1 (ClP1)

#### Context

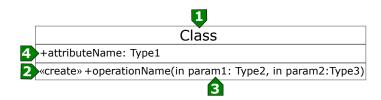
The execution of an operation provokes the creation of a new object.

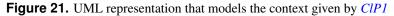
#### Key elements

Object	The object created as a consequence of the execution of the operation.
Operation execution	The execution of the operation.
Input data	The information (if any) passed into the Operation execution.
Object's attributes	The characteristics of the Object.

#### **UML** Diagram

Key Element	UML	Rationale
Object	Class 🕩	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use Class <b>D</b> to represent the <i>Object</i> after the execution of the operation.
Operation execution	Operation <b>D</b> «create»	The Operation <b>D</b> stereotyped by «create» represents the exe- cuted operation that creates the <i>Object</i> .
Input data	Input Parameters B	They specify the information passed into the Operation execution.
Object's attributes	Attributes 争	They represent the characteristics of the Object.





#### Mapping to PROV

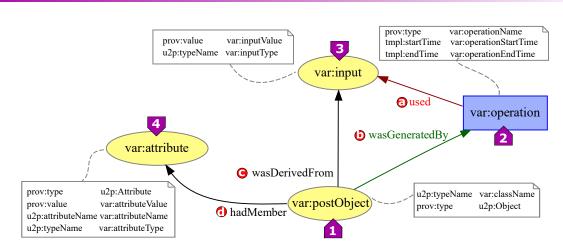


Figure 22. PROV template generated from the UML representation used in *ClP1* (Figure 21)

#### **PROV elements**

UML	PROV / id	Rationale
Class 🕩	prov:Entity D/ var:postObject	The Class D that models the object that is created by the operation is a prov:Entity identified as var:postObject. We use the prefix <i>post</i> in this identifier because the object is the result of the executed operation.
Operation 🗗 «create»	prov:Activity <b>D</b> / var:operation	The execution of Operation  stereotyped by «create» is a prov:Activity identified by var:operation.
Input Parameters B	prov:Entity <b>D</b> / var:input	Each parameter of Input Parameters  is a separate prov:Entity identified as var:input.
Attributes 🗗	<pre>prov:Entity D/ var:attribute</pre>	Each attribute of Attributes  is a separate prov:Entity with identifier var:attribute.

#### Attributes

<b>PROV Element</b>	Attribute / Value	Description
var:postObject D	u2p:typeName/	The value var:className is the string with the name of the
	var:className	class to which var:postObject Delongs.
	prov:type/	The value u2p:Object shows that var:postObject is an
	u2p:Object	object.
var:operation 왿	prov:type/	The value var: operationName is the name of the operation
	var:operationName	var:operation 🕑.
	<pre>tmpl:startTime/</pre>	The var: operationStartTime is an xsd: dateTime value
	var:operationStartTime	for the start of var: operation 2.
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value
	var:operationEndTime	for the end of var:operation <b>2</b> .
var:input 🖪	prov:value/	The value var: inputValue is the direct representation of
	var:inputValue	var:input <b>B</b> .
	u2p:typeName/	The value var: inputType is the string with the name of the
	var:inputType	type of var: input B.
var:attribute \Lambda	prov:type/	The value u2p:Attribute shows that var:attribute 4
	u2p:Attribute	is an attribute.
	prov:value/	The value var: attributeValue is the direct representation
	var:attributeValue	of var:attribute 💁.
	u2p:attributeName/	The value var:attributeName is the string with the name
	var:attributeName	of var:attribute 💁.
	u2p:typeName/	The value var:attributeType is the string with the name
	var:attributeType	of the type of var:attribute 🕗.

#### **PROV** relations

PROV Relation	Description
<pre> prov:used </pre>	It is the beginning of utilizing var:input by var:operation.
<b>b</b> prov:wasGeneratedBy	It is the completion of production of var:postObject by var:operation.
• prov:wasDerivedFrom	It is the construction of var:postObject based on var:input.
<pre> prov:hadMember </pre>	It states that var:attribute is one of the elements in var:postObject.

#### Discussion

• Although the *context* of this pattern does not explicitly state that *Input data* should be passed to the operation, we have considered this circumstance with the aim of covering a wider spectrum of cases. When the operation that creates the object

lacks *Input data*, the UML representation in Figure 21 will not include Input Parameters **D**. As a consequence, the resulting PROV template in Figure 22 will also lack var:input **D** and its associated PROV relations.

### Identifier Class diagram Pattern 2 (ClP2)

### Context

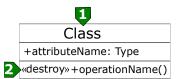
The execution of an operation provokes the destruction of an object.

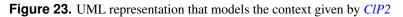
### Key elements

Object	The object destroyed as a consequence of the execution of the operation.	
Operation execution	The execution of the operation.	

#### **UML** Diagram

Key Element	UML	Rationale
Object	Class 🕩	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use Class <b>D</b> to represent the destroyed <i>Object</i> .
Operation execution	Operation 🕑 «destroy»	The Operation D stereotyped by «destroy» represents the executed operation that destroys the <i>Object</i> .





### Mapping to PROV

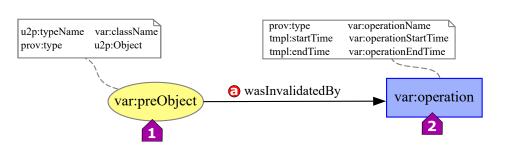


Figure 24. PROV template generated from the UML representation used in ClP2 (Figure 23)

UML	PROV / id	Rationale
Class 🕩	prov:Entity <b>D</b> / var:preObject	The Class <b>D</b> that models the object that is destroyed by the operation is a prov:Entity identified as var:preObject. We use the prefix <i>pre</i> in this identifier because it is the object before the execution of the operation.
Operation 🛿 «destroy»	<pre>prov:Activity D/ var:operation</pre>	The execution of Operation Destereotyped by «destroy» is a prov:Activity identified by var:operation.

PROV Element	Attribute / Value	Description
var:preObject 🕩	u2p:typeName/	The value var:className is the string with the name of the
	var:className	class to which var:preObject 🕩 belongs.
	prov:type/	The value u2p:Object shows that var:preObject 1 is an
	u2p:Object	object.
var:operation 2	prov:type/	The value var:operationName is the name of the operation
	var:operationName	var:operation <b>D</b> .
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime value
	var:operationStartTime	for the start of var: operation <b>D</b> .
	<pre>tmpl:endTime/</pre>	The var:operationEndTime is an xsd:dateTime value for
	var:operationEndTime	the end of var:operation 🕰.

#### **PROV** relations

PROV Relation	Description	
<pre> prov:wasInvalidatedBy </pre>	It shows that var:preObject is not longer available for use.	

# Discussion

• This pattern is consistent with *CIP2* because the completion of the object's behaviour usually involves its destruction.

### Identifier Class diagram Pattern 3 (ClP3)

#### Context

The execution of an operation on an object returns values of concrete object's attributes. The values are returned as they are, without any further processing. This execution does not provoke the change of the object's status.

#### Key elements

Object	The object on which the operation is executed.	
Operation execution	The execution of the operation.	
Input data	The information (if any) passed into the Operation execution.	
Output data	The information obtained from the Operation execution.	

#### UML Diagram

Key Element	UML	Rationale
Object	Class D	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use Class <b>D</b> to represent the <i>Object</i> on which the operation is executed.
Operation execution	Operation <b>D</b> «get»/«search»	The Operation D stereotyped by «get»/«search» repre- sents the executed operation. Concretely, operations stereotyped by «get» return values of concrete <i>Object</i> 's attributes, whereas «search» is used when the operation returns elements belonging to a collection attribute of the <i>Object</i> .
Input data	Input Parameters B	They specify the information passed into the Operation execution.
Output data	Output Parameters 4	They depict the information obtained from the Operation execution.

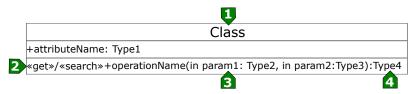


Figure 25. UML representation that models the context given by ClP3

### Mapping to PROV

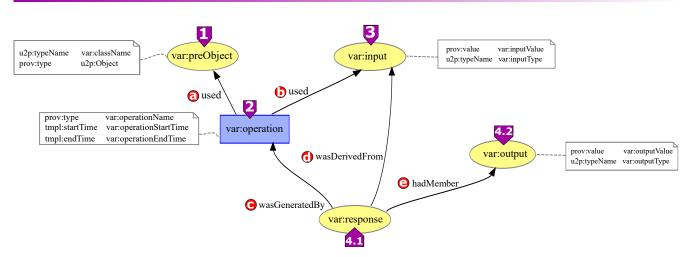


Figure 26. PROV template generated from the UML representation used in *ClP3* (Figure 25)

# PROV elements

UML	PROV / id	Rationale
Class D	prov:Entity D/ var:preObject	The Class D that models the object on which the operation is executed is a prov: Entity identified as var: preObject. We use the prefix <i>pre</i> in this identifier because it is the object before the execution of the operation.
Operation D «get»/«search»	prov:Activity D/ var:operation	The execution of Operation Stereotyped by «get»/«search» is a prov:Activity identified by var:operation.
Input Parameters B	prov:Entity <b>D</b> / var:input	Each parameter of Input Parameters <b>D</b> is a separate prov:Entity identified as var:input.
Output Parameters 🗗	prov:Entity 4.)/ var:response	The information obtained by the execution of the operation is a prov:Entity identified by var:response. See the discussion block for an explanation about the existence of var:response.
	prov:Entity 42/ var:output	Each parameter of Output Parameters is a separate prov:Entity identified as var:output.

### Attributes

PROV Element	Attribute / Value	Description
var:preObject D	u2p:typeName/	The value var:className is the string with the name of the
	var:className	class to which var:preObject 🕩 belongs.
	prov:type/	The value u2p:Object shows that var:preObject D is an
	u2p:Object	object.
var:operation 2	prov:type/	The value var: operationName is the name of the operation
	var:operationName	Operation <b>D</b> .
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime value
	var:operationStartTime	for the start of var: operation <b>D</b> .
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value for
	var:operationEndTime	the end of var:operation 2.
var:input 🕑	prov:value/	The value var: inputValue is the direct representation of
	var:inputValue	var:input 3.
	u2p:typeName/	The value var: input Type is the string with the name of the
	var:inputType	type of var: input <b>B</b> .
var:output 4.2	prov:value/	The value var:outputValue is the direct representation of
	var:outputValue	var:output 4.2.
	u2p:typeName/	The value var:outputType is the string with the name of the
	var:outputType	type of var:output 4.2.

## **PROV** relations

PROV Relation	Description	
<pre> prov:used </pre>	It is the beginning of utilizing var:preObject by var:operation.	
<b>b</b> prov:used	It is the beginning of utilizing var:input by var:operation.	
• prov:wasGeneratedBy	It is the completion of production of var:response by var:operation.	
<pre>prov:wasDerivedFrom</pre>	It is the construction of var: response based on var: input.	
<pre> prov:hadMember </pre>	It states that var:output is one of the elements in var:response.	

# Discussion

- Although the *context* of this pattern does not explicitly state that *Input data* should be passed to the operation, we have considered this circumstance with the aim of covering a wider spectrum of cases. When the executed operation lacks *Input data*, the UML representation in Figure 25 will not include Input Parameters **D**. As a consequence, the resulting PROV template in Figure 26 will also lack var: input **D** and its associated PROV relations.
- In order to homogenise the UML Class representations in *ClP1-ClP10*, *Output data* have been specified by Output Parameters with *return* direction. Nevertheless, these Output Parameters could have been modelled with either *inout* or *out* directions, having no effect in their transformation to PROV.
- A concrete nuance in this pattern is that the *Output data* (var:output) are not computed by the *Operation execution* (var:operation); that is, these data already existed before the *Operation execution*. Thus, a prov:wasGeneratedBy relation between var:output and var:operation does not make sense in this pattern (in contrast to *ClP4-ClP6*, and *ClP7-ClP10* when they consider *Output data*). To reflect this pattern's nuance in the PROV template and taking into account the consistency between the different kinds of patterns, we have taken inspiration from how UML sequence diagram patterns (e.g., *SeqP2*) address the *Output data*. We have made this decision because the semantics of the sequence diagrams patterns (in terms of *Output data*) bears a strong resemblance with this pattern. Thus, we have included a prov:Entity identified by var:response I related to (1) var:operation **P**, by means of **Prov:wasGeneratedBy** (to represent the fact that it is the response who is generated by the *Operation execution*), and (2) var:output **Data**, through **Prov:hadMember** (to show that such a response is composed by the concrete output values).

### Identifier Class diagram Pattern 4 (ClP4)

### Context

The execution of an operation on an object returns values that are computed based on the object's status as a whole (the values of concrete attributes involved in the computation are unknown or irrelevant). This execution does not provoke the change of the object's status.

### Key elements

Object	The object on which the operation is executed.	
Operation execution	The execution of the operation.	
Input data	The information (if any) passed into the Operation execution.	
Output data	The information obtained from the Operation execution.	

### **UML** Diagram

Key Element	UML	Rationale
Object	Class D	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use Class <b>D</b> to represent the <i>Object</i> on which the operation is executed.
Operation execution	Operation <b>D</b> «process»	The Operation <b>D</b> stereotyped by «process» represents the executed operation. Concretely, operations stereotyped by «process» return values that are computed based on the object's status as a whole.
Input data	Input Parameters B	They specify the information passed into the Operation execution.
Output data	Output Parameters 4	They depict the information obtained from the Operation execution.

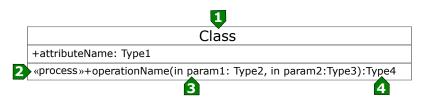


Figure 27. UML representation that models the context given by ClP4

# Mapping to PROV

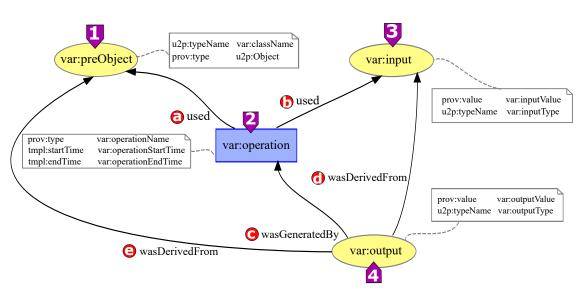


Figure 28. PROV template generated from the UML representation used in ClP4 (Figure 27)

UML	PROV / id	Rationale
Class 🕩	<pre>prov:Entity D/ var:preObject</pre>	The Class D that models the object on which the operation is executed is a prov:Entity identified as var:preObject. We use the prefix <i>pre</i> in this identifier because it is the object before the execution of the operation.
Operation <b>D</b> «process»	prov:Activity 🕑/ var:operation	The execution of Operation  stereotyped by «process» is a prov: Activity identified by var: operation.
Input Parameters B	prov:Entity 🕑/ var:input	Each parameter of Input Parameters  is a separate prov:Entity identified as var:input.
Output Parameters 🚯	prov:Entity 🗗/ var:output	Each parameter of Output Parameters is a separate prov:Entity identified as var:output.

<b>PROV Element</b>	Attribute / Value	Description
var:preObject 🕩	u2p:typeName/	The value var:className is the name of the class to which
	var:className	var:preObject 🕩 belongs.
	prov:type/	The value u2p:Object shows that var:preObject D is an
	u2p:Object	object.
var:operation 2	prov:type/	The value var: operationName is the name of the operation
	var:operationName	Operation 🛛.
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime value
	var:operationStartTime	for the start of var: operation <b>D</b> .
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value for
	var:operationEndTime	the end of var:operation 왿.
var:input 🕑	prov:value/	The value var: inputValue is the direct representation of
	var:inputValue	var:input 3.
	u2p:typeName/	The value var: input Type is the string with the name of the
	var:inputType	type of var: input <b>B</b> .
var:output 4	prov:value/	The value var:outputValue is the direct representation of
	var:outputValue	var:output 💁.
	u2p:typeName/	The value var: output Type is the string with the name of the
	var:outputType	type of var:output 4.

#### **PROV** relations

Description	
It is the beginning of utilizing var:preObject by var:operation.	
It is the beginning of utilizing var: input by var: operation.	
It is the completion of production of var:output by var:operation.	
It is the construction of var:output based on var:input.	
It is the construction of var:output based on var:preObject.	

#### Discussion

- Among the Class Diagrams patterns, both *ClP4* and *ClP5* address the execution of an operation that returns values computed based on information included on an object. While *ClP4* considers the object's status as a whole (without taking into account the concrete attributes' values considered for its computation), *ClP5* identifies the concrete attributes used to compute such information. Thus, *ClP4* gives a coarser grained provenance than *ClP5*.
- Although the *context* of this pattern does not explicitly state that *Input data* should be passed to the operation, we have considered this circumstance with the aim of covering a wider spectrum of cases. When the executed operation lacks *Input data*, the UML representation in Figure 27 will not include Input Parameters **D**. As a consequence, the resulting PROV template in Figure 28 will also lack var: input **D** and its associated PROV relations.
- In order to homogenise the UML Class representations in *ClP1-ClP10*, *Output data* have been specified by Output Parameters with *return* direction. Nevertheless, these Output Parameters could have been modelled with either *inout* or *out* directions, having no effect in their transformation to PROV.

### Identifier Class diagram Pattern 5 (ClP5)

### Context

The execution of an operation on an object returns values that are computed based on values of concrete object's attributes. This execution does not provoke the change of the object's status.

#### Key elements

Object	The object on which the operation is executed.
Operation execution	The execution of the operation.
Input data	The information (if any) passed into the Operation execution.
Output data	The information obtained from the Operation execution.
Source Object's attributes	The concrete characteristics of the <i>Object</i> that are used to compute the <i>Output data</i> .

### **UML** Diagram

Key Element	UML	Rationale	
Object	Class 🕩	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use Class <b>D</b> to represent the <i>Object</i> on which the operation is executed.	
Operation exe- cution	Operation <b>D</b> «predicate»/ «property»/ «void-accessor»	The Operation D stereotyped by «predicate»/«property»/ «void-accessor» represents the executed operation. Each stereotype denotes a behaviour with specific nuances (see the discussion block); nev- ertheless, all of them process the <i>Output data</i> based on values of concrete object's attributes without modifying the object's status.	
Input data	Input Parameters B	They specify the information passed into the Operation execution.	
Output data	Output Parameters 4	They depict the information obtained from the <i>Operation execution</i> .	
Source Object's attributes	Attributes 🗗	They represent the characteristics of the <i>Object</i> , whose values are used to compute the <i>Output data</i> .	

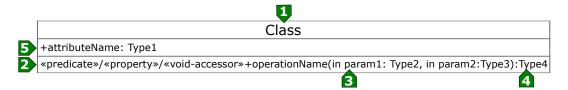


Figure 29. UML representation that models the context given by CIP5

# Mapping to PROV

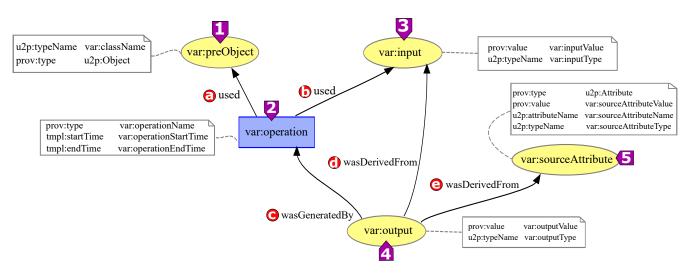


Figure 30. PROV template generated from the UML representation used in *ClP5* (Figure 29)

UML	PROV / id	Rationale
Class 🕩	prov:Entity D/ var:preObject	The Class D that models the object on which the operation is executed is a prov: Entity identified as var: preObject. We use the prefix <i>pre</i> in this identifier because it is the object before the execution of the operation.
Operation «predicate»/ «property»/ «void-accessor»	prov:Activity <b>D</b> / var:operation	The execution of Operation D stereotyped by «predicate»/ «property»/ «void-accessor» is a prov:Activity identified by var:operation.
Input Parameters B	prov:Entity <b>B</b> / var:input	Each parameter of Input Parameters  is a separate prov:Entity identified as var:input.
Output Parameters 🗗	prov:Entity 🕹/ var:output	Each parameter of Output Parameters  is a separate prov:Entity identified as var:output.
Attributes 🗗	prov:Entity <b>D</b> / var:sourceAttribute	Each attribute of Attributes  is a separate prov:Entity identified by var:sourceAttribute.

PROV Element	Attribute / Value	Description
var:preObject 🕩	u2p:typeName/	The value var: className is the string with the name
	var:className	of the class to which var:preObject D belongs.
	prov:type/	The value u2p:Object shows that var:preObject
	u2p:Object	▶ is an object.
var:operation 🕑	prov:type/	The value var:operationName is the name of the
	var:operationName	operation Operation 🕑.
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an
	var:operationStartTime	xsd:dateTime value for the start of
		var:operation <b>D</b> .
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime
	var:operationEndTime	value for the end of var: operation <b>D</b> .
var:input 3	prov:value/	The value var: inputValue is the direct representa-
	var:inputValue	tion of var: input B.
	u2p:typeName/	The value var: inputType is the string with the name
	var:inputType	of the type of var: input <b>B</b> .
var:output 4	prov:value/	The value var: outputValue is the direct representa-
	var:outputValue	tion of var:output <b>D</b> .
	u2p:typeName/	The value var:outputType is the string with the
	var:outputType	name of the type of var:output <b>D</b> .
var:sourceAttribute 🗗	prov:type/	The value u2p:Attribute shows that
	u2p:Attribute	var:sourceAttribute 🗈 is an attribute.
	prov:value/	The value var:sourceAttributeValue is the di-
	var:sourceAttributeValue	rect representation of var:sourceAttribute <b>D</b> .
	u2p:attributeName/	The value var:sourceAttributeName is the string
	var:sourceAttributeName	with the name of var:sourceAttribute <b>D</b> .
	u2p:typeName/	The value var:sourceAttributeType is
	var:sourceAttributeType	the string with the name of the type of
		var:sourceAttribute <b>5</b> .

#### **PROV** relations

PROV Relation	Description	
<pre> prov:used </pre>	It is the beginning of utilizing var:preObject by var:operation.	
<b>b</b> prov:used	It is the beginning of utilizing var: input by var: operation.	
• prov:wasGeneratedBy	It is the completion of production of var:output by var:operation.	
<pre>prov:wasDerivedFrom</pre>	It is the construction of var:output based on var:input.	
<pre> prov:wasDerivedFrom </pre>	It is the construction of var:output based on var:sourceAttribute.	

#### Discussion

- Among the Class Diagrams patterns, both *ClP5* and *ClP4* address the execution of an operation that returns values computed based on information included on an object. While *ClP5* identifies the concrete attributes used to compute such information, *ClP4* considers the object's status as a whole (without taking into account the concrete attributes' values considered for its computation). Thus, *ClP5* gives a finer grained provenance than *ClP4*.
- A question that might arise is why in Figure 30 var:sourceAttribute is not associated with var:preObject (which represents the object with the status before the execution of the operation) by means of a prov:hadMember relation, whether var:sourceAttribute is an attribute of var:preObject ). We have made this decision because an object that acts as a var:preObject in an operation execution, was a var:postObject (which represents the object with the

status after the execution of the operation) in a previous operation execution. Thus, the attributes associated to such an object in a var:preObject were registered when it previously played the role of var:postObject.

- The stereotypes «predicate», «property», and «void-accessor» denote behaviours with specific nuances. Nevertheless, these nuances do not have impact in the translation into PROV since all of them compute *Output data* based on concrete object's attributes without modifying the object's status. Concretely, «predicate» denotes that the operation returns boolean values, «property» does not restrict the type of the returned values, and «void-accessor» returns the information through a parameter. As we said previously, there is no distinction in the transformation into PROV; however, some of the nuances given by the stereotypes will be included in the generated provenance through the values assigned to the template's variables. For instance, «predicate» defines the *Output data* as boolean, fact that is included in the provenance through the value assigned to var:outputType in var:output **D**.
- Although the *context* of this pattern does not explicitly state that *Input data* should be passed to the operation, we have considered this circumstance with the aim of covering a wider spectrum of cases. When the executed operation lacks *Input data*, the UML representation in Figure 29 will not include Input Parameters **D**. As a consequence, the resulting PROV template in Figure 30 will also lack var: input **D** and its associated PROV relations.

### Context

The execution of an operation on an object changes the object's status as a whole (the concrete modified attributes are unknown or irrelevant).

#### Key elements

Object	The object on which the operation is executed.	
	Pre-operation object	The object with the status before the execution of the operation.
	<i>Post-operation object</i> The object with the status after the execution of the operation.	
Operation execution	The execution of the operation.	
Input data	The information (if any) passed into the Operation execution.	
Object's attributes	All the characteristics of the Object.	

### **UML** Diagram

Key Element	UML	Rationale
Object	Class 🕩	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use Class <b>D</b> to represent the <i>Object</i> both before and after the execution of the operation ( <i>Pre-</i> <i>operation object</i> and <i>Post-operation object</i> , respectively).
Operation execution	Operation «command»/ «non-void-command»	The Operation Stereotyped by «command»/ «non-void-command» represents the executed operation. These stereotypes denote that the object changes its status, without considering the concrete modified attributes. They differ in that an operation stereotyped by «non-void-command» returns information, while a «command» stereotyped operation does not. <i>Note</i> : the PROV template depicted in Figure 32 corresponds to an operation stereotyped by «command» (see the discussion block for an explanation of the transformation of the operations stereotyped by «non-void-command»).
Input data	Input Parameters B	They specify the information passed into the Operation execution.
Object's attributes	Attributes 4	They represent the characteristics of the Object.

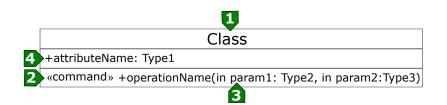


Figure 31. UML representation that models the context given by CIP6

# Mapping to PROV

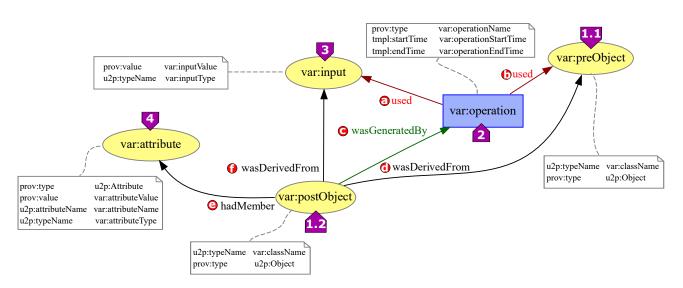


Figure 32. PROV template generated from the UML representation used in *ClP6* (Figure 31)

UML	PROV / id	Rationale
Class 🕩	prov:Entity/ var:preObject	The <i>Pre-operation object</i> , i.e. the object with the status before the execution of the operation, which is represented by Class <b>D</b> , is a prov:Entity identified as var:preObject.
	<pre>prov:Entity 12/ var:postObject</pre>	The <i>Post-operation object</i> , i.e. the object with the status after the execution of the operation, which is represented by Class <b>D</b> , is a prov:Entity identified as var:postObject.
Operation <b>D</b> «command»/ «non-void-command»	prov:Activity <b>D</b> / var:operation	The execution of Operation Stereotyped by «command»/«non-void-command» is a prov:Activity identified by var:operation.
Input Parameters <b>D</b>	prov:Entity B/ var:input	Each parameter of Input Parameters <b>D</b> is a separate prov:Entity identified as var:input.
Attributes 🗗	prov:Entity <b>4</b> / var:attribute	Each attribute of Attributes  is mapped to a separate prov:Entity identified by var:attribute.

<b>PROV Element</b>	Attribute / Value	Description
var:preObject 🎞	u2p:typeName/	The value var:className is the name of the class to which
	var:className	var:preObject 🎞 belongs.
	prov:type/	The value u2p:Object shows that var:preObject <b>IID</b> is
	u2p:Object	an object.
var:postObject 💷	u2p:typeName/	The value var:className is the name of the class to which
	var:className	var:postObject 💷 belongs.
	prov:type/	The value u2p:Object shows that var:postObject ID is
	u2p:Object	an object.
var:operation 2	prov:type/	The value var: operationName is the name of the operation
	var:operationName	var:operation <b>D</b> .
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an xsd:dateTime value
	var:operationStartTime	for the start of var: operation <b>D</b> .
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value
	var:operationEndTime	for the end of var:operation 2.
var:input 🕑	prov:value/	The value var: inputValue is the direct representation of
	var:inputValue	var:input <b>B</b> .
	u2p:typeName/	The value var: inputType is the string with the name of the
	var:inputType	type of var: input <b>D</b> .
var:attribute 🗗	prov:type/	The value u2p:Attribute shows that var:attribute
	u2p:Attribute	is an attribute.
	prov:value/	The value var: attributeValue is the direct representation
	var:attributeValue	of attribute (1).
	u2p:attributeName/	The value var:attributeName is the string with the name
	var:attributeName	of attribute (1).
	u2p:typeName/	The value var:attributeType is the string with the name
	var:attributeType	of the type of var:attribute 💁.

#### **PROV** relations

PROV Relation	Description	
<pre>prov:used</pre>	It is the beginning of utilizing var: input by var: operation.	
<b>b</b> prov:used	It is the beginning of utilizing var:preObject by var:operation.	
• prov:wasGeneratedBy	It is the completion of production of var:postObject by var:operation.	
<pre>prov:wasDerivedFrom</pre>	It is the update of var:preObject resulting in var:postObject.	
<pre> prov:hadMember </pre>	It states that var:attribute is one of the elements in var:postObject.	
<pre> prov:wasDerivedFrom </pre>	It is the construction of var:postObject based on var:input.	

#### Discussion

- Among the Class Diagrams patterns, patterns from *ClP6* to *ClP10* address the execution of operations that change an object's status. While, *ClP6* changes the object's status as a whole (being the concrete modified attributes unknown or irrelevant), in patterns *ClP7-ClP10* the concrete attributes modified by the *Operation execution* are explicitly known. In contrast to *ClP7* which directly sets the information passed into the *Operation execution* as values of concrete object's attributes, the other mentioned patterns use such information to change the object's status as a whole or the values of concrete object's attributes. It must also be noted that patterns *ClP9* and *ClP10* address the execution of operations which remove or add elements from/into an object's collection attribute, while patterns *ClP7* and *ClP8* affect either a univalued attribute or a collection attribute as a whole.
- Although the *context* of this pattern does not explicitly state that *Input data* should be passed to the operation, we do not consider this circumstance with the aim of covering a wider spectrum of cases. When the executed operation lacks *Input*

*data*, the UML representation in Figure 31 will not include Input Parameters **D**. As a consequence, the resulting PROV template in Figure 32 will also lack var: input **D** and its associated PROV relations.

- A question that might arise is why in Figure 32 var:attribute is associated with var:postObject (which represents the object with the status after the execution of the operation), but it is not associated with var:preObject (the object with the status before the execution). We have made this decision because an object that acts as a var:preObject in an operation execution, was a var:postObject in a previous operation execution. Thus, the attributes associated to such an object in a var:preObject were registered when it previously played the role of var:postObject.
- Stereotypes «command» and «non-void-command» denote that the operation performs a change to the object's status as a whole. They differ in that an operation stereotyped by «non-void-command» returns information, while a «command» stereotyped operation does not. Due to the fact that the context of this pattern does not explicitly state that output data are obtained from the *Operation execution*, we represented this context in UML using the stereotype «command» (see Figure 31).

Aiming at giving an insight into how the inclusion of *Output data* affects both the UML representation and the resulting PROV template, Figure 33 depicts a UML representation with (1) the stereotype «non-void-command» and (2) *Output data* modelled as Output Parameters **D** (in this case with *return* direction, though the translation of *inout* and *out* directions would be equivalent). Figure 34 depicts its transformation into PROV. Both Figure 33 and 34 highlight the elements related to the inclusion of the *Output data* by blurring the elements coming from Figure 31 and 32, respectively.

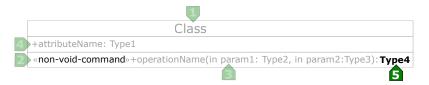
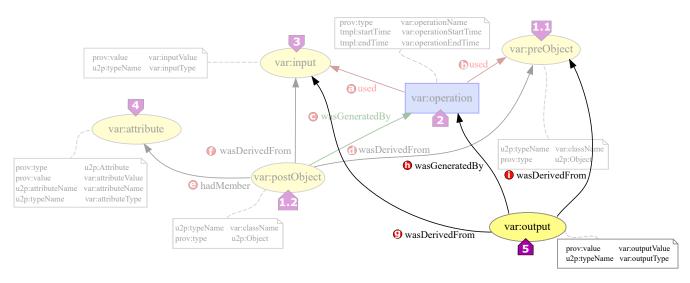


Figure 33. UML representation that models the context given by *ClP6*, including Output Parameters.



**Figure 34.** PROV template generated from the UML representation used in *ClP6*, including Output Parameters (Figure 33)

UML	PROV / id	Rationale
Output Parameters 🗗	prov:Entity 🗗/	Each parameter of Output Parameters 🗗 is a separate
	var:output	<pre>prov:Entity identified as var:output.</pre>

### **PROV** relations

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#### Attributes

PROV Element	Attribute / Value	Description
var:output 🌗	prov:value/	The value var:outputValue is the direct representation of var:output D.
	var:outputValue	
	u2p:typeName/	The value var:outputType is the string with the name of the type of
	var:outputType	var:output <b>D</b> .

### Identifier Class diagram Pattern 7 (ClP7)

### Context

The execution of an operation on an object directly sets the information passed to the operation as values of concrete object's attributes, thus provoking a change in the object's status.

Key elements			
Object	The object on which the operation is executed.		
	Pre-operation object	The object with the status before the execution of the operation.	
	Post-operation object	The object with the status after the execution of the operation.	
Operation execution	The execution of the operation.		
Input data	The information passed into the Operation execution.		
Object's attributes	All the characteristics of the <i>Object</i> . Since, as a consequence of the <i>Operation execution</i> , the values of some attributes change, we have identified:		
	v	The modified <i>Object's attributes</i> . The not modified <i>Object's attributes</i> .	

### **UML** Diagram

Key Element	UML	Rationale
Object	Class 🕩	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use Class D to represent the <i>Object</i> both before and after the execution of the operation ( <i>Pre-operation</i> <i>object</i> and <i>Post-operation object</i> , respectively).
Operation execution	Operation <b>D</b> «set»	The Operation D stereotyped by «set» represents the executed operation. Concretely, the stereotype «set» denotes that <i>Input data</i> are directly set as values of concrete attributes of the object.
Input data	Input Parameters B	They specify the information passed into the Operation execution.
Object's attributes	Attributes \Lambda	They represent the characteristics of the Object.

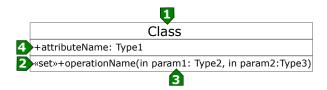


Figure 35. UML representation that models the context given by CIP7

# Mapping to PROV

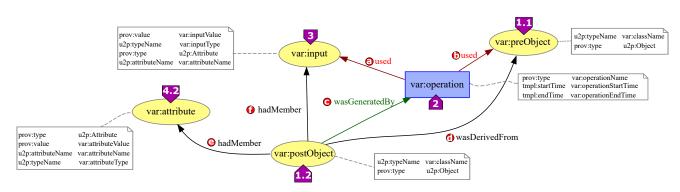


Figure 36. PROV template generated from the UML representation used in ClP7 (Figure 35)

UML	PROV / id	Rationale
Class <b>D</b>	prov:Entity/ var:preObject	The <i>Pre-operation object</i> , i.e. the object with the status before the execution of the operation, which is represented by Class <b>D</b> , is a prov:Entity identified as var:preObject.
	<pre>prov:Entity 12/ var:postObject</pre>	The Post-operation object, i.e. the object with the status after the execution of the operation, which is represented by Class <b>D</b> , is a prov:Entity identified as var:postObject.
Operation 🗗 «set»	<pre>prov:Activity D/ var:operation</pre>	The execution of Operation Destereotyped by «set» is a prov:Activity identified by var:operation.
Input Parameters B	prov:Entiy <b>D</b> / var:input	Each parameter of Input Parameters  is a separate prov:Entity identified as var:input.
Attributes 🗗	None /	The <i>Modified attributes</i> (belonging to Attributes ) are already mapped to var: input. For further information, see the discussion.
	<pre>prov:Entity 4.2/ var:attribute</pre>	Each Unmodified attribute (belonging to Attributes ) is mapped to a separate prov: Entity with identifier var: attribute.

PROV Element	Attribute / Value	Description
var:preObject 🎞	u2p:typeName/	The value var:className is the string with the name of the
	var:className	class to which var:preObject 🎹 belongs.
	prov:type/	The value u2p:Object shows that var:preObject <b>IID</b> is
	u2p:Object	an object.
var:postObject 묘	u2p:typeName/	The value var:className is the string with the name of the
	var:className	class to which var:postObject 💷 belongs.
	prov:type/	The value u2p:Object shows that var:postObject <b>ID</b> is
	u2p:Object	an object.
var:operation 🛛	prov:type/	The value var: operationName is the name of the operation
	var:operationName	var:operation 🕑.
	<pre>tmpl:startTime/</pre>	The var: operationStartTime is an xsd: dateTime value
	var:operationStartTime	for the start of var: operation <b>D</b> .
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime value
	var:operationEndTime	for the end of var:operation 2.
var:input 3	prov:value/	The value var: inputValue is the direct representation of
	var:inputValue	var:input B.
	u2p:typeName/	The value var: inputType is the string with the name of the
	var:inputType	type of var: input <b>D</b> .
	prov:type/	The value u2p:Attribute shows that var:input  is an
	u2p:Attribute	attribute.
	u2p:attributeName/	The value var:attributeName is the string with the name
	var:attributeName	of the attribute var:input <b>B</b> .
var:attribute 4 2	prov:type/	The value u2p:Attribute shows that var:attribute 4.2
	u2p:Attribute	is an attribute.
	prov:value/	The value var: attributeValue is the direct representation
	var:attributeValue	of var:attribute 4.2.
	u2p:attributeName/	The value var:attributeName is the string with the name
	var:attributeName	of var:attribute 4.2.
	u2p:typeName/	The value var: attributeType is the string with the name
	var:attributeType	of the type of var:attribute 🕰.

### **PROV** relations

PROV Relation	Description	
<pre> prov:used </pre>	It is the beginning of utilizing var: input by var: operation.	
<b>b</b> prov:used	It is the beginning of utilizing var:preObject by var:operation.	
<b>G</b> prov:wasGeneratedBy	It is the completion of production of var:postObject by var:operation.	
<pre></pre>	It is the update of var:preObject resulting in var:postObject.	
<b>9</b> prov:hadMember	It states that var:attribute is one of the elements in var:postObject.	
<pre> prov:hadMember </pre>	It states that var: input is one of the elements in var: postObject. This is due	
	to the fact that in this context the input information is directly set as values of certain attributes of the <i>Object</i> .	

#### Discussion

• Among the Class Diagrams patterns, patterns from *ClP6* to *ClP10* address the execution of operations that change an object's status. While, *ClP6* changes the object's status as a whole (being the concrete modified attributes unknown or irrelevant), in patterns *ClP7-ClP10* the concrete attributes modified by the *Operation execution* are explicitly known. In contrast to *ClP7* which directly sets the information passed into the *Operation execution* as values of concrete object's attributes, the

other mentioned patterns use such information to change the object's status as a whole or the values of concrete object's attributes. It must also be noted that patterns *ClP9* and *ClP10* address the execution of operations which remove or add elements from/into an object's collection attribute, while patterns *ClP7* and *ClP8* affect either a univalued attribute or a collection attribute as a whole.

- A question that might arise is why in Figure 36 var:attribute D is associated with var:postObject ID (which represents the object with the status after the execution of the operation), but it is not associated with var:preObject ID (the object with the status before the execution). We have made this decision because an object that acts as a var:preObject in an operation execution, was a var:postObject in a previous operation execution. Thus, the attributes associated to such an object in a var:preObject were registered when it previously played the role of var:postObject.
- This context states that the *Input data* are directly set as values of certain object's attributes, which means that the *Input data* correspond directly to the *Modified attributes*. This fact is represented in the PROV template by means of the pair attribute/value prov:type/u2p:Attribute of var:input **D**, and the relation **O** prov:hadMember between var:postObject **D** and var:input **D**. Additionally, var:input **D** has the attribute u2p:attributeName whose value var:attributeName denotes the name of the modified attribute.
- Although the *context* of this pattern does not explicitly state that output data should be obtained from the *Operation execution*, this could be the case. However, we do not include this output data in this pattern description to avoid overburden both the UML and PROV explanations with information out of the scope of the *context*.

Aiming at giving an insight into how the inclusion of *Output data* affects both the UML representation and the resulting PROV template, Figure 37 depicts a UML representation with the *Output data* modelled as Output Parameters **D** (in this case with *return* direction, though the translation of *inout* and *out* directions would be equivalent). Figure 38 depicts its transformation into PROV. Both Figure 37 and 38 highlight the elements related to the inclusion of the *Output data* by blurring the elements coming from Figure 35 and 36, respectively.

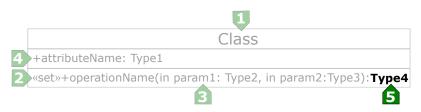
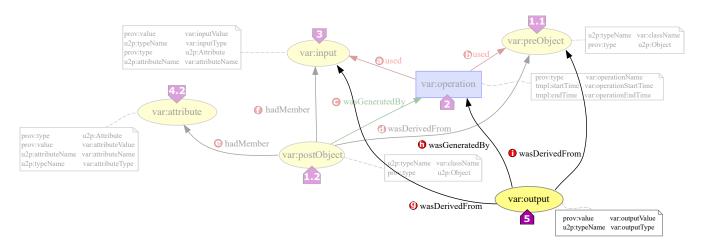


Figure 37. UML representation that models the context given by CIP7, including Output Parameters.



**Figure 38.** PROV template generated from the UML representation used in *ClP7*, including Output Parameters (Figure 37)

### **PROV** elements

UML	PROV / id	Rationale
Output Parameters 5	prov:Entity <b>D</b> / var:output	Each parameter of Output Parameters  is a separate prov:Entity identified as var:output.

### **PROV** relations

PROV Relation	Description
<pre> prov:wasDerivedFrom </pre>	It is the construction of var:output based on var:input.
<pre>prov:wasGeneratedBy</pre>	It is the completion of production of var:output by var:operation.
<pre>prov:wasDerivedFrom</pre>	It is the construction of var:output based on var:preObject.

#### Attributes

<b>PROV Element</b>	Attribute / Value	Description
var:output 🗗	prov:value/	The value var:outputValue is the direct representation of var:output D.
	var:outputValue	
	u2p:typeName/	The value var:outputType is the string with the name of the type of
	var:outputType	var:output <b>D</b> .

### Identifier Class diagram Pattern 8 (CIP8)

### Context

The execution of an operation on an object changes the values of concrete object's attributes, thus provoking a change in the object's status.

#### Key elements

Object	The object on which the operation is executed.	
	Pre-operation object	The object with the status before the execution of the operation.
	Post-operation object	The object with the status after the execution of the operation.
Operation execution	The execution of the operation.	
Input data	The information (if any) passed into the Operation execution.	
Object's attributes	All the characteristics of the <i>Object</i> . Since, as a consequence of the <i>Operation execution</i> , the values of some attributes change, we have identified:	
	Modified attributes Unmodified attributes	The modified <i>Object's attributes</i> . The not modified <i>Object's attributes</i> .

### **UML** Diagram

Key Element	UML	Rationale
Object	Class 🕩	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use Class D to represent the <i>Object</i> both before and after the execution of the operation ( <i>Pre-operation</i> <i>object</i> and <i>Post-operation object</i> , respectively).
Operation execution	Operation <b>D</b> «modify»	The Operation Stereotyped by «modify» represents the executed operation. Concretely, the stereotype «modify» denotes that concrete attributes of the object are modified.
Input data	Input Parameters B	They specify the information passed into the Operation execution.
Object's attributes	Attributes \Lambda	They represent the characteristics of the Object.

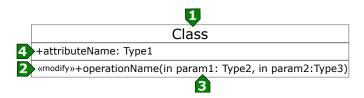


Figure 39. UML representation that models the context given by *ClP8* 

# Mapping to PROV

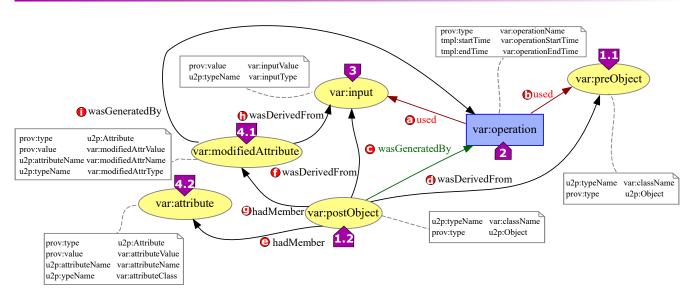


Figure 40. PROV template generated from the UML representation used in *ClP8* (Figure 39)

UML	PROV / id	Rationale
Class <b>D</b>	prov:Entity/ var:preObject	The <i>Pre-operation object</i> , i.e. the object with the status before the execution of the operation, which is represented by Class <b>D</b> , is a prov:Entity identified as var:preObject.
	<pre>prov:Entity 1.2/ var:postObject</pre>	The <i>Post-operation object</i> , i.e. the object with the status after the execution of the operation, which is represented by Class <b>D</b> , is a prov:Entity identified as var:postObject.
Operation 🗗 «modify»	prov:Activity 🕑/ var:operation	The execution of Operation Stereotyped by «modify» is a prov:Activity identified by var:operation.
Input Parameters B	prov:Entiy D/ var:input	Each parameter of Input Parameters  is a separate prov:Entity identified as var:input.
Attributes 4	<pre>prov:Entity 4.1 / var:modifiedAttribute</pre>	Each <i>Modified attribute</i> (belonging to Attributes ) is mapped to a separate prov:Entity with identifier var:modifiedAttribute.
	<pre>prov:Entity 4.2/ var:attribute</pre>	Each Unmodified attribute (belonging to Attributes ) is mapped to a separate prov:Entity with identifier var:attribute.

PROV Element	Attribute / Value	Description
var:preObject 🛄	u2p:typeName/	The value var:className is the string with the name
	var:className	of the class to which <code>var:preObject</code> 🎞 belongs.
	prov:type/	The value u2p:Object shows that var:preObject
	u2p:Object	is an object.
var:postObject 💶	u2p:typeName/	The value var:className is the string with the name
	var:className	of the class to which var:postObject 💷 belongs.
	prov:type/	The value u2p:Object shows that var:postObject
	u2p:Object	III is an object.
var:operation 🕑	prov:type/	The value var:operationName is the name of the
	var:operationName	operation var:operation 2.
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an
	var:operationStartTime	xsd:dateTime value for the start of
		var:operation 🕑.
	tmpl:endTime/	The var:operationEndTime is an xsd:dateTime
	var:operationEndTime	value for the end of var: operation <b>D</b> .
var:input B	prov:value/	The value var: inputValue is the direct representa-
	var:inputValue	tion of var: input <b>B</b> .
	u2p:typeName/	The value var: input Type is the string with the name
	var:inputType	of the type of var: input <b>B</b> .
var:modifiedAttribute 4.1	prov:type/	The value u2p:Attribute shows that
	u2p:Attribute	var:modifiedAttribute 🌆 is an attribute.
	prov:value/	The value var: attributeValue is the direct repre-
	var:modifiedAttrValue	sentation of var:modifiedAttribute 4.1.
	u2p:attributeName/	The value var:modifiedAttrName is the string with
	var:modifiedAttrName	the name of var:modifiedAttribute 4.1.
	u2p:typeName/	The value var: attributeType is the string with the
	var:modifiedAttrType	name of the type of var:modifiedAttribute 💷.
var:attribute 4.2	prov:type/	The value u2p:Attribute shows that
	u2p:Attribute	var:attribute 🏧 is an attribute.
	prov:value/	The value var: attributeValue is the direct repre-
	var:attributeValue	sentation of var:attribute 4.2.
	u2p:attributeName/	The value var: attributeName is the string with the
	var:attributeName	name of var:attribute 42.
	u2p:typeName/	The value var: attributeType is the string with the
	var:attributeType	name of the type of var: attribute 💷.

### **PROV** relations

PROV Relation	Description		
<pre> prov:used </pre>	It is the beginning of utilizing var:input by var:operation.		
<b>b</b> prov:used	It is the beginning of utilizing var:preObject by var:operation.		
• prov:wasGeneratedBy	It is the completion of production of var:postObject by var:operation.		
<pre> prov:wasDerivedFrom </pre>	It is the update of var:preObject resulting in var:postObject.		
<pre> prov:hadMember </pre>	It states that var: attribute is one of the elements in var: postObject.		
<pre> f prov:wasDerivedFrom </pre>	It is the construction of var:postObject based on var:input.		
• prov:hadMember	It states that var:modifiedAttribute is one of the elements in var:postObject.		
<pre>prov:wasDerivedFrom</pre>	It is the construction of var:modifiedAttribute based on var:input.		
<pre>prov:wasGeneratedBy</pre>	It is the completion of production of var:modifiedAttribute by var:operation.		

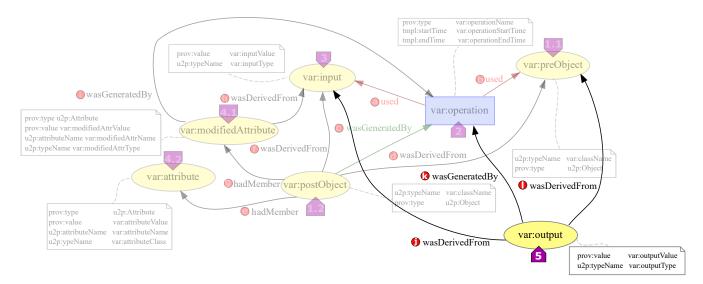
#### Discussion

- Among the Class Diagrams patterns, patterns from *ClP6* to *ClP10* address the execution of operations that change an object's status. While, *ClP6* changes the object's status as a whole (being the concrete modified attributes unknown or irrelevant), in patterns *ClP7-ClP10* the concrete attributes modified by the *Operation execution* are explicitly known. In contrast to *ClP7* which directly sets the information passed into the *Operation execution* as values of concrete object's attributes, the other mentioned patterns use such information to change the object's status as a whole or the values of concrete object's attributes. It must also be noted that patterns *ClP9* and *ClP10* address the execution of operations which remove or add elements from/into an object's collection attribute, while patterns *ClP7* and *ClP8* affect either a univalued attribute or a collection attribute as a whole.
- A question that might arise is why in Figure 40 var:attribute D is associated with var:postObject D (which represents the object with the status after the execution of the operation), but it is not associated with var:preObject (the object with the status before the execution). We have made this decision because an object that acts as a var:preObject in an operation execution, was a var:postObject in a previous operation execution. Thus, the attributes associated to such an object in a var:preObject were registered when it previously played the role of var:postObject.
- Although the *context* of this pattern does not explicitly state that *Input data* should be passed to the operation, we do not to consider this circumstance with the aim of covering a wider spectrum of cases. When the executed operation lacks *Input data*, the UML representation in Figure 39 will not include Input Parameters **D**. As a consequence, the resulting PROV template in Figure 40 will also lack var: input **D** and its associated PROV relations.
- Although the *context* of this pattern does not explicitly state that output data should be obtained from the *Operation execution*, this could be the case. However, we do not include this output data in this pattern description to avoid overburden both the UML and PROV explanations with information out of the scope of the *context*.

Aiming at giving an insight into how the inclusion of *Output data* affects both UML representation and the resulting PROV template, Figure 41 depicts a UML representation with the *Output data* modelled as Output Parameters **D** (in this case with *return* direction, though the translation of *inout* and *out* directions would be equivalent). Figure 42 depicts its transformation into PROV. Both Figure 41 and 42 highlight the elements related to the inclusion of the *Output data* by blurring the elements coming from Figure 39 and 40, respectively.

	1
	Class
4	+attributeName: Type1
2	«modify»+operationName(in param1: Type2, in param2:Type3): Type4
	3 5

Figure 41. UML representation that models the context given by CIP8, including Output Parameters.



**Figure 42.** PROV template generated from the UML representation used in *ClP8*, including Output Parameters (Figure 41)

#### **PROV** elements

UML	PROV / id	Rationale
Output Parameters 🗗	prov:Entity 5/	Each parameter of Output Parameters 🏼 D is a separate
	var:output	<pre>prov:Entity identified as var:output.</pre>

#### **PROV** relations

PROV Relation	Description	
<pre>prov:wasDerivedFrom</pre>	It is the construction of var:output based on var:input.	
<pre>prov:wasGeneratedBy</pre>	It is the completion of production of var:output by var:operation.	
<pre>prov:wasDerivedFrom</pre>	It is the construction of var:output based on var:preObject.	

### Attributes

<b>PROV Element</b>	Attribute / Value	Description
var:output 🗗	prov:value/	The value var:outputValue is the direct representation of
	var:outputValue	var:output <b>5</b> .
	u2p:typeName/	The value var: output Type is the string with the name of the
	var:outputType	type of var:output D.

### Identifier Class diagram Pattern 9 (ClP9)

### Context

The execution of an operation on an object removes element(s) from a concrete object's collection attribute, thus provoking a change in the object's status.

#### Key elements

Object	The object on which the operation is executed.	
	Pre-operation object	The object with the status before the execution of the operation.
	Post-operation object	The object with the status after the execution of the operation.
Operation execution	The execution of the operation.	
Input data	The information (if any) passed into the Operation execution.	
Object's attributes	All the characteristics of the <i>Object</i> . Since, as a consequence of the <i>Operation execution</i> , a concrete collection attribute changes, we have identified:	
	Modified collection attribute	The modified Object's attribute.
	Unmodified attributes	The not modified Object's attributes.

### **UML** Diagram

Key Element	UML	Rationale
Object	Class 🕩	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use Class D to represent the <i>Object</i> both before and after the execution of the operation ( <i>Pre-operation</i> <i>object</i> and <i>Post-operation object</i> , respectively).
Operation execution	Operation <b>D</b> «remove»	The Operation D stereotyped by «remove» represents the exe- cuted operation. Concretely, the stereotype «remove» denotes that an element (or elements) of a concrete collection attribute is removed.
Input data	Input Parameters B	They specify the information passed into the Operation execution.
Object's attributes	Attributes \Lambda	They represent the characteristics of the Object.

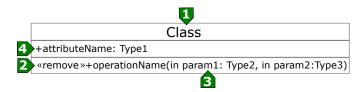


Figure 43. UML representation that models the context given by CIP9

# Mapping to PROV

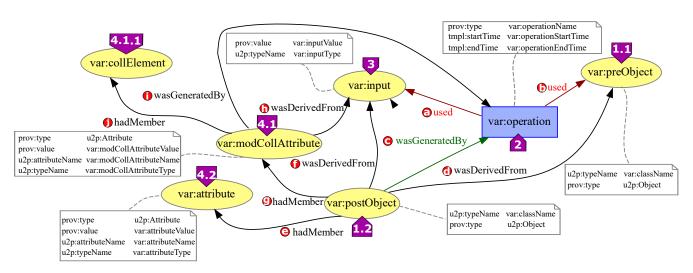


Figure 44. PROV template generated from the UML representation used in ClP9 (Figure 43)

UML	PROV / id	Rationale
Class 🕩	prov:Entity/ var:preObject	The <i>Pre-operation object</i> , i.e. the object with the status be- fore the execution of the operation, which is represented by Class <b>D</b> , is a prov:Entity identified as var:preObject.
	<pre>prov:Entity 12/ var:postObject</pre>	The Post-operation object, i.e. the object with the status after the execution of the operation, which is represented by Class D, is a prov: Entity identified as var: postObject.
Operation <b>D</b> «remove»	prov:Activity 🗗/ var:operation	The execution of Operation Stereotyped by «remove» is a prov:Activity identified by var:operation.
Input Parameters B	prov:Entity <b>D</b> / var:input	Each parameter of Input Parameters  is a separate prov:Entity identified as var:input.
Attributes 争	<pre>prov:Entity *** / var:modCollAttribute</pre>	The Modified collection attribute (belonging to Attributes ) is a prov:Entity with identifier var:modCollAttribute. Additionally, each element in this collection is a separate prov:Entity identified by var:collElement
	prov:Entity 4.2/ var:attribute	Each Unmodified attribute (belonging to Attributes ) is mapped to a separate prov:Entity with identifier var:attribute.

PROV Element	Attribute / Value	Description
var:preObject 🎞	u2p:typeName/	The value var:className is the string with the
	var:className	name of the class to which var:preObject 🛄
		belongs.
	prov:type/	The value u2p:Object shows that
	u2p:Object	var:preObject 🎞 is an object.
var:postObject D	u2p:typeName/	The value var:className is the string with the
	var:className	name of the class to which var:postObject 12
		belongs.
	prov:type/	The value u2p:Object shows that
	u2p:Object	var:postObject 📭 is an object.
var:operation 왿	prov:type/	The value var:operationName is the name of
	var:operationName	the operation var:operation 🕑.
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an
	var:operationStartTime	xsd:dateTime value for the start of
		var:operation 🕑.
	tmpl:endTime/	The var:operationEndTime is ar
	var:operationEndTime	xsd:dateTime value for the end of
		var:operation 🕑.
var:input <b>B</b>	prov:value/	The value var: inputValue is the direct represen
-	var:inputValue	tation of var: input 3.
	u2p:typeName/	The value var: inputType is the string with the
	var:inputType	name of the type of var: input <b>B</b> .
var:modCollAttribute 🚛	prov:type/	The value u2p:Attribute shows that
	u2p:Attribute	var:modCollAttribute 🖅 is an attribute.
	prov:value/	The value var:modCollAttributeValue is the
	var:modCollAttributeValue	direct representation of var:modCollAttribute
		4.1).
	u2p:attributeName/	The value var:modCollAttributeName is the
	var:modCollAttributeName	string with the name of var:modCollAttribute
		4.1).
	u2p:typeName/	The value var:modCollAttributeType is
	var:modCollAttributeType	the string with the name of the type of
		var:modCollAttribute 4.1.
var:attribute 4.2	prov:type/	The value u2p:Attribute shows that
	u2p:Attribute	var:attribute 🏧 is an attribute.
	prov:value/	The value var: attributeValue is the direct rep
	var:attributeValue	resentation of var:attribute 4.2.
	u2p:attributeName/	The value var:attributeName is the string with
	var:attributeName	the name of var:attribute 4.2.
	u2p:typeName/	The value var: attributeType is the string with
	var:attributeType	the name of the type of var:attribute 42.

#### **PROV** relations

PROV Relation	Description
<pre> prov:used </pre>	It is the beginning of utilizing var: input by var: operation.
<b>b</b> prov:used	It is the beginning of utilizing var:preObject by var:operation.
• prov:wasGeneratedBy	It is the completion of production of var:postObject by var:operation.
<pre></pre>	It is the update of var:preObject resulting in var:postObject.
<pre> prov:hadMember </pre>	It states that var: attribute is one of the elements in var: postObject.
<pre> f prov:wasDerivedFrom </pre>	It is the construction of var:postObject based on var:input.
9 prov:hadMember	It states that var:modCollAttribute is one of the elements in var:postObject.
<pre>prov:wasDerivedFrom</pre>	It is the construction of var:modCollAttribute based on var:input.
<pre>prov:wasGeneratedBy</pre>	It is the completion of production of var:modCollAttribute by var:operation.
<pre> prov:hadMember </pre>	It states that var:collElement is one of the elements in var:modCollAttribute.

### Discussion

- Among the Class Diagrams patterns, patterns from *ClP6* to *ClP10* address the execution of operations that change an object's status. While, *ClP6* changes the object's status as a whole (being the concrete modified attributes unknown or irrelevant), in patterns *ClP7-ClP10* the concrete attributes modified by the *Operation execution* are explicitly known. In contrast to *ClP7* which directly sets the information passed into the *Operation execution* as values of concrete object's attributes, the other mentioned patterns use such information to change the object's status as a whole or the values of concrete object's attributes. It must also be noted that patterns *ClP9* and *ClP10* address the execution of operations which remove or add elements from/into an object's collection attribute, while patterns *ClP7* and *ClP8* affect either a univalued attribute or a collection attribute as a whole.
- A question that might arise is why in Figure 44 var:attribute D is associated with var:postObject **D** (which represents the object with the status after the execution of the operation), but it is not associated with var:preObject **D** (the object with the status before the execution). We have made this decision because an object that acts as a var:preObject in an operation execution, was a var:postObject in a previous operation execution. Thus, the attributes associated to such an object in a var:preObject were registered when it previously played the role of var:postObject.
- Although the *context* of this pattern does not explicitly state that *Input data* should be passed to the operation, we have considered this circumstance with the aim of covering a wider spectrum of cases. When the executed operation lacks *Input data*, the UML representation in Figure 43 will not include Input Parameters **D**. As a consequence, the resulting PROV template in Figure 44 will also lack var: input **D** and its associated PROV relations.
- Although the *context* of this pattern does not explicitly state that output data should be obtained from the *Operation execution*, this could be the case. However, we have decided not to include this output data in this pattern description to avoid overburden both the UML and PROV explanations with information out of the scope of the *context*.

Aiming at giving an insight into how the inclusion of *Output data* affects both UML representation and the resulting PROV template, Figure 45 depicts a UML representation with the *Output data* modelled as Output Parameters **D** (in this case with *return* direction, though the translation of *inout* and *out* directions would be equivalent). Figure 46 depicts its transformation into PROV. Both Figure 45 and 46 highlight the elements related to the inclusion of the *Output data* by blurring the elements coming from Figure 43 and 44, respectively.

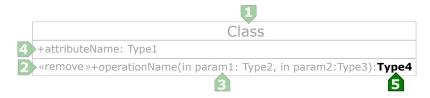
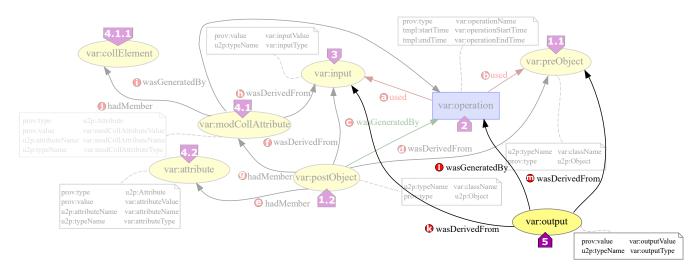


Figure 45. UML representation that models the context given by *ClP9*, including Output Parameters.



**Figure 46.** PROV template generated from the UML representation used in *ClP9*, including Output Parameters (Figure 45)

### PROV elements

UML	PROV / id	Rationale
Output Parameters 🗗	prov:Entity 🗗/	Each parameter of Output Parameters 🗗 is a separate
	var:output	<pre>prov:Entity identified as var:output.</pre>

### **PROV** relations

PROV Relation	Description
k prov:wasDerivedFrom	It is the construction of var:output based on var:input.
<pre>prov:wasGeneratedBy</pre>	It is the completion of production of var: output by var: operation.
<pre>prov:wasDerivedFrom</pre>	It is the construction of var:output based on var:preObject.

### Attributes

PROV Element	Attribute / Value	Description
var:output 🗗	prov:value/	The value var:outputValue is the direct representation of var:output <b>D</b> .
	var:outputValue	
	u2p:typeName/	The value var:outputType is the string with the name of the type of
	var:outputType	var:output <b>D</b> .

### Identifier Class diagram Pattern 10 (ClP10)

### Context

The execution of an operation on an object directly adds the information passed to the operation as new element(s) of a concrete object's collection attribute, thus provoking a change in the object's status.

#### Key elements **Object** The object to which the operation to be executed belongs. Pre-operation object The object with the status before the execution of the operation. The object with the status after the execution of the operation. Post-operation object The execution of the behaviour specified by the operation. **Operation** execution Input data The information passed into the Operation execution. **Object's** attributes All the characteristics of the Object. Since, as a consequence of the Operation execution, a concrete collection attribute changes, we have identified: Modified collection attribute The modified Object's attribute. Unmodified attributes The not modified Object's attributes.

### **UML** Diagram

Key Element	UML	Rationale
Object	Class 🕩	<i>Objects</i> are classified attending to their characteristics and behaviour by means of classes. Thus, we use class <b>D</b> to represent the <i>Object</i> both before and after the execution of the operation ( <i>Pre-operation</i> <i>object</i> and <i>Post-operation object</i> , respectively).
Operation execution	Operation <b>D</b> «add»	The Operation D stereotyped by «add» represents the executed operation. Concretely, the stereotype «add» denotes that a new element (or elements) is directly added to a concrete collection attribute.
Input data	Input Parameters B	They specify the information passed into the Operation execution.
Object's attributes	Attributes 4	They represent the characteristics of the Object.

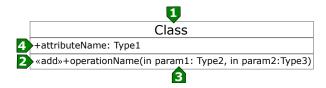


Figure 47. UML representation that models the context given by ClP10

# Mapping to PROV

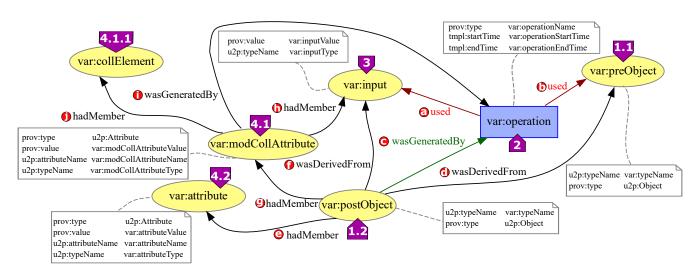


Figure 48. PROV template generated from the UML representation used in *ClP10* (Figure 47)

UML	PROV / id	Rationale
Class 🕩	prov:Entity <b></b> / var:preObject	The <i>Pre-operation object</i> , i.e. the object with the status be- fore the execution of the operation, which is represented by Class <b>D</b> , is a prov:Entity identified as var:preObject.
	prov:Entity/ var:postObject	The Post-operation object, i.e. the object with the status after the execution of the operation, which is represented by Class <b>D</b> , is a prov: Entity identified as var:postObject.
Operation <b>D</b> «add»	prov:Activity 🗗 / var:operation	The execution of Operation  stereotyped by «add» is a prov:Activity identified by var:operation.
Input Parameters B	prov:Entity <b>D</b> / var:input	Each parameter of Input Parameters  is a separate prov:Entity identified as var:input.
Attributes 🗗	<pre>prov:Entity 4.)/ var:modCollAttribute</pre>	The Modified collection attribute (belonging to Attributes ) is a prov:Entity with identifier var:modCollAttribute. Additionally, each element in this collection is a separate prov:Entity identified by var:collElement
	prov:Entity 42/ var:attribute	Each Unmodified attribute (belonging to Attributes D) is mapped to a separate prov:Entity with identifier var:attribute.

PROV Element	Attribute / Value	Description
var:preObject 🎞	u2p:typeName/	The value var:className is the string with the
	var:className	name of the class to which var:preObject 🛄
		belongs.
	prov:type/	The value u2p:Object shows that
	u2p:Object	var:preObject 🎞 is an object.
var:postObject ⊡	u2p:typeName/	The value var:className is the string with the
	var:className	name of the class to which var:postObject 📭
		belongs.
	prov:type/	The value u2p:Object shows that
	u2p:Object	var:postObject 💷 is an object.
var:operation 왿	prov:type/	The value var:operationName is the name of
	var:operationName	the operation var: operation <b>D</b> .
	<pre>tmpl:startTime/</pre>	The var:operationStartTime is an
	var:operationStartTime	xsd:dateTime value for the start of
		var:operation 🕑.
	tmpl:endTime/	The var:operationEndTime is an
	var:operationEndTime	xsd:dateTime value for the end of
		var:operation 왿.
var:input B	prov:value/	The value var: inputValue is the direct represent
	var:inputValue	tation of var: input 3.
	u2p:typeName/	The value var: input Type is the string with the
	var:inputType	name of the type of var: input <b>B</b> .
var:modCollAttribute 41	prov:type/	The value u2p:Attribute shows that
	u2p:Attribute	var:modCollAttribute 💷 is an attribute.
	prov:value/	The value var:modCollAttributeValue
	var:modCollAttributeValue	is the direct representation of
		var:modCollAttribute 4.1.
	u2p:attributeName/	The value var:modCollAttributeName is the
	var:modCollAttributeName	string with the name of var:modCollAttribute
		4.1).
	u2p:typeName/	The value var:modCollAttributeType is
	var:modCollAttributeType	the string with the name of the type of
		var:modCollAttribute 41).
var:attribute 4.2	prov:type/	The value u2p:Attribute shows that attribute
	u2p:Attribute	4. is an attribute.
	prov:value/	The value var: attributeValue is the direct rep
	var:attributeValue	resentation of attribute <b>42</b> .
	u2p:attributeName/	The value var: attributeName is the string with
	var:attributeName	the name of attribute <b>12</b> .
	u2p:typeName/	The value var: attributeType is the string with
	alp.cypename,	

#### **PROV** relations

PROV Relation	Description
<pre>prov:used</pre>	It is the beginning of utilizing var: input by var: operation.
<b>b</b> prov:used	It is the beginning of utilizing var:preObject by var:operation.
• prov:wasGeneratedBy	It is the completion of production of var:postObject by var:operation.
<pre></pre>	It is the update of var:preObject resulting in var:postObject.
<pre> prov:hadMember </pre>	It states that var:attribute is one of the elements in var:postObject.
f prov:wasDerivedFrom	It is the construction of var:postObject based on var:input.
9 prov:hadMember	It states that var:modCollAttribute is one of the elements in var:postObject.
<b>b</b> prov:hadMember	It states that var:input is one of the elements in var:modCollAttribute. This is due to the fact that in this context the input information is directly added to the object's collection attribute.
<pre>prov:wasGeneratedBy</pre>	It is the completion of production of var:modCollAttribute by var:operation.
• prov:hadMember	It states that var:collElement is one of the elements in var:modCollAttribute.

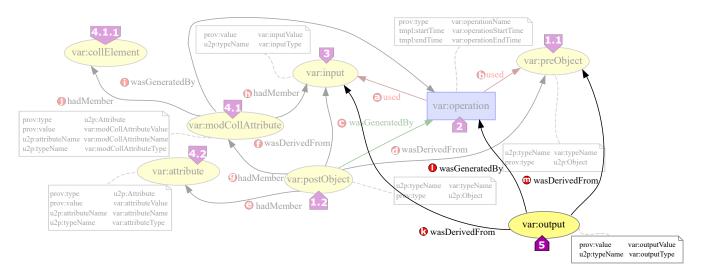
#### Discussion

- Among the Class Diagrams patterns, patterns from *ClP6* to *ClP10* address the execution of operations that change an object's status. While, *ClP6* changes the object's status as a whole (being the concrete modified attributes unknown or irrelevant), in patterns *ClP7-ClP10* the concrete attributes modified by the *Operation execution* are explicitly known. In contrast to *ClP7* which directly sets the information passed into the *Operation execution* as values of concrete object's attributes, the other mentioned patterns use such information to change the object's status as a whole or the values of concrete object's attributes. It must also be noted that patterns *ClP9* and *ClP10* address the execution of operations which remove or add elements from/into an object's collection attribute, while patterns *ClP7* and *ClP8* affect either a univalued attribute or a collection attribute as a whole.
- A question that might arise is why in Figure 48 var:attribute D is associated with var:postObject D (which represents the object with the status after the execution of the operation), but it is not associated with var:preObject (the object with the status before the execution). We have made this decision because an object that acts as a var:preObject in an operation execution, was a var:postObject in a previous operation execution. Thus, the attributes associated to such an object in a var:preObject were registered when it previously played the role of var:postObject.
- Although the *context* of this pattern does not explicitly state that output data should be obtained from the *Operation execution*, this could be the case. However, we do not include this output data in this pattern description to avoid overburden both the UML and PROV explanations with information out of the scope of the *context*.

Aiming at giving an insight into how the inclusion of *Output data* affects both UML representation and the resulting PROV template, Figure 49 depicts a UML representation with the *Output data* modelled as Output Parameters (in this case with *return* direction, though the translation of *inout* and *out* directions would be equivalent). Figure 50 depicts its transformation into PROV. Both Figure 49 and 50 highlight the elements related to the inclusion of the *Output data* by blurring the elements coming from Figure 47 and 48, respectively.



Figure 49. UML representation that models the context given by ClP10, including Output Parameters.



**Figure 50.** PROV template generated from the UML representation used in *ClP10*, including Output Parameters (Figure 49)

#### **PROV** elements

UML	PROV / id	Rationale
Output Parameters 🗗	prov:Entity 🇗/	Each parameter of Output Parameters 🗈 is a separate
	var:output	<pre>prov:Entity identified as var:output.</pre>

#### **PROV** relations

PROV Relation	Description
k prov:wasDerivedFrom	It is the construction of var:output based on var:input.
<pre>prov:wasGeneratedBy</pre>	It is the completion of production of var:output by var:operation.
<pre>prov:wasDerivedFrom</pre>	It is the construction of var:output based on var:preObject.

#### Attributes

<b>PROV Element</b>	Attribute / Value	Description
var:output 🗗	prov:value/	The value var:outputValue is the direct representation of var:output <b>D</b> .
	var:outputValue	
	u2p:typeName/	The value var:outputType is the string with the name of the type of
	var:outputType	var:output <b>D</b> .

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# Appendix A Taxonomy of Class' operations

Depending on their nature, operations implicitly have specific semantics that can also provide information of interest for provenance capture. In order to provide UML Class diagrams with such additional semantics to be included in the generated PROV templates, we have stated a taxonomy of operations given by a set of stereotypes to be included in such diagrams. The taxonomy is based on that given by Dragan et al. [7], which has been enriched with additional stereotypes aimed at identifying extra/further operation's semantics not considered in [7] (marked with an asterisk in Table 2).

**Table 2.** Extension of the taxonomy given in [7] showing the categories of UML Class's operations considered in our proposal. Stereotypes with an asterisk denote those included by our proposal.

Category	Stereotype name	Description
Creational	create	The operation creates an object.
	destroy	The operation destroys an object.
	get	The operation returns values of concrete attributes of an object.
	search*	The operation returns elements belonging to a concrete collection attribute of an object.
Structural	process*	The operation returns values that are computed based the object's status as a whole
Accessor		(the specific attributes used for the calculation are not relevant).
	predicate	The operation returns boolean values that are computed based on concrete attributes of an object.
	property	The operation returns values (of any type) that are computed based on concrete attributes of an object.
	void-accessor	The operation returns values (of any type) that are computed based on concrete
		attributes of an object. These values are returned by means of parameters.
	command	The operation changes the status of an object as a whole (the modified attributes are
		unknown or irrelevant). It does not return information.
Structural	non-void-command	The operation changes the status of an object as a whole (the modified attributes are
Mutator		unknown or irrelevant). It does return information.
	set	The operation directly sets the information passed to the operation as values of concrete
		attributes of an object.
	modify*	The operation modifies concrete attributes of an object.
	remove*	The operation removes an element from a concrete collection attribute of an object.
	add*	The operation adds an element on a concrete collection attribute of an object.