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Author statement

Engineering Cupriavidus necator H16 for the autotrophic production of (R)-1,3-butanediol

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Author contributions

J.L.G. and N.M. conceptualized the study with input from R.R.B. J.L.G., R.R.B., S.H. and

N.M. designed the experiments. J.L.G. carried out experiments. J.L.G. and N.M. wrote the manuscript. All authors reviewed and approved the manuscript.

1 **Engineering *Cupriavidus necator* H16 for the autotrophic production of (R)-1,3-**
2 **butanediol**

3

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15

1 Abstract

2 Butanediols are widely used in the synthesis of polymers, specialty chemicals and important
3 chemical intermediates. Optically pure *R*-form of 1,3-butanediol (1,3-BDO) is required for
4 the synthesis of several industrial compounds and as a key intermediate of β -lactam antibiotic
5 production. The (*R*)-1,3-BDO can only be produced by application of a biocatalytic process.
6 *Cupriavidus necator* H16 is an established production host for biosynthesis of biodegradable
7 polymer poly-3-hydroxybutyrate (PHB) *via* acetyl-CoA intermediate. Therefore, the
8 utilisation of acetyl-CoA or its upstream precursors offers a promising strategy for
9 engineering biosynthesis of value-added products such as (*R*)-1,3-BDO in this bacterium.
10 Notably, *C. necator* H16 is known for its natural capacity to fix carbon dioxide (CO₂) using
11 hydrogen as an electron donor. Here we report engineering of this facultative lithoautotrophic
12 bacterium for heterotrophic and autotrophic production of (*R*)-1,3-BDO. Implementation of
13 (*R*)-3-hydroxybutyraldehyde-CoA- and pyruvate-dependent biosynthetic pathways in
14 combination with abolishing PHB biosynthesis and reducing flux through the tricarboxylic
15 acid cycle enabled to engineer strain, which produced 2.97 g L⁻¹ of (*R*)-1,3-BDO and
16 achieved production rate of nearly 0.4 Cmol Cmol⁻¹ h⁻¹ autotrophically. This is first report of
17 (*R*)-1,3-BDO production from CO₂.

18

19 **Keywords:** 1,3-butanediol, 4-hydroxy-2-butanone, metabolic engineering, carbon dioxide,
20 autotrophic fermentation, *Cupriavidus necator* H16

1 1. Introduction

2 1,3-butanediol (1,3-BDO) is an important platform chemical used in a variety of industrial
3 applications including production of 1,3-butadiene, a precursor of synthetic rubber (Duan et
4 al., 2016). Amongst other applications, 1,3-BDO is mainly employed in the production of
5 unsaturated polyester resins, plasticizers, and industrial dehydrating agents. Owing to the low
6 toxicity, and good water solubility, it is used as a humectant and emollient in personal care
7 products. The optically active *R*-form of 1,3-BDO is used in the production of pheromones,
8 fragrances and insecticides (Matsuyama et al., 1993). (*R*)-1,3-BDO is also known for its use
9 in the production of one of the most widely prescribed antimicrobial drugs, β -lactam
10 antibiotics (Llarrull et al., 2010). Noteworthy, the 1,3-BDO can be oxidized to its ketone
11 form 4-hydroxy-2-butanone (4H2B), an important precursor for the synthesis of pesticides,
12 steroids, and anticancer drug doxorubicin (Zhang et al., 2010).

13 Chemical and biochemical synthesis methods have been developed for (*R*)-1,3-BDO
14 production. Chemical synthesis typically yields mixture of (*R*) and (*S*) enantiomers of 1,3-
15 BDO and requires the precursor, such as an acetaldehyde, derived from petrochemical
16 sources (Larchevêque et al., 1991). Whereas, a more economical enzymatic biosynthesis of
17 (*R*)-1,3-BDO has been achieved using either racemic 1,3-BDO or 4-hydroxy-2-butanone
18 (4H2B) as substrates (Matsuyama et al., 2001). The oxido-reduction process of (4H2B) to
19 (*R*)-1,3-BDO has been demonstrated in a variety of microorganisms such as *Kluyveromyces*,
20 *Candida*, *Pichia*, and others, as well as engineered *Escherichia coli* (Matsuyama et al., 2001;
21 Okabayashi et al., 2009).

22 With the rising concerns over carbon footprint and interest in the natural personal care
23 products, bio-based 1,3-BDO technologies are emerging in the last decade. Microbial
24 bioproduction of (*R*)-1,3-BDO from glucose has been first reported by Kataoka and co-
25 workers in metabolically engineered *E. coli* (Kataoka et al., 2013). In this study, a synthetic

1 metabolic pathway, consisting of acetyl-CoA acetyltransferase (gene *phaA*) and acetoacetyl-
2 CoA reductase (*phaB*) from *C. necator* H16, 3-hydroxybutyryl-CoA dehydrogenase (*bld*)
3 from *Clostridium saccharoperbutylacetonicum* N1-4(HMT) and endogenous *E. coli*
4 NAD(P)H-dependent alcohol dehydrogenase (*yqhD*) possessing promiscuous 1,3-BDO
5 dehydrogenase activity (Pérez et al., 2008), has been used to convert acetyl-CoA to 1,3-BDO
6 via acetoacetyl-CoA, 3-hydroxybutyryl-CoA, and 3-hydroxybutanal intermediates. Optimised
7 fed-batch fermentation using glucose as a carbon source has allowed to achieve 15.75 g/L
8 (174.8 mmol/L) of (*R*)-1,3-BDO with a 98.6 % enantiomeric purity and a yield of 0.18 g/g
9 glucose (0.37 mol/mol) (Kataoka et al., 2014). An alternative synthetic pathway has been
10 recently investigated demonstrating conversion of pyruvate to 1,3-BDO through acetaldehyde
11 and 3-hydroxybutanal intermediates (Kim et al., 2017; Nemr et al., 2018). Application of this
12 pathway, consisting of pyruvate decarboxylase (PDC) from *Zimomonas mobilis*,
13 deoxyribose-5-phosphate aldolase (Dra) from *Bacillus halodurans* and aldo/keto reductase
14 (AKR) from *Pseudomonas aeruginosa*, has resulted in 2.4 g/L of 1,3-BDO with the yield of
15 56 mg/g glucose (Nemr et al., 2018).

16 An alternative microbial chassis that has shown great promise is chemolithoautotroph
17 *Cupriavidus necator* H16 (formerly *Ralstonia eutropha* H16). This bacterium is able to grow
18 aerobically and accumulate biomass to a very high level, competitive with *E. coli*, and
19 exhibits a faster growth rate than cyanobacteria, high chemosynthetic efficiency and genetic
20 tractability. *C. necator* H16 has been widely studied for its natural ability to produce the
21 biodegradable polymer poly(3-hydroxybutyrate) (PHB), used by this bacterium as a storage
22 compound and accumulated in the presence of excess carbon and limited macro-elements
23 such as nitrogen, phosphorus or oxygen (Volodina et al., 2016). *C. necator* H16 is an ideal
24 candidate to produce platform chemicals with its ability not only to metabolise a wide range
25 of organic compounds but more importantly to recycle CO₂ by using the Calvin-Benson-

1 Bassham (CBB) Cycle (Bowien and Kusian, 2002; Pohlmann et al., 2006). With the ability to
2 fix CO₂ as a feedstock, *C. necator* provides a significant advantage compared to the sugar-
3 based fermentation. Besides the gasification of plant's waste, which allows the complete
4 utilization of carbon contained within the biomass, CO₂, suitable for gas fermentation, can be
5 captured from chemical plants and steel mills reducing its emission to limit the climate
6 change (Liew et al., 2016). Considering these advantages, *C. necator* H16 has been
7 engineered to produce a wide range of commodity chemicals including methyl ketones,
8 alcohols, terpenes, and alka(e)nes (Bommareddy et al., 2020; Chakravarty and Brigham,
9 2018; Crepin et al., 2016; Grousseau et al., 2014; Krieg et al., 2018; Lu et al., 2012; Müller et
10 al., 2013) demonstrating its versatility and potential as an industrial chassis.

11 In this study, we aimed to engineer *C. necator* H16 for (*R*)-1,3-BDO production.
12 Based on high availability of either (*R*)-3-hydroxybutyraldehyde-CoA ((*R*)-3HBCoA) or
13 pyruvate precursors, two alternative (*R*)-1,3-BDO biosynthetic pathways were explored
14 (Figure 1). To increase (*R*)-1,3-BDO yield, a number of genetic improvements including
15 PHB biosynthesis inactivation, redirection of the carbon flux through deletion of TCA cycle
16 genes, and increase of the copy number of biosynthetic pathway genes were implemented. To
17 ensure the genetic stability, both (*R*)-1,3-BDO biosynthetic pathways were chromosomally
18 integrated in the engineered strains. Finally, autotrophic fermentation using CO₂ as sole
19 carbon source was demonstrated for (*R*)-1,3-BDO production.

1 2. Materials and Methods

2 2.1. Gene sequences

3 The sequences of genes used for generation 1,3-BDO biosynthetic pathway variants were
4 retrieved from GenBank under the following accession numbers/locus tags: AY251646 (*bld*
5 from *C. saccharoperbutylacetonicum*); NP_417484/b3011, NP_416285/b1771,
6 NP_417474/b3001, NP_416950/b2455, NP_415757/b1241 (*yqhD*, *ydjG*, *gpr*, *eutE*, *adhE*
7 from *E. coli*); NP_744640/PP_2492 (*yqhD* from *Pseudomonas putida*); WP_077844196 (*s-*
8 *adh* from *Clostridium beijerinckii*); CAJ92685/H16_RS07715, CAJ95981 /H16_RS24705
9 (*gbD*, *hibadh* from *Cupriavidus necator*); O32210/BSU33400, P80874/BSU09530 (*yvgN*,
10 *yhdN* from *Bacillus subtilis*); ADF38510/BMD_1654, ADF39485/BMD_2640,
11 ADF40202/BMD_3362 (*ADH₁*, *ADH₂*, *eutE* from *Bacillus megaterium*); Q9KD67/BH1352
12 (*dra* from *B. halodurans*); AHJ73198/A265_01761 (PDC from *Z. mobilis*),
13 NP_249818/PA_1127 (*AKR* from *P. aeruginosa*); NP_149325/CA_P0162,
14 NP_149199/CA_P0035 (*adhE*, *adhE2* from *Clostridium acetobutylicum*). The *bld*, *adhE*,
15 *dra*, *s-adh* and PDC coding sequences were optimised for *C. necator* H16 codon usage and
16 synthesised by GeneArt Gene Synthesis (Thermo Fisher Scientific).

17

18 2.2. Plasmid construction

19 All plasmids and oligonucleotide primers used in this study are listed in Supplementary Table
20 1 and 2, respectively. Plasmids were assembled using either the USER cloning method
21 (Bitinaite et al., 2007), NEBuilder Hifi DNA assembly method (New England Biolabs) or
22 restriction enzyme-based cloning techniques (Sambrook et al., 1989). Plasmid DNA
23 preparation was carried out using the QIAprep® Spin Miniprep Kit (Qiagen). Gel purified
24 linearized DNA was extracted using the QIAquick® Gel Extraction Kit (Qiagen). Genomic
25 DNA was isolated with the GenElute™ Bacterial Kit (Sigma-Aldrich). All restriction

1 endonucleases, T4 DNA ligase and NEBuilder® HiFi DNA Assembly Master Mix were
2 acquired from New England Biolabs. DNA sequences were verified by Sanger sequencing
3 (Eurofins Genomics). A detailed assembly description for each plasmid is provided in the
4 Supplementary information.

5

6 2.3. Strains, transformation and media

7 All bacterial strains used in this study are listed in Table 1. For strain transformation, *E. coli*
8 DH5 α , MG1655 and S17-1 competent cells were prepared according to (Sambrook et al.,
9 1989), while electrocompetent *C. necator* cells were prepared as described in (Ausubel et al.,
10 2003).

11 For heterotrophic 1,3-BDO production, *C. necator* H16 strains were grown either in
12 minimal media (MM) containing 1 g/L NH₄Cl, 9 g/L Na₂HPO₄·12H₂O, 1.5 g/L KH₂PO₄,
13 0.2 g/L MgSO₄·7H₂O, 0.02 g/L CaCl₂, 0.0012 g/L (NH₄)₅[Fe(C₆H₄O₇)₂] (Schlegel et al.,
14 1961) with 1 mL/L trace element solution SL7 (25% (w/v) HCl, 0.07 g/L ZnCl₂, 0.1 g/L
15 MnCl₂·4H₂O, 0.06 g/L H₃BO₃, 0.2 g/L CoCl₂·6H₂O, 0.02 g/L CuCl₂·2H₂O, 0.02 g/L NiCl₂
16 ·6H₂O, 0.04 g/L Na₂MoO₄·2H₂O) (DSMZ) supplemented with 300 μ g/mL kanamycin and
17 0.4 % (w/v) sodium gluconate (C:N = 6:1); or nitrogen limiting minimal media (NLMM),
18 which contained reduced concentration of NH₄Cl (0.6 g/L) and 2 % (w/v) (C:N = 50:1) at
19 30 °C and 200 rpm with orbital diameter of 1.9 cm. Overnight cultures were re-inoculated to
20 an optical density at 600 nm (OD₆₀₀) of 0.1 in MM or NLMM and grown for 4 hours before
21 inducing recombinant gene expression by addition of 0.01 % (w/v) L(+)-arabinose, unless
22 otherwise indicated. Initial strain screening was performed in 50-mL falcon tubes with
23 limited aeration, whereas batch cultures for (*R*)-1,3-BDO production experiment were grown
24 in 250-mL baffled shake-flasks with intensive aeration.

1 Fermentation minimal medium (FMM) was composed of following: 3.4 g/L Na₃P₃O₉,
2 1.5 g/L NH₄Cl, 0.5 g/L MgSO₄, 10 mg/L CaCl₂, 5 mg/L MnCl₂, 50 mg/L
3 (NH₄)₅[Fe(C₆H₄O₇)₂], 150 mg/L K₂SO₄, and 10 mL/L SL-6 trace element solution (100 mg/L
4 ZnSO₄, 30 mg/L MnCl₂, 300 mg/L H₃BO₃, 200 mg/L CoCl₂, 10 mg/L CuCl₂, 20 mg/L NiCl₂
5 and 30 mg/L Na₂MoO₄).

6

7 2.4. Gene knockout and knock-in generation in *C. necator*

8 Gene knockout and knock-in were performed using the pLO3 suicide vector exhibiting
9 selection through tetracycline resistance (*tetR*) and counter-selection in the presence of
10 sucrose (*sacB*). Chromosomal gene deletion was introduced by preserving start and stop
11 codons of the gene. Where endogenous genes were replaced by introducing exogenous genes
12 under control of the *araC/P_{araBAD}* inducible system, to eliminate potential transcriptional read-
13 through, *rrnB* T2 and *rrnB* T1 terminators were incorporated upstream and downstream to the
14 heterologous DNA region, respectively.

15 pLO3 suicide vector-based plasmids were transformed into *E. coli* strain S17-1
16 (ATCC 47055) suitable for conjugative plasmid transfer to *C. necator* H16. *E. coli* and
17 *C. necator* strains were cultivated overnight in Luria-Bertani (LB) medium supplemented
18 with 15 µg/mL tetracycline and 10 µg/mL gentamicin, respectively. Cells were harvested by
19 centrifugation (5000 ×g for 10 mins) and washed for mating on a LB-agar plate for 6 h at
20 30 °C. *C. necator* H16 transconjugants resulting from a first homologous recombination were
21 isolated by plating onto MM-agar plates supplemented with 0.4 % (w/v) sodium gluconate,
22 10 µg/mL gentamicin and 15 µg/mL tetracycline. Single colonies were then purified by re-
23 streaking twice onto MM-agar plates containing gentamicin and tetracycline. Single colonies
24 were used to inoculate 5 mL LB supplemented with gentamicin and tetracycline and
25 cultivated overnight. Cultures were then used to inoculate 5 mL low sodium-LB (2.5 g/L

1 NaCl) supplemented with 15 % (w/v) sucrose for overnight growth. Cells were then plated
2 onto low sodium-LB-agar plates supplemented with 15 % (w/v) sucrose and single colonies
3 were streaked onto LB-agar plates containing 15 µg/mL tetracycline and no antibiotic to
4 establish loss of integrated chromosomal pLO3 DNA by a second homologous
5 recombination. Cells were then screened by PCR for successful gene deletions or
6 integrations.

7

8 2.5. Two-stage batch fermentation in shake-flasks

9 A two-stage batch fermentation in shake-flasks was employed for the production of (*R*)-1,3-
10 BDO in *E. coli* or *C. necator*. Biomass and synthetic pathway related proteins were generated
11 by growing cells in rich media (LB) before transferring them to nutrient limited minimal
12 media with excess carbon. 50 µg/mL or 300 µg/mL kanamycin was used throughout for
13 *E. coli* or *C. necator*, respectively. Freshly transformed cells from single colonies were
14 inoculated in 5 mL of LB medium and incubated for 18 h at 30°C and 200 rpm with orbital
15 diameter of 1.9 cm. Subsequently, cultures of *E. coli* or *C. necator* strains were resuspended
16 to an OD₆₀₀ of 0.1 or 0.2 in 50 mL LB supplemented with 0.2 % (w/v) glucose or 0.2 % (w/v)
17 sodium gluconate, respectively. The cultures were grown in 250 mL baffled shake-flasks at
18 30 °C and 200 rpm with orbital diameter of 1.9 cm. At an OD₆₀₀ of 0.6–0.8, 0.25 % (w/v) L-
19 arabinose was added and cultures were allowed to grow further for 4–6 h enabling
20 heterologous gene expression. Then, *E. coli* cells were harvested by centrifugation (1700g for
21 6 min), resuspended in 25 mL M9 minimal medium (0.24 mg/mL MgSO₄, 0.011 mg/mL
22 CaCl₂ and M9 salts) (Sambrook et al., 1989) supplemented with 3 % (w/v) glucose, 1 µg/mL
23 thiamine and 20 µg/mL uracil (Jensen, 1993) to an OD₆₀₀ of 10 and incubated in 250 mL
24 baffled shake-flasks at 30 °C and 200 rpm with orbital diameter of 1.9 cm. Whereas,
25 *C. necator* cells were harvested by centrifugation for 10 min at 6,600g, resuspended in 25 mL

1 MM (excluding NH_4Cl) supplemented with 2 % (w/v) sodium gluconate to an OD_{600} of 7 and
2 incubated in 250 mL baffled shake-flasks at 30 °C and 200 rpm with orbital diameter of 1.9
3 cm. Samples of 0.5 mL were taken immediately, 12 and 48 h after L-arabinose
4 supplementation, centrifuged for 5 min at 17,000g, and the cell-free supernatant was
5 subjected to HPLC-UV/RI analysis.

6

7 2.6. HPLC-UV/RI analysis and chemical compound yield quantification

8 Prior subjecting to the HPLC-UV/RI analysis, the cell-free supernatant samples were
9 combined with an equal volume of mobile phase (5 mM H_2SO_4) spiked with 50 mM valerate
10 as internal standard, the mixture was passed through a Choice™ cellulose acetate syringe
11 filter with 0.22 μm pore size (Thermo Fisher Scientific; cat. no. CH2213-CA) and stored in
12 2 mL snap cap vial closed with cap containing septa (Thames Restek; cat. no. SR-0101102-
13 AL and SR-01011TSIT, respectively). Samples were analysed using a Thermo Scientific
14 UltiMate 3000 HPLC system equipped with a diode array detector DAD-3000 with the
15 wavelengths set at 210 nm and 280 nm, a refractive index detector RefractoMax 521 (Thermo
16 Fisher Scientific), and Phenomenex Rezex ROA-organic acid H⁺ (8%) 150 mm × 7.8 mm ×
17 8 μm column (Phenomenex). The column was operated at 35 °C with an isocratic flow rate of
18 0.5 ml/min. Samples were run for 30 min and the injection volume was 20 μl . Chromeleon
19 Chromatography Data System software was used for HPLC system control, data processing
20 and analysis. The concentrations of chemical compounds were estimated from standard
21 calibration curves generated by analysing known concentrations of sodium gluconate (cat. no.
22 10356290) and ethanol (cat. no. 10437341) from Fisher Scientific; 4-hydroxy-2-butanone
23 (Alfa Aesar; cat. no. L11456); 3-hydroxybutyraldehyde (Aldol; cat. no. CDS019977) and
24 acetic acid (cat. no. A6283) from Sigma-Aldrich; L-arabinose (cat. no. 365185000), 1,3-

1 butanediol (99% purity, Cat. No. 107622500) and pyruvic acid (cat. no. 132145000) from
 2 Arcos Organics.

3 Chemical compound yields per biomass ($Y_{P/X}$) and substrate ($Y_{P/S}$) were calculated
 4 using equations (1) and (2), respectively:

$$Y_{P/X} = \frac{P_t^* - P_{t-1}^*}{(X_t + X_{t-1})/2}$$

6 (1)

7 where P_t^* and P_{t-1}^* are concentrations of chemical compound (e.g. 1,3-BDO) in g/L for time
 8 points t and $t-1$, X_t and X_{t-1} are dry cell weight concentrations in g/L for time points t and $t-1$.

$$Y_{P/S} = \frac{P_t - P_{t-1}}{S_t - S_{t-1}}$$

10 (2)

11 where P_t and P_{t-1} are concentrations of chemical compound in carbon mole (Cmol) for time
 12 points t and $t-1$, S_t and S_{t-1} are concentrations for substrate sodium gluconate in Cmol for time
 13 points t and $t-1$.

14 To estimate dry cell weight (DCW), 1 mL of cell culture was centrifuged in pre-dried
 15 and pre-weighed 1.5 mL Eppendorf tubes for 2 min at 17000g and the supernatant was
 16 discarded. The cell pellet was dried for 48 h at 120 °C in a Heratherm OGH60 gravity
 17 convection oven (Thermo Fisher Scientific). Subsequently, samples were cooled in a
 18 desiccator and the DCW was determined using an analytical balance with accuracy to 0.1 mg
 19 (SI-234, Denver Instrument). DCW was calculated as grams per litre.

20

1 2.7. Specific cell growth rate

2 Cell growth was monitored by measuring the OD₆₀₀ using a BioMate™ 3S UV-Visible
3 Spectrophotometer (Thermo Fisher Scientific, MA, USA). Specific growth rate (μ) was
4 calculated using the following equation (Widdel, 2007).

$$\mu(t) = \frac{\ln OD_1 - \ln OD_0}{(t_1 - t_0)}$$

6 (3)

7 where $\ln OD_1$ and $\ln OD_0$ are the calculated natural logarithm values of measured OD₆₀₀ for
8 time points t_1 and t_0 .

10 2.8. Fermentation

11 Autotrophic fermentation was carried out in 1.3 L vessel using a DASGIP® parallel
12 bioreactor 4-fold system with Bioblock for microbiology including control modules CWD4,
13 MP8, PH4PO4L, PH4PO4RD4, OD4, MX4/4, TC4SC4 (Eppendorf) equipped with probes to
14 measure dissolved oxygen (DO) (optical DO probe, Mettler Toledo) , pH (405-DPAS-SC-
15 K8S pH Probe, Mettler Toledo) and temperature Platinum RTD Temperature Sensor
16 (Eppendorf). DASware® control software was used for automated control of DO,
17 temperature, and pH. The preculture was prepared and fermentation was performed as
18 described previously (Bommareddy et al., 2020) with some modifications. Briefly, The first
19 seed culture was grown overnight at 30 °C with 200 rpm shaking in 10 mL of LB from a
20 single colony. Subsequently, this culture was reseeded to 120 mL of LB and grown for
21 another 24 h as above. Resulting cells were harvested by centrifugation for 10 min at 6600g,
22 washed with 10 mL of FMM to remove residual LB, resuspended in 50 mL FMM and used to
23 inoculate 700 mL FMM. If appropriate, antibiotics were added to the growth medium at the
24 following concentrations: 10 µg/ml gentamicin or 300 µg/ml kanamycin. When cells reached

1 DCW greater than 1 g/L protein expression was induced by addition of L-arabinose. pH was
2 controlled at 6.9 by the addition of 1 M NH₃OH until a DCW of 0.75 g/L was achieved,
3 changing to 1 M KOH to limit nitrogen availability. DO was maintained at 10 % (v/v) by
4 increasing air flow (8.5 – 9.5 L/h) and agitation with a Rushton-type impeller (400 –
5 1600 rpm) and temperature at 30 °C. Using the DASGIP MX 4/4 Gas Mixing Module CO₂,
6 H₂ and air were continuously sparged through 0.22 µm membrane filters into the bioreactors.
7 Gas outflow composition was analysed using a Bioprocess R&D Lab Gas Analyser, Model
8 RLGA-9804 (Atmosphere Recovery Inc.). 2 mL samples were taken immediately after
9 addition of L-arabinose and then every 12 h for 120 h and subjected to the HPLC-UV/RI
10 analysis.

11

12 **3. Results and discussion**

13 3.1. Choice of (*R*)-1,3-BDO biosynthetic pathways

14 The systematic approach to engineer *C. necator* H16 for 1,3-BDO production was based on
15 the following design and experimental rationale: 1) considering alternative biosynthetic
16 pathways which enable to utilise pyruvate and its downstream anabolic products as
17 precursors; 2) screening enzymes with butanal dehydrogenase and aldehyde reductase
18 activities enabling biosynthesis of 1,3-BDO from (*R*)-3-hydroxybutyraldehyde-CoA, the
19 natural pyruvate's anabolic product in *C. necator*; 3) engineering *C. necator* H16 strain to
20 improve the flux towards precursors required for 1,3-BDO biosynthesis; 4) establishing
21 fermentation conditions and strain engineering to reduce the by-product biosynthesis; 5)
22 ultimately, developing *C. necator* H16 strain suitable for production 1,3-BDO from CO₂.

23 *C. necator* H16 lacks any phosphofructokinase (2.7.1.11; 2.7.1.90 or 2.70.1.146) of
24 the Embden-Meyerhoff-Parnas (EMP) pathway and 6-phosphogluconate dehydrogenase
25 (1.1.1.44 or 1.1.1.343) of the oxidative pentose phosphate (OPP) pathway. Such organisation

1 of metabolism restricts the flux through OPP and forward-EMP pathways and instead directs
2 it through the Entner–Doudoroff pathway under heterotrophic growth conditions. Under
3 autotrophic conditions, CO₂ is fixed by the reductive pentose phosphate cycle into the
4 glyceraldehyde-3-phosphate and can increase the carbon flux through the reversed-EMP and
5 ED pathways, as this has been observed under mixotrophic growth conditions (Alagesan et
6 al., 2018b). The resultant flux distribution increases the availability of pyruvate that is used as
7 a precursor for PHB synthesis in *C. necator* H16 under excess carbon and limited macro-
8 elements conditions (Volodina et al., 2016).

9 Consequently, based on this existing knowledge, the pyruvate was identified as a
10 highly available precursor for 1,3-BDO biosynthesis in *C. necator* H16. Two alternative
11 heterologous biosynthetic pathways that branches out from pyruvate were considered: A)
12 utilising (*R*)-3-hydroxybutyraldehyde-CoA ((*R*)-3HBCoA) and requiring two heterologous
13 enzymatic reactions: (i) deacylation of (*R*)-3HBCoA to (*R*)-3-hydroxybutanal ((*R*)-3HBA) by
14 butanal dehydrogenase (CoA-acylating, NADH-dependent) (Bld, EC 1.2.1.57), and (ii)
15 reduction of (*R*)-3HBA into (*R*)-1,3-BDO by NADPH-dependent aldehyde reductase activity
16 (YqhD, EC 1.1.1.2) (Pérez et al., 2008); B) utilising pyruvate and requiring three
17 heterologous enzymatic reactions: (i) decarboxylation of pyruvate to acetaldehyde by
18 pyruvate decarboxylase (Pdc, EC 4.1.1.1), (ii) condensation of two acetaldehyde molecules to
19 (*R*)-3HBA by deoxyribose-5-phosphate aldolase (Dra/DeoC, EC 4.1.2.4); and (iii) reduction
20 of (*R*)-3HBA into (*R*)-1,3-BDO by NADPH-dependent aldehyde reductase (Figure 1).
21 Evidently, the same enzymatic activity can be utilised for the final conversion of (*R*)-3HBA
22 to (*R*)-1,3-BDO in both pathways.

23 The (*R*)-3HBCoA pathway requires three NAD(P)H, whereas the pyruvate pathway
24 utilises one NADPH with two NAD⁺ molecules remaining in oxidised form due to the direct
25 conversion of pyruvate into acetaldehyde. Both pathways are NAD(P)H-consuming with net

1 use of three reducing cofactor molecules for each (*R*)-1,3-BDO synthesised, and are,
2 therefore, heavily reliant on the efficient regeneration and balance of reducing equivalent
3 within the cell. Indeed, Bld protein contains a proline and a nonpolar/aliphatic amino acid in
4 sequence positions that correspond to the residues P222 and I257 of structurally similar PduP
5 (Supplementary Figure 1), which are implicated in the selectivity for NADH over NADPH
6 (Trudeau et al., 2018). Moreover, *in vitro* assays have shown that Bld possess the NADH-
7 dependent activity (Hwang et al., 2014).

8 Previous research has shown that key TCA cycle genes (*sucC*, *fumA*, *mdh1*) are
9 downregulated when *C. necator* cells transition from exponential to stationary growth phase
10 alongside the upregulation of PHB required genes *phaAB* (Peplinski et al., 2010): as one of a
11 key nutrient is depleted and biomass production becomes restricted, the flux through (*R*)-
12 3HBCoA is increased and the carbon is accumulated in the form of PHB. This involves β -
13 ketothiolase (PhaA), NADP-dependent acetoacetyl-CoA reductase (PhaB) and poly(3-
14 hydroxyalkanoate) polymerase (PhaC) activities. Notably, the PHB can constitute up to 90%
15 of the DCW, if the excess carbon is available under nitrogen-limiting conditions (Volodina et
16 al., 2016). This strongly suggests that a sufficiently large pool of precursor in form of
17 3HBCoA can be generated under nutrient-limiting conditions generating a driving force for
18 (*R*)-1,3-BDO biosynthesis when the (*R*)-3HBCoA-dependent pathway is utilised. Moreover,
19 the deletion of *phaC1* gene significantly reduces the poly(3-hydroxyalkanoate) polymerase
20 activity enabling accumulation of (*R*)-3HBCoA, which can be utilised for biosynthesis of (*R*)-
21 1,3-BDO.

22 Therefore, the (*R*)-3HBCoA-dependent (*R*)-1,3-BDO biosynthetic pathway was
23 primarily selected for (*R*)-1,3-BDO production in *C. necator* *H16* heterotrophically or from
24 CO₂. The PHB deficient Δ *phaC1* strain was utilized for the (*R*)-3HBCoA-dependent pathway
25 implementation and further metabolic engineering.

1

2 3.2. Implementation of (*R*)-3HBCoA-dependent (*R*)-1,3-BDO biosynthetic pathway

3 3.2.1. Screening of biosynthetic pathway variants

4 To enable implementation of (*R*)-3HBCoA-dependent (*R*)-1,3-BDO biosynthetic pathway, a
5 screening of gene combinations, encoding enzymes with butanal dehydrogenase and
6 aldehyde reductase activities, was performed (Supplementary Figure 2).

7 In this screen, as a substitute for the bifunctional AdhE2 from *C. acetobutylicum*
8 (Fontaine et al., 2002), a butanal dehydrogenase (Bld) from *C. saccharoperbutylacetonicum*
9 (Kosaka et al., 2007; Nair et al., 1994) was combined with a number of aldehyde reductases,
10 including widely utilised YqhD from *E. coli* (Jarboe, 2011). The *bld* gene possessing a very
11 low GC content of 32.8 % was codon-optimised for expression in *C. necator* H16 (66.3 %
12 average GC content). Aldehyde reductase candidates were selected based on protein
13 homology to YqhD or enzymatic activity on similar compounds reported previously, such as
14 the conversion of 4-hydroxybutyraldehyde to 1,4-butanediol (Wang et al., 2017), acetoin to
15 2,3-butanediol (Yan et al., 2009) or the *in vitro* conversion of 3-hydroxybutyraldehyde to 1,3-
16 butanediol (Kim et al., 2017). Furthermore, the *yqhD* gene was combined with *eutE* from two
17 different species, as well as *adhE2* and *adhE1* from *C. acetobutylicum* and *E. coli adhE* were
18 included.

19 All pathway variants were tested in *C. necator* H16 wild-type and PHB deficient
20 mutant with the (*R*)-1,3-BDO biosynthesis observed only in the latter. (*R*)-1,3-BDO was
21 produced in strains H16 Δ C-p2, H16 Δ C-p15 and H16 Δ C-p26 expressing *bld* with *yqhD* from
22 *E. coli* MG1655 (hereafter denoted as *yqhD_{Ec}*) or *P. putida* KT2440 (*yqhD_{Pp}*), and
23 bifunctional *adhE2* from *C. acetobutylicum*, respectively (Supplementary Figure 2). Other
24 biosynthetic pathway variants did not show detectable quantities of the diol by HPLC-RI.
25 Biosynthesis of (*R*)-1,3-BDO in *C. necator* H16 obtained using bifunctional *adhE2* on its

1 own or *bld* in combination with *yqhD* is consistent with previously reported activities of these
2 enzymes (Hwang et al., 2014; Kataoka et al., 2013) confirming their indispensable role.

3 It should be noted that (*R*)-1,3-BDO exhibited only a minor toxic effect on *C. necator*
4 H16 with no growth inhibition in the presence of up to 83.2 mM (Supplementary Table 3).

5

6 3.2.2. Evaluation of (*R*)-1,3-BDO biosynthesis

7 Previous research has shown that the PHB synthesis in *C. necator* H16 is increased under
8 nitrogen limiting conditions with excess carbon available (Tian et al., 2005). The nitrogen
9 limitation effect on (*R*)-1,3-BDO yield was investigated H16 Δ C-p26 by changing
10 carbon/nitrogen (C/N) ratio in culture minimal medium from 6 to 50. In spite of decrease in
11 growth rate, more than 2-fold higher yield of (*R*)-1,3-BDO was observed using C/N ratio of
12 50 (Supplementary Figure 3). Furthermore, $Y_{1,3\text{-BDO/S}}$ of 0.018 was measured 24 h after
13 induction under nitrogen limitation, whereas in non-limiting nitrogen conditions (*R*)-1,3-
14 BDO became detectable only after 48 h. These results demonstrate that (*R*)-1,3-BDO
15 biosynthesis in *C. necator* H16 can be improved by limiting nitrogen availability.

16 The AraC/ P_{araBAD} -arabinose inducible system is relatively well repressed under
17 uninduced state and can be fine-tuned in the range from 0.00117 to 0.15 % (w/v) of L-
18 arabinose allowing to achieve more than 1000-fold induction in *C. necator* H16 (Alagesan et
19 al 2018a). Importantly, the L-arabinose is not metabolised by this bacterium and does not
20 exhibit any adverse effect on the cell growth (data not shown). Therefore, AraC/ P_{araBAD}
21 inducible system was selected to drive overexpression of (*R*)-1,3-BDO biosynthesis genes.

22 To establish an optimal gene expression level of (*R*)-3HBCoA-dependent (*R*)-1,3-
23 BDO biosynthetic pathway, induction conditions using a range of L-arabinose concentrations
24 (from 0.005 to 0.2% (w/v)) were investigated. For H16 Δ C-p2 strain expressing *bld* and
25 *yqhD_{Ec}*, a direct correlation between the biosynthesis levels of (*R*)-1,3-BDO and

1 concentration of inducer was observed 24 hours after induction with the highest quantity of
2 (*R*)-1,3-BDO produced in the cell culture that was supplemented with 0.2% of L-arabinose
3 (Supplementary Figure 4). However, at the later stages of induction, the specific (*R*)-1,3-
4 BDO production was reduced and a strong growth inhibition observed in cultures
5 supplemented with higher than 0.045 % concentrations of L-arabinose. Altogether these
6 results revealed that 0.01 to 0.045 % concentrations of L-arabinose are optimal for induction
7 of (*R*)-1,3-BDO biosynthetic pathway genes when the plasmid-based expression system is
8 used in *C. necator* H16.

9 Next, (*R*)-1,3-BDO-producing *C. necator* strains H16 Δ C-p2, H16 Δ C-p15 and
10 H16 Δ C-p26 were compared under heterotrophic nitrogen-limited growth conditions (Figure
11 2). Cumulative yields of (*R*)-1,3-BDO were steady for the duration of 96-hours cell growth
12 period ranging from 0.035 to 0.055 Cmol Cmol⁻¹, and comparable between all three strains.
13 Increase in biomass and 1,3-BDO was greatest during initial 24-hour post induction period
14 with a highest yield of 0.055 \pm 0.003 Cmol Cmol⁻¹ obtained using strain H16 Δ C-p2. It can be
15 concluded that of three strains possessing alternative combinations of genes of (*R*)-3HBCoA-
16 dependent pathway, the strain H16 Δ C-p2 performed marginally better producing highest
17 yields of (*R*)-1,3-BDO during early logarithmic and stationary growth periods while
18 exhibiting the least growth impairment. Furthermore, YqhD_{Ec} aldehyde reductase specificity
19 on butanal is higher (K_m = 0.67) than that of AdhE2 (K_m = 1.60) as reported previously
20 (Palosaari and Rogers, 1988; Pérez et al., 2008). Therefore, the combination of genes *bld* and
21 *yqhD_{Ec}* were chosen to be utilized for (*R*)-3HBCoA-dependent biosynthetic pathway in next
22 stages of this study.

23

1 3.2.3. YqhD facilitates higher (*R*)-1,3-BDO yield in *C. necator*

2 A NADPH-dependent aldo-keto reductase (AKR, *PA1127*) from *P. aeruginosa* has been
3 shown to convert 3-hydroxybutanal into (*R*)-1,3-BDO (Kim et al., 2017) enabling to achieve
4 yield of 0.075 Cmol Cmol⁻¹-glucose in *E. coli* (Nemr et al., 2018). To compare the efficiency
5 of AKR for (*R*)-1,3-BDO production in *E. coli* MG1655 and *C. necator* H16, plasmid
6 constructs containing *PA1127* replacing *yqhD* were assembled. Then, the (*R*)-1,3-BDO
7 biosynthesis was achieved using two-stage batch fermentation in the 250 mL baffled shake
8 flask as described in *Materials and Methods*. *E. coli* cells harbouring plasmid pJLG38 (MG-
9 p38 containing *PA1127*) or pJLG11 (MG-p11 containing *yqhD_{Ec}*) and *C. necator* strains
10 harbouring plasmids with either *PA1127* (H16ΔC-p20) or *yqhD_{Ec}* (H16ΔC-p2) were
11 cultivated in rich media and heterologous gene expression was induced by supplementing
12 media with 0.25 % (w/v) of L-arabinose, allowing biomass and recombinant enzyme
13 production. Cells were then resuspended to a high cell density in minimal media with an
14 abundance of either glucose (*E. coli*) or sodium gluconate (*C. necator*), cultured for 48 h and
15 (*R*)-1,3-BDO concentration was measured in the media. *E. coli* MG-p38 strain harbouring
16 plasmid with *PA1127* gene yielded 0.087 (*R*)-1,3-BDO (Cmol Cmol⁻¹) (Table 2) supporting
17 previous work (Nemr et al., 2018). Whereas, *C. necator* strain H16ΔC-p20 with *PA1127*,
18 produced almost 2-fold less of (*R*)-1,3-BDO. Strikingly, *C. necator* strain H16ΔC-p2
19 expressing *yqhD_{Ec}* achieved the highest (*R*)-1,3-BDO yield of 0.140 (Cmol Cmol⁻¹). Notably,
20 similar improvement in the production of diols and other reduced chemical compounds using
21 two-stage fermentation approach has been reported previously (Burg et al., 2016; Kataoka et
22 al., 2013; Nemr et al., 2018).

23 Overexpression of *yqhD* has a clear adverse effect on the (*R*)-1,3-BDO yield in *E. coli*
24 but not in *C. necator*. This is likely due to acetaldehyde dehydrogenase activity causing

1 production of ethanol as reported previously (Nemr et al., 2018) and with this associated
2 depletion of NADPH.

3

4 3.2.4. Metabolic by-products of the (*R*)-3HBCoA-dependent pathway

5 As indicated in section 3.1, *C. necator* H16 strains with $\Delta phaCI$ background were primarily
6 used for biosynthesis of (*R*)-1,3-BDO. Further analysis of extracellular metabolite
7 composition revealed that, alongside the (*R*)-1,3-BDO, large amounts of pyruvate,
8 representing yields of 0.419 ± 0.003 Cmol Cmol⁻¹, 0.505 ± 0.008 Cmol Cmol⁻¹ and $0.415 \pm$
9 0.011 Cmol Cmol⁻¹, were respectively excreted from strains H16 Δ C-p2, H16 Δ C-p15 and
10 H16 Δ C-p26, containing (*R*)-3HBCoA-dependent pathway variants. Whereas only negligible
11 quantities of acetate and ethanol were detected in these strains. The pyruvate was completely
12 absent in cultures of wild-type background strains harbouring same biosynthetic pathway
13 variants. The accumulation and excretion of pyruvate has been reported previously in
14 *C. necator* H16 $\Delta pdhL$ and PHB⁻4 (DSM541) strains (Raberg et al., 2011; Steinbüchel and
15 Schlegel, 1989). The former is deficient of the dihydrolipoamide dehydrogenase (E3)
16 component of pyruvate dehydrogenase complex. The accumulation of pyruvate in PHB⁻
17 strains indicates that the deficiency of poly(3-hydroxyalkanoate) polymerase activity causes
18 the build-up of upstream metabolites of the PHB pathway and that the increase in acetyl-CoA
19 level inhibits the pyruvate dehydrogenase activity, as postulated previously (Jung and Lee,
20 1997; Raberg et al., 2011; Steinbüchel and Schlegel, 1989). Simultaneously, the pyruvate
21 accumulation suggests that the (*R*)-3HBCoA-dependent pathway exhibits limited capacity to
22 drive carbon flux towards the (*R*)-1,3-BDO.

23 Alongside with the (*R*)-1,3-BDO synthesis and accumulation of pyruvate, the 4-
24 hydroxy-2-butanone (4H2B) was observed as a by-product in engineered *C. necator* H16
25 expressing (*R*)-3HBCoA-dependent biosynthetic pathway genes. As shown previously, the

1 butanal dehydrogenase Bld exhibits enzymatic activity on various C4-CoA derivatives
2 including 3HBCoA and 4HBCoA (Hwang et al., 2014; Kataoka et al., 2013). Therefore, we
3 hypothesized that this promiscuous enzyme can also act upon the excess acetoacetyl-CoA (3-
4 oxobutyryl-CoA), produced by the β -ketothiolase, PhaA, converting it into 3-oxobutanal,
5 which is further transformed into 4H2B by YqhD promiscuous activity (Figure 3A). To test
6 this hypothesis, 4H2B and (*R*)-1,3-BDO biosynthesis was evaluated in *C. necator* Δ *phaC1B1*
7 strain transformed either with plasmid pJLG14 containing *bld* (strain H16 Δ CB-p14); pJLG2
8 with *bld* and *yqhD_{Ec}* (H16 Δ CB-p2) or pJLG44 containing *bld*, *yqhD_{Ec}* and *phaB* genes
9 (H16 Δ CB-p44). Results in Figure 3B show that neither (*R*)-1,3-BDO nor 4H2B are
10 detectable in the culture of H16 Δ CB-p14 when *yqhD* activity is absent. However, both
11 compounds are synthesised by H16 Δ CB-p2 and H16 Δ CB-p44 containing both *bld* and *yqhD*
12 genes. Moreover, in the absence of *phaB1* gene (strain H16 Δ CB-p2), mostly 4H2B is
13 synthesized, whereas strains H16 Δ CB-p44 and H16 Δ C-p2, possessing *bld*, *yqhD_{Ec}* and *phaB1*
14 genes, produce predominantly (*R*)-1,3-BDO (Figure 3B). These results confirm that when
15 NADP-dependent acetoacetyl-CoA reductase activity is reduced by deletion of *phaB1* gene,
16 the acetoacetyl-CoA accumulates and is subsequently converted into 4H2B by Bld and YqhD
17 activities. Notably, even if *phaB1* gene is absent, a small quantity of (*R*)-1,3-BDO is
18 generated, most likely through the activity of other *C. necator* PhaB homologues encoded by
19 *phaB2* and *phaB3*. When Δ *phaB1* is complemented with plasmid-based *phaB* (H16 Δ CB-
20 p44), the (*R*)-1,3-BDO biosynthesis is recovered, whereas the 4H2B yield is drastically
21 reduced, indicating increase in availability of (*R*)-3HBCoA for conversion into the diol by
22 Bld and YqhD. Overall, these results suggest that by-product's 4H2B formation can be
23 reduced by improving expression or copy number of *phaB1* encoding for NADP-dependent
24 acetoacetyl-CoA reductase. On the another hand, the 4H2B can be converted into (*R*)-1,3-

1 BDO using enzymes with reducing activity as identified previously (Matsuyama et al., 2001;
2 Okabayashi et al., 2009).

3

4 3.3. Improvement of (*R*)-1,3-BDO production in *C. necator*

5 3.3.1. Overexpression of endogenous *phaA* and *phaB1*

6 The endogenous *C. necator* H16 genes *phaA* and *phaB* are essential for biosynthesis of 3-
7 HBCoA from acetyl-CoA (Figure 1). In order to assess whether enhanced expression of *phaA*
8 and *phaB* by increasing their copy number and expression level can improve (*R*)-1,3-BDO
9 production, *phaA* and *phaB* in addition to *bld* and *yqhD* genes were included in the plasmid-
10 based overexpression system yielding pJLG35. The yield of (*R*)-1,3-BDO in H16 Δ C-p35
11 containing chromosomal and plasmid-based copies of *phaAB* was compared to that in
12 H16 Δ C-p15 (chromosomal copy of *phaAB*), H16 Δ CAB-p15 (no *phaAB*) and H16 Δ CAB-p35
13 (plasmid-based only copy of *phaAB*) (Figure 4). Of all strains, H16 Δ C-p35 and H16 Δ CAB-
14 p35 exhibited the diol production within the first 24 hours, whereas the former maintained
15 highest yield (approximately 0.045 Cmol Cmol⁻¹) throughout the rest of 120-hour
16 fermentation. Evidently, expression of plasmid-based *phaAB* genes encoding acetoacetyl-
17 CoA reductase improved utilisation of carbon source and conversion of pyruvate at the later
18 stages of fermentation. Interestingly, despite the lack of *phaAB* in strain H16 Δ CAB-p15, the
19 production of (*R*)-1,3-BDO was still observed, albeit at much lower yields of 0.008 \pm 0.003
20 Cmol Cmol⁻¹. Specific production of 1,3-BDO by strain H16 Δ CAB-p15 after 120 h indicates
21 combined activity of one or multiple β -ketothiolase homologues reported in the *C. necator*
22 genome (Lindenkamp et al., 2012) and acetoacetyl-CoA reductase PhaB3 (H16_A2171)
23 possessing reduced rate compared to PhaB1 (Budde et al., 2010).

24

1 3.3.2. Reducing TCA cycle flux for enhanced 1,3-BDO production

2 With previous literature detailing improvement of PHB production as a result of acetyl-CoA
3 accumulation facilitated through gene deletions observed in *E. coli* (Centeno-Leija et al.,
4 2014), *C. necator* genes *sucCD* and *iclAB* were targeted to be deleted individually and in
5 combination to reduce TCA cycle carbon flux, increasing acetyl-CoA pool for 1,3-BDO
6 production (Figure 5). *C. necator* strains H16 $\Delta phaC\Delta iclAB$ (H16 Δ 2), H16 $\Delta phaC\Delta sucCD$
7 (H16 Δ 3) and H16 $\Delta phaC\Delta iclAB\Delta sucCD$ (H16 Δ 4) were generated. For (*R*)-1,3-BDO yield
8 profiling, they were transformed with plasmid pJLG2 containing (*R*)-3HBCoA-dependent
9 (*R*)-1,3-BDO biosynthetic pathway genes, batch fermentation and product analysis performed
10 as above. The results showed that the overall (*R*)-1,3-BDO yield was significantly higher for
11 engineered strains H16 Δ 3-p2 and H16 Δ 4-p2. Notably, H16 Δ 3-p2 exhibited nearly 2-fold
12 higher yield than other strains after 24 hours of fermentation.

13 As predicted, deletion of *sucCD* helped to improve (*R*)-1,3-BDO yield likely through
14 increased acetyl-CoA pool. Despite the loss of ATP generation by the deletion of *sucCD*, all
15 strains exhibited similar specific growth rates. Indistinctly, *iclAB* deletion strains showed no
16 improvement in (*R*)-1,3-BDO biosynthesis as the sole deletion or when combined with
17 *sucCD* deletion. Since *iclAB* has been previously reported to be primarily involved in β -
18 oxidation pathways (Brigham et al., 2010; Sharma et al., 2016) and only low expression level
19 was observed under heterotrophic growth (Alagesan et al., 2018b) this indicates its reduced
20 involvement in gluconate metabolism and flux through the glyoxylate bypass.

21

22 3.4. Implementation of pyruvate-dependent (*R*)-1,3-BDO pathway

23 3.4.1. Evaluation of pyruvate-dependent pathway

24 The pyruvate-dependent biosynthetic pathway has been recently developed for (*R*)-1,3-BDO
25 production in *E. coli* (Kim et al., 2017; Nembr et al., 2018). This pathway consisting of

1 pyruvate decarboxylase (PDC), deoxyribose-5-phosphate aldolase (Dra) and aldo/keto
2 reductase (AKR) enables to convert pyruvate to (*R*)-1,3-BDO through acetaldehyde and (*R*)-
3 3HBA intermediates. To utilise the pyruvate that accumulates in *C. necator* Δ *phaC* strains,
4 the pyruvate-dependent pathway was implemented in this study. With YqhD_{Ec} proved
5 suitable for conversion of (*R*)-3HBA to (*R*)-1,3-BDO in engineered *C. necator*, the gene of
6 this enzyme was combined with *PDC* from *Z. mobilis* ZM4 and *dra* from *B. halodurans* into
7 the plasmid pJLG306 yielding strain H16 Δ C_p306 (Figure 6A). However, similarly to
8 H16 Δ C-p26, this strain did not produce detectable quantities of (*R*)-1,3-BDO by HPLC-RI
9 analysis under heterotrophic growth conditions (Figure 6B). The further metabolite analysis
10 revealed no accumulation of pyruvate, indicating that it is completely converted into
11 acetaldehyde by PDC (Figure 6C). However, high yields of acetate and ethanol suggest that
12 *Dra* is ineffective in converting acetaldehyde to (*R*)-3HBA and causes a bottleneck in the
13 pyruvate-dependent biosynthetic pathway. This is also supported by previous results showing
14 that the gene copy number and expression level of *dra* contribute to the increase of (*R*)-1,3-
15 BDO yield (Nemr et al., 2018). Furthermore, a rapid acetate synthesis from acetaldehyde is
16 likely to be associated with acetaldehyde dehydrogenase AcoD activity in *C. necator* H16
17 (Priefert et al., 1992), whereas a low affinity of YqhD_{Ec} towards acetaldehyde (Pérez et al.,
18 2008) can contribute to the gradual increase in the ethanol yield during the 120-hour
19 fermentation.

20

21 3.4.2. Combining (*R*)-3HBCoA- and pyruvate-dependent pathways

22 Considering the absence of any detectable (*R*)-1,3-BDO production by the pyruvate-
23 dependent pathway in *C. necator* and aiming to reduce accumulation of pyruvate and
24 improve carbon flux through acetyl-CoA node, it was reasoned that the combination of both,
25 pyruvate- and (*R*)-3HBCoA-dependent pathways, may improve (*R*)-1,3-BDO biosynthesis.

1 As postulated previously, the acetoin dehydrogenase bypass can counteract an accumulation
2 of pyruvate and utilise acetaldehyde that is generated as pyruvate-dependent pathway
3 intermediate, by offering an alternative route to acetyl-CoA, especially, when pyruvate
4 dehydrogenase complex is inhibited by elevated concentration of acetyl-CoA (Raberg et al.,
5 2014).

6 To combine (*R*)-3HBCoA- and pyruvate-dependent pathways, genes *bld*, *yqhD_{Ec}*, *dra*
7 and *PDC* were assembled into a plasmid pJLG304. *C. necator* strains H16ΔC_p304,
8 harbouring pJLG304, and H16ΔC_p2, containing the 3-HBCoA-dependent pathway only,
9 were compared for (*R*)-1,3-BDO and other major metabolite yields (Figure 7; Supplementary
10 Table 4). Strain H16ΔC_p304 showed 1.7-fold increase in (*R*)-1,3-BDO yield compared to
11 H16ΔC_p2. Notably, similarly to H16ΔC_p306, no accumulation of pyruvate and high yields
12 of acetate and ethanol were observed for strain H16ΔC_p304. Moreover, metabolite profiles
13 vary considerably in an oxygen rich environment, with increased acetate yields by strain
14 H16ΔC_p304 rising from 0.046 ± 0.002 to 0.206 ± 0.009 Cmol Cmol⁻¹, after 72-hour
15 induction.

17 3.5. Engineering stable expression of (*R*)-1,3-BDO pathway genes

18 3.5.1. Chromosomal integration of biosynthetic pathway

19 To improve genetic stability ensuring stable expression of (*R*)-1,3-BDO biosynthetic pathway
20 genes, chromosomal integration of the constructs into *phaCAB* loci was performed.

21 Simultaneously, heterologous genes for either 3-HBCoA-dependent pathway or combining
22 the 3-HBCoA-dependent and pyruvate-dependent pathways were introduced. To ensure
23 tuneable expression of chromosomally integrated heterologous genes, an arabinose inducible
24 system (*araC/P_{araBAD}*) preceded with terminator was integrated into the *phaC* locus upstream
25 of either *bld* and *yqhD_{Ec}* (strain H16Δ1::54) or *bld*, *yqhD_{Ec}*, *dra*, and *PDC* (strain H16Δ1::56).

1 By design, utilisation of the *phaC* locus as a target integration site not only abolished the
2 PHB synthesis but also ensured a controllable expression of *phaA* and *phaB*, which are
3 required for (*R*)-1,3-BDO production. Nonetheless, engineered strains contained only a single
4 copy of chromosomally integrated biosynthetic pathway genes, and despite a significant
5 reduction in gene copy number comparing to the plasmid-based expression system, a
6 detectable level of (*R*)-1,3-BDO was observed for both strains H16 Δ 1::54 and H16 Δ 1::56
7 under non-optimal growth conditions with limited aeration (Table 3).

8 Earlier results indicated that limited expression of either *bld* or *dra* can create a
9 bottleneck in the (*R*)-1,3-BDO biosynthetic pathways. Moreover, *bld* from
10 *C. saccharoperbutylacetonicum* is potentially an oxygen-sensitive enzyme, similarly to its
11 homologue from *C. beijerinckii* (Yan and Chen, 1990). Therefore, to further improve strains
12 H16 Δ 1::54 and H16 Δ 1::56, a second copy of these genes was introduced by replacing *sucCD*,
13 deletion of which was identified in this study as beneficial for improving (*R*)-1,3-BDO yield.
14 An additional copy of *bld* was integrated into the strains containing either the 3HBCoA-
15 dependent pathway (H16 Δ 1::54/ Δ 3::58) or the combined 3HBCoA- and pyruvate-dependent
16 pathway (H16 Δ 1::56/ Δ 3::58). The *bld* gene was placed under the control of a strong
17 constitutive promoter (P_8) (Alagesan et al., 2018a). The same strategy was employed for
18 integration *bld* and *dra* into the strain with combined 3HBCoA- and pyruvate-dependent
19 pathway (H16 Δ 1::56/ Δ 3::60). All engineered strains were screened by measuring (*R*)-1,3-
20 BDO and by-products yields (Table 3). As expected, for all strains, diol yield was reduced
21 compared with plasmid-based expression system. Nonetheless, a clear improvement of (*R*)-
22 1,3-BDO biosynthesis was achieved by introducing additional copies of *dra* and/or *bld*.

23

1 3.5.2. (*R*)-1,3-BDO production from CO₂

2 With *C. necator* H16 capable of using CO₂ as sole carbon source, autotrophic fed-batch
3 fermentation was undertaken for production of (*R*)-1,3-BDO. Strains H16Δ1::54,
4 H16Δ1::54/Δ3::58, H16Δ1::56 and H16Δ1::56/Δ3::60 were cultivated in 1.2 L bioreactors
5 with a working volume of 750 mL, variable impeller agitation speed and a constant supply of
6 CO₂, H₂ and air in the presence of 0.1 % (w/v) arabinose (Figure 8). As observed for
7 metabolite profiling under heterotrophic growth conditions, increased availability of key
8 pathway enzymes, namely Bld and Dra, considerably improved the (*R*)-1,3-BDO production
9 when utilising the 3HBCoA-dependent pathway (strain H16Δ1::54/Δ3::58) and combination
10 of 3HBCoA- and pyruvate-dependent pathways (H16Δ1::56/Δ3::60). For these strains,
11 Maximum production rates of 0.41 and 0.27 Cmol Cmol⁻¹ h⁻¹ and titres of 7.8 and 9.5 mM,
12 respectively, were measured in the early stationary phase (48 – 60 hours). Despite a
13 continuous supply of CO₂ at this stage, cells were entering stationary phase due to the
14 complete consumption of key elements such as nitrogen and/or phosphate, resulting in carbon
15 flux being re-directed from biomass towards the (*R*)-1,3-BDO biosynthesis.

17 3.5.3. Further improvement of autotrophic (*R*)-1,3-BDO production by increasing *bld* copy 18 number

19 Moreover, to further evaluate if the increase in the copy number of biosynthetic pathways
20 genes can improve (*R*)-1,3-BDO production, strains containing chromosomally integrated
21 *bld*, *yqhD_{Ec}*, *dra*, and *PDC*, were transformed with plasmid carrying *bld* and *dra* copies. (*R*)-
22 1,3-BDO and by-product profiles of resulting strains H16Δ1::54_p14 (chromosomal *bld* and
23 *yqhD_{Ec}*; plasmid *bld*), H16Δ1::56_p14 (chromosomal *bld*, *yqhD_{Ec}*, *dra*, and *PDC*; plasmid
24 *bld*) and H16Δ1::56_p45 (chromosomal *bld*, *yqhD_{Ec}*, *dra*, and *PDC*; plasmid *bld* and *dra*)
25 were compared to earlier characterised strains H16ΔC_p2 and H16ΔC_p304 (Supplementary

1 Figure 5). A significant improvement of (*R*)-1,3-BDO yield was observed in strains
2 (H16Δ1::54_p14 and H16Δ1::56_p14) with additional copy of *bld* on the plasmid. Whereas,
3 the addition of *dra* had only a marginal effect on the (*R*)-1,3-BDO yield. As observed
4 previously, by introducing the pyruvate-dependent pathway, no pyruvate accumulation is
5 observed demonstrating efficient metabolism of pyruvate to acetaldehyde facilitated by PDC.

6 Highest producing strains H16Δ1::56_p14 and H16Δ1::56_p45 were subjected to
7 autotrophic fermentation using CO₂ as a sole carbon source. Despite successful production of
8 (*R*)-1,3-BDO in shake-flask mode, strain H16Δ1::56_p45 was genetically unstable due to the
9 plasmid pJLG45 loss, which was observed at the early stage of fermentation by plating cell
10 culture on non-selective medium and selective medium with chloramphenicol antibiotic.
11 Therefore, the (*R*)-1,3-BDO or another metabolite production was inconsistent and was not
12 subjected to further analysis. Nonetheless, H16Δ1::56_p14 achieved the highest reported (*R*)-
13 1,3-BDO titre of 33 mmol L⁻¹ (2.97 g L⁻¹) (Figure 9). With theoretical yield of 1.00 for (*R*)-
14 1,3-BDO production from CO₂, a yield of 0.77 Cmol Cmol⁻¹ for 72- to 84-hour fermentation
15 period and average yield of 0.4 Cmol Cmol⁻¹ were obtained. Furthermore, 4H2B production
16 was high (19.7 mmol L⁻¹ titer and average yield close to 0.3). 4H2B yield increased during
17 later stage of fermentation indicating insufficient conversion of acetoacetyl-CoA to 3-
18 hydroxybutanal facilitated by PhaB, despite being under the control of the arabinose
19 inducible system. With such high yields of (*R*)-1,3-BDO and 4H2B there was no other by-
20 products detectable.

21

22 **4. Conclusions**

23 Here we report the stepwise engineering of *C. necator* H16 for production of (*R*)-1,3-BDO
24 from CO₂. To achieve this, two alternative heterologous (*R*)-1,3-BDO biosynthetic pathways,
25 based on utilisation of either (*R*)-3HBCoA or pyruvate as precursors, were investigated.

1 Initially, the (*R*)-1,3-BDO biosynthesis was achieved by heterologous gene expression of
2 either *C. saccharoperbutylacetonicum bld* in combination with *E. coli yqhD* or
3 *C. acetobutylicum adhE2*. The (*R*)-1,3-BDO yield was improved through the genetic
4 inactivation of the PHB biosynthesis by deletion of either *phaC1* gene or *phaCAB* operon and
5 redirecting excess carbon toward the diol production. (*R*)-1,3-BDO-producing strains were
6 further improved by introducing extra copies of *phaA*, *phaB1*, *bld* and *dra*, as well as by
7 deleting *sucCD* genes. An alternative (*R*)-1,3-BDO biosynthetic pathway was implemented
8 by heterologous expression of *PDC* from *Z. mobilis*, and *dra* and *yqhD* from *E. coli*. The
9 introduction of this biosynthetic pathway did not yield a detectable level of (*R*)-1,3-BDO,
10 whereas the combination of both biosynthetic pathways resulted in a highest diol production.
11 Further to this, genes of both (*R*)-1,3-BDO biosynthetic pathways were chromosomally
12 integrated ensuring the genetic stability of engineered strains. Application of (*R*)-3HBCoA-
13 and pyruvate-dependent pathways, in combination with abolishing the PHB biosynthesis and
14 reducing the flux through the tricarboxylic acid cycle, enabled to engineer a strain that was
15 able to produce more than 2.97 g L⁻¹ of (*R*)-1,3-BDO *via* autotrophic fermentation from CO₂.
16 In this fermentation mode a large proportion of carbon (up to 40% Cmol Cmol⁻¹) was
17 directed to the (*R*)-1,3-BDO. In conclusion, this study demonstrates that engineered
18 *C. necator* H16 can be effectively utilised for diol production.

19

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3

4 **Author contributions**

5 J.L.G. and N.M. conceptualized the study with input from R.R.B. J.L.G., R.R.B., S.H. and
6 N.M. designed the experiments. J.L.G. carried out experiments. J.L.G. and N.M. wrote the
7 manuscript. All authors reviewed and approved the manuscript.

8

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17

1 **Tables**

2 **Table 1.** Strains used in this study. *P* denotes *P_{araBAD}* promoter with square brackets showing
 3 genes under promoter control.

Strain	Genotype	Parent strain	Plasmid	Source
<i>E. coli</i> MG1655	F ⁻ , λ ⁻ , <i>rph-1</i>	-	-	ATCC 70096
<i>E. coli</i> DH5α	<i>lacZ</i> ΔM15, <i>recA1</i> , <i>endA1</i>	-	-	Invitrogen
<i>E. coli</i> S17-1	<i>recA pro hsdR</i> RP4-2-Tc::Mu-Km::Tn7	-	-	ATCC 47055
<i>P. putida</i> KT2440	wild type	-	-	ATCC 47054
<i>C. necator</i> H16	wild type	-	-	DSM-428
<i>C. necator</i> H16 <i>phaC</i> *	PHB ⁺ 4	-	-	DSM-541
<i>C. necator</i> H16 Δ <i>phaC1</i>	Δ <i>phaC1</i>	-	-	Arenas et al., unpublished
<i>C. necator</i> H16 Δ <i>phaC1B1</i>	Δ <i>phaC1</i> , Δ <i>phaB1</i>	-	-	This work
<i>C. necator</i> H16 Δ <i>phaC1AB1</i>	Δ <i>phaC1</i> , Δ <i>phaA</i> , Δ <i>phaB1</i>	-	-	Arenas et al., unpublished
<i>C. necator</i> H16 Δ2	Δ <i>phaC1</i> , Δ <i>iclAB</i>	-	-	This work
<i>C. necator</i> H16 Δ3	Δ <i>phaC1</i> , Δ <i>sucCD</i>	-	-	This work
<i>C. necator</i> H16 Δ4	Δ <i>phaC1</i> , Δ <i>iclAB</i> , Δ <i>sucCD</i>	-	-	This work
MG-p11	MG1655, (<i>P</i> [<i>bld yqhD_{Ec} phaA phaB1</i>] <i>Km^r</i>)	<i>E. coli</i> MG1655	pJLG11	This work
MG-p35	MG1655, (<i>P</i> [<i>bld yqhD_{Pp} phaA phaB1</i>] <i>Km^r</i>)	<i>E. coli</i> MG1655	pJLG35	This work
MG-p38	MG1655, (<i>P</i> [<i>bld PA1127 phaA phaB1</i>] <i>Km^r</i>)	<i>E. coli</i> MG1655	pJLG38	This work
H16ΔC-p2	H16Δ <i>phaC1</i> , (<i>P</i> [<i>bld yqhD_{Ec}</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaC</i>	pJLG2	This work
H16ΔC-p15	H16Δ <i>phaC1</i> , (<i>P</i> [<i>bld yqhD_{Pp}</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaC</i>	pJLG15	This work
H16ΔCAB-p15	H16Δ <i>phaCAB</i> , (<i>P</i> [<i>bld yqhD_{Pp}</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaCAB</i>	pJLG15	This work
H16ΔC-p26	H16Δ <i>phaC1</i> , (<i>P</i> [<i>bld adhE2</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaC</i>	pJLG26	This work
H16ΔC-p35	H16Δ <i>phaC1</i> , (<i>P</i> [<i>bld yqhD_{Pp} phaA phaB1</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaC</i>	pJLG35	This work
H16ΔCAB-p35	H16Δ <i>phaC1AB1</i> , (<i>P</i> [<i>bld yqhD_{Pp} phaA phaB1</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaCAB</i>	pJLG35	This work
H16ΔC-p304	H16Δ <i>phaC1</i> , (<i>P</i> [<i>bld yqhD_{Ec} dra PDC</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaC</i>	pJLG304	This work

H16ΔC-p306	H16Δ <i>phaC1</i> , (<i>P</i> [<i>yqhD_{Ec}</i> <i>dra PDC</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaC</i>	pJLG306	This work
H16ΔCB-p14	H16Δ <i>phaC1</i> , (<i>P</i> [<i>bld</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaC</i>	pJLG14	This work
H16ΔCB-p2	H16Δ <i>phaC1</i> , (<i>P</i> [<i>bld</i> <i>yqhD_{Ec}</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaC</i>	pJL2	This work
H16ΔCB-p44	H16Δ <i>phaC1</i> , (<i>P</i> [<i>bld</i> <i>yqhD_{Ec}</i> <i>phaB1</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ <i>phaC</i>	pJL44	This work
H16Δ2-p2	H16Δ <i>phaC1</i> Δ <i>iclAB</i> , (<i>P</i> [<i>bld</i> <i>yqhD_{Ec}</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ2	pJLG2	This work
H16Δ3-p2	H16Δ <i>phaC1</i> Δ <i>sucCD</i> , (<i>P</i> [<i>bld yqhD_{Ec}</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ3	pJLG2	This work
H16Δ4-p2	H16Δ <i>phaC1</i> Δ <i>iclAB</i> Δ <i>sucCD</i> , (<i>P</i> [<i>bld yqhD_{Ec}</i>] <i>Km^r</i>)	<i>C. necator</i> H16 Δ4	pJLG2	This work
H16Δ1::54	H16Δ <i>phaC1</i> :: <i>P</i> [<i>bld yqhD_{Ec}</i> <i>phaAB</i>]	-	-	This work
H16Δ1::54-p14	H16Δ <i>phaC1</i> :: <i>P</i> [<i>bld yqhD_{Ec}</i> <i>phaAB</i>], (<i>P</i> [<i>bld</i>] <i>Km^r</i>)	H16Δ1::54	pJLG14	This work
H16Δ1::54-p2	H16Δ <i>phaC1</i> :: <i>P</i> [<i>bld yqhD_{Ec}</i> <i>phaAB</i>], (<i>P</i> [<i>bld yqhD_{Ec}</i>] <i>Km^r</i>)	H16Δ1::54	pJLG2	This work
H16Δ1::54/Δ3::5 8	H16Δ <i>phaC1</i> :: <i>P</i> [<i>bld yqhD_{Ec}</i> <i>phaAB</i>], Δ <i>sucCD</i> :: <i>P</i> ₈ [<i>bld</i>]	-	-	This work
H16Δ1::56	H16Δ <i>phaC1</i> :: <i>P</i> [<i>bld yqhD_{Ec}</i> <i>dra PDC phaAB</i>]	-	-	This work
H16Δ1::56-p14	H16Δ <i>phaC1</i> :: <i>P</i> [<i>bld yqhD_{Ec}</i> <i>dra PDC phaAB</i>] (<i>P</i> [<i>bld</i>] <i>Km^r</i>)	H16Δ1::56	pJLG14	This work
H16Δ1::56-p45	H16Δ <i>phaC1</i> :: <i>P</i> [<i>bld yqhD_{Ec}</i> <i>dra PDC phaAB</i>] (<i>P</i> [<i>bld</i> <i>dra</i>] <i>Km^r</i>)	H16Δ1::56	pJLG45	This work
H16Δ1::56/Δ3::5 8	H16Δ <i>phaC1</i> :: <i>P</i> [<i>bld yqhD_{Ec}</i> <i>dra PDC phaAB</i>], Δ <i>sucCD</i> :: <i>P</i> ₈ [<i>bld</i>]	-	-	This work
H16Δ1::56/Δ3::6 0	H16Δ <i>phaC1</i> :: <i>P</i> [<i>bld yqhD_{Ec}</i> <i>dra PDC phaAB</i>], Δ <i>sucCD</i> :: <i>P</i> ₈ [<i>bld dra</i>]	-	-	This work

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1 **Table 2.** Concentration of (*R*)-1,3-BDO and yields of (*R*)-1,3-BDO and 4H2B obtained using
 2 two-stage batch fermentation by *E. coli* MG-p11 and MG-p38 or *C. necator* H16ΔC-p2 and
 3 H16ΔC-p20 strains. Values represent the average and standard deviation of three biological
 4 replicates.

Strain	(<i>R</i>)-1,3-BDO (mM)	$Y_{1,3BDO}$ (Cmol Cmol⁻¹)	Y_{4H2B} (Cmol Cmol⁻¹)
<i>E. coli</i> MG-p11	1.994 ± 0.504	0.035 ± 0.010	N.D.
<i>E. coli</i> MG-p38	8.903 ± 0.239	0.087 ± 0.019	N.D.
<i>C. necator</i> H16ΔC-p2	14.805 ± 0.454	0.140 ± 0.002	0.030 ± 0.001
<i>C. necator</i> H16ΔC-p20	5.311 ± 0.289	0.048 ± 0.003	0.024 ± 0.001

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6

1 **Table 3.** (*R*)-1,3-BDO and by-product yields in engineered *C. necator* strains. Cells were
 2 grown in 10 mL of 2 nitrogen-limiting minimal media supplemented with 2 % sodium
 3 gluconate and 0.1 % arabinose for 72 hours.

Strain	$Y_{1,3BDO}$ (Cmol Cmol ⁻¹) 1)	Y_{4H2B} (Cmol Cmol ⁻¹) 1)	$Y_{Acetate}$ (Cmol Cmol ⁻¹) 1)	$Y_{Ethanol}$ (Cmol Cmol ⁻¹) 1)	$Y_{Pyruvate}$ (Cmol Cmol ⁻¹) 1)
H16Δ1::54	0.008 ± 0.000	N.D.	0.022 ± 0.000	0.008 ± 0.000	0.345 ± 0.005
H16Δ1::54 /Δ3::58	0.010 ± 0.001	N.D.	0.014 ± 0.002	0.013 ± 0.004	0.289 ± 0.023
H16Δ1::56	0.012 ± 0.001	N.D.	0.182 ± 0.010	0.108 ± 0.012	N.D.
H16Δ1::56 /Δ3::58	0.017 ± 0.002	0.001 ± 0.000	0.136 ± 0.012	0.104 ± 0.003	0.016 ± 0.008
H16Δ1::56 /Δ3::60	0.021 ± 0.001	0.001 ± 0.001	0.154 ± 0.012	0.110 ± 0.014	0.010 ± 0.005

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5

1 **Figure legends**

2 **Figure 1.** Alternative biosynthetic pathways for (R)-1,3-BDO production in *C. necator* H16.

3 Required precursors 3HBCoA (A) and pyruvate (B) are highlighted with dashed line.

4 **Figure 2.** Comparison of (R)-1,3-BDO yields in *C. necator* expressing alternative genes of

5 (R)-3HBCoA-dependent pathway. (A) The endogenous β -ketothiolase (PhaA) and NADP-

6 dependent acetoacetyl-CoA reductase (PhaB) provides (R)-3HBCoA, a precursor metabolite,

7 which is converted by AdhE2 or a combination of Bld and YqhD into (R)-1,3-BDO. The

8 *phaC1* encoding a poly(3-hydroxyalkanoate) polymerase (PhaC) for PHB synthesis is

9 chromosomally knocked-out to re-direct metabolic flux towards (R)-1,3-BDO. (B) Carbon

10 yield of (R)-1,3-BDO (bars) and dry cell weight (circles) in *C. necator* strains H16 Δ C-p2 (i),

11 H16 Δ C-p15 (ii) and H16 Δ C-p26 (iii) 0, 24, 48, 72 and 96 h after the induction of

12 heterologous gene expression with 0.01 % (w/v) L-arabinose. Yields calculated from time-

13 point 0 (C) Carbon yield of (R)-1,3-BDO within specific 24-hour time periods. Yields

14 calculated from the previous time-point. Cells were grown in 2 % (w/v) sodium gluconate

15 NLMM using 250 mL baffled shake flasks. Results represent the average of three biological

16 replicates and error bars show standard deviation.

17 **Figure 3.** Biosynthesis of 4H2B in *C. necator* expressing heterologous *bld* and *yqhD* genes.

18 (A) Schematic of the 4H2B biosynthetic pathway. Acetoacetyl-CoA is converted to 3-

19 oxobutanal by Bld exhibiting promiscuous acylating dehydrogenase properties. Then, 3-

20 oxobutanal is subsequently reduced to 4H2B by YqhD. (B). 1,3-BDO carbon yield (bars) and

21 4H2B carbon yield (striped bars) in batch fermentation cultures of H16 Δ CB-p14 (i),

22 H16 Δ CB-p2 (ii) , H16 Δ CB-p44 (iii) and H16 Δ C-p2 (iv). Plus or minus sign indicates the

23 presence or absence of a gene. Results represent the average of three biological replicates and

24 error bars show standard deviation.

1 **Figure 4.** Improvement of (*R*)-1,3-BDO production by overexpression of *phaAB*. Batch
2 fermentation profile data for strains H16ΔC-p15 (i); H16ΔC-p35 (ii); H16ΔCAB-p15 (iii) and
3 H16ΔCAB-p35 (iv) are presented as following: (A) (*R*)-1,3-BDO yield (bars), (B) biomass
4 DCW (circles) sodium gluconate concentration (triangles) and pyruvate yield (upside down
5 triangles). Cells were grown in NLMM supplemented with 2 % (w/v) sodium gluconate. The
6 gene expression was induced by addition of 0.01 % (w/v) arabinose. Results represent the
7 average of three biological replicates and error bars show standard deviation.

8 **Figure 5.** Improvement of (*R*)-1,3-BDO yields by *sucCD* deletion. Yields of (*R*)-1,3-BDO
9 (bars) and pyruvate (upside down triangles), and DCW obtained using strains H16ΔC-p2 (i),
10 H16Δ2-p2 (ii), H16Δ3-p2 (iii), H16Δ4-p2 (iv) are shown. Cells were grown in NLMM
11 supplemented with 2 % (w/v) sodium gluconate. The biosynthetic pathway gene expression
12 was induced by addition of 0.01 % (w/v) arabinose. Results represent the average of at least
13 two biological replicates and error bars show standard deviation.

14 **Figure 6.** Evaluation of pyruvate-dependent biosynthetic pathway in *C. necator*
15 H16ΔC_p306. (A) Schematic of pyruvate-dependent biosynthetic pathway consisting of
16 pyruvate decarboxylase PDC, deoxyribose-5-phosphate aldolase Dra and aldehyde reductase
17 YqhD. The bacteria DCW (circles), sodium gluconate concentration (triangles) and (*R*)-1,3-
18 BDO yield Cmol Cmol⁻¹ of sodium gluconate (squares) are presented in (B). (C) The yield
19 (Cmol Cmol⁻¹ of sodium gluconate) of major by-products excreted by the engineered
20 *C. necator* H16 are highlighted as following: pyruvate (upside down triangles), acetate
21 (crosses) and ethanol (diamonds). Strain H16ΔC-p306 was cultivated in NLMM
22 supplemented with 2 % (w/v) sodium gluconate and biosynthetic pathway gene expression
23 was induced by addition of 0.01 % (w/v) arabinose. Results represent the average of three
24 biological replicates and error bars show standard deviation.

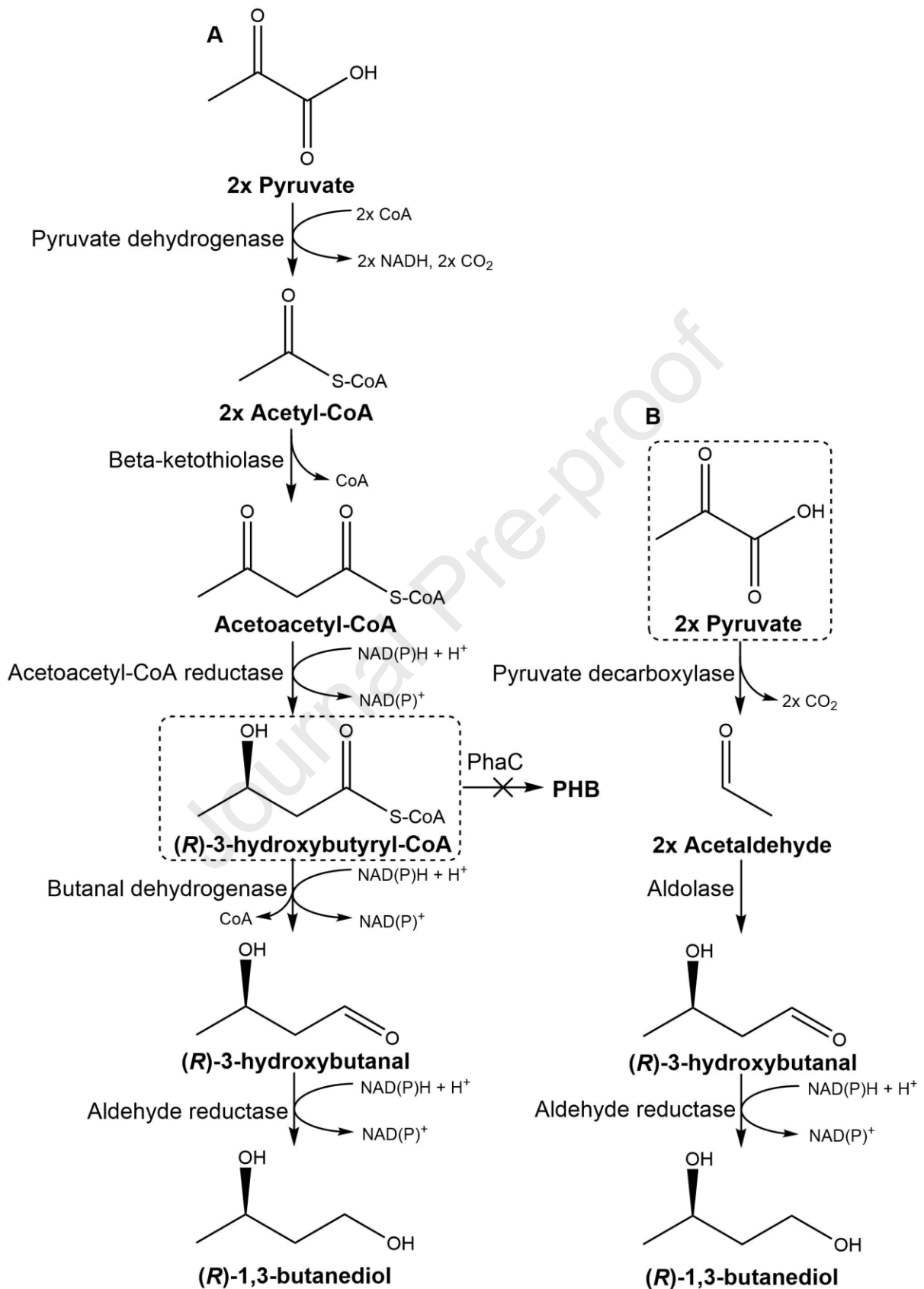
1 **Figure 7.** Improvement of (*R*)-1,3-BDO yield by combining 3-HBCoA-dependent and
2 pyruvate-dependent pathways. (A) Schematic of cumulative biosynthetic pathway indicating
3 routes of (*R*)-1,3-BDO and by-product formation. Batch fermentation product yields (Cmol
4 Cmol⁻¹ of sodium gluconate) for strains H16ΔC-p2 (i) and H16ΔC-p304 (ii) are presented as
5 following: (*R*)-1,3-BDO (solid bars) (B); and 4H2B (squares), pyruvate (upside down
6 triangles), acetate (crosses) and ethanol (diamonds) (C). Engineered strains were cultivated in
7 NLMM supplemented with 2 % (w/v) sodium gluconate and biosynthetic pathway gene
8 expression was induced by addition of 0.01 % (w/v) arabinose. Results represent the average
9 of three biological replicates and error bars show standard deviation.

10 **Figure 8.** Autotrophic fed-batch fermentation of CO₂ for (*R*)-1,3-BDO production using
11 DASGIP parallel bioreactor system. Data for strains H16Δ1::54 (i), H16Δ1::54/Δ3::58 (ii),
12 H16Δ1::56 (iii) and H16Δ1::56/Δ3::60 (iv) represented as following: production rate of (*R*-
13 1,3-BDO (solid bars) and CUR (triangles) (A); (*R*)-1,3-BDO titer (solid bars) (B); 4H2B
14 yield (squares) and DCW (circles) (C); and acetate (squares), ethanol (diamonds), and
15 pyruvate (upside down triangles) yields (D). Due to the continuous supply of carbon source,
16 metabolite Cmol Cmol⁻¹ yields were calculated by dividing metabolite production within a 12
17 hour time period by average carbon uptake rate (CUR mmol h⁻¹) for the identical 12-hour
18 time period. Results represent the average of three technical replicates (sampling) that were
19 taken from single reactor for each strain.

20 **Figure 9.** Autotrophic fed-batch fermentation of CO₂ for (*R*)-1,3-BDO production using
21 strain H16Δ1::56-p14. Data were obtained from single reactor and represented as following:
22 production rate of (*R*)-1,3-BDO (solid bars) and CUR (triangles) (A); (*R*)-1,3-BDO titer
23 (solid bars) (B); and 4H2B titer (squares) and DCW (circles) (C). Pyruvate, acetate and
24 ethanol were not detected by HPLC analysis. Sampling was performed and Cmol Cmol⁻¹
25 yields were calculated as described for Figure 8.

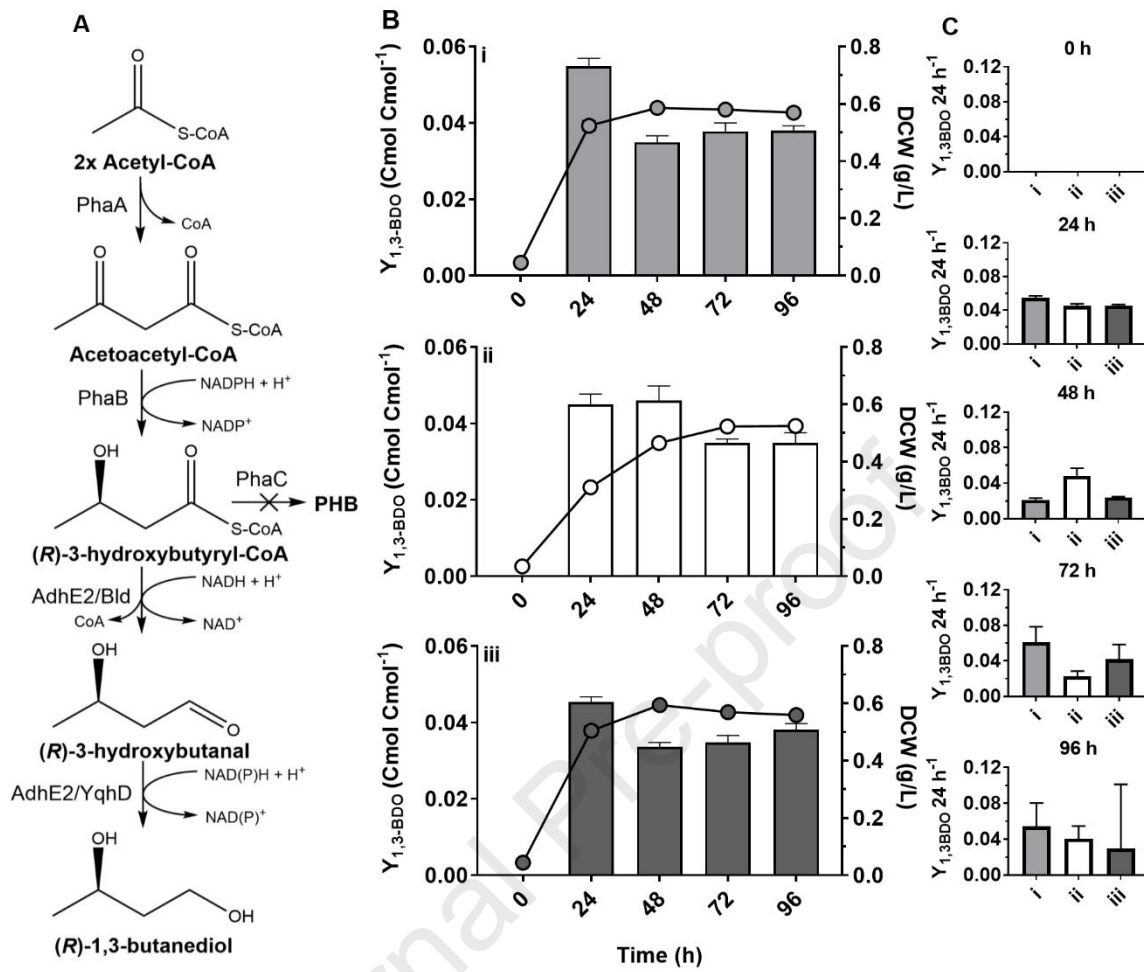
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1 **Figures**

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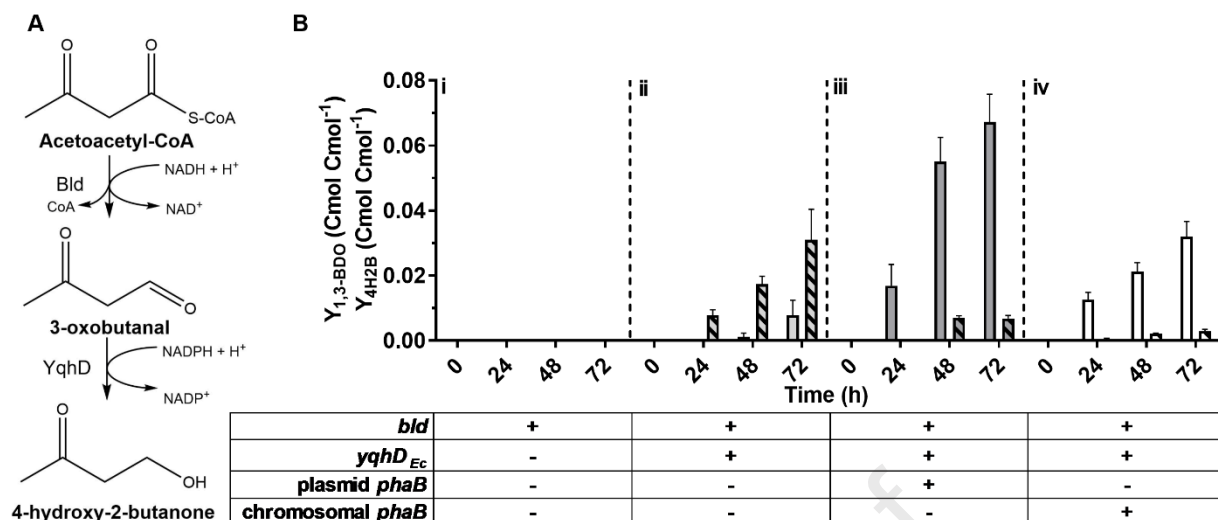
3 **Figure 1**



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2 **Figure 2**

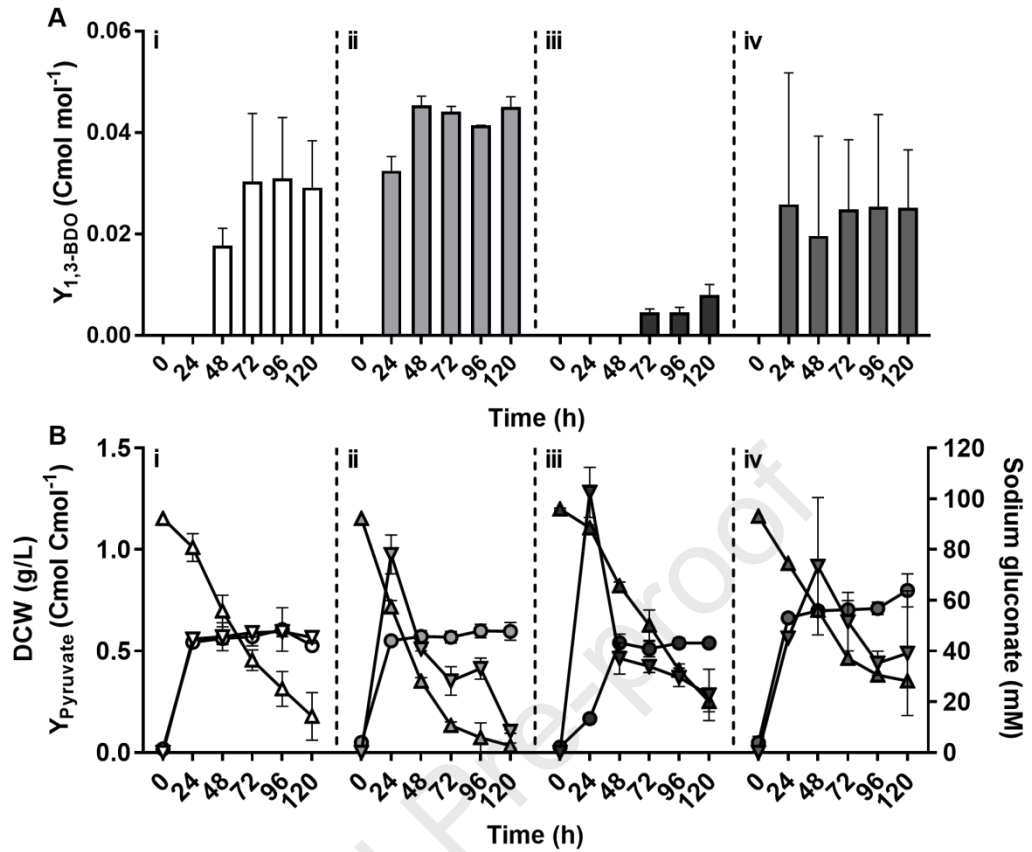
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2 **Figure 3**

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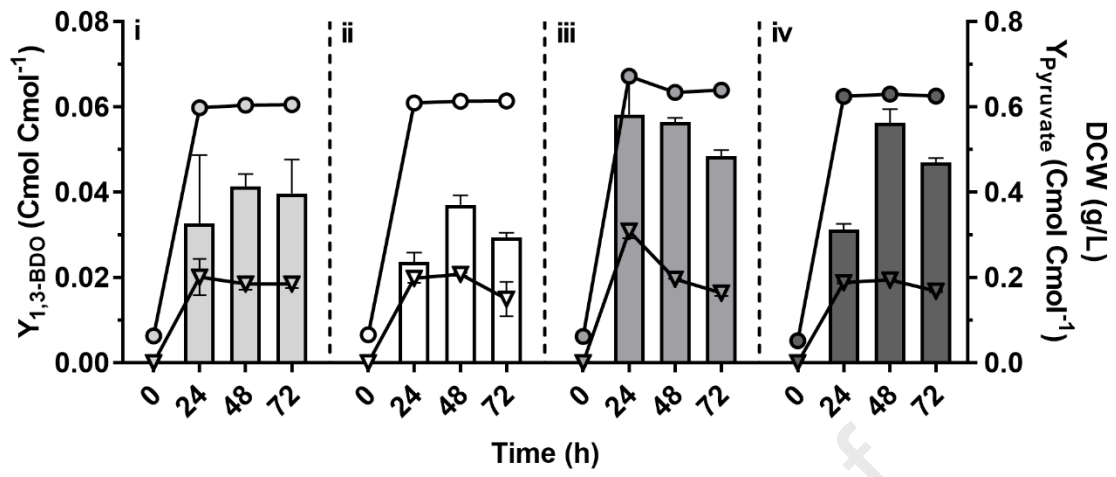


plasmid <i>phaAB</i>	-	+	-	+
chromosomal <i>phaAB</i>	+	+	-	-

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2 **Figure 4**

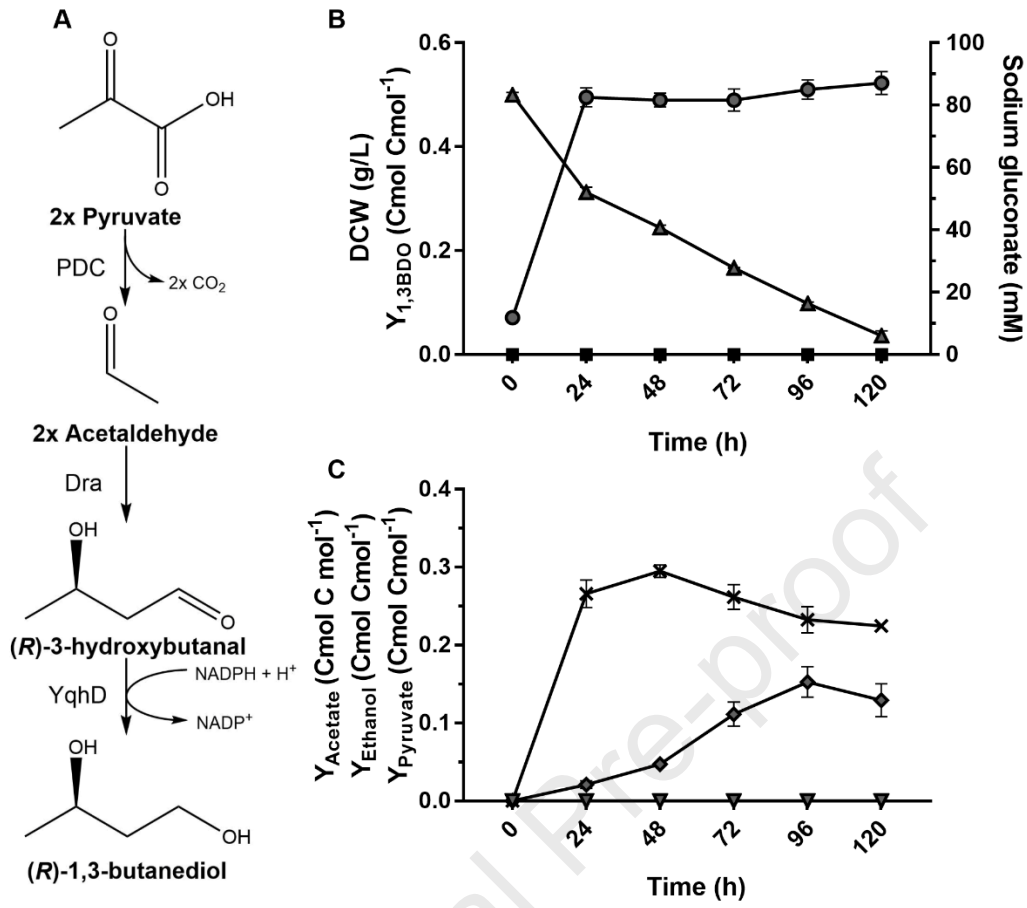
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2 **Figure 5**

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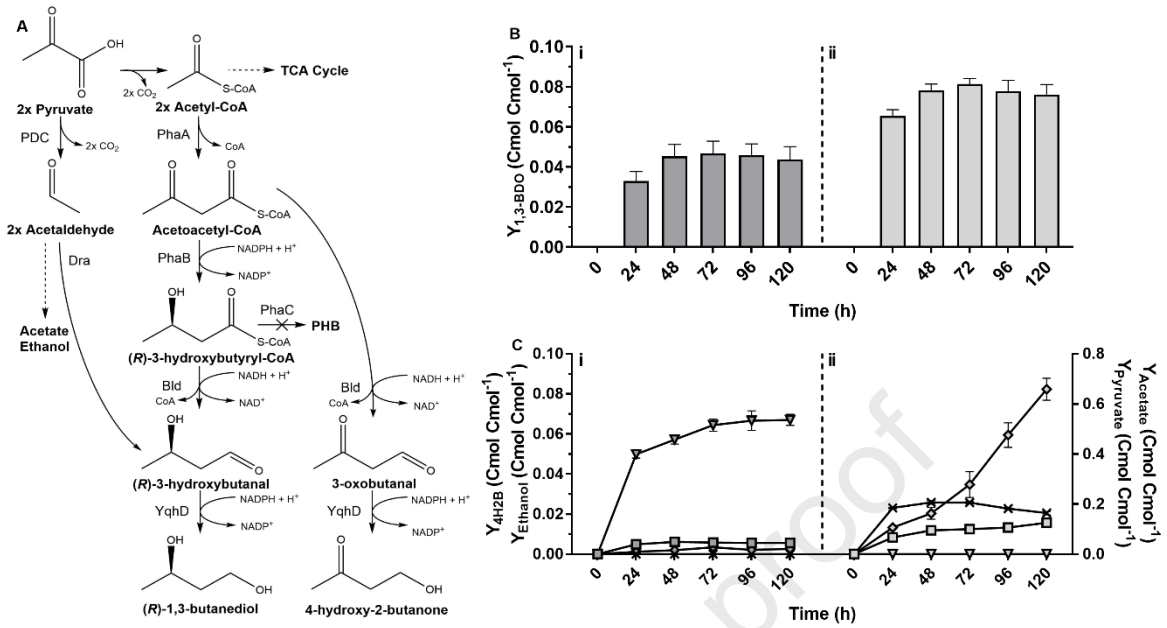


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2 **Figure 6**

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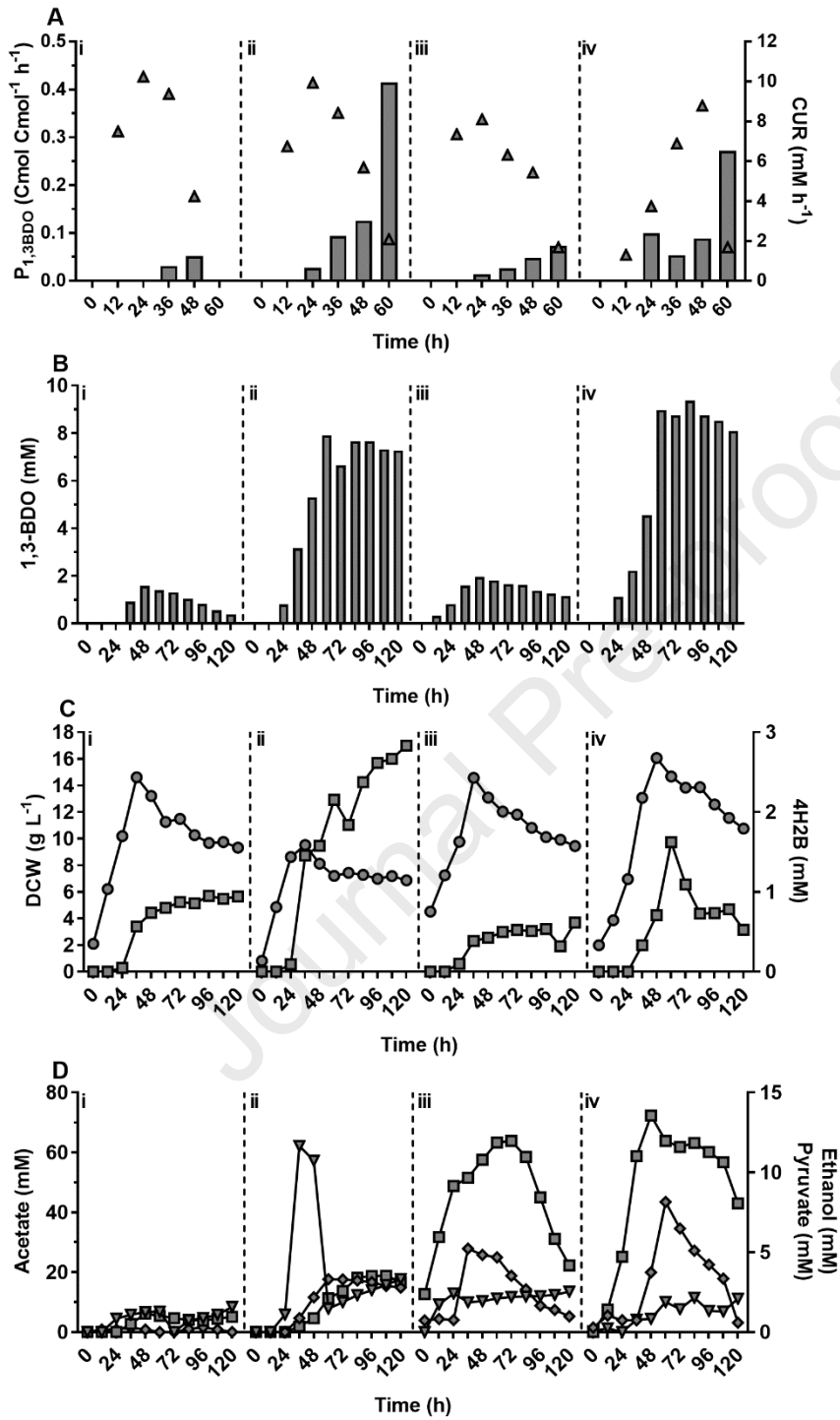


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3 **Figure 7**

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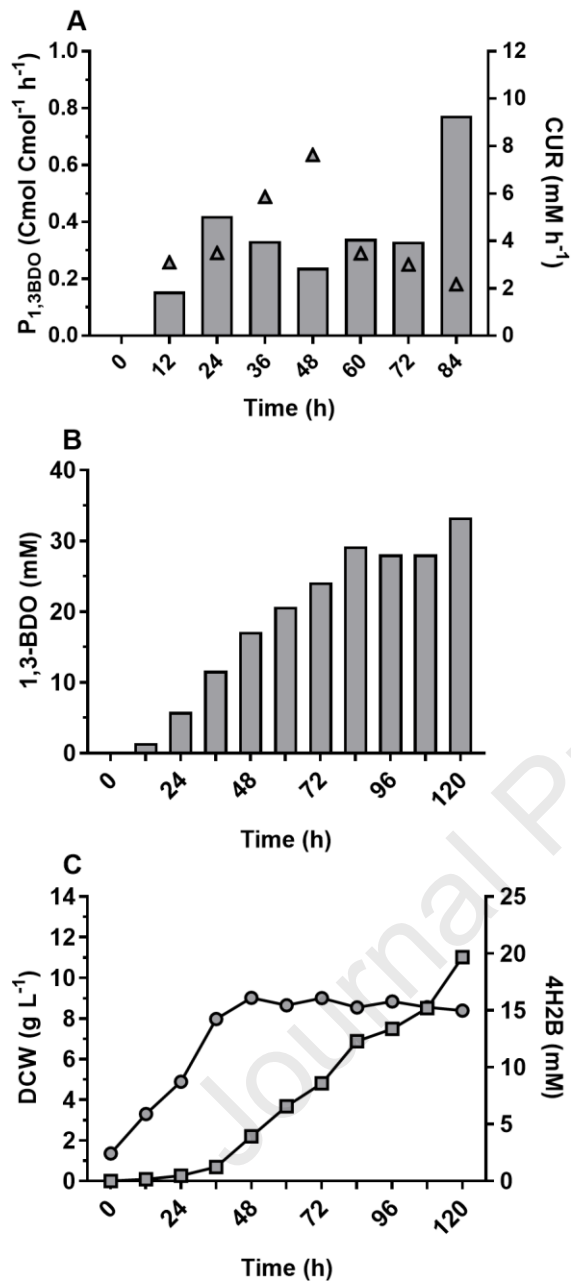
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3 **Figure 8**

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2 **Figure 9**

3

Engineering *Cupriavidus necator* H16 for the autotrophic production of (*R*)-1,3-butanediol

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Highlights

1. Engineering of chemolithoautotroph *C. necator* H16 for (*R*)-1,3-butanediol production.
2. Implementation of (*R*)-3-hydroxybutyraldehyde-CoA- and pyruvate-dependent pathways for (*R*)-1,3-butanediol biosynthesis.
3. Redirecting carbon flux for (*R*)-1,3-butanediol biosynthesis.
4. Achieved 2.97 g/L of (*R*)-1,3-butanediol with production rate of nearly 0.4 Cmol/(Cmol h) autotrophically.
5. First report of (*R*)-1,3-butanediol production from CO₂.