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Article:

Huque, R, Ahmed, F, King, R et al. (6 more authors) (2016) Improving the quality of care of children in community clinics: an intervention and evaluation in Bangladesh. Public Health Action, 6 (2). pp. 77-82.

https://doi.org/10.5588/pha.16.0004

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- 13
- 14 Manuscript content:
- 15 Abstract word count: 195 (max 200)
- 16 Manuscript word count: 2,504 (max 2500)
- 17 No of Tables: 5
- 18 No of figures: 0
- 19 No of references: 14
- 20 Short running title:
- 21 Improving quality of care at community clinics in Bangladesh
- 22 Key words:
- 23 Primary care, childhood pneumonia, antibiotic reduction

25 ABSTRACT

Setting: Community health-care providers (CHCPs) in 40 rural community clinics of
 Comilla district, Bangladesh, were trained using a newly-developed case-management
 job aid based on 'integrated management of childhood illness' and a communication
 quide.

30

Objectives: To assess change in knowledge of CHCPs after training; absolute quality
 of care provided by CHCPs (determined as proportion of under-five children correctly
 diagnosed, treated and referred); and CHCPs' consultation behaviour.

34

Design: Change in knowledge was assessed by pre- and post-tests. Quality of care

36 was determined by reassessments at clinic exit by a medical officer, without baseline

comparison. Consultation behaviour was assessed through direct observation. The

- study was performed during 2014-15.
- 39

40 **Results:** CHCPs' mean standard knowledge score increased from 19 to 25 (P<0.001).

41 Of 1490 under-five children examined, 91% were correctly diagnosed, 86% correctly

42 treated and 99.5% received a correct referral decision. CHCPs performed well on most

43 measures of good communication - though one-third did not explain diagnosis and

44 treatment to patients.

45

46 **Conclusion:** The training was effective in changing knowledge. CHCPs applied the

47 knowledge gained and provided good quality care. Following these results, the

48 Bangladesh Ministry of Health and Family Welfare has scaled up the training

49 nationwide. The lessons learnt should be useful for other countries.

51 **INTRODUCTION**

52

53 Globally, the under-five mortality rate has halved since 1990.¹ South Asia has achieved an equivalent reduction, but under-five mortality remains unacceptably high at 52.5 54 deaths/1000 live births. Sick children's first point of contact with health services is 55 usually primary care. However, in low-income countries, primary care quality is often 56 poor. Additional supply-side factors causing poor outcomes include lack of information 57 on sources of care, distance of health facilities from home, high access costs and staff 58 discrimination; demand-side factors causing low utilisation by the poor include beliefs 59 that care is of poor quality, cultural and social belief systems, and lack of awareness of 60 the value of services.²³ 61

In Bangladesh, the under-five mortality rate remains high, at 46 per 1000,^{4 5} half of 62 which are due to acute respiratory infections, serious infection and diarrhoea. Effective 63 case management through trained healthcare providers could prevent many of these 64 deaths.⁶ To improve access to care, utilisation and equity, the Ministry of Health and 65 Family Welfare (MOHFW) has initiated the Revitalization of Community Health Care 66 Initiatives in Bangladesh (RCHCIB) project, which aims to provide community clinics 67 68 (CCs) – with catchments of approximately 6,000 people – in rural areas, to deliver an essential service package for women, children and the poor. So far, about 13,309 CCs 69 have been built. 70

CCs are staffed by Community Health Care Providers (CHCPs). CHCPs are meant to provide health education, health promotion and treatment of minor ailments, and identify and refer severe cases to hospitals. CHCPs are given monthly supportive supervision by the Sub-Assistant Community Medical Officer (SACMO) of the upazilla (subdistrict) in which the CC lies. SACMOs are trained medical professionals – the level above CHCPs, using similar clinical skills (i.e. not doctors) – who provide healthcare services at Upazilla Health Complexes (UHCs) (the first level of health facility staffed by doctors).

78 In response to concerns of the Project Director of the RCHCIB project and the Line

79 Director of the Essential Service Delivery Programme about poor quality of care, a

programme review was conducted. This indicated that although CHCPs received twelve 80 weeks training – six weeks in class acquiring mainly theoretical knowledge followed by 81 six weeks observing doctors practice - they lacked practical consultation and 82 communication skills. Based on the assessment of patient records by a medical doctor, 83 a rapid pre-intervention study at 5 CCs, selected using convenience criteria, confirmed 84 the Project Director's concerns by demonstrating that only 29% of children received 85 proper diagnosis and care (Table 1). We also estimated that 90% of children who did 86 not need antibiotics received them. To address these issues, we developed a diagnostic 87 and case management job aid adapted from existing Integrated Management of 88 Childhood Illness (IMCI) guidelines¹⁰ and communication guidelines (pre-tested in 89 Kaligani – a non-study upazila – and revised accordingly), and trained all (standard) 90 CHCPs in the study sites. It was anticipated that this would result in higher-quality care, 91 contribute to improved utilisation of community clinics and ultimately help reduce under-92 five mortality. 93

94

95 The timeframe for this 'embedded' research was extremely tight, with a short deadline to develop and pilot the intervention before the Ministry of Health undertook nationwide 96 training. This political reality gave us little opportunity to perform a major assessment of 97 quality of care prior to intervention implementation. Furthermore, prior quality of care 98 99 was sufficiently poor that we considered it preferable to assess absolute quality of care to allow programme managers to judge whether this level of quality was adequate, 100 101 rather than measure improvement in care (since we could have found statistically significant improvements even though care quality was still inadequate). Specific 102 103 objectives were to determine CHCPs' knowledge and consultation behaviour after training; and to determine the proportion of under-five patients seen by CHCPs who 104 received the correct diagnosis, correct treatment (including rational use of antibiotics) 105 and appropriate referral when necessary. 106 107

- 109 METHODS
- 110

111 Study design

The study used a cross-sectional approach to assess quality of care, and pre-post testing to assess change in CHCPs' knowledge. The study population comprised all under-five children who attended the selected CCs, and the CHCPs who cared for them, from August 2014 to February 2015.

- 116
- 117 To assess the change in knowledge following training, CHCPs were pre- and post-
- tested (immediately before and after training) using 12 multiple choice questions
- (MCQs) and 3 case studies addressing the process and content of service delivery.
- 120 MCQs and case studies were pre-tested for clarity and relevance in Kaliganj with 23
- 121 CHCPs, and revised accordingly. To assess the CHCPs' diagnosis, treatment and
- referral post-intervention, at each selected CC, every under-five child examined for six
- consecutive days was re-assessed at exit by a SACMO situated in a separated room
- 124 within the CC. SACMOs were not previously known to the CHCPs, but CHCPs were
- aware they would be assessed. To assess the CHCPs' communication with the
- patient/carer, on the final day of assessment a social researcher observed consultations
- 127 and completed a checklist.
- 128

129 Setting

The study was carried out in two sub-districts of Comilla, a peri-urban district about 100 130 kilometres from Dhaka with a population of 3.74 million.⁷ Comilla has 16 upazillas, from 131 which we selected two – Daudkandi and Chandina – purposively to avoid overlap with 132 other RCHCIB NGO partner projects, and to approximate the national average patient 133 load of 45 patients per day per CC. Daudkandi and Chandina have respectively 23 and 134 27 functioning CCs serving approximately 16,000 and 15,000 under-five children 135 yearly.⁸⁹ CCs were situated from 7 to 25 kilometres from their UHC. Of the 50 CCs, 40 136 (20 from each upazilla) were non-randomly selected to ensure a range of geographical 137 locations, distances from their UHC, knowledge levels (assessed using pre- and post-138 139 test exams), and equal numbers of male and female CHCPs. Study CCs were not

- atypical of CCs nationally, being a mix of rural CCs, peri-urban CCs and very remote
- 141 CCs (up to 25 km from their UHC). Study CCs may not be representative of some CCs
- in the hill areas of Chittagong and remote coastal areas, which are difficult to access
- 143 and possess unique features.
- 144

145 Intervention and training

- All study CHCPs underwent six days of refresher training during April-June 2014,
- facilitated by the RCHCIB project and a member of our study team. Eight of the 37
- sessions during this training covered IMCI¹⁰ guidelines. The intervention and training
- package included five new components: (1) a job aid¹¹ to facilitate the effective
- 150 management of six common illnesses, based on IMCI, including guidance on
- appropriate use of antibiotics; (2) training on 'how to diagnose and treat'; (3) training on
- 152 how to communicate with the child and caregiver; (4) IMCI user guidelines describing
- how to use the IMCI job aid; and (5) training modules including case studies and role-
- play exercises. The package was developed shortly prior to the training in January-
- 155 March 2014 by a national technical working group committee comprised of national and
- international experts in relevant health disciplines, social science and public health.
- 157 Following the training the CHCPs were expected to keep the job aid and guide on their
- desks for ready reference during consultations. A simple referral mechanism was
- included in the IMCI job aid to strengthen referral of complicated cases.
- 160

161 Data collection procedures

One fieldworker was appointed in each upazilla to communicate with CCs and manage 162 163 data collection. Fieldworkers received training on data collection tools and procedures. Two SACMOs, one from each UHC, were selected to assess CHCPs' skills with 164 permission from upazilla authorities. The SACMOs spent six consecutive working days 165 at each CC assessing all the CHCP's consultations with under-five children. Each child 166 was then re-assessed by the SACMO blind to the CHCP's consultation. At the end of 167 168 each day the SACMO matched his own register to the CHCP's register and, using a pre-developed reporting form, recorded all consistencies and inconsistencies. On the 169 sixth day, a researcher observed the CHCP's consultations to assess the CHCP's 170

- 171 communication skills and completed a pre-developed consultation observation checklist.
- 172 At the end of the sixth day the SACMO gave feedback to the CHCP on each
- 173 consultation. All data were collected using pre-tested structured tools.
- 174

175 Data entry and analysis

- 176 All data from SACMO reporting forms were checked, and analysed using SPSS (version
- 177 23). Performance of CHCPs was assessed using outcomes measuring the proportion of
- 178 under-five children:
- 179 1) who received the correct diagnosis
- 180 2) who received the correct treatment
- 181 3) who received appropriate referral services, when necessary
- 4) for whom antibiotics were used rationally (antibiotics given when recommended in the
- job aid and not given when not recommended).
- 184
- 185 The study was powered to estimate 95% confidence interval widths of at most ±10% for
- the outcomes for each type of disease, which implied identifying at least 120 cases of
- 187 severe pneumonia.
- 188
- 189 A knowledge score was calculated based on responses in pre- and post-training tests,
- and mean change in scores was assessed using a paired t-test. Simple frequencies and
- 191 proportions, and their 95% confidence intervals (calculated using the Clopper-Pearson
- 192 exact method¹²), were used to describe the consultation performance and behaviour of
- 193 CHCPs and make inferences about the effectiveness of the training.
- 194

195 Ethics

- 196 Ethics approval was obtained from the Bangladesh Medical Research Council (BMRC)
- and the University of Leeds, UK. Written informed consent was obtained from all
- respondents and CHCPs before interview.
- 199
- 200

201 **RESULTS**

- 202 Overall, 1501 under-five children attended the 40 CCs during the study. Eleven children
- had missing data; analysis is therefore restricted to 1490 (99%) children. Half (51%) of
- all children were females, and the median age was 2 (interquartile range 1-3) years.
- The most common presentations were 'No pneumonia (cough or cold)' (67% of
- patients), fever and diarrhoea (Table 2). A total of 17 (1%) children had severe disease
 requiring referral to a UHC.
- 208

209 Quality of care

- Overall, 91% (95% CI 89-92%) of children were correctly diagnosed, 86% (95% CI 84-
- 87%) were correctly treated and almost all received a correct referral decision by the
- CHCP (99.5%: 95% CI 99-99.8%) (Table 3). Only one child who required referral was
- missed by the CHCP (Table 3). Excluding severe pneumonia, for which there were only
- two cases (and therefore accurate inference is not possible), correct diagnosis was
- lowest for pneumonia (68%: 95% CI 53-80%), with much higher levels of correct
- 216 diagnosis for all other diseases (Table 4).
- 217

218 Rational use of antibiotics

- Overall, 89% (95% CI 87-91%) of consultations resulted in the correct use of antibiotics,
- comprising 89% (95% CI 81-93%) of consultations requiring an antibiotic that correctly
- resulted in their use, and 89% (95% CI 87-91%) of consultations not requiring an
- antibiotic that correctly did not result in their use (Table 3). The correct use of antibiotics
- was lowest for children presenting with 'No Pneumonia (cold or cough)' (86%: 95% CI
- 83-88%) and pneumonia (88%: 95% CI 76-95%), with high levels of correct antibiotic
- use for all other diseases (Table 4).
- 226

227 Change in knowledge of CHCPs

- The mean knowledge score (maximum 30) before the training was 19 (SD 16-22) which
- increased to 25 (SD 23-27) after the training (p<0.001).
- 230
- 231 **Consultation behaviour of CHCPs**

- 232 CHCPs performed well on most measures of good communication. However, about half
- interrupted the child's parent or carer while they were talking, around one-third did not
- explain the diagnosis and treatment, and slightly less than one-third failed to provide
- preventive messages to the patients (Table 5).
- 236
- 237

238 DISCUSSION

We found that following the training, CHCPs were able to correctly diagnose, treat and refer 86% of under-five children cared for. The pre/post training evaluation showed a highly significant and clinically meaningful increase in knowledge based on the content of the job aid. These findings indicate that CHCPs' knowledge gained in training was applied in clinical practice, and justify the decision of the MOHFW to roll out the refresher training countrywide.

245

There remained some over-prescribing of antibiotics (about 11% of children were

247 prescribed antibiotics when they should not have been), but this was less than half the

rate found in the pre-intervention study – this is important given global concerns about

249 antibiotic resistance. There were still some errors in diagnosis (9%) and treatment

250 (14%), especially among children with respiratory symptoms (9% and 14% respectively)

251 - these need to be addressed during supportive supervisory visits and on-the-job

training. The programme managers have begun to fill remaining gaps identified in

253 CHCP performance through electronic distance learning modules. The MoHFW has

introduced distance learning, as arranging classroom-based training for the 14,000

255 CHCPs is very expensive and time consuming. In the next health sector programme,

there are plans to arrange 6 days refresher training for all CHCPs in 2017.

257

An important innovation in this study is the adaptation of the IMCI job aid and training to 258 259 the Bangladesh context, in line with updated World Health Organization guidance, and the country cultural and epidemiological context. For example the job aid includes an 260 261 expanded fever section which recognises that most of Bangladesh is malaria-free, but 262 that in the districts bordering Myanmar where there is malaria, a rapid malariadiagnostic test is necessary. The resulting six page job aid is easy to use, and easily 263 replicable and scalable¹¹. The intervention contributed to a change in national policy and 264 265 practice: about 14,000 CHCPs nationwide were given the job aid and trained. 266

A study from Ethiopia¹³ which used a similar training methodology and evaluation found that health extension workers, following training, provided correct case management in two-thirds of the under-five children, and only one-third of children with severe illness
were correctly managed and referred. The performance of CHCPs in our study has
been substantially better, but the reasons for this difference are unclear.

272

This is the first study of CHCPs performance in Bangladesh. There were several strengths in the intervention and its evaluation. The study had a large sample size. The intervention was designed and developed to be replicable, scalable and sustainable within routine service. There are clear criteria for classifying severe illness that needs urgent treatment and referral. The CHCPs identified all the children needing referral, although there were far fewer requiring referral than expected: this may be because the parents go directly to the UHC when their child looks very ill.

280

There were some weaknesses. First, there was no systematic baseline assessment in 281 the study sites, due to the quick programme decision to scale up CHCP trainings 282 nationwide based on the pilot results. However, it was widely acknowledged that 283 284 baseline quality of care was suboptimal and it was deemed inappropriate to divert scarce resources for baseline assessment. Second, the study was done only in 2 sub-285 286 districts, and may not be representative of the country. Third, the relatively short time interval between training and knowledge assessment measured short-term recall, and 287 288 may not represent long-term learning - but the longer period between training and SACMO reassessment suggests knowledge was retained. Fourth, the CHCP was 289 290 aware that a SACMO was reassessing the children and a researcher was observing the consultation behaviour. The observed quality of care may in part be due to the 291 Hawthorne effect,¹⁴ where increased attention during assessment in itself increases 292 293 performance. Thus, the performance observed in the study may not necessarily be reflective of that expected in routine practice. Fifth, the re-assessment was conducted 294 by two SACMOs - using a panel of clinicians would have increased the rigour of re-295 296 assessment.

297

In conclusion, we believe this study provides evidence to demonstrate that there arelikely to be substantial benefits if countries develop tailored materials and training

packages for lower-level health workers. These should be based on current WHO IMCI
 guidance.¹⁰

302

303 ACKNOWLEDGMENTS

We would like to thank the patients attending the study CCs, and the CHCPs and

305 SACMO involved. We would also like to thank Dr Makhduma Nargis, Additional

306 Secretary, Bangladesh Ministry of Health and Family Welfare and the Project Director of

the CC project for permission to carry out the study.

308 This paper was produced as a result of a paper writing workshop facilitated by faculty

309 from i) Centre for Operational Research, International Union Against Tuberculosis and

Lung Disease, Paris, France; ii) The Union, South-East Asia Regional Office, New

311 Delhi, India; and iii) the Operational Research Unit, Médecins Sans Frontières,

Luxembourg. We would also like to thank Dr Nilam Ashra-McGrath for helping to

facilitate the workshop and for editorial support.

314

315 **FUNDING STATEMENT**

This study was funded by COMDIS-HSD, a research consortium funded by UK aid from

the UK government: however, the views expressed do not necessarily reflect the UK

318 government's official policies.

319

320 CONFLICTS OF INTEREST

321 None declared

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Table 1: Rapid assessment of quality of care of under-five children who attended 5community clinics in Comilla district, Bangladesh, 2014-15

371

	Number seen per clinic per month	% who received proper diagnosis and care
Severely ill children		
Danger signs	3	50%
Pneumonia	9	20%
Diarrhoea	14	80%
Total severely ill children	26	56%
No treatment needed	37	10%
All children	63	29%

Table 2: Distribution of diseases among under-five children who attended the communityclinics in two upazillas of Comilla district, Bangladesh, 2014-15

Disease	Number	(%)
Total	1490	(100)
No pneumonia (cold or cough)	991	(67)
Pneumonia	50	(3)
Severe pneumonia	2	(<1)
Diarrhoea	107	(7)
Dysentery	27	(2)
Fever not malaria	117	(8)
Very severe disease	1	(<1)
Mild viral Illness	26	(2)
Others*	169	(11)

*Others includes ear problems, cuts, burns, abdominal pain and skin problems

Table 3: Proportion of under-five children who received a correct diagnosis, treatment and referral in two upazillas of Comilla district, Bangladesh, 2014-15 (n=1490)

383

Outcome measures	Number	%	(95% CI)	
Correct diagnosis decision	1355	91	(89-92)	
Correct treatment decision	1277	86	(84-87)	
Correct use of antibiotic	1326	89	(87-91)	
Among those who required antibiotic (n=106)	94	89	(81-93)	
Among those who did not require antibiotic (n=1384)	1232	89	(87-91)	
Correct referral decision	1483	99.5	(99.0-99.8	
Among those who required referral (n=17)	16	94	(73-99)	
Among those who did not require referral (n=1473)	1467	99.6	(99.1-99.8	

387	Table 4: Proportion of under-five children correctly diagnosed, treated (in relation to	

antibiotic use) and referred, by presenting disease, in two upazillas of Comilla district,
 Bangladesh, 2014-15

			Correct [*] Correct [*] antibio			Correct* antibiotic use		Refer	ect [*] rral
	n	%	(95% CI)	n	%	(95% CI)	n	%	(95% CI)
991	919	93	(91-94)	849	86	(83-88)	990	99	(99-100)
50	34	68	(53-80)	44	88	(76-95)	50	100	(93-100)
2	1	50	(1-99)	2	100	(16-100)	2	100	(16-100)
107	105	98	(93-100)	105	98	(93-100)	106	99	(95-100)
27	27	100	(87-100)	26	96	(81-100)	27	100	(87-100)
117	104	89	(82-94)	112	96	(90-99)	117	100	(97-100)
	50 2 107 27	5034211071052727	5034682150107105982727100	50 34 68 (53-80) 2 1 50 (1-99) 107 105 98 (93-100) 27 27 100 (87-100)	503468(53-80)442150(1-99)210710598(93-100)1052727100(87-100)26	503468(53-80)44882150(1-99)210010710598(93-100)105982727100(87-100)2696	503468(53-80)4488(76-95)2150(1-99)2100(16-100)10710598(93-100)10598(93-100)2727100(87-100)2696(81-100)	503468(53-80)4488(76-95)502150(1-99)2100(16-100)210710598(93-100)10598(93-100)1062727100(87-100)2696(81-100)27	503468(53-80)4488(76-95)501002150(1-99)2100(16-100)210010710598(93-100)10598(93-100)106992727100(87-100)2696(81-100)27100

*Correct means the CHCP's diagnosis was in accordance with the SACMO's subsequent re-diagnosis

Table 5: Assessment of consultation behaviours of community health care providers in two upazillas of Comilla district, Bangladesh, 2014-15 (n=37) 396

Consultation outcome measures	n	%	95% CI
Welcomed the patient	24	64	(47-80)
Encouraged patient to talk	37	100	(91-100)
Looked at the patient	37	100	(91-100)
Listened to the patient	37	100	(91-100)
Proper sitting arrangement during consultation	27	73	(56-86)
Look for danger signs of being severely ill	25	68	(50-82)
Asked about symptoms	37	100	(91-100)
Started questioning using open ended questions	37	100	(91-100
Completed questioning using closed ended questions	35	95	(82-99)
Interrupted parent/carer while talking	18	49	(32-66)
Able to encourage parent/carer to describe the child's condition	37	100	(91-100)
Look, listen and feel for the relevant signs	36	97	(86-100
Explain diagnosis and treatment to the patient	24	64	(47-80)
Give preventive messages related to this illness	26	70	(53-84)