


# Biogeochemical Research Priorities for Sustainable Biofuel and Bioenergy Feedstock Production in the Americas

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**Abstract** Rapid expansion in biomass production for biofuels and bioenergy in the Americas is increasing demand on the ecosystem resources required to sustain soil and site productivity. We review the current state of knowledge and highlight gaps in research on biogeochemical processes and ecosystem sustainability related to biomass production. Biomass production systems incrementally remove greater quantities of organic matter, which in turn affects soil organic matter and associated carbon and nutrient storage (and hence long-term soil productivity) and off-site impacts. While these consequences have been extensively studied for some crops and sites, the ongoing and impending impacts of biomass removal require management strategies for ensuring that soil properties and functions are sustained for all combinations of crops, soils, sites, climates, and management systems, and that impacts of biomass management (including off-site impacts)

are environmentally acceptable. In a changing global environment, knowledge of cumulative impacts will also become increasingly important. Long-term experiments are essential for key crops, soils, and management systems because short-term results do not necessarily reflect long-term impacts, although improved modeling capability may help to predict these impacts. Identification and validation of soil sustainability indicators for both site prescriptions and spatial applications would better inform commercial and policy decisions. In an increasingly inter-related but constrained global context, researchers should engage across inter-disciplinary, inter-agency, and international lines to better ensure the long-term soil productivity across a range of scales, from site to landscape.

**Keywords** Agroecosystem · Bioenergy feedstock · Carbon · Forestry · Soil · Sustainability

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## Introduction

Renewable energy is increasingly used as an alternative to fossil fuels, with production rising steadily over the past 30 years (IPCC 2011; Chum et al. 2011). Approximately 10 % (50.3 EJ; EJ =  $10^{18}$  J) of the world's primary energy supply (492 EJ) came from renewable sources in 2008, mostly from traditional fuelwood ( $\sim 25$  EJ) and from modern bioenergy ( $\sim 15$  EJ) (IPCC 2011; Chum et al. 2011). Bioenergy can be derived from a range of feedstocks, including grains, seeds, and cellulosic biomass (IPCC 2011). Feedstocks can be by- or co-products of traditional agricultural and forestry products or can come from dedicated biomass crops (e.g., Miscanthus, willow). Feedstock production for bioenergy also typically takes place within a larger system of land management for food, feed and/or fiber within the landscape.

Bioenergy is part of the solution to global energy, climate, and ecological challenges (Achten et al. 2012) but must be environmentally, socially, and economically sustainable (World Commission on Environment and Development 1987) if its benefits are to be fully realized. However, questions have been raised about the extent to which increased production will be environmentally sustainable (Lal 2005, 2007) because increased demand on finite soil resources for food, feed, fiber, and fuel for the world's growing population can exacerbate environmental problems, including soil degradation.

Soil is a fundamental natural resource that influences nutrient cycling, terrestrial carbon (C) sequestration, and the hydrologic cycle (Brady and Weil 2007; Binkley and Fisher 2013). Identifying processes, practices, and policies for sustainable management of soil resources for biomass production is critical because unsustainable production can be catastrophic in extreme cases, as exemplified by the collapse of the Sumerian civilization of ancient Mesopotamia and the "Dust Bowl" in the US during the 1930s (Lowdermilk 1953; Troeh et al. 1980). While physical loss of soil through erosion is an obvious problem, crop residue (straw and stover) removal from agricultural fields can reduce crop yield (Blanco-Canqui and Lal 2009a, b; Malhi and Lemke 2007; Miner et al. 2013; Wilhelm et al. 2004; Wilts et al. 2004). Similarly, it has been known in forestry for over 100 years that organic matter (OM) removal through litter raking under trees (for animal bedding) can reduce tree growth (Ebermayer 1876 in Johnson 1994), and modern harvesting (residue removal) from forests for bioenergy can lead to reduced growth on some sites (Scott and Dean 2006; Gonçalves et al. 2008).

Sustainable soil management is essential if bioenergy derived from biomass is to be an acceptable alternative to fossil fuels, regardless of the crop, management practices,

and harvesting intensities. Exchange of knowledge and experience can enhance soil sustainability but is often west-east across the Atlantic Ocean, rather than north-south; for example, recent reviews on impacts of harvesting forest residue by North Americans and Europeans (Thiffault et al. 2011; Wall 2012) have not included information from the southern hemisphere. However, Pan-American countries in both hemispheres produce large amounts of liquid and solid biofuels (Janssen and Rutz 2011; Lamers et al. 2012; REN21 2014; Rutz et al. 2010), and this is predicted to increase. Geographical north-south connectedness, evolving free-trade agreements between Pan-American countries, and the potential for export- as well as domestic-oriented biofuel development suggests that sharing knowledge and experience in bioenergy production, including research on and implementation of the most sustainable soil management practices, will benefit the Americas.

Developing effective collaborative research strategies requires a clear understanding of the greatest research needs. A review of the literature was therefore completed for a spectrum of biomass feedstock sources from agricultural, forestry, agroforestry, and short-rotation woody crops (SRWC), focusing on (1) management systems in which increased biomass harvesting for energy production is incremental to traditional practices and (2) dedicated biomass crops. This information was used to identify key biogeochemical cycling knowledge gaps that need to be addressed to ensure sustainable management of soils in bioenergy feedstock production systems in the Americas.

## Geographical Context

The Americas encompass extreme contrasts, from the Arctic, south through the tropics, to the sub-Antarctic. A wide range of soils, landforms, and climates are found within this vast area, including all 28 FAO/UNESCO soil orders (Fig. 1; Batjes 2009) and most of the global climate zones (Fig. 2).

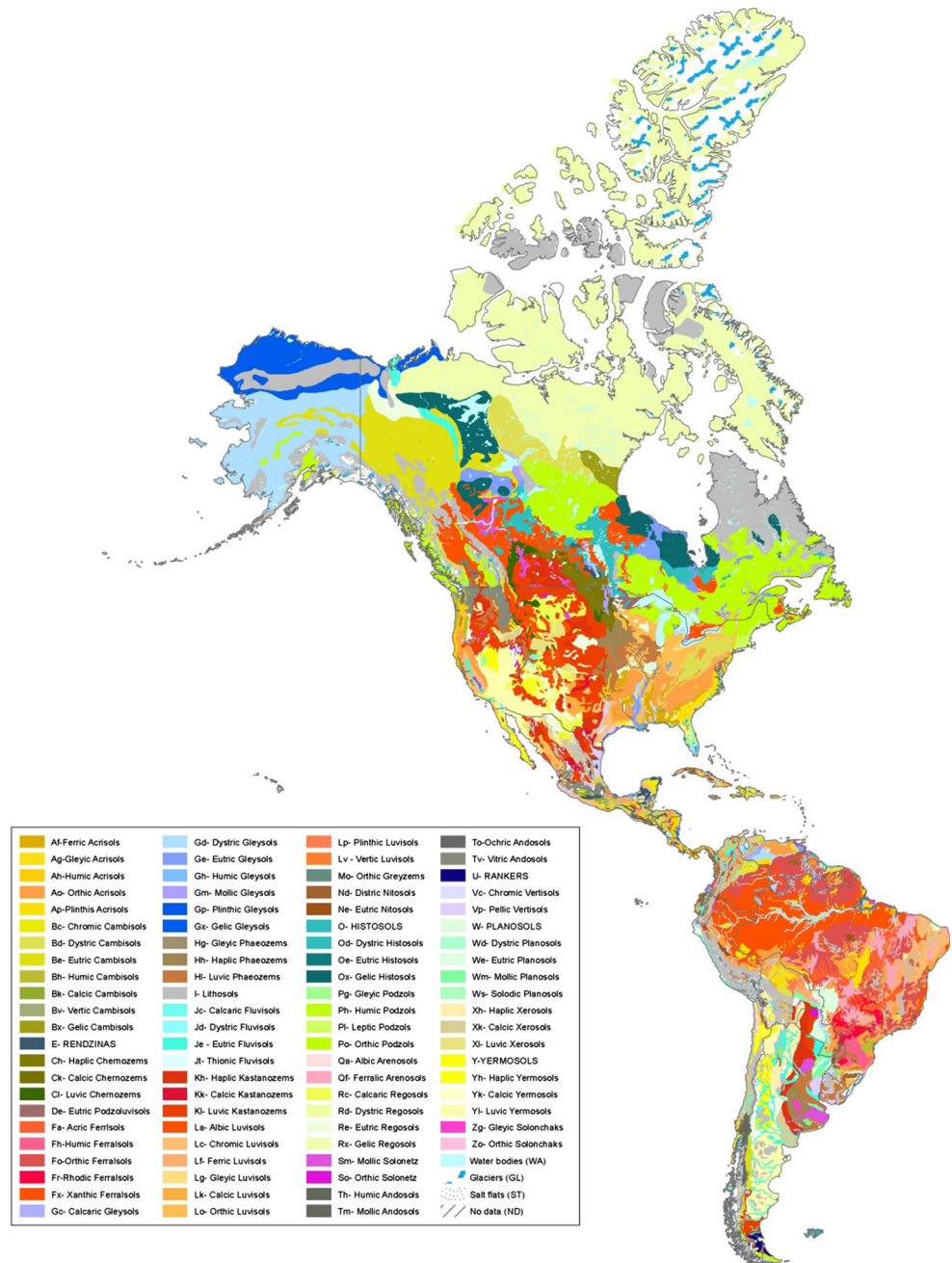
## Feedstock Types

A range of species can be grown or managed to produce biomass feedstocks. Biomass can be harvested from agricultural and forest systems as either a co-product of harvesting or the sole product.

## Agriculture

Agricultural production of biomass feedstocks ranges from increased use of traditionally unharvested plant matter (e.g.,

**Fig. 1** Soil distribution in the Pan-American region (FAO-UNESCO 2007). (Reproduced with permission of FAO-UNESCO)

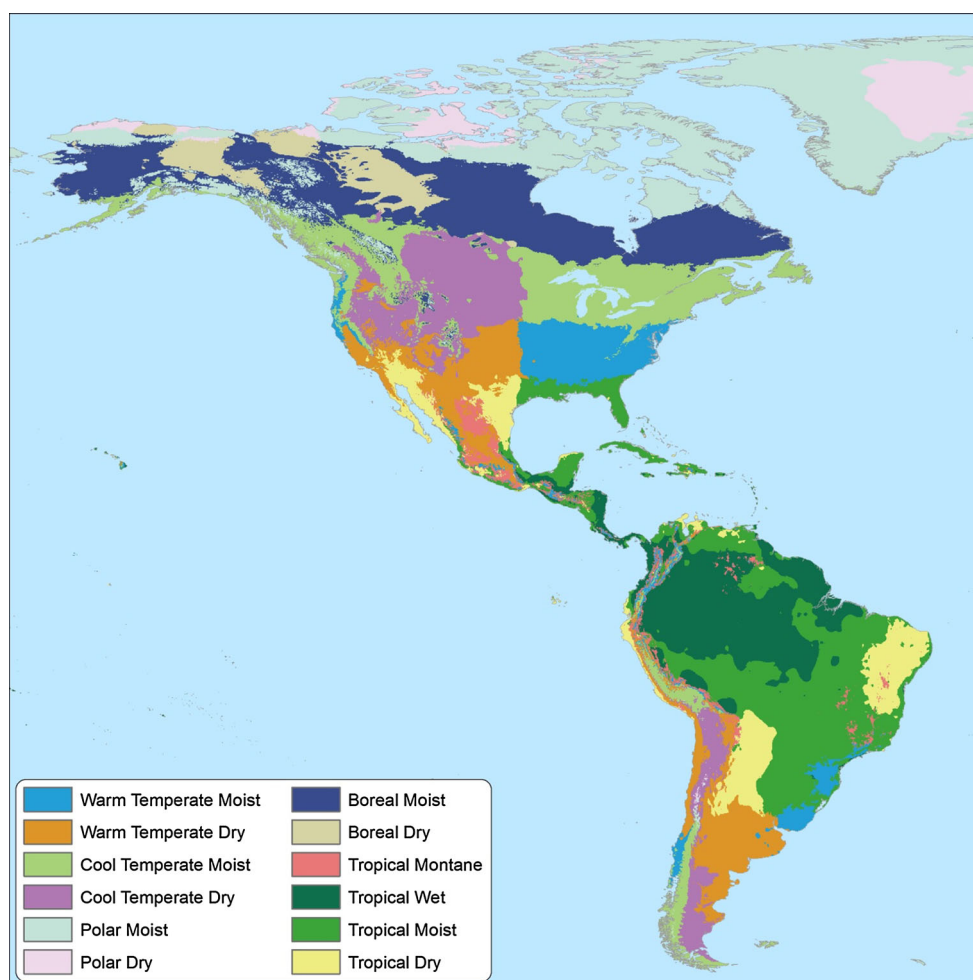


stover and bagasse) to new management systems for perennial grasses. Both types of biomass production entail a wide variety of management practices that vary by feedstock, climate, soil, and local to regional traditions. Some overarching agronomic management systems and practices that play a key role in biogeochemical cycling include nutrient management systems (organic vs. synthetic fertilizers), tillage, irrigation, monoculture, cover crops and/or crop rotations, and precision agriculture. Each of these system choices affects the soil properties and processes differently.

Cropping systems are typically classed as either organically managed or conventionally managed systems, in

which plant nutrients are supplied either by application of municipal waste, green (plant material), or animal manure or by application of synthetic fertilizers. Organic materials can contribute to a long-term increase in soil organic matter (SOM) and associated C, nitrogen (N), phosphorous (P), other macro- and micro-nutrients (S, Ca, Mg, B, Cu, Fe, Cl, Mn, Mo, and Zn), and also improve soil chemical, physical, and biological properties if handled properly. Application of manure is used in modern cropping systems to increase SOM (Edmeades 2003; Tester 1990; Vitosh et al. 1997) and improve soil productivity. In contrast, conventional agricultural cropping systems rely on

**Fig. 2** Climatic zones of the Pan-American region (JRC European Soil Portal 2010; Hijmans et al. 2005; Panagos et al. 2012). (Reproduced with permission of European Commission, Joint Research Centre, Land Resource Management Unit)



fertilizer; however, these systems also require regular organic inputs from crop residue, root biomass, and rhizodeposition to maintain SOM, soil nutrients, and soil physical properties.

Tillage affects the relative amount of C derived from aboveground compared to belowground-biomass. In a no-tillage (NT, one pass direct seeding without previous tillage) system, aboveground biomass will primarily build a duff layer, which reduces soil erosion; only limited amounts of C will be transported belowground by animal activity and dissolved C leaching into the soil. In contrast, moldboard plowing buries crop residue (Allmaras et al. 1996; Staricka et al. 1991) and changes the soil structure, which alters the decomposition dynamics (Burgess et al. 2002) and tends to increase decomposition rates. Tillage depth and intensity varies between farms and between regions, depending on tillage implement. Crop residue amount and placement have significant and complex interactions with soil water and thermal regimes, which in turn have important consequences for soil C dynamics (Power and Doran 1988) and nutrient availability.

Some crop management practices (e.g., irrigation, cover crop, fallow cropping, and crop rotation) depend on total annual precipitation, availability of water source and crop water requirement, and affect crop yield, SOM, and water and nutrient cycling. A cropping system that is viable on one farm or in one region may therefore not be sustainable in another. Management concerns such as plant nutrient level, soil temperature, soil water balance, residue or organic waste inputs, and soil disturbance all interact to control SOM and crop production, which also affects soil organic carbon (SOC, the carbon fraction of SOM). Addition or loss of C thus affects biomass production.

### Forestry

Forest management also varies in intensity (Stone 1975). Stands can be intensively managed as plantations to increase productivity over shorter time-periods (i.e., rotations) using treatments such as screening (humus removal), plowing, tilling, burning, planting, herbicide application, fertilization, thinning, and coppicing, some of which are

similar to treatments used in agriculture. Plantation forestry is common in warm climates with high productivity, such as pine and eucalyptus in the southern US and South America. In contrast, natural forests can be managed with few or no treatments, and harvesting may be accomplished with the aim of emulating natural disturbances. Final felling in both plantations and natural forests can remove all merchantable stems (clearcutting) or only some (selection felling systems). Harvesting residues (tops, branches, foliage) are one of the most readily accessible forest-based biomass sources. On-site residue (trees “processed at stump”) can be managed to reduce fire and forest health risks, and to reduce its physical impedance to artificial or natural regeneration by tilling into the mineral soil, physical removal, piling, or prescribed burning. A second entry with equipment is then required to remove residue for bioenergy when it is left on site. In other harvesting systems, whole trees are cut and removed to roadside, where they are de-limbed and tops removed (“processed at roadside”); the harvested residues therefore accumulate off-site and are often burned at roadside if not used for bioenergy. All management practices will affect SOM and nutrient inputs to the site, and can also affect soil physical properties. Unlike agriculture, forest fertilization is not common except in intensively managed plantations. Living tree stems are sometimes used as feedstock, especially if they are removed as unmerchantable thinnings. Dead stems salvaged after natural disturbances can also be used for bioenergy, and can be a potentially larger source of woody biomass than harvesting residue in some regions (Dymond et al. 2010).

### Agroforestry

Agroforestry is the purposeful combining of crops, trees, and sometimes animals on a landscape, and has a long history in Central and South America. Agroforestry systems with a bioenergy component include combinations of traditional agronomic and forestry or SRWC systems, ranging from pine-switchgrass alley cropping in the southeastern US (Albaugh et al. 2012a, b) to the inclusion of bioenergy-specific shrub or tree crops (e.g., jatropha) within the existing cultivated areas (Achten et al. 2010). Many agroforestry practices are similar to traditional agriculture, and use of purposeful combinations of plants can mitigate some negative impacts, and help mitigate climate change (Schoeneberger et al. 2012; Ulloa and Villacura 2005).

### Short-Rotation Woody Crops

Short-rotation woody crops are tree species with potential for high productivity over short-time periods (e.g.,

eucalypts, poplar, willow). They are grown in intensive agricultural-like systems on either agricultural or forest land, and hence bridge agriculture and forestry. Treatments typically include intensive harvesting and site preparation, rapid-growing plant genotypes, chemical site preparation, herbaceous competition control, and fertilization, resulting in high productivity. Combining SRWC with agroforestry can maximize land use and reduce costs of some chemical and mechanical treatments (e.g., poplar in Chile; Ulloa and Villacura 2005). It is likely that nutrient removals will need to be balanced by nutrient amendments to sustain productivity (Heilman and Norby 1998).

## Over-Arching Biogeochemical Cycling Issues

Biomass feedstock management occurs within the context of a number of anthropogenic pressures that have direct and indirect ramifications on biogeochemical cycling and resultant productivity.

*Increased atmospheric CO<sub>2</sub>* can increase crop growth (Allen 1990; Bazzaz 1990; Ainsworth and Long 2005) and increase storage of C in soils in tundra (Billings et al. 1984) and other systems (De Graaff et al. 2006) if there are no other limitations to growth; both of these effects can be expected to affect biogeochemical cycling.

*Climate change* may result in higher air temperatures and alter precipitation patterns, resulting in warmer soil temperatures, greater soil aeration, and higher rates of SOM decomposition (Billings et al. 1984; Oechel and Vourlitis 1995). Photosynthesis and plant growth are generally limited by nutrient availability and soil water content. Elevated temperatures may also modify soil water content and stimulate plant net primary productivity. Soil microorganisms are likely to show an immediate response to higher soil temperature by increasing soil respiration rate, provided that soil water does not become limiting (Giardina and Ryan 2004), and as much as 61 Pg (Pg = 10<sup>15</sup> g) C may be respired from the global soil pool to the atmosphere by ~2050 (Jenkinson et al. 1991). Alternatively, higher rates of decomposition may improve nutrient mineralization rates, and increased N mineralization could support the increased net primary production in response to high CO<sub>2</sub>. However, it is also possible that losses of N from soils could increase with greater rates of mineralization. The potential negative feedback of biogeochemical cycles to climate change is unknown, and there are therefore many uncertainties in predicting the long-term effect of potential climate change on SOM/SOC. In addition, there is much uncertainty about climate change effects in the tropics because most research has been conducted in temperate and boreal systems (Wood et al. 2012). Increased precipitation can lead to loss of soil

fertility through leaching of nutrients, and extreme events can contribute to erosion, loss of water stable aggregates and OM-rich topsoil, which can reduce soil productivity (Gollany et al. 1991, 1992). Extreme drought and wind events may also lead to wind-blown loss of SOM and nutrients associated with clay particles and, in extreme circumstances, to desertification and loss of productive land.

*Deposition* of compounds released to the atmosphere from the burning of fossil fuels (e.g., SO<sub>x</sub>, NO<sub>x</sub>) can lead to regional atmospheric deposition (e.g., acidification of precipitation), resulting in increased base cation leaching from the soil (Lawrence et al. 1997). Intensive biomass removals in forestry can also increase soil acidity (Thiffault et al. 2006). The combined effects of atmospheric deposition and biomass removals can therefore pose a serious threat to northeastern US forests (Adams et al. 2000), and may be most significant in areas with low soil weathering rates. Atmospheric deposition and soil weathering rates therefore contribute to the regional context for incremental loss of cations associated with forest biomass removals, although cation leaching losses caused by biomass harvesting may be proportionately much less than those caused by deposition (Thiffault et al. 2007). However, a local management decision to leave residue on site to reduce acidification and conserve soil cations in areas where this is the main cause of soil cation depletion, or in areas where aquatic systems are readily affected by these depletions (Jeziorski et al. 2008, 2015), may be economically and socially easier to implement than regional reductions in atmospheric deposition. Conversely, atmospheric deposition can also cause N-enrichment (Aber et al. 2003), which in turn can cause other nutrients to become limiting to tree growth; however, removing forest residue for bioenergy can reduce this excess N (Lundborg 1997).

In agriculture, soil acidification can restrict crop growth and favor growth of acid-tolerant plants. Soil acidification from long-term N fertilization in soils with low SOM can also lead to silica solubilization and movement within the soil profile, forming a layer that impedes water movement and root penetration into the profile (Gollany et al. 2005, 2006).

*Nutrient scarcity* concerns have arisen, especially for P (“peak P”; Cordell et al. 2009), because P, K, and even Ca reserves are finite, based on current mining and fertilizer production technologies. The need for nutrient conservation may increase the pressure to leave biomass on site in the future to retain P and K, especially if bioenergy is considered less of a long-term priority than food crop and stemwood production. This may lead to new ways to valorize and recover scarce minerals exported from sites in biomass.

*Land use and land cover change (LULCC)* is the human modification of land due to a change in management or

vegetation. Changes from natural to agricultural or commercial forest systems have occurred for decades or even to millennia ago, and hence impacts of some level of past, present, or future change is inherent in all managed land, regardless of whether it is used for bioenergy feedstock production or not (<1 % of global agricultural land is used for biofuel production; Berndes et al. 2010). Impacts of change arising from bioenergy feedstock production may range from negligible in some ecosystems (e.g., minor crop changes on agricultural land) to substantial and with global consequences in others (e.g., conversion of native forests, especially tropical forested peatlands). In addition, some indirect conversion of primary forests for agriculture can take place if traditional crops are displaced elsewhere by new bioenergy crops (Magrin et al. 2014).

These changes can affect hydrology, desertification, biodiversity, and human health (DeFries et al. 2004). However, an increase in GHG emissions is perhaps the most important impact (Gelfand et al. 2011) because net reduction of GHGs is a key rationale for bioenergy use. The production of GHGs following LULCC can be large; for example, the CO<sub>2</sub> released to the atmosphere from LULCC in the tropics is  $1.1 \pm 0.3$  Pg (1 Pg =  $10^{15}$ g) C/y (Achard et al. 2004), which is equivalent to 12–20 % of global human-induced GHG emissions (Don et al. 2011). This important and specific aspect of LULCC is addressed in detail later within the context of SOC and GHG emissions. Bioenergy feedstock production is not the only cause of LULCC, which also takes place for food production, creation of forest plantations for traditional products, and infrastructure.

On the other hand, dedicated biomass crops are sometimes well suited for highly erodible and marginal lands, and for replacing annual crops where production is inefficient and where it is ecologically beneficial (Paine et al. 1996; Davis et al. 2012). However, meta-analysis of 153 sites afforested with fast-growing tree species showed decreases in soil C and most nutrients, suggesting caution is required when harvesting biomass (Berthrong et al. 2009); and marginal lands may require heavy fertilization that can cause non-soil-related problems (Wiegmann et al. 2008). Furthermore, not all marginal lands are suitable for bioenergy production because soil erosion can increase on steep slopes if cultivated, and saturated soils can emit high levels of GHG when drained and SOM is oxidized.

*Cumulative effects* of climate change, CO<sub>2</sub> elevation, atmospheric deposition, and other global and regional impacts may generate unforeseen consequences: no single over-arching pressure on its own may preclude sustainable soil management for biomass, but their interaction plus incremental removals of biomass may, in as-yet-unforeseen circumstances, become problematic in the future (Maynard et al. 2014).

## Soils and Biogeochemical Cycling

Soil, plants, and their environment interact to determine rates of biogeochemical cycling. Soil-related attributes and processes that determine the sustainability of biogeochemical cycling when managing for biomass feedstocks can be broadly grouped as physical, chemical, or biological.

*Physical properties* that play key roles in soil function include texture, soil aggregate stability, soil bulk density, water holding capacity and storage, infiltration, and erosion. Physical damage to soils can have long-term impacts on sustainability, and can often be reduced through maintenance of an adequate soil cover of dead plant material—some of which would otherwise be suitable feedstock for bioenergy.

*Chemical properties* include total soil nutrient content, the rate at which these nutrients are converted to plant-available forms (mineralization, weathering, and mineral dissolution), the ability of soils to retain mineralized nutrients (adsorption and exchange capacity), and pH—all of which determine the rate at which nutrients are available for plant growth, or lost from the site through leaching or volatilization. Acidity, conductivity, and the exchange properties of organic molecules, inorganic oxides, and clay minerals also play important roles in nutrient cycling processes.

*Biological* soil processes help to determine decomposition rates of OM (a source of many plant-available nutrients), contribute to beneficial soil physical properties for plant growth, and are key determinants of soil C sequestration potential. Soil biota is therefore a critical component of soils, and most depend on OM as a source of energy.

*Water* plays a key role in biogeochemical cycling because nutrient, C, and water cycles are intricately linked (Asbjornsen et al. 2011; LeBauer and Treseder 2008; Wright et al. 2004). The type of biomass production system and specific management practices deployed directly affect both water balance and quality, and water–soil–plant relationships are an important determinant of the sustainability of biomass production. The uptake of nutrients by plants occurs through the sap during transpiration, and if water availability becomes limiting then plant nutrition and growth are both detrimentally affected (Cramer et al. 2009). Different plant species and communities can have highly contrasting water uptake capacities, depending on their particular physiological properties (e.g., rooting patterns, leaf phenology, and water use efficiency) through which they have adapted to climatic conditions, and through complementary and facilitative interactions among species when grown in polycultures (Asbjornsen et al. 2011). These differences affect biogeochemical cycling

and affect key ecosystem services, and hence decisions regarding species selection and combinations can provide management opportunities to optimize water–nutrient interactions in biomass production systems.

Finally, although soil chemical, biological, and physical processes (including water availability) together determine nutrient availability for plant uptake and hence site productivity, site productivity alone is not a good indicator of sustainable soil management because a soil can be fertilized to maintain productivity while OM and biota decrease and soil physical attributes decline. Furthermore, nutrients must be considered collectively and not individually.

## Sustainability Issues

Bioenergy feedstock production must be environmentally, socially, and economically sustainable to reach its full potential. We focus primarily on soil and biogeochemical cycles. Other aspects of sustainability are covered in companion manuscripts within this Special Issue on bioenergy. Based on our review, the removal of biomass for bioenergy raises four key biogeochemical sustainability concerns: (1) impacts on soil properties resulting from reduced SOM, which controls many aspects of water and nutrient cycling; (2) nutrient management issues resulting from reductions in plant-available nutrients (whether in conjunction with SOM reductions or not); (3) on-site physical impacts; and (4) off-site impacts related to GHGs, water quality, and waste disposal. Two additional issues include application of (5) indicators and (6) predictive models to improve land management for production of bioenergy feedstock.

## Biomass Management Impacts on Soil Properties

Removal of biomass and other practices that reduce SOM can have an effect on soil physical, chemical, and biological properties. *Soil physical properties* such as soil aggregate stability (Hammerbeck et al. 2012; Mahmood-ul-Hassan et al. 2013; Moebius-Clune et al. 2008; Malhi and Lemke 2007) are especially susceptible to reduced SOM in agriculture, leading to a shift in aggregate size distribution (Hammerbeck et al. 2012; Mahmood-ul-Hassan et al. 2013) and a higher proportion of erodible aggregates (Schoenau and Campbell 1996), and hence soil degradation. On the other hand, returning residue to the soil can result in 6.7 % fewer erodible aggregates <0.87-mm diameter and 8.6 % more less-erodible >38.0-mm diameter aggregates (Malhi and Lemke 2007); even partial stover retention increases aggregate stability and strength, and water repellency (Blanco-Canqui and Lal 2008). There are few comparable

studies in forestry, but similar results were found after harvesting *Pinus elliottii* in Argentina: residue removal significantly reduced both soil C concentrations and mean aggregate diameter (Lupi et al. 2007). Increased erosion from a change in aggregate stability can further reduce the concentration of SOM in surface soils, exacerbating effects. Biomass retention also reduces raindrop impact and minimizes the intensity of freeze–thaw and wind and water erosion by providing cover (Layton et al. 1993; Miner et al. 2013; Williams et al. 2009). Although there is a potential for partial removal of straw from fields without causing erosion, biomass removal to the limit of soil erodability will result in reduction of SOC concentrations (Miner et al. 2013).

Water balance is also affected by biomass removal because of reduction in aggregate size distribution, and increase in soil bulk density can lead to a reduction of water sorption (Blanco-Canqui and Lal 2008). Residue retention can affect water balance by reducing evaporation from the soil surface and increasing snow retention (Buttle and Murray 2011; O’Connell et al. 2004; Sauer et al. 1998). Soil water content can be 1–4 % lower when biomass is removed under conventional tillage (CT, inversion tillage) by tillage tools such as moldboard plow or tandem disk, compared to conservation tillage practices (Malhi and Lemke 2007). Most of this change can be attributed to variation in water storage (up to 84 %) because of reduction in SOM following residue removal (Wilhelm et al. 1986). It was estimated that as much as 75 % of available stover should be left on Ohio fields to avoid the combination of these physical impacts (Blanco-Canqui and Lal 2008). This estimate could be increased when also considering the chemical, biological, crop, management and economic implications of biomass removal. In forestry, physical soil concerns have largely focused on damage to soil if harvesting residue is not available on sensitive sites as a mat or roadbed for extraction equipment (e.g., UK Forestry Commission 2009), and operating standards are often used to help minimize risk of soil degradation (e.g., BC Ministry of Forests 1999).

*Soil biota* (along with OM quality, temperature and moisture) drive decomposition processes, which release nutrients from senescent plant tissue. Plant residue is an essential food source for soil biota. Management practices that retain OM and maintain healthy soil biotic communities are paramount priorities in sustainable soil management. Reduced SOM can change soil fauna population dynamics by decreasing earthworm populations, reducing fungal growth, and changing microbial community structure and function (Bailey et al. 2002; Blanco-Canqui and Lal 2009a; Karlen et al. 1994). Conversely, soil organism abundance is generally greater near the surface of NT systems because of its general correlation with SOM concentrations (Paustain et al. 1997; Schoenau and Campbell

1996). This results in a combination of better food and water resources because of higher SOM and favorable environmental conditions, such as regulated temperature and improved gas exchange near the surface of the soil. Returning biomass to the soil can also increase microbial activity and biomass C, N, and P by over 30 % without a measurable increase in SOM concentration (Malhi and Lemke 2007; Powlson et al. 2011).

*Soil chemistry* changes can arise from direct removals of nutrients stored in biomass or from indirect physical and biological changes, which in turn cause changes in soil chemistry. Even traditional crop management can lead to macronutrient deficiencies, which can be exacerbated with residue removal (Ciampitti and García 2007). Apart from loss of nutrients, biomass removal in agriculture can lead to increased soil temperature and decreased soil water and decomposition rates, which can moderately influence pH, cation exchange capacity (CEC), and electrical conductivity (EC). With residue removal, pH can increase slightly (Blanco-Canqui and Lal 2009b), decrease slightly (Morachan et al. 1972), or have no change (Karlen et al. 1994), depending on the region and soil type. Changes have also been reported for EC and CEC, and EC has been generally found to increase and CEC to decrease with biomass removal (Blanco-Canqui and Lal 2009b). EC, CEC, and pH have a profound influence on the form and availability of plant nutrient supply, as discussed in the next section. Nutrient availability can be altered because of direct changes in nutrient concentrations and indirect changes in nutrient availability through changes in parameters that affect nutrient cycling. Soil chemistry changes are similar in forestry (Thiffault et al. 2011), where residue removal impacts are exacerbated because nutrient concentrations in foliage and branches are higher than in stems and hence nutrient losses are disproportionately greater (Johnson and Todd 1998).

#### *Biomass Management and Organic Matter and Soil Organic Carbon Stocks*

Plant biomass is a precursor of SOM and associated SOC, and its removal affects soil properties. Maintenance of SOM requires that C inputs from detritus equal or exceed outputs from soil CO<sub>2</sub> efflux, leaching, and soil erosion. Management plays a key role in C cycling through impacts on soil nutrients, water balance, soil temperature, residue inputs, and soil disturbance. Agricultural site preparation such as tilling and planting can lead to soil aggregate disturbance, soil erosion, and C losses from ecosystems. Harvesting removes C inputs and contributes to accelerated soil erosion. These are all important agricultural management considerations, as demonstrated by long-term impacts: SOC has declined by as much as 60 % of original



1870 values in tall-grass prairie soils in the US (Huggins et al. 1998; Lal et al. 1998; Paustain et al. 1997), and by 20–40 % of original values in semiarid lands (Follett et al. 1997; Janzen et al. 1998; Peterson et al. 1998), resulting in a loss of 5 Pg ( $\text{Pg} = 10^{15} \text{ g}$ ) of C from US agricultural soils since cultivation of original native grasslands. However, the adoption of sustainable management practices can maintain or even increase soil C stocks and fertility, and mitigate emission of GHGs (Barreto et al. 2009; Battle-Bayer et al. 2010; Sá et al. 2009). Increased SOM and decreased soil erosion were found in large NT areas in Argentina and Brazil, while tilled monocultures decreased SOM (Casas 2006), although effects depend on the soil and crop.

Immediate changes in SOC following LULCC can be very important (Achard et al. 2004; Cerri et al. 2007; Don et al. 2011; Fargione et al. 2008; Gelfand et al. 2011) and are affected by precipitation, temperature, depth, and time since LULCC (Don et al. 2011; Eclesia et al. 2012; Marín-Spiotta and Sharma 2013; Poeplau et al. 2011). There are some clear trends in SOC following LULCC in tropical and temperate zones. Generally, SOC is progressively greater in the sequence of cropland, grassland, secondary forest (including plantations), to native forest (Don et al. 2011; Eclesia et al. 2012; Poeplau et al. 2011; Ziegler et al. 2012). However, there are exceptions: conversion of tropical pasture to secondary forest can increase SOC (Don et al. 2011), but conversion to plantations can decrease SOC, especially for conifers as compared to broadleaf species (Guo and Gifford 2002). Also, SOC can be lower in tropical plantations than secondary forests in wet cool regions, but plantation establishment in arid regions can increase SOC (Eclesia et al. 2012; Guo and Gifford 2002; Marín-Spiotta and Sharma 2013).

Impacts of forest residue harvesting on SOC are typically not as great, with meta-analyses showing an average 8 % reduction in SOC stocks, primarily in the forest floor (Nave et al. 2010); however, compared to a control, incrementally removing residue had little overall effect (Johnson and Curtis 2001; Nave et al. 2010), although leaving residue increased SOC (Johnson and Curtis 2001). Intensive forestry can also include a variety of tillage and/or OM manipulation treatments in addition to residue harvest, such as bedding, ripping, and site preparation burning (with or without biomass harvest) which, as in agriculture, can reduce SOC (Nave et al. 2010).

### *Biomass Management and Nutrients*

Sources of soil nutrients include atmospheric deposition,  $\text{N}_2$  fixation by microbes, decomposition of SOM, and mineral weathering. Harvesting has a strong influence on nutrient availability through direct impacts on nutrient

outputs from biomass removals, inputs from decomposition, and indirect impacts on soil water and temperature, and microbial substrates. Nutrient stocks can also be reduced by increased erosion (see “On-Site Physical Impacts of Biomass Harvest” section), and increased mineralization of SOM resulting from higher soil temperatures and changes in soil water content (Blanco-Canqui and Lal 2009a).

Nutrient limitations from biomass removals can clearly lead to reduced productivity in some agricultural (Berthrong et al. 2009; Blanco-Canqui and Lal 2009b) and forestry systems (Thiffault et al. 2011; Wall 2012), although growth reductions in forestry can be ephemeral and only occur just before canopy closure (Egnell 2011). It is notable that most longer-term forest growth reductions are found in Europe (Thiffault et al. 2011; Wall 2012), but rarely in South America (Gonçalves et al. 2008) or North America (Fleming et al. 2006; Ponder et al. 2012). However, there are cases when tree growth is unaffected and yet soil or foliar nutrients are reduced (Thiffault et al. 2011), which may be an early indication that available nutrient supply is not adequate for optimal tree growth and continued removal of nutrients in harvesting residue over successive rotations may eventually lead to growth limitations (Kimmins 1974). Caution must also be taken when interpreting tree growth response to increased biomass removals because other resources may limit tree growth more than nutrient availability at different stages of succession; for example, decreases in tree growth on dry, nutrient-poor Californian sites were attributed to increased understory competition on slash-removal treatments that led to reduced soil water availability (Ponder et al. 2012).

Nutritionally limited growth has typically been attributed to just one nutrient (“Law of the Minimum”, attributed to Sprengel and popularized by von Liebig), but it is increasingly apparent that stoichiometric nutrient ratios are important in determining productivity (Ptacnik et al. 2005). Many systems are co-limited (Harpole et al. 2011; Vadeboncoeur 2010), and modeling suggests that the Law of the Minimum, while a good first-order approximation, is associated more with infertile systems and co-limitation more with fertile systems, with fertilization causing different responses in each (Ågren et al. 2012).

Harvesting of biomass results in a concomitant removal of the nutrients required for future growth, and hence a reduction in soil nutrient stocks. Stover removal compared to harvesting only maize grain increased loss of nutrients (Wilhelm et al. 2010), and this can be dependent on season, water management, and percentage of residue harvested (Karlen et al. 1994). Similarly, cutting plants just below the ear increases losses compared to harvesting only the grain (Hoskinson et al. 2007). Conversely, residue retention can increase uptake of soil P (Schoenau and Campbell 1996).

There is a greater nutrient loss when forestry residue is removed (Johnson and Todd 1998), and geographic location, species (Johnson et al. 1982), and timing of removal can have distinct and important effects on the mechanisms that control nutrient loss and availability. Impacts may become more evident after several rotations of agricultural or forestry crops if times are shorter than those required for “ecological rotations” (Kimmins 1974) in which nutrients and OM lost at harvesting are replenished.

Site productivity is most commonly limited by N (LeBauer and Treseder 2008; Vitousek and Howarth 1991), and growth reductions after biomass harvesting in northern forests are often attributed to N limitations (Egnell 2011; Wall 2012). However, N limitation is predicted to increase as atmospheric CO<sub>2</sub> levels increase (Wang and Houlton 2009). This is because it is not just the total amount of soil N that determines availability, but also C:N ratios of decomposing SOM which help determine microbial activity and hence N mineralization and availability. Decomposition processes will reduce C over time in the absence of fresh detritus inputs, and biomass harvesting will therefore also affect the C:N ratio. Removal of large amounts of C and N via grain and residue harvest can lead to shifts from net N immobilization (use of soil N by soil microorganisms) to N mineralization, and additions of crop residues with C:N ratios greater than 20:1 to agricultural soil will cause net immobilization of available soil N during the first few weeks of microbial decomposition of the residue (Green and Blackmer 1995). More specifically, a decrease in a bioavailable fraction of SOM, particulate OM, can lead to loss of available nutrients in agricultural systems, reduced SOC, and narrower soil C:N ratios in surface horizons (Hammerbeck et al. 2012). Processes are similar in forestry but threshold C:N ratios for mobilization/immobilization are typically greater and decomposition rates are not as rapid: net immobilization of N can take place in decaying pine litter in the southeastern US over 26 months (Piatek and Allen 2001) to 36 months (Sanchez 2001), suggesting that N is immobilized in this system for at least 2–3 years.

Management can also affect soil C:N ratios. Adequate crop rotations and using plants with extensive root biomass (e.g., switchgrass) in a rotation may increase C:N ratios, which can alter SOM levels, depending on the management system. In addition, total N (and S) mineralization from OM can increase with biomass returned to soil under conservation tillage (Schoenau and Campbell 1996). On the other hand, forestry treatments that reduce SOM, such as biomass harvesting, or site preparation, often cause reductions in N mineralization greater than that predicted by changes in soil environment alone (Burger and Pritchett 1984; Li and Allen 2003), although these have rarely been shown to have long-lasting impacts on growth. Where leaching of residual soil N after grain harvest is of concern,

it may be decreased by rapid immobilization of available inorganic soil N during decomposition of high C:N ratio residue (e.g., maize stover, with a C:N ratio of 60:1); but if high C:N residue is removed, net mineralization of residual soil N may increase, leading to NO<sub>3</sub><sup>-</sup> leaching. However, SOM is not always advantageous to plant production. A build-up of SOM on the forest floor in cool and damp climates can actually impede growth by inhibiting decomposition processes and hence nutrient availability (Prescott et al. 2000). Furthermore, the insulating effect of humus on cold soils may limit tree growth (at least in early years) more than any detrimental effects caused by nutrient loss with humus removals (Kranabetter et al. 2006).

While N is often the major limiting nutrient, P is also important for agriculture and forestry. Phosphorus limitations are especially important in tropical and semi-tropical regions, where the dominant soil orders by land area are Oxisols and Ultisols. These soils are strongly weathered and low in available nutrients, such as P (Lal 1997). Phosphorous and K fertilization is a common practice in most crop production systems since deficiency results in crop yield reduction. Stover removal reduced P by 40 % on a silt loam soil in Ohio (Blanco-Canqui and Lal 2009b). The only forestry trials exhibiting growth reductions after intensive biomass removals in North America are on P-deficient sites in the southeastern states (Scott and Dean 2006). Similarly, it is recommended that residues be retained on coarse-textured forest soils with low P reserves in Argentina (Lupi et al. 2011).

### Nutrient Management

Off-site nutrients have been added to soils to increase productivity since time immemorial. Animal manures, green manure, bird guano, and ash were used in the pre-industrial era, but modern agriculture and intensive forestry depend largely on synthetic N and mined sources of P, K, other cations, and trace metals. Appropriate OM retention and NT systems are critical to maintain both OM and nutrients in the soil in all feedstock production systems. While fertilization replaces nutrients removed from sites through harvesting, it does not directly replace OM, although synthetic fertilizers have been found to enhance SOM on some sites by increasing productivity and litter/root return to the soil (Gregorich et al. 1996; Malhi et al. 2006; Sainju 2014; Vance 2000). Site productivity can therefore be maintained or increased with synthetic fertilizers, although forest applications are discouraged in one certification process in a few jurisdictions (Forest Stewardship Council; FSC 2014) and is not permitted as a part of normal forest management activities in another (Ontario, Canada; OMNRF 2015). Addition of ash from combustion of biomass is possible in agriculture and

forestry (Insam and Knapp 2011) where permitted but there are limitations. Ash lacks N, can be high in metals, and may not be suitable for some sites and applications must be cost-effective.

Optimum fertilization methods in agriculture (Robertson et al. 2013; Snyder et al. 2007, 2009) and forestry (Ingstad 1974) that match supply with demand and take into account soil water and season can help reduce both the use of fertilizers and off-site impacts while increasing productivity. This is especially important for P conservation, and where eutrophication or NO<sub>x</sub> production is an issue. Use of biochar to sequester C and improve soil nutrition is also an emerging management possibility (Atkinson et al. 2010; Sohi et al. 2010), but outcomes can be variable, negative impacts are not yet understood (Biederman and Harpole 2013), and a strategic approach is needed to elucidate mechanisms (Jeffery et al. 2011).

### On-Site Physical Impacts of Biomass Harvest

Crop biomass that is properly managed protects soil from wind and water erosion (Lafren and Colvin 1981), reduces raindrop impact which reduces runoff and improves infiltration and precipitation storage (Govaerts et al. 2007; Mohamoud and Ewing 1990; Savabi and Stott 1994), influences radiation balance and energy fluxes, and reduces the rate of evaporation from the soil (Sauer et al. 1996). Reducing residue under NT systems can increase runoff and soil loss (Lindstrom 1986). Sufficient soil cover must be retained to keep soil erosion within tolerance (T) limits (Larson 1979; Nelson 2002). However, current erosion T values do not necessarily provide an adequate level of protection to prevent SOM decrease and yield loss (Mann et al. 2002; Wilhelm et al. 2010). Conversely, large amounts of residue on the soil surface can keep soil cold and wet for a long time in spring in some regions, cause delay in planting (Linden et al. 2000), and be a source of disease and allelopathic effects (Roer et al. 2000). This excess residue could be removed for bioenergy. However, residue production is inadequate even for soil protection in some arid and semiarid regions (Parr and Papendick 1978). Decreases in SOM decrease infiltration and water holding capacity, and increase soil strength, surface crusting, and susceptibility to soil compaction—which generally leads to increased soil bulk density (Soane 1990), and potentially restricted root penetration. A soil strength increase from 0.3 to 1.5 MPa caused a decline in cotton taproot penetration (Taylor and Burnett 1964), while at 2 MPa penetration resistance limited root growth and reduced crop production (Benjamin et al. 2003; da Silva et al. 1994).

Many jurisdictions have best management practices, guidelines, or regulations to protect forest soils from physical damage (erosion, displacement, rutting, compaction)

during forest management activities (Archibald et al. 1997). With the incremental removal of biomass, it is recommended that enough biomass be left to create a temporary roadbed for extraction equipment on sensitive sites (UK Forestry Commission 2009). Notwithstanding concerns about soil compaction, results from a Long-Term Soil Productivity (LTSP) field trial network across Canada and the US showed that upper layers in coarse-textured soils recovered within 5 years of treatment but fine-textured soils showed little recovery (Page-Dumroese et al. 2006), and compaction generally increased seedling survival and growth (Fleming et al. 2006), with production increasing on sandy soils while decreasing on compacted clay soils (Powers et al. 2005). Increased survival and growth was attributed to improved soil temperatures and increased seedling N uptake on some sites, and soil bulk density was rarely increased to a point where root growth might be affected (Fleming et al. 2006). Ten-year LTSP results confirmed that compaction generally increased tree growth on predominantly coarser-textured soils, which was attributed to amelioration of the physical environment rather than nutritional effects or reduced competition (Ponder et al. 2012). The complex and often subtle responses to biomass removal or soil physical disturbance thus suggest a degree of resiliency in many systems to short-term changes, although the full effects over longer time periods are unknown.

### Off-Site Impacts

Biomass production can result in a range of biogeochemical-related off-site impacts that determine whether or not a practice is sustainable. These include eutrophication and sedimentation in aquatic systems, increased GHG emissions because of nutrient and land management practices, and production of waste that requires appropriate disposal.

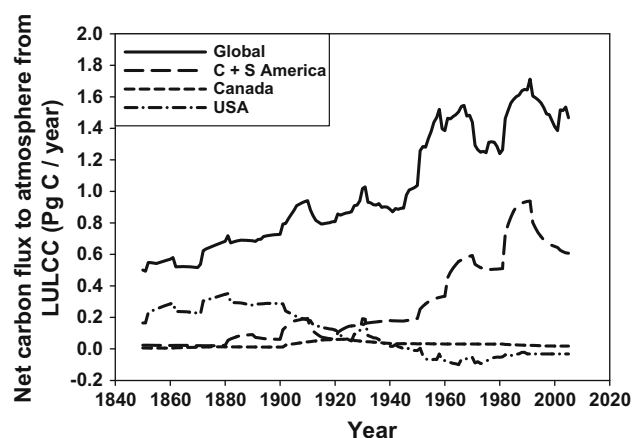
#### *Greenhouse Gas Emissions*

Reduction of net atmospheric GHGs is the key rationale for use of bioenergy, but management practices and land use changes that release GHGs counteract the benefits of bioenergy (see also “[Biomass Management and Organic Matter and Soil Organic Carbon Stocks](#)” section on impacts on SOM that release CO<sub>2</sub>). Regarding management practices, there is a large range in GHG emissions, depending on the management system used (Adler et al. 2007; Deneff et al. 2011; Don et al. 2011). No-till management has the potential to reduce GHG emissions (Lemke et al. 1999; Venterea et al. 2005). In a short-term USDA-ARS REAP study, stover removal decreased total CO<sub>2</sub> and N<sub>2</sub>O emissions by 4 and 7 %, respectively,

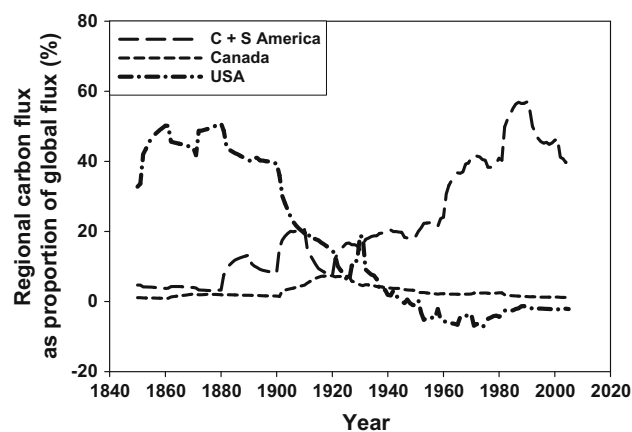
relative to no removal, while soil  $\text{CH}_4$  flux was not affected by stover removal, and cumulative soil GHG emissions during the growing season varied widely across sites, by management, and by year (Jin et al. 2014). In a 4-year barley (*Hordeum vulgare L.*) study in the Canadian prairie region comparing CT with NT and biomass removal with and without N fertilization,  $\text{N}_2\text{O}$  emission was higher ( $398 \text{ g N ha}^{-1}$ ) in CT than NT ( $340 \text{ g N ha}^{-1}$ ) and with N ( $580 \text{ g N ha}^{-1}$ ) than without N ( $155 \text{ g N ha}^{-1}$ ) application (Malhi and Lemke 2007; Malhi et al. 2006). Snyder et al. (2009) reviewed GHG literature and concluded that BMPs for fertilizer N played a large role in minimizing residual soil  $\text{NO}_3^-$ , which helped lower the risk of increased  $\text{N}_2\text{O}$  emissions; and  $\text{N}_2\text{O}$  emissions depend on fertilizer-N sources, and site- and weather-specific conditions. Similarly, urea fertilization did not increase net GHG emissions from some forests at a site (Basiliko et al. 2009) or even national level (e.g., Sweden; Sathre et al. 2010). Nutrient management practices (e.g., manuring, fertilization) that increase biomass production without causing detrimental environmental effects contribute to a net increase in SOM content and reduction in atmospheric  $\text{CO}_2$ . In forestry, increased growth of loblolly pine in the SE US was  $\sim 26$  times the C emitted (as  $\text{CO}_2$  equivalents of GHG emissions) in manufacturing, transport, and application of the fertilizer used (Albaugh et al. 2012a, b).

Land use and land cover change can have major impacts on GHG emissions on some sites, especially if deforestation occurs. Emissions of GHGs from deforestation in Brazil during the early 2000s were greater than 75 % of national  $\text{CO}_2$  emissions (Cerri et al. 2007; Nogueira et al. 2015), and then forest conversion decreased to half its previous level by 2008 ( $\sim 20,000 \text{ km}^2 \text{ year}^{-1}$ ), but has recently begun to increase again (Hansen et al. 2013; Nogueira et al. 2015). At continental levels, emissions from LULCC in the Americas were about  $0.6 \text{ Pg C/y}$  by 2005 (almost exclusively from Central and South America); this is equivalent to about 40 % of global LULCC GHG emissions, and 6 % of total global GHG emissions from LULCC, fossil-fuel burning, cement manufacture and gas flaring in 2005 (Figs. 3 and 4; Houghton 2008; Boden et al. 2013).

From a global perspective, palm oil production is causing rapid deforestation in some tropical regions, with the largest losses occurring in Indonesia ( $20,000 \text{ km}^2 \text{ year}^{-1}$  as of 2011–2012; Hansen et al. 2013). It has been estimated that it will take  $\sim 320$  years to mitigate the C lost to the atmosphere using biodiesel produced from soya grown on cleared Amazon rainforests, and  $\sim 840$  years using biodiesel from palm oil produced on drained peatlands in SE Asia (Fargione et al. 2008). From a historical perspective, there is some evidence that increased C sequestration by regenerating vegetation on previously



**Fig. 3** Total net annual flux of carbon (Pg C/year) to the atmosphere from land use and land cover change (LULCC) globally and in Central and South America (C + S Am), Canada and the USA (data from Houghton 2008)



**Fig. 4** Net annual flux of carbon to the atmosphere from land use and land cover change (LULCC) in Central and South America (C + S Am), Canada and the USA as a proportion (%) of net annual global flux from LULCC (data from Houghton 2008)

managed land in the Americas (following catastrophic population declines after the arrival of Europeans) contributed to a major decrease in atmospheric  $\text{CO}_2$ , as determined from ice core analysis (Lewis and Maslin 2015). This further emphasizes the measurable impact that LULCC for a range of products, including bioenergy feedstocks, can have on GHGs at a global level.

### Eutrophication

The use of fertilizers and intensive soil management practices is generally greater for annual crops than for woody biomass crops (Dimitriou et al. 2012), and nutrients lost via leaching or surface runoff can affect ground and surface waters and cause downstream eutrophication (Zhou

et al. 2010). Fertilizer-induced eutrophication has long been recognized as a problem (Bennett et al. 2001) because it can result in blooms of toxic algal species (Correll 1999; Kotak et al. 1994; Lawton and Codd 1991) or oxygen depletion (Anderson et al. 2002) that leads to reduced aquatic biodiversity (Correll 1999). This is driven mostly by P in freshwater but by N in marine ecosystems (Conley 2000).

Biomass production systems can contribute to eutrophication: sugarcane production can result in high N inputs into rivers (Filoso et al. 2003; Gunkel et al. 2007; Martinelli and Filoso 2008); palm oil plantations are particularly fertilizer-intensive and often lead to water contamination (Muyibi et al. 2008); and maize production for bioethanol is a major cause of hypoxic zone expansion in the Gulf of Mexico (Donner and Kucharik 2008). Forest fertilization generally has minor impacts, but in rare circumstances can lead to increased leaching and streamwater concentrations of N and P (Binkley et al. 1999).

Optimal fertilization can minimize leaching (Keeney 1982), as can careful manuring, precision agriculture, soil conservation, and implementing best management practices to protect water quality (Ice 2004; Ice et al. 2010; Kleinman 2005; Meals et al. 2010; Power et al. 2001) help to ensure sustainable bioenergy feedstock production. Some bioenergy management systems, especially those using woody crops, can also be used to reduce nutrients leaching into aquatic systems through increasing nutrient uptake and storage and reducing nutrient and sediment losses. Models predict that planting herbaceous perennial bioenergy crops (*Miscanthus*, switchgrass, or other native prairie grasses) in buffer strips in the Midwestern US can mitigate nutrient losses from intensively managed annual cropping systems (Gopalakrishnan et al. 2009; 2012; Smith et al. 2013; Wu and Liu 2012; Zhou et al. 2010), and buffer strips of switchgrass and SRWC improved the quality of degraded water on marginal lands (Gasparatos et al. 2011; Schmidt-Walter and Lamersdorf 2012). However, converting native grasslands to either switchgrass or *Miscanthus* production was predicted to increase  $\text{NO}_3^-$  load (Wu and Liu 2012). Other studies have documented the role of woody biomass in purifying wastewater (Börjesson and Berndes 2006 in Gasparatos et al. 2011; Kowalik and Randerson 1994) and mitigating soil salinization in dry environments (Harper et al. 2010). Whole-tree harvesting can also remove N-rich biomass in forests with high atmospheric N deposition (Lundborg 1997), thus reducing N leaching to aquatic systems.

#### *Waste Disposal at End of Life Cycle*

Off-site impacts are not always negative. Ash from burning biomass is potentially a toxic waste requiring appropriate handling in landfill sites, but can also be used as a fertilizer

in both agriculture and forestry on sites where growth is limited by cations, or where increases in pH increase nutrient availability (Demeyer et al. 2001; Pitman 2006; Vance 1996). Similarly, black C produced through pyrolysis in biofuel production systems can be used to sequester C in the soil and increase fertility (Atkinson et al. 2010; Sohi et al. 2010), although meta-analyses reveal that results are variable (Biederman and Harpole 2013; Jeffery et al. 2011) and negative impacts are not yet understood (Biederman and Harpole 2013). Nutrients from biogas plants can also be returned to agricultural lands (Hilbert et al. 2014).

#### **Soil Indicators of Sustainability**

Defining the sustainable amount of biomass that can be removed from different sites under different management systems is a key issue. A scientific understanding of this would allow development of meaningful but feasible, economic, and measurable soil and/or site indicators that can be incorporated into management, governance, and marketing systems (e.g., planning tools, guidelines, certification, and regulations), taking into consideration decision, policy, and spatial and temporal contexts (Efroymsen et al. 2013; Vance et al. 2014). The few soil indicators proposed to date have yet to be validated across wide ranges of sites, but suggestions include total organic C and N; extractable P; bulk density; stream concentrations of  $\text{NO}_3^-$ , P and suspended sediments; GHG emissions; and productivity (McBride et al. 2011). However, indicators may be ecosystem- or species-specific, and total C from 0 to 20-cm depth in the mineral soil after harvesting, including residue and deadwood, is an excellent predictor of jack pine productivity across a range of site types and treatments in the boreal forest, but not black spruce (Hazlett et al. 2014). Once validated, applying indicators geospatially will help inform management decisions (Kimsey et al. 2011; Thiffault et al. 2014) and allow refinement of biomass inventories and supply chain analyses (e.g., Biomass Siting Analysis Tool—BioSAT (Perdue et al. 2011), Biomass Inventory Mapping and Analysis Tool—BIMAT (AAFC 2015)). Appropriate soil indicators may also eventually feed into life cycle assessments (Garrigues et al. 2012; Milà Canals et al. 2007; Oberholzer et al. 2012).

#### **Process-Based Ecosystem Models**

Ecosystem process-based models are valuable tools for synthesizing biogeochemical cycles and can be used to address environmental and management challenges, and to predict the long-term effects of land use and management practices on soil properties and productivity when

extensive data collection is cumbersome, costly, or impossible. Long-term trends (>50 years) may be quite different from results obtained from short duration (1–5 years) field plot studies (Gollany et al. 2012). Models can rapidly evaluate the effect of existing and potential management strategies or climate on SOM stocks, nutrient loss or gain, or erosion on plant growth and productivity. A wide range of ecosystem models exist (Table 1). The most

detailed (e.g., NCSWAP, NuCM) tend to be very flexible in their applications but require many inputs, while others are easy to apply but are limited in scope (e.g., CQESTR, NUTREM).

NCSWAP simulates the soil–water–air–plant continuum and computes the dynamics of organic C and N, ammonium, and nitrate after biomass decomposition, mineralization, immobilization, nitrification and denitrification,

**Table 1** Process-based ecosystem models relevant to intensive biomass harvesting

Model	Description	Key references
BIOME-BGC	The BIOME-BGC (BioGeochemical Cycles) model is a model originally developed to simulate a forest stand development through a life cycle and ecosystem processes. The model requires daily climate data, vegetation, and site conditions to estimate fluxes of C, N, and water through ecosystems	Running (1994), Running and Gower (1991), Peckham et al. (2013)
CQESTR	CQESTR (sequester) simulates SOC dynamics in agroecosystems up to 5 layers, and can perform long-term (> 100 years) simulations. It is sensitive to local soils, climate, crops, cover crops, crop rotations, tillage systems, and organic amendments. The model requires number and thickness of soil layers, SOM content and bulk density of each layer, annual crop inputs, farming management practices, the average daily air temperature and precipitation	Rickman et al. (2002), Liang et al. (2009), Gollany et al. (2012)
CENTURY	CENTURY simulates C and nutrient (N, P, S) dynamics for the topsoil through an annual cycle, over time scales of centuries and millennia. Flows of nutrients are controlled by the amount of C in the various pools and climate and environmental condition for agricultural lands, grasslands, forests, and savannas	Parton (1996), Parton et al. (1996), Paustian et al. (1998)
DAYCENT	DAYCENT is the daily version of the CENTURY, which simulates fluxes of C, nutrients, and trace gases among the atmosphere, soil, and plants. The model is used to investigate how land use and climate change impact plant growth and soil C and N fluxes	Del Grosso et al. (2005, 2009)
DNDC	DNDC (DeNitrification-DeComposition) simulates thermodynamic and reaction kinetic processes of C, N, and water driven by the plant and microbial activities. It predicts plant growth, soil C, trace gas, and CO <sub>2</sub> emissions, and nitrate leaching in agroecosystem, forest, wetland, and livestock operation systems	Giltrap et al. (2010), Hastings et al. (2010)
EPIC	EPIC (Environmental Policy Integrated Climate) is a terrestrial ecosystem model. It can simulate growth and yield of crops, herbaceous and woody vegetation; water and wind erosion; and the cycling of water, heat, carbon, and nitrogen; and estimate N <sub>2</sub> O flux during denitrification and N <sub>2</sub> O and NO fluxes during nitrification	Williams et al. (1984), Izaurrealde et al. (2006)
APEX	APEX (Agricultural Policy/Environmental eXtender) is the watershed version of EPIC. It contains all of the algorithms in EPIC plus algorithms to quantify the hydrological balance at different spatial resolutions under different land covers and land uses	Gassman et al. (2010), Williams and Izaurrealde (2006)
NCSOIL	NCSOIL simulates N and C transformations in the soil. It computes short-term dynamics of organic C and N, ammonium, and nitrate after residue decomposition, mineralization, immobilization, nitrification and denitrification, and symbiotic N fixation	Molina et al. (1983)
NCSWAP	NCSWAP (Nitrogen and Carbon Cycling in Soil, Water, Air and Plants) is a simulation model that integrates water flow dynamics, temperature, solute transport, tillage, crop growth, residue effects, and total and tracer N and C transformations. The NCSWAP is a large model encompassing several sub-models including NCSOIL	Gollany et al. (2004), Molina et al. (1983, 1997)
NUTREM	NUTREM is a simplified model of nutrient uptake, relocation, and removal for loblolly pine. It estimates annual nutrient uptake for the major nutrients and total nutrients of the stand	Ducey and Allen (2001)
FORECAST	FORECAST, the successor to FORCYTE, simulates the impacts of different forest management strategies or other disturbances on long-term site productivity	Kimmins et al. (1999), Wei et al. (2000)
NuCM	The NuCM (Nutrient Cycling Model) is the most detailed processes model for the forest–soil–water system	Johnson et al. (1995)

and symbiotic N fixation (Molina et al. 1983, 1997). It can be used to predict the effect of crop biomass removal on N leaching and denitrification and demonstrate the importance of site-specific management and decision making; under 30-years simulation scenarios when all maize biomass was returned to the soil, N leaching was reduced by 18 %, but denitrification and potential for release of N<sub>2</sub>O was increased (Gollany et al. 2004).

A simpler model, CQESTR, computes the rate of biological decomposition of crop residue or organic amendments as they convert to SOM, and is sensitive to agricultural management practices such as residue harvest (Gollany et al. 2010, 2011; Liang et al. 2008). Wilhelm et al. (2010) used CQESTR and RUSLE2 models to estimate how soil erosion and SOM requirements could limit the amount of stover that could be collected in a sustainable manner and tested three rates of stover removal with and without annual or perennial cover crops under either CT or NT management scenarios to evaluate how stover harvest and tillage affects amount of stover removal. They showed that harvesting stover at a stubble height of 10 cm would be sustainable if only soil erosion loss <T is considered, but when SOC maintenance is included then sustainable stover harvest could take place with NT and collection of stover from only the ear-shank upward (~60 cm).

Muth et al. (2012) integrated two process-based models (RUSLE2, WEPS) and used the Soil Conditioning Index (SCI) algorithm to evaluate sustainable biomass removal for 3 fields in Iowa. Although the modeling method used was a good approach, the use of SCI to calculate SOC maintenance may lead to unsustainable residue removal decisions for significant portions of fields. Use of a process-based C model with erosion models may be a more prudent alternative to SCI.

Forestry models encounter the same trade-offs of needing to be as simple as possible but as complex as necessary (Kimmins et al. 2008), and a number of models can be used to simulate effects of intensive biomass crop management and biomass removals in forests. Many models have been developed that predict sustainability or productivity based on soil fertility and site productivity under different regimes of management, climate, and disturbance (Proe et al. 1994). For example, FORECAST (Seely et al. 1999; Wei et al. 2000) and BIOME-BGC (Peckham et al. 2013) have been used to simulate harvest sustainability and provide full-system simulations, although they only address C and N dynamics. Other models, such as NUTREM (Ducey and Allen 2001), provide a simple yet complete estimation of nutrient removals from harvesting loblolly pine and can be combined with a simplified ecophysiological growth model such as 3PG (Landsberg et al. 2001) to effectively determine potential

sustainability concerns. Finally, a few models simulate belowground processes with high complexity, such as the Nutrient Cycling Model (NuCM) (Johnson et al. 1995), which was used to effectively determine soil chemistry responses to harvesting and other disturbances. A variety of other models and modeling approaches, including linking various process models of aboveground and belowground dynamics, have also been used to address sustainability concerns. Notwithstanding the usefulness of forestry models for comparing different scenarios, extensive validation has yet to take place because empirical data from long-term field trials (e.g., LTSP network) is only now becoming available because of the relatively slow growth rates of trees compared to agricultural crops.

## Conclusions and Research Recommendations

The Americas are an expansive and globally important bioenergy producing region. We reviewed the impacts of biomass removal on the long-term sustainability of biogeochemical processes within the region. We considered the impacts of increasing biomass removals on the chemical, biological, and physical properties and functions of soils, and addressed related hydrology and soil water dynamics that affect biogeochemical cycling. We acknowledged but did not exhaustively examine global and overarching issues, such as increased atmospheric CO<sub>2</sub> concentrations, climate change, atmospheric deposition, non-renewable nutrient scarcity, LULCC, and cumulative effects.

We did not examine feedstock sources where the end-use of traditionally grown crops is now bioenergy instead of food, fodder, or fiber, but only considered those where there is an incremental increase in biomass harvesting, and hence OM and nutrient removals. We also did not consider the domestic use of firewood but focused on increased biomass removals for commercial bioenergy production. The abundance of short-term but lack of long-term studies on soil and biogeochemical impacts precludes formulation of a few simple conclusions, and a list highlighting 21 research gaps and knowledge needs was created (Table 2) based on both the over-arching and the specific soil and biogeochemical issues discussed above.

A number of soil sustainability knowledge gaps related to biomass production need to be addressed, notwithstanding the previous identification of some of these in earlier analyses (e.g., Jemison and Lowden 1974; Rennie 1979; Stone 1979; Titus et al. 2008; Vance et al. 2014). Gaps of particular importance include (1) the continuing (Rennie 1979) universal and fundamental need for site-specific management knowledge (including amounts of residue to be retained) to ensure soil properties and functions are sustained for all combinations of crops, soils,

**Table 2** Research gaps and knowledge needs, based on discussion of biogeochemical sustainability issues in relevant sections in the text

Research gap	Rationale	Key references
Amount of biomass that needs to be left (sustainability threshold) to maintain soil properties and functions, and hence site productivity	Universal and fundamental question for all combinations of crops, soils, sites, climates, management systems Required for site-level guidance, and for maximizing management and harvesting intensity without compromising sustainability	Rennie (1979)
Long-term (> 50 years in agriculture, multi-rotation in forestry) impacts of intensive biomass management and harvesting on soils	Short-term responses do not necessarily predict long-term responses The apparent greater impact of forest biomass removals on growth in intensively managed European forests than in North American forests suggests that historical land management may affect intensive harvesting impacts	Gollany et al. (2012), Thiffault et al. (2011), Scott et al. (2006)
Causative linkages between intensive biomass removals, reductions in soil and plant nutrients, and reduced growth	Linkages in forestry are not always apparent: increased biomass removals may not result in reduced soil nutrients, and reduced soil or plant nutrients do not always result in reduced growth	Thiffault et al. (2011)
Timing and duration of reduced growth in forestry	Loss of productivity can be ephemeral, depending on changes in soil availability and tree demand with succession: do ephemeral events constitute loss of long-term sustainability? Understanding temporal patterns of impact will allow development of better management options	Kimmins (1974), Stone (1979), Egnell (2011)
Nutrient co-limitation	Increasing evidence that co-limitation can sometimes affect growth Ensure adequate biomass is retained or compensatory treatments used to address co-limitation	Vadeboncoeur (2010), Harpole et al. (2011), Ågren et al. (2012)
P-deficiency	Biomass harvesting decreases forest growth on some P-deficient soils Knowing thresholds would allow identification of sensitive sites	Scott and Dean (2006)
Impact of SOM on soil aggregate stability	Evidence exists for agriculture, but little research in forestry	Blanco-Canqui and Lal (2009b), Lupi et al. (2007)
Impact of compaction on growth	Usually detrimental, but compaction can increase growth on some coarse-textured forest soils: how wide-spread and under what conditions is this effect apparent? Need to understand effects of soil texture, forest type, amount of slash needed to buffer equipment, initial soil condition, and pre-harvest conditions	Fleming et al. (2006), Page-Dumroese et al. (2006), Ponder et al. (2012)
Deep (sub-surface) soil processes and functions	Increasingly recognized as important, but relatively little information available on either the spatial distribution of deep soil C or its cycling in response to feedstock production	Follett et al. (2012), Angers and Eriksen-Hamel (2008)
Base cation weathering rates (both abiotic and biotic) and availability	Abiotic versus biotic components of weathering and uptake across various geologies and feedstocks are not always well understood Biological weathering can compensate for increased tree uptake in some cases: can it compensate for cation losses from increased biomass harvesting? Concern that acid deposition exacerbates biomass harvesting-induced losses in some regions: by how much, and where?	Bélanger et al. (2004), Jongmans et al. (1997)
Fertilization	Precision agriculture and forestry to reduce use and minimize nutrient movement to aquatic ecosystems Low impacts on aquatic systems with forest fertilization, but more research required when aquatic systems are sensitive to small impacts	Tilman et al. (2002)



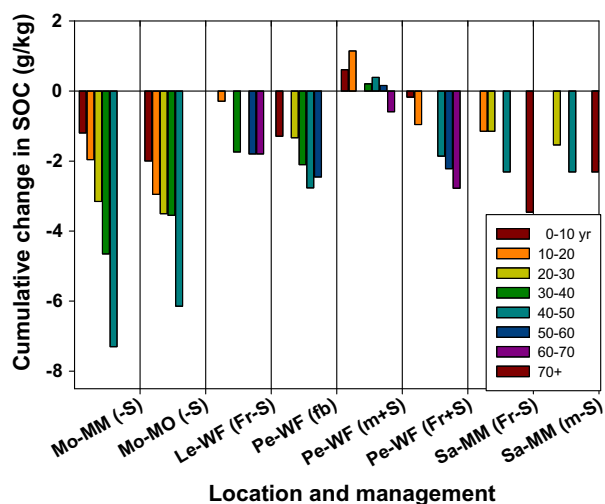
**Table 2** continued

Research gap	Rationale	Key references
Impacts of biochar on soils	Outcomes are variable and mechanisms are not fully understood, especially when impacts are negative	Biederman and Harpole (2013), Jeffery et al. (2011)
Conservation of/alternatives to increasingly rare fertilizer nutrients	Known reserves of some key fertilizer nutrients (e.g., rock P) are limited, and some combination of conservation/recovery/new sources may be required to sustain current soil productivity in some systems	Cordell et al. (2009)
Soil GHG emissions, especially after compensatory treatments (fertilization, manure, ashing, biochar)	Limited number of studies, especially in systems other than traditional agriculture and for gases other than CO <sub>2</sub>  Needed to estimate net climate change mitigation effects of biofuels using LCA that takes land management into account	Liebig et al. (2005), Del Grosso et al. (2009), Robertson et al. (2011)
Land use and land cover change (LULCC)	LULCC for bioenergy production not always differentiated from other causes  Indirect effects need to be identified and estimated	
Cumulative effects/interactions between different disturbances	Combined effects of intensive biomass harvesting with different environmental disturbances (e.g., increased atmospheric CO <sub>2</sub> , climate change, atmospheric deposition, etc)	Maynard et al. (2014)
Indicators of sustainable feedstock management (including sustainable soil management)	Require predictive (sensitive site) and evaluative (monitoring) indicators of soil sustainability	McBride et al. (2011)
Inclusion of soil- and nutrient-related impacts in LCA (nutrient loss/compensation, soil GHG emissions, off-site impacts, etc)	Require realistic and meaningful metrics for indicators of biomass production and harvesting impacts on soil for LCA  Includes need for on- and off-site impacts of compensatory treatments (e.g., repeated fertilization, biochar, manure, ashing)	Milà Canals et al. (2007)
Methods for spatial prediction of soil taxonomy, soil properties, and indicators of sustainability	Many parts of Pan-America lack detailed soil surveys; validated modeling methods are needed to predict soil taxonomy and properties, especially to identify locations of sensitive soils	Scull et al. (2003), Burger and Kelting (1999), Thiffault et al. (2014)
Process-based ecosystem models	Field trials for generating empirical data on complex interactions, especially over long time periods, are expensive to maintain  Modeling, within limitations, offers potential solutions	
Long-term databases	Shared access to long-term empirical data to understand complex integrated issues, and increase data accessibility for complex analyses, including modeling (calibration, validation), spatial analyses and LCA	USDA ARS (2015), Del Grosso et al. (2013)

sites, climates, and management systems; (2) the need for long-term trials (Fig. 5) because short-term effects do not always predict long-term effects (Scott et al. 2006); and (3) the lack of studies on cumulative effects on soils and biogeochemical processes (Maynard et al. 2014), even though a number of global change influences are having, and will increasingly have, inevitable impacts on soil processes.

Within this context, it is important that reviews, syntheses and meta-analyses be used strategically to capitalize on past research investments, not only for the synergy they

bring to knowledge generation, but also because they can (1) challenge conventional thinking (e.g., compaction; Fleming et al. 2006) or (2) show that emerging and promising practices may not have the universal effects that were initially expected (e.g., biochar; Biederman and Harpole 2013). Long-term field trials are essential, especially when standardized methods and experimental designs are shared within research networks such as USDA-ARS-REAP in agriculture (Jin et al. 2014), LTSP in forestry (Powers 2006), and CIFOR in short-rotation forestry (Tiarks et al. 1998). However, new sets of trials are also



**Fig. 5** Decadal cumulative change (loss/accrual) of soil organic carbon (SOC) for eight cropping systems across four long-term experiments in the US and Canada. The first symbol is for experimental site, and the second and third are for continuous maize (MM), maize-oat rotation (MO), and wheat with fallow rotation (WF); the symbols in brackets are for additions of fertilizer (Fr) or manure (m), fall burning (fb), and stover or straw retention (+S) or removal (-S). The eight cropping systems are: (1) *Morrow plots* (1876) in maize with biomass removed until 1950, Champaign, IL (Darmody and Peck 1997): *Mo-MM (-S)* continuous maize with stover removal; *Mo-MO (-S)* maize and oat rotation with stover removal; (2) *Lethbridge plots* (1911) in wheat with straw baled until 1943, Lethbridge, AB (Janzen et al. 1997): *Le-WF (Fr-S)* wheat and fallow rotation with fertilizer (45 kg N ha<sup>-1</sup>) and straw removal; (3) *Pendleton plots* (1931) in winter wheat and fallow rotation at Pendleton, OR (Machado 2011; Rasmussen and Albrecht 1998): *Pe-WF (fb)* wheat and fallow rotation under fall burn; *Pe-WF (m + S)* wheat and fallow rotation with manure addition (11.2 MT ha<sup>-1</sup> year<sup>-1</sup>) and straw retention; *Pe-WF (Fr + S)* wheat and fallow rotation with fertilizer (90 kg N ha<sup>-1</sup>) and straw retention; and (4) *Sanborn Field* (1888) in maize with stover removed until 1950, Columbia, MO (Buyanovsky et al. 1997): *Sa-MM (Fr-S)* continuous maize with fertilization and stover removal; *Sa-MM (m-S)* continuous maize with manure addition (13.4 Mg ha<sup>-1</sup> year<sup>-1</sup>) and stover removal

needed that take into account emerging knowledge, technologies and treatments, and to ensure that our scientific knowledge can be appropriately integrated into operational policies (Kimmins et al. 2005; Vance et al. 2014). Improved process-based and spatial models are needed that better integrate current knowledge and databases (e.g., USDA ARS 2015, Del Grosso et al. 2013), predict outcomes of different treatments over time, and can be applied at both site and landscape scales. Finally, bioenergy research strategies that are multinational, multiagency, and multidisciplinary are needed to ensure that soil and biogeochemical research takes place effectively and efficiently, as water and atmosphere are covered under a larger environmental and socioeconomic context in a way that maximizes its impact across a range of countries, such as those within the Americas.

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