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### Breast cancer risk genes: association analysis in more than 113,000 women

### Citation for published version:

**Citation for published version:** Dorling, L, Carvalho, S, Allen, J, González-Neira , A, Luccarini, C, Wahlström, C, A. Pooley, K, T. Parsons, M, Fortuno, C, Wang, Q, K. Bolla, M, Dennis, J, Keeman, R, Alonso, MR, Álvarez, N, Herraez, B, Fernandez, V, Núñez-Torres , R, Osario, A, Valchich, J, Li, M, Törngren, T, A. Harrington, P, Baynes, C, M. Conroy, D, Decker, B, Fachal, L, Mavaddat, N, Ahearn, T, Aittomäki, K, N. Antonenkova, N, Arnold, N, Arveux, P, G.E.M Ausems, M, Auvinen, P, Becher, H, W. Beckmann, M, Behrens, S, Bermisheva, M, Biakowska , K, Blomqvist, C, V. Bogdanova, N, Bogdanova-Markov , N, E. Bojesen , S, Bonanni, B, Børresen-Dale , A-L, Brauch, H, Bremer, M, Briceno, I, Brüning, T, Burwinkel, B & Cameron, DA 2021, 'Breast cancer risk genes: association analysis in more than 113,000 women', *New England Journal of Medicine* Medicine.

Link: Link to publication record in Edinburgh Research Explorer

**Document Version:** Publisher's PDF, also known as Version of record

Published In: New England Journal of Medicine

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DOI: 10.1056/NEJMoa1913948 Copyright © 2021 Massachusetts Medical Society

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#### ORIGINAL ARTICLE

### Breast Cancer Risk Genes — Association Analysis in More than 113,000 Women

Breast Cancer Association Consortium\*

#### ABSTRACT

#### BACKGROUND

Genetic testing for breast cancer susceptibility is widely used, but for many genes, evidence of an association with breast cancer is weak, underlying risk estimates are imprecise, and reliable subtype-specific risk estimates are lacking.

#### METHODS

We used a panel of 34 putative susceptibility genes to perform sequencing on samples from 60,466 women with breast cancer and 53,461 controls. In separate analyses for protein-truncating variants and rare missense variants in these genes, we estimated odds ratios for breast cancer overall and tumor subtypes. We evaluated missense-variant associations according to domain and classification of pathogenicity.

#### RESULTS

Protein-truncating variants in 5 genes (ATM, BRCA1, BRCA2, CHEK2, and PALB2) were associated with a risk of breast cancer overall with a P value of less than 0.0001. Protein-truncating variants in 4 other genes (BARD1, RAD51C, RAD51D, and TP53) were associated with a risk of breast cancer overall with a P value of less than 0.05 and a Bayesian false-discovery probability of less than 0.05. For proteintruncating variants in 19 of the remaining 25 genes, the upper limit of the 95% confidence interval of the odds ratio for breast cancer overall was less than 2.0. For protein-truncating variants in ATM and CHEK2, odds ratios were higher for estrogen receptor (ER)-positive disease than for ER-negative disease; for proteintruncating variants in BARD1, BRCA1, BRCA2, PALB2, RAD51C, and RAD51D, odds ratios were higher for ER-negative disease than for ER-positive disease. Rare missense variants (in aggregate) in ATM, CHEK2, and TP53 were associated with a risk of breast cancer overall with a P value of less than 0.001. For BRCA1, BRCA2, and TP53, missense variants (in aggregate) that would be classified as pathogenic according to standard criteria were associated with a risk of breast cancer overall, with the risk being similar to that of protein-truncating variants.

#### CONCLUSIONS

The results of this study define the genes that are most clinically useful for inclusion on panels for the prediction of breast cancer risk, as well as provide estimates of the risks associated with protein-truncating variants, to guide genetic counseling. (Funded by European Union Horizon 2020 programs and others.)

The authors' full names, academic degrees, and affiliations are listed in the Appendix. Address reprint requests to Dr. Easton at the University of Cambridge, Strangeways Research Laboratory, Worts Causeway, Cambridge CB1 8RN, United Kingdom, or at dfe20@medschl.cam.ac.uk.

\*A complete list of collaborators and investigators is provided in the Supplementary Appendix, available at NEJM.org.

Dr. Dorling, Dr. Carvalho, and Mr. Allen and Drs. Teo, Devilee, and Easton contributed equally to this article.

This article was published on January 20, 2021, at NEJM.org.

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ENETIC TESTING FOR CANCER SUSCEPtibility is an established part of medical practice. Until recently, testing was performed mainly in patients with a strong family history of cancer and involved a limited number of genes known to be associated with a high risk of cancer or with specific cancer syndromes. With the advent of affordable sequencing, testing with larger panels of genes has become possible. However, for many genes on such panels, evidence of an association with cancer is often weak and accurate estimates of the cancer risks associated with variants are often not available.<sup>1</sup>

To better define the set of genes associated with breast cancer risk, we designed a panel consisting of 34 known or suspected breast cancer susceptibility genes, including genes provided on commercial panels. Using this panel, we sequenced germline DNA from more than 60,000 women with breast cancer and more than 53,000 controls participating in studies of the Breast Cancer Association Consortium (BCAC).<sup>2</sup> We used these data to estimate the risks of breast cancer overall and tumor subtypes associated with germline protein-truncating variants and rare missense variants in these genes.

#### METHODS

#### STUDIES

We included samples from women with breast cancer and unaffected controls participating in 44 BCAC studies (Tables S1 and S2 in the Supplementary Appendix, available with the full text of this article at NEJM.org). All the studies were approved by the relevant ethics review boards and used appropriate consent procedures. Overall, 30 studies did not select patients or controls on the basis of family history (population-based studies); the remaining 14 studies oversampled patients with a family history of breast cancer (familybased studies). After all quality-control steps were taken, 53,461 controls and 60,466 women with an invasive tumor (54,624 [90.3%]), in situ tumor (4187 [6.9%]), or tumor of unknown invasiveness (1655 [2.7%]) were included in the analyses. Of these, 48,826 patients and 50,703 controls were from population-based studies.

#### SEQUENCE ANALYSIS

We analyzed a panel of 34 known or suspected breast cancer susceptibility genes (Tables S3 and

S4), including genes provided on commercial panels. Details regarding library preparation, sequencing, variant calling, quality-control procedures, and variant classification are provided in the Supplementary Methods section in the Supplementary Appendix.

#### STATISTICAL ANALYSIS

The primary analyses were burden analyses in which odds ratios and 95% confidence intervals for breast cancer associated with the presence of any variant in a given category were estimated by means of logistic regression. The two main categories were protein-truncating variants and rare missense variants (i.e., variants with a population frequency of <0.001). Missense-variant associations were evaluated according to domain and classification of pathogenicity. Analyses were also conducted according to tumor subtype, age, and ancestry (European vs. Asian).

We conducted separate analyses for the population-based studies and the family-based studies. The inclusion of studies that oversampled patients with a family history of breast cancer improves the power to detect association<sup>3</sup> but leads to biased risk estimates. Therefore, we report risk estimates, 95% confidence intervals, and P values from the population-based studies but also report the results of association tests from all studies when appropriate. For protein-truncating variants in each gene, evidence of an association is also expressed in terms of Bayesian false-discovery probabilities, reflecting both evidence from the data in this study and evidence from previous research.<sup>4</sup> Absolute risks were calculated by combining age-specific estimated odds ratios with population incidences in the United Kingdom in 2016 as a baseline.<sup>5,6</sup> Details regarding the statistical analysis are provided in Supplementary Methods.

#### RESULTS

#### **PROTEIN-TRUNCATING VARIANTS** Risk of Breast Cancer Overall

Protein-truncating variants in 5 genes (*ATM*, *BRCA1*, *BRCA2*, *CHEK2*, and *PALB2*) were associated with a significant risk of breast cancer overall (P<0.0001) (Table 1 and Figs. 1 and 2). For these genes, odds ratios ranged from 2.10 to 10.57. In *CHEK2*, the c.1100delC variant accounted for approximately 80% of the protein-truncating vari-

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Gene(48,826)Ross of Carriers of ParametersNo. of Carriers of ParametersWomen with Breast CanceNo. of Carriers of ParametersABRAXAS117AKT13AKT13ATM294BABAM27BARD162BRCA1515BRCA2754BRCA2754BRCA111CHEK2704Chervariants156FANCC71FANCA302FANCA302GEN131MEN12MLH15MRE1148MSH213MSH639	ated with	Protein-Truncating Vari	ants in 34 Ge	enes in Population-Base	ed Studies and	All Studies.*
Numerating barWomen with Breast CancelNoABRAXAS117AKT13ATM294BABAM27BARD162BRCA1515BRCA2754BRIP186CDH111CHEK2704Cher variants548Cher variants156FANCC71FANCM302GEN131MEN12GEN131MEN123MSH639MSH639MSH639PMS240PMS240FANSC31FANSC3MSH531MSH639MATH31FANSC3MARD131ASH639MATH31FANSC3MASH639MASH639MASH639MASH634	Population-Based Studies (48,826 patients and 50,703 controls)†				Prior Probability∷	BFDP
Breast CancerControlABRAXAS117AKT13ATM294BABAM27BARD162BRCA1515BRCA2754BRIP186CDH111CHEK2704Chervariants156FANCC71FANCC71FANCC71FANCM302GEN131MEN12MEN113MSH639MSH639MSH639MSH631PALB2274PMS240PMS240FAN50120RAD51C54REQL103	No. of Carriers of Protein- Truncating Variants		P Value	P Value		
AKT13ATM294BABAM27BARD162BRCA1515BRCA2754BRIP186CDH111CHEK2704c.1100delC variant548Other variants156EPCAM14FANCC71GEN131MEN12GEN131MEN12MKH15MRE1148MSH639MUTYH232NBN90NF131PALB2274PIK3CA3PMS240PTEN14RAD50120RAD51C54RECQL103	ontrols					
ATM294BABAM27BARD162BRCA1515BRCA2754BRIP186CDH111CHEK2704c.1100delC variant548Other variants156EPCAM14FANCC71FANCM302GEN131MEN12MLH15MSH639MUTYH232NBN90NF131PALB2274PIK3CA3PMS240PTEN14RAD51C54RAD51D51RECQL103	19	0.98 (0.50-1.94)	0.96	0.93	0.1	0.98
BABAM2   7     BARD1   62     BRCA1   515     BRCA2   754     BRIP1   86     CDH1   11     CHEK2   704     c.1100delC variant   548     Other variants   156     EPCAM   14     FANCC   71     FANCM   302     GEN1   31     MEN1   2     MKH1   5     MKH2   13     MSH6   39     MUTYH   232     NBN   90     NF1   31     PALB2   274     PIK3CA   3     PMS2   40     PTEN   14     RAD51C   54     RAD51D   51     RECQL   103	6	0.47 (0.12-1.93)	0.29	0.14	0.1	0.94
BARD1     62       BRCA1     515       BRCA2     754       BRIP1     86       CDH1     11       CHEK2     704       c.1100delC variant     548       Other variants     156       EPCAM     14       FANCC     71       FANCC     71       FANCM     302       GEN1     31       MEN1     2       MLH1     5       MSH6     39       MUTYH     232       NBN     90       NF1     31       PALB2     274       PIK3CA     3       PMS2     40       PTEN     14       RAD50     120       RAD51C     54       RAD51D     51       RECQL     103	150	2.10 (1.71–2.57)	9.2×10 <sup>-13</sup>	5.5×10 <sup>-20</sup>	0.8	1.3×10 <sup>-18</sup>
BRCA1   515     BRCA2   754     BRIP1   86     CDH1   11     CHEK2   704     c.1100delC variant   548     Other variants   156     EPCAM   14     FANCC   71     FANCM   302     GEN1   31     MEN1   2     MKE11   48     MSH6   39     MUTYH   232     NBN   90     NF1   31     PALB2   274     PIK3CA   3     PMS2   40     PTEN   14     RAD51C   54     RAD51D   51     RECQL   103	9	0.62 (0.23-1.71)	0.36	0.34	0.1	0.95
BRCA2   754     BRIP1   86     CDH1   11     CHEK2   704     c.1100delC variant   548     Other variants   156     EPCAM   14     FANCC   71     FANCM   302     GEN1   31     MEN1   2     MLH1   5     MSH6   39     MUTYH   232     NBN   90     NF1   31     PALB2   274     PIK3CA   3     PMS2   40     PTEN   14     RAD51C   54     RAD51D   51     RECQL   103	32	2.09 (1.35–3.23)	0.00098	0.00011	0.2	0.0076
BRIP1   86     CDH1   11     CHEK2   704     c.1100delC variant   548     Other variants   156     EPCAM   14     FANCC   71     FANCM   302     GEN1   31     MEN1   2     MKH1   5     MRE11   48     MSH6   39     MUTYH   232     NBN   90     NF1   31     PALB2   274     PIK3CA   3     PMS2   40     PTEN   14     RAD50   120     RAD51C   54     RAD51D   51     RECQL   103	58	10.57 (8.02–13.93)	1.1×10 <sup>-62</sup>	3.7×10 <sup>-65</sup>	0.99	1.5×10 <sup>-64</sup>
CDH1   11     CHEK2   704     c.1100delC variant   548     Other variants   156     EPCAM   14     FANCC   71     FANCM   302     GEN1   31     MEN1   2     MKH1   5     MKH2   13     MSH6   39     MUTYH   232     NBN   90     NF1   31     PALB2   274     PIK3CA   3     PMS2   40     PTEN   14     RAD50   120     RAD51C   54     RAD51D   51     RECQL   103	135	5.85 (4.85-7.06)	2.2×10 <sup>-75</sup>	8.4×10 <sup>-77</sup>	0.99	3.1×10 <sup>-76</sup>
CDH1   11     CHEK2   704     c.1100delC variant   548     Other variants   156     EPCAM   14     FANCC   71     FANCM   302     GEN1   31     MEN1   2     MKH1   5     MKH2   13     MSH6   39     MUTYH   232     NBN   90     NF1   31     PALB2   274     PIK3CA   3     PMS2   40     PTEN   14     RAD50   120     RAD51C   54     RAD51D   51     RECQL   103	75	1.11 (0.80–1.53)	0.54	0.54	0.2	0.85
CHEK2   704     c.1100delC variants   548     Other variants   156     EPCAM   14     FANCC   71     FANCM   302     GEN1   31     MEN1   2     MLH1   5     MSH6   39     MUTYH   232     NBN   90     NF1   31     PALB2   274     PIK3CA   3     PMS2   40     PTEN   14     RAD50   120     RAD51C   54     RECQL   103	12	0.86 (0.37–1.98)	0.72	0.58	0.2	0.94
c.1100delC variants     548       Other variants     156       EPCAM     14       FANCC     71       FANCM     302       GEN1     31       MEN1     2       MLH1     5       MRE11     48       MSH2     13       MSH6     39       MUTYH     232       NBN     90       NF1     31       PALB2     274       PIK3CA     3       PMS2     40       PTEN     14       RAD50     120       RAD51C     54       RAD51D     51       RECQL     103	315	2.54 (2.21–2.91)	3.1×10 <sup>-39</sup>	3.2×10 <sup>-61</sup>	0.99	1.3×10 <sup>-60</sup>
Other variants     1156       EPCAM     14       FANCC     71       FANCM     302       GEN1     31       MEN1     2       MLH1     5       MRE11     48       MSH6     39       MUTYH     232       NBN     90       NF1     31       PALB2     274       PIK3CA     3       PMS2     40       PTEN     14       RAD50     120       RAD51C     54       RECQL     103	245	2.66 (2.27–3.11)	1.1×10 <sup>-33</sup>	5.3×10 <sup>-53</sup>		
EPCAM   14     FANCC   71     FANCM   302     GEN1   31     MEN1   2     MLH1   5     MRE11   48     MSH2   13     MSH6   39     MUTYH   232     NBN   90     NF1   31     PALB2   274     PIK3CA   3     PMS2   40     PTEN   14     RAD50   120     RAD51D   51     RECQL   103	70	2.13 (1.60–2.84)	3.0×10 <sup>-7</sup>	7.4×10 <sup>-10</sup>		
FANCC   71     FANCM   302     GEN1   31     MEN1   2     MLH1   5     MRE11   48     MSH2   13     MSH6   39     MUTYH   232     NBN   90     NF1   31     PALB2   274     PIK3CA   3     PMS2   40     PTEN   14     RAD50   120     RAD51C   54     RAD51D   51     RECQL   103	19	0.73 (0.36–1.49)	0.39	0.13	0.1	0.95
FANCM 302   GEN1 31   MEN1 2   MLH1 5   MRE11 48   MSH2 13   MSH6 39   MUTYH 232   NBN 90   NF1 31   PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51C 54   RAD51D 51   RECQL 103	65	1.26 (0.89–1.79)	0.20	0.20	0.1	0.87
GEN1 31   MEN1 2   MLH1 5   MRE11 48   MSH2 13   MSH6 39   MUTYH 232   NBN 90   NF1 31   PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD51C 54   RAD51D 51   RECQL 103	300	1.06 (0.90–1.26)	0.48	0.28	0.1	0.96
MEN1 2   MLH1 5   MRE11 48   MSH2 13   MSH6 39   MUTYH 232   NBN 90   NF1 31   PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51C 54   RECQL 103	43	0.66 (0.41–1.06)	0.088	0.18	0.1	0.95
MLH1 5   MRE11 48   MSH2 13   MSH6 39   MUTYH 232   NBN 90   NF1 31   PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51D 51   RECQL 103	5	0.37 (0.07–1.97)	0.24	0.64	0.1	0.95
MRE11 48   MSH2 13   MSH6 39   MUTYH 232   NBN 90   NF1 31   PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51D 51   RECQL 103	9	0.58 (0.19–1.77)	0.34	0.55	0.1	0.95
MSH2 13   MSH6 39   MUTYH 232   NBN 90   NF1 31   PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51D 51   RECQL 103	55	0.88 (0.59–1.32)	0.54	0.34	0.1	0.98
MSH6 39   MUTYH 232   NBN 90   NF1 31   PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51D 51   RECQL 103	13	1.06 (0.47–2.36)	0.89	0.80	0.1	0.92
MUTYH 232   NBN 90   NF1 31   PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51D 51   RECQL 103	23	1.96 (1.15–3.33)	0.013	0.021	0.1	0.55
NBN     90       NF1     31       PALB2     274       PIK3CA     3       PMS2     40       PTEN     14       RAD50     120       RAD51C     54       RECQL     103	231	1.00 (0.83–1.21)	0.99	0.88	0.1	1.00
NF1 31   PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51C 54   RECQL 103	103	0.90 (0.67–1.20)	0.48	0.65	0.2	0.95
PALB2 274   PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51C 54   RAD51D 51   RECQL 103	105	1.76 (0.96–3.21)	0.068	0.011	0.2	0.25
PIK3CA 3   PMS2 40   PTEN 14   RAD50 120   RAD51C 54   RAD51D 51   RECQL 103	55	5.02 (3.73–6.76)	1.6×10 <sup>-26</sup>	1.1×10 <sup>-32</sup>	0.99	2.9×10 <sup>-32</sup>
PMS2     40       PTEN     14       RAD50     120       RAD51C     54       RAD51D     51       RECQL     103	12	0.21 (0.06–0.75)	0.016	0.19	0.1	0.94
PTEN 14   RAD50 120   RAD51C 54   RAD51D 51   RECQL 103	36	1.16 (0.73–1.85)	0.53	0.37	0.1	0.92
RAD50     120       RAD51C     54       RAD51D     51       RECQL     103	6	2.25 (0.85–6.00)	0.10	0.0040	0.2	0.14
RAD51C     54       RAD51D     51       RECQL     103	121	1.08 (0.83–0.00)	0.57	0.45	0.1	0.95
RAD51D     51       RECQL     103	26	1.93 (1.20–3.11)	0.0070	0.00026	0.1	0.0090
RECQL 103	25	1.80 (1.11–2.93)	0.018	0.0018	0.3	0.044
-	120	0.84 (0.64–1.10)	0.21	0.89	0.1	0.95
NINT1 32	49	0.72 (0.46–1.14)	0.17	0.31	0.1	0.95
STK11 6	49 5	1.60 (0.48–5.28)	0.17	0.50	0.1	0.98
TP53 7	2	3.06 (0.63–14.91)	0.17	0.015	0.2	0.033
XRCC2 15	18	0.96 (0.47–1.93)	0.17	0.015	0.8	0.033

\* BFDP denotes Bayesian false-discovery probability, and CI confidence interval.

<sup>+</sup> The sample sizes reflect totals after quality control. Analyses for genes other than *BRCA1* and *BRCA2* excluded carriers of protein-truncating variants in *BRCA1* and *BRCA2*; therefore, sample sizes were slightly lower in those analyses (47,522 patients and 50,475 controls in population-based studies, and 58,728 patients and 52,976 controls in all studies).

‡ Details regarding the prior probabilities are provided in the Supplementary Methods section in the Supplementary Appendix.

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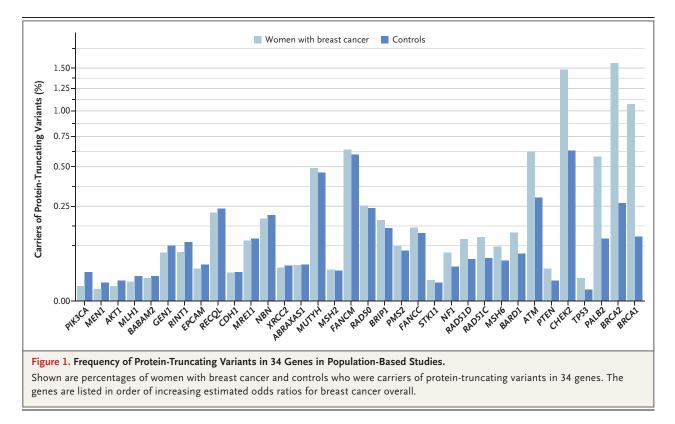
ants. The effect size for this variant (odds ratio, 2.66; 95% confidence interval [CI], 2.27 to 3.11;  $P=1.1\times10^{-33}$  [P=5.3×10<sup>-53</sup> in all studies]) did not differ significantly from the effect size for all other protein-truncating variants in *CHEK2* (odds ratio, 2.13; 95% CI, 1.60 to 2.84; P=3.0×10<sup>-7</sup> [P=7.4×10<sup>-10</sup> in all studies]), with a P value of 0.19 for the difference in the odds ratios.

There was more modest evidence of an association with breast cancer overall for proteintruncating variants in 7 other genes: BARD1 (odds ratio, 2.09; 95% CI, 1.35 to 3.23; P=0.00098 [P=0.00011 in all studies]), RAD51C (odds ratio, 1.93; 95% CI, 1.20 to 3.11; P=0.0070 [P=0.00026 in all studies]), RAD51D (odds ratio, 1.80; 95% CI, 1.11 to 2.93; P=0.018 [P=0.0018 in all studies]), PTEN (odds ratio, 2.25; 95% CI, 0.85 to 6.00; P=0.10 [P=0.0040 in all studies]), NF1 (odds ratio, 1.76; 95% CI, 0.96 to 3.21; P=0.068 [P=0.011 in all studies]), TP53 (odds ratio, 3.06; 95% CI, 0.63 to 14.91; P=0.17 [P=0.015 in all studies]), and MSH6 (odds ratio, 1.96; 95% CI, 1.15 to 3.33; P=0.013 [P=0.021 in all studies]) (Table 1). For 4 of these genes (BARD1, RAD51C, RAD51D, and TP53), the Bayesian false-discovery probability was less than 0.05 (Table 1).

For the 12 genes that had evidence of an association with breast cancer overall, the effect size for protein-truncating variants did not differ significantly between European women and Asian women (Table S7). Of the remaining 22 genes, all but 3 (*AKT1*, *MSH2*, and *STK11*) had an upper limit of the 95% confidence interval of the odds ratio of less than 2.0 in the population-based studies.

#### **Risk of Tumor Subtypes**

Of the 12 genes that had evidence of an association with breast cancer overall, 2 had a stronger association with estrogen receptor (ER)-positive breast cancer than with ER-negative breast cancer: ATM (odds ratio, 2.33 [95% CI, 1.87 to 2.91] for ER-positive disease vs. 1.01 [95% CI, 0.64 to 1.59] for ER-negative disease; P=0.00055 for the difference) and CHEK2 (odds ratio, 2.67 [95% CI, 2.30 to 3.11] for ER-positive disease vs. 1.64 [95% CI, 1.25 to 2.16] for ER-negative disease;  $P=3.6\times10^{-5}$  for the difference) (Fig. 2 and Table S8). CHEK2 also had evidence of an association with ER-negative, non-triple-negative breast cancer (odds ratio, 2.53; 95% CI, 1.75 to 3.67) but not with triple-negative breast cancer (odds ratio, 1.06; 95% CI, 0.63 to 1.76) (Table S9).



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In contrast, for BARD1, BRCA1, BRCA2, PALB2, RAD51C, and RAD51D, odds ratios were higher for ER-negative breast cancer than for ER-positive breast cancer (P<0.05 for all genes). Of these genes, 3 had a stronger association with triplenegative breast cancer than with ER-negative, nontriple-negative breast cancer: BARD1 (P=0.044), BRCA1 (P= $9.6 \times 10^{-17}$ ), and BRCA2 (P= $7.8 \times 10^{-5}$ ) (Table S8).

Among the genes that had no evidence of an association with breast cancer overall, FANCM had some evidence of an association with ER-nega-

FANCC had evidence of an association with triplenegative breast cancer (P=0.0021 in all studies) (Table S9).

For BRCA1, BRCA2, and PALB2, odds ratios were higher for invasive tumors than for in situ tumors. In contrast, for ATM and CHEK2, odds ratios for invasive tumors and in situ tumors were similar (Table S10).

#### Age Effects and Absolute Risk

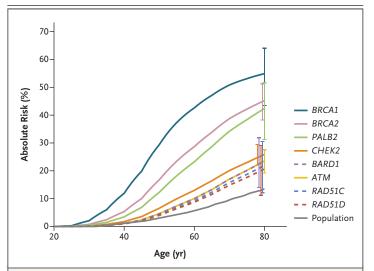
In the population-based studies, odds ratios decreased significantly with increasing age for tive breast cancer (P=0.0050 in all studies) and 6 genes: BRCA1, BRCA2, CHEK2, PALB2, PTEN, and

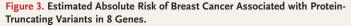
A Breast Cancer O	verall	B ER-Positive Br	east Cancer	C ER-Negative Bre	east Cancer
BRCA1	+	BRCA1	+	BRCA1	+
BRCA2	•	BRCA2	•	BRCA2	•
PALB2	+	PALB2	-	PALB2	
TP53		TP53		TP53	· · · · · · · · · · · · · · · · · · ·
CHEK2	•	CHEK2	•	CHEK2	•
PTEN	÷	PTEN		PTEN	
ATM	•	ATM	+	ATM	+
BARD1		BARD1		BARD1	
MSH6		MSH6		MSH6	
RAD51C		RAD51C		RAD51C	
RAD51D		RAD51D		RAD51D	
NF1		NF1		NF1	
STK11		STK11		STK11	
FANCC		FANCC	<b></b>	FANCC	
PMS2	- <b>-</b>	PMS2		PMS2	
BRIP1	÷-	BRIP1	<b>_</b>	BRIP1	
RAD50	+	RAD50	+	RAD50	<b>—</b>
FANCM	i.	FANCM	÷	FANCM	<b>⊷</b>
MSH2	<b>—</b>	MSH2		MSH2	
митүн	÷	митүн	+	MUTYH	+
ABRAXAS1	<b></b>	ABRAXAS1		ABRAXAS1	
XRCC2	<b></b>	XRCC2	_ <b>_</b>	XRCC2	
NBN	+	NBN	+	NBN	
MRE11	÷.	MRE11		MRE11	<b></b>
CDH1		CDH1	<b>_</b>	CDH1	
RECQL	-	RECQL	-	RECQL	+
EPCAM		EPCAM		EPCAM	
RINT1		RINT1		RINT1	
GEN1		GEN1		GEN1	
BABAM2		BABAM2		BABAM2	
MLH1		MLH1		MLH1	
AKT1		AKT1		AKT1	
MEN1		MEN1 —		MEN1	
PIK3CA -		PIK3CA -		PIK3CA	
	1 2 5 10		1 2 5 10		1 2 5 10 60
	Odds Ratio (95% CI)		Odds Ratio (95% CI)		Odds Ratio (95% CI)

Figure 2. Risk of Breast Cancer Overall and Tumor Subtypes Associated with Protein-Truncating Variants in 34 Genes in Population-**Based Studies.** 

Shown are odds ratios and 95% confidence intervals (CIs) for breast cancer overall (Panel A), estrogen receptor (ER)-positive breast cancer (Panel B), and ER-negative breast cancer (Panel C) associated with protein-truncating variants in 34 genes. The genes are listed in order of decreasing estimated odds ratios for breast cancer overall.

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Shown are absolute risks of breast cancer through 80 years of age associated with protein-truncating variants in 8 genes that had significant evidence of an association with breast cancer overall, on the basis of estimated odds ratios from population-based studies. The absolute risk was not calculated for *TP53* because of the wide 95% confidence interval for the odds ratio and the known association with a substantial risk of childhood cancer. Baseline absolute risks were derived from population incidences in the United Kingdom in 2016.<sup>6</sup> The I bars indicate 95% confidence intervals.

TP53 (P<0.01 for all genes) (Tables S11 and S12). Estimated absolute risks of breast cancer were derived by combining age-specific estimated odds ratios with population incidences in the United Kingdom (Fig. 3). For carriers of protein-truncating variants in *BRCA1*, *BRCA2*, and *PALB2*, the estimated absolute risks by 80 years of age exceeded the 30% threshold for high risk, as defined by National Institute for Health and Care Excellence guidelines.<sup>7</sup> For carriers of protein-truncating variants in *ATM*, *BARD1*, *CHEK2*, *RAD51C*, and *RAD51D*, the estimated absolute risks by 80 years of age were within the 17 to 30% range for moderate risk.

#### RARE MISSENSE VARIANTS

There was evidence of an association with breast cancer overall for rare missense variants in 6 genes: *CHEK2* (odds ratio, 1.42; 95% CI, 1.28 to 1.58;  $P=2.5\times10^{-11}$  [ $P=2.9\times10^{-18}$  in all studies]), *ATM* (odds ratio, 1.06; 95% CI, 1.00 to 1.13; P=0.051 [P=0.0010 in all studies]), *TP53* (odds ratio, 1.10; 95% CI, 0.91 to 1.31; P=0.32 [P=0.00080 in all studies]), *BRCA1* (odds ratio, 1.11; 95% CI, 1.02 to 1.20; P=0.010 [P=0.027 in all studies]), *CDH1* (odds ratio, 1.10; 95% CI, 0.98 to 1.23; P=0.096

[P=0.042 in all studies]), and RECQL (odds ratio, 1.12; 95% CI, 1.00 to 1.26; P=0.047 [P=0.036 in all studies]) (Table 2). Of these 6 genes, 2 had a stronger association with ER-positive breast cancer than with ER-negative breast cancer (*CHEK2* [P=9.1×10<sup>-5</sup>] and *CDH1* [P=0.012]) and 1 had a stronger association with ER-negative breast cancer than with ER-positive breast cancer (*BRCA1* [P=0.01]). Odds ratios decreased with increasing age for *BRCA1* (P=0.0026), *CHEK2* (P=0.00022), and *TP53* (P=0.00023).

For missense variants (in aggregate) in BRCA1, the risk of breast cancer overall differed according to domain ( $P=3.0\times10^{-6}$ ); there was an increased risk associated with variants located in the regions encoding the RING domain ( $P=1.0\times10^{-6}$ ) and the BRCT1 domain (P=0.00020) (Tables S13 and S14 and Fig. S1A). For missense variants in CHEK2, the odds ratios did not vary according to location (P=0.52), such that the risk associated with variants within domains ( $P=4.2\times10^{-9}$ ) was similar to the risk associated with variants outside domains (P=0.001) (Tables S13 and S15 and Fig. S1B). For ATM, there was an increased risk associated with variants in the FRAP-ATM-TRRAP (FAT) domain (P=0.00019 in all studies) and the protein kinase domains (P=0.00092 in all studies) (Tables S13 and S16 and Fig. S1C). There was no evidence of a risk associated with missense variants in specific domains in BRCA2 (P=0.27) or PALB2 (P=0.48) (Tables S13, S17, and S18 and Figs. S1D and S1E).

We specifically examined rare missense variants in *BRCA1*, *BRCA2*, and *TP53* that would be classified as pathogenic according to clinical guidelines. There was clear evidence of an association with breast cancer overall for pathogenic variants (in aggregate) in each gene (odds ratio, 16.11 [95% CI, 5.83 to 44.50] in *BRCA1*, 5.68 [95% CI, 2.62 to 12.29] in *BRCA2*, and 2.91 [95% CI, 1.71 to 4.98] in *TP53*) but not for other missense variants (in aggregate) in each gene (odds ratio, 1.06 [95% CI, 0.98 to 1.15] in *BRCA1*, 0.97 [95% CI, 0.92 to 1.02] in *BRCA2*, and 0.94 [95% CI, 0.77 to 1.14] in *TP53*) (Table S19 and Figs. S2A, S2B, and S2C).

#### DISCUSSION

This large study, in which we evaluated coding variation in putative breast cancer susceptibility genes, involved more than 60,000 patients and

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Table 2. Risk of Breast Cancer Overall Associated with Rare Missense Variants in 34 Genes in Population-Based Studies and All Studies.							
Gene	(4	Population-Based Studies (48,826 patients and 50,703 controls)*					
	No. of Carrie Missense		Odds Ratio (95% CI)	P Value	P Value		
	Women with Breast Cancer	Controls					
ABRAXAS1	233	242	1.04 (0.86–1.25)	0.70	0.40		
AKT1	142	156	0.96 (0.76–1.21)	0.72	0.63		
ATM	2411	2471	1.06 (1.00-1.13)	0.051	0.0010		
BABAM2	167	170	1.01 (0.81–1.26)	0.91	0.63		
BARD1	591	616	1.00 (0.89–1.12)	0.94	0.41		
BRCA1	1393	1300	1.11 (1.02–1.20)	0.010	0.027		
BRCA2	2831	3038	0.98 (0.93-1.04)	0.50	0.58		
BRIP1	868	961	0.95 (0.86–1.04)	0.25	0.54		
CDH1	682	668	1.10 (0.98–1.23)	0.096	0.042		
CHEK2	895	697	1.42 (1.28–1.58)	2.5×10 <sup>-11</sup>	2.9×10 <sup>-18</sup>		
EPCAM	290	328	0.97 (0.82–1.14)	0.69	0.43		
FANCC	597	620	0.95 (0.85–1.07)	0.42	0.80		
FANCM	1434	1566	0.95 (0.88-1.02)	0.17	0.85		
GEN1	701	707	1.05 (0.94–1.17)	0.38	0.25		
MEN1	109	130	0.86 (0.66-1.12)	0.25	0.81		
MLH1	677	711	1.02 (0.91–1.13)	0.78	0.68		
MRE11	552	611	0.94 (0.84–1.06)	0.33	0.93		
MSH2	908	1024	0.92 (0.84–1.01)	0.093	0.12		
MSH6	1088	1155	1.00 (0.92–1.09)	0.98	0.74		
МИТҮН	659	702	1.00 (0.90–1.12)	1.00	0.58		
NBN	665	725	0.95 (0.85–1.06)	0.37	0.71		
NF1	816	899	0.94 (0.85–1.03)	0.19	0.53		
PALB2	805	892	0.96 (0.87–1.06)	0.39	1.00		
РІКЗСА	170	205	0.83 (0.67-1.02)	0.080	0.33		
PMS2	934	963	0.95 (0.87–1.05)	0.31	0.62		
PTEN	68	70	1.08 (0.76–1.53)	0.65	0.48		
RAD50	1046	1089	0.99 (0.91-1.08)	0.83	0.44		
RAD51C	196	206	0.93 (0.76–1.14)	0.49	0.60		
RAD51D	224	212	1.05 (0.86–1.27)	0.64	0.57		
RECQL	656	627	1.12 (1.00–1.26)	0.047	0.036		
RINT1	732	762	1.01 (0.91–1.12)	0.89	0.18		
STK11	114	139	0.83 (0.64–1.07)	0.15	0.16		
TP53	257	244	1.10 (0.91–1.31)	0.32	0.00080		
XRCC2	207	213	1.03 (0.84–1.25)	0.80	0.53		

\* The sample sizes reflect totals after quality control. The analysis for each gene excluded carriers of protein-truncating variants in that gene.

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53,000 controls, permitting the calculation of risk estimates that are more precise than those obtained previously. We found strong evidence of an association with breast cancer risk (Bayesian false-discovery probability, <0.05) for proteintruncating variants in 9 genes, with a P value of less than 0.0001 for 5 genes (ATM, BRCA1, BRCA2, CHEK2, and PALB2) and a P value of less than 0.05 for the other 4 genes (BARD1, RAD51C, RAD51D, and TP53). We found that, for protein-truncating variants in most of these genes, the odds ratio differed according to breast cancer subtype. Protein-truncating variants in ATM and CHEK2 were more strongly associated with ER-positive disease than with ER-negative disease, a finding consistent with earlier observations,<sup>5,8</sup> whereas proteintruncating variants in BARD1, BRCA1, BRCA2, PALB2, RAD51C, and RAD51D were more strongly associated with ER-negative disease than with ER-positive disease. None of the other 25 genes in the panel had a Bayesian false-discovery probability of less than 0.10. Of note, 19 genes had an upper limit of the 95% confidence interval of the odds ratio of less than 2.0, with 2.0 representing a proposed threshold for "pathogenic, moderate risk alleles"9; we therefore conclude that these genes are not informative for the prediction of breast cancer risk. We confirmed that missense variants in BRCA1, BRCA2, and TP53 that would be classified as pathogenic according to clinical guidelines are indeed associated with clinically significant risks. We also found that rare missense variants in CHEK2 overall, as well as variants in specific domains in ATM, are associated with moderate risk.

Our results are broadly consistent with the results of an analysis by Lee et al.,<sup>10</sup> in which the Clinical Genome Resource (ClinGen) clinical validity framework was used (Table S20). Of the 10 genes that were regarded as having definitive evidence of an association with breast cancer risk in that analysis,<sup>10</sup> 7 genes had variants associated with breast cancer risk in our analysis; deleterious variants in the remaining 3 genes (CDH1, PTEN, and STK11) are very rare and confer a predisposition to specific cancer syndromes. Of the 18 genes that were regarded as having moderate, limited, or disputed evidence of an association with breast cancer risk in that analysis, 2 genes (RAD51C and RAD51D) had protein-truncating variants associated with breast cancer risk in our analysis; 13 of the remaining genes had

an upper limit of the 95% confidence interval of the odds ratio of less than 2.0 (and thus were classified as not conferring moderate or high risk according to our analysis), and the other 3 genes (*MSH2*, *MSH6*, and *NF1*) could not be classified. An association between breast cancer risk and variants in *RAD51C* and *RAD51D* is highly plausible, given that the functions of these genes are related to one another, that both genes had a stronger association with ER-negative breast cancer than with ER-positive breast cancer, and that both genes have evidence of an association with ovarian cancer.<sup>11</sup>

Association analyses of rare variants are susceptible to bias. Inclusion of studies that oversampled patients with a family history of breast cancer improves the power to detect an association but leads to an upward bias in estimated odds ratios. The expected larger effect size in the familybased studies (as compared with the populationbased studies) was seen for protein-truncating variants in all the genes with evidence of an association with breast cancer, except BRCA1 and BRCA2, and was seen for missense variants in ATM, CHEK2, and TP53 (Tables S21 and S22). Protein-truncating variants in some of these genes are also associated with other types of cancer. Moreover, testing for cancer susceptibility genes in cancer genetics clinics can lead to a downward bias, because carriers identified through previous testing may be excluded. We observed a depletion of carriers of protein-truncating variants in BRCA1 and BRCA2 among women with breast cancer in the family-based studies. Therefore, we regard the estimates from the population-based studies to be the most reliable.

With regard to rare missense variants, the clearest evidence of an association with increased breast cancer risk was for CHEK2. For rare missense variants (in aggregate) in CHEK2, the odds ratio was approximately 1.4. The association was independent of location, which suggests that a large proportion of missense variants in CHEK2 confer risk (albeit below the threshold suggested for "pathogenic, moderate risk alleles"). We also found some evidence of an association with risk for rare missense variants (in aggregate) in ATM, BRCA1, CDH1, and TP53, with odds ratios of approximately 1.1 (P<0.05) for all genes. Furthermore, we found evidence of an association with risk for missense variants in BRCA1, BRCA2, and TP53 that would be classified as pathogenic ac-

cording to standard criteria but not for other missense variants in these genes; the odds ratios for the pathogenic variants (in aggregate) were similar to odds ratios for protein-truncating variants in these genes. For *ATM*, evidence of an association with risk appeared to be restricted to variants located in the regions encoding the phosphatidylinositol 3-kinase and 4-kinase and FAT domains, a finding consistent with previous observations.<sup>12</sup>

The absolute risk estimates for protein-truncating variants in previously established risk genes were broadly consistent with previous estimates, except estimates for BRCA2 and TP53, which were lower than risks reported in previous family-based studies.<sup>13-15</sup> However, the estimate for TP53 in this study was based on data from only seven carriers and had a wide confidence interval. The low estimate may reflect the significant early mortality among carriers of protein-truncating variants in TP53; alternatively, it is possible that the earlier family-based studies had overestimated the risk.<sup>16</sup> The analysis for TP53 is further complicated by the possibility of unrecognized somatic mutations in the blood due to age-related clonal hematopoiesis.<sup>17-20</sup> The fact that the estimate for BRCA2 was lower than the estimate for BRCA1 may reflect the older age distribution in this study, as compared with previous studies, and perhaps a disproportionately large effect of genetic modifiers on risk among BRCA2 carriers, as compared with BRCA1 carriers.

Among European women, approximately 6.8% of the patients and 2.0% of the controls had protein-truncating variants in any of the 9 genes associated with breast cancer risk; in addition, 2.2% of the patients and 1.4% of the controls had missense variants in *CHEK2*. The frequency of protein-truncating variants among Asian wom-

en (4.4% of the patients and 1.3% of the controls) was lower than the frequency among European women; this finding is attributable to the much lower frequency of the c.1100delC variant in *CHEK2* among Asian women.

The absolute risk estimates place proteintruncating variants in *BRCA1*, *BRCA2*, and *PALB2* in the high-risk category and place proteintruncating variants in *ATM*, *BARD1*, *CHEK2*, *RAD51C*, and *RAD51D* in the moderate-risk category. These results may guide screening, as well as prevention with risk-reducing surgery or medication, in accordance with national guidelines. However, because breast cancer risk is influenced by other genetic and lifestyle factors, in addition to family history, the incorporation of this information into risk models would be required to give appropriate estimates.<sup>21</sup>

Despite the size of this study, the evidence of an association with breast cancer risk for several of the genes we analyzed (e.g., *FANCM*, *MSH6*, and *NF1*) remains equivocal, and even for the genes that had a clear association with risk, the confidence intervals for the risk estimates are wide. Incorporation of pedigree data and combined analyses with other studies may improve the precision of these estimates. In the meantime, these results may help to guide the clinical reporting of results generated by multigene-panel testing and the counseling of women who are undergoing genetic testing for breast cancer susceptibility.

Supported by the European Union Horizon 2020 research and innovation programs BRIDGES (grant number, 634935) and B-CAST (633784), the Wellcome Trust (v203477/Z/16/Z), and Cancer Research UK (C1287/A16563). Details regarding funding of specific studies are provided in the Supplementary Appendix.

Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

Requests for individual-level data used in these analyses should be made through the BCAC Data Access Co-ordinating Committee (bcac@medschl.cam.ac.uk).

#### APPENDIX

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