

# HUH site-specific recombinases for targeted modification of the human genome

Coral González-Prieto<sup>1</sup>, Leticia Agúndez<sup>2</sup>, Ralph Michael Linden<sup>2\*</sup>, and Matxalen Llosa<sup>1\*</sup>

<sup>1</sup>Departamento de Biología Molecular (Universidad de Cantabria) and IBBTEC (UC, CSIC, SODERCAN), Santander, Spain.

<sup>2</sup>King's College London School of Medicine, and UCL Gene Therapy Consortium, University College London, London, UK

\* Corresponding authors: [llosam@unican.es](mailto:llosam@unican.es), [michael.linden@kcl.ac.uk](mailto:michael.linden@kcl.ac.uk)

## Abstract

Site-specific recombinases (SSR) have been crucial in the development of mammalian transgenesis. For gene therapy purposes, this approach remains challenging, as e.g. SSR delivery is largely unsolved and SSR DNA substrates must pre-exist in target cells. In this review, we discuss the potential of HUH recombinases to overcome some of the limitations of conventional SSR. Members of the HUH protein family cleave single-stranded DNA, but can mediate site-specific integration with the aid of the host replication machinery. AAV Rep remains the only known example to support site-specific integration in human cells, and AAV is an excellent gene delivery vector which can be targeted to specific cells and organelles. Bacterial protein TrwC catalyzes integration into human sequences and can be delivered to human cells covalently linked to DNA, offering attractive new features for targeted genome modification.

KEYWORDS: Genomic engineering / site-specific recombination / gene therapy / HUH family / AAV Rep / R388 TrwC

## Highlights:

- o HUH SSR offer attractive features for targeted genome modification
- o HUH SSR can integrate ssDNA with the aid of host replication machinery
- o AAV-Rep and R388-TrwC can be delivered in vivo
- o Rep-mediated integration into AAVS1 does not have adverse effects

## 34 **Glossary**

35 **Site-specific recombination (SSrec):** also known as conservative site-specific recombination.  
36 Recombination process in which DNA exchange takes place between defined DNA sequences  
37 possessing only a limited degree of sequence homology, by a mechanism that conserves the  
38 phosphodiester bond energy. Depending on the initial arrangement of the two DNA partners,  
39 it can result in integration, excision, inversion, resolution, or translocation.

40 **Site-specific recombinases (SSR):** enzymes that catalyze cut-and-strand transfer reactions on  
41 specific DNA sequences, producing rearrangements of DNA segments. A distinctive feature of  
42 these recombinases is the formation of a covalent protein-DNA intermediate during the  
43 recombination process.

44 **Tyr-SSR and Ser-SSR:** two different families of SSR named after the nucleophilic amino acid  
45 residue that they use to attack the DNA and which becomes covalently linked to it during  
46 strand exchange. Although leading to the same practical outcomes, the two families are  
47 unrelated to each other, having different protein structures and reaction mechanisms (shown  
48 in Fig. 1).

49 **HUH protein:** defined by the presence of two conserved motifs: a His-hydrophobic-His (HUH)  
50 motif required for metal ion binding, and a motif containing one or two catalytic tyrosines for  
51 nucleophilic attack of the DNA. HUH family members are strand-transferases acting at target  
52 sites on a single DNA strand, and are involved preferentially in biological processes involving  
53 ssDNA intermediates, such as rolling-circle replication and transposition, or bacterial  
54 conjugation.

55 **Rolling-circle replication (RCR):** mechanism used for the replication of some circular  
56 molecules, such as plasmids and certain viruses. A Rep protein, belonging to the HUH family,  
57 cleaves the target oriV and remains covalently bound to the 5' end, providing a free 3'-OH end  
58 onto which nucleotides are added. This mechanism allows fast production of single-stranded  
59 replication products. RCR-transposition and processing of DNA during bacterial conjugation are  
60 related processes, also based on HUH proteins which catalyze the initial cleavage and final  
61 religation steps.

62 **Adeno-associated virus (AAV):** AAV is a small non-pathogenic human parvovirus whose life  
63 cycle consists of both a productive replicative phase and latent infection. It is known to need a  
64 helper virus for the productive life cycle. AAV-Rep proteins, belonging to the HUH family, are  
65 essential for initiation of replication of the viral genome and for site-specific integration of the  
66 virus into a single target site present in the human genome.

67 **Bacterial conjugation:** mechanism of horizontal DNA transfer from a donor to a recipient  
68 bacterium. The process involves the generation of a single-stranded DNA which is leded into  
69 the recipient cell as a nucleoprotein complex by the conjugative relaxase. This HUH protein  
70 catalyzes cleavage and strand-transfer reactions at its target site to initiate and end ssDNA  
71 transfer. In addition, several relaxases can act as recombinases and integrases on double-  
72 stranded DNA substrates.

73

## 74 **Genome modification of human cells through site-specific integration of foreign DNA**

75 Gene therapy aims to treat disease through the alteration of genome content in target tissues  
76 and, in most cases, requires stable expression of exogenous DNA. Long-term expression is  
77 either achieved through extra-chromosomal persistence or by integration of the therapeutic  
78 DNA into the human genome, in particular, in proliferating cells. However, random integration  
79 in such scenarios, has been demonstrated to carry the risk of insertional mutagenesis,  
80 potentially leading to tumor growth [1]. An alternative approach, gene targeting via  
81 homologous recombination, has recently witnessed promising advances thanks to the design  
82 of synthetic nucleases with a high degree of target specificity [2]. However, their design is  
83 complex and questions regarding off-target activities have yet to be addressed [3].  
84 Furthermore, methods to reliably predict these events are still missing ([4]; [5]).

85 The use of site-specific recombinases (SSR), which directly integrate foreign DNA into a  
86 specific site in the genome, could help overcome some of these hurdles. There are, however,  
87 some potentially limiting prerequisites (unidirectionality of the reaction, existence of a natural  
88 target site) as well as additional inherent problems (SSR toxicity, DNA rearrangements). These  
89 are discussed here and we will attempt to introduce possible solutions, thereby establishing  
90 this class of proteins as a viable addition to our tools to modify the human genome.

91

## 92 **Site-specific recombination: 2+1=2+2**

93 SSR catalyze the recombination between specific target DNA sequences. SSR mediate this  
94 process in a reaction involving a covalent intermediate with the target DNA. The final product  
95 is the recombinant molecule, which, depending on the orientation of the target sequences,  
96 can lead to integration, deletion, inversion, resolution, or translocation of the DNA between  
97 the crossover sites [6].

98 In contrast to homologous recombination, site-specific recombination (SSRec) has  
99 different biological roles (see **Table 1**). Examples in prokaryotes, which illustrate the potential  
100 of SSRec, include the integration-excision cycles of bacteriophages and other mobile genetic  
101 elements, the resolution of plasmid multimers, or the control of gene expression through the  
102 repositioning of control elements. Among those are the inversion-mediated alternate  
103 expression of flagellins, or the assembly of active genes by irreversible deletion of a DNA  
104 segment leading to the expression of a reconstructed open reading frame (ORF) in non-  
105 vegetative cells, such as cyanobacterial heterocysts or *Bacillus* mother cells during sporulation  
106 [6].

107 SSR usually refer to the canonical conservative recombinases that act on two target sites  
108 and catalyze their recombination by performing four nicks and two strand-exchange reactions.  
109 They are divided into two main families, Tyr-SSR (such as Cre recombinase from phage P1) and  
110 Ser-SSR (such as Int from the *Streptomyces* phage  $\Phi$ C31), which differ in their structure,  
111 catalytic residues and mode of action, yet perform essentially identical reactions with the  
112 same outcome, i.e. recombination (**Figure 1**). For a detailed description of the recombination  
113 mechanisms of Tyr- and Ser- SSR, the reader is referred to a recent review [7].

114 The integrases responsible for gene cassette insertion/excision in integrons, also termed  
115 Int, belong to the family of Tyr-SSR, yet they perform a single strand exchange reaction. These  
116 SSR recognizes a folded single-stranded intermediate, thus forming an atypical Holliday  
117 junction (Fig. 1). It has recently been demonstrated that recombination is resolved by the host  
118 replication machinery [8]. Thus, a reaction starting with a double-stranded (ds) target DNA and  
119 a single-stranded (ss) donor DNA (2+1) results in a recombination product identical to those of  
120 SSR acting on two dsDNA molecules (2+2). This apparently surprising “2+1=2+2” equation is  
121 not so novel: old experiments have shown that Tn7 could switch from conservative to  
122 replicative transposition with a single mutation abolishing the second nicking reaction [9]. This  
123 observation suggests a remarkable plasticity in the ability to recruit host functions.

124 Within this scenario, a new unexpected family of SSR has been found among members of  
125 the HUH family of enzymes, defined by the presence of a series of motifs involved in their  
126 catalytic activity [10]. These proteins are sequence-specific single-strand endonucleases which  
127 perform strand-transfer reactions on ssDNA, required in processes such as bacterial  
128 conjugation, and rolling-circle replication or transposition. In addition, some of them have  
129 been shown to catalyze site-specific recombination and integration reactions on dsDNA  
130 substrates, and thus can also be considered as SSR. These include conjugative relaxase TrwC of  
131 plasmid R388 [11]; rolling circle replicase Rep from adeno-associated virus, AAV [12]; and  
132 transposase TnpA(REP) from *E. coli* K12 [13]. To date, all proposed models involving ss-based  
133 SSR include a replication step, carried out by the host machinery, in order to complete the  
134 reaction ([14]; [11]; [15]) (Fig. 1).

135 SSR are characteristically sequence-specific, very efficient, and often initiate  
136 recombination without cofactors or host-cell components. These features make them  
137 potentially useful tools for genome engineering [16]. Outstanding examples are the Tyr-SSR  
138 Cre from phage P1 or the Ser-SSR Int from phage  $\Phi$ C31. Interestingly, most widely used  
139 SSR come from bacterial phages and have been shown to be functional in the eukaryotic  
140 environment. For instance,  $\Phi$ C31 Int has been shown to catalyze site-specific integration in  
141 plant, *Drosophila*, murine and human cells [17]. This striking promiscuity hints at a  
142 considerable evolutionary conservation and possibly an as yet underappreciated function of  
143 these mechanisms in higher eukaryotes.

#### 144 *Prerequisites: unidirectionality and target site*

145 SSR can perform reversible or irreversible reactions. An example of an SSR which catalyzes  
146 recombination in both senses with equal efficiency is Cre from phage P1, which has been  
147 widely used for the construction of transgenic animals, including the generation of conditional  
148 phenotypes [18]. However, the reversibility of the recombination reaction can be a limitation if  
149 the goal is to obtain stable integration of a foreign DNA into the host genome, since the  
150 recombinase can catalyze the excision of this DNA at any moment. Some strategies, such as  
151 transient expression of the recombinase, can partially overcome this limitation.

152 In contrast, other SSR perform a unidirectional reaction. Most SSR determine the directionality  
153 of the reaction by recognizing specific target sites, which, upon recombination, generate new  
154 sites that can no longer serve as substrates. This is the case of phage integrases such as Int-  
155  $\Phi$ C31, which convert the phage attP and host attB sites into two new hybrid sites attL and

156 attR, upon integration. Additional factors are required to catalyze recombination on these  
157 newly created sites [19], thus allowing a control of the directionality of the reaction.

158 One of the main limiting factors for the use of SSR genome modification is the potential  
159 absence of a naturally occurring target within the human genome. To date, most proof-of  
160 concept studies use cells that have been engineered to contain respective SSR target  
161 sequences; this approach, however, is not applicable for gene therapy purposes.

162 The integrase of phage  $\Phi$ C31 catalyzes unidirectional phage integration. This integrase also  
163 recognizes target sequences in many eukaryotic genomes, including the human genome [20].  
164 A large number of pseudo-attP sites have been characterized (**Table 2**) into which this SSR can  
165 integrate any incoming DNA containing an attB motif. However, not all sites are used with the  
166 same efficiency: the  $\psi$ A site in chromosome 8 was reported to be used preferentially [20] and  
167 a recent report finds an additional hotspot at 19q13.31 [21].

168 The use of Int- $\Phi$ C31 for integration of exogenous DNA in mammals originated more than a  
169 decade ago. The system is very efficient, rendering a high percentage of viable transformed  
170 cells. It has been used for genetic correction in mice and also in cultured human cells, including  
171 stem cells. Notably, in the last few years this system has allowed phenotypic correction of  
172 hemophilia A and B in mice through the expression of human clotting factors ([22]; [23]). It has  
173 also been used for targeted integration in human muscle and cardiac progenitor cells ([24];  
174 [25]), stem cell lines [26], as well as in approaches to generate mouse iPS cells ([27];[28]). It  
175 was not until recently that the additional protein required for phage excision was determined  
176 [19], which will allow further optimization of this tool for mammalian cells [29].

177 Other Ser-SSR phage integrases have been characterized and used successfully in a  
178 mammalian environment. R4 and A118 integrases can integrate an incoming plasmid into  
179 endogenous pseudo *att* sites in the human genome, although aberrant chromosomal events  
180 were found to be associated with R4, and for A118, four out of fifteen integration events at  
181 pseudo *attB* sites showed imperfect junctions ([30]; [31]).

182 Adeno-associated virus (AAV) is the only known virus capable of targeted integration in  
183 human cells. AAV-Rep protein, an HUH protein, recognizes a unique target sequence within  
184 *AAVS1* which is located on human chromosome 19 (19q13.3-qter) (Table 2), and several  
185 studies have demonstrated that insertion at this site poses no apparent risks [15]. The AAV-  
186 Rep-mediated site-specific integration reaction has extensively been studied in tissue culture.  
187 The characteristic Tyr of HUH SSR orchestrates the nicking in the target locus, *AAVS1*.  
188 Subsequently, a DNA strand exchange between the viral and human chromosomal sequences  
189 is proposed to form a covalent junction. This junction formation is then followed by the  
190 resolution of the intermediate by the host cell replication machinery [15], as outlined in Fig.  
191 1D. AAV-Rep mediated site-specific integration of foreign DNA has been achieved in mouse  
192 [15] and human embryonic stem cells (hESCs) [32], opening the possibility of using this system  
193 in replacement therapies in several human diseases.

194 A number of conjugative relaxases possess site-specific recombinase activity. Notably, the  
195 conjugative relaxase TrwC is also able to integrate the DNA to which it is covalently attached  
196 into its target sequence present in the recipient bacterial cell [33]. TrwC has two active Tyr

197 residues which are both involved in the integration reaction [34]. Several sequences  
198 resembling its natural target exist in the human genome, and it has been shown that TrwC can  
199 catalyze integration into two of these sites [34]. Interestingly, integration was stable, since the  
200 target sequences were not substrates for the excision reaction [34]. These features confer  
201 potential as a genomic modification tool; however, it remains to be demonstrated whether  
202 this activity can be transposed into human cells.

### 203 *Inherent risks: off-target insertion and genotoxicity*

204 A key issue for the successful use of SSR in human genomic modification protocols is their  
205 sequence specificity. SSR are generally very specific enzymes, however they can act on pseudo-  
206 target sites with sufficient similarity to their natural targets. This is particularly relevant when  
207 protein levels are high, under which conditions these enzymes can introduce nicks and ds-  
208 breaks, thus possibly initiating unintended recombination events. Therefore, a main concern  
209 continues to be the inherent risk of introducing undesired DNA rearrangements during  
210 integration. Overall, the genotoxicity of SSR has not been sufficiently studied to allow for  
211 reliable predictions about the safety of their use in humans.

212 The main drawback of using Int- $\Phi$ C31 for gene therapy, for example, is the presence of too  
213 many possible insertion sites (pseudo-att sites; Table 1). This problem may be partially  
214 overcome by the use of mutant integrases, obtained by directed evolution, that show  
215 increased specificity for the main  $\psi$ A site [35]. Another hurdle is based on the observation that  
216 integration may lead to chromosomal rearrangements. This point is open to debate, since  
217 there are conflicting reports showing precise integration of Int-mediated transformed cells,  
218 while others demonstrate the presence of rearrangements upon Int- $\Phi$ C31 mediated  
219 integration in pseudo-attP sites [36]. It remains to be determined whether these differences  
220 are simply due to the cellular levels of the integrase.

221 AAV-Rep mediated integration was reported to be targeted to *AAVS1* in early works ([37];  
222 [38]). Integration was analyzed in several latently infected human cell lines and 78% of these  
223 cells showed integration in *AAVS1*, highlighting the specificity of the system for the human  
224 target. Rep-mediated integration into pseudo-AAV sites has been reported [39]. However, as  
225 these studies were performed in HeLa cells, it remains to be shown whether these events were  
226 due to the well-documented propensity of AAV and AAV vectors to insert into pre-existing  
227 double-strand breaks, rather than due to a Rep-specific off-target event. Other reports have  
228 also challenged site-specificity, arguing that Rep-mediated integration was close to random  
229 [40]. However, a recent, unbiased analysis of integration sites, has provided further evidence  
230 for the specificity of Rep-mediated integration [41].

231 *AAVS1* is located within gene *PPP1R12C*, in a very gene-dense region in chromosome 19,  
232 making it more likely that possible rearrangements may have deleterious effects for the cell  
233 upon integration. An exhaustive study addressing potential adverse effects resulting from  
234 *AAVS1* integration has recently been presented. *AAVS1*-targeted mouse embryonic stem cells  
235 showed that, despite of the resulting rearrangement, the cells maintained multi-lineage  
236 differentiation potential and contributed successfully to mouse development when injected  
237 into blastocysts [15]. A potential explanation for the lack of adverse effects in spite of  
238 significant associated rearrangements in this stringent model system has been provided by the



239 observation of a duplication resulting from the integration mechanism, thereby preserving  
240 functional expression from the disrupted allele. These data underscore the potential of this  
241 locus as a suitable safe harbor for therapeutic transgene insertion when Rep is used to  
242 mediate integration. Several recent reports have also used *AAVS1* as the target for designed  
243 synthetic nucleases [42, 43]. These studies further underscore the suitability of *AAVS1* for  
244 transgene expression. However, similarly stringent assays have yet to be employed to assess  
245 whether gene addition to this locus is inert in the absence of Rep-mediated target gene  
246 duplication.

247 As highlighted above, the cellular level of integrase is possibly a key factor in the fidelity of  
248 the integration mechanism and thus the associated genotoxicity. Expression of Int- $\Phi$ C31  
249 induces a DNA damage response and chromosomal rearrangements in human cells [44], but  
250 Int is expressed for only a few hours in both mouse liver and human cultured cells [45], making  
251 it unlikely that the integrase would induce such effects. Overexpression of Rep regulates the  
252 expression of cellular and viral genes and may induce apoptosis [46], DNA damage and cell  
253 cycle arrest [47]. This challenge underlines the necessity to strictly control recombinase  
254 expression. In this context, protein delivery by fusing a TAT domain has been reported for Int-  
255  $\Phi$ C31 [48], but this approach requires protein purification, and renders lower recombination  
256 efficiency.

257

## 258 **Delivery of SSR and DNA to the human cell**

259 Any strategy for genome modification must include a way to deliver foreign DNA and the  
260 integration system to the target cells. DNA can be introduced into human cells by a variety of  
261 methods, including naked DNA, synthetic vectors, viral vectors, or bactofection [49]. SSR from  
262 any source can be introduced by any of these methods. **Figure 2** compares the entry pathways  
263 for various integrases.

264 Int-  $\Phi$ C31 is routinely introduced by plasmid transfection of cultured cells, or by  
265 hydrodynamic tail-vein injection in mice. Introduction with adenovirus vectors allows for site-  
266 specific integration of large DNA fragments with low genotoxicity [50].

267 A key advantage of the AAV-Rep system is that the virus naturally infects human cells and  
268 the site-specific integration potential has been retained throughout evolution. In addition, AAV  
269 poses no known safety issues. The main hurdle of AAV as a targeting vector is the strict space  
270 limitation imposed by its capsid. In order to overcome this problem, attempts have been made  
271 to use dual infections [51] or to incorporate Rep into other viruses, such as adenovirus or  
272 herpesvirus [52, 53]. An additional benefit of AAV is that recombinant vectors (rAAV) have  
273 been widely used in preclinical as well as some clinical trials [54]. In addition, the availability of  
274 capsids from different AAV serotypes allows for targeting specific tissues, such as skeletal  
275 muscle, liver, central nervous system, retina and heart [54]. Furthermore, the addition of a  
276 mitochondrial targeting sequence to AAV capsids redirects the virus inside the organelle,  
277 achieving correction of Leber's hereditary optic neuropathy in a mouse model [55].

278 The conjugative relaxase TrwC also shows a potential for *in vivo* delivery into human cells. A  
279 recent report showed that this SSR can be delivered to specific human cells using Type IV  
280 Secretion Systems (T4SS) of pathogenic bacteria [56]. T4SS are encoded by many human  
281 pathogens, each targeting specific cell types, thereby introducing the potential for some  
282 tissue specificity for *in vivo* gene therapy [57]. A major advantage, however, might be that the  
283 SSR enters the cell in a covalent complex with the transgene and thus overcomes the need for  
284 recombinase expression in the cell and favors irreversible integration of the incoming DNA.

285 Many artificial delivery systems can reach the cytoplasm, yet integration takes place within  
286 the nucleus of the cell. Accordingly, AAV-Rep has a Nuclear Localization Signal (NLS) for nuclear  
287 targeting [58]. SSR from bacteria or phage are not expected to target the nucleus, but it  
288 appears feasible to engineer an approach that includes nuclear import. For example, while  
289 TrwC localizes to the cytoplasm, a mutant shows nuclear localization [59]. Similarly, the  
290 addition of an NLS to Int- $\Phi$ C31 increases integration [60]. In addition, a TAT-Int-NLS was shown  
291 to recombine more efficiently than TAT-Int in mammalian cells [48]. In contrast nuclear  
292 localization provides little or no benefit to  $\Phi$ C31 integrase for liver directed gene therapy, even  
293 in the absence of cell division [61], suggesting that Int nuclear entry is not the limiting factor  
294 for integration. A small fraction of the integrase can enter the nucleus bound to the DNA, as  
295 occurs in the case of retroviral integrases [62].

296

## 297 **Future directions**

298 The main conclusions and outstanding questions on the use of SSR for human genomic  
299 modification are outlined in Box 1. Taken together, no simple solution has been put forward to  
300 address the challenge that any system ideally would i) efficiently deliver DNA to the target  
301 tissue *in vivo*, ii) allow there for efficient integration, iii) express only transiently the required  
302 exogenous recombinase, and iv) evade significant immune detection, and thus ensure the  
303 survival of the modified target cell. SSR are a potential tool for *in vivo* and *ex vivo* genome  
304 modification. While tailor-made nucleases show great potential, we propose that the inherent  
305 and unique characteristics of SSR might provide distinct benefits that warrant further  
306 investigation.

307 ss-dependent SSR from the HUH family such as AAV-Rep have shown to be as efficient in  
308 integration as conservative SSR, and proof of principle together with evidence for safety and  
309 utility of this approach have been provided in mouse and human embryonic stem cells [15, 32].  
310 Rep-mediated modification of human iPS cells is ongoing in our laboratory. The underlying  
311 strategy is that iPS cells can be obtained from patients and subsequently the genetic defect  
312 can conceivably be corrected by AAV-mediated site-specific integration of the un-  
313 mutated gene where appropriate, resulting in a suitable cell population for differentiation and  
314 subsequent transplantation.

315 Bacterial conjugative relaxases may represent promising new tools due to their site-specific  
316 integrase activity and the presence of potential target sites within the human genome. In  
317 addition, new substrate specificities can be engineered [63], thus broadening the possibility to  
318 find the adequate insertion site. *In vivo* delivery through bacterial T4SS as a covalent protein-



319 DNA complex constitutes a unique feature conferring added value. However, to date, proof of  
320 concept for bacterial SSR-mediated site-specific integration into the human genome has yet to  
321 be provided.

322 HUH recombinases may represent a family of moonlighting proteins evolutionarily selected  
323 to perform site-specific integration, in addition to their role in viral replication, bacterial  
324 conjugation, or transposition; this molecular strategy has been preserved from bacteria, to  
325 plants, to mammalian viruses. The intricacies of this approach include efficient cooperation  
326 with host enzymes (thus only one exogenous protein is required) and, in the case of AAV Rep,  
327 a mechanism that includes partial gene duplication through which functional expression from  
328 both target alleles is retained. Among this new family of recombinases is the possibility to  
329 overcome such problems as target infidelity and thereby genotoxicity. The main limiting factor  
330 for the use of SSR is the presence of a target sequence in the human genome. However, these  
331 enzymes are highly prevalent in bacteria and viruses and, as TrwC demonstrates, it is likely that  
332 suitable candidates with human target sequences can be identified.

333

### 334 **Acknowledgements**

335 Work in our labs is supported by grant BIO2010-11623E from the Spanish Ministry of Science  
336 and Innovation to ML and UK Medical Research Council grant 1001764 to RML. CGP was a  
337 recipient of a predoctoral fellowship from the University of Cantabria (Spain).

338

## 339 **Box 1. Conclusions and outstanding questions**

### 340 **Conclusions**

- 341 ➤ Site-specific recombinases are valuable tools for human genomic modification
- 342 ➤ SSR belonging to the HUH protein family catalyze integration of ssDNA with the aid
- 343 of the host replication machinery
- 344 ➤ AAV-Rep catalyzes integration into *AAVS1* with no known additional effect on the
- 345 recipient genome, partly due to reconstruction of the target gene by partial
- 346 duplication upon integration
- 347 ➤ Several conjugative relaxases have been shown to act as SSR, providing new
- 348 sources of potential integration sites. TrwC from plasmid R388 can integrate DNA
- 349 into two sequences from the human genome which resemble its natural target
- 350 ➤ TrwC can be delivered as a protein-DNA complex into specific human cell
- 351 types through bacterial Type IV secretion systems. These machines are
- 352 present in bacteria targeting different tissues
- 353 ➤ AAV is an excellent vector for delivery of the transgene and Rep. It can be targeted
- 354 to different cellular types and even to mitochondria
- 355 ➤ The introduction of the SSR protein in place of the gene may be the best way to
- 356 avoid genotoxicity

### 357 **Outstanding questions**

- 358 ➤ Will it be possible to target different human cellular types through the Type IV
- 359 secretion systems of different human pathogens?
- 360 ➤ Can TrwC integrate foreign DNA into its specific targets in the human genome? If
- 361 so, what will be the effect of integration into these sites?
- 362 ➤ Is it possible to obtain Rep-mediated modification of human iPS cells, allowing
- 363 correction of diseases such as SCID-X1?
- 364 ➤ What will be a suitable system to transiently deliver AAV Rep to target cells in
- 365 order to mediate site-specific integration?

366

367 **REFERENCES**

- 368 1 McCormack, M.P. and Rabbitts, T.H. (2004) Activation of the T-cell oncogene LMO2 after  
369 gene therapy for X-linked severe combined immunodeficiency. *The New England journal of*  
370 *medicine* 350, 913-922
- 371 2 Mussolino, C. and Cathomen, T. (2012) TALE nucleases: tailored genome engineering made  
372 easy. *Current opinion in biotechnology* 23, 644-650
- 373 3 Handel, E.M. and Cathomen, T. (2011) Zinc-finger nuclease based genome surgery: it's all  
374 about specificity. *Current gene therapy* 11, 28-37
- 375 4 Gabriel, R., *et al.* (2011) An unbiased genome-wide analysis of zinc-finger nuclease specificity.  
376 *Nature biotechnology* 29, 816-823
- 377 5 Pattanayak, V., *et al.* (2011) Revealing off-target cleavage specificities of zinc-finger nucleases  
378 by in vitro selection. *Nature methods* 8, 765-770
- 379 6 Craig, N.L., *et al.* (2002) *Mobile DNA II*. ASM Press
- 380 7 Hirano, N., *et al.* (2011) Site-specific recombinases as tools for heterologous gene  
381 integration. *Applied microbiology and biotechnology* 92, 227-239
- 382 8 Loot, C., *et al.* (2012) Replicative resolution of integron cassette insertion. *Nucleic acids*  
383 *research* 40, 8361-8370
- 384 9 May, E.W. and Craig, N.L. (1996) Switching from cut-and-paste to replicative Tn7  
385 transposition. *Science* 272, 401-404
- 386 10 Ilyina, T.V. and Koonin, E.V. (1992) Conserved sequence motifs in the initiator proteins for  
387 rolling circle DNA replication encoded by diverse replicons from eubacteria, eucaryotes and  
388 archaeobacteria. *Nucleic acids research* 20, 3279-3285
- 389 11 Cesar, C.E., *et al.* (2006) A new domain of conjugative relaxase TrwC responsible for  
390 efficient oriT-specific recombination on minimal target sequences. *Molecular microbiology* 62,  
391 984-996
- 392 12 Smith, R.H. and Kotin, R.M. (2000) An adeno-associated virus (AAV) initiator protein, Rep78,  
393 catalyzes the cleavage and ligation of single-stranded AAV ori DNA. *Journal of virology* 74,  
394 3122-3129
- 395 13 Ton-Hoang, B., *et al.* (2012) Structuring the bacterial genome: Y1-transposases associated  
396 with REP-BIME sequences. *Nucleic acids research* 40, 3596-3609
- 397 14 Mendiola, M.V., *et al.* (1994) Differential roles of the transposon termini in IS91  
398 transposition. *Proceedings of the National Academy of Sciences of the United States of America*  
399 91, 1922-1926
- 400 15 Henckaerts, E., *et al.* (2009) Site-specific integration of adeno-associated virus involves  
401 partial duplication of the target locus. *Proceedings of the National Academy of Sciences of the*  
402 *United States of America* 106, 7571-7576
- 403 16 Turan, S. and Bode, J. (2011) Site-specific recombinases: from tag-and-target- to tag-and-  
404 exchange-based genomic modifications. *FASEB journal : official publication of the Federation of*  
405 *American Societies for Experimental Biology* 25, 4088-4107
- 406 17 Geisinger, J.M. and Calos, M.P. (2013) Site-Specific Recombination Using PhiC31 Integrase.  
407 In *Site-directed Insertion of Transgenes* (Renault, S. and Duchateau, P., eds), pp. 211-239,  
408 Springer
- 409 18 Sorrell, D.A. and Kolb, A.F. (2005) Targeted modification of mammalian genomes.  
410 *Biotechnology advances* 23, 431-469
- 411 19 Khaleel, T., *et al.* (2011) A phage protein that binds phiC31 integrase to switch its  
412 directionality. *Molecular microbiology* 80, 1450-1463
- 413 20 Thyagarajan, B., *et al.* (2001) Site-specific genomic integration in mammalian cells mediated  
414 by phage phiC31 integrase. *Molecular and cellular biology* 21, 3926-3934
- 415 21 Chalberg, T.W., *et al.* (2006) Integration specificity of phage phiC31 integrase in the human  
416 genome. *Journal of molecular biology* 357, 28-48

417 22 Keravala, A., *et al.* (2011) Long-term phenotypic correction in factor IX knockout mice by  
418 using PhiC31 integrase-mediated gene therapy. *Gene therapy* 18, 842-848

419 23 Chavez, C.L., *et al.* (2012) Long-term expression of human coagulation factor VIII in a  
420 tolerant mouse model using the phiC31 integrase system. *Human gene therapy* 23, 390-398

421 24 Quenneville, S.P., *et al.* (2007) Dystrophin expression in host muscle following  
422 transplantation of muscle precursor cells modified with the phiC31 integrase. *Gene therapy* 14,  
423 514-522

424 25 Lan, F., *et al.* (2012) Safe genetic modification of cardiac stem cells using a site-specific  
425 integration technique. *Circulation* 126, S20-28

426 26 Thyagarajan, B., *et al.* (2008) Creation of engineered human embryonic stem cell lines using  
427 phiC31 integrase. *Stem cells* 26, 119-126

428 27 Ye, L., *et al.* (2010) Generation of induced pluripotent stem cells using site-specific  
429 integration with phage integrase. *Proceedings of the National Academy of Sciences of the*  
430 *United States of America* 107, 19467-19472

431 28 Karow, M., *et al.* (2011) Site-specific recombinase strategy to create induced pluripotent  
432 stem cells efficiently with plasmid DNA. *Stem cells* 29, 1696-1704

433 29 Farruggio, A.P., *et al.* (2012) Efficient reversal of phiC31 integrase recombination in  
434 mammalian cells. *Biotechnology journal* 7, 1332-1336

435 30 Keravala, A., *et al.* (2006) A diversity of serine phage integrases mediate site-specific  
436 recombination in mammalian cells. *Molecular genetics and genomics : MGG* 276, 135-146

437 31 Olivares, E.C., *et al.* (2001) Phage R4 integrase mediates site-specific integration in human  
438 cells. *Gene* 278, 167-176

439 32 Yang, L., *et al.* (2008) Human cardiovascular progenitor cells develop from a KDR+  
440 embryonic-stem-cell-derived population. *Nature* 453, 524-528

441 33 Draper, O., *et al.* (2005) Site-specific recombinase and integrase activities of a conjugative  
442 relaxase in recipient cells. *Proceedings of the National Academy of Sciences of the United*  
443 *States of America* 102, 16385-16390

444 34 Agundez, L., *et al.* (2012) Site-specific integration of foreign DNA into minimal bacterial and  
445 human target sequences mediated by a conjugative relaxase. *PLoS one* 7, e31047

446 35 Sclimenti, C.R., *et al.* (2001) Directed evolution of a recombinase for improved genomic  
447 integration at a native human sequence. *Nucleic acids research* 29, 5044-5051

448 36 Ehrhardt, A., *et al.* (2006) Molecular analysis of chromosomal rearrangements in  
449 mammalian cells after phiC31-mediated integration. *Human gene therapy* 17, 1077-1094

450 37 Kotin, R.M., *et al.* (1990) Site-specific integration by adeno-associated virus. *Proceedings of*  
451 *the National Academy of Sciences of the United States of America* 87, 2211-2215

452 38 Kotin, R.M., *et al.* (1992) Characterization of a preferred site on human chromosome 19q  
453 for integration of adeno-associated virus DNA by non-homologous recombination. *The EMBO*  
454 *journal* 11, 5071-5078

455 39 Huser, D., *et al.* (2010) Integration preferences of wildtype AAV-2 for consensus rep-binding  
456 sites at numerous loci in the human genome. *PLoS pathogens* 6, e1000985

457 40 Drew, H.R., *et al.* (2007) Increased complexity of wild-type adeno-associated virus-  
458 chromosomal junctions as determined by analysis of unselected cellular genomes. *The Journal*  
459 *of general virology* 88, 1722-1732

460 41 Ward, P. and Walsh, C.E. (2012) Targeted integration of a rAAV vector into the AAVS1  
461 region. *Virology* 433, 356-366

462 42 Hockemeyer, D., *et al.* (2009) Efficient targeting of expressed and silent genes in human  
463 ESCs and iPSCs using zinc-finger nucleases. *Nature biotechnology* 27, 851-857

464 43 Lombardo, A., *et al.* (2011) Site-specific integration and tailoring of cassette design for  
465 sustainable gene transfer. *Nature methods* 8, 861-869

466 44 Liu, J., *et al.* (2009) PhiC31 integrase induces a DNA damage response and chromosomal  
467 rearrangements in human adult fibroblasts. *BMC biotechnology* 9, 31

468 45 Chavez, C.L., *et al.* (2010) Kinetics and longevity of PhiC31 integrase in mouse liver and  
469 cultured cells. *Human gene therapy* 21, 1287-1297

470 46 Schmidt, M., *et al.* (2000) Adeno-associated virus type 2 Rep78 induces apoptosis through  
471 caspase activation independently of p53. *Journal of virology* 74, 9441-9450

472 47 Berthet, C., *et al.* (2005) How adeno-associated virus Rep78 protein arrests cells completely  
473 in S phase. *Proceedings of the National Academy of Sciences of the United States of America*  
474 102, 13634-13639

475 48 Zhang, M.X., *et al.* (2009) TAT-phiC31 integrase mediates DNA recombination in mammalian  
476 cells. *Journal of biotechnology* 142, 107-113

477 49 Seow, Y. and Wood, M.J. (2009) Biological gene delivery vehicles: beyond viral vectors.  
478 *Molecular therapy : the journal of the American Society of Gene Therapy* 17, 767-777

479 50 Robert, M.A., *et al.* (2012) Efficacy and site-specificity of adenoviral vector integration  
480 mediated by the phage PhiC31 integrase. *Human gene therapy methods*

481 51 Ghosh, A., *et al.* (2008) A hybrid vector system expands adeno-associated viral vector  
482 packaging capacity in a transgene-independent manner. *Molecular therapy : the journal of the*  
483 *American Society of Gene Therapy* 16, 124-130

484 52 Saydam, O., *et al.* (2012) Construction and packaging of herpes simplex virus/adeno-  
485 associated virus (HSV/AAV) Hybrid amplicon vectors. *Cold Spring Harbor protocols* 2012, 352-  
486 356

487 53 Sitaraman, V., *et al.* (2011) Computationally designed adeno-associated virus (AAV) Rep 78  
488 is efficiently maintained within an adenovirus vector. *Proceedings of the National Academy of*  
489 *Sciences of the United States of America* 108, 14294-14299

490 54 Asokan, A., *et al.* (2012) The AAV vector toolkit: poised at the clinical crossroads. *Molecular*  
491 *therapy : the journal of the American Society of Gene Therapy* 20, 699-708

492 55 Yu, H., *et al.* (2012) Gene delivery to mitochondria by targeting modified adenoassociated  
493 virus suppresses Leber's hereditary optic neuropathy in a mouse model. *Proceedings of the*  
494 *National Academy of Sciences of the United States of America* 109, E1238-1247

495 56 Fernandez-Gonzalez, E., *et al.* (2011) Transfer of R388 derivatives by a pathogenesis-  
496 associated type IV secretion system into both bacteria and human cells. *Journal of bacteriology*  
497 193, 6257-6265

498 57 Llosa, M., *et al.* (2012) New perspectives into bacterial DNA transfer to human cells. *Trends*  
499 *in microbiology* 20, 355-359

500 58 Cassell, G.D. and Weitzman, M.D. (2004) Characterization of a nuclear localization signal in  
501 the C-terminus of the adeno-associated virus Rep68/78 proteins. *Virology* 327, 206-214

502 59 Agundez, L., *et al.* (2011) Nuclear targeting of a bacterial integrase that mediates site-  
503 specific recombination between bacterial and human target sequences. *Applied and*  
504 *environmental microbiology* 77, 201-210

505 60 Andreas, S., *et al.* (2002) Enhanced efficiency through nuclear localization signal fusion on  
506 phage PhiC31-integrase: activity comparison with Cre and FLPe recombinase in mammalian  
507 cells. *Nucleic acids research* 30, 2299-2306

508 61 Woodard, L.E., *et al.* (2010) Effect of nuclear localization and hydrodynamic delivery-  
509 induced cell division on phiC31 integrase activity. *Gene therapy* 17, 217-226

510 62 Whittaker, G.R. and Helenius, A. (1998) Nuclear import and export of viruses and virus  
511 genomes. *Virology* 246, 1-23

512 63 Gonzalez-Perez, B., *et al.* (2009) Changing the recognition site of a conjugative relaxase by  
513 rational design. *Biotechnology journal* 4, 554-557

514 64 Henckaerts, E. and Linden, R.M. (2010) Adeno-associated virus: a key to the human  
515 genome? *Future virology* 5, 555-574

516 65 Ding, W., *et al.* (2005) Intracellular trafficking of adeno-associated viral vectors. *Gene*  
517 *therapy* 12, 873-880

518 66 Duan, D., *et al.* (1998) Circular intermediates of recombinant adeno-associated virus have  
519 defined structural characteristics responsible for long-term episomal persistence in muscle  
520 tissue. *Journal of virology* 72, 8568-8577

521 67 Daya, S., *et al.* (2009) Adeno-associated virus site-specific integration is mediated by  
522 proteins of the nonhomologous end-joining pathway. *Journal of virology* 83, 11655-11664

523 68 Thorpe, H.M. and Smith, M.C. (1998) In vitro site-specific integration of bacteriophage DNA  
524 catalyzed by a recombinase of the resolvase/invertase family. *Proceedings of the National*  
525 *Academy of Sciences of the United States of America* 95, 5505-5510

526 69 Sakuma, T., *et al.* (2012) Lentiviral vectors: basic to translational. *The Biochemical journal*  
527 443, 603-618

528 70 Bouyac-Bertoia, M., *et al.* (2001) HIV-1 infection requires a functional integrase NLS.  
529 *Molecular cell* 7, 1025-1035

530 71 Engelman, A. and Cherepanov, P. (2012) The structural biology of HIV-1: mechanistic and  
531 therapeutic insights. *Nature reviews. Microbiology* 10, 279-290

532 72 Abremski, K. and Hoess, R. (1984) Bacteriophage P1 site-specific recombination. Purification  
533 and properties of the Cre recombinase protein. *The Journal of biological chemistry* 259, 1509-  
534 1514

535 73 Thyagarajan, B., *et al.* (2000) Mammalian genomes contain active recombinase recognition  
536 sites. *Gene* 244, 47-54

537 74 Groth, A.C., *et al.* (2000) A phage integrase directs efficient site-specific integration in  
538 human cells. *Proceedings of the National Academy of Sciences of the United States of America*  
539 97, 5995-6000

540 75 Feng, D., *et al.* (2006) A 16bp Rep binding element is sufficient for mediating Rep-  
541 dependent integration into AAVS1. *Journal of molecular biology* 358, 38-45

542

543

544



545 **Figures**

546

547

548

549

550

551

552

553

554

555

556

557

558

559

560

561

562

563

564

565

566

567

568

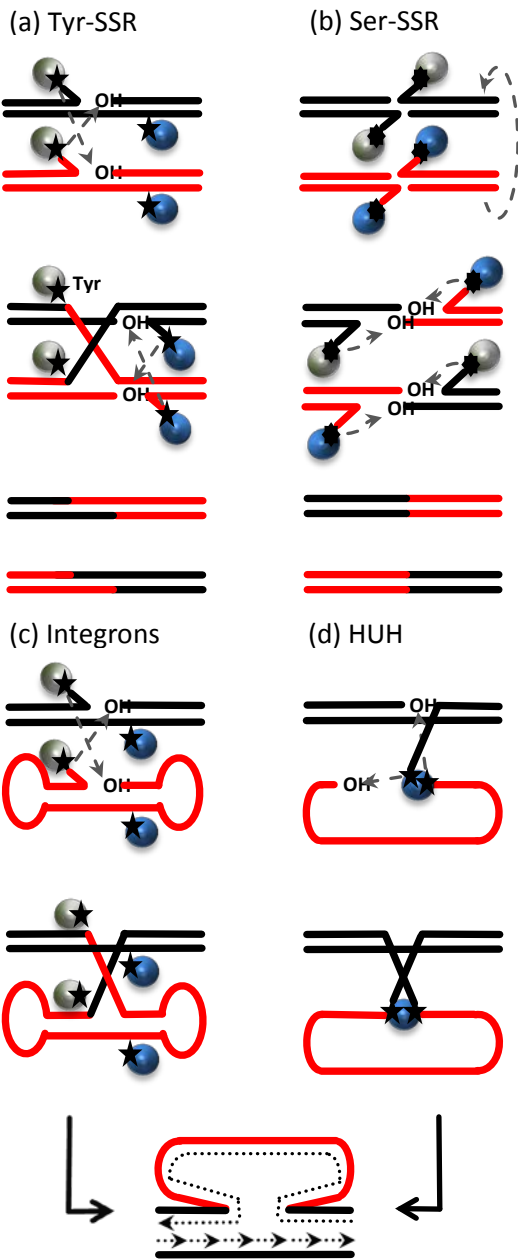
569

570

571

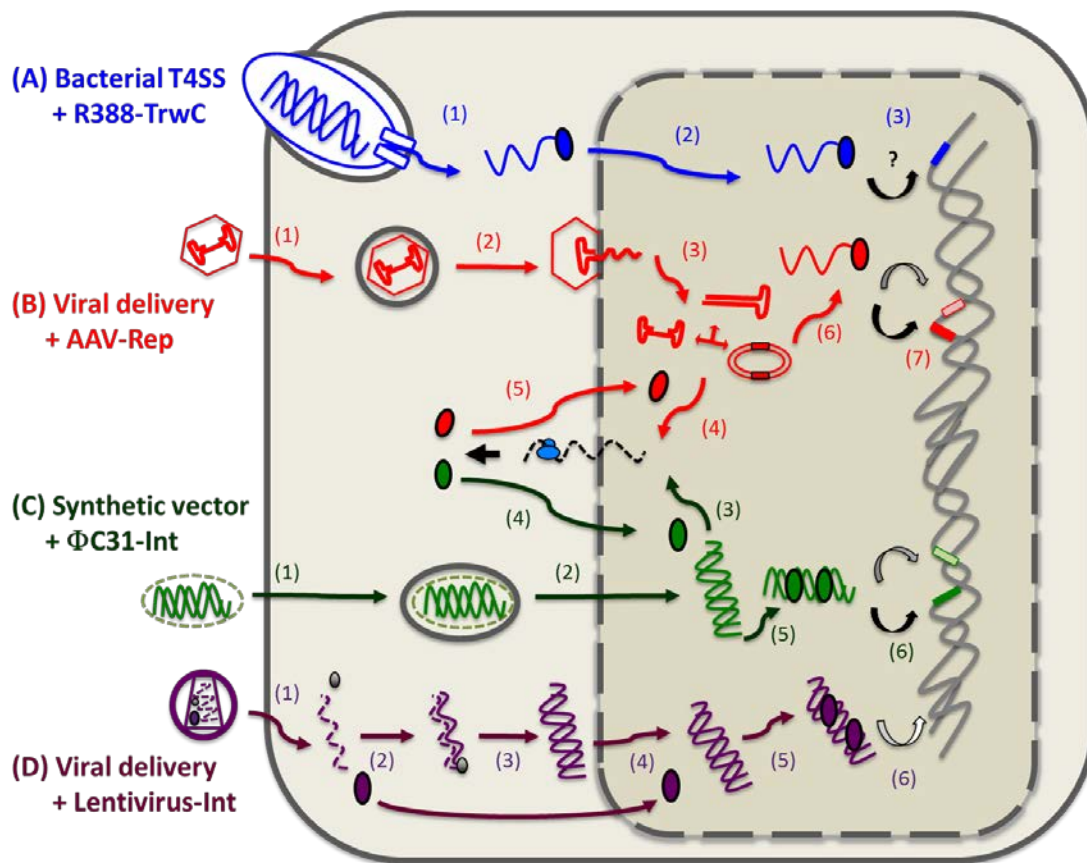
572

573



**Figure 1. Comparison of recombination reactions catalyzed by SSR belonging to different families.**

A) Tyr-SSR mediate conservative recombination by sequential action of two pairs of monomers, each catalyzing a strand-transfer reaction. The first crossover leads to the formation of a holiday junction (HJ). B) Ser-SSR mediate conservative recombination by concerted cleavage of four monomers, followed by a conformational switch of two of them, and both strand exchanges. C) In the case of integrons, Int is an atypical Tyr-SSR which recognizes a folded single-stranded substrate and catalyzes its integration through a single crossover which leads to the formation of an "atypical holiday junction", aHJ [8]. This intermediate is resolved by replication. D) Proteins of the HUH family of single-strand transferases such as R388-TrwC have been shown to catalyze also the integration of single-stranded substrates through two transesterification steps which can be catalyzed by the two catalytic Tyr residues of the protein. The intermediate would be resolved by replication as in C).



574

575

576 **Figure 2. Different pathways for DNA delivery and gene targeting for human genomic**  
 577 **modification.** Representation of the different tools described in the text, which are under  
 578 investigation or already being used for gene therapy purposes. **(A) Bacterial T4SS-**  
 579 **TrwC:** (1) The human pathogen *Bartonella henselae* transfers a plasmid which codes for the  
 580 gene of interest together with the R388 conjugative relaxase TrwC. TrwC (blue sphere)  
 581 covalently attached to the single stranded DNA (ssDNA) is secreted via the bacterial T4SS [56].  
 582 (2) Once in the cytoplasm TrwC-ssDNA complex has to reach the nucleus; TrwC can be targeted  
 583 to the nucleus [59]. (3) It is expected that the integrase catalyses a site-specific integration  
 584 reaction (curved arrow in black) of the attached DNA into either Hu5 or HuX sites (represented  
 585 by a blue square), both previously shown to be targets for TrwC integrase in *in vitro* assays  
 586 [34], but this activity has yet to be proven *in vivo*. **(B) Viral delivery + AAV-Rep:** (1) AAV virus  
 587 binds to the cell using different surface glycans as receptors and specific coreceptors for  
 588 efficient infection [64]. (2) AAV is internalized by endocytosis via clathrin-coated vesicles,  
 589 followed by escape from the vesicles [65]. It is likely that AAV injects its genome into the  
 590 nucleus. (3) The ssDNA genome is replicated to dsDNA, required for gene expression. During  
 591 replication intermediates such as circular double strand molecules are presumably assembled,

592 allowing episomal persistence to the viral genome [66]. (4) RNA synthesis (dashed black line)  
593 and subsequent translation (ribosome represented in light blue) is necessary to provide Rep  
594 integrase (red sphere). (5) An NLS targets Rep to the nucleus [58]. (6) The integrase targets its  
595 viral origin (presumably ssDNA [67]) in order to form protein-DNA complexes. (7) Site-specific  
596 integration (curved arrow in black) mediated by Rep occurs into *AAVS1* (red square, [15]).  
597 Other pseudo-sites (light red square and curved arrow in grey) have also been reported to act  
598 as targets with lower efficiency [39]. **(C) Synthetic vector +  $\phi$ C31-Int:** (1) DNA coding for the  
599 transgene and  $\phi$ C31 Int can be transfected directly to the target cell or introduced with  
600 synthetic vectors such as polymers or liposomes which interact with cellular receptors to  
601 achieve internalization through endocytosis. (2) After escaping from the vesicles, the vector is  
602 disassembled and the dsDNA (double line in green) reaches the nucleus. (3) This is followed by  
603 transcription and translation of the DNA. (4)  $\phi$ C31 Int (green sphere) is expressed in the  
604 cytoplasm and the protein has to find its way towards the nucleus. (5) Once inside, the  
605 integrase binds its target dsDNA and (6) catalyzes its site-specific integration [68] (curved  
606 arrow in black) into specific hotspots (green square), as well as into many pseudo-sites (curved  
607 arrow in grey and light green square) in the human genome [21]. **(D) Viral delivery +**  
608 **Lentivirus-Int:** (1) The lentivirus RNA genome (dashed purple line) is contained in the capsid  
609 (purple trapezoid) together with the reverse transcriptase (black spheres) and the viral  
610 integrase (purple sphere). Upon cell entry through binding to receptors and coreceptors,  
611 capsid proteins are uncoated, resulting in the release of the RNA genome together with the  
612 viral proteins into the cytoplasm [69]. (2) Reverse transcription takes place, giving rise to an  
613 RNA-DNA hybrid structure, which is subsequently converted to dsDNA (3) (double purple line).  
614 The dsDNA enters the nucleus, and the integrase led by an NLS [70] is imported to the  
615 nucleus (4). (5) The integrase binds the viral origins within the dsDNA and (6) catalyzes random  
616 integration (curved arrow in white) in the human genome [71].

617 **Table 1. A comparison of the most relevant SSR discussed in this work**

SSR	Family <sup>1</sup>	Source	Host	Biological role	Stable integration <sup>2</sup>
Cre	Tyr-SSR	P1 phage	<i>Escherichia coli</i>	resolution of phage genome multimers	NO
Int- $\Phi$ C31	Ser-SSR	$\Phi$ C31 phage	<i>Streptomyces lividans</i>	Integration and excision of phage genome	YES <sup>3</sup>
AAV-Rep	HUH	Adeno-associated virus	<i>Homo sapiens</i>	Replication and Integration of phage genome	YES <sup>4</sup>
TrwC	HUH	R388 Plasmid	<i>Escherichia coli</i>	Processing and Leading DNA during bacterial conjugation	YES <sup>5</sup>

618

619 <sup>1</sup> See text for details and Figure 1 for description of SSrec reaction mechanisms.

620 <sup>2</sup> Ability of the SSR to catalyze integration of foreign DNA on a target site present in a different  
621 genome, without catalyzing its excision subsequently.

622 <sup>3</sup> Int requires extra factors to catalyze excision.

623 <sup>4</sup> The rescue of AAV proviruses is thought to be mediated by the initiation of replication of  
624 integrated viral genomes. For this the cellular replication machinery and helper virus co-factors  
625 are required.

626 <sup>5</sup> TrwC-mediated reaction is reversible, but integration into the human targets could be  
627 irreversible (see text for details).

628 **Table 2. SSR target sites in their host genomes and in the human genome**

SSR	Natural target		Targets in human genome		Refs
			<i>bona fide</i>	<i>pseudo-sites</i>	
Cre	<i>loxP</i>	ATAACTTCGTATAGCATACATTATACGAAGTTAT	none	4 $\psi$ lox	[72], [73]
Int- $\Phi$ C31	<i>attP</i> <i>attB</i>	CCCCAACTGGGGTAACCTTTGAGTTCTCTCAGTTGGGGG GTGCCAGGGCGTGCCCTGGGCTCCCCGGGCGCG	none	101 $\psi$ attP	[74], [21]
AAV-Rep	RBE <sub>itr</sub> RBE <sub>p5</sub>	GAGCGAGCGAGCGCGC GCCCGAGTGAGCACGC	AAVS1	AAVS2, AAVS3	[75], [38], [39]
TrwC	<i>nic</i>	GGTGCGTATTGTCTATA	none	2	[11], [59]

629