Isolating the effect of off-road glance duration

1	Isolating the effect of off-road glance duration on driving performance: An
2	exemplar study comparing HDD and HUD in different driving scenarios
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9	Précis: We employed a novel method to control visual attention in two driving environments and
10	evaluated this using a head-down and a head-up display. We found evidence that when visual
11	attention is similar, both display type and driving environment impact driving performance,
12	which has important implications for current display assessment techniques.
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# **Structured Abstract**

21 **Objective:** We controlled participants' glance behavior while using head-down displays (HDD) 22 and head-up displays (HUD) to isolate driving behavioral changes due to use of different display 23 types across different driving environments. **Background:** Recently, HUD technology has been 24 incorporated into vehicles, allowing drivers to, in theory, gather display information without 25 moving their eyes away from the road. Previous studies comparing the impact of HUD to 26 traditional displays on human performance show differences in both drivers' visual attention and 27 driving performance. Yet no studies have isolated glance from driving behaviors which limits 28 our ability to understand the cause of these differences and resulting impact on display design. 29 **Method:** We developed a novel method to control visual attention in a driving simulator. 30 Twenty experienced drivers sustained visual attention to in-vehicle HDDs and HUDs while 31 driving in both a simple straight and empty roadway environment and a more realistic driving 32 environment which included traffic and turns. **Results:** In the realistic environment, but not the 33 simpler environment, we found evidence of differing driving behaviors between display 34 conditions, even though participants' glance behavior was similar. Conclusion: Thus, the 35 assumption that visual attention can be evaluated in the same way for different types of vehicle 36 displays may be inaccurate. Differences between driving environments bring the validity of 37 testing HUDs using simplistic driving environments into question. Application: As we move 38 towards the integration of HUD user interfaces into vehicles, it is important that we develop new, 39 sensitive assessment methods to ensure HUD interfaces are indeed safe for driving.

40 Keywords: Augmented reality, driver behavior, distraction, display assessment.

# 42 Introduction

43 Future in-vehicle displays may provide visual information to users by overlying graphics through 44 the windshield and onto the surrounding environment, advancing potential capability of invehicle displays. These advanced HUD interfaces must be assessed for fitness for in-vehicle use 45 46 to minimize risk to roadway users. Research has identified driver glances away from the road as 47 problematic, and resulting guidelines (e.g. AAM, 2002; ISO, 2006; SAE, 2000) indicate that in-48 vehicle displays should encourage drivers to return glances back to the road (Metz et al., 2011). 49 Thus, researchers often assess in-vehicle displays by focusing on glance behaviors, such as the 50 duration or frequency of glance fixations on specific areas of the road or surrounding

51 environment.

52 One established assessment method is Senders' visual occlusion method (Senders et al., 1967) 53 which considers the central visual demands, but disregards information gained using peripheral 54 vision (Burnett et al., 2013; Large & Burnett, 2015). While ignoring peripheral visual cues may 55 be valid for HDD testing, but a key benefit of HUDs is drivers' ability to gather information 56 using peripheral vision while using the display.

57 Another prevalent assessment method is the National Highway Transportation Safety 58 Administration's (NHTSA's) Eye Glance in a Driving Simulator method (EGDS), in which 59 display acceptability is determined by average display glance duration, percentage of time 60 looking at the display, and total time with the eyes off road (NHTSA, 2012). While glance-based 61 methods of assessing display safety have been validated for use with traditional in-vehicle head-62 down displays (HDDs), no such validation has taken place for use with novel displays like 63 HUDs. This work explores the implications of applying current NHTSA assessment methods to 64 emerging technologies such as HUDs. The study presented herein is an important step in

determining whether two critical elements of common in-vehicle display assessment methods are suitable for HUD interface assessment: (1) glance durations towards the display, and, (2) the driving environment. In order to test these elements, we applied a novel method to systematically control glance duration and visual attention. We then examined the utility of a realistic driving environment as a replacement for national assessment standards, especially given the unique nature of HUD usage.

# 71 Visual Attention Toward In-Vehicle Displays

72 Analyzing visual attention is a fundamental part of understanding driving performance, 73 especially when assessing in-vehicle visual displays (Cotter et al., 2008). Drivers must rapidly 74 process and respond to dynamic visual information and increasingly complex in-vehicle displays 75 contribute additional visual load. Even driving-related information displayed within the vehicle 76 can be dangerous if focusing visual attention toward the display causes drivers to miss roadway 77 hazards or signals. Advanced in-vehicle visual displays can be especially dangerous due to 78 increased information quantity as information already present in the real world must be 79 processed along with added virtual graphics in the case of HUDs, or as graphically rich HDDs 80 provide detailed maps on increasingly large touch-screen displays. These visually rich displays 81 may require more visual attention to process through the information, ultimately increasing the 82 risk of driving accidents (NHTSA, 2010). The risk is especially present when the display 83 requires or encourages sustained off-road visual attention that extends for more than two seconds 84 (referred to in the literature as a "long glance") (Klauer et al., 2006; NHTSA, 2012; Zwahlen et 85 al., 1988). In this context, a "glance" is defined as an eve movement (saccade) to an area of 86 interest (AOI) combined with all subsequent visual intakes (fixations) and saccades within that 87 AOI (NHTSA, 2012), and may therefore extend for several seconds. A new glance begins when

a saccade leaves one AOI (e.g. roadway) and moves into another (e.g. display). Previous findings
are based on data collected using HDDs, before the widespread emergence of HUDs. Therefore,
the impact of HUD interface design and usage on drivers' behavior and performance is not yet
fully understood. Furthermore, researchers haven to yet determined how best to measure visual
distraction and resulting safety associated with HUD interfaces.

93 HUDs allow drivers to receive information while still looking toward the road, maximizing the 94 benefit of close spatial proximity, which is an important consideration for in-vehicle display 95 design (Wittmann et al., 2006). It is possible that extended glances toward HUD graphics affect 96 driving performance less than extended glances toward HDDs – most likely because drivers 97 using HUDs may leverage peripheral vision for lane keeping and other basic visual tasks 98 associated with driving (Horrey & Wickens, 2004a). As such, traditional methods of assessing 99 visual attention might even characterize HUD glances as "on-road" since these glances are in the 100 direction of the driving scene. Yet, peripheral vision alone is insufficient to safely drive because 101 drivers must also attend and respond to roadway events (Horrey & Wickens, 2004a). In this case, 102 glances toward HUDs could be considered "off-road" because drivers must verge and 103 accommodate away from the road scene and onto the focal plane of the HUD; this is likely to 104 result in both visual and cognitive distraction. A recent study suggests that even when HUD 105 graphics are presented at the same focal depth as the real-world reference (e.g., a lead vehicle), 106 there is a cognitive cost to switching between the graphic and real-world reference (Gabbard et 107 al., 2018). Therefore, throughout this work, we consider glances to the graphics on the HUD to 108 be "off-road" rather than on-road.

109 Indeed, changes in drivers' glance and driving behavior while using HUDs has been mixed110 (Donkor, 2012). Researchers have employed a variety of tasks reflecting potential use cases for

111 HUDs including visual search tasks (Smith et al., 2015, 2016, 2017), navigation tasks (Bolton et 112 al., 2015; Liu & Wen, 2004), verbal response tasks (Horrey & Wickens, 2004b), and hazard 113 identification/response (Horrey & Wickens, 2004c; Kim et al., 2013; Liu & Wen, 2004). Yet, 114 none of these examples employed tasks that systematically demanded drivers' visual attention, 115 such that eyes-off-road time, or glance duration, was managed within the study design. In studies 116 where visual attention was analyzed, results frequently showed that participants distributed road 117 and display glances differently when using HUDs as compared to HDDs (Bolton et al., 2015; 118 Horrey & Wickens, 2004b; Smith et al., 2016, 2017). Because roadway glances and driving 119 behavior are empirically linked, previous findings of differing driving behaviors may have been 120 caused in part by changes in adopted glance behaviors. Additional research is needed to 121 understand underlying causes of changes to driving performance and the implications of these 122 changes for assessing new HUD interfaces for safe, on-road use.

#### 123 Driving Environment

124 In driving simulator-based research, the driving *environment* includes the driving scene and 125 roadway elements, which can affect research outcomes (Large et al., 2015; Teh et al., 2014). 126 However, driving environment is not frequently the focus of experiments, as widely accepted 127 standards have been adopted. For example, research examining the suitability of in-vehicle 128 displays is often conducted under non-binding NHTSA guidelines, whereby participants follow a 129 single lead car traveling at a constant 50mph on a straight, two-lane road with little or no other 130 traffic (NHTSA, 2012). However, past research on traffic complexity and driving performance 131 indicated driver workload increased with increased traffic flow, affecting speed control, 132 headway, and lane keeping (Teh et al., 2014). Further, driving environment can impact glance 133 behaviors, and the simple NHTSA-specified scenario may not elicit authentic driving behavior

134 (Large et al., 2015). Thus, while glance patterns while using HUDs and HDDs will likely change 135 across different driving environments, it is unclear whether these changes maintain similar 136 patterns. Because physiological indicators like glance allocation are used to predictor changes in 137 workload (Ayaz et al., 2012) and, ultimately, driving behavior, researchers must understand and 138 validate these glance-based assumptions for HUDs. If changes in glance and driving behavior 139 while using HUDs differ from changes found while using HDDs, then there is further evidence 140 for establishing new methods of assessment. Hypotheses 141 142 The goal for this work was to explore how participants' driving behavior and vehicle control 143 changes when glance duration varies while using different in-vehicle displays. A secondary goal 144 was to examine the impact of driving environment when using these different displays. 145 Therefore, we examined driving behaviors while participants used HDDs and HUDs to complete 146 a visually demanding task in two different environments. We tested two hypotheses for this 147 work: 148 **H1.** As the duration of focused visual attention toward a display increases, driving 149 performance deteriorates more quickly when using HDDs compared to HUDs. 150 H2. Simple driving environments (e.g. NHTSA-prescribed) are less likely to reveal 151 differences between display types than driving environments which include dynamic 152 elements (e.g. curves and other vehicles).

#### 153 Methods

154 The study took place at the University of Nottingham, UK, and was approved by the University's

155 Faculty of Engineering Ethics Committee and the Institutional Review Board at Virginia Tech

156 (#17-563); informed consent was obtained from each participant.

### 157 Participants

158 Five female and fifteen male experienced drivers (M = 6357.5 miles per year) with a valid

159 driver's license for at least two years (M = 14.75 years) participated in the study. Participants

160 were aged 18 - 65 years old (M = 33.95 years) and self-reported that they had normal or

161 corrected-to-normal vision. No participants reported previous experience using windshield-based

162 HUDs.

# 163 Driving Task

164 Participants completed a series of driving tasks using the car-following paradigm (Brookhuis et

al., 1994; NHTSA, 2012) in our UK-based driving simulator, while complying with UK driving

166 laws. The lead car remained in the left lane of the road throughout all drives but exhibited

167 different driving behavior depending on the driving environment, described below.

#### 168 Conventional Environment

169 Our *conventional* driving environment adhered to NHTSA guidelines specifying that the lead car

travel at a constant speed of 50 mph on a straight, two lane road (NHTSA, 2012). The

171 conventional environment included no traffic, turns, or other stimuli to divert visual attention

away from the focused visual attention task. Participants initially drove for approximately 20-

- 173 seconds, after which a lead car appeared on the road directly in front of participants' simulated
- 174 car. Participants continued to drive, following the lead car at a safe distance, while completing

secondary (focused visual attention) tasks. The conventional environment allowed drivers toanticipate and respond to the behavior of the lead car and the roadway.

#### 177 Realistic Environment

178 In our *realistic* driving environment, participants followed a variable-speed lead car on a multi-179 lane road with slight curvature and additional traffic traveling in the same and opposite 180 directions, with the UK national speed limit of 70mph, appropriate to this type of roadway 181 (Large et al., 2015). The environment included varied speeds, additional road curvature, and 182 increased volume of other cars to provide more realistic driving conditions. With the exception 183 of intermittent lead car "comfort braking" (Large et al., 2018; Pampel et al., 2019), which 184 occurred up to five times during a drive, the lead car drove at the same speed as participants, 185 meaning that the lead car speed was variable and determined by the speed at which participants 186 drove (but they did not know that this was occurring).

# 187 Focused Visual Attention Task

188 At the beginning of each drive, we verbally instructed participants to maintain safe control of the 189 vehicle and follow the lead car at a safe driving distance (primary task) while completing *focused* 190 visual attention tasks to control drivers' off-road glance behavior (secondary task). To complete 191 these tasks, participants focused visual attention on the selected display and watched a single 192 white letter changing every 0.1s until it randomly paused for 0.4s, at which point participants 193 read aloud the paused letter. This method encouraged participants to maintain foveal attention 194 directed to the display for a predetermined glance time. To successfully complete the task, 195 participants could not look away from the stimuli until the task ended and the screen changed to 196 a blank screen (HDD) or became fully transparent (HUD).

197 We selected durations of 1s, 2s, 5s, 10s, and 20s for the focused visual attention task. However, 198 during pilot tests for this study, HDD glances longer than 5s resulted in crashes often enough that 199 data loss became a concern. Thus, we excluded HDD focused visual attention task durations 200 exceeding 5s to avoid crashes and resulting data loss. Three repetitions of each glance duration 201 (HDD-1s, 2s, 5s; HUD-1s, 2s, 5s, 10s, 20s) were randomly ordered within each drive such that 202 participants were unable to predict the length of the next task. We allocated short breaks between 203 tasks so participants could refocus on driving. When a new task began, a car horn sound alerted 204 participants to stimulus appearance, but participants did not know the duration. Participants wore 205 eye-tracking glasses (ETG) to enable us to validate their visual behavior.

206 Equipment

207 We conducted the study in a medium-fidelity, fixed-base simulator in the Human Factors 208 Research Group Lab at University of Nottingham (UK). The simulator included a 270-degree 209 forward field of view curved projection with rear and side mirror displays. Participants drove in 210 both environments in a right-hand drive Audi TT car. We fitted the Audi with a Pioneer 211 CyberNavi HUD (780x260 pixels) with a focal depth of approximately 3 meters and with a 212 Microsoft Surface Pro 4 Tablet model 1724 (HDD) (2736x1824 pixels) which was mounted 213 using the suction cup mount seen in Figure 1. We displayed the focused visual attention task in 214 white font on the displays using time embedded slides in PowerPoint, collecting participants' 215 binocular gaze location and forward-facing view using SensoMotoric Instruments (SMI) eye-216 tracking glasses, sampled at 60Hz. We matched the visual angle for the tasks such that it was 217 approximately 0.9 degrees, and text for both displays was larger than the suggested 0.25" for in-218 vehicle displays (Green et al., 1993).

219 Procedure

After participants consented, we seated them in the driving simulator and helped them adjust the seat to their preferred position, fitted the eye-tracking glasses, and calibrated the software. We then vertically and horizontally aligned letters projected on the HUD with boxes on the curved projection wall and confirmed that the position was correct through the eye-tracking video feed (Figure 1). The purpose of the calibration was to ensure that participants viewed the projected letters at the same location relative to the lead car in their field-of-view.



Figure 1. This eye tracking glasses image shows the calibration guide (blue box) used to properly align the HUDgraphics display via Pioneer CyberNavi HUD in front of participants.

After calibration, participants undertook a practice drive in the simulator. We instructed

226

230 participants to drive 70mph (the U.K. national speed limit) in the realistic environment and

231 50mph in the conventional environment (in line with NHTSA recommendations). Once

232 participants were familiar with driving in the simulator, we verbally explained the focused visual

233 attention task. Participants subsequently undertook a second practice drive while simultaneously

234 doing the focused visual attention task. Participants then completed six drives (counterbalanced):

three in realistic and three in conventional environments. Participants drove with no display

236 (baseline), HUD, and HDD. During the baseline drive, participants drove for five minutes with

no secondary task. Between drives, participants took a break, if desired. All participants were
compensated with a £10 Amazon voucher.

#### 239 Analysis

We analyzed participants' glance behavior using sematic gaze mapping with the data obtained from the ETG to validate our method and found no significant differences in average glance duration, glance duration frequency, and total glance time allocated to each AOI, i.e., the road, display (HUD or HDD), or other vehicle instruments (e.g. mirrors and speedometer). Therefore, the method elicited similar visual behavior and division of visual attention regardless of display type, something that has until now not been systematically demonstrated in HUD driving

research.

247 To assess the effect of HUD and HDD on driving performance, we collected lateral and

248 longitudinal vehicle control data. We calculated *lane position* (LP) according to SAE J2944

249 10.1.1.1 (Option A), meaning that the lateral position was determined relative to lane center

250 (Green, 2013). *Standard deviation of lane position* (SDLP) was derived from lane position

251 (Cotter et al., 2008). Because the lead car drove different speeds in the conventional and realistic

driving environments, we used *minimum distance to collision* (MDC) to assess longitudinal

253 vehicle control.

We analyzed three data sets: (1) 20s of data for HUD drives, denoted as HUD-20, (2) 5s of data for HDD drives, denoted as HDD-5, and (3) the first 5s of data from each of those datasets to compare HUD to HDD (Combined-5). Thus, we analyzed the longest focused visual attention duration for each display type individually and compared the first 5s across displays. To conduct our analysis, we subdivided each data set into sequential epochs of 1s duration. Since

259 participants did not know the focused visual attention duration when they began each task, they

260 could not predict how long they would need to look at the display. Therefore, we expected that

261 corresponding epochs (e.g. the first second) for all glance tasks would have similar

262 characteristics for a given display type, regardless of the total focused visual attention duration.

#### 263 **Results**

- For lateral and longitudinal data in the HUD-20 and HDD-5 data sets, we conducted a repeated-
- 265 measures ANOVA with focused visual attention duration (5s or 20s), sequential time (1-5s or 1-
- 266 20s), and driving environment (realistic or conventional) as our independent variables and
- 267 included replication order effects in the model. In the Combined-5 data set, we conducted a
- 268 repeated-measures ANOVA (as above) with display type (HUD or HDD) as an additional
- independent variable. We determined differences to be significant when p < 0.05.

#### 270 Lane Position

- 271 We found main effects of presentation order on lane position in all three datasets, with the third
- 272 repetition resulting in a lane position closest to center than the second repetition, which was

273 further to the right for all datasets (Table 1). There were no other main effects.

274 Table 1. ANOVA Results for Lane Position

ANOVA	F	р	Post hoc differences
Combined-5			
Display	0.002	0.964	
Environment	2.207	0.138	
Sequential Time	0.387	0.818	
Order	3.323	0.036*	3>2

	Display*Sequential Time	0.209	0.933	
	Environment*Sequential Time	0.310	0.872	
	Environment*Display	15.046	0.000*	Realistic-HDD>Conventional-HDD
н	J <b>D-20</b>			
	Environment	0.011	0.917	
	Sequential Time	0.477	0.972	
	Order	5.169	0.006*	3>2
	Environment*Sequential Time	0.539	0.9464	
HI	DD-5			
	Environment	2.087	0.149	
	Sequential Time	0.369	0.831	
	Order	3.744	0.024*	3>2
	Environment*Sequential Time	0.490	0.743	

\*Note: Differences between levels found in post hoc testing is indicated by "level 1>level 2",

where the level with the larger mean is listed first.

277 There was an interaction effect of environment and display in the Combined-5 dataset. While all

278 conditions resulted in lane positions slightly right of center, post hoc testing showed that when

279 using HDDs, participants drove further to the right (more negative) in the conventional

280 environment than the realistic environment (Figure 2).



Figure 2. Mean lane position for each epoch is plotted for each display and environment combination. Increasingly

- 283 negative values indicate driving further to the right.
- 284 Standard Deviation of Lane Position
- 285 In all three datasets, we found main effects of environment and sequential time on the SDLP
- 286 (Table 2).

287 Table 2. ANOVA Results for Standard Deviation of Lane Position

Source	F	р	Post hoc differences
Combined-5			
Display	0.049	0.825	
Environment	142.048	0.000*	Realistic>Conventional
Sequential Time	2.764	0.026*	5>1
Order	2.870	0.057	
Display*Sequential Time	13.303	0.000*	HDD-5>(HUD-1 2 3 4 5, HDD-1/2/3)
			HDD-4>(HUD-1 2 3 4 5, HDD-1 2)
			HDD-3>(HUD-4/5)
Environment*Sequential Time	0.356	0.840	

Environment*Display	60.326	0.000*	Realistic-HDD>(all others)
			Realistic-HUD>(Conventional-HDD HUD)
			Conventional-HUD>Conventional-HDD
HUD-20			
Environment	60.636	0.000*	Realistic>Conventional
Sequential Time	2.204	0.002*	2>17 15 8
Order	3.074	0.046*	2>3
Environment*Sequential Time	1.569	0.055	
HDD-5			
Environment	29.182	0.000*	Realistic>Conventional
Sequential Time	6.557	0.000*	5>1 2 3
Order	0.271	0.763	
Environment*Sequential Time	5.126	0.001*	Realistic-(1,2,3,4,5)>Conventional-(1,2,3,4,5),
			Realistic-5>Realistic-(1,2,3)
			Realistic-4>Realistic-(1,2)

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Post hoc testing and Figure 3 show that the realistic environment resulted in higher SDLP than the conventional environment for all three datasets. In the Combined-5 and HDD-5 datasets, the fifth epoch was associated with higher SDLP than the first epochs, and in the HDD-5 dataset, the fifth epoch was also associated with higher SDLP than the second and third epochs. In the HUD-20 dataset, the second epoch was associated with higher SDLP than several other epochs (8s, 5s, 17s).



Figure 3. Mean Standard Deviation of Lane Position (SDLP) for display type (HUD and HDD) and environment(realistic and conventional) combination.

298 There was also an interaction effect of display and sequential time, with the fourth and fifth

HDD epochs associated with higher SDLP than all five HUD epochs and the first two HDD

300 epochs. The third HDD epoch was associated with higher SDLP than the fourth and fifth HUD

301 epochs. Thus, there was a pattern of participants' SDLP increasing with passing time when using

302 the HDD, however, these effects were not present with the HUD.

303 Minimum Distance to Collision

304 For all three data sets, the conventional environment resulted in longer MDC than the realistic

305 (Table 3, Figure 4). In the Combined-5 data set, HDD use resulted in longer MDC than HUD

306 use.

#### 307 Table 3. ANOVA Results for Minimum Distance to Collision

	ANOVA	F	р	Post hoc differences
Combined-5				

	Display	1.535	0.216	
	Environment	64.978	0.000*	Conventional>Realistic
	Sequential Time	0.004	1.000	
	Order	1.773	0.170	
	Display*Sequential Time	0.011	0.999	
	Environment*Sequential Time	0.007	0.999	
	Environment*Display	0.052	0.820	
HU	JD-20			
	Environment	32.807	0.000*	Conventional>Realistic
	Sequential Time	0.456	0.979	
	Order	12.836	0.000*	3>1 2
	Environment*Sequential Time	0.434	0.984	
HI	DD-5			
	Environment	33.279	0.000*	Conventional>Realistic
	Sequential Time	0.008	0.999	
	Order	12.473	0.001*	2>3 1, 1>3
	Environment*Sequential Time	0.023	0.999	



Figure 4. Minimum distance to collision for display type (HUD and HDD) and environment (realistic andconventional) combination.

# 312 **Discussion**

The purpose of this study was to examine two assumptions underpinning current glance-based display assessments: (1) glance duration can be used to predict driving behavior, and, (2) HUDs and HDDs affect drivers similarly across different driving environments. To achieve this, we systematically controlled focused visual attention towards displays and examined the impact of more realistic driving environments on drivers performing visually demanding tasks. In general, we found that both display type and driving environment affected participants' driving behavior when visual attention was controlled.

### 320 Durations

321 As we systematically controlled participants' focused visual attention duration, we expected to 322 find quicker and more significant driving performance deterioration associated with HDDs 323 compared to HUDs (H1). We found no significant differences in lane position, but HDD use was 324 associated with increasing SDLP over time which was higher than when using HUDs. The trend 325 in the HDD data suggests SDLP may increase until intervention occurs (e.g. looking back to the 326 road). When controlling for visual attention duration toward HUDs, participants showed no 327 marked increase in SDLP over the first sequential epoch at any time. Conversely, participants 328 using the HDD showed increased SDLP as the task duration increased, especially after 2s. In 329 particular, the third, fourth, and fifth seconds driving while using HDDs were all associated with 330 higher SDLP (i.e. degraded lateral vehicle control) than the same epochs with HUD use. These 331 findings provide evidence of changes in both lateral and longitudinal vehicle control measures

between displays, with HDD use resulting in more rapid and diminished driving performancethan HUD use.

The Combined-5 data showed participants using HDDs allowed more distance between their car and the lead vehicle compared to HUD use, which is indicative of more conservative driving (Brookhuis et al., 1994). Because this finding was true across both environments, it suggests that participants were less comfortable extending glances toward HDDs, and is evidence of deteriorated driving performance relative to HUD use.

339 These differences in lateral and longitudinal vehicle control support H1, suggesting that drivers 340 may sustain longer visual attention toward HUDs without experiencing as much deterioration in 341 driving performance. There are many potential causes for the vehicle control differences between 342 display types, including increased use of peripheral vision when using HUDs and prior exposure 343 to HDDs. However, two theories provide possible explanations for systematic changes in lateral 344 vehicle control. First, Senders (1967) posits that time looking away from the road, and in this 345 case toward HDDs, results in increased uncertainty which impacts drivers' behavior (Senders et 346 al., 1967). As participants maintained glances toward the displays, their visual uncertainty about 347 the state of the road may have increased more rapidly during HDD tasks because participants 348 could not leverage their peripheral vision as they could when using the HUD. As uncertainty 349 increased, drivers may have been less aware of their lane position resulting in over- or under-350 compensation for changes in lane position, ultimately impacting their SDLP. A second theory 351 concerns gaze concentration. Specifically, situations in which drivers primarily focus on one 352 point in the road (their gaze concentration) can result in decreased lateral lane position variation 353 (Li et al., 2018), supporting the decreased SDLP evident with HUD use. While both theories 354 provide plausible explanations, they may have vastly different implications for drivers. Senders'

355 theory would support HUD use in vehicles because degraded lateral vehicle control was lower 356 due to lower uncertainty when participants used HUDs. However, if HUD use indeed causes 357 increased gaze concentration and cognitive tunneling, HUDs may negatively impact drivers' 358 ability to respond to roadway events – as seen when using AR applications in other domains 359 (Kerr et al., 2011). While these two theories may result in conflicting recommendations for 360 which display is *safer*, it is important to note that both theories may be evident in this study. It is 361 possible that HUDs can simultaneously introduce benefits to drivers while also causing new 362 problems. Therefore, further work is required to more explicitly test these theories and to 363 determine design implications.

### 364 Driving Environment

365 Characteristics of the driving environment can impact driving performance (Horrey & Wickens, 366 2004b; Senders et al., 1967), yet some assessment methods, such as EGDS (NHTSA, 2012) 367 specify one type of driving environment. We therefore examined the impact of realistic and 368 conventional driving environments on driver performance and hypothesized that we would find 369 more rapid driving performance decrements in the more realistic environment (H2).

We found no significant main effect of driving environment on lane position, but the realistic environment resulted in a different lane position than the conventional environment during HDD use. The road geometry slightly differed between environments (3 lanes in the realistic and 2 lanes in the conventional), which may have influenced participants' perception of space and the resulting position they adopted. Nevertheless, the absolute difference between positions were small (less than one foot), so the real-world implications are likely minimal.

376 In all three data sets, the realistic environment resulted in higher SDLP (lateral instability) than 377 the conventional environment, suggesting the realistic environment was more challenging to 378 drive. Additionally, we found interaction effects of sequential time and display in the HDD-5 379 dataset only. Specifically, HDD use in the realistic environment was associated with higher 380 SDLP than in the conventional environment for all epochs. Moreover, later epochs in the realistic 381 environment were associated with higher SDLP than early epochs, showing an increase in SDLP 382 over time – this was only present when participants used HDDs. This supports H2 in part, 383 because driving performance deteriorated more quickly in our realistic driving environment than 384 in the conventional, but only when using the HDD. Thus, participants' ability to maintain lateral 385 vehicle control differed between the two displays.

#### 386 Assessment Methods

387 Because many in-vehicle display assessments are based on glance behaviors (e.g. NHTSA, 388 2012), extended visual attention towards HUDs might be assumed to have a similar negative 389 impact on driving behavior as extended glances towards HDDs. Yet, currently accepted 390 assessment techniques were developed using data collected from HDDs. While participants in 391 our study drove similarly when using both displays in the conventional environment, they 392 exhibited different driving behaviors in the realistic environment. Specifically, when visual 393 attention was controlled, participants' driving behaviors changed differently, depending on the 394 display. In other words, not all driving behavior differences between HUDs and HDDs in prior 395 research can be attributed to differences in participants' selected glance behaviors. This is 396 important because while the NHTSA EGDS method is commonly used to assess HDDs, it only 397 includes one type of driving environment that is not representative of all, or arguably any, real-398 world scenario. Because HUDs and HDDs impact users differently in different driving

399 environments, we cannot assume that results from a simple environment will generalize to real-400 world driving. Assessing glance behavior in simple environments, like our conventional 401 environment, may under-emphasize potential benefits of HUD use, namely, drivers' ability to 402 more effectively use their ambient or peripheral vision, as evidenced by the driving performance 403 measures. In other words, even when the duration of focused visual attention was the same, 404 driving performance differed between HUD and HDD. Thus, assessing HUDs based on extant 405 assumptions about glance and driving behavior developed with HDDs may be inadequate. 406 Instead, we must develop new methods that are valid for each display type.

#### 407 Long Glances

408 Prior research into drivers' glance behavior indicates that there is a two-second threshold for 409 glances away from the road, above which the likelihood of crash increases significantly (Klauer 410 et al., 2006). In our study, the HDD was most in-keeping with these findings – we found 411 degradation in SDLP for HDD after two seconds of focused visual attention. Thus, our study 412 suggests that one contributor to increased crash risk at two seconds could be the result of 413 increased lateral instability. However, we did not find similar degradation in SDLP when using 414 the HUD, which may suggest that HUDs are a safer alternative to HDDs because they permit 415 glances without hindering lateral vehicle control. It might also mean that drivers using HUDs are 416 able to maintain lateral control for longer than the widely accepted two-seconds, and new 417 "safety" thresholds could be established for HUDs. While it is not possible to determine a new 418 threshold from our results, it appears that visual attention focused on HUDs could potentially 419 extend beyond 20 seconds in some situations.

# 420 Limitations

While the findings are compelling, this driving simulator study included a relatively small
sample size (n=20). Future work should be done to validate these findings with more participants
as well as on-road studies.

#### 424 Conclusions and Future Work

425 This work has uniquely contributed to driving-related research by providing a systematic method 426 to control "off-road" visual attention duration ("off road glances"). Applying this, we found that 427 driving performance differed between HUD and HDD usage even when visual attention did not. 428 Further, simplistic driving environments commonly used in research failed to reveal any 429 differences between display type, whereas a more realistic driving environment uncovered 430 nuanced differences in vehicle control. Thus, measures implying that driving performance can be 431 determined based on glance pattern alone in simple environments are likely flawed. As such, 432 common methods like the NHTSA EGDS test may provide poor recommendations when 433 assessing HUDs. Because of this, we must pursue other methods of assessing driver behavior and 434 performance to ensure safe on-road interactions. Assessing HUDs in visually rich environments 435 may be required to provide realistic feedback on drivers' potential performance while using this 436 type of display. Further, standard recommendations, such as the widely accepted two-second 437 rule, should be evaluated for HUDs in future work to help designers quickly assess potential 438 dangers of using these displays.

439

# **Key Points**

Visual attention has been closely linked with driving behavior and is commonly used to
assess in-vehicle visual displays.

442	•	Augmented reality head-up display (HUD) usage is associated with different glance and
443		driving behaviors than traditional in-vehicle displays.

- Even when glance behavior is controlled, HUD use may result in different driving
- behaviors relative to traditional (head-down) displays.
- Different types of driving environments affect driver behaviors differently when using
- 447 HUDs and traditional in-vehicle displays.
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