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1 **Effect of low inspired oxygen fraction on respiratory indices in mechanically ventilated horses**  
2 **anaesthetised with a constant rate infusion of isoflurane and medetomidine**

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**Highlights**

- Poor oxygenation can be a major problem in horses during anaesthesia.
- Low  $\text{FiO}_2$  is used to minimise atelectasis to improve respiratory function and oxygenation.
- Determination of invasive respiratory indices are difficult clinically, so non-invasive respiratory indices were substituted.
- Use of low  $\text{FiO}_2$  did not result in significant improvement in respiratory indices.
- The calculated F-shunt was not lower in the low  $\text{FiO}_2$  group.

**Abstract**

Horses may become hypoxaemic during anaesthesia despite a high inspired oxygen fraction ( $\text{FiO}_2$ ). A lower  $\text{FiO}_2$  is used commonly in human beings to minimise atelectasis and to improve lung function, and previously has been shown to be of potential benefit in horses in experimental conditions. Other studies suggest no benefit to using a  $\text{FiO}_2$  of 0.5 during clinically relevant conditions; however, low  $\text{FiO}_2$  (0.65) is commonly used in practice and in a large number of studies. The present study was performed to compare the effect of a commonly used  $\text{FiO}_2$  of 0.65 versus 0.90 on calculated respiratory indices in anaesthetised mechanically ventilated horses in a clinical setting. Eighteen healthy Thoroughbred horses anaesthetised for experimental laryngeal surgery were recruited into a prospective, non-blinded, randomised clinical study. Before anaesthesia, the horses were randomly allocated into either low (0.65) or high (0.90)  $\text{FiO}_2$  groups and arterial blood gas (ABG) analysis was performed every 30 min during anaesthesia to allow for statistical analysis of respiratory indices. As expected,  $\text{PaO}_2$  was significantly lower in horses anaesthetised with a low  $\text{FiO}_2$ , but was sufficient to fully saturate haemoglobin. There were no significant improvements in any of the other respiratory indices. There is no obvious benefit to be gained from the use of a  $\text{FiO}_2$  of 0.65 compared to 0.90 for mechanically ventilated Thoroughbred horses anaesthetised in lateral recumbency with isoflurane and a medetomidine constant rate infusion.

*Keywords:* Equine; Anaesthesia; Atelectasis;  $\text{FiO}_2$ ;  $\text{PaO}_2$ ; Respiratory indices

## 47 Introduction

48 General anaesthesia in horses may lead to hypoxaemia, hypercapnia and a large alveolar (A)  
49 arterial (a) difference in the partial pressure of oxygen ( $P(A-a)O_2$ ), even with maximal fractional  
50 inspired oxygen ( $FiO_2$ ) (Hall et al., 1968). The main causes of hypoxaemia during anaesthesia,  
51 which can be difficult to treat, are intrapulmonary shunt and ventilation-perfusion ( $V_A:Q$ ) mismatch  
52 (Rees et al., 2010). Other potential causes of hypoxaemia include (1) hypoventilation, which can be  
53 corrected by mechanical ventilation; and (2) diffusion limitation, which although unlikely to be  
54 encountered in healthy horses, occurs at high intensity exercise (Wagner et al., 1989).

55  
56 Atelectasis is caused by compression of the thorax by the abdominal contents (Moens et al.,  
57 1995; Sorenson and Robinson, 1980), absorption of alveolar gas (Nyman and Hedenstierna, 1989;  
58 Rothen et al., 1995b, c;) and reduced surfactant function, as seen in human beings (Magnusson and  
59 Spahn, 2003). Atelectasis develops early in the anaesthetic period and gas exchange impairment is  
60 semi-quantitatively related to the area of atelectatic lung (Nyman et al., 1990).

61  
62 During anaesthesia, functional residual capacity (FRC) is reduced (Sorenson and Robinson,  
63 1980), potentially below closing capacity, leading to small airway closure (Hedenstierna and  
64 Edmark, 2010). Normal alveolar gas exchange results in oxygen absorption and  $CO_2$  expulsion  
65 from the blood, with minimal nitrogen exchange; however, in trapped alveoli, there is no net  
66 inspired ventilation and so gas absorption occurs, leading to atelectasis (Briscoe et al., 1960;  
67 Dantzker et al., 1975; Joyce et al., 1993). The rate of collapse of a closed gas pocket or lung area is  
68 greater when it contains a high concentration of oxygen (Piiper et al., 1962; Joyce et al., 1993). This  
69 may be reduced using a low  $FiO_2$ ; one study using helium and oxygen suggests that pulmonary gas  
70 exchange is better preserved with a low  $FiO_2$  (Staffieri et al., 2009). Horses anaesthetised with  
71 isoflurane in low  $FiO_2$  (0.6) had significantly lower  $PaO_2$  and lower  $P(A-a)O_2$ , but similar  
72  $PaO_2:FiO_2$  ratios and similar numbers of hypoxaemic animals, when compared to horses

73 anaesthetised with isoflurane in a higher  $\text{FiO}_2$  (0.78) (Schauvliege et al., 2015). In two additional  
74 studies using oxygen/air mixtures, there was no benefit in using a  $\text{FiO}_2$  of 0.5, with no improvement  
75 in oxygen delivery and significant hypoxaemia (Hubbell et al., 2011; Crumley et al., 2013).

76

77 Medetomidine is a selective and potent  $\alpha_2$  adrenoceptor agonist used for sedation and  
78 analgesia in veterinary anaesthesia (Virtanen et al., 1988; Pertovaara, 1993). When administered as  
79 a constant rate intravenous infusion (CRI) as a component of partial intravenous anaesthesia  
80 (PIVA), it reduces the minimum alveolar concentration (MAC) of isoflurane in horses (Neges et al.,  
81 2003) and improves the quality of recovery (Ringer et al., 2007). Medetomidine, like other  $\alpha_2$   
82 agonists, causes cardiopulmonary effects, including reduction in cardiac output ( $Q_t$ ), biphasic  
83 changes in arterial blood pressure (ABP), bradycardia and arrhythmias (England and Clarke, 1996).  
84 With the exception of changes in ABP, these effects of bolus administration are not substantially  
85 different from pre-sedation values when steady state CRI values are reached (Bettschart-  
86 Wolfensberger et al., 1999). Other effects of medetomidine include a decrease in respiratory rate  
87 ( $f_R$ ) and changes in  $\text{PaCO}_2$  and  $\text{PaO}_2$ , although these are not always statistically or clinically  
88 significant (Wagner et al., 1991; Bettschart-Wolfensberger et al., 1999).

89

90 In view of the continued clinical use of low  $\text{FiO}_2$  in practice and other clinical studies, the  
91 aim of this study was to compare calculated non-invasive respiratory indices in mechanically  
92 ventilated horses anaesthetised with isoflurane and a medetomidine CRI, using a  $\text{FiO}_2$  of either 0.65  
93 or 0.90. It was hypothesised that a low  $\text{FiO}_2$  would improve calculated respiratory indices compared  
94 to a high  $\text{FiO}_2$  but lower overall  $\text{PaO}_2$ .

95

## 96 **Materials and methods**

### 97 *Animals*

98           Eighteen Thoroughbred racehorses, retired due to laryngeal problems but otherwise healthy,  
99   were randomly assigned to receive either a low (0.65; ML) or a high (0.90; MH) FiO<sub>2</sub> during an  
100   experimental surgical procedure. All horses were included in the final results and all horses  
101   recovered uneventfully from anaesthesia. This prospective, randomised clinical study was approved  
102   by the Ethics and Welfare committee of the Royal Veterinary College (approval number RVC  
103   PURN: 2012 1179; date of approval 18 October 2012). The research horses were recruited from  
104   another study being performed under Home Office Licence regulations.

105

106   *Anaesthesia*

107           Horses were fasted for 10-12 h before anaesthesia for elective laryngeal surgery; access to  
108   water was not restricted. Flunixin meglumine (1.1 mg/kg IV; Flunixin Injection, Norbrook  
109   Laboratories) was infused through a 14 G x 13 cm jugular catheter (Milacath Extended Use, Mila).  
110   Gentamicin (6.6 mg/kg IV; Genta-kel, Kela; or GentaEquine, Dechra) was administered 30 min  
111   before anaesthesia. Procaine penicillin (20000 IU/kg IM; Norocillin, Norbrook Laboratories) was  
112   administered 60-90 min prior to anaesthesia. Acepromazine (0.04 mg/kg IM; Calmivet, Vetoquinol)  
113   was administered 60 min before anaesthesia.

114

115           Medetomidine (0.007 mg/kg IV; Sedastart, Animalcare) and morphine (0.2 mg/kg IV;  
116   Morphine Sulphate, Martindale Pharmaceuticals) were administered for sedation and analgesia.  
117   Anaesthesia was induced with ketamine (2.2 mg/kg; Ketaset, Zoetis) and midazolam (0.04 mg/kg;  
118   Hypnovel, Roche Products) given simultaneously IV. After induction of anaesthesia and  
119   endotracheal (ET) intubation, each horse was positioned in right lateral recumbency on the  
120   operating table and the ET tube connected to a large animal anaesthetic machine (Mallard Medical  
121   2800C, AB Medical Technologies). Isoflurane (Isoflo, Abbott Laboratories) was delivered at an  
122   initial concentration of 3% V/V in a fresh gas flow of 5 L/min, with either 3.5 L/min oxygen plus  
123   1.5 L/min medical air (ML) to provide a FiO<sub>2</sub> of 0.65, as commonly used in practice, or 100%

124 oxygen (MH). All horses were mechanically ventilated with a tidal volume ( $V_T$ ) of 12 mL/kg and at  
125 an  $f_R$  to maintain an end-tidal  $CO_2$  tension ( $P_{ET}CO_2$ ) between 35 and 55 mmHg. All horses received  
126 compound sodium lactate (CSL) solution (Vetivex 11, Dechra Veterinary Products) at a rate of  
127 approximately 7 mL/kg/h during anaesthesia. A surgical plane of anaesthesia was maintained using  
128 isoflurane and a CRI of medetomidine at a dose of 3.5  $\mu$ g/kg/h. Ketamine boluses (0.1-0.2 mg/kg  
129 IV) were used if the horse was deemed to be lightly anaesthetised. Dobutamine (Dobutamine,  
130 Hameln Pharmaceuticals) was infused at a dose of up to 5  $\mu$ g/kg/min, if required, to maintain mean  
131 arterial blood pressure (MAP) > 70 mmHg.

132

### 133 *Monitoring and data collection*

134 ABP was measured using a multiparameter monitor (Datex-Ohmeda S/5, GE Healthcare)  
135 using a catheter placed in the left dorsal metatarsal artery. This catheter was also used to collect  
136 samples for arterial blood gas analysis (ABG), which was started as soon as practicable after  
137 induction of anaesthesia and thereafter at 30 min intervals. Each sample was analysed immediately  
138 using an IRMA TruPoint (QCR) blood gas analyser. Parameters recorded were isoflurane vaporiser  
139 setting (%), inspired ( $F_{I}Iso$ ) and end-tidal ( $F_{ET}Iso$ ) isoflurane concentrations, heart rate (HR),  $f_R$ ,  
140  $F_{i}O_2$ , expired percentage of oxygen ( $F_{ET}O_2$ ), saturation of haemoglobin with oxygen ( $SpO_2$ ),  
141  $P_{ET}CO_2$ ,  $V_T$ , peak inspiratory pressure (PIP) and positive end-expiratory pressure (PEEP). A rescue  
142 protocol for  $PaO_2 < 80$  mmHg, an accepted level for hypoxaemia in equines (Haskins, 2007), was  
143 prepared but not used. All data were recorded manually every 5 min and study parameters collated  
144 between first and last ABG, so that the mean  $\pm$  standard deviation for each parameter measured was  
145 within ABG measurements.

146

### 147 *Data collation and analysis*

148 Data were entered into a spreadsheet (Excel 2011 for Mac, Microsoft) before importation  
149 into a statistical programme (SPSS Statistics 21 for Mac, IBM) for analysis. After testing each sub-

150 group for normality (Kolmogorov-Smirnov test), independent sample *t* tests were used to compare  
151 means of continuous data between low and high FiO<sub>2</sub> sub-groups. The means tested were age,  
152 weight, duration of procedure, average dobutamine infusion rate, HR, V<sub>T</sub>, V<sub>T</sub>/weight, f<sub>R</sub>, V<sub>M</sub>, PIP,  
153 PEEP, SpO<sub>2</sub>, MAP, F<sub>ET</sub>Iso, FiO<sub>2</sub>, PaO<sub>2</sub>, barometric pressure (PB), PAO<sub>2</sub>, oxygen partial pressure  
154 (P(A-a)O<sub>2</sub>), arterial oxygen pressure ratio (PaO<sub>2</sub>:FiO<sub>2</sub>), respiratory index (P(A-a)O<sub>2</sub>/PaO<sub>2</sub>), ratio of  
155 dead space to V<sub>T</sub> (V<sub>D</sub>:V<sub>T</sub>) and the calculated ratio of the oxygen partial pressure differences  
156 between alveolar-arterial and arterio-venous values (F-shunt) (Table 1).

157

158 Independent samples Mann-Whitney *U* tests were used for analysis of American Society of  
159 Anesthesiologists (ASA) health status<sup>1</sup>, body condition score (BCS) and quality of recovery; the  $\chi^2$   
160 test was used for analysis of sex. Statistically significant results ( $P < 0.05$ ) were taken forward into  
161 multivariate analysis, using a general linear model (GLM), along with risk factors from any test in  
162 which  $P \leq 0.1$ , or which had been shown previously to affect PaO<sub>2</sub> in other studies, including age,  
163 BCS and weight, and refined until only independent predictors with a  $P < 0.05$  remained in the final  
164 model. A linear mixed effects (LME) model was then performed on the data to examine the effect  
165 of group and time on PaO<sub>2</sub>.

166

## 167 **Results**

168 Demographic and clinical data are shown in Table 2. Cardiorespiratory data are shown in  
169 Table 3. There were no significant differences in age, weight, BCS or ASA category between the  
170 eight males and one female in the ML group, or the seven males and two females in the MH group.  
171 Duration of anaesthesia, haemoglobin concentration, additional analgesic drug usage, dobutamine  
172 usage, duration of anaesthesia, and length and quality of recovery were not significantly different  
173 between groups (Table 3).

174

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<sup>1</sup> See: <http://www.asahq.org/For-Members/Clinical-Information/ASA-Physical-Classification-System.aspx>.



175 There were no significant differences in SpO<sub>2</sub>, Pa, F<sub>ET</sub>, P(A-a), HR, *f*<sub>R</sub>, V<sub>T</sub>, V<sub>M</sub>, MAP, PIP,  
176 PEEP, V<sub>D</sub>:V<sub>T</sub>, CaO<sub>2</sub> or CcO<sub>2</sub> during anaesthesia. There were no significant differences in P(A-a)O<sub>2</sub>  
177 (*P* = 0.106), PaO<sub>2</sub>:FiO<sub>2</sub> (*P* = 0.112) or F-shunt (*P* = 0.396) between the ML group and the MH  
178 group. Horses in the ML group had significantly lower PaO<sub>2</sub> (337.7 ± 56.4 mmHg) and PAO<sub>2</sub>  
179 (396.1 ± 19.1 mmHg) than those in the MH group (496.8 ± 52.5 and 581.9 ± 21.3) (*P* < 0.001 for  
180 both parameters). When taken into the GLM, only the FiO<sub>2</sub> sub-group was a significant independent  
181 predictor of PaO<sub>2</sub> (*P* < 0.001). In the LME model, time was not a significant factor (*P* = 0.285),  
182 while group (ML versus MH) again was significant for PaO<sub>2</sub> and PAO<sub>2</sub> (*P* < 0.001).

183

## 184 Discussion

185 The main finding of this study is that reducing FiO<sub>2</sub> to 0.65 in isoflurane and medetomidine  
186 anaesthetised horses does not result in a statistically significant improvement in pulmonary indices,  
187 compared to a FiO<sub>2</sub> of 0.90. Therefore, the hypothesis that pulmonary function, as measured by  
188 pulmonary indices, would be improved by the use of a low FiO<sub>2</sub> of 0.65 is not supported.

189

190 Using low FiO<sub>2</sub> in an attempt to improve pulmonary function in horses is related to attempts  
191 to improve overall anaesthetic risk in this species. Significant proportions of peri-anaesthetic deaths  
192 in horses are caused by cardiac arrest or cardiovascular collapse (33%), myopathy (7%) and limb  
193 fractures (25%) (Johnston et al., 2002). Hypoxaemia may play a part in some or all of these deaths  
194 by contributing to inadequate myocardial oxygenation or poor peripheral oxygen delivery  
195 (Schatzmann, 1995).

196

197 High FiO<sub>2</sub> administered to human beings in the peri-anaesthetic period has detrimental  
198 effects, such as atelectasis and reformation after alveolar recruitment manoeuvres (Hedenstierna,  
199 1990; Rothen et al., 1995a, b; Akca et al., 1999; Benoit et al., 2002; Hedenstierna and Edmark,  
200 2010), increased intrapulmonary shunts in horses (Steffey et al., 1987; Marntell et al., 2005) and

201 increased systemic vascular resistance and reductions in cardiac index and heart rate in human  
202 beings (Anderson et al., 2005). In addition, hyperoxia may lead to tissue damage through oxygen  
203 toxicity in many species (Davis et al., 1983; Clutton et al., 2011). However, high  $FiO_2$  ensures a  
204 higher  $PaO_2$  during anaesthesia, which may be beneficial for wound healing (Greif et al., 2000).  
205 Oxygenation in the recovery period also improves the  $PaO_2$  in horses (De Moor et al., 1974).

206

207 Use of a lower  $FiO_2$  improves lung aeration and lowers atelectasis formation in dogs, cats  
208 and human beings, as well as decreasing pulmonary shunting and improving gas exchange  
209 (Hedenstierna, 1990; Rothen et al., 1995b; Staffieri et al., 2007, 2010). Improved  $P(A-a)O_2$  in  
210 horses was demonstrated using a  $FiO_2$  of 0.3 compared to 0.8 (Cuvelliez et al., 1990) and decreased  
211 pulmonary shunting observed with  $FiO_2$  of 0.21 versus  $> 0.8$  (Marntell et al., 2005). Use of a  
212 helium-oxygen (Heliox) mixture allowed adequate oxygenation in horses with an  $FiO_2$  of 0.4  
213 (Driessen et al., 2003), whilst a low  $FiO_2$  of 0.25 and then stepwise increases in  $FiO_2$  to  $> 0.9$ , again  
214 using Heliox, better preserved pulmonary gas exchange than in horses breathing  $FiO_2 > 0.9$   
215 (Staffieri et al., 2009). In the current study, there were no significant improvements noted for any of  
216 the respiratory indices and, whilst no pulmonary index improved with low  $FiO_2$ , the horses in both  
217 groups had more than adequate  $SpO_2$  and  $PaO_2$  throughout, with no horse becoming hypoxaemic.

218

219 Hubbell et al. (2011) and Crumley et al. (2013) demonstrated an improved  $P(A-a)O_2$  with a  
220  $FiO_2$  of 0.5; furthermore, Staffieri et al. (2009) showed that a step-wise increase in  $FiO_2 > 0.5$   
221 significantly worsened  $P(A-a)O_2$ , indicating oxygen absorption in areas of low  $V_A:Q$ , without  
222 replenishment, and a progressive collapse of alveoli. The critical inspired ventilation:perfusion ratio  
223 ( $V_{AI}:Q$ ) describes lung areas where  $V_A:Q$  is so low that net absorption of alveolar gas occurs,  
224 despite airways remaining open, leading to significant alveolar collapse (Dantzker et al., 1975) and  
225 increased shunt formation.

226

227 In the present study, there were no significant differences in F-shunt values between the ML  
228 and MH groups, similar to the findings of Hubbell et al. (2011). In contrast, Marntell et al. (2005)  
229 found that a  $FiO_2$  of 0.21 significantly reduced F-shunt values. These results suggest that a  $FiO_2$  of  
230 0.90 does not lead to greater shunt formation than a  $FiO_2$  of 0.65 or 0.5. The lack of a reduction in  
231 shunt formation with a lower  $FiO_2$ , in comparison with maximal, also suggests that absorption  
232 atelectasis as described is minimal and unlikely to be a major component of the relatively poorer  
233  $PaO_2$  in the ML group (Nyman and Hedenstierna, 1989; Hubbell et al., 2011).

234

235 No horses in this study or the Heliox studies, all of which were positioned in lateral  
236 recumbency, were hypoxaemic ( $PaO_2 < 60$  mmHg). However, hypoxaemia has been observed in  
237 some horses in other studies using air or an oxygen-air mixture; in the studies performed by Hubbell  
238 et al. (2011) and Crumley et al. (2013), horses were positioned in dorsal recumbency, whilst in the  
239 study by Marntell et al. (2005), horses were positioned in lateral recumbency. Horses in dorsal  
240 recumbency and spontaneously breathing horses in lateral recumbency breathing a  $FiO_2$  of 0.21  
241 were at risk of hypoxaemia. Posture, especially dorsal recumbency, affects pulmonary function by  
242 reducing effective lung area and FRC (Sorenson and Robinson, 1980; Day et al., 1995; Whitehair  
243 and Willits, 1999), leading to  $PaO_2$  values significantly below those in standing, sternal or laterally  
244 recumbent horses, and contributing to large  $P(A-a)O_2$  differences (Nyman and Hedenstierna, 1989;  
245 Day et al., 1995). Mechanical ventilation instituted immediately after induction of anaesthesia  
246 results in higher  $PaO_2$  than when mechanical ventilation is delayed (Day et al., 1995; Wolff and  
247 Moens, 2010). In the present study, mechanical ventilation was instituted immediately in all horses  
248 to achieve similar values for  $P_{ET}CO_2$ .

249

250 Medetomidine CRI was used in this study in addition to morphine for analgesia, since the  
251 analgesia provided by other protocols (PIVA with romifidine CRI or ketamine CRI plus morphine)  
252 was inadequate to prevent movement in other research horses undergoing the same procedure,

253 despite liberal use of ketamine and morphine boluses. In this study, only two horses per group  
254 required additional ketamine doses, and none of the horses moved, indicating that the PIVA  
255 combination of isoflurane and medetomidine, with morphine, was sufficient to provide adequate  
256 anaesthesia with  $F_{ET}Iso$  of 1.1-1.2%.

257

258 Morphine and medetomidine have cardiopulmonary effects, but these are likely to be similar  
259 in both groups and thus would not be expected to alter the results overall. Morphine has different  
260 reported effects on the cardiopulmonary system of horses anaesthetised with isoflurane, including  
261 none (Nolan et al., 1991), reduced  $PaO_2$  (Love et al., 2006) and increased  $PaCO_2$  with serious  
262 respiratory depression (Steffey et al., 2003), depending on dose of morphine given. Medetomidine  
263 CRI reduces the MAC of isoflurane (Bettschart-Wolfensberger et al., 2001; Neges et al., 2003) and  
264 is a potent analgesic. In addition to cardiovascular effects,  $\alpha_2$  adrenergic agonists cause respiratory  
265 depression, leading to lower  $f_R$ , reduced or minimally changed  $PaO_2$  (Wagner et al., 1991;  
266 Bettschart-Wolfensberger et al., 1999; Neges et al., 2003) and increased  $PaCO_2$  (Bryant et al.,  
267 1996).

268

269 There were no statistically significant differences between ML and MH groups in time to  
270 recover to standing or in unassisted recovery quality. Although there were significant differences in  
271  $PaO_2$ , this did not significantly influence the recovery to standing of horses in our study. In previous  
272 studies, oxygen delivery ( $DO_2$ ) was either not significantly different between groups (Hubbell et al.,  
273 2011) or was significantly lower at one time point in the  $FiO_2$  0.21 group (Marntell et al., 2005).

274

275 The IRMA TruPoint ABG analyser has not been validated for measuring equine  
276 haemoglobin (Hb) concentrations, so this may have introduced some errors into our F-shunt  
277 calculations, but these errors would occur in both ML and MH groups and thus would have minimal  
278 effects on results. A further limitation of this study is that we used the human value of 3.5 mL/dL

279 for the arterial-mixed venous oxygen content difference ( $C(a-\bar{v})O_2$ ). In contrast, values of 4-7  
280 mL/dL have been measured by Marntell et al. (2005), who reported mean shunt values of  $5-13 \pm$   
281 5% in spontaneously breathing anaesthetised horses in left lateral recumbency. The F-shunt values  
282 of  $16-18 \pm 7\%$  calculated in the present study are broadly equivalent, given that they are likely to  
283 have been overestimated.

284

285 An additional and important limitation of this study is that the combination of immediate  
286 mechanical ventilation and lateral recumbency in lean ('flat-bellied') horses is likely to have  
287 reduced the risk of small airway closure and significant absorption atelectasis. Dorsal recumbency  
288 induces the greatest impairment to ventilation in the horse (McDonell and Hall, 1974; Sorensen and  
289 Robinson, 1980); furthermore, in all positions, anaesthetised 'round-bellied' horses also had a lower  
290  $PaO_2$  and larger  $P(A-a)O_2$  than anaesthetised 'flat-bellied' horses (Moens et al., 1995). In dorsal  
291 recumbency, 'round' and 'flat-bellied' horses have similar distribution of air flow to each lung. In  
292 lateral recumbency, 'round-bellied' horses develop an uneven distribution of air flow, whilst 'flat-  
293 bellied' horses retain equal airflow distribution (Moens et al., 1995). Moreover, tall, lightweight,  
294 lean horses with a large thoracic circumference have a better  $PaO_2$  when anaesthetised compared to  
295 'round-bellied' horses (Mansel and Clutton, 2008). These studies support the general hypothesis  
296 that body shape and the pressure exerted by abdominal contents is a major contributor to poor  
297 respiratory function in horses during anaesthesia. The results of this study, in lean flat-bellied  
298 Thoroughbred horses, therefore cannot be related to all horses in all recumbencies or indeed those  
299 horses spontaneously ventilating.

300

301 Detrimental changes in the respiratory system in horses during anaesthesia usually occur  
302 early in the anaesthetic process and worsen with time (Nyman et al., 1988). This was not seen in  
303 this study in respect of  $PaO_2$ , which remained relatively high in both groups, with little upward or  
304 downward variation over the duration of each anaesthetic procedure. It may be that the combination

305 of anaesthetic protocol, young healthy Thoroughbred horses, immediate mechanical ventilation and  
306 positioning in lateral recumbency prevented any time effect from becoming evident. Furthermore,  
307 the low number of horses in the study may have reduced the power of the study.

308

### 309 **Conclusions**

310 Horses anaesthetised with a  $\text{FiO}_2$  of 0.65 had a lower arterial oxygenation, but no significant  
311 improvement in pulmonary indices, compared to horses in which a higher  $\text{FiO}_2$  was used.  
312 Hypoxaemia did not occur and low  $\text{FiO}_2$  did not affect recovery quality and time to recovery;  
313 therefore, this combination may be acceptable for mechanically ventilated horses, anaesthetised  
314 with isoflurane and medetomidine CRI, positioned in lateral recumbency. The optimum overall  
315 anaesthetic strategy to maintain high  $\text{PaO}_2$  and excellent pulmonary function in horses is still to be  
316 elucidated.

317

### 318 **Conflict of interest statement**

319 Neither of the authors has any financial or personal relationships that could inappropriately  
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321

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326

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537 **Table 1**  
 538 Respiratory calculations.  
 539

Unit or index calculated	Calculation
Alveolar partial pressure of oxygen: PAO <sub>2</sub> (mmHg)	$PAO_2 = ([PB^a - PH_2O^b] \times FiO_2) - (PaCO_2/0.8)$
Pulmonary end-capillary oxygen content: Cc'O <sub>2</sub> (mL/dL)	$Cc'O_2 = ([Hb]^c \times \text{Hüfner's Constant}^d \times Sc'O_2^e) + (0.0031 \times Pc'O_2^f)$
Arterial oxygen content: CaO <sub>2</sub> (mL/dL)	$CaO_2 = ([Hb] \times \text{Hüfner's Constant} \times SaO_2^g) + (0.0031 \times PaO_2)$
Alveolar-to-arterial oxygen difference: P(A-a)O <sub>2</sub> (mmHg)	$P(A-a)O_2 = PAO_2 - PaO_2$
Arterial-to-inspired oxygen ratio (mmHg)	PaO <sub>2</sub> :FiO <sub>2</sub>
F-shunt (%)	$([Cc'O_2 - CaO_2] / [Cc'O_2 - CaO_2] + 3.5^h \text{ mL/dL}) \times 100$

- 540  
 541 <sup>a</sup> Barometric pressure (mmHg).  
 542 <sup>b</sup> Vapour pressure of water = 47 mmHg.  
 543 <sup>c</sup> Haemoglobin concentration.  
 544 <sup>d</sup> Oxygen carrying capacity of haemoglobin (1.36 mL/g).  
 545 <sup>e</sup> Pulmonary end capillary oxygen saturation (for PAO<sub>2</sub> > 100 mm Hg assumed = 1).  
 546 <sup>f</sup> Pulmonary end-capillary partial pressure of oxygen (mmHg), assumed to be PAO<sub>2</sub>.  
 547 <sup>g</sup> Arterial haemoglobin oxygen saturation (%).  
 548 <sup>h</sup> Arterial-venous oxygen content difference [C(a-v)O<sub>2</sub>] in mechanically ventilated humans.

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549 **Table 2**

550 Demographic and other data of 18 horses anaesthetised with isoflurane and medetomidine and mechanically ventilated  
 551 using either a low (0.65) or high (0.90) FiO<sub>2</sub>.  
 552

	Low FiO <sub>2</sub> (n = 9)	High FiO <sub>2</sub> (n = 9)
Age (years) <sup>a</sup>	6.1 ± 1.2	5.8 ± 1.6
Sex (number of males:number of females)	8:1	7:2
Weight (kg) <sup>a</sup>	558.8 ± 34.5	550.4 ± 58.2
Body condition score (0-9)	4	3.9 (range 3-4)
ASA <sup>b</sup> category	1 (range 1-2)	1
Haemoglobin (g/dL) <sup>a</sup>	11.4 ± 1.24	10.9 ± 1.1
Duration of anaesthesia (min) <sup>a</sup>	200 ± 36.1	178.9 ± 30.4
Number of horses receiving additional ketamine (dose range in mg)	2 (200-400)	2 (200-600)
Number of horses receiving additional morphine (dose range in mg)	7 (60-90)	6 (60-90)
Number of horses receiving dobutamine	9	9
Dose of dobutamine (µg/kg/min) <sup>a</sup>	0.61 ± 0.4	0.54 ± 0.3
Time to recovery (min) <sup>a</sup>	50.1 ± 22.7	45.1 ± 12.5
Recovery quality (median)	2 (range 1-3)	2 (range 1-3)

553

554 <sup>a</sup> Mean ± standard deviation.555 <sup>b</sup> American Society of Anesthesiologists health status.

556 **Table 3**

557 Measured and calculated cardiovascular and respiratory variables (mean  $\pm$  standard deviation) of 18 horses  
 558 anaesthetised with isoflurane and medetomidine CRI and mechanically ventilated using either a low (0.65) or high  
 559 (0.90) FiO<sub>2</sub>.

560

	Low FiO <sub>2</sub>	High FiO <sub>2</sub>
FiO <sub>2</sub> <sup>a, b</sup>	66.5 $\pm$ 2.9	92.4 $\pm$ 2.2
PaO <sub>2</sub> (mmHg) <sup>a</sup>	337.7 $\pm$ 56.4	496.8 $\pm$ 52.5
PAO <sub>2</sub> (mmHg) <sup>a</sup>	396.1 $\pm$ 19.1	581.9 $\pm$ 21.3
P(A-a)O <sub>2</sub> (mmHg)	58.42 $\pm$ 41.7	85.07 $\pm$ 49.6
PaO <sub>2</sub> :FiO <sub>2</sub>	505.6 $\pm$ 66.3	537.6 $\pm$ 53.2
F-shunt (%)	18.2 $\pm$ 7.2	16.5 $\pm$ 5.8
CaO <sub>2</sub> (mL O <sub>2</sub> /dL)	15.3 $\pm$ 1.5	15.4 $\pm$ 1.4
CcO <sub>2</sub> (mL O <sub>2</sub> /dL)	16.1 $\pm$ 1.6	16.1 $\pm$ 1.5
SpO <sub>2</sub> (%)	95.8 $\pm$ 1.9	96.9 $\pm$ 1.6
PaCO <sub>2</sub> (mmHg)	57.0 $\pm$ 5.6	57.7 $\pm$ 6.3
F <sub>ET</sub> CO <sub>2</sub> (mmHg)	44.5 $\pm$ 3.6	45.2 $\pm$ 3.7
P(A-a)CO <sub>2</sub> (mmHg)	12.5 $\pm$ 3.6	12.5 $\pm$ 5.0
HR (beats/min)	30.0 $\pm$ 3.3	27.6 $\pm$ 2.9
fR (breaths/min)	7.8 $\pm$ 0.9	7.1 $\pm$ 0.6
V <sub>T</sub> (L/breath)	6.9 $\pm$ 1.0	6.4 $\pm$ 0.8
V <sub>T</sub> /weight (mL/kg)	12.3 $\pm$ 1.2	11.6 $\pm$ 1.4
V <sub>M</sub> (L/min)	53.5 $\pm$ 7.9	45.5 $\pm$ 7.8
MAP (mmHg)	75.0 $\pm$ 8.9	75.7 $\pm$ 9.6
PIP (cmH <sub>2</sub> O)	21.5 $\pm$ 2.3	20.6 $\pm$ 3.3
PEEP (cmH <sub>2</sub> O)	3.7 $\pm$ 0.8	3.6 $\pm$ 0.6

561

562 <sup>a</sup> Significantly different between ML and MH groups ( $P < 0.05$ )563 <sup>b</sup> Independent predictor of PaO<sub>2</sub> from general linear model ( $P < 0.05$ ).