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Characteristics and outcomes of over 300,000 COVID-19 individuals with history of cancer in the United States and Spain

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1 **Characteristics and outcomes of over 300,000 patients with**
2 **COVID-19 and history of cancer in the United States and Spain**

3

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10 **Running title:** Characteristics of 300,000 COVID-19 individuals with cancer

11 **Abbreviations:** ARDS: Acute Respiratory Distress Syndrome; CDM: Common Data Model;

12 CHARYBDIS: Characterizing Health Associated Risks, and Your Baseline Disease In

13 SARS-COV-2; CI: Confidence Interval; COPD: Chronic Obstructive Pulmonary Disease:

14 COVID-19: Coronavirus disease 2019; CU-AMC-DHC: Colorado University Anschutz

15 Medical Campus Health Data Compass; CUIMC: Columbia University Irving Medical

16 Center; EHR: Electronic Health Record; EHR: Electronic Health Record; IRB: Institutional

17 Review Board; OHDSI: Observational Health Data Sciences and Informatics; OMOP:

18 Observational Medical Outcomes Partnership; NHL: Non-Hodgkin's lymphoma; SARS-CoV-

19 2: Severe Acute respiratory Syndrome Coronavirus-2; SIDIAP: Information System for

20 Research in Primary Care; SMD: Standardized Mean Differences; SNOMED: Systematized

21 Nomenclature of Medicine; STARR-OMOP: Stanford Medicine Research Data Repository;

22 UK: United Kingdom; US: United States; VA-OMOP: United States Department of Veterans

23 Affairs.

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1 **Abstract**

2 **Background**

3 We described the demographics, cancer subtypes, comorbidities, and outcomes of patients
4 with a history of cancer and COVID-19. Secondly, we compared patients *hospitalized* with
5 COVID-19 to patients *diagnosed* with COVID-19 and patients *hospitalized* with influenza.

6 **Methods**

7 We conducted a cohort study using eight routinely-collected healthcare databases from Spain
8 and the US, standardized to the Observational Medical Outcome Partnership common data
9 model. Three cohorts of patients with a history of cancer were included: i) *diagnosed* with
10 COVID-19, ii) *hospitalized* with COVID-19, and iii) *hospitalized* with influenza in 2017-
11 2018. Patients were followed from index date to 30 days or death. We reported
12 demographics, cancer subtypes, comorbidities, and 30-day outcomes.

13 **Results**

14 We included 366,050 and 119,597 patients *diagnosed* and *hospitalized* with COVID-19,
15 respectively. Prostate and breast cancers were the most frequent cancers (range: 5-19% and 1-
16 14% in the *diagnosed* cohort, respectively). Hematological malignancies were also frequent,
17 with non-Hodgkin's lymphoma being among the 5 most common cancer subtypes in the
18 *diagnosed* cohort. Overall, patients were aged above 65 years and had multiple comorbidities.
19 Occurrence of death ranged from 2% to 14% and from 6% to 26% in the *diagnosed* and
20 *hospitalized* COVID-19 cohorts, respectively. Patients hospitalized with influenza (n=67,743)
21 had a similar distribution of cancer subtypes, sex, age and comorbidities but lower occurrence
22 of adverse events.

1 **Conclusions**

2 Patients with a history of cancer and COVID-19 had multiple comorbidities and a high
3 occurrence of COVID-19-related events. Hematological malignancies were frequent.

4 **Impact**

5 This study provides epidemiologic characteristics that can inform clinical care and etiological
6 studies.

1 **Introduction**

2 Shortly after the emergence of the coronavirus disease 2019 (COVID-19), patients with
3 cancer were reported to be a high-risk population for COVID-19.(1,2) These patients have an
4 increased susceptibility to infections as a result of their immunosuppressed state, caused by
5 the cancer itself, certain types of chemo- or immunotherapy, or surgery and a higher exposure
6 to healthcare-associated infections.(3) In addition, patients with cancer are often older and
7 have additional comorbidities, which might increase their risk of worse COVID-19
8 outcomes.(4)

9 Prior studies assessing COVID-19-related risks in the cancer population have demonstrated
10 conflicting results. Some studies found that patients with cancer have an increased risk of
11 COVID-19-related hospitalization, admission to intensive care units, and mortality compared
12 to patients without cancer,(1,2,4,5) whereas others did not.(6,7) These studies included a
13 limited number of patients with cancer (mostly hospitalized) and used different definitions for
14 cancer (e.g., active cancer, history of cancer), which limit their generalizability. Furthermore,
15 they presented results for models adjusted by (different) arbitrary covariates, without a
16 theoretical framework of confounding variables, which limits the interpretation for
17 descriptive and causal inference purposes.(8,9)

18 Given that COVID-19 is a novel disease, large descriptive studies are needed to inform
19 public health strategies and clinical care, as well as to provide the groundwork for etiological
20 studies. In addition, large studies with detailed information of medical conditions and health
21 outcomes, such as thromboembolic events, in patients with cancer and COVID-19 are lacking
22 to date. To fill that gap, we described the demographics, cancer subtypes, comorbidities and
23 outcomes of patients with a history of cancer and COVID-19. In addition, we compared
24 patients with a history of cancer hospitalized with COVID-19 to i) patients with a history of

1 cancer diagnosed with COVID-19; and ii) patients with a history of cancer hospitalized with
2 seasonal influenza (2017-2018) as a benchmark.

3

4 **Materials and methods**

5 **Study design and setting**

6 This multinational cohort study was part of the CHARYBDIS (Characterizing Health
7 Associated Risks, and Your Baseline Disease In SARS-COV-2) project, designed by the
8 Observational Health Data Sciences and Informatics (OHDSI) community. CHARYBDIS is
9 a large-scale study aiming to characterize individuals with COVID-19 using routinely-
10 collected healthcare data (protocol available at [https://www.ohdsi.org/wp-](https://www.ohdsi.org/wp-content/uploads/2020/07/Protocol_COVID-19-Charybdis-Characterisation_V5.docx)
11 [content/uploads/2020/07/Protocol_COVID-19-Charybdis-Characterisation_V5.docx](https://www.ohdsi.org/wp-content/uploads/2020/07/Protocol_COVID-19-Charybdis-Characterisation_V5.docx)).

12 Twenty-two databases standardized to the Observational Medical Outcomes Partnership
13 (OMOP) Common Data Model (CDM)(10) have contributed to CHARYBDIS to date. The
14 OHDSI network maintains the OMOP-CDM, and its members have developed a wide range
15 of tools to facilitate analyses of such mapped data.(11) Results for this sub-study were
16 extracted from the overarching result set on January 29th 2021.

17 We included those databases reporting on at least 140 subjects with a history of cancer
18 diagnosed and/or hospitalized with COVID-19. This cut-off was established to estimate the
19 prevalence of conditions affecting 10% of the study population with a confidence interval
20 (CI) width of +/- 5%. The selection process of databases is depicted in Supplementary Figure
21 S1. Eight databases from Spain and the United States (US) were included in this study.

22 Spanish data came from the SIDIAP database, a primary care database from Catalonia, a
23 northeastern region in Spain.(12) Data from the US included Electronic Health Records
24 (EHR) from the hospital setting: CU-AMC-HDC (Colorado), CUIMC (New York), Optum-

1 EHR (national),(13) STARR-OMOP (California), VA-OMOP (national, including mostly
2 veterans with 93% males); and claims data: HealthVerity and IQVIA-OpenClaims (both
3 national). A description of each database is provided in Supplementary Table S1. SIDIAP
4 and CUIMC included patients with COVID-19 identified from March to May 2020,
5 HealthVerity, and STARR-OMOP spanned to June 2020, CU-AMC-HDC to July 2020, VA-
6 OMOP to September 2020, and IQVIA-OpenClaims and Optum-EHR to October 2020.

7

8 **Study participants**

9 We included three non-mutually exclusive cohorts of patients with a history of cancer: i)
10 *diagnosed* with COVID-19, ii) *hospitalized* with COVID-19, and iii) *hospitalized* with
11 seasonal influenza in 2017-2018.

12 We included all patients (regardless of age) with at least one year of observation time
13 available prior to index date (i.e., date of start of the cohort). Patients with a history of cancer
14 were defined as those having a record of any malignant neoplasm excluding non-melanoma
15 skin cancer prior to index date. Patients *diagnosed* with COVID-19 were those having a
16 clinical diagnosis and/or a positive severe acute respiratory syndrome coronavirus 2 (SARS-
17 CoV-2) test documented in outpatient or inpatient records. Patients *hospitalized* with
18 COVID-19 were those who had a hospitalization episode and a COVID-19 clinical diagnosis
19 or positive SARS-CoV-2 test within a time window of 21 days prior to admission up to the
20 end of their hospitalization. We chose this time window to include patients with a diagnosis
21 prior to hospitalization and to allow for a record delay in diagnoses or test results. Similarly,
22 patients hospitalized with seasonal influenza were those who had a hospitalization episode
23 and a influenza clinical diagnosis or positive test result for influenza in 2017-2018.(14) The
24 criteria to define patients with cancer history and COVID-19 and influenza cases can be
25 found in Supplementary Table S2.

1 Index date for the *diagnosed* cohort was the date of clinical diagnosis or the earliest test day
2 registered within seven days of a first positive test, whichever occurred first. Index date for
3 both *hospitalized* cohorts (COVID-19 and influenza) was the day of hospitalization.

4 Therefore, although time windows are slightly different, both COVID-19 cohorts largely
5 overlap, as most individuals in the hospitalized cohort are also included in the diagnosed
6 cohort.

7 All patients were followed from the index date to the earliest of either death, end of the
8 observation period,(15) or 30 days.

9

10 **Patient characteristics and outcomes**

11 We identified over 15,000 baseline medical conditions based on the Systematized
12 Nomenclature of Medicine (SNOMED) hierarchy, with all descendant codes included.(15) In
13 addition, we created specific definitions for comorbidities and outcomes of particular interest
14 (available in Supplementary Table S2). To describe the frequency of cancer subtypes by
15 topographical location (henceforth, referred to as cancer types), we selected 26 cancer types
16 based on the most prevalent cancers in both countries(16) The codes used to identify each
17 cancer type are available in Supplementary Table S3. Of note, although we required all
18 subjects in our study to have at least one year of prior history available, all the conditions
19 recorded at any time prior to the index date (including the day prior) were reported.

20 We report here sex, age, race, antineoplastic and immunomodulating treatment received the
21 month and year prior to index date, and key comorbidities. The only information available for
22 race was the proportion of African American patients, which was reported in four databases
23 (CU-AMC-HDC, CUIMC, Optum-EHR and VA-OMOP).

1 The 30-day outcomes of interest in the *diagnosed* cohort were hospitalization and death (from
2 all causes). In the *hospitalized* cohorts (COVID-19 and influenza), the outcomes of interest
3 were acute respiratory distress syndrome (ARDS), acute kidney injury (AKI), cardiovascular
4 disease events, deep vein thrombosis, pulmonary embolism, sepsis, requirement of intensive
5 services (identified by a recorded mechanical ventilation and/or a tracheostomy and/or
6 extracorporeal membrane oxygenation procedure) and death (from all causes). SIDIAP only
7 reported death and hospitalization, whereas CU-AMC-HDC did not report any outcome.

8

9 **Analysis**

10 Analysis was performed through a federated analysis approach.⁽¹⁵⁾ Following a pre-specified
11 analysis plan, an analytical code for the whole CHARYBDIS study was developed and run
12 locally in each site (code available at zenodo.org).⁽¹⁷⁾ Individual-level data remained within
13 host institutions, only aggregate results were provided to the research team. All the results are
14 available for consultation on a regularly updated website as new databases and/or results are
15 added (<https://data.ohdsi.org/Covid19CharacterizationCharybdis/>).

16 We report results by cohort and database. Demographics, cancer types, comorbidities, and
17 outcomes are reported as proportions along with 95% Confidence Intervals (CI). To calculate
18 these proportions, a minimum count required of 5 individuals was established to minimize the
19 risk of reidentification of patients. We also report the ranking of the 10 most common cancer
20 types by frequency. In addition, we summarized the prevalence of all the baseline conditions
21 retrieved in a Manhattan-style plot (a type of scatter plot used to represent large numbers of
22 data points).

23 To compare characteristics between study cohorts, we calculated standardized mean
24 differences (SMD). SMD are independent of sample sizes and can be used to compare the
25 prevalence of dichotomous variables between two groups. An $|SMD| > 0.1$ indicates a

1 meaningful difference in the prevalence of a given condition.(18)(19) As this study was
2 designed as a detailed descriptive study, statistical modelling was out of scope in the
3 developed analytical packages. Therefore, differences across the groups compared should not
4 be interpreted as causal effects.

5 We used R version 3.6 for data visualization. All the data partners obtained Institutional
6 Review Board (IRB) approval or exemption to conduct this study.

7

8 **Results**

9 **Lifetime cancer prevalence**

10 Overall, we identified 3,067,116 patients *diagnosed* and 572,300 patients *hospitalized* with
11 COVID-19. The lifetime cancer prevalence range across databases was 4-25% in patients
12 *diagnosed*; and 11-40% in patients *hospitalized* (Supplementary Table S4). Additionally,
13 274,557 patients hospitalized with seasonal influenza in 2017-2018 were identified (lifetime
14 cancer prevalence range: 18-39%).

15 We included 366,050 patients *diagnosed* (Spain: 8,854; US: 357,196) and 119,597 patients
16 *hospitalized* (Spain: 2,610; US: 116,987) with COVID-19 and cancer history; and 67,743
17 patients hospitalized (all from the US) with seasonal influenza and cancer history.

18 **Demographics**

19 The distribution of demographics, comorbidities and outcomes of both COVID-19 cohorts
20 can be found in Table 1 (95% CI of each condition available in Supplementary Table S5). In
21 the *diagnosed* cohort, patients were more commonly female (range: 53-59%), aside from
22 STARR-OMOP (47%) and VA-OMOP (7%). In contrast, in the *hospitalized cohort*, male

1 slightly predominated in all databases (51-60%, VA-OMOP: 96%), aside from HealthVerity
2 and Optum-EHR (50% in both). Patients were mainly aged above 65 years in both COVID-
3 19 cohorts but patients *hospitalized* were consistently older than those *diagnosed*
4 (Supplementary Figure S2). In the few databases reporting race, the proportion of African
5 American patients was higher in the hospitalized cohort (9-35%) than in the diagnosed cohort
6 (6-29%).

7 **Cancer types**

8 For both COVID-19 cohorts, the frequency of each cancer type is reported in Supplementary
9 Table S6. The top ten cancer types by frequency are reported in Table 2. In the *diagnosed*
10 cohort, the most frequent cancers in four databases were breast (SIDIAP: 14.2%; CU-AMC-
11 HDC: 7.3%; Optum-EHR: 6.7%; and STARR-OMOP: 12.3%) and prostate cancer (CUIMC:
12 6.1%; HealthVerity: 12.2%; IQVIA-OpenClaims: 6.4%; VA-OMOP: 18.1%). In all
13 databases, non-Hodgkin's lymphoma (NHL) was among the five most common cancers.
14 Bladder, colorectal, leukemia, and lung cancer were among the ten most frequent in at least 7
15 databases.

16 In the *hospitalized* cohort, prostate cancer was the most frequent cancer in all databases
17 (equally with NHL in CU-AMC-HDC, 6.4%); aside from Optum-EHR (second most
18 frequent). NHL was among the three most frequent cancers in all databases aside from
19 SIDIAP and STARR-OMOP, where NHL was the fifth most common. Leukemia, liver and
20 lung cancer were also within the top ten in the majority of databases. We did not observe
21 meaningful differences (i.e. $|SMD|>0.1$) when comparing cancer types between the *diagnosed*
22 and the *hospitalized* cohorts (Supplementary Figure S3).

23 **Prior comorbidities**

1 In both COVID-19 cohorts, the most common comorbidities were cardiometabolic
2 conditions, which were more frequent in US databases (especially VA-OMOP) than in the
3 Spanish SIDIAP database. For example, in the US the range of hypertension was 52-85%
4 (Spain: 32%) among *diagnosed* and 58-93% (Spain: 33%) among *hospitalized* patients (Table
5 1). The prevalence of all the prior conditions summarized is shown in Figure 1. Several
6 comorbidities were more frequent among patients *hospitalized* compared to patients
7 *diagnosed* (SMD>0.1): heart disease and chronic kidney disease (all databases except
8 STARR-OMOP); hypertension and type 2 diabetes (all except SIDIAP and STARR-OMOP)
9 (Figure 2).

10 **Thirty-day outcomes**

11 In the COVID-19 *diagnosed* cohort, hospitalization in the US databases ranged from 14% to
12 32% (Spain: 25%) and occurrence of death from 2% to 10% (Spain: 14%). In the COVID-19
13 *hospitalized* cohort, outcomes were heterogeneous across databases. ARDS (range 8-41%)
14 was higher than 30% in 3 out of 6 databases (IQVIA-OpenClaims, Optum-EHR, VA-
15 OMOP). Sepsis (6-25%), cardiovascular disease events (7-21%) and AKI (10-16%) were also
16 common. Thromboembolic events were less frequent (deep vein thrombosis: 2-5%;
17 pulmonary embolism: 2-4%). Intensive services requirement ranged from 6% to 16%,
18 whereas occurrence of death ranged from 6% to 26% in the US (Spain: 21%).

19 **Comparison of patients hospitalized with COVID-19 to those with influenza**

20 The characteristics of patients hospitalized with seasonal influenza and the frequency of each
21 cancer type are reported in Supplementary Tables S7 and S8, respectively. Aside from VA-
22 OMOP (96% male), the proportion of males ranged from 45% to 53%, and the majority of
23 patients clustered around the ages of 60 to 85 years old (Supplementary Figure S4). The
24 proportion of African American patients was lower in the Influenza cohort than in the

1 hospitalized COVID-19 cohort (Optum-EHR: 10% vs 14%; VA-OMOP: 17% vs 35%).
2 When comparing the frequency of cancer types between COVID-19 and influenza patients,
3 we did not observe consistent differences across databases (Supplementary Figure S5). The
4 distribution of comorbidities was similar in both groups, with few exceptions (Figure 3A).
5 For example, COPD was more common among patients with influenza in CU-AMC-HDC,
6 Optum-EHR and VA-OMOP (Figure 4A). Aside from CUIMC, outcomes were slightly more
7 frequent in patients with COVID-19 in all databases. ARDS and death were meaningfully
8 more frequent in patients with COVID-19. ARDS ranged from 16% to 41% (COVID-19) vs
9 14-30% (influenza), with $SMD > 0.2$ in IQVIA-OpenClaims and Optum-EHR and $SMD > 0.1$
10 in VA-OMOP. Occurrence of death was higher among COVID-19 patients compared to
11 influenza patients in Optum-EHR and VA-OMOP: 6 vs 1% and 18% vs 6%, respectively
12 ($SMD > 0.2$) (Figures 3B and 4B).

13

14 **Discussion**

15 In this multinational cohort study, we described the characteristics of 366,050 patients with a
16 history of cancer and COVID-19, including outcomes rarely reported in this population (e.g.
17 deep vein thrombosis, pulmonary embolism, or acute kidney injury). In both COVID-19
18 cohorts, the most frequent cancer types were prostate cancer and breast cancer; hematological
19 malignancies were also frequent. The proportion of patients that had received anticancer
20 therapies the year or the month prior was similar in both cohorts. Comorbidities were
21 common in both cohorts but were higher among those *hospitalized*. Occurrence of death
22 ranged from 2% to 14% among those *diagnosed* and from 6% to 26% among those
23 *hospitalized*. When compared to patients with cancer history hospitalized with seasonal

1 influenza, patients hospitalized with COVID-19 had a similar distribution of age and
2 comorbidities but had more severe outcomes.

3 In the US, the lifetime cancer prevalence is 5%;(20) (data on the lifetime cancer prevalence in
4 Spain is unavailable to our knowledge),(16) which is lower than our findings in COVID-19
5 patients (range 4-25% in the *diagnosed* and 11-40% in the *hospitalized* cohort). Although
6 comparisons are limited due to different cancer definitions, these prevalences are also higher
7 than prior reports on COVID-19 patients at hospital settings, with cancer prevalences of 6-
8 11% in studies from Europe and the US.(21–24) A Danish study, however, found a lifetime
9 cancer prevalence among patients hospitalized with COVID-19 of 17%, in line with our
10 results.(6)

11 The most lifetime-prevalent cancer types in the US are prostate and breast cancer.(20) These
12 cancer types were also those more frequent in our COVID-19 cohorts. However,
13 hematological malignancies were more frequent than expected in all our cohorts. For
14 example, in the COVID-19 *hospitalized* cohort, NHL, leukemia and multiple myeloma were
15 among the third, fifth, and tenth most common cancers, respectively. However, in the US
16 cancer survivors' population, NHL is only the fifth/sixth most frequent (men and women,
17 respectively), whereas leukemia is the ninth in men. The overrepresentation of hematological
18 malignancies in both COVID-19 cohorts raises questions on whether patients with these
19 malignancies are more exposed or more vulnerable to SARS-CoV-2 infection, or both. Prior
20 studies have reported a higher incidence of COVID-19 infection and,(25,26) more
21 worryingly, an increased risk of COVID-19 complications in patients with hematological
22 malignancies compared to patients with other cancers.(5,25)

23 We also found that the proportions of patients that had received antineoplastic and
24 immunomodulating agents the year or the month prior to the index date were similar in both

1 the diagnosed and the hospitalized cohorts. Although this suggests that recent cancer
2 therapies might not be associated with increased COVID-19 severity, this finding must be
3 interpreted with caution due to the overlap between cohorts. However, two studies including
4 over 800 and 900 patients with cancer (from the UK Coronavirus Cancer Monitoring Project
5 (UKCCMP) and the COVID-19 and Cancer Consortium (CCC19), respectively) found no
6 association between cancer therapies and increased COVID-19-related mortality. (4) (27)

7 As expected, patients with cancer history were older and had more comorbidities than overall
8 COVID-19 cases. In a meta-analysis comprising 12,149 COVID-19 cases (mostly
9 hospitalized), hypertension (23%), heart failure (20%), and diabetes (12%) were the most
10 common comorbidities.(28) These numbers are substantially lower than our findings.

11 Compared to studies describing cancer patients, we also found higher prevalences of
12 comorbidities. For example, chronic kidney disease (range 20-44%), diabetes (24-59%) and
13 obesity (26-60%) were higher in our *hospitalized* cohort than in a study including COVID-19
14 inpatients with a history of solid cancer (16%, 22% and 10% had chronic kidney disease,
15 diabetes and obesity, respectively).(22) In addition, heart disease, chronic kidney disease and
16 type 2 diabetes were meaningfully higher among those *hospitalized* compared to those
17 *diagnosed*. These conditions have been previously reported as potential risk factors for
18 hospitalization, increased severity and mortality among COVID-19 cases.(29) Comorbidities
19 should be taken into consideration when designing future studies assessing the effect of
20 cancer on COVID-19-related health outcomes, as failing to adjust for some comorbidities or
21 adjusting for others (over-adjustment) could lead to confounding and/or selection bias.

22 In June 2020, the case-fatality ratio among confirmed COVID-19 cases was 11% in Spain
23 and 5% in the US,(30) which is lower than the all-cause mortality observed in both cohorts in
24 SIDIAP, CUIMC and VA-OMOP. Undoubtedly, increased age and underlying comorbidities

1 play a substantial role in COVID-19 related mortality among these patients. However,
2 mortality was remarkably lower in the database including cases as of October 2020, Optum-
3 EHR (2% in patients diagnosed, 6% in patients hospitalized). This suggests that studies from
4 the beginning of the pandemic, when testing was limited, might have overestimated mortality
5 rates in COVID-19 patients, including those with cancer. For instance, a meta-analysis
6 including studies prior to July 2020, with data over 18,000 cancer patients with COVID-19
7 (mostly inpatients), reported a pooled case mortality rate of 25.6% (95% CI: 22.0-29.5%),
8 (31) which is in line with our results in the *hospitalized* cohort in CUIMC (26%) and VA-
9 OMOP (18%) but higher than results in Optum-EHR.

10 Finally, we compared patients with cancer history *hospitalized* with COVID-19 to those with
11 seasonal influenza as a benchmark. We previously showed that COVID-19 patients are more
12 often male, younger and less likely to have respiratory and cardiovascular diseases than
13 influenza patients.(14) Interestingly, COVID-19 and influenza patients with a history of
14 cancer had a similar sex and age distribution and were of comparable health status. Despite
15 this similarity, patients with cancer history and COVID-19 had a higher occurrence of
16 adverse outcomes than those with influenza.

17 This study has several strengths, such as its large size. We have reported in a publicly
18 available website more than 10,000 characteristics from over 300,000 and 100,000 patients
19 diagnosed and hospitalized with history of cancer and COVID-19, respectively, using eight
20 different databases. The diverse healthcare settings and populations described, together with
21 our multinational approach, increase the generalizability of our findings. Further, we expect
22 that more databases from additional countries will provide sufficient data on the cancer
23 population as the pandemic evolves. By including only individuals with at least one year of
24 observation time available, we have comprehensively captured baseline comorbidities, which

1 could explain the higher prevalence of comorbidities in our cohorts. In addition, we ensured
2 confidentiality throughout the study using a federated analysis approach. Finally, for the
3 purposes of transparency and reproducibility, our methods, tools, and results are all publicly
4 available.

5 However, this study also has limitations. First, we were not able to provide detailed cancer
6 information, such as year of cancer diagnosis, nor identify patients with active cancer
7 treatment; although we had information on the use of antineoplastic agents during the year
8 and month prior to the index date. Second, by including patients with a clinical COVID-19
9 diagnosis we might have incurred some false positives. However, we used a broad COVID-
10 19 definition to reduce selection bias due to testing restrictions during the first months of the
11 pandemic, (32) as well as (hypothetical) differential patterns in testing between patients with
12 cancer vs patients without cancer. Additionally, we did not have information on
13 socioeconomic status, ethnicity, nor race in most databases. We also lacked information on
14 the cause of death and reported instead all-cause death. Third, the overlap between the
15 diagnosed and hospitalized COVID-19 cohorts might have masked some differences in the
16 prevalence of comorbidities between cohorts. Moreover, some patients might be included in
17 more than one database (e.g in a hospital-based and claims-based database from the US).
18 Unfortunately, we were unable to determine the degree of overlap across data sources
19 because patient-level data was not shared for confidentiality purposes. Fourth, the differences
20 found in the COVID-19/seasonal influenza comparison may have been influenced by
21 temporal changes in clinical practice standards and coding. Further, the influenza vaccine
22 likely contributed to the low frequency of adverse events among influenza patients. Fifth, the
23 use of routinely-collected data could have led to an underestimation of the lifetime cancer
24 prevalence, cancer types, comorbidities, and outcomes due to incomplete reporting. Finally,
25 our findings were heterogenous across data sources. Heterogeneity is a known phenomenon

1 when using real-world data that reflects the existence of different coding practices,
2 observation period, healthcare settings and populations. While the interpretation of
3 heterogeneous results is challenging, these also provide valuable insights into the
4 particularities of each setting. Yet, despite this heterogeneity, we found consistent patterns
5 when comparing characteristics across cohorts, which lends credence to our results.

6

7 This in-depth characterization revealed that COVID-19 patients with a history of cancer are
8 mostly aged above 65 years old and have multiple comorbidities that may explain the high
9 frequency of severe COVID-19 outcomes in this population. In addition, we found that
10 hematological malignancies were more frequent than expected. These findings are
11 foundational for guiding future studies and highlight the importance of protecting patients
12 with cancer while guaranteeing cancer care continuity during the pandemic.

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- 24

1 **Tables**

2

3 **Table 1. Demographics, comorbidities and outcomes among patients with a history of cancer *diagnosed and hospitalized* with COVID-19**

4

	Patients with history of cancer diagnosed with COVID-19								Patients with history of cancer hospitalized with COVID-19							
Characteristics, in %	SIDIAP n=8,854	CU-AMC-HDC n=806	CUIMC n=1,433	Health Verity n=4,857	IQVIA-Open Claims n=315,523	Optum-EHR n=22,996	STARR-OMOP n=821	VA-OMOP n=10,760	SIDIAP n=2,610	CU-AMC-HDC n=265	CUIMC n=561	Health Verity n=797	IQVIA-Open Claims n=105,931	Optum-EHR n=5,806	STARR-OMOP n=244	VA-OMOP n=3,383
Sex																
Female	53.9	53.0	54.7	53.7	55.1	58.6	47.0	7.1	39.7	46.8	46.3	50.1	48.7	50.3	41.8	3.6
Male	46.1	47.0	45.3	46.3	44.9	41.4	53.0	92.9	60.3	53.2	53.7	49.9	51.3	49.7	58.2	96.4
Race, African American	-	5.6	9.7	-	-	11.5	-	29.0	-	9.1	10.0	-	-	14.2	-	35.1
Antineoplastic and immunomodulating agents																
The month prior	10.9	22.5	12.3	5.4	9.8	6.3	18.8	12.0	10.8	28.3	14.6	5.9	10.7	10.9	18.9	14.2
The year prior	13.6	35.1	24.6	20.3	18.0	16.3	31.9	21.5	13.7	37.7	26.0	18.6	19.9	20.7	29.9	24.1
Comorbidities																
Asthma	4.7	15.4	20.5	9.9	17.2	20.3	14.3	10.8	3.9	12.1	22.8	10.0	16.3	15.8	16.4	9.9
COPD	33.7	23.7	19.8	17.3	26.8	18.4	11.9	43.3	41.5	29.4	28.2	31.4	34.8	28.6	11.1	53.2
Type 2 diabetes	17.8	26.9	38.0	31.7	47.5	29.9	22.0	50.3	22.5	36.2	55.1	43.0	56.9	40.5	23.8	58.7
Hyperlipidemia	22.8	38.7	30.8	40.1	38.4	44.7	38.7	58.8	24.6	42.3	38.0	48.4	41.5	49.1	44.3	61.2

Obesity	41.8	49.3	52.8	21.8	35.5	60.4	40.0	52.7	47.8	52.1	57.0	25.7	38.8	60.4	39.8	53.4
Heart disease	34.3	50.0	62.8	39.9	68.1	51.1	40.8	71.4	41.6	59.2	76.5	60.9	78.6	63.9	45.5	79.1
Hypertension	33.2	60.2	70.0	58.1	80.8	61.3	52.4	86.7	37.3	69.4	83.1	74.4	89.4	73.3	58.2	92.8
Anxiety	24.2	19.1	12.2	13.5	13.5	18.6	16.6	31.1	19.3	18.1	10.7	19.4	14.2	18.2	18.9	30.1
Dementia	10.7	5.8	11.0	7.1	18.3	6.3	1.7	14.3	7.2	7.5	21.2	15.8	21.5	10.8	-	23.7
Depression	7.2	9.2	14.8	2.7	12.3	10.9	10.4	21.1	5.7	9.1	20.0	4.9	12.7	9.6	12.7	19.1
Anemia	19.6	22.1	19.3	24.8	24.8	18.0	24.7	25.8	20.0	35.1	25.0	40.9	33.3	29.1	32.0	36.7
Autoimmune condition	10.4	19.9	30.8	13.0	32.0	18.3	14.6	30.3	11.2	20.0	38.1	17.8	36.2	20.8	11.9	35.4
Chronic kidney disease	19.4	23.8	27.4	18.4	31.7	27.9	18.0	34.1	23.6	34.0	40.8	36.0	44.1	39.2	20.1	44.3
Chronic liver disease	1.9	3.2	3.6	2.2	2.0	2.4	7.3	6.1	1.8	4.9	5.0	4.0	3.0	3.5	4.9	8.8
Outcomes																
Death	14.4	-	10.4	-	-	1.7	-	7.6	21.4	-	26.2	-	-	5.5	-	18.1
Hospitalization	24.9	-	34.8	13.5	32.1	24.1	27.0	27.1	NA	NA	NA	NA	NA	NA	NA	NA
Intensive services requirement	NA	NA	NA	NA	NA	NA	NA	NA	-	-	-	6.3	8.7	13.0	5.7	16.0
ARDS during hospitalization	NA	NA	NA	NA	NA	NA	NA	NA	-	-	15.9	26.5	33.3	41.8	8.2	41.2
Cardiovascular disease events	NA	NA	NA	NA	NA	NA	NA	NA	-	-	6.8	10.8	11.2	16.7	8.2	20.8
Deep vein thrombosis events	NA	NA	NA	NA	NA	NA	NA	NA	-	-	2.1	3.0	2.4	4.0	-	4.8
Pulmonary	NA	NA	NA	NA	NA	NA	NA	NA	-	-	2.7	2.1	2.1	3.5	-	4.0

embolism events																
Acute kidney injury during hospitalization	NA	NA	NA	NA	NA	NA	NA	NA	-	-	16.0	11.4	9.6	16.6	11.9	14.4
Sepsis during hospitalization	NA	NA	NA	NA	NA	NA	NA	NA	-	-	6.1	17.7	18.4	25.0	9.8	20.5

1

2 **Notes:** - indicates data not available or below the minimum cell count required (5 individuals), NA indicates not applicable.

3 **Abbreviations:** COVID-19: Coronavirus disease 2019; COPD: Chronic Obstructive Pulmonary Disease; ARDS: Acute Respiratory Distress Syndrome; AKI: Acute Kidney
 4 Injury; SIDIAP: Information System for Research in Primary Care; CU-AMC-HDC: Colorado University Anschutz Medical Campus Health Data Compass; CUIMC:
 5 Columbia University Irving Medical Center; STARR-OMOP: Stanford Medicine Research Data Repository; VA-OMOP: Department of Veterans Affairs

1 **Table 2. Top 10 cancer types among patients with a history of cancer *diagnosed and hospitalized with COVID-19***
 2

Patients with a history of cancer diagnosed with COVID-19																
R an k	SIDIAP n=8,854		CU-AMC-HDC n=806		CUIMC n=1,433		HealthVerity n=4,857		IQVIA- Open Claims n=315,523		Optum- EHR n=22,996		STARR-OMOP n=821		VA-OMOP n=10,760	
	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)
1	Breast	14.2 (13.5-14.9)	Breast	7.3 (5.5-9.1)	Prostate	5.2 (4.0-6.4)	Prostate	12.2 (11.3-13.1)	Prostate	6.4 (6.3-6.5)	Breast	6.7 (6.4-7.0)	Breast	12.3 (10.0-14.6)	Prostate	18.1 (17.4-18.8)
2	Colorectal	10.4 (9.8-11.0)	Prostate	6.8 (5.1-8.5)	NHL	5.4 (4.2-6.6)	Breast	11.5 (10.6-12.4)	Breast	6.2 (6.1-6.3)	Prostate	5.0 (4.7-5.3)	Prostate	10.2 (8.1-12.3)	Lung	3.9 (3.5-4.3)
3	Prostate	9.4 (8.8-10.0)	NHL	5.0 (3.5-6.5)	Breast	5.2 (4.0-6.4)	NHL	4.6 (4.0-5.2)	NHL	3.1 (3.0-3.2)	Uterus	3.3 (3.1-3.5)	Liver	7.7 (5.9-9.5)	NHL	3.8 (3.4-4.2)
4	Bladder	6.4 (5.9-6.9)	Lung	3.8 (2.5-5.1)	Leukemia	4.4 (3.3-5.5)	Colorectal	3.9 (3.4-4.4)	Colorectal	2.5 (2.4-2.6)	LOCP	3.3 (3.1-3.5)	Lung	6.9 (5.2-8.6)	Bladder	3.3 (3.0-3.6)
5	NHL	3.0 (2.6-3.4)	Leukemia	3.3 (2.1-4.5)	Liver	3.3 (2.4-4.2)	Thyroid	3.5 (3.0-4.0)	Lung	2.5 (2.4-2.6)	NHL	2.7 (2.5-2.9)	NHL	5.8 (4.2-7.4)	LOCP	2.9 (2.6-3.2)
6	Melanoma	2.9 (2.6-3.2)	Melanoma	3.0 (1.8-4.2)	Lung	3.1 (2.2-4.0)	Leukemia	2.8 (2.3-3.3)	Leukemia	2.1 (2.1-2.1)	Leukemia	2.2 (2.0-2.4)	Thyroid	5.2 (3.7-6.7)	Leukemia	2.6 (2.3-2.9)

7	Leukemia	2.7 (2.4-3.0)	Colorectal	2.9 (1.7-4.1)	Multiple myeloma	3.1 (2.2-4.0)	Lung	2.7 (2.2-3.2)	Bladder	1.5 (1.5-1.5)	Lung	2.1 (1.9-2.3)	LOCP	4.9 (3.4-6.4)	Colorectal	2.6 (2.3-2.9)
8	Uterus	2.5 (2.2-2.8)	Multiple myeloma	2.5 (1.4-3.6)	Colorectal	2.7 (1.9-3.5)	Bladder	2.5 (2.1-2.9)	Liver	1.4 (1.4-1.4)	Colorectal	1.9 (1.7-2.1)	Leukemia	3.8 (2.5-5.1)	Kidney	2.5 (2.2-2.8)
9	Kidney	2.4 (2.1-2.7)	Bladder	2.2 (1.2-3.2)	Uterus	2.0 (1.3-2.7)	Multiple myeloma	2.1 (1.7-2.5)	LOCP	1.3 (1.3-1.3)	Thyroid	1.5 (1.3-1.7)	Colorectal	3.7 (2.4-5.0)	Liver	1.8 (1.5-2.1)
10	LOCP	1.4 (1.2-1.6)	Thyroid	2.2 (1.2-3.2)	Kidney	1.8 (1.1-2.5)	Kidney	2.1 (1.7-2.5)	Kidney	1.3 (1.3-1.3)	Bladder	1.3 (1.2-1.4)	Bladder	3.2 (2.0-4.4)	Larynx	1.3 (1.1-1.5)

Patients with a history of cancer hospitalized with COVID-19

Rank	SIDIAP n=2,610		CU-AMC-HDC n=265		CUIMC n=561		HealthVerity n=797		IQVIA- Open Claims n=105,931		Optum- EHR n=5,806		STARR-OMOP n=244		VA-OMOP n=3,383	
	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)	Cancer	% (95% CI)
1	Prostate	12.8 (11.5-14.1)	NHL	6.4 (3.5-9.3)	Prostate	7.7 (5.5-9.9)	Prostate	10.8 (8.6-13.0)	Prostate	7.5 (7.3-7.7)	Breast	6.2 (5.6-6.8)	Prostate	10.7 (6.8-14.6)	Prostate	19.4 (18.1-20.7)
2	Colorectal	11.9 (10.7-13.1)	Prostate	6.4 (3.5-9.3)	NHL	6.4 (4.4-8.4)	Breast	9.2 (7.2-11.2)	Breast	5.5 (5.4-5.6)	Prostate	6.1 (5.5-6.7)	LOCP	9.0 (5.4-12.6)	Lung	5.7 (4.9-6.5)
3	Breast	9.4 (8.3-10.5)	Lung	6.0 (3.1-8.9)	Leukemia	4.1 (2.5-5.7)	NHL	7.4 (5.6-9.2)	NHL	4.2 (4.1-4.3)	NHL	4.2 (3.7-4.7)	Lung	9.0 (5.4-12.6)	NHL	4.6 (3.9-5.3)

4	Bladder	8.5 (7.4-9.6)	Multiple myeloma	4.2 (1.8-6.6)	Lung	3.7 (2.1-5.3)	Colorectal	5.0 (3.5-6.5)	Lung	4.1 (4.0-4.2)	Lung	3.6 (3.1-4.1)	Breast	7.4 (4.1-10.7)	Bladder	3.9 (3.2-4.6)
5	NHL	4.3 (3.5-5.1)	Leukemia	4.2 (1.8-6.6)	Liver	3.6 (2.1-5.1)	Leukemia	4.1 (2.7-5.5)	Colorectal	3.2 (3.1-3.3)	Leukemia	3.4 (2.9-3.9)	Liver	6.6 (3.5-9.7)	Leukemia	3.3 (2.7-3.9)
6	Leukemia	4.2 (3.4-5.0)	Breast	3.8 (1.5-6.1)	Colorectal	3.4 (1.9-4.9)	Lung	4.0 (2.6-5.4)	Leukemia	3.0 (2.9-3.1)	LOCP	3.0 (2.6-3.4)	Thyroid	6.1 (3.1-9.1)	Colorectal	3.2 (2.6-3.8)
7	Kidney	2.8 (2.2-3.4)	Liver	3.8 (1.5-6.1)	Multiple myeloma	3.4 (1.9-4.9)	Multiple myeloma	3.4 (2.1-4.7)	Liver	2.3 (2.2-2.4)	Colorectal	2.7 (2.3-3.1)	Pancreas	5.3 (2.5-8.1)	Liver	2.8 (2.2-3.4)
8	Melanoma	2.3 (1.7-2.9)	-		Breast	3.2 (1.7-4.7)	Bladder	3.0 (1.8-4.2)	Multiple myeloma	1.9 (1.8-2.0)	Uterus	2.6 (2.2-3.0)	Leukemia	4.9 (2.2-7.6)	LOCP	2.8 (2.2-3.4)
9	Uterus	1.8 (1.3-2.3)	-		Central nervous system	2.0 (0.8-3.2)	Uterus	2.8 (1.7-3.9)	Bladder	1.9 (1.8-2.0)	Bladder	2.4 (2.0-2.8)	Oropharynx	4.5 (1.9-7.1)	Kidney	2.7 (2.2-3.2)
10	Liver	1.5 (1.0-2.0)	-		Uterus	1.8 (0.7-2.9)	LOCP	2.5 (1.4-3.6)	Kidney	1.7 (1.6-1.8)	Liver	2.3 (1.9-2.7)	-		Multiple myeloma	1.8 (1.4-2.2)

1

2 **Notes:** - indicates data not available. A single individual can have multiple cancer types records.

3 **Abbreviations:** COVID-19: Coronavirus disease 2019; SIDIAP: Information System for Research in Primary Care; CU-AMC-HDC: Colorado University Anschutz Medical
4 Campus Health Data Compass; CUIMC: Columbia University Irving Medical Center; STARR-OMOP: Stanford Medicine Research Data Repository; VA-OMOP:
5 Department of Veterans Affairs; NHL: Non-Hodgkin's lymphoma; LOCP: Lip, oral cavity and pharynx

1 **Figure legends**

2

3 **Figure 1. Prevalence of baseline conditions among patients with a history of cancer**
4 **diagnosed and hospitalized with COVID-19.**

5 Each dot represents the prevalence of one baseline condition, with the color indicating the
6 type of condition (i.e. the group, for example blood disease, etc.). Conditions are represented
7 by cohort and database along the X-axis, whereas the prevalence (in %) is displayed on the
8 Y-axis.

9

10 **Notes:** only conditions meeting the minimum count requirement (5 individuals) are shown. N of conditions
11 means the total number of conditions depicted (by cohort and database).

12 **Abbreviations:** COVID-19: Coronavirus disease 2019; SIDIAP: Information System for Research in Primary
13 Care; CU-AMC-HDC: Colorado University Anschutz Medical Campus Health Data Compass; CUIMC:
14 Columbia University Irving Medical Center; STARR-OMOP: Stanford Medicine Research Data Repository;
15 VA-OMOP: Department of Veterans Affairs

16

17 **Figure 2. Standardized mean differences of selected baseline comorbidities between**
18 **patients with cancer diagnosed and hospitalized with COVID-19.**

19 SMD<0 indicates that the prevalence was greater in patients *diagnosed*, SMD>0 indicates
20 that the prevalence was greater in patients *hospitalized*.

21

22 **Notes:** Comorbidities ordered according to SMD descending values in the largest database (IQVIA-
23 OpenClaims). Black-dotted lines indicate a |SMD| of 0.1. SMD calculated for comorbidities meeting the
24 minimum count required (5 individuals) in each database and cohort.

25 **Abbreviations:** COVID-19: Coronavirus disease 2019; COPD: Chronic Obstructive Pulmonary Disease;
26 ARDS: Acute Respiratory Distress Syndrome; AKI: Acute Kidney Injury; CU-AMC-HDC: Colorado University
27 Anschutz Medical Campus Health Data Compass; CUIMC: Columbia University Irving Medical Center;

1 STARR-OMOP: Stanford Medicine Research Data Repository; VA-OMOP: Department of Veterans Affairs;
2 SMD: Standardized Mean Difference

3

4 **Figure 3. Baseline comorbidities (A) and 30-day outcomes (B) among patients with**
5 **history of cancer hospitalized with COVID-19 and with seasonal influenza**

6

7 **Notes:** Comorbidities and outcomes ordered according to descending values in the largest database (IQVIA-
8 OpenClaims). Comorbidities and outcomes are shown if meeting the minimum count required (5 individuals) in
9 each database and cohort. Outcomes not shown due to data not available: all outcomes in CU-AMC-HDC,
10 occurrence of death in CUIMC (influenza cohort) and IQVIA-OpenClaims, intensive services in CUIMC.

11 **Abbreviations:** COVID-19: Coronavirus disease 2019; COPD: Chronic Obstructive Pulmonary Disease;
12 ARDS: Acute Respiratory Distress Syndrome; AKI: Acute Kidney Injury; CU-AMC-HDC: Colorado University
13 Anschutz Medical Campus Health Data Compass; CUIMC: Columbia University Irving Medical Center;
14 STARR-OMOP: Stanford Medicine Research Data Repository; VA-OMOP: Department of Veterans Affairs

15

16 **Figure 4. Standardized mean differences of selected baseline comorbidities (A) and 30-**
17 **day outcomes (B) between patients with a history of cancer hospitalized with COVID-19**
18 **and with seasonal influenza.**

19 SMD <0 indicates that the prevalence was greater in patients with seasonal influenza,
20 SMD >0 indicates that the prevalence was greater in patients hospitalized.

21

22 **Notes:** Comorbidities and outcomes ordered according to SMD descending values in the largest database
23 (IQVIA-OpenClaims). Black-dotted lines indicate a |SMD| of 0.1. SMD calculated for comorbidities and
24 outcomes meeting the minimum count required (5 individuals) in each database and cohort. Outcomes not
25 shown due to data not available: all outcomes in CU-AMC-HDC, occurrence of death in CUIMC and IQVIA-
26 OpenClaims, intensive services in CUIMC.

27 **Abbreviations:** COVID-19: Coronavirus disease 2019; COPD: Chronic Obstructive Pulmonary Disease;
28 ARDS: Acute Respiratory Distress Syndrome; AKI: Acute Kidney Injury; CU-AMC-HDC: Colorado University

1 Anschutz Medical Campus Health Data Compass; CUIMC: Columbia University Irving Medical Center;
2 STARR-OMOP: Stanford Medicine Research Data Repository; VA-OMOP: Department of Veterans Affairs;
3 SMD: Standardized Mean Difference

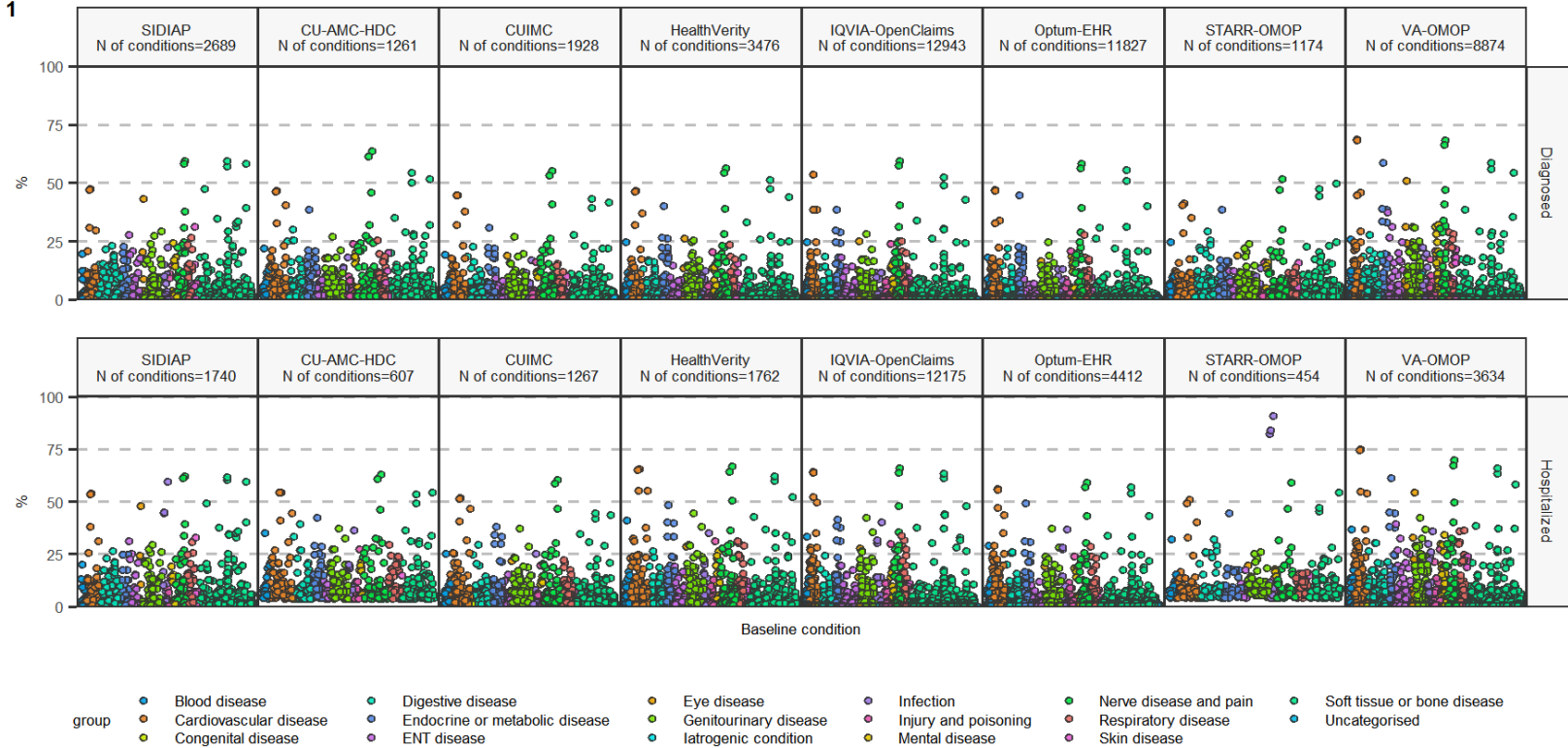
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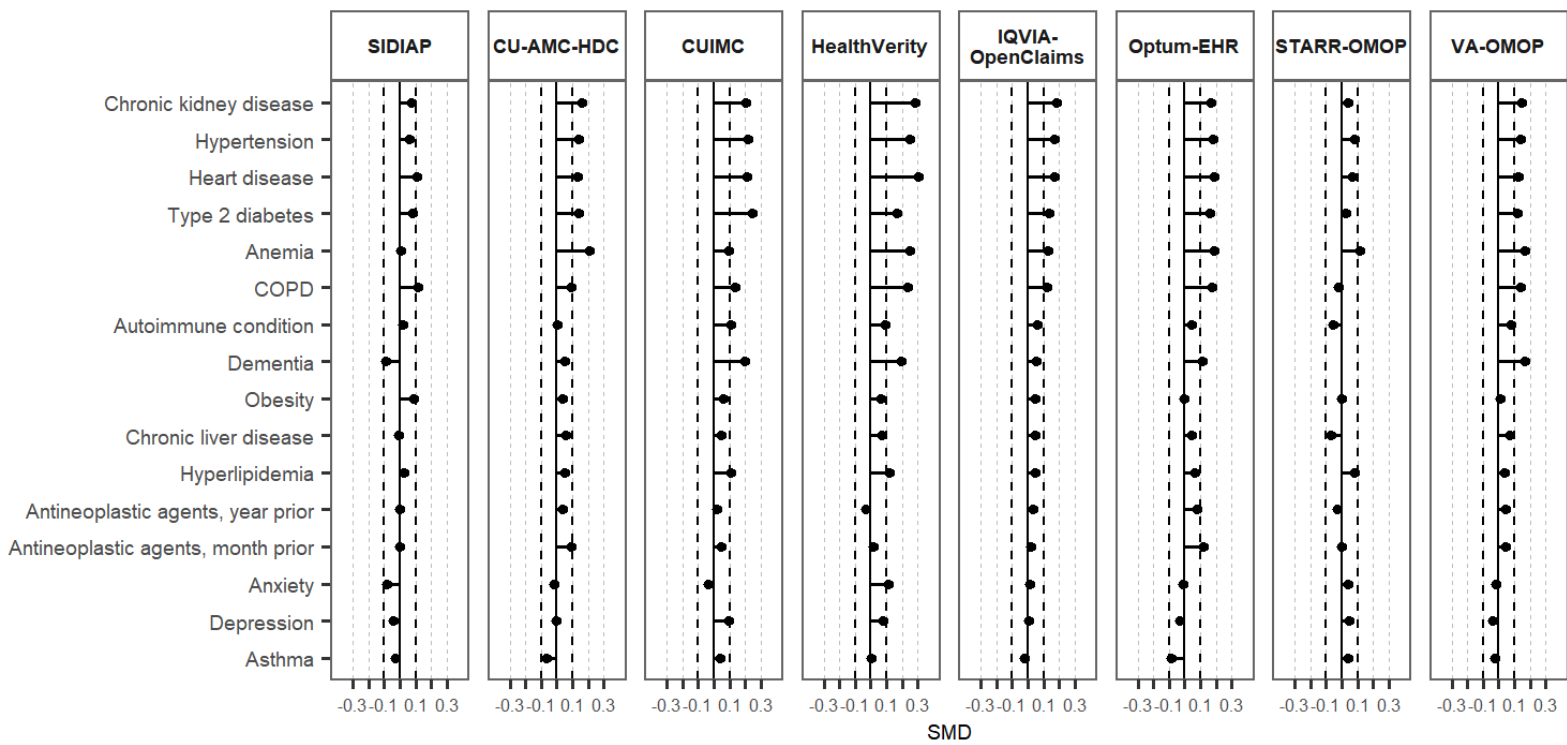
5 **Authors' contributions**

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17 contributed to the revision of the first draft, reviewed and approved the final version of the
18 manuscript.

19

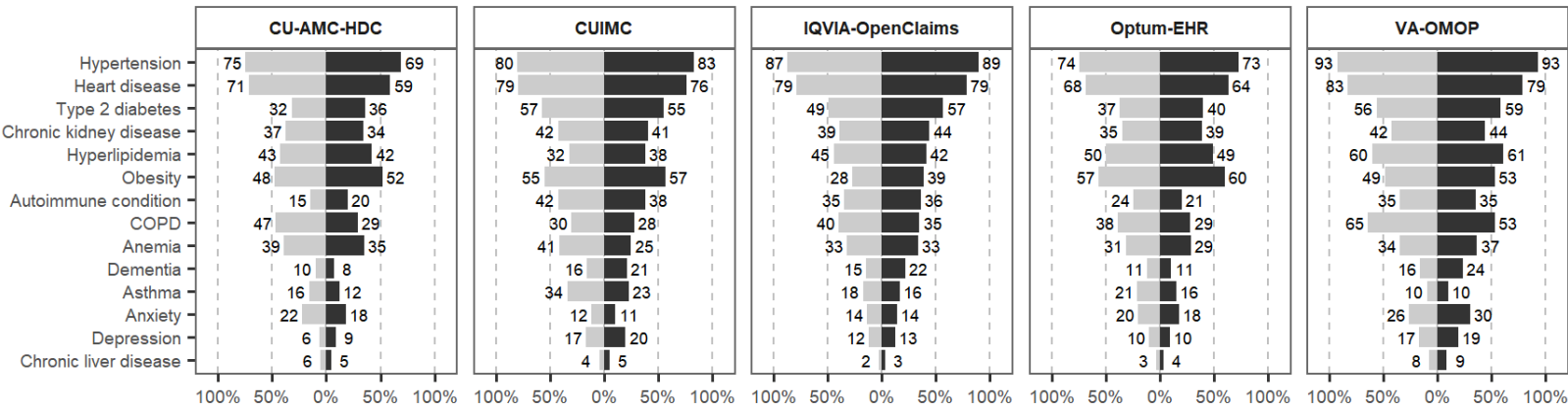
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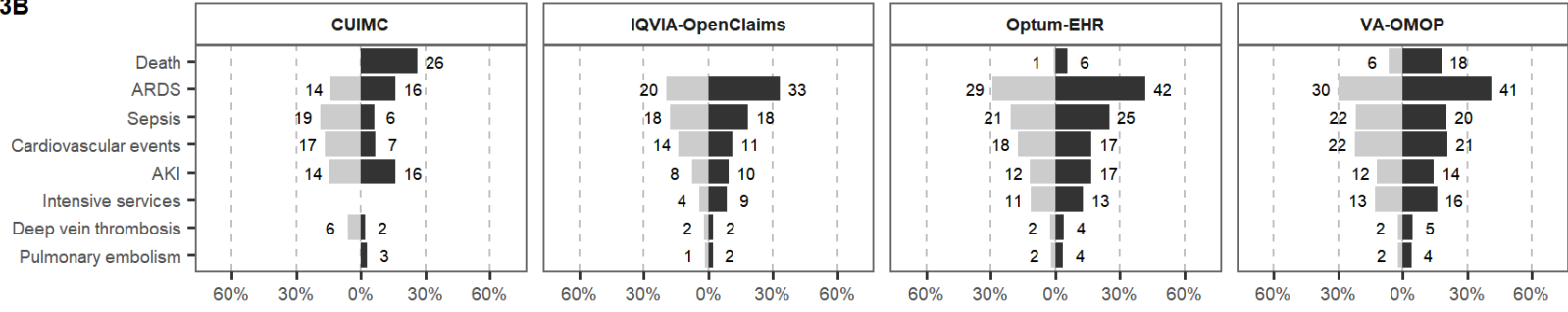


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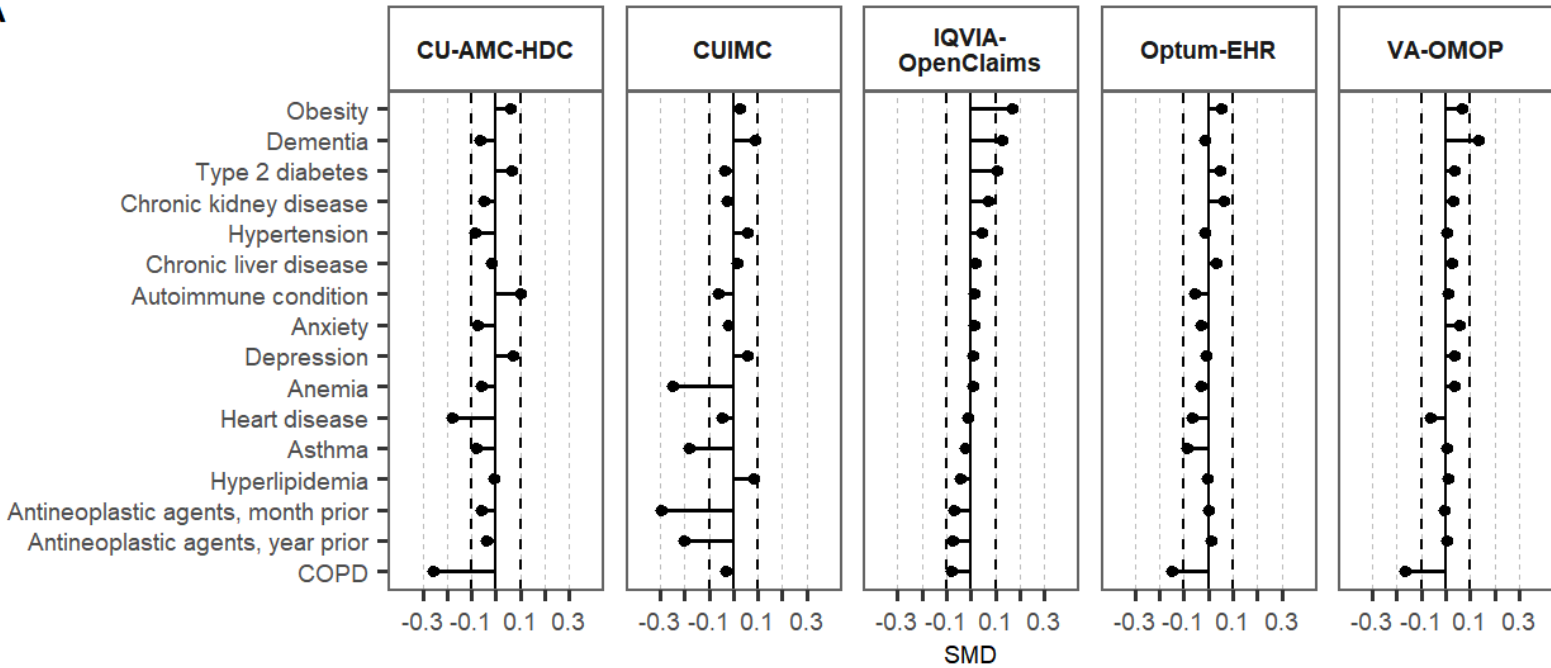
■ Influenza ■ COVID-19



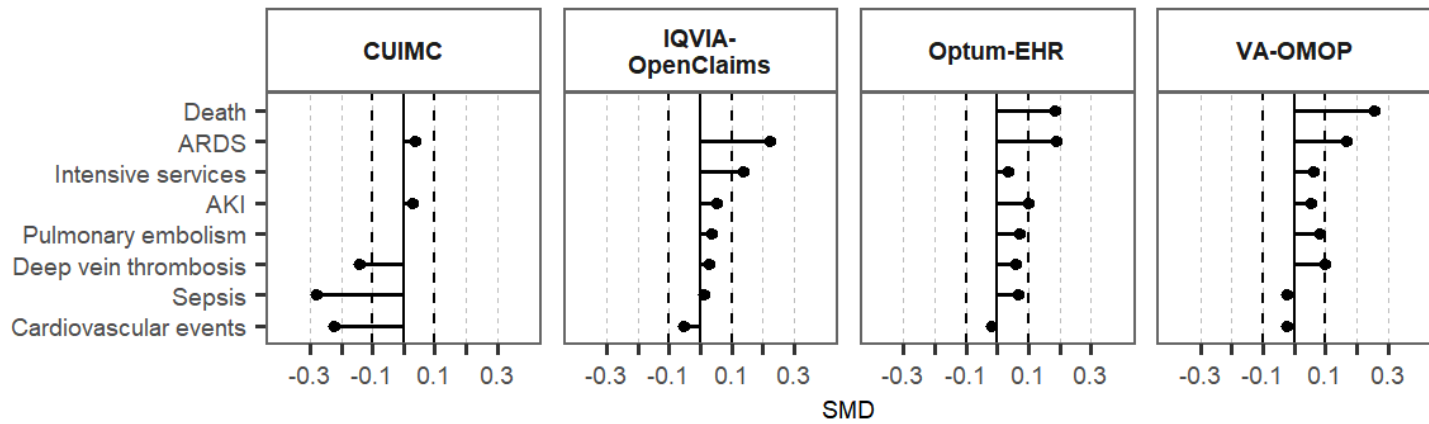
3B



4A



4B



Cancer Epidemiology, Biomarkers & Prevention

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Characteristics and outcomes of over 300,000 COVID-19 individuals with history of cancer in the United States and Spain

Elena Roel, Andrea Pistillo, Martina Recalde, et al.

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