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15 **Fermented beverages with health-promoting potential: past and future**
16 **perspectives**

17

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40 **ABSTRACT**

41 Fermentation is an ancient form of food preservation, which also improves the nutritional
42 content of foods. In many regions of the world, fermented beverages have become known for
43 their health-promoting attributes. In addition to harnessing traditional beverages for
44 commercial use, there have recently been innovative efforts to develop non-dairy probiotic
45 fermented beverages from a variety of substrates, including soy milk, whey, cereals and
46 vegetable and fruit juices. On the basis of recent developments, it is anticipated that fermented
47 beverages will continue to be a significant component within the functional food market.

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78 **Introduction**

79 Societies throughout the world independently discovered the value of fermenting food as a
80 cheap means of preservation, improving nutritional quality and enhancing sensory
81 characteristics. The fermentation of milk, cereals and other substrates to produce beverages
82 with health-promoting properties is indigenous to many regions of Asia, Africa, Europe, the
83 Middle East and South America. Evidence from pottery vessels show that fermented rice, honey
84 and fruit beverages date as far back as 7000 B.C. in China (McGovern *et al.*, 2004), and there is
85 evidence of kombucha manufacture dating back to approximately 220 B.C. (Dufresne &
86 Farnworth, 2000), while recent proteomic analysis has shown kefir-like milk to have been
87 fermented some 3500 years ago in Asia (Yang *et al.*, 2014). While many such beverages have for
88 quite some time been noted for their putative health-promoting attributes, this interest is now
89 being harnessed by modern biotechnological techniques to develop the next generation of
90 fermented functional beverages.

91 The global functional beverage market is a growing sector of the food industry as
92 modern health-conscious consumers show an increasing desire for foods that can improve well-
93 being and reduce the risk of disease. Fermented milks, especially yoghurt-style products, are
94 the most popular functional beverages with kefir in Western Europe and North America and
95 ymer in Denmark being good examples. Notably, the global functional food and drink market
96 increased 1.5 fold between 2003 and 2010, and is expected to grow a further 22.8% between
97 2010 and 2014 to be worth €21.7 billion (Leatherhead, 2011), with other estimates predicting
98 the market will reach €65 billion by the year 2016 (Companiesandmarkets, 2013). Dairy-based
99 produce account for approximately 43% of the functional beverage market, and is mainly
100 comprised of fermented products (Özer & Kirmaci, 2010). It is also intriguing to note that a
101 number of food companies that have been under pressure, due to the poor public perception
102 regarding the 'healthiness' of the foods they produce, are now focusing on developing such
103 functional products.

104 In this article we review the literature regarding traditional fermented beverages with
105 reputed health benefits, and explore recent trends and developments in this field, as well as
106 areas for future research.

107

108 **Natural fermented beverages: sources and microbial composition**

109 ***Naturally fermented milks***

110 The yoghurt and fermented milks market is currently worth €46 billion, with North America,
111 Europe and Asia accounting for 77% of the market. Many communities across the world
112 produce naturally fermented milks with many of these products being of a yoghurt-style
113 consistency. Fermented milk products can be made with milk (or skimmed milk) from various
114 sources, including cow, camel, goat, sheep, yak and even coconut, milk, and can be either
115 pasteurised or unpasteurised. They can be produced through the use of defined starter
116 cultures, back-slopping or allowed to ferment naturally. Although fermented milk beverages are
117 predominantly composed of lactic acid bacteria (LAB), the exact microbial content may vary
118 depending on the source of milk, treatment of the milk (e.g. pasteurisation), use of starters, the
119 nature of the local environmental microbes present, temperatures, hygiene, the type and
120 treatment of containers used and the length of fermentation. Many artisanal fermented milk
121 beverages are produced as a result of back-slopping, whereby a small portion of already-
122 fermented milk is used to begin a new fermentation. In this way, cultures from the LAB
123 naturally present in the raw milk are passed from household to household and between
124 generations. While the consumption of spontaneously fermented milk is common to many
125 different regions, the exact microbial differences between these products have not been
126 ascertained. **Table 1** lists a number of the most popular and best-studied fermented beverages
127 from around the world, along with information with respect to their corresponding microbial
128 compositions. From this, the domination of milk-based beverages fermented by LAB, mainly
129 *Leuconostoc*, lactobacilli and lactococci, is clear. Fermentation in colder climates promotes the
130 growth of mesophilic bacteria such as *Lactococcus* and *Leuconostoc*, whereas beverages
131 produced at higher temperatures usually have greater counts of thermophilic bacteria such as
132 *Lactobacillus* and *Streptococcus*. The contributions of slime-producing species or acetic acid
133 producing species, generally present at low abundance relative to *Lactobacillus* or *Lactococcus*
134 species, vary depending on abundance. There may also be significant numbers of coliforms
135 present, depending on the level of hygiene employed during preparation, with high levels
136 having been noted in some African beverages (Gran, Gadaga, & Narvhus, 2003). The quantity

137 and types of yeasts involved can vary greatly, but *Candida* and *Saccharomyces* are the species
138 most commonly detected.

139 Of the many fermented milk beverages, kefir, a drink that originated with shepherds in
140 the Caucasian mountains has been a notable success, gaining worldwide popularity, with the
141 market now worth €78.7 million in North America alone (Lifeway, 2014). The microorganisms
142 responsible for the fermentation are actually a symbiotic combination of bacteria and yeast,
143 bound within a polysaccharide matrix, known as kefir 'grains'. Koumiss, sometimes known as
144 airag, is a popular beverage of nomadic cattle breeders in Asia and some regions of Russia. This
145 beverage is similar to kefir, but there is no solid inoculation matrix, and this milk is fermented
146 by back-slopping or by allowing the milk to ferment naturally, and has been reported to contain
147 fewer lactococci. Shubat is a fermented camels milk popular in Asia, also believed to have
148 healing properties (Rahman, Nurgul, Chen Xiaohong, Feng Meiqin, 2009). In Africa, fermented
149 milk beverages are quite popular, where the art of making fermented products is passed down
150 through generations. Examples of such beverages include amasi from Zimbabwe, kivuguto from
151 Rwanda, suusac from Kenya, nyarmie from Ghana and rob and garris from Sudan. Considering
152 that most of these are derived from the spontaneous fermentation of milk by its innate
153 microbiota, it is likely that the fermented milks, although known by different names, are
154 actually quite similar, and can be, in combination, referred to as naturally fermented milk
155 (NFM) (Narvhus & Gadaga, 2003). Nonetheless, accurate categorization remains difficult in the
156 absence of more detailed microbiological and biochemical analyses. Also, in many countries
157 yoghurts are diluted with water to form drinkable fermented milk, such as doogh, ayran, chaas
158 and lassi, with the resulting microbial composition generally being similar to that of yoghurt.
159 The composition and purported health benefits associated with fermented dairy beverages can
160 also be read about in a recent review by Shiby and Mishra (Shiby & Mishra, 2013).

161

162 ***Non-dairy fermented beverages***

163 Another important class of fermented beverages are those made from cereals, which are
164 popular in tropical regions and on the continent of Africa in particular. As with many milk-based
165 products, the natural microbial component is used to ferment grains including maize, millet,
166 barley, oats, rye, wheat, rice or sorghum. The grains are often heated, mashed and sometimes

167 filtered. Back-slopping is again quite common, but the microbial populations responsible for the
168 fermentation of these beverages are not as well characterised.

169 Boza, consumed in Bulgaria and Turkey, is generated through the fermentation of a
170 variety of cereals including barley, oats, rye, millet, maize, wheat or rice, with the specific
171 composition affecting the viscosity, fermentability and content of the final beverage (Akpinar-
172 Bayizit, Arzu, Lutfiye Yilmaz-Ersan, 2010). The cereal is boiled and filtered, a carbohydrate
173 source is added, and the mixture can be left to ferment independently or with the use of back-
174 slop. Boza has yet to be commercialised and studies have revealed that the microbial
175 population varies. The function of the yeast present, which are only sometimes detected,
176 remains unknown. Of several combinations, it has been suggested that fermentation by *S.*
177 *cerevisiae*, *Leuconostoc mesenteroides* and *Lactobacillus confusus* produce the most palatable
178 beverage (Zorba, Hancioglu, Genc, Karapinar, & Ova, 2003).

179 Togwa, a sweet and sour, non-alcoholic beverage, is one of the better studied African
180 cereal beverages. Produced from the flour of maize, sorghum and finger millet and, sometimes,
181 cassava root, the chosen substrates are boiled, cooled and fermented for approximately 12
182 hours to form a porridge, which is then diluted to drink (Kitabatake, Gimbi, & Oi, 2003).
183 Mahewu is similar in that maize or sorghum meal is fermented with millet or sorghum malt, and
184 is available commercially (Mugochi, Tapiwa, Tony Mutukumira, 2001). Bushera is generally
185 prepared from germinated or non-germinated sorghum grains, and fermented for 1-6 days
186 (Muyanja, Narvhus, Treimo, & Langsrud, 2003). These beverages are often used to wean
187 children, and as a high-energy diet supplement. Koko sour water is the fermented liquid water
188 created in the production of the fermented porridge, koko. This contains a high portion of LAB
189 and is used by locals to treat stomach aches and as a refreshing beverage (Lei & Jakobsen,
190 2004).

191 Kvass is a fermented rye bread beverage common in Russia, which has seen much
192 commercial success. The beverage can have a sparkling, sweet or sour, rye bread flavour. Its
193 alcohol content, though usually low, can vary, and has been suggested as a contributor to
194 alcoholism (Jargin, 2009). Amazake is a sweet fermented rice beverage that is the non-alcoholic
195 precursor to sake, produced in Japan. Steamed rice is mixed with rice-*koji* (*Aspergillus-mycelia*

196 and rice) and water, and is heated to 55-60°C for 15-18 hours. Enzymes break down the rice
197 and form glucose content of approximately 20%. Amazake is highly nutritious and is consumed
198 for its purported health benefits (Yamamoto, Nakashima, Yoshikawa, Wada, & Matsugo, 2011).
199 Pozol, which is common to south-eastern Mexico, has quite a different method of production,
200 in that maize grains are heat-treated in an acid solution, ground and shaped into dough balls.
201 These are then wrapped in banana leaves and fermented for 2-7 days, after which they are
202 resuspended in water and consumed as beverages. Pozol is composed of a variety of
203 microorganisms including LAB, non-LAB, yeasts and other fungi (ben Omar & Ampe, 2000).

204 In addition to milk and cereal-based fermentations, there are also other forms of
205 fermented beverages. One example is kombucha, which is a fermented sweetened tea that was
206 originally popular in China but is now enjoyed worldwide, and is set to be worth €363 million by
207 2015 in North America (BevNet, 2011). It is fermented by a symbiotic mixture of bacteria
208 (typically acetic acid bacteria, with small quantities of LAB) and yeast, which are embedded
209 within a cellulosic matrix that floats above the fermentate, similar to the mother cultures of
210 vinegar. Due to the high acid content (as low as pH2), the functionality of kombucha is
211 predominantly due to its physiochemical properties (Greenwalt, Steinkraus, & Ledford, 2000).
212 As a result of the tea content, it also contains a number of phenols and vitamins (Dufresne &
213 Farnworth, 2000). Water kefir is similar in concept to milk kefir in that it is fermented by a
214 symbiosis of bacteria and yeast contained within grains. However, these grains are composed
215 of dextran, are translucent and crystal-like in appearance, and are thought to have originated in
216 Mexico where they formed as hard granules fermented from sap on the pads of the *Opuntia*
217 cactus. They ferment sweetened water, to which figs and lemon are traditionally added for
218 additional flavour and nutrients. The composition of water kefir can vary, but is known to
219 contain LAB, including *Lactobacillus*, and *Bifidobacterium* (Laureys & De Vuyst, 2014). Hardaliye
220 is a non-alcoholic, Turkish, fermented beverage made from red grapes, black mustard seeds,
221 cherry leaf and benzoic acid. Ingredients are pressed and fermented for 5-10 days at room
222 temperature. Again, the microbial population has been reported to be predominantly
223 composed of *Lactobacillus* and unknown fungal components, and this beverage is thought to
224 have antioxidant properties (Amoutzopoulos et al., 2013).

225

226 **Health Benefits**

227 Originally devised as a means of food preservation, over time many beverages, such as
228 kefir and koumiss, became popular due to their reputed abilities to improve gastrointestinal
229 health (Metchnikoff, Elie, 1908; Saijirahu, 2008). However, most of the traditional fermented
230 beverages are poorly studied, with unsubstantiated claims linking them to positive effects on
231 human health. Ideally, any such beverage making health claims should be backed by credible
232 scientific evidence in the form of randomised, controlled and replicated human intervention
233 trials. This form of evidence is rare for these beverages (and particularly so for non-dairy
234 forms), and the generation of such data is an expensive and unappealing prospect for industry,
235 but nonetheless remains a critical area for proof-of-concept and future research. Despite this,
236 however, there is still a perception that many of these beverages are “healthy”, particularly in
237 societies where the beverage is steeped in local tradition, which in turn contributes to their
238 market potential and justifies investing in related research.

239 For many of the fermented beverages, it is the strong association between the microbial
240 content and improvement of gastrointestinal health that is thought to be responsible for
241 perceived health outcomes. While it is sometimes unclear what functional characteristics
242 traditional beverages confer beyond the basic nutrition of the raw unfermented ingredients,
243 there is evidence that some fermented beverages provide beneficial effects through direct
244 microbial/probiotic action and indirectly via the production of metabolites and breakdown of
245 complex proteins. Nonetheless, natural fermented milks have been shown to have
246 antihypertensive effects, enhance systemic immunity, lower cholesterol and to help lower
247 blood pressure. In recent human trials, they have been shown to aid in the treatment of IBS and
248 to help alleviate constipation (Tabbers *et al.*, 2011). Additionally, they have been shown to have
249 modulatory effects on the brain, and demonstrate anti-cancer potential (Kumar *et al.*, 2012;
250 Tillisch *et al.*, 2013). Of the traditional-style beverages, kefir specifically has been shown to
251 positively impact the gastrointestinal tract, stimulate the immune system, and have anti-
252 inflammatory and anti-carcinogenic effects, albeit not through clinical trials (de Oliveira Leite *et*
253 *al.*, 2013). Lactic fermented milks often contain compounds not present in regular milk, such as
254 exopolysaccharides, e.g. kefiran in kefir, and natural enrichments, including increased vitamin

255 (e.g. B12 and K2), folate and riboflavin content (Hugenholtz, 2013). Furthermore, fermented
256 dairy products usually possess β -galactosidase activity and a reduced lactose content compared
257 to milk, making them potentially suitable for those suffering from lactose intolerance.
258 Fermented produce can also be a source of bioactive peptides, released through fermentation
259 by proteolytic cultures, and have been linked with many potential health benefits including
260 digestive, endocrine, cardiovascular, immune and nervous system affects.

261 The occurrence of organic acids, which lower the pH of the beverages, may also confer
262 health benefits. Indeed, the presence of glucuronic acid, one of the primary metabolites in
263 kombucha, is believed to improve detoxification by binding toxin molecules and aiding
264 excretion through the kidneys, and it is this acidic composition that is most associated with the
265 reputed health properties of kombucha, rather than a microbial-gut interaction (Wang *et al.*,
266 2014). Kombucha also contains increased B vitamins and folic acid in addition to a number of
267 healthy components, such as phenols, naturally present in tea (Dufresne & Farnworth, 2000).
268 Acid content, in conjunction with antimicrobials often produced by bacteria, could result in the
269 beverage possessing therapeutic, antimicrobial properties.

270 Fermented cereals can also contain a high mineral content, and generally have a lower
271 fat percentage than their dairy-based counterparts, but grains are generally lacking in essential
272 amino acids. These forms of beverages can also naturally provide plant-based functional
273 components, such as fibre, vitamins, minerals, flavonoids and phenolic compounds, which can
274 effect oxidative stress, inflammation, hyperglycemia and carcinogenesis (Wang, Chung-Yi, Sz-Jie
275 Wu, 2013). As previously mentioned, fermented foods are particularly common in Africa, where
276 palates are accustomed to sour foods. Providing a safe, fermented cereal beverage with reliable
277 probiotic cultures could help reduce diarrhoea and malnutrition caused by contaminated
278 traditional beverages used in weaning children, and help reduce fatalities and improve well-
279 being (Motarjemi, Käferstein, Moy, & Quevedo, 1993).

280 Despite the need for definitive studies demonstrating direct health benefits on
281 consumers, *in vitro* and animal studies give reason to be optimistic. In many cultures, alleged
282 health benefits are the reason for consumption, and if there are indeed health benefits to be
283 gained from consuming fermented beverages, it is most likely the result of a synergistic effect

284 between substrates, delicate microbial content and microbial end products, the relationship
285 between which should become clearer with further research.

286

287 **Beyond physiochemical advantages: from microbial content to functionality**

288 ***Molecular-based microbial characterisation***

289 Despite health claims linked to the microbial composition of fermented beverages, there is a
290 considerable lack of analyses relating to the microorganisms present and the quantities in
291 which they exist in such beverages. In order to address this, it is necessary for the application of
292 unbiased, standardised techniques to assess beverages from different geographical regions,
293 and to reach a consensus on the definition of microorganisms which constitute part of any
294 particular beverage. Since many such beverages are naturally fermented, and thus subject to
295 environmental influences, their microbiota can differ significantly, but the application of
296 reliable technology can help definitively identify a core population (or lack thereof), responsible
297 for characteristic traits of the beverage in question. While some molecular-based, microbial
298 characterisation of these beverages has taken place, most studies have relied on low-
299 throughput approaches, employing techniques such as DGGE, which can only assess 1-2% of a
300 population (Muyzer, de Waal, & Uitterlinden, 1993).

301 Moving forward, the availability of molecular technologies such as culture-independent,
302 high-throughput, sequencing-based microbial analyses, metabolomics and bioinformatics will
303 prove particularly useful, and will provide a more accurate picture of these populations,
304 surmounting problems associated with relying on phenotypic-based approaches. In-depth
305 molecular studies have the potential to be particularly useful when carrying out analyses across
306 different beverages with a view to attributing specific desirable or non-desirable sensory and
307 organoleptic characteristics with specific microorganisms present (Marsh, O’Sullivan, Hill, Ross,
308 & Cotter, 2013). Such approaches will also ultimately facilitate accurate species identification,
309 leading to novel starter design, and the development of beverages with different and complex
310 flavour profiles. It will also be possible to more effectively monitor the change of proportions of
311 different species throughout fermentation and storage (Cocolin, Alessandria, Dolci, Gorra, &
312 Rantsiou, 2013). Future studies will also shed light on the nature of the symbiosis of such
313 beverages, which is so complex that *in vitro* synthesis of kefir grains has yet to be replicated.

314 Currently, commercial kefir is produced by defined starters, with probiotic strains added to
315 some products to boost reputed health claims.

316

317 ***Health-promoting microbes***

318 As noted above, it is widely believed that the primary reason for the functionality of these
319 beverages is due to the presence of specific live microorganisms. To the consumer, health
320 claims are more important than nutritional claims (Verbeke, Scholderer, & Lähteenmäki, 2009),
321 so there has and will be a desire to augment the health-promoting potential of these beverages
322 through the addition of certified probiotics. The probiotic market was worth €15.7 billion in
323 2010, and is expected to increase to €22.6 billion by 2015 (BCCResearch, 2011). The WHO/FAO
324 defines probiotics as “live microorganisms, which when administered in adequate amounts
325 confer a health benefit on the host”, and the probiotic sector is the largest component of the
326 functional food market. The physiology of certain strains of lactobacilli and bifidobacteria make
327 them well-suited to both the gastrointestinal and milk environments, and thus lactic acid
328 bacteria and bifidobacteria are the most studied and utilised probiotic organisms. It is generally
329 considered that a minimum of 10^9 cells per daily dose are required for probiotics to be effective
330 (Forssten, Sindelar, & Ouwehand, 2011). Within the EU, the term “probiotic” is now considered
331 a health claim, with strict criteria surrounding its use and resulting in many applications
332 submitted to the European Food Safety Authority (EFSA) being rejected (Guarner *et al.*, 2011).
333 In Europe, boosting numbers of *Lactobacillus* and *Bifidobacterium* in the gut is not deemed to
334 be of sufficient merit to be considered a health benefit; the link must be made to a
335 physiological (e.g. strengthening the immune system or resistance to infections) benefit to the
336 host. Proving such health claims is expensive, and in the midst of unclear definitions and
337 guidelines, industries are currently more likely to develop and market probiotic products in
338 other parts of the world (Katan, 2012). In situations where probiotic strains are added during
339 fermentation, they must not interact antagonistically with starter strains. This becomes less of
340 an issue if strains are added after fermentation is complete, due to the low metabolic rates at
341 refrigerated temperatures. Additionally, microencapsulation technology may aid in the delivery
342 of probiotic strains by protecting them in non-native environments. In one instance,

343 microencapsulation of *Bifidobacterium* successfully increased viable numbers in mahewu,
344 without significantly impacting on flavour, suggesting it could be an effective probiotic delivery
345 system (McMaster, Kokott, Reid, & Abratt, 2005).

346 A health-related role for the yeast in fermented beverages has yet to be elucidated. The
347 volume of studies reporting significant numbers of yeast in traditional fermented beverages
348 indicates their importance in these fermentations. Yeasts in dairy produce generate desirable
349 aromatic compounds, proteolytic and lipolytic activities and can aid bacterial growth by
350 producing amino acids, vitamins and other metabolites, and contribute to the final composition
351 of the product by producing ethanol and carbon dioxide (Viljoen, 2001). In particular, studies
352 have demonstrated that yeast can exert a positive effect on the abundance of *Lactobacillus* in
353 fermented environments (Gadaga, Mutukumira, & Narvhus, 2001), and this might be a key
354 function in such symbioses, as well as preventing the proliferation of undesirable species. While
355 yeast only comprise <0.1% of the gut microbiota, they are 10 times larger than prokaryotes and
356 can thus impede colonisation of pathogenic bacteria (Czerucka, Piche, & Rampal, 2007). Success
357 has been made in incorporating them in commercial fermented milk products, but excessive
358 gas production during storage can be an issue. Some species of *Saccharomyces* and *Candida*
359 yeasts are common to both fermented beverages and the gut microbiota, such as species, and
360 could be investigated with a view to their contribution to fermentations and optimising health-
361 promoting potential. However, to date, *Saccharomyces boulardii* is the only recognised
362 probiotic yeast.

363

364 ***Rational design of starter cultures***

365 The selection of appropriate starter strains will be key in efforts to accurately reproduce the
366 desirable characteristics of traditional health-promoting beverages for mass production (**Figure**
367 **1**). To faithfully reproduce these beverages and traits, microbes should be sourced from the
368 traditional fermented beverages, given that these microbes have adapted over thousands of
369 years to their respective environments, and are more likely to function at the appropriate pH,
370 salt concentration, temperature etc. For instance, amylolytic digestion of starch could be
371 considered desirable for fermented cereal production, and isolates from boza and pozol have
372 been shown to be capable of this metabolic trait. Such populations also have a history of safe

373 human consumption. Rational strain selection to produce the correct balance of flavour, aroma,
374 texture, acidification, bitterness, speed of fermentation, and the optimum quantity of organic
375 acid, vitamins and minerals is essential, as beverages that are too sour or bitter, or contain too
376 much ethanol, will not meet consumers' approval. Over recent years, genetic tools have
377 become available to engineer and select superior starter strains, but legislation currently
378 hinders their industrial use (Hansen, 2002). The inclusion of strains producing antimicrobials,
379 such as bacteriocins, could serve as natural preservatives and help produce a more natural
380 product, while sequential fermentation with yeast, followed by bacteria, could produce a
381 beverage with the desired physiochemical effects, but without biostabilisation issues created by
382 excessive gas production (Kwak, Park, & Kim, 1996).

383 As stated above, the natural fermentation of beverages involves many different strains
384 of bacteria, and sometimes, yeast. There is an understandable tendency to keep starter
385 formulations simple but, as traditional beverages show, there are often multiple strains
386 involved, including different species or even microorganisms. From a health perspective,
387 multistrain or multispecies probiotic beverages may provide greater beneficial effects than
388 monostrain cultures. Unfortunately, however, there is a lack of studies assessing the effects of
389 combining several natural strains on the physiochemical and sensory characteristics of milk or
390 other functional beverages. Without such information, it is difficult to accurately reproduce the
391 characteristics of the organic beverage with one produced by a defined combination of starters,
392 to match the flavour and properties of the original beverage. This is crucial when marketing
393 beverages to consumers already familiar with the artisanally produced variant of the product,
394 and if wishing to retain any health-promoting characteristics attributed to the original product.

395 In spite of the wide range of options available when designing novel health-promoting
396 fermented beverages, there will always be an attraction for healthy foods derived from natural
397 processes. Applying the solid inoculation matrices of traditional fermented beverages to new
398 substrates provides a means of generating new beverages while retaining natural microbial
399 populations. For example, kefir grains have been employed to produce whey and cocoa pulp
400 beverages containing potentially health-promoting strains (Londero, Hamet, De Antoni,
401 Garrote, & Abraham, 2012; Puerari, Magalhães, & Schwan, 2012). Similarly, the cellulosic

402 pellicle of kombucha has been successfully used to ferment milk and other substrates (Malbaša
403 *et al.*, 2009).

404

405 **Biotechnology and beverage development**

406 Expanding technological capabilities, especially ingredient exploration and development, has
407 led to increased functional product innovation. The number of new products with functional
408 claims has been growing by approximately 28% per year (Leatherhead, 2011). Consumers'
409 willingness to pay a premium price for fortified products is also a key driver for innovation.
410 While most current functional beverages are aimed at the high-income consumer, there is an
411 argument to be made that those who would benefit most from fermented beverages are from
412 underdeveloped nations, where such beverages could provide a cost-effective means of
413 delivering much-needed nutrition (Van Wyk, Britz, & Myburgh, 2002).

414

415 ***Substrate exploration***

416 The US, Europe and Japan markets account for over 90% of total functional foods, with the
417 majority being functional dairy products. However, non-dairy probiotic delivery has been
418 attracting more attention in recent years, partly due to the success of bio-functional foods and
419 the desire to expand and provide an alternative probiotic choice to conventional dairy-based
420 beverages. Indeed, this market is projected to have an annual growth rate of 15% between
421 2013 and 2018 (Marketsandmarkets, 2013). Non-dairy probiotic beverages are particularly
422 attractive due to their lack of dairy allergens, low cholesterol content and vegan-friendly status
423 (Prado, Parada, Pandey, & Soccol, 2008). Furthermore, different substrates can provide
424 different combinations of antioxidants, dietary fibre, minerals and vitamins.

425 To this end, cereal-based beverages could be marketed in response to consumers'
426 awareness of the benefits of high fibre diets. They contain natural prebiotic traits due to the
427 presence of indigestible fibres and the presence of diacetyl acetic acid aromatic compounds
428 make them palatable, and furthermore, could be cheaper to produce. Oats, a major source of
429 beta-glucan which can reduce LDL-cholesterol, are known to function as a prebiotic by boosting
430 bifidobacteria numbers in the gut (Mårtensson *et al.*, 2005), and have been investigated with a
431 view to producing synbiotic beverages. Indeed, a fermented oat drink with two *Bifidobacterium*

432 *longum* strains was shown to normalise bowel movements in elderly patients (Pitkala *et al.*,
433 2007). Malt and barley have also been used as beverage substrates (Rathore, Salmerón, &
434 Pandiella, 2012), while Emmer, an ancient European cereal has also shown potential as a
435 functional cereal beverage (Coda, Rizzello, Trani, & Gobbetti, 2011).

436 There has also been a positive trend towards the consumption soy products, as evident
437 in worldwide soy food sales, which increased from €218 million to almost €2.9 billion between
438 the years 1992 and 2008, and continues to increase (Granato, Branco, Nazzaro, Cruz, & Faria,
439 2010). Soy-based beverages contain low cholesterol and low saturated fats, are lactose-free,
440 are rich in isoflavones and antioxidants, and have been shown to exert beneficial effects on the
441 host. Soy milks are capable of fermentation by probiotic strains and, when fermented by
442 *Bifidobacterium* and *Lactobacillus*, have been shown to have a positive impact on the
443 ecosystem of the intestinal tract (Cheng *et al.*, 2005). Positive consumer attitudes towards soy
444 have encouraged industry to develop probiotic derivatives with several varieties already
445 available commercially (Haelan951[®] and Jiva[™]).

446 The utilisation of waste products to generate functional beverages has seen increased
447 interest, with whey being the most prominent example. Whey is a by-product of the cheese
448 industry, which retains 55% of milk nutrients and contains only 0.36% fat, and has the potential
449 for further use in the human diet. In an effort to add value to whey, numerous studies have
450 investigated its fermentation by lactic acid bacteria (*Streptococcus* and *Lactobacillus*) to
451 produce a lactic probiotic beverage, and probiotic bacteria have already demonstrated good
452 survival in whey (Drgalic, Tratnik, & Bozanic, 2005). Prebiotics have also been successfully
453 incorporated, including oligofructose and inulin, and hydrocolloid thickening agents added to
454 improve viscosity and mouthfeel (Gallardo-Escamilla, Kelly, & Delahunty, 2007).

455 One of the most exciting developments is the development of fruit juices, which have
456 been shown to have considerable market value and consumer acceptance (Sun-Waterhouse,
457 2011). Already considered a healthy food product, fruit juices are often fortified with vitamins
458 and minerals, in addition to having a high nutrient and antioxidant content, and represent a
459 new method of nutrient and probiotic delivery. As an increasing number of studies are
460 demonstrating, sugars naturally present in juices can facilitate the growth of cultures with

461 appealing taste profiles. This is true of tomato, pomegranate, pineapple, orange and cashew-
462 apple juice. These microbes can impact on physiochemical aspects, such as increasing the
463 concentrations of flavanones and carotenoids in orange juice, and have shown good survival
464 rates during storage of the beverages. While the final content of such beverages are quite acidic
465 and best suited to fermentation by probiotic *Lactobacillus* species (*L. casei*, *L. acidophilus*, *L.*
466 *plantarum*, *L. parachesei* and *L. delbrueckii*), the use of microencapsulation technology could
467 aid in the delivery of other viable probiotic microorganisms (Champagne & Fustier, 2007). The
468 enrichment of juices with brewer's yeast autolysate before fermentation positively impacts on
469 the nutritional content of the final beverage, raising the feasibility of co-fermentation by the
470 right combination of bacteria and yeast (Priya, Pushpa, 2013). Examples of commercially
471 available probiotic-containing fruit juices include Biola® and Bioprofit®. Similar microorganisms
472 have also been shown to successfully ferment various vegetable juices including cabbage, beet,
473 pumpkin, courgette and carrot juices supplemented with prebiotics (Martins *et al.*, 2013).

474 The major challenge with any substrate/culture combination is to overcome the sensory
475 hurdles of sour, acidic fermentates, and produce a palatable beverage that would realistically
476 be consumed regularly to avail of functional benefits. There exists the option of combining fruit
477 or vegetable juices with fermented milks as natural flavourings to overcome undesirable
478 flavours in otherwise promising beverages/products. To this end, the inclusion of sensory panel
479 evaluations provides invaluable information regarding consumer acceptance, especially for
480 non-dairy products which are intrinsically more difficult to sell than their dairy counterparts.
481 The use of direct liquid inoculation systems to include probiotics while avoiding fermentation
482 side-effects has its own problems in ensuring cell viability and stability during storage.

483

484 ***Fermentation parameters***

485 In addition to the importance and ratio of starter selection, as already described, the
486 fermentation of potentially health-promoting beverages needs to be carefully controlled to
487 achieve stability, sensory and safety standards. Changes in the concentration of sugars and
488 other compounds need to be carefully monitored both during and after fermentation, and is
489 particularly important with respect to the production of ethanol and carbon dioxide. Sensitive
490 techniques, including high performance liquid chromatography (HPLC) and gas chromatography

491 (GC), are now routine for such analyses. Antioxidant levels may also be measured by ferric
492 reducing ability of plasma (FRAP) and 2,2-diphenyl-1-picrylhydrazyl (DPPH) assays. pH is
493 obviously crucial to the success of a fermentation and can be lowered to a specified level prior
494 to fermentation to encourage enzymatic activity and prevent contamination. The concentration
495 of oxygen in the brewing environment can also be important depending on the homo- or
496 hetero-fermentative nature of the cultures. Metabolic engineering of fermenting
497 microorganisms may eventually be accepted to boost concentrations of desirable compounds in
498 the final products. Other factors to be considered include the choice of substrates, particularly
499 the types and concentrations of carbohydrates, and the treatment of raw ingredients such as
500 cereals, by homogenisation, for example, can allow for more effective metabolism and release
501 of bioactive peptides. The time and intensity of heat during pasteurisation need to be
502 considered. The addition of certain compounds, such as ascorbic acid and NaFeEDTA, can
503 encourage the release by fermentation of bioactives, such as, zinc and iron, in the final
504 beverage. Conversely, the concentration of phytic acid, an inhibitor of mineral absorption,
505 particularly with regards to cereals, could lower the mineral impact of the final beverage, and
506 the inclusion of phytases might be necessary to ensure or augment health claims. The
507 concentration of bulk starch and other factors will impact the consistency of the final drink,
508 providing either a thin and free-flowing product or a thicker beverage with a smoothie-like
509 consistency. Natural antimicrobials, such as bacteriocins, may be included to act as
510 preservatives and prevent the growth of spoilage organisms. As microbes, particularly yeast,
511 continue to grow following storage, packaging must be able to withstand the pressure
512 generated as a consequence of gas production, with either plastic or glass containers.
513 Additionally, viability during storage needs consideration, particularly for cereal-type beverages
514 that would traditionally be stored at room temperature.

515 Clearly, there a number of parameters and variations that need to be measured,
516 controlled and experimented with to determine the optimum conditions for fermentation, and
517 proven to be consistent following up-scaling. Automated processes such as controlled nutrient
518 availability and stirring can influence efficiency of the fermentation. Ongoing research into

519 community analysis and fermentation biochemistry will inform future decisions regarding the
520 control of processes for these types of fermentations.

521

522 ***Beverage enhancement***

523 There are now a variety of enhancements that can be made to both traditional and novel
524 beverages to boost health claims. Prebiotics, including fructooligosaccharides, inulin and
525 galactooligosaccharides, are often added commercially to fermented milks to promote the
526 growth of favourable bacteria (Huebner, Wehling, & Hutkins, 2007), while investigations of
527 other prebiotics such as oligofructose and polydextrose have also yielded positive results
528 (Oliveira *et al.*, 2009). In addition to preventing and treating intestinal-associated diseases, the
529 incorporation of bio-active nutraceuticals such as ω -3 fatty acids, isoflavones and phytosterols
530 in fermented milks also have potential applications (Awaisheh, Haddadin, & Robinson, 2005).
531 Isoflavones are powerful antioxidants, comparable to vitamin E, while plant-derived
532 phytosterols are cholesterol-lowering agents. Addition of such compounds, however, can be
533 complicated as ω -3 fatty acids are sensitive to light, air and heat, and can cause undesirable
534 flavours in the end product, while isoflavones and phytosterols have poor solubility in water,
535 and might be difficult to incorporate into non-fat solutions. ω -3 fatty acids can now be
536 microencapsulated to hide fishy off-notes.

537 A variety of vitamins and minerals may be added, including vitamins D, E and C, calcium
538 and magnesium, while fortification of fermented milks with iron was shown to improve the
539 growth of preschool children (Silva, Dias, Ferreira, Franceschini, & Costa, 2008). Microorganisms
540 can also provide functional metabolites, which has encouraged the screening of ecological
541 niches, such as marine environments, in addition to the previously referred to gut-derived
542 probiotics, for novel nutraceuticals, the likes of which may eventually be incorporated into
543 functional beverages (Dewapriya & Kim, 2013). Finally, it may be necessary to combat or mask
544 resulting undesirable flavours and aromas arising from the addition of functional ingredients,
545 using flavour enhancers (e.g. fruit flavours or spearmint) and natural or artificial sweeteners.

546

547 **Conclusions and future prospects for fermented beverages**

548 Most of the beverages described in this review are still in the early stages of commercial
549 development, and require further extensive sensory, physical and chemical characterisation to
550 develop a palatable flavour profile and viable product.

551 In terms of traditional fermented beverages, there is still a great deal to be understood.
552 First and foremost, there needs to be a consensus with respect to what constitutes the natural
553 microbiota of specific beverages, a description of which are essential for fermentation, and the
554 contribution of each microbe to the final beverage composition. Also important is the
555 characterisation of the relationship between microorganisms, particularly between bacterial
556 and yeast populations. The influence of containers, substrates, metabolites and enhancements
557 on the organoleptic qualities and fermentation kinetics need to be evaluated. Fortunately,
558 technology is advancing such that sensitive techniques can now be used in an increasingly cost-
559 effective manner to provide greater insight.

560 Critically, there is increasing pressure to identify and confirm proposed health claims for
561 the consumer. The role of traditional beverages in the future of the fermented beverage
562 industry may be to inspire the development of new products (and assess a country's willingness
563 to accept a product), whereby it is easier to develop simple, novel beverages and directly
564 evaluate the functional and sensory properties in controlled fermentations with minimum
565 variables. Indeed, this is a key hurdle in the marketing of such products, especially in light of
566 increasing awareness amongst consumers and the emergence of strict legislation. Considering
567 the costs of development and clinical trials, innovation in the functional food market may need
568 to become a collaborative effort between industry partners and academia (Khan, Grigor,
569 Winger, & Win, 2013). Nonetheless, this is an exciting time for beverage development.
570 Advances in probiotic (including yeast species) discovery and characterisation will advance the
571 possibilities for health claims and starter design. The milk sector has already seen great success
572 in this regard, and as probiotics are intrinsically linked to the health claims of many beverages it
573 is natural to assume this will extend to other varieties of beverages to hit the market, with
574 success already seen with probiotic soy beverages, and exciting developments with juice
575 beverages. This is particularly true as the importance of gut health to our well-being becomes
576 increasingly apparent. As our knowledge and discovery of probiotics increases, so too will the

577 need for alternative means of probiotic delivery. Additionally, as research into the fermentation
578 of waste and by-products products (e.g. whey) continues, there is the potential for a significant
579 environmental impact.

580 As developed society becomes more health-conscious, particularly in response to the
581 growing obesity epidemic, the market for functional food appears to be in a long-term,
582 sustainable trend (Bigliardi & Galati, 2013), with beverages constituting a substantial share of
583 this market. Aside from marketing to health-conscious (and high-income) consumers, there is
584 evidence that functional beverages could function as a therapeutic product, particularly as a
585 means of delivering nutrition to, and improving the health of, malnourished populations. This
586 medicinal impact may also be augmented by the growing field of nutraceuticals, addition of
587 cholesterol-controlling factors, and in terms of probiotics, the alleviation of intestinal
588 discomfort and aiding in the recovery from antimicrobial treatment. One aspect that cannot be
589 underestimated in the development of beverages is the need to accurately assess the market
590 potential for the product. The obvious hurdle is consumers' willingness to accept an unfamiliar
591 product, and the right combination of starters and substrates, optimum nutrition and flavour
592 development and scientifically-supported health benefits need to be carefully considered. It has
593 been shown that taste, price and base nutritional composition are more important than
594 functional properties (Falguera, Aliguer, & Falguera, 2012). Consumers perceive products that
595 are intrinsically healthy such as yoghurt, fruit juices and cereal as preferable carriers of
596 functional foods (Annunziata & Vecchio, 2011), reflected in the increase in the study of these
597 food types, and which may allow developers to exploit natural mineral and vitamin content of
598 foods and juices already perceived to be healthy.

599 In conclusion, fermentation is an ancient form of bio-preservation that is common to all
600 regions of the world. With traditional milk-fermented products currently enjoying success in
601 many markets, there is an increasing interest in functional beverages from a scientific,
602 consumer and commercial perspective. There is a movement in the modern consumers'
603 selection of foods that offer health, social and environmental benefits, which has encouraged
604 the food industry to develop new products and market strategies. The functional beverage
605 market is still small and fragmented in most European countries (Siro et al, 2008), but it is

606 expected that this area will see much success in the coming years. Indeed, with the availability
607 and improvements in technology, and consumers' increasing interest in functional foods, the
608 outlook for fermented beverages is more promising than ever.

Table 1 A compilation of various milk, cereal and other fermented beverages popular around the world, with their corresponding microbial populations and substrates

Product	Substrates	Region	Microflora
Amasi	Milk (Cow, Various)	Africa (Zimbabwe)	<i>Lactococcus</i> (<i>L. lactis</i>), <i>Lactobacillus</i> , <i>Leuconostoc</i> , <i>Enterococcus</i> . Uncharacterised fungal component
Aryan	Milk (Cow, Various)	Turkey	LAB: <i>Lactobacillus bulgaricus</i> , <i>Streptococcus thermophilus</i>
Garris	Milk (Camel)	Africa (Sudan)	Bacteria: <i>Lactobacillus</i> (<i>Lb. parachesei</i> , <i>Lb. fermentum</i> and <i>Lb. plantarum</i>), <i>Lactococcus</i> , <i>Enterococcus</i> , <i>Leuconostoc</i> . Uncharacterised fungal component
Kefir	Milk (Cow, Various)	Eastern Europe (Caucasian region)	Bacteria: <i>Lactococcus</i> , <i>Lactobacillus</i> , <i>Leuconostoc</i> , <i>Acetobacter</i> ; Yeast: <i>Naumovozya</i> , <i>Kluyveromyces</i> , <i>Kazachstania</i>
Kivuguto	Milk (Cow)	Africa (Rwanda)	LAB: <i>Leuconostoc</i> (<i>Leu. mesenteroides</i> , <i>Leu. pseudomesenteroides</i>) and <i>L. lactis</i> . Uncharacterised fungal component
Koumiss/Airag	Milk (Horse)	Asia/Russia	LAB: <i>Lactobacillus</i> ; Yeast: <i>Kluyveromyces</i> , <i>Saccharomyces</i> and <i>Kazachstania</i>
Kumis	Milk (Cow)	South America (Columbia)	Bacteria: <i>Lb. cremoris</i> , <i>L. lactis</i> , <i>Enterococcus</i> (<i>E. faecalis</i> , <i>E. faecium</i>); Yeast: <i>Galactomyces geotrichum</i> , <i>Pichia kudriavzevii</i> , <i>Clavispora lusitaniae</i> , <i>Candida tropicalis</i>
Nyarmie	Milk (Camel)	Africa (Ghana)	LAB: <i>Leu. mesenteroides</i> , <i>Lb. bulgaricus</i> , <i>Lb. helveticus</i> , <i>Lb. lactis</i> , <i>Lactococcus lactis</i> ; Yeast: <i>Saccharomyces cerevisiae</i>
Rob	Milk (Unspecified)	Africa (Sudan)	LAB: <i>Lb. fermentum</i> , <i>Lb. acidophilus</i> , <i>L. lactis</i> , <i>Streptococcus salivarius</i> ; Yeast: <i>Saccharomyces cerevisiae</i> , <i>Candida kefir</i>
Suusac	Milk (Unspecified)	Africa (Kenya)	LAB: <i>Leu. mesenteroides</i> , <i>Lactobacillus</i> (<i>Lb. plantarum</i> , <i>Lb. cruvatus</i> , <i>Lb. salivarius</i> , <i>Lb. Raffinolactis</i>); Yeast: <i>Candida krusei</i> , <i>Geotrichum penicillatum</i> , <i>Rhodotorula mucilaginosa</i>

Shubat	Milk (Camel)	China	Bacteria: <i>Lactobacillus</i> (<i>Lb. sakei</i> , <i>Lb. Helveticus</i> , <i>Lb. brevis</i>) <i>Enterococcus</i> (<i>E. faecium</i> , <i>E. faecalis</i>), <i>Leu. lactis</i> and <i>Weissella hellenica</i> ; Yeast: <i>Kluyveromyces marxianus</i> , <i>Kazachstania unisporus</i> , and <i>Candida ethanolica</i>
Amazake	Rice	Japan	Fungi: <i>Aspergillus</i> spp
Boza	Various (Barley, Oats, Rye, Millet, Maize, Wheat or Rice)	Balkans (Turkey, Bulgaria)	LAB: <i>Leuconostoc</i> (<i>Leu. paramesenteroides</i> , <i>Leu. sanfranciscensis</i> , <i>Leu. mesenteroides</i>), <i>Lactobacillus</i> (<i>Lb. plantarum</i> , <i>Lb. acidophilus</i> , <i>Lb. fermentum</i>); Yeast: <i>Saccharomyces</i> (<i>S. uvarum</i> , <i>S. cerevisiae</i>), <i>Pichia fermentans</i> , <i>Candida</i> spp.
Bushera	Sorghum, Millet flour,	Africa (Uganda)	Bacteria: <i>Lactobacillus</i> , <i>Streptococcus</i> , <i>Enterococcus</i> . Uncharacterised fungal component
Koko Sour Water	Cereal (Pearl Millet)	Africa (Ghana)	Bacteria: <i>Weissella confusa</i> , <i>Lb. fermentum</i> , <i>Lb. salivarius</i> , <i>Pediococcus</i> spp. Uncharacterised fungal component
Kvass	Rye bread, rye and barley malt/flour,	Russia	LAB: <i>Lb. casei</i> , <i>Leu. mesenteroides</i> ; Yeast: <i>Saccharomyces cerevisiae</i>
Mahewu	Maize, Sorghum/Millet	Africa (Zimbabwe)	Unknown
Pozol	Maize	Mexico (Southeast)	Bacteria: <i>L. lactis</i> , <i>Streptococcus suis</i> , <i>Lactobacillus</i> (<i>Lb. plantarum</i> , <i>Lb. casei</i> , <i>Lb. alimentarium</i> , <i>Lb. delbruekii</i>), <i>Bifidobacterium</i> , <i>Enterococcus</i> . Uncharacterised fungal component
Togwa	Maize flour, Finger Millet Malt,	Africa (Tanzania)	LAB: <i>Lactobacillus</i> spp.; Yeast: <i>Saccharomyces cerevisiae</i> , <i>Candida</i> spp.
Hardaliye	Grapes/Mustard Seeds/Cherry Leaf	Turkey	LAB: <i>Lactobacillus</i> spp. Uncharacterised fungal component
Kombucha	Tea	China, Worldwide	Bacteria: <i>Gluconacetobacter</i> (<i>G. xylinus</i>), <i>Acetobacter</i> , <i>Lactobacillus</i> ; Yeast: <i>Zygosaccharomyces</i> , <i>Candida</i> , <i>Hanseniaspora</i> , <i>Torulasporea</i> , <i>Pichia</i> , <i>Dekkera</i> , <i>Saccharomyces</i>
Water Kefir	Water/Sucrose	Mexico, Worldwide	Bacteria: <i>Lactobacillus</i> (<i>Lb. casei</i> , <i>Lb. hilgardii</i> , <i>Lb. brevis</i> , <i>Lb.</i>

plantarum), *L. lactis*, *Leu. mesenteroides*, *Zymomonas*; Yeast: *Dekkera* (*D. anomola*, *D. bruxellensis*), *Hanseniaspora* (*H. valbyensis*, *H. vineae*) *Saccharomyces cerevisiae*, *Lachancea fermentati*, *Zygosaccharomyces* (*Z. lentus*, *Z. florentina*)

Figure 1:

An overview of the interlinked processes and considerations in fermented beverage production and development.

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