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1 **Review: Bacterial resistance to antimicrobial agents and its**  
2 **impact on veterinary and human medicine**

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33  
34 **Running title:** Mechanisms and transfer of resistance

35  
36  
37 **Keywords:** Mutation, resistance gene, mobile genetic element, susceptibility,  
38 transfer

39 **Abstract**

40

41 **Background** – Antimicrobial resistance has become a major challenge in veterinary  
42 medicine, particularly in the context of bacterial pathogens that play a role in humans  
43 and animals.

44

45 **Objectives** – This review serves as an update on acquired resistance mechanisms  
46 in bacterial pathogens of human and animal origin, including examples of transfer of  
47 resistant pathogens between hosts and of resistance genes between bacteria.

48

49 **Results** – Acquired resistance is based on resistance-mediating mutations or on  
50 mobile resistance genes. While mutations are transferred vertically, mobile resistance  
51 genes are transferred also horizontally (by transformation, transduction or  
52 conjugation/mobilization), contributing to the dissemination of resistance. Mobile  
53 genes specifying any of the three major resistance mechanisms – enzymatic  
54 inactivation, reduced intracellular accumulation or modification of the cellular target  
55 sites – have been found in a variety of bacteria from animals. Such resistance genes  
56 are associated with plasmids, transposons, gene cassettes, integrative and  
57 conjugative elements or other mobile elements. Bacteria, including zoonotic  
58 pathogens, can be exchanged between animals and humans mainly via direct  
59 contact, but also via dust and aerosols or via the food chain. Proof of the direction of  
60 transfer of resistant bacteria can be difficult and depends on the location of  
61 resistance genes or mutation in the chromosomal DNA or on a mobile element.

62

63 **Conclusion** – The wide variety in resistance and resistance transfer mechanisms will  
64 continue to ensure the success of bacterial pathogens in the future. Our strategies to  
65 counteract resistance and preserve efficacy of antimicrobial agents needs to be equally  
66 diverse and resourceful.

67

68 **Introduction**

69  
70 Antimicrobial agents are used extensively in aquaculture, horticulture, and to treat  
71 bacterial infections in humans and animals. Due to this extensive use, antimicrobial  
72 resistance has become a significant problem in both human and veterinary medicine,  
73 mediated by a multitude of mechanisms.<sup>1, 2</sup> Although the presence of resistance  
74 genes in bacteria is not a new phenomenon – as recently highlighted in a study  
75 describing resistance genes in bacterial DNA from permafrost soil samples<sup>3</sup> – what is  
76 new is the selective pressure exerted on bacterial pathogens through antibacterial  
77 use. Since the 1950s, the selective pressure imposed on bacteria by the use of  
78 antimicrobial agents for various clinical and nonclinical purposes has increased  
79 dramatically. As a consequence, bacteria have developed and refined various ways  
80 and means to resist or escape the inhibitory effects of the antimicrobial agents.<sup>1, 2</sup> In  
81 addition, certain bacterial pathogens have managed to accumulate or develop  
82 resistances to multiple classes of antimicrobial agents at the same time. Such  
83 multidrug-resistant, extensively resistant or even pan-drug resistant pathogens<sup>4</sup>  
84 typically succeed in human and veterinary healthcare establishments or in patients  
85 repeatedly requiring antibacterial therapy. Risk groups include dogs with recurrent  
86 pyoderma. Such patterns of resistance may seriously compromise the prognosis of  
87 infected patients. As a result, for the first time in decades, the prognosis for patients  
88 with infections caused by multidrug-resistant bacteria has been seriously  
89 compromised by the lack of effective antimicrobial agents. This development has  
90 threatened the advancement of modern medicine.<sup>5</sup>

91  
92 **Antimicrobial resistance**

93  
94 A bacterium is defined as being clinically resistant to an antimicrobial agent when the  
95 drug – after recommended dosing – does not reach a concentration at the site of  
96 infection that is able to effectively inhibit the growth of the bacterium or to kill it.<sup>6</sup> This  
97 definition takes into account the pharmacological parameters relevant for systemic  
98 therapy of the antimicrobial agent in the patient species concerned. It also considers  
99 the minimum inhibitory concentration (MIC) of the causative bacteria to the  
100 antimicrobial agent applied. These factors, along with the results of clinical efficacy  
101 studies, play key roles in the definition of clinical breakpoints.<sup>6</sup> Such clinical  
102 breakpoints are available for humans and various animal species as recommended  
103 by the Clinical and Laboratory Standards Institute (CLSI) and usually are applicable  
104 for a specific combination of host species/target bacterium/antimicrobial  
105 agent/disease condition, such as dog/*Staphylococcus* spp./tetracycline/skin and soft  
106 tissue infections.<sup>7, 8</sup> In general, these breakpoints were derived from microbiological,  
107 pharmacokinetic (using accepted clinical doses) and pharmacodynamic data. In the  
108 veterinary field, clinical breakpoints applicable for bacteria involved in skin and soft  
109 tissue infections are available for the canine, feline and equine bacteria shown in  
110 Table 1.

111  
112 In general, antimicrobial resistance in bacteria can be either intrinsic or acquired.  
113 Intrinsic resistance is a bacterial genus- or species-specific characteristic and is often  
114 based on either the absence or inaccessibility of the target structures in the  
115 respective bacteria,<sup>1</sup> for example, resistance to  $\beta$ -lactam antibiotics and  
116 glycopeptides in cell wall-free bacteria such as *Mycoplasma* spp. or vancomycin  
117 resistance in Gram-negative bacteria due to the inability of vancomycin to penetrate  
118 the outer membrane. It can also be due to the presence of export systems or the

119 production of species-specific inactivating enzymes in certain bacteria,<sup>1</sup> such as the  
120 AcrAB-TolC system and the production of AmpC  $\beta$ -lactamase in *Escherichia coli*. In  
121 addition, some bacteria, such as enterococci, are not dependent on a functional  
122 folate synthesis pathway, but instead can use exogenous folates. As a consequence,  
123 they are intrinsically resistant to folate pathway inhibitors, such as trimethoprim and  
124 sulfonamides.<sup>9</sup> In contrast, acquired resistance is a strain-specific property which can  
125 be based on a wide variety of resistance mechanisms present in the different  
126 bacteria.<sup>1</sup> Such acquired resistance mechanisms can be due to mutations of cellular  
127 genes or to the acquisition of novel/foreign genes, commonly referred to as  
128 resistance genes. The following basic considerations are important in the context of  
129 acquired resistance genes:

- 130
- 131 1. Acquired resistance genes can confer resistance to an entire class of  
132 antimicrobial agents or can be specific for only a single member of an  
133 antimicrobial class.
- 134 2. Certain acquired resistance genes can confer resistance to members of  
135 different classes of antimicrobial agents.
- 136 3. Acquired resistance to a specific class of antimicrobial agents can be due to  
137 several different resistance mechanisms.
- 138 4. The same acquired resistance mechanism can be encoded by different genes.
- 139 5. Different acquired resistance mechanisms and resistance genes can be  
140 present at the same time.
- 141 6. Definitions of multidrug-resistance vary but a bacterium is typically referred to  
142 as multidrug-resistant if it shows acquired resistance to members of at least  
143 three classes of antimicrobial agents.
- 144

## 145 **Resistance mechanisms and associated resistance genes**

146

147 Acquired resistance mechanisms can be divided into one of the three major  
148 categories: (i) enzymatic modification or inactivation of antimicrobial agents, (ii)  
149 reduced intracellular accumulation of antimicrobial agents or (iii) alterations at the  
150 target sites of the antimicrobial agents.<sup>1, 2</sup>

151

152 **Enzymatic inactivation** of antimicrobial agents is widespread among Gram-  
153 positive and Gram-negative bacteria (Table 2). In the case of enzymatic modification,  
154 bacteria produce enzymes that chemically modify the drug molecule by the  
155 attachment of acetyl, adenylyl or phosphate groups to specific sites of the antimicrobial  
156 molecule. Such modified antimicrobial molecules can no longer bind to their target  
157 site and consequently cannot maintain antimicrobial activity. This mechanism is  
158 commonly used for the enzymatic inactivation of nonfluorinated phenicols, such as  
159 chloramphenicol, by acetylation,<sup>10</sup> or of aminoglycosides by acetylation, adenylation  
160 or phosphorylation.<sup>11</sup> Other enzymatic inactivation processes include the  
161 phosphorylation of macrolides, nucleotidylation of lincosamides, and acetylation of  
162 streptogramin A antibiotics.

163 In the case of enzymatic inactivation, bacteria produce enzymes that bind  
164 directly to the antimicrobial molecule and disintegrate it. This is commonly done by  
165 hydrolytic cleavage of specific bonds within the antimicrobial molecule. Such cleaved  
166 antimicrobial molecules also do not exhibit antimicrobial activity. Examples of this  
167 mode of enzymatic inactivation are the  $\beta$ -lactamases, which occur in Gram-positive  
168 and Gram-negative bacteria and, depending on the type of  $\beta$ -lactamase, may exhibit  
169 a more or less expanded substrate spectrum that can include penicillins,

170 cephalosporins, monobactams and/or even carbapenems.<sup>12, 13</sup> Other examples are  
171 esterases which confer macrolide resistance or lactone hydrolases which inactivate  
172 streptogramin B compounds.<sup>14</sup>

173  
174 **Reduced intracellular accumulation** of antimicrobial agents can be achieved  
175 in two ways: reduced influx or enhanced efflux (Table 3). It is known that certain  
176 outer membrane proteins (OMPs), so-called porins, represent an entry point for  
177 antimicrobial agents to enter the bacterial cell. As such, OmpF is involved in the  
178 uptake of tetracyclines,  $\beta$ -lactams and chloramphenicol in *E. coli*, whereas OmpD is  
179 involved in the uptake of carbapenems in *Pseudomonas aeruginosa*.<sup>1</sup> Reduced influx  
180 of antimicrobial agents is usually the consequence of downregulation, structural  
181 modification or even functional deletion of the genes coding for these porins. In such  
182 cases, the outer membrane of Gram-negative bacteria can represent a permeability  
183 barrier for antimicrobial agents.

184 By contrast, increased efflux describes a way by which incoming antimicrobial  
185 agents are actively pumped out of the bacterial cell. This can be achieved by  
186 multidrug transporters or specific transporters.<sup>1, 2</sup> Multidrug transporters are present  
187 in virtually every bacterium and are mainly responsible for the transport of toxic  
188 substances from the cell metabolism. However, studies have shown that some  
189 multidrug transporters can also export antimicrobial agents. Most of them belong to  
190 the resistance-nodulation-cell division (RND) family. RND transporters mainly occur  
191 in Gram-negative bacteria and are composed of a cytoplasmatic and a periplasmatic  
192 component which can interact with different outer membrane components. Examples  
193 are AcrAB-TolC transporter in *E. coli* and *Salmonella enterica* or the MexAB-OprM  
194 transporter in *P. aeruginosa* which can export chloramphenicol, fluoroquinolones,  
195 tetracyclines,  $\beta$ -lactams and macrolides among others.<sup>1, 15</sup> It should be noted that  
196 multidrug-transporters increase the MICs for their substrates, but not necessarily to a  
197 level that correlates with clinical resistance.

198 Specific transporters involved in antimicrobial resistance commonly belong to  
199 the following families: (i) major facilitator superfamily (MFS), (ii) ATP-binding cassette  
200 (ABC) family or (iii) multidrug and toxic-compound extrusion (MATE) family.<sup>15, 16</sup> MFS  
201 transporters often consist of 12–14 transmembrane segments, exchange a drug  
202 molecule against a proton and use the proton-motive force of the membrane as an  
203 energy source for the translocation. Examples of MFS transporters are the  
204 tetracycline transporters Tet(K) and Tet(L) in Gram-positive bacteria and Tet (A-E, G,  
205 H) in Gram-negative bacteria as well as the phenicol transporters FexA in Gram-  
206 positive bacteria and FloR, CmlA and CmlB in Gram-negative bacteria.<sup>17, 18</sup> ABC  
207 transporters use the energy of ATP hydrolysis for the translocation of substrates  
208 across biological membranes. They represent a highly diverse class of transporters  
209 which are not only involved in antimicrobial resistance, but also in the uptake of  
210 nutrients and the secretion of proteins among other functions.<sup>19</sup> ABC transporters  
211 involved in antimicrobial resistance are seen mainly in staphylococci and enterococci.  
212 Examples are the transporters Vga(A), Vga(C), Vga(E), Lsa(E) and Sal(A) conferring  
213 combined resistance to lincosamides, pleuromutilins and streptogramin A antibiotics  
214 or Msr(A) involved in resistance to macrolides and streptogramin B antibiotics.<sup>20, 21</sup>  
215 MATE proteins are also located in the cytoplasmatic membrane and act in a similar  
216 way to MFS transporters. However, in contrast to MFS proteins, they are rarely  
217 involved in antimicrobial resistance. Examples of MATE proteins that export  
218 antimicrobial agents are NorM (hydrophilic fluoroquinolones) from *Vibrio*  
219 *parahaemolyticus* and MepA (glycylcyclines) from *Staphylococcus aureus*.<sup>15, 16</sup>

220

221 **Alterations at the target sites** of the antimicrobial agents represent the third  
222 and most variable group of resistance mechanisms (Table 4). These include  
223 mutational and chemical modifications, protection of the target sites, the replacement  
224 of sensitive targets by functionally analogous but insensitive ones, and  
225 overproduction of sensitive targets.<sup>22</sup>

226 Mutational alterations of the target sites are best known for (fluoro)quinolone  
227 resistance in various Gram-positive and Gram-negative bacteria. Within the genes for  
228 DNA gyrase (topoisomerase II and topoisomerase IV), a specific region known as the  
229 quinolone-resistance determining region (QRDR) has been defined where mutations  
230 accounting for (fluoro)quinolone resistance are located. Resistance to  
231 (fluoro)quinolones usually occurs in a step-wise manner by which the MIC is  
232 increased with each additional mutation.<sup>23, 24</sup> Such a step-wise increase in resistance  
233 illustrates well the advantage of using mutant prevention concentrations (MPCs) as a  
234 measure for antimicrobial potency rather than MICs.<sup>25</sup> Because two mutations are  
235 required for full (fluoro)quinolone resistance to occur, and with mutations occurring  
236 randomly, the likelihood that bacteria with double mutations will persist after  
237 treatment is low and measurable only in a large population of cells (i.e. in large  
238 numbers of colony forming units in the laboratory). To date, MPC measurement has  
239 not been applied routinely in clinical microbiology laboratories, possibly hampered by  
240 practical constraints.<sup>26</sup>

241 Mutations in the gene *fusA*, which encodes the elongation factor G (EF-G),  
242 have been found to account for resistance to fusidic acid in *S. aureus* as well as in  
243 methicillin-susceptible (MSSP) and methicillin-resistant *Staphylococcus*  
244 *pseudintermedius* (MRSP).<sup>27, 28</sup> Mutations in 16S ribosomal RNA (rRNA) have been  
245 described to account for resistance to streptomycin in *Mycobacterium tuberculosis*, to  
246 tetracyclines in *Propionibacterium acnes* and to spectinomycin resistance in  
247 *Pasteurella multocida*.<sup>1, 29</sup> Mutations in 23S rRNA are known to cause macrolide  
248 resistance in various bacteria including *Mycobacterium* spp., *Brachyspira*  
249 *hyodysenteriae*, *Campylobacter coli*, *Campylobacter jejuni*, *Haemophilus influenzae*  
250 and *Streptococcus* spp. among others.<sup>1</sup> In addition, mutations in the genes for  
251 specific ribosomal proteins are associated with resistance to streptomycin and  
252 spectinomycin.<sup>1, 29</sup> Mutations in the gene *rpoB*, which codes for the  $\beta$ -subunit of the  
253 enzyme RNA polymerase, have been described recently to cause high-level  
254 rifampicin resistance in *Rhodococcus equi* and in MRSP.<sup>30, 31</sup>

255 Chemical modification of the target site by methylation has proved to be an  
256 effective way to confer combined resistance to macrolides, lincosamides and  
257 streptogramin B antimicrobial agents. The corresponding Erm methylases, which  
258 target the adenine residue at position 2058 in 23S rRNA, are widely distributed  
259 among Gram-positive and Gram-negative bacteria.<sup>32</sup> To date, 46 different Erm  
260 methylases have been differentiated.<sup>33</sup> Methylation of the adenine residue at position  
261 2503, which is located in the overlapping binding region of phenicols, lincosamides,  
262 oxazolidinones, pleuromutilins and streptogramin A antibiotics, results in resistance  
263 to these five classes of antimicrobial agents.<sup>34</sup> The corresponding methylase gene,  
264 *cfr*, has been detected in various *Staphylococcus* spp., *Enterococcus* spp., *Bacillus*  
265 spp., *Micrococcus caseolyticus*, *Jeotgalicoccus pinnepedialis*, *Streptococcus suis*, *E.*  
266 *coli* and *Proteus vulgaris*.<sup>20, 35</sup> Recently, the gene *cfrB*, which confers the same  
267 resistance phenotype but is <80% identical to *cfr*, has been detected in *Enterococcus*  
268 spp. and *Clostridium difficile* isolates.<sup>17, 33</sup>

269 Protection of the ribosomal target site has been noted in tetracycline resistance.  
270 So far, 12 ribosome protective proteins are known which show similarities to  
271 elongation factor EF-G. These proteins bind to the ribosome, do not interfere with

272 protein synthesis, but protect the ribosome from the inhibitory effects of  
273 tetracyclines.<sup>36, 37</sup> The gene *fusB* also codes for an EF-G-binding protein that protects  
274 the staphylococcal ribosomes from inhibition by fusidic acid.<sup>27</sup>

275 The replacement of a sensitive target by an alternative drug-resistant target is  
276 well known in sulfonamide and trimethoprim resistance. The sulfonamide resistance  
277 genes *sul1*, *sul2* or *sul3*, which code for sulfonamide-insensitive dihydropteroate  
278 synthases, are widespread in Gram-negative bacteria.<sup>1, 2</sup> Gram-negative and Gram-  
279 positive bacteria have acquired various *dfp* genes which code for trimethoprim-  
280 insensitive dihydrofolate reductases.<sup>1, 2</sup> In addition, the genes *mecA* and *mecC*,  
281 present in various *Staphylococcus* spp., code for alternative penicillin-binding  
282 proteins which exhibit a substantially reduced affinity to virtually all  $\beta$ -lactam  
283 antimicrobial agents. Moreover, the genes *vanA*–*vanE* code for alternative D-Ala–D-  
284 Lac or D-Ala–D-Ser peptidoglycan precursors that render the respective bacteria  
285 resistant to glycopeptides, which also act at the level of cell wall synthesis.<sup>1, 2, 38</sup>

286 Sulfonamide resistance via the hyper-production of p-aminobenzoic acid has  
287 been observed in isolates of the genera *Staphylococcus* and *Neisseria*. Likewise,  
288 promoter mutations resulting in the overproduction of a trimethoprim-susceptible  
289 dihydrofolate reductase have been described to account for trimethoprim resistance  
290 in *E. coli* and *Haemophilus influenzae*.<sup>22</sup>

291 Additional discussions of MIC distributions, as well as resistance genes and the  
292 mechanisms specified by them in bacteria involved in skin and soft tissue infections  
293 of animals, including staphylococci, streptococci and Gram negative bacteria, are  
294 available in other articles and book chapters.<sup>39-54</sup>

295

## 296 **Horizontal gene transfer and mobile genetic elements involved**

297

298 As resistance-mediating mutations usually are located in essential  
299 chromosomal genes or in the 16S and 23S rRNA, they can only be transferred  
300 vertically during cell division.<sup>1, 2</sup> It is important that such mutations should not  
301 negatively affect the fitness of the bacteria. In contrast, mobile resistance genes are  
302 transferred vertically and horizontally, and thereby contribute to the dissemination of  
303 resistance properties.<sup>1, 2, 55</sup> Horizontal gene transfer (HGT) from the donor cell occurs  
304 via transformation, transduction or conjugation/mobilization and may include recipient  
305 cells of the same species, the same genus but also of different species and genera.

306

307 Transformation describes the transfer of “naked” DNA. It is the usual way used to  
308 transfer DNA under in vitro conditions. Although it also occurs in nature, it is believed  
309 to play a minor role in the transfer of DNA under natural conditions.<sup>1, 2, 55</sup>

310

311 Transduction describes the transfer of DNA via bacteriophages. Limitations to  
312 transduction are (i) the size of the head of the transducing phages into which  
313 plasmids or other DNA elements are packaged and (ii) the requirement for receptors  
314 on the recipient cell to which the transducing phage can attach. Thus, only a limited  
315 amount of DNA, approximately 45 kb for staphylococci, can be transduced and  
316 transduction occurs mainly between members of the same or closely related bacterial  
317 species.<sup>1, 2, 55</sup>

318

319 Conjugation, however, can also occur between bacteria of different species and  
320 genera. It describes the self-transfer of a conjugative element from a donor to a  
321 recipient cell. Plasmids and transposons can be conjugative, whereas integrative and  
322 conjugative elements (ICEs) are by definition always conjugative. The conjugative



323 element harbours a tra gene complex which specifies the transfer apparatus. If a  
324 conjugative element provides its transfer apparatus to nonconjugative elements,  
325 mainly plasmids that co-reside in the same donor cell, such nonconjugative plasmids  
326 can move over to the recipient cell. This process is referred to as mobilization.  
327 Conjugation and mobilization of various mobile genetic elements are believed to play  
328 key roles in the dissemination of antimicrobial resistance in bacteria.<sup>1, 2, 55</sup>  
329 Furthermore, dissemination is thought to be particularly efficient amongst bacteria of  
330 the same species or clonal lineage. Barriers to HGT gene transfer, which protect  
331 bacteria against “foreign” DNA from other bacterial species or lineages, have been  
332 identified and are now widely described in many bacterial species.<sup>56</sup> Barrier systems  
333 described in staphylococci, including *S. pseudintermedius*, include restriction-  
334 modification systems, competence genes and Clustered Regularly Interspaced Short  
335 Palindromic Repeats (CRISPR) systems, and these have been linked to the  
336 successful spread of certain lineages and their ability to protect themselves from  
337 foreign DNA.<sup>57</sup> However, their role in preventing acquisition of resistance genes, at  
338 least in *S. pseudintermedius*, is questionable based on finding them distributed  
339 randomly amongst multidrug-resistant and -susceptible isolates.<sup>28</sup>

340  
341 There are several mobile genetic elements (MGEs) which can harbour antimicrobial  
342 resistance genes and which are essential to horizontal gene transfer. All of them are  
343 double-stranded DNA molecules. Plasmids are the most abundant MGEs. They can  
344 vary distinctly in their sizes between < 2 kb and > 200 kb. Plasmids replicate  
345 autonomously and independently from the chromosomal DNA. They can carry  
346 antimicrobial resistance genes, heavy metal resistance genes, virulence genes and  
347 genes for a number of other properties, including metabolic functions. Plasmids can  
348 harbour transposons and gene cassettes/integrans.

349  
350 Transposons differ distinctly in size and structure. In contrast to plasmids, they are  
351 replication-deficient and as such must integrate for their replication either into  
352 plasmids or the chromosomal DNA. They move by transposition, either into specific  
353 sites or into various sites in plasmids or in the chromosomal DNA. The importance of  
354 large transposons in the emergence of the extremely drug resistant phenotypes was  
355 recently highlighted by the identification of a Tn5405-like element carrying up to five  
356 antimicrobial resistance genes in all of 11 fully sequenced multidrug-resistant MRSP  
357 isolates of four different lineages.<sup>28</sup>

358  
359 Gene cassettes are the smallest MGEs which commonly carry only one gene, mostly  
360 an antimicrobial resistance gene, and a recombination site, known as the 59-base  
361 element. They can neither replicate nor transpose. They move by site-specific  
362 recombination and are commonly found in integrans. The integrase of the integran  
363 catalyses the integration and excision of the gene cassette using the 59-base  
364 element. As gene cassettes usually do not have an own promoter, the cassette-  
365 borne gene is transcribed from a promoter in the 5'-conserved region of the integran.  
366 Gene cassettes are rarely found at secondary sites outside of an integran.<sup>1, 2, 55</sup>

367  
368 Integrative and conjugative elements (ICEs) are large elements of >20 kb which  
369 integrate site-specifically into the chromosomal DNA. They can excise from the  
370 chromosomal DNA, form a circular intermediate and transfer themselves via a  
371 replicative cycle into new host cells where they integrate again into the chromosomal  
372 DNA. In terms of antimicrobial multidrug-resistance, the SXT element of *Vibrio*  
373 *cholerae* and the ICEPmu1 from *P. multocida* are well-studied ICEs.<sup>58-60</sup> The latter

374 has been shown to carry and transfer a total of 12 different antimicrobial resistance  
375 genes conferring resistance to eight classes of antimicrobial agents.<sup>59, 60</sup> Other  
376 elements that integrate site-specifically into the chromosomal DNA of the respective  
377 bacteria include the various different types of the SCCmec elements in staphylococci,  
378 as well as the numerous variants of the integrative and mobilizable Salmonella  
379 genomic islands SGI1, SGI2 and PGI1 in *S. enterica* and *Proteus mirabilis*.<sup>1, 2, 38, 61-63</sup>  
380 Why the composition and predominant types of MGEs vary between species (e.g.  
381 plasmids predominate in *S. aureus* whereas transposons are more frequently  
382 described in *S. pseudintermedius*), remains to be answered.<sup>28, 40, 57</sup>

383

## 384 **Consequences of the use of antimicrobial agents**

385

386 Whenever antimicrobial agents are applied to either humans or animals, a selective  
387 pressure is set under which susceptible bacteria are inhibited in their growth or killed,  
388 whereas resistant bacteria can propagate at the expense of the susceptible  
389 bacteria.<sup>64, 65</sup> Antimicrobial agents do not differentiate between beneficial and  
390 pathogenic bacteria. They inhibit or kill all those bacteria for which MICs are at or  
391 below the antimicrobial concentration in the respective body compartment. As a  
392 consequence, the proportion of resistant bacteria increases during antimicrobial  
393 therapy and the composition of the microbiota is altered. This is true for virtually  
394 every antimicrobial agent and every human or animal host. Under the selective  
395 pressure imposed by the use of antimicrobial agents, antimicrobial resistance genes  
396 can also be disseminated between different bacteria within the same host.<sup>1, 64, 65</sup>  
397 However, when resistant bacteria are transferred between humans or between  
398 animals, they can also exchange their resistance genes with bacteria already  
399 resident in or on the new host.<sup>64, 65</sup>

400

401 There are three basic requirements that favour the exchange of resistance genes: (i)  
402 close spatial contact between the exchange partners (which is present in the  
403 polymicrobial environments of the respiratory and intestinal tracts and also on the  
404 skin); (ii) location of the resistance genes on MGEs (which is given by the fact that  
405 most resistance genes are located on plasmids, transposons, gene cassettes and  
406 ICEs) and (iii) a selective pressure (which is provided by the application of  
407 antimicrobial agents).<sup>55</sup> Exchange via horizontal gene transfer may involve obligatory  
408 and facultatively pathogenic bacteria as well as the commensal microbiota. If a  
409 multidrug-resistance MGE is transferred to new bacterial host and this host cell gains  
410 all the resistance genes associated with the MGE, the selective pressure imposed by  
411 the use of a single antimicrobial agent will ensure that the new host cell does not lose  
412 the multidrug-resistance MGE.<sup>64, 65</sup> This means that the co-location of resistance  
413 genes furthers their co-selection and persistence even if no direct selective pressure  
414 is present. Thus, measures such as the voluntary withdrawal or even the ban of the  
415 use of an antimicrobial agent will not necessarily lead to a decrease in resistance. To  
416 better understand processes such as co-selection and persistence, and to judge the  
417 efficacy of the aforementioned measures, in-depth knowledge of the genetics of  
418 antimicrobial resistance is indispensable.

419

## 420 **Exchange of resistant bacteria between animals and humans**

421

422 As shown in Figure 1, the application of antimicrobial agents in human medicine  
423 as well as in veterinary medicine and food animal production can lead to the  
424 evolution and dissemination of resistant bacteria among humans and animals,

425 respectively.<sup>65</sup> Depending on the virulence of the resistant bacteria, they may cause  
426 clinical diseases with limited treatment options. Transfer of bacteria – including  
427 resistant strains – can be exchanged between humans and animals in both directions  
428 by either contact, inhalation of dust and aerosols that contain bacteria, or via the food  
429 chain.<sup>65</sup>

430 Direct contact is likely the quickest and easiest way by which bacteria are  
431 transferred in either direction between humans and animals, particularly for those  
432 such as staphylococci which reside on body surfaces. Anyone who shares close  
433 contact with pets or companion animals may be affected.<sup>66</sup> In this regard, it is  
434 important to consider the current role of dogs and cats as actual family members in  
435 many households in industrialized countries. A study published in 2014 revealed the  
436 presence of approximately 11.5 million cats, 6.9 million dogs, 6.1 million other pet  
437 animals (e.g. rabbits, guinea pigs, hamsters) and 3.4 million pet birds in German  
438 households.<sup>67</sup> Pet owners often have extensive contact with their pets, especially to  
439 cats and dogs which may be allowed lick their owners' faces and hands or to sleep in  
440 their owners' beds.<sup>67, 68</sup> Based on this close contact, a transfer of bacteria between  
441 pets and people is unavoidable and not surprising.<sup>66, 69-72</sup> As "family members", cats,  
442 dogs and other pet animals often enjoy not only an extensive support in terms of food  
443 supply and housing, but also broad medical care. In Germany, pet owners spent  
444 almost €4.8 billion for pet supplies in 2013, of which €3.75 billion accounted for pet  
445 food and €1.05 billion for equipment.<sup>67</sup> For medical care of their pets, Germans spent  
446 approximately €2.1 billion in 2013.<sup>67</sup> These data clearly show that pet owners have  
447 considerable interest in maintaining the health of their pets. As many infectious  
448 diseases in cats and dogs are caused by bacteria, particularly those infecting the skin  
449 of dogs,<sup>73</sup> this also involves the application of antimicrobial agents. A wide range of  
450 antimicrobial agents has been licensed for use in cats and dogs. In addition,  
451 antimicrobial agents approved for use in human medicine may also be applied to  
452 nonfood-producing animals under the Animal Medicinal Drug Use Clarification Act  
453 (AMDUCA) in the USA or similar regulations in other countries.<sup>74</sup> Although such  
454 applications should be kept to a minimum, it means that antimicrobial agents of last  
455 resort in human medicine, such as carbapenems, glycopeptides, oxazolidinones or  
456 lipopeptides, may be used in small animal medicine. However, no data are available  
457 to allow quantification of the use of these last resort agents for cats and dogs.

458

#### 459 **Animal transmission to companion animal owners**

460 There have been numerous examples of the transfer of resistant bacteria,  
461 especially staphylococci and *E. coli*, between pets and people, beginning with the  
462 landmark report of the possible zoonotic spread of MRSA by a cat to hospitalized  
463 people.<sup>75</sup> Reports of interspecies transmission of MRSA include: livestock-associated  
464 (LA-) MRSA ST398-t034 transferred from a colonized veterinarian to his dog,<sup>70</sup>  
465 healthcare-associated MRSA ST225-t014 transferred from a family member (who  
466 suffered from an infected decubitus ulcer) to the family dog,<sup>70</sup> MRSA ST80-t131  
467 isolated from a woman who suffered from multiple recurrent skin abscesses and her  
468 husband, children and a cat living in the same household (where the patient's  
469 disease resolved completely after topical decolonization of all family members  
470 including the MRSA-positive cat),<sup>76</sup> and the likely horse-to-human transmission of a  
471 LA-MRSA ST398-t011.<sup>77</sup> MRSA colonization of persons in contact with infected or  
472 colonized horses has been reported from the investigation of several outbreaks.<sup>78</sup>  
473 Aside from MRSA, indistinguishable isolates of *S. pseudintermedius* ST33 have been  
474 reported from a dog and its owner.<sup>69</sup>

475

476 Typically, such reports are based on evidence from genetic typing studies which  
477 identify indistinguishable isolates from animals and in-contact humans. However, the  
478 direction of inter-host transmission can rarely be proven definitively, but rather, is  
479 often deduced from epidemiological characteristics. Even an MRSA outbreak  
480 investigation in a small animal hospital using whole genome sequencing of multiple  
481 isolates from each sample had to conclude that directions of transmission could only  
482 be suspected.<sup>79</sup> For MRSA isolated from dogs and cats, for example, a  
483 predominantly human-to-animal direction of transmission is assumed because most  
484 isolates belong to MRSA clonal lineages that are also prevalent in human healthcare  
485 facilities and thus likely represent a “spill-over” to pets.<sup>69, 70, 80, 81</sup>

486  
487 Evidence for transmission of Gram-negative pathogens between animals and  
488 humans is only just beginning to emerge, but already includes some highly drug-  
489 resistant nosocomial pathogens, such as *E. coli* ST410 and other multidrug-resistant  
490 Extended Spectrum Beta Lactamase-producing (ESBL) *E. coli*.<sup>82-84</sup> *Escherichia coli*  
491 isolates, which belonged to the same phylogenetic group (B2 or D) and exhibited the  
492 same Amplified Fragment Length Polymorphism patterns, were detected among  
493 family members and their dogs.<sup>68</sup>

#### 494 495 **People with occupational contact to animals**

496 In addition to pet and companion animal owners, people who have occupational  
497 contact with animals also are at risk for acquisition of bacteria from animals. Notably,  
498 these include veterinarians, but also veterinary students, farmers, abattoir workers  
499 and other animal caretakers. These people often work in an environment where they  
500 care for sick animals and in which antimicrobial agents are applied. Besides direct  
501 contact with animals, dust and aerosols, especially on farms and in abattoirs, may  
502 also play a role as vehicles that transport resistant bacteria and are inhaled by  
503 animals and humans.

504  
505 There are a number of published reports which suggest occupational  
506 transmission in various settings. In a small animal clinic, multidrug-resistant  
507 *Staphylococcus epidermidis* ST5 was shown to be present at various locations in the  
508 stationary area and the quarantine ward, as well as in feline patients and in the nose  
509 of one veterinary nurse.<sup>85</sup> A study from Australia revealed that veterinarians often  
510 carry multidrug-resistant MRSA isolates.<sup>86</sup> A study conducted in Germany showed  
511 that 97 (85.8%) of 113 swine farmers but only five (4.3%) of their 116 family  
512 members were positive for LA-MRSA.<sup>87</sup> Likewise, 22 (44.9%) of 49 swine  
513 veterinarians but only four (9.1%) of their 44 family members were positive for LA-  
514 MRSA in another report.<sup>87</sup> These observations suggest that the human-to-human  
515 transfer of LA-MRSA occurs distinctly more rarely than the animal-to-human transfer.  
516 A study involving 26 dairy farms in the Netherlands revealed that the same LA-MRSA  
517 types, based on pulsed-field gel electrophoresis (PFGE) type, *spa* type and  
518 resistance patterns, were detected not only among dairy cattle and their contact  
519 personnel (e.g. milkers), but occasionally also among other animals living on the  
520 same farm.<sup>88</sup>

521  
522 LA-MRSA isolates with the molecular characteristics ST398-t011-dt11a and  
523 ST9-t1430-dt10a, both with very similar PFGE patterns and resistance phenotypes,  
524 were detected among poultry and workers in a Dutch poultry abattoir.<sup>89</sup> The analysis  
525 of turkey flocks and their carers revealed that almost 60% of the farm personnel were  
526 colonized by LA-MRSA that exhibited the same *spa* type and SCCmec type as the

527 turkeys.<sup>90</sup> A study on the transmission of LA-MRSA on broiler farms in the  
528 Netherlands revealed the presence of MRSA ST398-t034-dt10q with  
529 indistinguishable PFGE and resistance patterns among the broilers, dust samples  
530 from the broiler house and the farmer.<sup>91</sup> The emission of bacteria from pig fattening  
531 and broiler chicken farms to the surrounding area was confirmed by the detection of  
532 ESBL-/AmpC-producing *E. coli* in air samples from inside as well as outside the farm  
533 buildings.<sup>92, 93</sup> Another study showed that food animal transport in open crates  
534 resulted in the dissemination of bacteria, including resistant enterococci, into the  
535 environment.<sup>94</sup> In addition, indirect transmission via insects or rats can occur on  
536 farms.<sup>95, 96</sup>

537

### 538 **Transmission via the food chain**

539

540 Transfer of resistant bacteria via the food chain usually occurs by ingestion of  
541 raw or insufficiently heated, contaminated food. In this regard, it is worth noting that  
542 (i) the number of ingested bacteria must be sufficiently high to survive the passage  
543 through the acidic environment in the stomach, which varies according to the type of  
544 foodborne pathogen and (ii) the virulence of most food-borne pathogens is more  
545 relevant than their antimicrobial resistance due to the fact that antimicrobial agents  
546 are not recommended for use in uncomplicated self-limiting cases of intestinal  
547 infections.<sup>97</sup> However, when resistant bacteria are ingested, they may transfer  
548 antimicrobial resistance genes to members of the intestinal microbiota of the host.  
549 Unfortunately, there are little if no data which provide reliable information about the  
550 extent at which bacteria transfer their resistance genes during transient colonization  
551 of a new host.

552

### 553 **Proof of transfer of resistant bacteria and resistance genes**

554

555 In view of the many opportunities for exchange of resistant bacteria and resistance  
556 genes amongst human and animal hosts and the respective selection pressures, a  
557 key question is: what proportion of resistance problems in human medicine is caused  
558 by bacteria of animal origin? One study has assessed the impact of antimicrobial  
559 resistance in different bacterial species and of the contribution of animal sources to  
560 resistance in human infections.<sup>98</sup> Based on the results of a questionnaire sent to  
561 recognized experts in the UK and elsewhere, the authors concluded that bacteria  
562 from animal sources, mainly nontyphoid *Salmonella enterica* serovars, *E. coli* O157,  
563 *Campylobacter* spp. and vancomycin-resistant enterococci, might account for 3.88%  
564 of the human antibiotic resistance problem.<sup>98</sup> It should be noted that this survey was  
565 conducted at a time when LA-MRSA and ESBL-producing *E. coli* were not yet  
566 recognized as emerging zoonotic problems.<sup>99</sup> Nevertheless, this survey suggested  
567 strongly that most of the resistance problems encountered in human medicine as well  
568 as in veterinary medicine are self-made problems in either sector. Only a minority  
569 results from the transfer of zoonotic bacteria.

570

571 A study on zoonotic MRSA colonization and infection in Germany showed that  
572 zoonotic transmission of LA-MRSA CC398 from livestock to humans occurs  
573 predominantly in people with occupational livestock contact, whereas dissemination  
574 in the general population is limited so far.<sup>100</sup> LA-MRSA CC398 currently causes about  
575 2% of all human MRSA infections in Germany, but up to 10% in regions  
576 characterized by a high density of livestock farming.<sup>100</sup> Likewise, a study investigating  
577 629 ESBL-producing *E. coli* from people in the Netherlands, Germany and UK, which

578 were collected during the years 2005-2009 and examined by DNA microarray and  
579 multi-locus sequence typing (MLST), showed that the majority of the human isolates  
580 differed distinctly from isolates of animal origin due to diversity in virulence and  
581 antimicrobial resistance genes.<sup>101</sup> It was concluded that attempts to minimize the  
582 human-to-human transfer of ESBL-producing *E. coli* are essential to limit the  
583 dissemination of these bacteria among humans. ESBL-producing *E. coli* from  
584 animals may play a role as a reservoir of virulence and antimicrobial resistance  
585 genes rather than directly causing infections in humans.<sup>101</sup>

586

587 The methodological attempts to prove the transfer of resistant bacteria or resistance  
588 genes strongly depend on the location of the resistance gene. For bacteria such as  
589 MRSA, where the meticillin resistance genes *mecA* or *mecC* are located on a  
590 chromosomally integrated SCC<sub>mec</sub> cassette, molecular strain typing methods can be  
591 applied. These include pattern-based techniques, such as PFGE, or sequence-based  
592 methods such as MLST, single locus sequence typing via *spa* and *dru* typing, as well  
593 as multiple loci VNTR analysis (MLVA).<sup>102, 103</sup> In addition, the presence of the  
594 relevant resistance genes can be detected by PCR. Whole-genome sequencing with  
595 subsequent SNP analysis can also be used as the ultimate proof.<sup>81, 104</sup> The results of  
596 these methods can enable definite proof of clonality and transference of resistance  
597 genes.

598

599 If a resistance gene is located on a MGE (e.g. plasmid-borne ESBL genes in *E. coli*)  
600 strain typing methods like PFGE, MLST or PCR-directed typing methods can still be  
601 applied. In addition, it is necessary also to characterize the resistance plasmid in  
602 question (e.g. by pMLST, replicon typing, restriction analysis or even whole plasmid  
603 sequencing).<sup>105</sup> In the transfer of resistance plasmids, different scenarios are  
604 conceivable. Scenario 1 describes a situation where the transferred strain and its  
605 resistance plasmid multiply stably in the new host. In such a case, the  
606 aforementioned methods enable the verification of the transferred strain and the  
607 resistance plasmid.<sup>106</sup> In scenario 2, the transferred strain cannot replicate in the new  
608 host, but transfers its resistance plasmid to bacteria of the new host. In this case, the  
609 transferred strain is not detectable any more, but the resistance plasmid may be  
610 detected in the new host bacteria. Scenario 3 describes a situation in which the  
611 transferred strain cannot replicate in the new host and the transferred plasmid cannot  
612 replicate in the new host bacteria but undergoes recombination with plasmids already  
613 residing in these new host bacteria. In this case, neither the original bacterial strain  
614 nor the original plasmid are detectable and the confirmation of transfer is not  
615 possible.

616

617 Another problem is the confirmation of the direction of transfer. In staphylococci, for  
618 instance, structurally closely related small mobilizable plasmids that carry the  
619 tetracycline resistance gene *tet(K)*, the chloramphenicol resistance gene *catpC221* or  
620 the MLSB resistance gene *erm(C)* are prevalent in various staphylococcal species  
621 from both humans and animals.<sup>107-109</sup> Because tetracyclines, chloramphenicol and  
622 macrolides have been used in human and veterinary medicine for more than 60  
623 years, it is impossible to determine in retrospect where and when these resistance  
624 genes first developed and which transfer events across species and host boundaries  
625 have taken place since then. In contrast, the recently identified phenicol and  
626 oxazolidinone resistance gene *optrA* is likely to have developed in enterococci of  
627 animal origin in China under the selective pressure imposed by the use of florfenicol  
628 in livestock animals.<sup>110</sup> Chloramphenicol was banned from use in food producing

629 animals in China in 2002, whereas florfenicol was licensed in 1999 for animals only  
630 and has been used widely since then.<sup>110</sup> The first optrA-carrying *E. faecium* isolate of  
631 human origin originated in 2005. This happened two years before linezolid, the sole  
632 commercially available oxazolidinone in China, was approved for use in human  
633 medicine in 2007.

634

## 635 **The future of antibacterial therapy**

636

637 For surface and superficial skin infections, and otitis involving multidrug-resistant  
638 bacteria, topical antimicrobial therapy is likely to remain effective in the future because  
639 very high concentrations of the drug, easily exceeding MICs, can be achieved at the  
640 site of infection.<sup>111, 112</sup> However, for deep infections or those requiring systemic  
641 therapy, new classes of antimicrobial agents are unlikely to be approved for veterinary  
642 medicine. All new classes of antimicrobial agents will first be tested for their suitability  
643 as therapeutics in human medicine. Only if a new class of antimicrobial agents is  
644 unsuitable for use in humans based on its pharmacological parameters, toxicity or  
645 adverse effects, may it be considered for veterinary applications. The antimicrobial  
646 agents approved for veterinary use during the last 15 years are all derivatives of  
647 already known substances. Thus, pradofloxacin is a fluoroquinolone with improved  
648 activity against canine and feline bacterial pathogens. Tulathromycin, tildipirosin and  
649 gamithromycin are macrolides for the control of bovine and porcine respiratory tract  
650 infections. Finally, florfenicol is a fluorinated phenicol with activity against  
651 chloramphenicol-resistant bacteria in which resistance is based on a chloramphenicol  
652 acetyltransferase. Florfenicol is an example where the detailed knowledge about the  
653 resistance mechanism has led to the development of a molecule which is resistant to  
654 enzymatic inactivation by acetylation.<sup>10</sup> However, soon after the introduction of  
655 florfenicol into clinical veterinary use, genes specifying other phenicol resistance  
656 mechanisms, which also confer resistance to florfenicol, have emerged.<sup>10, 17</sup>

657

658 It is our responsibility to use the available antimicrobial agents wisely and try to  
659 preserve their activity for as long as possible. This needs to include following  
660 pharmacokinetic and pharmacodynamic data (and creating such data where they are  
661 not yet available) for agents that are not licensed for use in pets. One example is use  
662 of the published recommendations on minocycline.<sup>113</sup> Most importantly, prudent use  
663 guidelines must be followed alongside the well-proven (but still too frequently  
664 neglected) concepts of rigorous hygiene measures. Moreover, improved  
665 microbiological diagnostics, which also include harmonized protocols for antimicrobial  
666 susceptibility testing of the various veterinary bacterial pathogens and additional  
667 veterinary-specific clinical breakpoints, especially for bacteria of poultry and fish origin,  
668 are urgently needed.

669

670 In summary, a multifaceted holistic approach which takes into account education as  
671 well as antimicrobial stewardship, is required.<sup>114</sup>

672

673 Education of the public in addition to prescribers of antimicrobial drugs is needed.  
674 Understanding how antimicrobial agents work and under which conditions  
675 antimicrobial resistance develops and spreads promotes the awareness needed to  
676 implement measures that counteract resistance development. Examples of such  
677 educational measures are the pan-European e-Bug program,<sup>115, 116</sup> the “Get smart”  
678 program of US Centers for Disease Control and Prevention,<sup>117</sup> and antibiotic  
679 awareness days promoted in Europe and Canada.<sup>118, 119</sup>

680  
681 The search for new antimicrobial agents – natural and synthetic – should be stimulated  
682 by making the development of new agents more attractive to the pharmaceutical  
683 industry (e.g. by expanding the time of patent protection or lowering the administrative  
684 hurdles in the approval process). Public–private partnerships, which take the  
685 development of new antimicrobial agents forward, should be encouraged. As  
686 mentioned for florfenicol, more efforts also should be made to develop chemical  
687 modifications which provide antimicrobial derivatives that evade known resistance  
688 mechanisms.

689  
690 Revival of “old” antimicrobial agents, including those discarded, not fully developed or  
691 even rejected, should be re-investigated. Combinations of antimicrobial agents with an  
692 inhibitor (e.g. an efflux inhibitor) should be explored for their ability to restore the activity  
693 of old antimicrobial agents.<sup>120</sup>

694  
695 Control of the use of antimicrobial agents: As the selective pressure imposed by the  
696 use of antimicrobial agents is a major driving force in the development of antimicrobial  
697 resistance, the nontherapeutic use of antimicrobial agents, for example, as growth  
698 promoters, must be discontinued worldwide. Antimicrobial agents in humans and  
699 animals should be made available by prescription only. Over-the-counter sales of  
700 antimicrobial agents should be forbidden worldwide. Monitoring of the consumption of  
701 antimicrobial agents in both human and veterinary medicine, including antimicrobial  
702 use in small animal practice, should be implemented.

703  
704 Alternatives to antimicrobial agents: Novel nonantibiotic approaches for prevention of  
705 and protection against infectious diseases should be explored.<sup>121</sup> These include the  
706 development of vaccines (especially for animal diseases), phage therapy<sup>122, 123</sup> and  
707 phage lysin therapy,<sup>124-126</sup> adjuvants, antivirulence therapies (including synthetic  
708 polypeptides that neutralize bacterial pathogenicity factors),<sup>127</sup> pre- and probiotics,  
709 immunostimulants, antimicrobial peptides (such as cathelicidins, defensins and  
710 dermicins),<sup>128, 129</sup> anti-biofilm therapies<sup>130-132</sup> and reprogrammed nucleases that target  
711 antimicrobial resistance genes.<sup>133</sup>  
712



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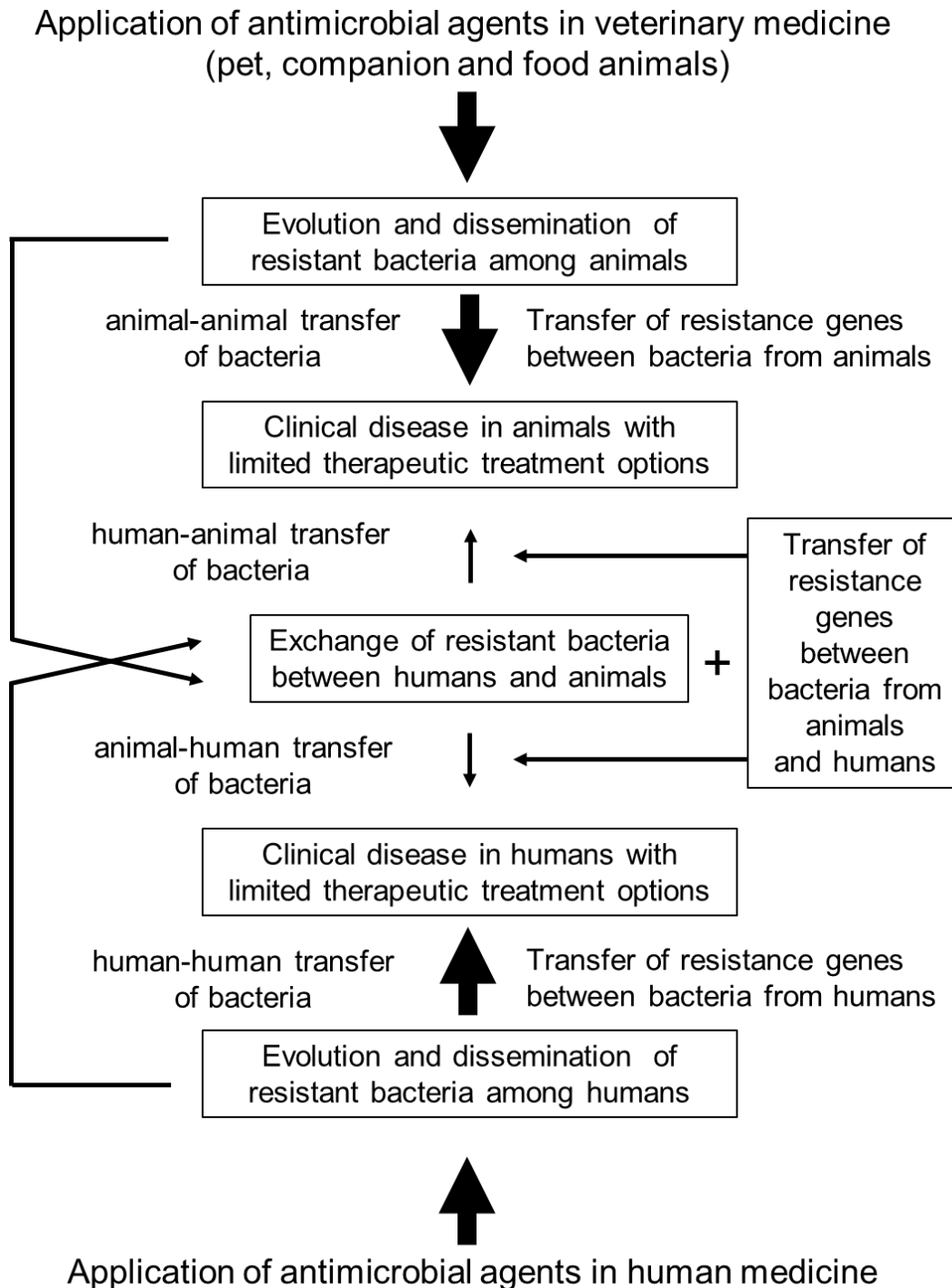
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1101



1102 **Figure 1.** Schematic presentation of the dissemination of resistant bacteria and  
 1103 resistance genes among different hosts with particular reference to the exchange  
 1104 between humans and animals. The thickness of the different arrows shall indicate the  
 1105 likelihood of the various transfer ways.  
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**Table 1.** CLSI-approved clinical breakpoints available for skin and soft tissue infections as well as wounds in animals<sup>8</sup>

Animal species	Target bacteria	Antimicrobial agent	Clinical breakpoints (mg/L)*		
			S	I	R
Dog	<i>E. coli</i>	Ampicillin	≤ 0.25	0.5	≥ 1
	<i>S. pseudintermedius</i>	Ampicillin	≤ 0.25	—	≥ 0.5
	<i>Streptococcus</i> spp., <i>S. canis</i> (group G, β-hemolytic group)	Ampicillin	≤ 0.25	—	—
	<i>E. coli</i> , <i>Staphylococcus</i> spp., <i>Streptococcus</i> spp.	Amoxicillin-clavulanate	≤ 0.25/0.12	0.5/0.25	≥ 1/0.5
	<i>E. coli</i> , <i>S. aureus</i> , <i>S. pseudintermedius</i> , <i>Streptococcus</i> spp. (β-hemolytic group)	Cephalothin	≤ 2	4	≥ 8
	<i>E. coli</i> , <i>P. multocida</i> , <i>S. aureus</i> , <i>S. pseudintermedius</i> , <i>Streptococcus</i> spp. (β-hemolytic group)	Cefazolin	≤ 2	4	≥ 8
	<i>E. coli</i> , <i>P. mirabilis</i> , <i>P. multocida</i> , <i>S. aureus</i> , <i>S. pseudintermedius</i> , <i>S. canis</i> (group G, β-hemolytic group)	Cefpodoxime	≤ 2	4	≥ 8
	<i>Enterobacteriaceae</i> , <i>Staphylococcus</i> spp., <i>Streptococcus</i> spp.	Difloxacin	≤ 0.5	1-2	≥ 4
	<i>Enterobacteriaceae</i> , <i>Staphylococcus</i> spp., <i>Streptococcus</i> spp.	Enrofloxacin	≤ 0.5	1-2	≥ 4
	<i>Enterobacteriaceae</i> , <i>Staphylococcus</i> spp., <i>Streptococcus</i> spp.	Marbofloxacin	≤ 1	2	≥ 4
	<i>Enterobacteriaceae</i> , <i>Staphylococcus</i> spp., <i>Streptococcus</i> spp.	Orbifloxacin	≤ 1	2-4	≥ 8
	<i>E. coli</i> , <i>S. pseudintermedius</i>	Pradofloxacin	≤ 0.25	0.5-1	≥ 2

	<i>Staphylococcus</i> spp., <i>Streptococcus</i> spp. ( $\beta$ -hemolytic group)	Clindamycin	$\leq 0.5$	1-2	$\geq 4$
	<i>S. pseudintermedius</i>	Doxycycline	$\leq 0.12$	0.25	$\geq 0.5$
	<i>Staphylococcus</i> spp.	Tetracycline	$\leq 0.25$	0.5	$\geq 1$
Cats	<i>E. coli</i> , <i>Staphylococcus</i> spp., <i>Streptococcus</i> spp.	Amoxicillin- clavulanate	$\leq 0.25/0.12$	0.5/0.25	$\geq 1/0.5$
	<i>Enterobacteriaceae</i> , <i>P. aeruginosa</i> , <i>Staphylococcus</i> spp., <i>Streptococcus</i> spp.	Enrofloxacin	$\leq 0.5$	1-2	$\geq 4$
	<i>Enterobacteriaceae</i> , <i>Staphylococcus</i> spp., <i>Streptococcus</i> spp.	Marbofloxacin	$\leq 1$	2	$\geq 4$
	<i>Enterobacteriaceae</i> , <i>Staphylococcus</i> spp., <i>Streptococcus</i> spp.	Orbifloxacin	$\leq 1$	2-4	$\geq 8$
	<i>E. coli</i> , <i>S. aureus</i> , <i>S. pseudintermedius</i> , <i>S. felis</i>	Pradofloxacin	$\leq 0.25$	0.5-1	$\geq 2$
	<i>P. multocida</i> , <i>S. canis</i>	Pradofloxacin	$\leq 0.25$	—	—

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1117 \* S (susceptible), I (intermediate), R (resistant)

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**Table 2.** Examples of resistance to antimicrobials by enzymatic inactivation (modified from ref. 1)

Resistance mechanism	Resistance gene(s)	Gene product	Resistance phenotype	Bacteria involved	Location of the resistance gene
chemical modification	<i>aac, aad (ant), aph</i>	acetyl-, adenylyl-, phosphotransferases	aminoglycosides	various Gram+, Gram-, aerobic bacteria	T, GC, P, C
	<i>aad (ant)</i>	adenyltransferases	aminocyclitols	various Gram+, Gram-, aerobic bacteria	T, GC, P, C
	<i>catA, catB</i>	acetyltransferases	chloramphenicol	various Gram+, Gram-, aerobic, anaerobic bacteria	P, T, GC, C
	<i>vat(A-E)</i>	acetyltransferases	streptogramin A	<i>Staphylococcus, Enterococcus</i>	P, C
	<i>mph(A-E)</i>	phosphotransferases	macrolides	<i>Escherichia, Shigella, Staphylococcus</i>	P, T, C
	<i>Inu(A), Inu(B)</i>	nucleotidyltransferases	lincosamides	<i>Staphylococcus</i>	P
	<i>tet(X), tet(37)</i>	oxidoreductases	tetracyclines	<i>Bacteroides</i>	T, P
hydrolytic cleavage	<i>blaZ, blaTEM, blaSHV, blaCTX-M, etc.</i>	$\beta$ -lactamases	$\beta$ -lactam antibiotics	various Gram+, Gram-, aerobic, anaerobic bacteria	P, T, GC, C
	<i>ere(A), ere(B)</i>	esterase	macrolides	<i>E. coli, Staphylococcus</i>	P, GC

*vgb(A)*, *vgb(B)*

lactone hydrolases

streptogramin B

*Staphylococcus*

P

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<sup>a</sup> P = plasmid; T = transposon; GC = gene cassette; C = chromosomal DNA

**Table 3.** Examples of resistance to antimicrobials by decreased intracellular drug accumulation (modified from ref. 1)

Resistance mechanism	Resistance gene(s)	Gene product	Resistance phenotype	Bacteria involved	Location of the resistance gene
efflux via multidrug transporters	<i>mexA-mexB-oprM, acrA-acrB-toiC</i>	multidrug efflux in combination with specific OMP's	chloramphenicol, $\beta$ -lactams, macrolides, fluoroquinolones, tetracyclines, etc.	<i>Pseudomonas, E. coli, Salmonella</i>	C
	<i>emrE</i>	4-TMS multidrug efflux protein	tetracyclines, nucleic acid binding compounds	<i>E. coli</i>	C
	<i>blt, norA</i>	12-TMS multidrug efflux protein of the major facilitator superfamily	chloramphenicol, fluoroquinolones, nucleic acid binding compounds	<i>Bacillus, Staphylococcus</i>	C
efflux via specific exporters	<i>tet(A-E, G, H, I, J, K, L, Z), tetA(P), tet(30)</i>	12-, 14-TMS efflux system of the major facilitator superfamily	tetracyclines	various Gram+ and Gram- bacteria	P, T, C
	<i>floR</i>	12 TMS efflux system of the major facilitator superfamily	phenicols	various Gram- bacteria	T, P, C
	<i>cmlA, cmlB</i>	12 TMS efflux system of the major facilitator superfamily	chloramphenicol	various Gram- bacteria	T, P, GC, C

<i>fexA</i>	14 TMS efflux system of the major facilitator superfamily	phenicols	<i>Staphylococcus</i>	T, P, C
<i>mef(A)</i>	efflux system of the major facilitator superfamily	14-, 15-membered macrolides	<i>Streptococcus</i> , other Gram+ bacteria	T, P, C
<i>msr(A)</i>	efflux system of the ABC transporter family	macrolides and streptogramin B	<i>Staphylococcus</i>	P
<i>vga(A)</i> , <i>vga(C)</i> , <i>vga(E)</i> , <i>lsa(E)</i> , <i>sal(A)</i>	efflux system of the ABC transporter family	streptogramin A, lin	<i>Staphylococcus</i> , <i>Enterococcus</i>	P
<i>optrA</i>	efflux system of the ABC transporter family	phenicols, linezolid, tedizolid	<i>Enterococcus</i> , <i>Staphylococcus</i>	P, C

a P = plasmid; T = transposon; GC = gene cassette; C = chromosomal DNA

b TMS = transmembrane segments

**Table 4.** Examples of resistance to antimicrobials by target site alteration (modified from ref. 1)

Resistance mechanism	Resistance gene(s)	Gene product	Resistance phenotype	Bacteria involved	Location of the resistance gene
methylation of the target site	<i>erm(A-46)</i>	rRNA methylase	macrolides, lincosamides, streptogramin B	various Gram+ and Gram- bacteria	P, T, C
methylation of the target site	<i>cfr, cfrB</i>	rRNA methylase	phenicols, lincosamides, linezolid, pleuromutilins, streptogramin A	various Gram+ and Gram- bacteria	P, C
protection of the target site	<i>tet(M, O, P, Q, S, T)</i>	ribosome protective proteins	tetracyclines	various Gram+ and Gram- bacteria	T, P, C
	<i>fusB</i>	ribosome protective protein	fusidic acid	<i>Staphylococcus</i>	P
replacement of a sensitive target by an alternative drug-resistant target	<i>mecA, mecC</i>	penicillin-binding proteins with altered substrate specificity	penicillins, cephalosporins, carbapenems, monobactams	<i>Staphylococcus</i>	C
	<i>sul1, sul2, sul3</i>	sulfonamide-insensitive dihydropteroate synthase	sulfonamides	various Gram- bacteria	P, I
	<i>dfrA, dfrB</i>	trimethoprim-insensitive dihydrofolate reductase	trimethoprim	various Gram+ and Gram- bacteria	P, GC, T, C



	<i>mupA, ileS2</i>	mupirocin-insensitive isoleucyl-tRNA synthase	mupirocin	<i>Staphylococcus</i>	P
	<i>vanA-E</i>	alternative peptide-glycan precursors	glycopeptides	<i>Enterococcus, Staphylococcus</i>	T, P, C
mutational modification of the target site	—	mutations in the genes for topoisomerase II and IV	fluoroquinolones	various Gram+ and Gram- bacteria	C
	—	mutation in the gene coding for ribosomal protein S12	streptomycin	several Gram+ and Gram- bacteria	C
	—	mutation in the gene for the ribosomal protein L3	tiamulin	<i>E. coli</i>	C
	—	mutation in the 16S rRNA	tetracyclines	<i>Propionibacterium</i>	C
	—	mutations in the 23S rRNA	oxazolidinones	<i>Staphylococcus</i>	C
	—	mutation in the <i>fusA</i> gene	fusidic acid	<i>Staphylococcus</i>	C
mutational modification of regulatory elements	—	mutations in the <i>marRAB</i> <i>soxR</i> or <i>acrR</i> genes	fluoroquinolones	<i>E. coli</i>	C

<sup>a</sup> P = plasmid; T = transposon; GC = gene cassette; C = chromosomal DNA, I = integron

## **Zusammenfassung**

**Hintergrund** – Antimikrobielle Resistenz hat sich zu einer zunehmenden Herausforderung in der Veterinärmedizin entwickelt, insbesondere im Zusammenhang mit bakteriellen Infektionserregern, die bei Menschen und Tieren eine Rolle spielen.

**Ziele** – Dieser Artikel vermittelt eine aktuelle Übersicht über erworbene Resistenzmechanismen von Bakterien, die an Hautinfektionen von Tieren beteiligt sind. Zusätzlich enthält er Beispiele für den Transfer resistenter Infektionserreger zwischen verschiedenen Wirten und für den Transfer von Resistenzgenen zwischen Bakterien von Tieren und Menschen.

**Ergebnisse** – Erworbene Resistenz basiert auf resistenzvermittelnden Mutationen oder mobilen Resistenzgenen. Während Mutationen vertikal weitergegeben werden, erfolgt der Transfer mobiler Resistenzgene auch horizontal (mittels Transformation, Transduktion oder Konjugation/Mobilisierung) und trägt dadurch zur Verbreitung antimikrobieller Resistenzen bei. Bisher wurden mobile Resistenzgene, die einen der drei Resistenzmechanismen – enzymatische Inaktivierung, reduzierte intrazelluläre Akkumulation oder Modifizierung der zellulären Angriffsstellen – vermitteln bei einer Vielzahl von Bakterien nachgewiesen. Solche Resistenzgene liegen als Bestandteil von Plasmiden, Transposons, Genkassetten, integrativen und konjugativen Elementen oder anderer mobiler Elemente vor. Bakterien, einschließlich zoonotischer Infektionserreger, können zwischen Tieren und Menschen hauptsächlich durch direkten Kontakt, aber auch über Staub und Aerosole sowie Lebensmittel ausgetauscht werden. Der Nachweis der Transferrichtung von resistenten Bakterien kann sich schwierig gestalten und hängt von der Lokalisation der Resistenzgene oder Mutationen in der chromosomalen DNA oder auf mobilen Elementen ab.

**Schlussfolgerungen** – Die große Vielfalt an Resistenz- und Transfermechanismen wird auch in Zukunft den Erfolg bakterieller Infektionserreger sichern. Unsere Strategien der Resistenzentwicklung entgegen zu wirken und die Wirksamkeit antimikrobieller Wirkstoffe zu erhalten muss ähnlich vielfältig und erfindungsreich sein.