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1 **Manuscript:**

2

3 **Exploring the application of TEX₈₆ and the sources of organic matter in the**
4 **Antarctic coastal region**

5

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25 **Highlights**

- 26 > Five GDGT-based calibrations were tested against reanalysis temperatures.
- 27 > The best fit was obtained using with a quadratic calibration.
- 28 > The GDGT-based sea surface temperature (SST) represented the Oct/Nov/Dec season.
- 29 > Organic matter input was controlled mainly by SST, precipitation and glacier retreat.

30

31 **Abstract**

32 Isoprenoidal glycerol dialkyl glycerol tetraethers (isoGDGTs) are archaeal biomarkers.
33 In many settings, the degree of cyclization of isoGDGTs is correlated with temperature,
34 forming the basis of the TEX₈₆ paleothermometer that is widely used to reconstruct sea
35 surface temperature (SST) across a range of time scales. However, the application of
36 TEX₈₆ to the polar regions is relatively limited and there is currently no consensus on
37 which calibration is best suited for polar environments. In addition, application of TEX₈₆
38 to the polar regions is complicated by uncertainty regarding the source of organic matter
39 input in coastal polar environments. We tested five different calibrations for TEX₈₆ in
40 marine sediments from the Antarctic coastal region of Admiralty Bay near King George
41 Island, using four short cores that span the second half of the 20th century. We also
42 explored the possible sources of organic matter in these cores using sterol biomarkers.
43 Best results for TEX₈₆ were obtained using a quadratic calibration. The TEX₈₆ signal
44 presented a strong seasonal signal and best matched reanalysis temperatures of the austral
45 spring season (Oct/Nov/Dec). The most abundant compounds observed in the sediments
46 were the sterols cholest-5-en-3 β -ol and 24-ethylcholest-5-en-3 β -ol, the fatty alcohols C₁₆
47 and phytol, and isoGDGT-0, indicating a dominant marine origin of the organic matter.
48 Differences in their vertical distributions suggests that some compounds (such as cholest-
49 5-en-3 β -ol and phytol) may have had different sources over the evaluated period.
50 Together our results indicate that TEX₈₆ can be used to reconstruct SSTs in the Antarctic
51 coastal region.

52

53 **Keywords:** GDGT, sterol, sea surface temperature; organic matter; Admiralty Bay.

54 **1. Introduction**

55 Several biomarkers-based proxies have been developed for the reconstruction of
56 sea surface temperature and organic matter (OM) production in the marine environment
57 (Volkman, 2006; Eglinton and Eglinton, 2008; Schouten et al., 2013). A main focus has
58 been on the isoprenoidal glycerol dialkyl glycerol tetraethers (isoGDGTs) produced by
59 Archaea. The degree of cyclization of isoGDGTs is correlated with temperature in both
60 laboratory cultures (De Rosa et al., 1980) and natural samples (Schouten et al., 2002;
61 Kaur et al., 2015). This forms the basis for the TEX₈₆ paleothermometer (Schouten et al.,
62 2002, 2013) that is widely used to reconstruct sea surface temperature (SST) across a
63 range of time scales and as far back as the early Jurassic (e.g. Hertzberg et al., 2016;
64 Robinson et al., 2017; Petrick et al., 2018). However, other factors can also influence the
65 degree of cyclization of isoGDGTs under specific conditions (Pearson et al., 2004; Elling
66 et al., 2015).

67 TEX₈₆ can be particularly useful in locations where other proxies cannot be easily
68 applied, such as in the Southern Ocean and Antarctic shelf (Ho et al., 2014). However,
69 the application of TEX₈₆ to the polar regions is still relatively limited and there is currently
70 no consensus on which calibration is best suited for polar environments (Etourneau et al.,
71 2013; Tierney and Tingley, 2014; Park et al., 2019). There are only a few studies that test
72 the application of TEX₈₆ calibrations in the Antarctic and Southern Ocean environments
73 and most of them used sediments recovered from the open ocean (e.g. Ho et al., 2014 and
74 Fietz et al., 2016). An exception is the study by Jaeschke et al. (2017) that analyzed
75 surface sediment samples encompassing a large range of latitudes (between 36° S and 68°
76 S), including the Southern Ocean. Nevertheless, only a few of them were located below
77 the Antarctic Polar Front, and all samples from the polar region (> 60° S) overestimated
78 SST by up to 6 °C. The authors suggested the influence of seasonality and terrestrial input
79 as explanations for this large bias in their polar samples.

80 Some studies adapted pre-existing calibrations to develop regional models for the
81 Antarctic polar environment. Shevenell et al. (2011) modified the calibration model of
82 Schouten et al. (2002) and suggested a regional calibration for the Antarctic Peninsula.
83 More recently, Park et al. (2019) studied the seasonal effect on the GDGT-based
84 thermometry from the Antarctic Polar Front. Based on the global calibration proposed by
85 Kim et al. (2010), they concluded that there is a nonlinear relationship between TEX₈₆^L
86 and SST, and proposed a quadratic calibration for Antarctic environments south of 50° S.

87 However, a thorough assessment of the performance of these calibrations to the Antarctic
88 environment is so far lacking.

89 In addition to the choice of the calibration method, the $\text{TEX}_{86}^{\text{L}}$ -based SST
90 reconstructions can also be affected by environmental conditions other than temperature,
91 as mentioned earlier. Some studies identified that high productive regions and/or periods
92 may affect the SST reconstruction (Hurley et al., 2018; Park et al., 2018). Although
93 several studies have used biomarkers to reconstruct marine productivity and identify the
94 sources of OM in Antarctic marine sediments (Huang et al., 2011; Wisniewski et al., 2014;
95 Ceschim et al., 2016), none of the calibration studies in the Antarctic region evaluated the
96 effect of variations in the OM productivity in the $\text{TEX}_{86}^{\text{L}}$ -based SST paleothermometry.

97 In this context, understanding the environmental forcing and marine
98 biogeochemical processes in polar environments involving the distribution and
99 composition of sedimentary OM in general - and isoGDGT distributions specifically - is
100 of high priority, and potentially able to assist in the understanding of local environmental
101 changes (Shetye et al., 2017; Henley et al., 2019). The main objective of this work is to
102 evaluate the OM character and sources, isoGDGT distributions and the performance of
103 the TEX_{86} proxy in several short sediment cores from Admiralty Bay, located in the Sub-
104 Antarctic sector, that span the second half of the 20th century. To evaluate the performance
105 of the TEX_{86} proxy, several existing calibrations of the GDGT-based paleothermometer
106 were tested to reconstruct sea surface temperature and compared to the available
107 reanalysis record.

108

109 **2. Study Area**

110 Admiralty Bay is a glacial fjord, centrally located within King George Island
111 (KGI), in the western region of the Antarctic Peninsula (Fig. 1). The island is mostly
112 formed of volcanic rocks of Late Cretaceous to Early Tertiary (Paleocene - Oligocene)
113 ages, which are related to the subduction of the SE-Pacific oceanic crust underneath the
114 Antarctic Continent (Smellie et al., 1984; Machado et al., 2001). Mafic volcanic rocks
115 (i.e. basalts, basalt-andesites and andesites) are the dominant lithologies. Rhyolite and
116 dacite are less abundant (Machado et al., 1998).

117 In 1999, the KGI was more than 90% covered by ice fields, and the ice-free areas
118 were concentrated along the coast (Simões et al., 1999). Due to regional warming, several
119 glaciers have now retreated out of the sea. Between 2000 and 2008, the loss amounted to
120 about 20 km², about 1.6% of the total area of the island (Rückamp et al., 2011). The

121 Admiralty Bay has an area of ca. 120 km², and it is composed of three branches: Ezcurra
122 Inlet (E.I.) to the south-west, Mackellar Inlet (Mk.I.) to the north, and Martel Inlet (Mt.I.)
123 to the north-east (Rakusa-Suszczewski, 1995). The water depth varies from shallow water
124 up to 530 m deep, with an average depth of ca. 200 m (Rakusa-Suszczewski, 1995).

125 Surface sediments are heterogeneous, displaying coarse sediments (gravel and
126 sand) mainly at the external and central parts of the bay (Fávaro et al., 2011). Ezcurra and
127 Mackellar inlets display great heterogeneous grain size spatial distribution with sand
128 content ranging between 6 and 60%. Sediments from Martel Inlet are predominantly
129 composed of silt and clay fractions with percentages higher than 70% (Martins et al.,
130 2005; Berbel and Braga, 2014).

131 The observed mean annual air temperature on the KGI was - 2.5 C° between 1948
132 and 2011 (Kejna et al., 2013). During austral Summer and also regularly during the Spring
133 and Autumn months, air temperatures rise to well above freezing (Rückamp et al., 2011).
134 Winds constitute a main meteorological feature and they influence the ablation rates, the
135 surface energy fluxes (and therefore, the air temperatures), the water circulation and the
136 resuspension of previously deposited material in the area (Braun et al., 2001, 2004;
137 Pichlmaier et al., 2004). The precipitation on KGI is characterized by substantial
138 interannual variability (Kejna et al., 2013) and affects the water column stratification and
139 the resuspension of previously deposited material (Pichlmaier et al., 2004).

140 Hydrological conditions in Admiralty Bay are mainly driven by the exchange of
141 water with the Bransfield Strait, freshwater runoff, and local processes in the fjord. The
142 water flow can be described as tidal currents and superimposed wind driven currents
143 (Robakiewicz and Rakusa-Suszczewski, 1999). The influence of wind and tidal variations
144 on circulation is more intense in shallow areas, especially at the western side of the bay
145 (Robakiewicz and Rakusa-Suszczewski, 1999) where wind plays an important role in
146 upwelling that drives primary production (Brandini and Rebello, 1994). Freshwater
147 runoff influences water column turbidity through the suspension of soft sediments in the
148 region (Pichlmaier et al., 2004), and this runoff, enriched with guano and fragments of
149 macroalgae, can be an important source of OM and nutrients to the bay (Nędzarek, 2008).

150

151 **3. Material and Methods**

152 *3.1. Sampling*

153 Four short sediment cores (BTP - Botany Point; REF - Refuge II; STH -
154 Stenhouse; THP - Thomas Point) (red dots, Fig. 1 and Table 1) were collected during the

155 XXV Brazilian Antarctic Expedition (summer 2006/2007) using a mini-box corer (25 *
156 25 * 55 cm) (Ferreira et al., 2013; Martins et al. , 2010, 2014). The cores were generally
157 sectioned every 1 cm, and the samples were first stored at - 20 °C in previously calcined
158 aluminum trays. Samples for the analysis of radionuclides were stored in plastic
159 containers. Samples for the analysis of organic markers were freeze-dried, homogenized
160 in a mortar and stored in glass vials until laboratory analysis.

161

162 3.2. *Age Model*

163 The activity of radionuclide ^{137}Cs was determined by gamma spectrometry with
164 hyper-pure Germanium detector (model GEM60190, EGG & ORTEC) and the vertical
165 variation was used to estimate sedimentation rates. The detailed methods were first
166 published by Martins et al. (2010) and Ferreira et al. (2013) and the obtained
167 sedimentation rates are presented in Table 1.

168

169 3.3. *Total Organic Carbon (TOC)*

170 TOC analysis was conducted using 1.0 g of homogenized sediments. Samples
171 were pre-treated with hydrochloric acid, washed twice with deionized water to remove
172 chloride, and dried at 80 °C overnight. The TOC was determined using a Carlo Erba 1100
173 CHN Analyser with a precision of $< \pm 0.1$ wt %. Measurements were performed in
174 duplicate and the average is reported here.

175

176 3.4. *Sample extraction, instrumental analysis and analytical control*

177 The analytical method used to extract the biomarkers (GDGTs, fatty alcohols and
178 sterols) consisted of ultrasonic extraction and alumina column fractionation. For this
179 purpose, dried sediments (ca. 10 - 15 g) were ultrasonically extracted with
180 dichloromethane (DCM; 2 times), DCM: methanol (MeOH) (1:1; v/v; 2 times) and
181 MeOH (2 times). The extracts were combined and evaporated to dryness and then
182 fractionated using solid phase extraction method and short alumina columns. The apolar
183 fraction was eluted with approximately 4.5 mL *n*-hexane: DCM (9:1, v/v), while the polar
184 fraction (containing the compounds of interest here) was eluted with approximately 6 mL
185 DCM:MeOH (1:2; v/v). The polar fraction was further divided into two portions, one for
186 the analysis of branched and isoprenoidal GDGTs and one for the analysis of fatty
187 alcohols and sterols. These extracts were dried under N_2 flow. The fraction for GDGT
188 analysis was resuspended in *n*-hexane: isopropanol (99: 1, v/v); filtered (PTFE filter, 0.45

189 μm); and concentrated to about 2 mg mL^{-1} prior to LC-MS analysis. The other portion
190 destined for the analysis of fatty alcohols and sterols by GC-MS was derivatized using
191 BSTFA and pyridine (heating at $70 \text{ }^\circ\text{C}$ for 1 h).

192 GDGTs were analyzed by high performance liquid chromatography coupled with
193 atmospheric pressure chemical ionization mass spectrometry (HPLC-APCI-MS, Thermo
194 Finnigan TSQ/Acela Series). All samples were measured in triplicate and the average
195 values are reported here. Chromatographic separation of compounds was achieved using
196 an Alltech Prevail Cyano column ($2.1 \text{ mm i.d.} \times 150 \text{ mm}$, $3 \mu\text{m}$). The GDGTs were eluted
197 isocratically with *n*-hexane: isopropanol (99: 1, v/v) for 7 min, followed by a linear
198 gradient to 1.3% isopropanol at 30 min, to 1.6% isopropanol at 35 min, then increasing
199 to 10% isopropanol at 36 min and kept for 8 min (at a flow of 0.2 mL min^{-1}), finally
200 equilibrating with 1% isopropanol for 13 min before the next injection. After each three
201 analyses, the column was cleaned by back-flushing of *n*-hexane: isopropanol (99: 1, v/v)
202 for 7 min and then rinsed by a linear gradient from 9: 1 (v/v) to 99: 1 (v/v) *n*-hexane:
203 isopropanol within 14 min and equilibrated with 1% isopropanol at 30 min. The
204 instrumental conditions for APCI-MS were: drying gas (N_2) flow 6 L min^{-1} and
205 temperature $200 \text{ }^\circ\text{C}$, vaporizer temperature of $380 \text{ }^\circ\text{C}$, capillary temperature of $282 \text{ }^\circ\text{C}$
206 and corona discharge current of $3 \mu\text{A}$. GDGTs were detected by APCI/MS in selected ion
207 monitoring (SIM) mode (m/z 1302, 1300, 1298, 1296, 1292, 1050, 1048, 1046, 1036,
208 1034, 1032, 1022, 1020, 1018, 653 and 744). GDGTs were semi-quantified with an
209 interval synthetic C_{46} tetraether standard.

210 The fatty alcohols and the sterols were analyzed by gas chromatography-mass
211 spectrometry (GC-MS; ThermoQuest Trace GC interfaced to Finnigan Trace MS
212 quadrupole spectrometer). Separation was achieved using a HP-1 fused silica capillary
213 column ($50 \text{ m} \times 0.32 \text{ mm i.d.}$; $0.17 \mu\text{m}$ film thickness). The injection was made at $70 \text{ }^\circ\text{C}$
214 and the temperature increased to $130 \text{ }^\circ\text{C}$ at $20 \text{ }^\circ\text{C min}^{-1}$, then up to $300 \text{ }^\circ\text{C}$ at $4 \text{ }^\circ\text{C min}^{-1}$,
215 and then remained constant at $300 \text{ }^\circ\text{C}$ for 20 min. Helium was used as a carrier gas. The
216 GC-MS operated in electron impact ionization (70 eV) and full scan mode (m/z 50-650).
217 The internal standard to quantify the fatty alcohols and sterols was 2-hexadecane, added
218 prior to GC-MS analysis. The analyte peak areas were integrated from the total ion current
219 chromatograms.

220

221 3.5. Data analysis

222 The statistical analyses were performed in R version 3.5.1 (R Core Team, 2018)
223 using the packages Hmisc (Harrell Jr., 2019), pracma (Borchers, 2019) and WaveletComp
224 (Roesch and Schmidbauer, 2018), while the spatial analyses were performed using the
225 QGIS 3.4.2 software (QGIS Development Team, 2018).

226 First, we calculated four different indices to test if there are any potential sources
227 other than the planktonic Thaumarchaeota that could affect the GDGT-based
228 paleothermometry interpretation. The Branched and Isoprenoid Tetraether Index (BIT)
229 was proposed by Hopmans et al. (2004) to evaluate the relative input of terrestrial OM in
230 the marine environment.

231

$$232 \text{ BIT} = ([\text{brGDGT-I}] + [\text{brGDGT-II}] + [\text{brGDGT-III}]) / ([\text{crenarchaeol}] + [\text{brGDGT-I}] \\ 233 + [\text{brGDGT-II}] + [\text{brGDGT-III}])$$

234

235 The methane index (MI) was proposed by Zhang et al. (2011) to indicate the
236 relative contribution of GDGTs derived from methanotrophic Archaea to those from
237 planktonic Thaumarchaeota.

238

$$239 \text{ MI} = ([\text{isoGDGT-1}] + [\text{isoGDGT-2}] + [\text{isoGDGT-3}]) / ([\text{isoGDGT-1}] + [\text{isoGDGT-2}] \\ 240 + [\text{isoGDGT-3}] + [\text{crenarchaeol}] + [\text{cren. isomer}])$$

241

242 The ring index (RI) was proposed by Zhang et al. (2016) to indicate a potential
243 non-thermal influence on GDGTs distributions. $|\Delta\text{RI}|$ indicates the residual of a sample's
244 RI ($\text{RI}_{\text{sample}}$) from a calculated RI ($\text{RI}_{\text{calculated}}$) based on the global TEX_{86} – RI regression.

245

$$246 \text{ RI}_{\text{sample}} = 0 * \{\text{isoGDGT-0}\} + 1 * \{\text{isoGDGT-1}\} + 2 * \{\text{isoGDGT-2}\} + 3 * \{\text{isoGDGT-} \\ 247 3\} + 4 * \{\text{crenarchaeol}\} + 4 * \{\text{cren. isomer}\}$$

248

$$249 \text{ RI}_{\text{calculated}} = -0.77 (\pm 0.38) * \text{TEX}_{86} + 3.32 (\pm 0.34) * (\text{TEX}_{86})^2 + 1.59 (\pm 0.10)$$

250

$$251 |\Delta\text{RI}| = \text{RI}_{\text{calculated}} - \text{RI}_{\text{sample}}$$

252

253 Lastly, the % GDGT-0 was proposed by Sinninghe Damsté et al. (2012) to
254 indicate the contribution of methanogenic Archaea.

255

256 %GDGT-0 = [isoGDGT-0] / ([isoGDGT-0] + [crenarchaeol])

257

258 While the MI and %-GDGT-0 indices were calculated based on the compounds'
259 abundance (brackets), the RI index was calculated based on the percentage of the
260 compounds (braces).

261 The TEX₈₆ index was calculated following Schouten et al. (2002):

262

263
$$\text{TEX}_{86} = ([\text{isoGDGT-2}] + [\text{isoGDGT-3}] + [\text{cren. isomer}]) / ([\text{isoGDGT-1}] + [\text{isoGDGT-2}] + [\text{isoGDGT-3}] + [\text{cren. isomer}])$$

265

266 In addition, we also calculated the TEX₈₆^L index (Kim et al., 2010):

267

268
$$\text{TEX}_{86}^L = \log_{10} ([\text{isoGDGT-2}] / ([\text{isoGDGT-1}] + [\text{isoGDGT-2}] + [\text{isoGDGT-3}]))$$

269

270 To verify the applicability of the GDGT-based paleotemperature proxies to the
271 polar environment, calculated temperatures based on the sediment cores GDGT data were
272 compared with the interpolated mean temperature taken from the Simple Ocean Data
273 Assimilation (SODA3) reanalysis data (Carton and Giese, 2008)
274 (<http://dsrs.atmos.umd.edu/DATA/soda3.3.2>). The data are initially based on a numerical
275 model and then corrected based on direct observations. They have a final horizontal
276 resolution of 0.25° * 0.25° and cover the period between 1980 and 2015 (Carton et al.,
277 2018). The GDGT-based temperatures were compared with the annual mean and seasonal
278 temperatures (from 1980 to 2006) corresponding to the nearest interpolated 0.50° gridbox
279 (average of the surrounding four grid cells). To explore the influence of seasonality, we
280 calculate quarterly averages, using different combinations of months. For example, the
281 “summer 1” season was formed by the December, January and February months (the
282 usual combination); the “summer 2” combination was formed by January, February and
283 March; and the “summer 3” combination was formed by February, March and April.
284 Finally, the fit between GDGT-based SST and satellite-based SST was tested using the
285 Spearman correlation test because not all datasets were normally distributed. The five
286 GDGT-based SST calibrations we tested here were proposed by Kim et al. (2010),
287 Shevenell et al. (2011), Tierney and Tingley (2014), Park et al. (2019), and Dunkley Jones
288 et al. (2020).

289 All plots from the Bellingshausen Station (see Fig. 1) are based on data from the
290 Antarctic Research and Investigation subprogram, obtained from the READER
291 (Reference Antarctic Data for Environmental Research), a project of the Scientific
292 Committee on Antarctic Research (AARI, 2019). The precipitation data are presented as
293 monthly sums (in mm), while the wind speeds are presented as monthly means (in m^{-1}).

294

295 **4. Results**

296 *4.1. OM input*

297 The BTP is the longest sediment core with the highest temporal resolution.
298 Therefore, we analyzed the OM variation and its dependence on SST and other
299 environmental parameters using this record only. In this core that spans the last 50 years,
300 there is a similar trend in concentration for the sterols, alcohols, and isoGDGTs and this
301 trend is also similar to that seen in the TOC record (Fig. 2). At first (~ 1963 to 1975), the
302 concentrations decreased, followed by a gradual increase between 1975 and 1998. The
303 input slightly decreased after 2000.

304 The dominant sterols were cholest-5-en-3 β -ol (cholesterol; $27\Delta^5$) and 24-
305 ethylcholest-5-en-3 β -ol (sitosterol; $29\Delta^5$). (Fig. 3). The 5α -stanols were less abundant
306 than their unsaturated analogues, being normally diagenetically produced by the
307 microbial reduction of Δ^5 -stenols into 5α -stanols (Grimalt et al., 1990). The ratio 5α -
308 stanols/ Δ^5 -stenols was generally higher than 0.5. Other abundant compounds were the *n*-
309 alkanol *n*-C₁₆-OH and phytol. All sediments contained isoGDGTs. The main GDGTs
310 found in our sampling site were GDGT-0 and crenarchaeol, representing around 50% and
311 40% of the isoGDGT distribution, respectively.

312

313 *4.2. IsoGDGTs and calibration of the SST estimates*

314 Various indices have been proposed to characterize the source of sedimentary
315 isoGDGTs (and, by extension, their suitability for SST reconstruction). GDGTs can be
316 derived from terrestrial sources or be produced in the water column and/or within
317 sediments (Sinninghe Damsté et al., 2012; Schouten et al., 2013; Zhang et al., 2016). The
318 Branched and Isoprenoid Tetraether Index (BIT) indicates the terrestrial input of GDGTs
319 to marine sediments when it exceeds 0.3 (Hopmans et al., 2004; Weijers et al., 2006).
320 Overall, the BIT varied between 0.04 and 0.07 at BTP sediment core (Supplementary

321 Table S1), suggesting that effect of the fluvial input of terrestrially derived isoprenoid
322 GDGTs on the GDGT-based paleothermometry may be negligible.

323 The methane index (MI) indicates the relative contribution of GDGTs derived
324 from methanotrophic Archaea (Zhang et al., 2011), when its values exceed 0.3. The MI
325 in our samples was low with a maximum of 0.07 (Supplementary Table S1). Similarly,
326 the % GDGT-0 indicates the contribution of methanogenic Archaea when it exceeds 67%
327 (Sinninghe Damsté et al., 2012). These varied between 44 and 88% across the four cores.
328 For the BTP, REF and THP cores all sediments had values < 67%. The STH core had 6
329 samples between 4.5 and 12.5 cm deep (spanning the years 1977-1994), with a % GDGT-
330 0 > 67% (Supplementary Table S1).

331 The ring index residual ($|\Delta RI|$) is less clearly linked to specific biological sources
332 but it indicates a non-temperature influence on GDGT distributions when it exceeds 0.3
333 (Zhang et al., 2016). The $|\Delta RI|$ varied between 0.03 and 1.53. In the BTP, TEF and THP
334 cores most samples had a $|\Delta RI|$ value < 0.3. Sediments from the STH core, those between
335 4.5 and 12.5 cm deep (spanning the years 1977-1994), all had values > 0.3 with maxima
336 > 1 (Supplementary Table S1). Therefore, the BIT, MI, $|\Delta RI|$, and % isoGDGT-0 all
337 indicate that the majority of isoGDGTs are produced within the water column. For the
338 STH core, the indices suggest a contribution of archaeal groups other than the planktonic
339 Thaumarchaeota to the isoGDGT pool.

340 We then determined the temperature estimates using five GDGT-based
341 calibrations. These are the TEX_{86}^L calibration proposed by Kim et al. (2010) for
342 (sub)polar oceans, the TEX_{86} calibration developed by Shevenell et al. (2011) for the
343 Antarctic Peninsula, the global BAYSPAR TEX_{86} calibration (using the surface mode)
344 of Tierney and Tingley (2014) that is based on a spatially-varying calibration model, the
345 TEX_{86}^L calibration by Park et al. (2019) using a polynomial calibration for the Southern
346 Ocean, and the OPTiMAL calibration proposed by Dunkley Jones et al. (2020) based on
347 the distribution of six isoprenoid GDGTs. These temperatures can be compared to those
348 obtained from the SODA reanalysis, considering the annual and seasonal means. Samples
349 with MI, $|\Delta RI|$, and % isoGDGT-0 values above the thresholds were excluded from this
350 analysis. The only quarterly combination that presented significant correlation values (p -
351 value < 0.05) was: JFM = austral summer, AMJ = austral autumn, JAS = austral winter,
352 OND = austral spring (Supplementary Table S2). The Spearman correlation analysis
353 indicated that the best fit was achieved with the austral spring season (Oct-Nov-Dec)
354 (Table 2).

355 Based on these results, we compared the SODA-based SST from the austral spring
356 season (OND) with the temperature estimates obtained with the five calibration methods
357 (Fig. 4). Using this approach, the AntPen calibration proposed by Shevenell et al. (2011)
358 significantly overestimated the SST at all three locations. The OPTiMAL calibration by
359 Dunkley Jones et al. (2020) also overestimated the SST at all three locations, whereas the
360 TEX_{86}^L and BAYSPAR calibrations proposed by Kim et al. (2010) and Tierney and
361 Tingley (2014), respectively, overestimated the SST only when the TEX_{86} values
362 exceeded 0.45. The quadratic calibration proposed by Park et al. (2019) provides the best
363 fit with the reanalysis record.

364

365 **5. Discussion**

366 *5.1. OM input and temporal evolution*

367 As observed in Fig. 2, the OM proxies did not exhibit homogenous behaviors
368 during the last 50 years. The differences among the biomarker records may have been
369 caused by changes in the productivity of the source organisms. Thus, we first explored
370 the source of the OM proxies before discussing their temporal evolution.

371 The most abundant sterol is the cholest-5-en-3 β -ol. It can be produced by
372 phytoplankton and zooplankton, and is present in the feces of penguins and pinnipeds
373 (Wisnieski et al., 2014). The similarity between the profiles of cholest-5-en-3 β -ol and 24-
374 methylcholest-5,22E-dien-3 β -ol (brassicasterol; $28\Delta^{5,22}$; a marker for diatoms –
375 Volkman, 1986) corroborates phyto- and zooplankton as the main sources for cholest-5-
376 en-3 β -ol (Fig. 3). The only exception is the sample around the year 2004, when the peak
377 of cholest-5-en-3 β -ol does not coincide with an increase in the methylcholest-5,22E-dien-
378 3 β -ol input. During this period, cholest-5-en-3 β -ol probably had a significant contribution
379 from penguin feces, since the regions of Ulmann Point and Keller Peninsula are used for
380 penguins to molt their feathers (Weber and Montone, 2006). The second most abundant
381 sterol is the 24-ethylcholest-5-en-3 β -ol (sitosterol; $29\Delta^5$) (Fig. 3). This sterol
382 predominance was also found by Wisnieski et al. (2014) in Admiralty Bay, and they
383 attributed the 24-ethylcholest-5-en-3 β -ol to macro- and microalgae. The similarity
384 between the profiles of 24-ethylcholest-5-en-3 β -ol and 24-methylcholest-5,22E-dien-3 β -
385 ol corroborates the diatoms as the probable main source for the 24-ethylcholest-5-en-3 β -
386 ol.

387 The main fatty alcohols are the *n*-alkanol *n*-C₁₆-OH and phytol (Fig. 3). The
388 alkanol *n*-C₁₆-OH is usually associated with aquatic algae, bacteria and zooplankton
389 (Wisnieski et al., 2014). However, the absence of similarity between the *n*-alkanol *n*-C₁₆-
390 OH, cholest-5-en-3β-ol, 24-methylcholest-5,22E-dien-3β-ol and phytol suggests that this
391 short-chain *n*-alkanol is neither derived from aquatic algae nor zooplankton, but probably
392 from non-photosynthetic organisms, such as bacteria. Phytol (3,7,11,15-tetramethyl-2-
393 hexadecen-1-ol), on the other hand, has been used as a marker of photosynthetic
394 organisms since it is derived from the degradation of chlorophyll-*a* (Volkman et al.,
395 2008). Its depth profile is similar to that of 24-methylcholest-5,22E-dien-3β-ol,
396 suggesting similar sources. However, high phytol concentrations between 1980 and 1985
397 are not accompanied by changes in the concentrations of other microalgae biomarkers
398 (24-methylcholest-5,22E-dien-3β-ol, 24-ethylcholest-5-en-3β-ol and 4α,23,24-
399 trimethylcholesta-22E-en-3β-ol – dinosterol, 30Δ²²). This suggests an input from other
400 photosynthetic producers, such as cyanobacteria or macroalgae.

401 Finally, as observed in Fig. 3, the ratio of 5α-stanols/Δ⁵-stenols was generally
402 higher than 0.5, suggesting significant alteration of the sedimentary OM due to bacterial
403 activity (Wakeham and Canuel, 2006; Wisnieski et al., 2014). The values of the ratio
404 29Δ⁰/29Δ⁵ decreased from the bottom to the top of the sediment core, indicating a strong
405 diagenetic activity after the final burial of OM in the sediment. The values of the ratio
406 27Δ⁰/27Δ⁵, on the other hand, presented higher values around 1970 and after 1990. Since
407 the cholest-5-en-3β-ol (27Δ⁵) and the 24-ethylcholest-5-en-3β-ol (29Δ⁵) have distinct
408 sources, the difference between the 5α-stanols/Δ⁵-stenols profiles may indicate a
409 preferential degradation between different organic compounds.

410 Regardless of source, most compounds (24-methylcholest-5,22E-dien-3β-ol, 24-
411 ethylcholest-5-en-3β-ol, 4α,23,24-trimethylcholesta-22E-en-3β-ol and phytol profiles in
412 Fig. 4) indicate elevated phytoplankton productivity between 1963 and 1975, and
413 between 2000 and 2006.

414 Between 2002 and 2009, Lange et al. (2015) also found significantly higher
415 phytoplankton abundances in Botany Point compared to the region in front of Keller
416 Peninsula. Among several parameters, they found that precipitation showed the largest
417 influence over phytoplankton populations, with the most productive years coincident with
418 high precipitation in summer. According to Nędzarek (2008), the main nutrient sources
419 to the Admiralty Bay are the continental runoff of freshwater enriched in biogenic
420 compounds from the penguin rookeries, and the decomposition of macroalgae. Thus, high

421 precipitation rates can increase the continental runoff, increasing nutrient supply to the
422 coastal region. Lange et al. (2015) also observed a negative correlation between the wind
423 speed and the phytoplankton abundance. Strong winds tend to increase the water
424 turbidity, decreasing light penetration and primary production (Pichlmaier et al., 2004;
425 Lange et al., 2015). The first precipitation and wind speed data for the King George Island
426 were obtained at the Bellingshausen research station in 1968 (Fig. 5) (SCAR Reader
427 Project, 2019). Based on the available data, the relatively low wind speed coupled to high
428 precipitation in the late 1960s may have been responsible for the high marine productivity
429 in the beginning of the record and its decreasing trend towards 1975 (Fig. 5).

430 Between 1976 and 1998, increase in algal biomarker abundances could have been
431 caused by a slightly wetter and warming weather (Kejna et al., 2013). However, the large
432 input of alcohols and isoprenoid GDGTs between 1980 and 1987 does not correspond to
433 any of these parameters (precipitation in Fig. 5 and SST and Fig. 6). A possible
434 explanation is glacier retraction. Between 1979 and 1988, Rosa et al. (2014) reported high
435 area loss of the main glaciers around the BTP sampling site, especially the Krak glacier
436 (Fig. 5, rightmost panel). The melted water may have carried continental nutrients to the
437 coastal region.

438 After that, there was a relative stabilization in the OM input. The largest variation
439 occurred in 2004, where the increase in sterol concentration was driven mainly by a peak
440 in the cholest-5-en-3 β -ol concentrations. As discussed above, since this increase was not
441 accompanied by a proportional increase in the phytoplankton biomarkers, it is more
442 plausible that it is derived from penguin feces, instead of from the zooplankton. It
443 suggests an increase in the population of ice-tolerant penguin species, such as gentoo
444 penguins (Henley et al., 2019), around Botany Point. That may have been caused by the
445 retreat of the glaciers, exposing ice-free land areas (Oliveira et al., 2019). This increase
446 in the supply of ornithogenic nutrients, in turn, may have promoted the marine
447 productivity.

448

449 *5.2. IsoGDGTs and calibration of the SST estimates*

450 As mentioned earlier, some caution should be taken before applying the GDGT-
451 based indices to reconstruct temperature because of the possibility of alternative sources
452 for the isoGDGTs other than planktonic Thaumarchaeota. When applied to the STH
453 record, the RI indicated a potential non-thermal influence between 1977 and 1996, while

454 a potential contribution from methanogens was observed between 1984 and 1994
455 (Supplementary Table S1).

456 After discounting source effects, we found a strong positive correlation between
457 GDGT-based SST and austral spring SST (Oct-Nov-Dec; Table 2). Only the calibration
458 proposed by Dunkley Jones et al. (2020) did not exhibit a positive correlation with the
459 annual or any of the seasonal means. In 2011, Nakayama et al. found that the archaeal
460 communities in Martel Inlet are dominated by the phylum Thaumarchaeota (formerly
461 known as Crenarchaeota), a major source of the isoprenoid GDGTs used in the TEX₈₆
462 calculation (Schouten et al., 2002, 2013). In 2015, Hernández et al. studied the marine
463 archaeal community structure in Potter Cove, a shallow water coastal marine area located
464 in the King George Island. They also observed a high dominance of members of the
465 phylum Thaumarchaeota, specially during the spring. During this season, the increase in
466 solar radiation and temperature promotes primary productivity. The warmer temperatures
467 also increase freshwater run-off, delivering more suspended particulate matter (SPM) to
468 the water column. The higher primary productivity rates coupled with the SPM input
469 enhances the growth of Thaumarchaeota. More recently, Signori et al. (2018) studied the
470 seasonal changes in bacterial and archaeal diversity and community structure in the
471 Bransfield Strait. Their results indicate that seasonal variation of temperature and OM
472 production are regulatory factors affecting the bacterial and archaeal communities.
473 During spring, the phylum Thaumarchaeota represents an important fraction (more than
474 5%) of the microbial community. However, in the summer, its relative abundance drops
475 to 1% or less, being outcompeted by bacteria. These microbial community dynamics
476 likely explain the high correlation between the GDGT-based SSTs and the austral spring
477 season SST.

478 Of five calibrations tested, that proposed by Park et al. (2019) presented the best
479 fit to SODA-based SST from the austral spring season (OND) (Fig. 4). However, because
480 it is a quadratic calibration, it could generate ambiguous values. The inflection of the
481 calibration curve is around 7 °C and historical SST means do not exceed 2 °C (neither
482 when analysing annual means nor spring means) (Supplementary Fig. S1). Thus, we
483 assume that only the cold temperature estimates are accurate and used the calibration
484 proposed by Park et al. (2019) in the subsequent analysis.

485

486 5.3. SST trends

487 Using the calibration proposed by Park et al. (2019), we calculated SST for the
488 sediment core at BTP, since it is the longest one with the highest temporal resolution. The
489 TEX₈₆-based temperatures from BTP, considering the calibration errors, are in the same
490 range as the mean air temperatures observed in the King George Island by Kejna et al.
491 (2013) (Fig. 6, top panel). The period between 1979 and 2000 had the largest observed
492 glacier retreat in the Martel Inlet (Rosa et al., 2014). This warming trend is observed in
493 the TEX₈₆-based SST records. After 1998, however, we detect no warming in the BTP
494 record (Fig. 6, bottom panel), similar to observations of the Antarctic Peninsula by Turner
495 et al. (2016) and Huai et al. (2019). Low SST values were observed around 1977, 1996
496 and 2004. They did not coincide with consistent patterns of decreased freshwater input,
497 through either precipitation or meltwater inflow from the adjacent glaciers. However,
498 these cooler periods coincided with relatively high wind speed values that may have
499 promoted the water column mixture bringing colder waters to the surface (Fig. 5).

500 Finally, changes in the OM production did not affect the SST reconstruction.
501 Hurley et al. (2018) found that the sedimentary TEX₈₆ values may under-predict local
502 SST in regions of high productivity. After blooming episodes, common in polar
503 environments, ammonia released by remineralization of organic matter may promote
504 archaeal ammonia oxidation rate and growth rate. However, the correlations between SST
505 values and the organic matter proxies in this study were low ($|R_s| < 0.17$) and non-
506 significant (p -value < 0.05) (Supplementary Table S3). While the largest variations in the
507 OM were observed mainly between 1965 and 1980, the largest SST variation occurred
508 around the year 2000 (Fig. 5).

509

510 **6. Conclusions**

511 We evaluated the performance of the TEX₈₆ proxy in four short sedimentary cores
512 collected in the Admiralty Bay, King George Island, that span the second half of the 20th
513 century; we complemented that investigation with analyses of OM sources in the longest
514 and most complete of these cores.

515 Combined with meteorological data, we were able to examine causes in dramatic
516 and unexpected variations in primary productivity. Before 1975, the primary productivity
517 was primarily driven by precipitation and wind dynamics. An increase in phytoplankton
518 productivity occurred between 1985 and 2000, probably promoted by SST warming and

519 the relatively wetter climate. Glacier retreats near the sampling site may also have
520 promoted the primary productivity, especially in the early 1980s and late 1990s. In the
521 last part of the record, there was a relative stabilization in the OM input coupled with a
522 cooling SST trend. The exception was around the year 2004, when a peak of cholesterol
523 decoupled from environmental proxies and other biomarkers likely documents an
524 increase in the contribution from “ice-tolerant” penguins.

525 As there are still no studies on the reconstruction of SST variations in the region,
526 we tested five different calibrations developed for the Antarctic environment. The
527 comparison between GDGT-based SST estimates (using five calibrations) and reanalysis-
528 based SST (annual and seasonal means) indicated that the GDGT signal represents the
529 austral spring season (Oct/Nov/Dec). The calibration that provided the best fit with the
530 reanalysis-based SST was the quadratic calibration proposed by Park et al. (2019).
531 Variations in OM production did not present any significant effect on the GDGT-based
532 paleothermometry. This confirms the potential utility of GDGT-based SST proxies in this
533 region and allowed us to develop a SST record from 1960 to 2010.

534

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778

779 **Figure Captions**

780

781 Fig. 1. Map of the study area with the location of the sediment cores collected in
782 Admiralty Bay, Antarctic Peninsula. The red dots represent the location of the sediment
783 cores, the blue diamonds represent glaciers mentioned in the Discussion, and the red
784 triangle represents the Russian Bellingshausen research station.

785

786 Fig. 2. Profiles and trends (based on linear models between 1963 and 1975, 1975 and
787 1998, and 1998 and 2006; dashed lines) of the total organic carbon (TOC, in %), total
788 sterols (in $\mu\text{g g}^{-1}$), total alcohols (in $\mu\text{g g}^{-1}$), and isoprenoid GDGTs (in $\mu\text{g g}^{-1}$) in the BTP
789 sediment core.

790

791 Fig. 3. Profiles of the main sterols, the fatty aliphatic alcohols and the main isoprenoid
792 GDGTs (all data in $\mu\text{g g}^{-1}$); and the ratio of 5α -stanols/ Δ^5 -stenols in the BTP sediment
793 core. The vertical dashed gray line indicates the threshold of diagenesis (Wakeham and
794 Canuel, 2006).

795

796 Fig. 4. Comparison between GDGT-based sea surface temperatures (SST) from our short
797 marine sediment cores and instrumental temperatures from SODA3 reanalysis. The red
798 line represents the mean austral spring (OND) temperature, while the red band represents
799 the annual variation. Regarding the GDGT-based SST estimates, the line represents the
800 calculated SST using five different calibrations (from left to right: $\text{TEX}_{86}^{\text{L}}$, AntPen,
801 Bayspar, $\text{TEX}_{86}^{\text{L}}$ quad and OPTiMAL), while the bands represent the calibration errors
802 (from left to right: $\text{TEX}_{86}^{\text{L}} = \pm 4 \text{ }^\circ\text{C}$, AntPen = $\pm 2.2 \text{ }^\circ\text{C}$, Bayspar = $\pm 5 \text{ }^\circ\text{C}$, $\text{TEX}_{86}^{\text{L}}$ quad
803 = $\pm 5 \text{ }^\circ\text{C}$ and OPTiMAL = $\pm 3.4 \text{ }^\circ\text{C}$).

804

805 Fig. 5. Profiles of the normalized proxies of organic matter (total sterols, total alcohols
806 and isoGDGTs), sea surface temperature (in $^\circ\text{C}$), seasonal means of wind speed in
807 Bellingshausen (in m s^{-1}), seasonal mean of precipitation in Bellingshausen (in mm), and
808 area loss of the main glaciers around Botany Point (in km^2). The trends (colored dashed
809 lines) are based on linear models between 1963 and 1975, 1975 and 1998, and 1998 and
810 2006.

811

812 Fig. 6. Comparison between GDGT-based sea surface temperatures from Botany Point
813 (BTP) and mean annual air temperatures from King George Island based on Kejna et al.
814 (2013). (Top panel) The band represents the calibration error (± 5 °C), while the error
815 bars in the x-axis represent the age model uncertainties. (Bottom panel) Trends (based on
816 linear models) between the periods: 1963 – 1975, 1975 – 1998 and 1998 – 2006 (2011 in
817 the MAT data).

818

819 **Table Captions**

820

821 Table 1. Sediment cores collected at Admiralty Bay, King George Island, Antarctica. LSR
822 = Mean post-1963 estimated linear sedimentation rates.

823

824 Table 2. Spearman correlation analysis between GDGTs-based sea surface temperatures
825 (considering the three records combined) and mean annual and austral seasonal
826 temperatures from SODA3 reanalysis. $x = p$ -value < 0.05 . JFM = austral summer, AMJ
827 = austral autumn, JAS = austral winter, OND = austral spring. Calibrations: TEX₈₆^L based
828 on Kim et al. (2010); AntPen based on Shevenell et al. (2011); Bayspar based on Tierney
829 and Tingley (2014); TEX₈₆^L quad based on Park et al. (2019) and OPTiMAL based on
830 Dunkley Jones et al. (2020).

Exploring the application of TEX₈₆ and the sources of organic matter in the Antarctic coastal region

Ana Lúcia L. Dauner, B. David A. Naafs, Richard D. Pancost, César C. Martins

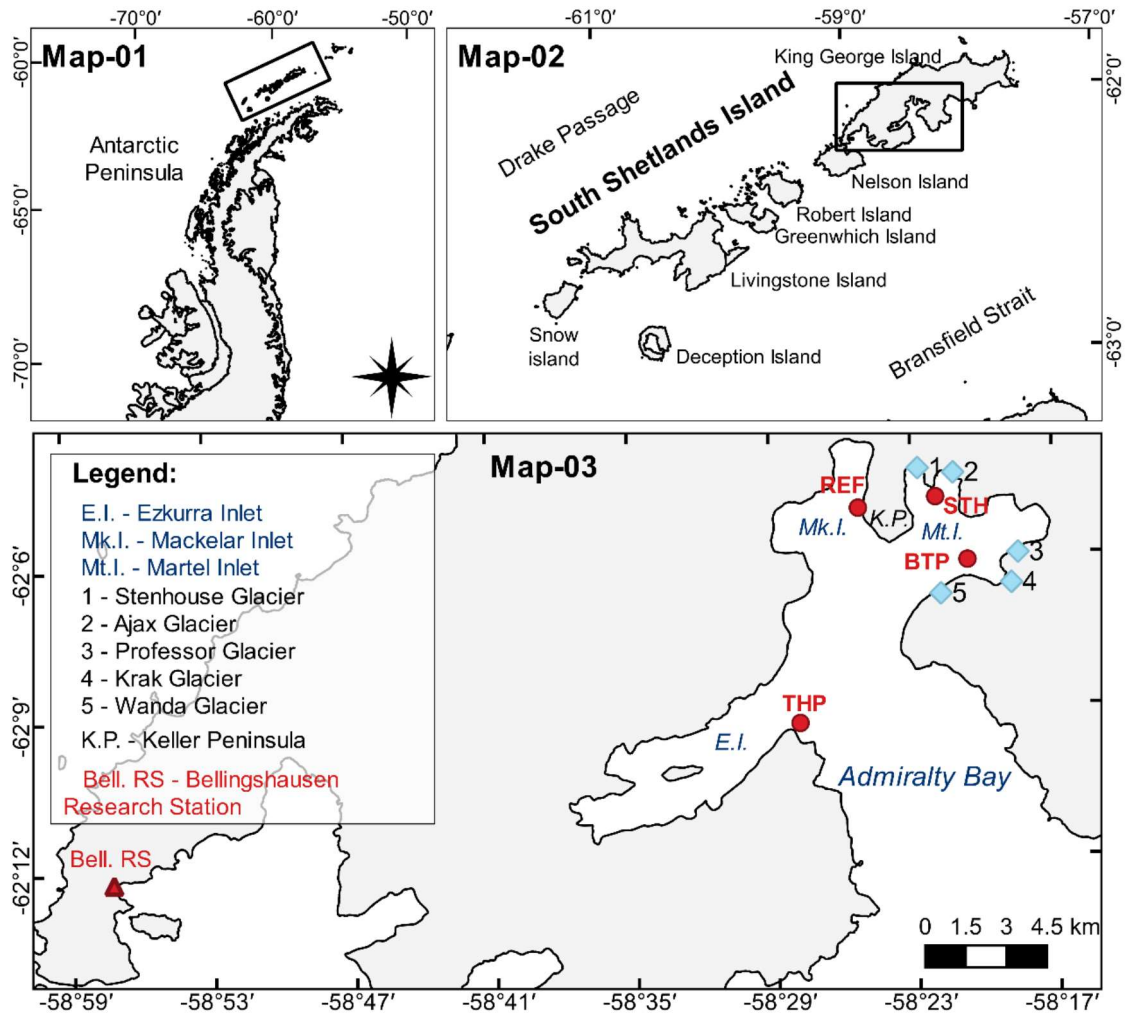


Fig. 1

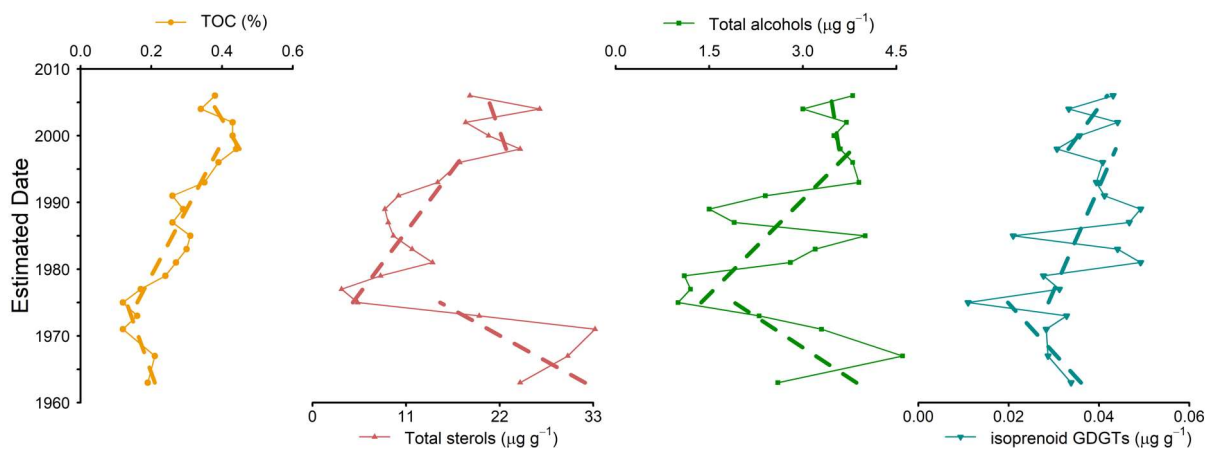


Fig. 2

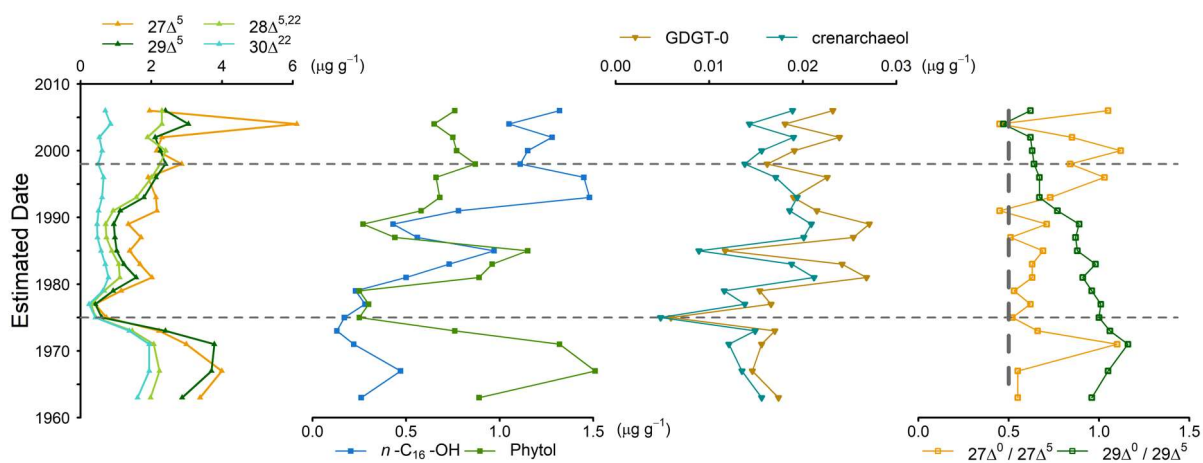


Fig. 3

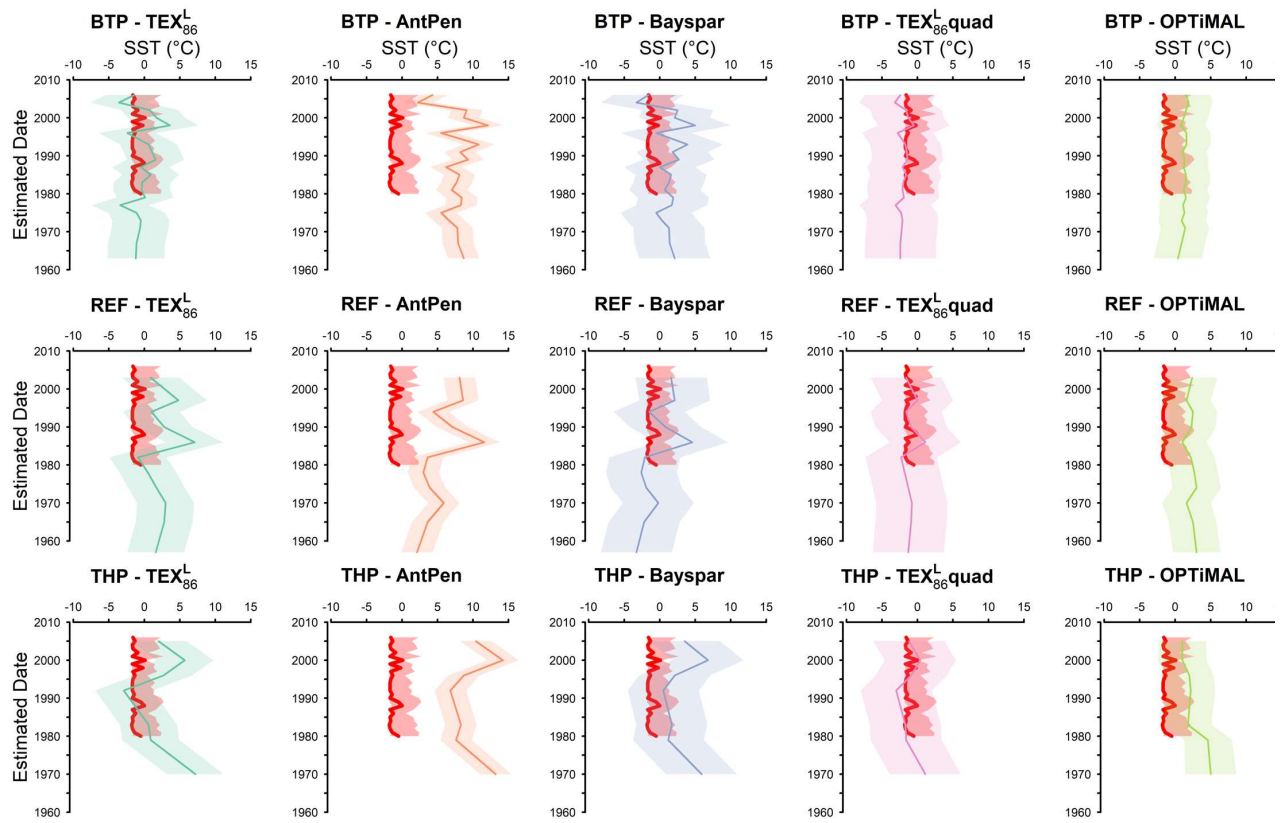


Fig. 4

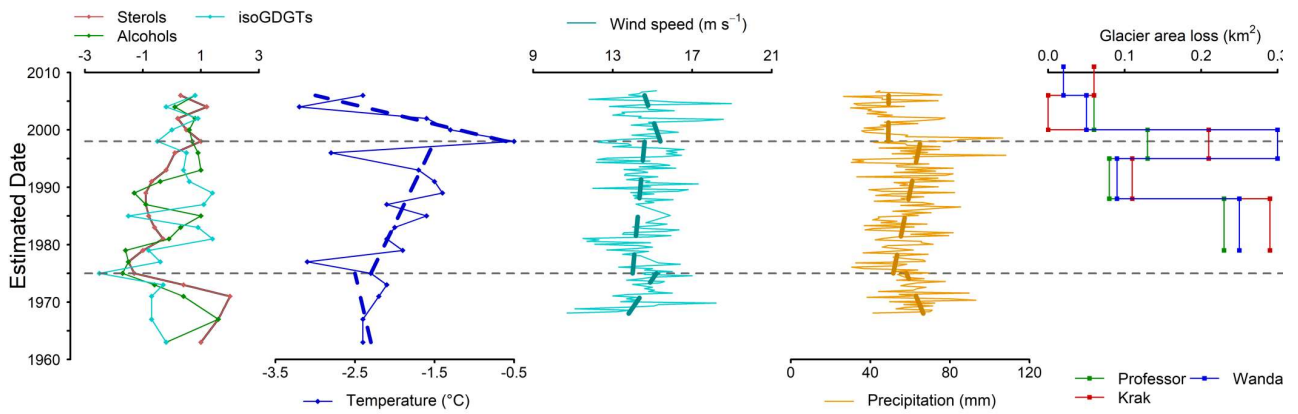


Fig. 5

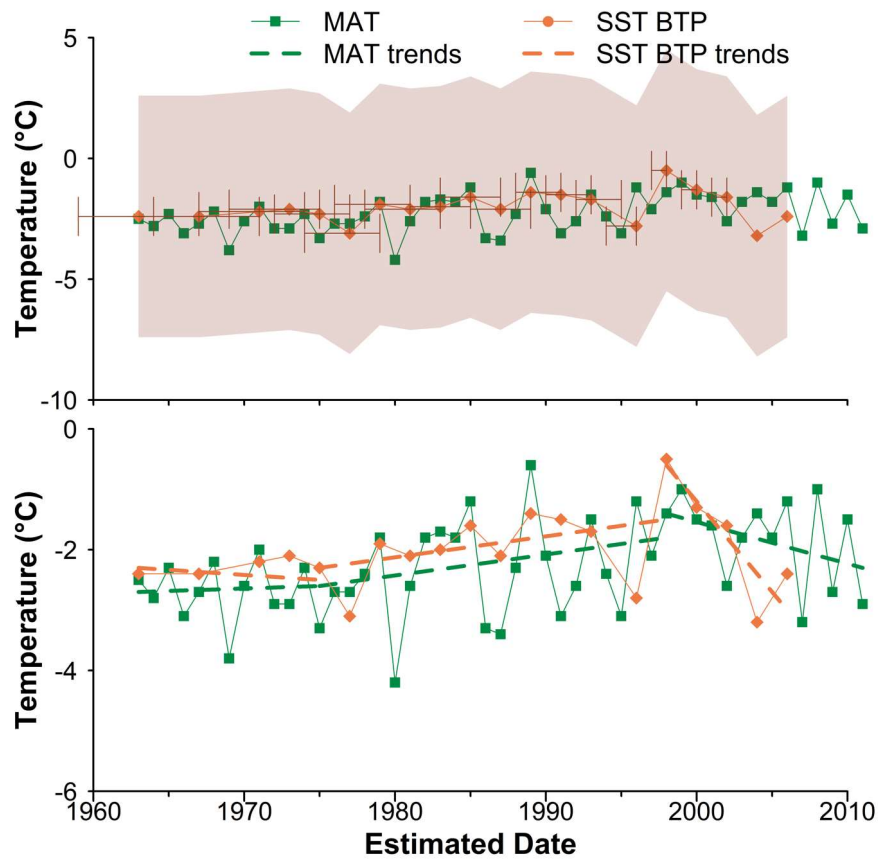


Fig. 6

Exploring the application of TEX₈₆ and the sources of organic matter in the Antarctic coastal region

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Table 1. Sediment cores collected at Admiralty Bay, King George Island, Antarctica. LSR = Mean post-1963 estimated linear sedimentation rates.

Site	Name	Latitude	Longitude	Depth (m)	LSR (cm yr ⁻¹)
BTP	Botany Point	- 62.09718	- 58.35078	30	0.48 ^a
REF	Refuge II	- 62.07952	- 58.42745	20	0.26 ^b
STH	Stenhouse Glacier	- 62.07625	- 58.37270	25	0.42 ^a
THP	Thomas Point	- 62.15040	- 58.47140	20	0.23 ^b

^a Ferreira et al. (2013); ^b Martins et al. (2014)

Table 2. Spearman correlation analysis between GDGTs-based sea surface temperatures (considering the three records combined) and mean annual and austral seasonal temperatures from SODA3 reanalysis. $x = p\text{-value} < 0.05$. JFM = austral summer, AMJ = austral autumn, JAS = austral winter, OND = austral spring. Calibrations: TEX₈₆^L based on Kim et al. (2010); AntPen based on Shevenell et al. (2011); Bayspar based on Tierney and Tingley (2014); TEX₈₆^L quad based on Park et al. (2019) and OPTiMAL based on Dunkley Jones et al. (2020).

Calibration	Annual	JFM	AMJ	JAS	OND
TEX ₈₆ ^L	x	x	x	x	0.54
AntPen	x	- 0.44	x	x	0.61
Bayspar	x	- 0.44	x	x	0.61
TEX ₈₆ ^L quad	x	x	x	x	0.56
OPTiMAL	x	x	x	x	- 0.60