

1 **Abrupt transitions in the NAO control of explosive North**  
2 **Atlantic cyclone development**

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5  
6 **Running Title:** Non-stationary variability of North Atlantic bombs

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24 **Abstract**

25 Explosive cyclones are intense extra-tropical low pressure systems featuring large deepening rates. In the Euro-  
26 Atlantic sector, they are a major source of life-threatening weather impacts due to their associated strong wind  
27 gusts, heavy precipitation and storm surges. The wintertime variability of the North Atlantic cyclonic activity is  
28 primarily modulated by the North Atlantic Oscillation (NAO). In this study, we investigate the interannual and  
29 multi-decadal variability of explosive North Atlantic cyclones using track density data from two reanalysis  
30 datasets (NCEP and ERA-40) and a control simulation of an atmosphere/ocean coupled General Circulation  
31 Model (GCM - ECHAM5/MPIOM1). The leading interannual and multi-decadal modes of variability of  
32 explosive cyclone track density are characterized by a strengthening/weakening pattern between Newfoundland  
33 and Iceland, which is mainly modulated by the NAO at both timescales. However, the NAO control of  
34 interannual cyclone variability is not stationary in time and abruptly fluctuates during periods of 20-25 years  
35 long both in NCEP and ECHAM5/MPIOM1. These transitions are accompanied by structural changes in the  
36 leading mode of explosive cyclone variability, and by decreased/enhanced baroclinicity over the sub-polar/sub-  
37 tropical North Atlantic. The influence of the ocean is apparently important for both the occurrence and  
38 persistence of such anomalous periods. In the GCM, the Atlantic Meridional Overturning Circulation (AMOC)  
39 appears to influence the large-scale baroclinicity and explosive cyclone development over the North Atlantic.  
40 These results permit a better understanding of explosive cyclogenesis variability at different climatic timescales  
41 and might help to improve predictions of these hazardous events.

42

43 **Keywords:** extra-tropical cyclones; explosive cyclogenesis; NAO; jet stream; ocean variability; AMOC.

44

## 45 **1. Introduction**

46 The wintertime variability in the mid-latitudes of both hemispheres is dominated by the occurrence of sub-  
47 weekly baroclinic disturbances (Blackmon et al. 1977; Lau 1978). Such disturbances typically grow in the  
48 vicinity of the planetary stationary troughs, where the gradients of temperature and humidity are maximum  
49 (Hoskins and Valdes 1990), and terminate near the regions of stationary ridges (Lau 1988). In the northern  
50 hemisphere, these areas (so called ‘storm tracks’) extend from the Eastern Asian and North American coast lines  
51 towards Western North America and Europe, respectively (Hoskins and Valdes 1990). In both oceanic basins,  
52 the storm track maximum lies northeast of the upper-level polar jet stream core, which is semi-permanently  
53 located over Japan and eastern North America, respectively (Chang and Orlanski 1993; Woollings et al. 2010).  
54 Such a configuration is consistent with surface baroclinic disturbances crossing the jet core from the right-  
55 entrance toward the left-exit regions, where strong upward motion of air fosters further cyclone amplification  
56 (Uccellini 1990; Rivière and Joly 2006). Additionally, upper-level mobile troughs (Bosart and Lin 1984;  
57 Sanders 1986; Reader and Moore 1995; Gyakum and Danielson 2000), and surface sensible and latent heat  
58 fluxes (Fosdick and Smith 1991; Davis and Emanuel 1988) also contribute to extra-tropical cyclone  
59 intensification. The characteristics of these dynamical precursors and their relative vertical position (Hoskins et  
60 al. 1985) typically determine the deepening rate (Pettersen and Smebye 1971; Fink et al. 2012) and life cycle of  
61 cyclones (Bjerknes and Solberg 1922; Shapiro and Keyser 1990). In the literature, surface cyclones featuring  
62 strong intensification rates (equal or above  $24 \text{ hPa day}^{-1}$  at  $60^\circ\text{N}$ , or equivalent) are denominated ‘explosive  
63 cyclones’ or ‘bombs’ (Sanders and Gyakum 1980). These explosive cyclones are associated with strong impacts  
64 like wind gusts, heavy precipitation and storm surge events (Bosart and Lin 1984; Wernli et al. 2002).

65 Over the North Atlantic, the most prominent pattern of climate variability is the North Atlantic Oscillation  
66 (hereafter NAO; cf. Wanner et al. 2001). It represents a redistribution of air masses between sub-tropical and  
67 sub-polar latitudes, and modulates the strength and latitudinal location of the westerly flow (Marshall et al.  
68 2001). Under its positive phase, the polar jet stream is accelerated and shifted to the northeast compared with its  
69 ‘average’ position. Under the negative phase, the jet stream is decelerated, constrained upstream in the North  
70 Atlantic and shifted to the south. The changed mean flow induces a latitudinal displacement of storm trajectories  
71 (Hurrell et al. 2003; Trigo 2006; Santos et al. 2013), and modulates their intensification rates (Gómara et al.  
72 2014b). In this regard, the positive NAO phase is associated with stronger and more extensive baroclinicity over  
73 the North Atlantic than the negative phase. As a result, the number of intense cyclones over the North Atlantic  
74 increases (decreases) under NAO+ (NAO-) (Pinto et al. 2009).

75 The synoptic temporal evolution of the NAO seems to be dominated by stochastic processes (Feldstein  
76 2003), such as Rossby Wave-Breaking (RWB; Benedict et al. 2004; Woollings et al. 2008; Strong and  
77 Magnusdottir 2008). RWB appears to control explosive cyclogenesis (Hanley and Caballero 2012; Gómara et al.  
78 2014a; Messori and Caballero 2015) and cyclone families over Western Europe (Pinto et al. 2014) at synoptic  
79 timescales, by constraining and accelerating the jet. It has been debated in the literature whether the lower-  
80 frequency NAO variability (e.g., interannual, multi-decadal; James and James 1989; Wunsch 1999; Schneider et  
81 al. 2003; Raible et al. 2005) arises from pure climate noise (Stephenson et al. 2000), or is forced by external  
82 factors such as: (i) the interannual and lower frequency ocean variability in the North Atlantic (Raible et al.  
83 2001; Visbeck et al. 2003; Rodríguez-Fonseca et al. 2006; Chen et al. 2015; Sun et al. 2015), tropical Pacific  
84 (Trenberth et al. 1998; Müller et al. 2008; Zhang et al. 2015) and the Indian ocean (Hoerling et al. 2001; 2004);  
85 (ii) the stratosphere (Baldwin and Dunkerton 2001; Reichler et al. 2012); (iii) solar variability (Shindell et al.  
86 2001); and (iv) the mid-latitude westerly flow from the North Pacific (Honda et al. 2001; Pinto et al. 2011;  
87 Drouard et al. 2015), among others. Additionally, the role of anthropogenic climate change is another factor to  
88 consider. For example, there is some evidence of an intensification and eastward shift of the NAO dipole over  
89 the last decades of the 20<sup>th</sup> century (Hurrell et al. 2003; Jung et al. 2003). However, it is still unclear whether  
90 such evolution is primarily due to global warming (Ulbrich and Christoph 1999; Osborn et al. 1999; Bader et al.  
91 2011), internal decadal variability of the NAO (Wang et al. 2012; Raible et al. 2014; Woollings et al. 2015) or to  
92 the storm track itself (Rogers 1997; Lu and Greatbach 2002).

93 Previous studies have characterized multi-decadal changes in the dominant teleconnection patterns, such as  
94 NAO, and their associated impacts, e.g., on European precipitation (Raible et al. 2004; Vicente-Serrano and  
95 López-Moreno 2008), on the North Atlantic storm track (Luksch et al. 2005, Pinto et al. 2011), etc. Such low-  
96 frequency changes often lead to active/inactive teleconnections between remote regions (e.g., between ENSO  
97 and European precipitation; López-Parages and Rodríguez-Fonseca 2012), thus providing enhanced forecast  
98 skill of relevant phenomena during specific periods of time (cf. Rodríguez-Fonseca et al. 2009; Losada et al.  
99 2012).

100 This work primarily investigates the interannual variability of explosive cyclogenesis in the North Atlantic  
101 and its main potential drivers. Our focus is on the impact of the dominant modes of large-scale atmospheric  
102 variability (fundamentally NAO) and on the possible existence of different regimes of behavior as these modes  
103 and their associated teleconnections evolve in multi-decadal timescales. In addition, the multi-decadal  
104 variability of explosive cyclone tracks is also assessed. To address these questions, we use cyclone track density

105 fields obtained from reanalyses and a long coupled model simulation with an objective cyclone tracking  
106 algorithm.

107 The article is organized as follows. Sections 2 and 3 present the data and methods used in this study.  
108 Section 4 describes the explosive cyclone variability and its large-scale driving in the reanalysis data sets, and  
109 section 5 extends this analysis using a long control simulation from a coupled model. The article concludes with  
110 a short summary of the main results.

111

## 112 **2. Data**

113 Both reanalysis and GCM data are considered for this study. The first reanalysis dataset is provided by the  
114 *National Centers for Environmental Prediction* (NCEP; Kalnay et al. 1996). The data used has a 6-hr resolution  
115 and extends from January 1948 to February 2012. The spectral spatial resolution is T62 (approximately  
116  $2.5^\circ \times 2.5^\circ$ ) and extends from the surface up to 3 hPa (28 vertical levels). Additionally, data from the *European*  
117 *Centre for Medium-Range Weather Forecasts* (ECMWF) ERA-40 (Uppala et al. 2005) is considered. The data  
118 starts in September 1957, ends in March 2002, and is available 6-hourly with a spectral spatial resolution of  
119 T159 ( $\sim 1.125^\circ \times 1.125^\circ$ ). The 60 vertical levels reach 0.1 hPa.

120 The sea surface temperature (SST) and sea ice data from the *Met Office Hadley Centre* (HadISST) are used.  
121 This monthly global dataset starts in 1870 and has a  $1^\circ \times 1^\circ$  resolution (Rayner et al. 2003). The ocean heat  
122 content is estimated from the integrated temperature from 0 to 300 m from the Simple Ocean Data Assimilation  
123 (SODA) reanalysis (Carton and Giese 2008). The monthly fields have a horizontal resolution of  $0.5^\circ \times 0.5^\circ$  and  
124 span 1950-2009.

125 We consider a coupled 505-yr control simulation with pre-industrial external forcing conditions (from year  
126 1860) performed with the ECHAM5/MPIOM1 GCM (hereafter ECHAM5; Roeckner et al. 2003; Jungclaus et  
127 al. 2006). The atmospheric (oceanic) model has a spatial resolution of T63 (T31), with 31 (40) vertical levels  
128 from the surface up (down) to 10 hPa (5720 m). Oceanic and atmospheric models are coupled without further  
129 flux adjustments. The tropical SST variability is found realistic (Jungclaus et al. 2006). The storm track and  
130 NAO variability have also been evaluated in previous studies (e.g., Bengtsson et al. 2006; Pinto et al. 2011).

131

## 132 **3. Methods**

### 133 **Climate indices**

134 Climate indices are based on winter seasonal averages (DJF) using anomalies from the long term mean.  
 135 When not mentioned explicitly, a 31-point Lanczos filter with 11-yr. cut-off frequency is applied to the  
 136 ECHAM5 data to separate interannual and multi-decadal timescales of variability. Filtering is not applied to  
 137 reanalysis data due to the constraints posed by the short time series. Still, most of the spectral power density is  
 138 concentrated within the band of 1 to 11-yr (cf. Section 4). The following indices are considered:

- 139 • The NAO index (Figs. S1a-c), defined as the leading EOF of 500-hPa geopotential height (z500) winter  
 140 seasonal anomalies over the area (20-80°N, 80°W-40°E; Barnston and Livezey 1987).
- 141 • The Arctic Oscillation (AO, Figs. S1d-f) and Pacific North American Pattern (PNA, Figs. S1g-i), defined as  
 142 the two leading EOFs of z500 winter hemispheric anomalies north of 20°N (NOAA CPC definition).
- 143 • The Niño-34 index (Figs. S2a-c), based on winter averaged high-pass filtered (1-13 yr.) SST anomalies over  
 144 the area (5°S-5°N, 170°-120°W; Trenberth 1997).
- 145 • The Atlantic Multi-decadal Oscillation (AMO, Figs. S2d-e) index, defined as the leading EOF of SST  
 146 winter seasonal low-pass filtered anomalies (>13-yr; detrended) over the area (0-70°N, 95°W-30°E; Mohino  
 147 et al. 2011). For the GCM, the AMO index is calculated as the winter seasonal low-pass filtered SST  
 148 anomalies over the same area (Fig. S2f; Knight et al. 2005), due to the difficulty of the model in  
 149 reproducing a realistic EOF1 pattern.
- 150 • The Interdecadal Pacific Oscillation (IPO, Figs. S2g-i) index, defined as the leading EOF of SST winter  
 151 seasonal low-pass filtered anomalies (>13-yr; detrended) over the area (45°S-80°N, 120°E-95°W;  
 152 Villamayor and Mohino 2015).
- 153 • The Atlantic Meridional Overturning Circulation (AMOC, Fig. S2j) index, defined as the maximum  
 154 streamfunction of the zonally integrated meridional overturning in the Atlantic Ocean (30°S-60°N, 85°W-  
 155 20°E; 500 - 5700 m depth). A low pass filter is applied to the meridional velocity data (>13-yr) to retain the  
 156 long term variability. Velocity data above 500 m depth are disregarded to avoid wind driven effects on the  
 157 circulation (Zhang and Wang 2013).

158 All indices are normalized - divided by their standard deviation. Additionally, the upper-level (500-300 hPa) and  
 159 lower-level (850-700 hPa) maximum Eady Growth Rate ( $bi$ ; Hoskins and Valdes 1990) is used as indicator of  
 160 the large-scale baroclinicity and potential wave growth (1):

161

$$162 \quad bi = 0.31 \left( \frac{f}{N} \right) \left| \frac{dv}{dz} \right|, \quad (1)$$

163

164 where  $f$  is the Coriolis parameter,  $N$  the static stability,  $z$  the vertical coordinate and  $v$  the horizontal wind vector.

165

## 166 **Cyclone Statistics**

167 A cyclone identification and tracking scheme (Murray and Simmonds 1991), adapted and validated for the  
168 Northern Hemisphere storm track (Pinto et al. 2005), is applied to 6-hourly winter (DJF) MSLP data. Cyclones  
169 are identified using the Laplacian of MSLP, an indicator of their geostrophic relative vorticity. Derived cyclone  
170 statistics compare well with other tracking schemes (Raible et al. 2008). Systems are selected based on the  
171 following criteria (Pinto et al. 2009): (i) cyclone lifetime  $\geq 24$  h; (ii) minimum MSLP ( $p$ )  $< 1000$  hPa; (iii) Max.  
172  $(\nabla^2 p) > 0.6$  hPa deg.lat.<sup>-2</sup>; and (iv) Max.  $d/dt \nabla^2 p \geq 0.3$  hPa deg.lat.<sup>-2</sup> day<sup>-1</sup>. The maximum normalized deepening  
173 rate ( $NDR$ ) is used as a measure of cyclone's intensity (2):

174

$$175 \quad NDR = \frac{\Delta P \sin 60^\circ}{24 \sin \Phi}, \quad (2)$$

176

177 where  $\Delta P$  is the pressure fall (hPa) and  $\Phi$  the mean latitude of the cyclone's surface centre over a period of 24  
178 hours. Depending on their maximum  $NDR$ , cyclones are separated into two 2 different subsets: (i) explosive  
179 cyclones (EC;  $NDR \geq 1$  Bergeron); and (ii) non explosive cyclones (NoEC;  $NDR < 1$  Bergeron). Cyclone track-  
180 densities are constructed by counting the number of cyclones intercepting a circle of radius 7.5 deg.lat. for each  
181 grid point over time, providing combined information of cyclone numbers and track lengths.

182

## 183 **Statistics Tests**

184 Different methods are applied for statistical hypothesis testing (von Storch and Zwiers 1999): (i) a two tailed  
185 t test that accounts for autocorrelation of the series (Bretherton et al. 1999); (ii) a t test for a difference in mean;  
186 (iii) a Mann-Whitney U test; and (iv) a Fisher's test for a difference in variance. The confidence intervals chosen  
187 are 95% or 99%.

188

## 189 **4. Explosive cyclone variability in the reanalysis data sets**

### 190 **A) Interannual variability of explosive cyclone tracks**

191 In this section an analysis of explosive cyclone variability is presented for both reanalysis datasets. We focus  
192 on NCEP reanalysis because it spans a longer time period, but ERA-40 results are also discussed where  
193 appropriate. The mean track densities of explosive (EC) and non-explosive (NoEC) cyclones are shown in Fig.

194 1a (NCEP 1948-2011). For EC, a maximum extending from Newfoundland to Iceland is identified. This  
195 maximum is consistent with cyclogenesis over the region of strongest baroclinicity near the Gulf Stream  
196 (Sanders and Gyakum 1980; Hoskins and Valdes 1990) and subsequent intensification of the storms as they  
197 cross the jet (Uccellini 1990; Gilet et al. 2009; Rivière et al. 2013). For NoEC, the area of maximum density is  
198 shifted northeastward, extending between southeast Greenland, northwest of the British Isles and Scandinavia.

199 In order to characterize the variability of winter cyclone activity, the leading Empirical Orthogonal Function  
200 (EOF) of cyclone track density anomalies is determined for the entire North Atlantic [20°-80°N; 90°W-40°E]  
201 using NCEP reanalysis (Fig. 1b). This analysis includes all cyclones, i.e., explosive and non-explosive systems.  
202 The EOF pattern is hereafter labeled with the subscript  $T$  (total). The leading pattern ( $All1_T$ ) represents a  
203 latitudinal shift of cyclone trajectories between Iceland and Western Europe. The leading EOF of 250-hPa zonal  
204 wind anomalies ( $Jet1_T$ ) and the climatological jet are also overlaid in Fig. 1b. The  $Jet1_T$  pattern is consistent with  
205 the NAO variability, i.e., with a northward (southward) latitudinal displacement and intensification (weakening)  
206 of the extra-tropical jet during the positive (negative) phase. The spatial correspondence between  $All1_T$  and  $Jet1_T$   
207 latitudinal nodes is evident in Fig. 1b, and is consistent with the steering of cyclone trajectories by the NAO  
208 (e.g., Hurrell et al. 2003; Pinto and Raible 2012). The stronger track density center of action over Iceland (Fig.  
209 1b) suggests increased cyclone activity over the sub-polar region in agreement with a positive NAO phase  
210 (Pinto et al. 2009).

211 The leading EOF of explosive cyclone tracks ( $EC1_T$ ) is compared to  $Jet1_T$  and the jet core in Fig. 1c. The  
212  $EC1_T$  pattern looks also related to the  $Jet1_T$  anomalies, but in this case the jet and NAO variability appear to  
213 intensify/weaken explosive cyclone activity along their climatological trajectories rather than change the  
214 cyclone pathways. This pattern is consistent with the NAO-induced changes in North Atlantic baroclinicity,  
215 which is stronger and broader under the NAO positive phase (Pinto et al. 2009). In addition, EC forming over  
216 Eastern North America typically cross the upper-level jet from the right-entrance to the left-exit regions, and  
217 explosively amplify (Uccellini 1990; Rivière et al. 2013). In contrast, a weaker, less extended and southerly  
218 displaced jet is obviously less efficient for explosive intensification.

219 For completeness, the second leading EOFs of all cyclones ( $All2_T$ ) and explosive cyclones ( $EC2_T$ ) are  
220 provided as supplementary material in Figs. S3a-b.  $All2_T$  represents a north to south dipole of cyclone  
221 trajectories between northern Europe and the Mediterranean (Fig. S3a).  $EC2_T$  reveals a different pattern, with an  
222 intensification/extension of the explosive tracks between the east coast of the US and the British Isles, and a  
223 weakening northeast of Iceland (Fig. S3b).  $EC2_T$  is consistent with  $Jet2_T$  (250-hpa zonal wind EOF2), a large-



224 scale configuration known to foster the occurrence of explosive cyclogenesis and storm families over Western  
225 and Central Europe (Fig. S3b; Hanley and Caballero 2012; Pinto et al. 2014).

226  $All1_T/EC1_T$  are significantly (95% confidence interval) correlated with  $Jet1_T$  (0.92/0.72), the NAO  
227 (0.91/0.78) and the AO (0.78/0.63) for NCEP (Table 1). Likewise,  $All2_T$  and  $EC2_T$  also significantly correlate  
228 with  $Jet2_T$ . Most of the spectral power for these modes is concentrated within the range of periods 1-11 yr. (Fig.  
229 S3c for  $EC1$ , not shown for the others). All the modes are well separated (Fig. S2d; North et al. 1982).

230 For comparison, the mean track density pattern and corresponding EOF modes of  $ALL1_T/ALL2_T$ ,  
231  $EC1_T/EC2_T$ , and  $Jet1_T/Jet2_T$  are also calculated for 1957-2001 using ERA-40 (Fig. S4). The ERA-40 track  
232 density pattern (Fig. S4a) is very similar to NCEP, but with a greater total number of cyclone counts. This may  
233 be due to the higher horizontal resolution of ERA-40 (Pinto et al. 2005). The EOFs are also similar to NCEP  
234 (Fig. S4b-e) and represent the same variability (Table 1). The modes are well separated (Fig. S3d). In general  
235 terms, the linear correlation results between  $EC1_T$  and  $Jet1_T$  agree well in both reanalysis (Table 1), with slightly  
236 lower values for NCEP (ERA-40: 0.85; NCEP: 0.72). However, if the same time period is considered, the values  
237 are almost identical (NCEP: 0.83). Thus, in the next section this apparent time-evolving correlation is analyzed  
238 in more detail.

239

## 240 **B) Analysis of stationarity**

241 In order to assess the stationarity of the relation between the NAO and explosive cyclogenesis, 21-year  
242 running correlations between the leading Principal Components (PCs) of  $EC1_T$  and  $NAO_T/Jet1_T$  are shown in  
243 Fig. 1d for NCEP and ERA-40. The analysis suggests a non-stationary link between the  $NAO_T/Jet1_T$  variability  
244 and  $EC1_T$  in NCEP. Specifically, the  $NAO_T/Jet1_T$  influence on  $EC1_T$  is particularly weak at the beginning of the  
245 period, increases abruptly in the 60s and remains large to the late 90s (solid blue/red curves in Fig. 1d). In ERA-  
246 40 (Fig. 1d; dashed blue/red curves), the time series are too short to detect any change in the correlation.  
247 Nevertheless, the ERA-40 results are consistent with NCEP between the 60s and 90s.

248 In order to analyze possible dynamical differences between the two NCEP periods, EOFs are calculated  
249 independently for winters 1948-1962 (labeled as 50s; e.g.  $EC1_{50s}/Jet1_{50s}$ ) and 1963-1997 (labeled as 80s). All  
250 EOFs are standardized with respect to the PC for the full period. Figs. 2a-b show that the leading mode of  
251 explosive cyclone track density is sensitive to the choice of period. The 1948-1962 EOF1 for explosive cyclones  
252 ( $EC1_{50s}$ ; Fig. 2a) is constrained over the western North Atlantic, showing a strengthening/weakening of the  
253 cyclone tracks between Eastern North America and southern Greenland. The structure of the associated  $Jet1_{50s}$

254 depicts a latitudinal jet variability also constrained to the westernmost part of the basin (Fig. 2a). In contrast, the  
255 spatial structure of  $EC1_{80s}$  is similar to  $EC1_T$  (Fig. 2b) and explains more variability (40 vs. 36%). The  $EC1_{80s}$   
256 pattern is centered over the northeastern North Atlantic, with a maximum over Iceland.

257 For the jet variability ( $Jet1_{80s}$ ; Fig. 2b), both latitudinal centers of action are stronger than for  $Jet1_{50s}$  (Fig. 2a)  
258 and span the entire North Atlantic basin, explaining a much larger fraction of variance (56 vs. 32%). The z500  
259 anomalies of each period are regressed on the corresponding EC PCs (Figs. 2c-d, respectively). A pattern  
260 consisting of three centers of action over the North Atlantic is found for the first period (1948-1962), with  
261 positive anomalies over the western sub-tropical North Atlantic and Western Europe, and a negative anomaly  
262 south-west of Greenland. In contrast, the second period (1963-1997) features a very clear NAO/AO hemispheric  
263 structure, with significant seesaw anomalies spanning over the whole North Atlantic.

264 Differences in the NAO patterns calculated independently for each period ( $NAO_{50s}$  vs.  $NAO_{80s}$ , Figs. 2e-f)  
265 are consistent with differences in the regressed z500 patterns (Moore et al. 2013). In particular, the sub-tropical  
266 NAO center of action appears weaker over the central North Atlantic in the first period, and two separated  
267 maxima are found over Eastern North America and Europe (Fig. 2e). The positive maximum over Europe may  
268 hinder the extension of cyclone tracks over the eastern North Atlantic in  $EC1_{50s}$  (Fig. 2a). The spatial correlation  
269 between  $NAO_{50s}$  and  $NAO_T$  is only 0.50. These results are consistent with Raible et al. (2014), who provided  
270 evidence based on the 20CR reanalysis that the z500 teleconnectivity between Baffin Island and the western  
271 North Atlantic is dominant for the period 1940-1969 (cf. their Fig. 5b). For the second period, a very strong,  
272 extensive, zonally symmetric and eastward displaced NAO is observed (Fig. 2f), which is consistent with  
273 enhanced cyclone activity over the eastern sub-polar North Atlantic (Fig. 2b). This  $NAO_{80s}$  pattern shows a  
274 much higher spatial correlation with the total period  $NAO_T$  (0.86).

275 The winter SST anomalies of each period are also regressed on the EC PCs (Figs. 2g-h). A significant  
276 cooling over the sub-tropical and sub-polar North Atlantic is associated with the positive phase of  $EC1_{80s}$  (Fig.  
277 2h), and with warming in the central part of the basin. This Atlantic SST tripole pattern is typical of the positive  
278 phase of the NAO, whose subtropical part is found to have predictive skill (Czaja and Frankignoul 1999;  
279 Rodríguez-Fonseca et al. 2006; Losada et al. 2007). The regressed SST pattern has the same sign over the winter  
280 equatorial Pacific and sub-tropical north Atlantic, as is well described in the literature (Fig. 2h; Wang 2002;  
281 Sung et al. 2013). During the 50's period, a stronger connection with the North Pacific SSTs is evident (Fig. 2g),  
282 which is consistent with previous analyses (Raible et al. 2001; 2004). Although based on few SST observations,

283 it is striking that the positive relationship between the equatorial Pacific and the sub-tropical North Atlantic  
284 anomalies disappears during the 50's period (Fig. 2g), coinciding with the decoupling between NAO and  $EC1_{50s}$ .  
285

### 286 **C) Impact of multi-decadal variability**

287 The possible influence of the lower-frequency multi-decadal variability on the connection between explosive  
288 cyclogenesis and the NAO is investigated based on the inter-decadal evolution of the jet and maximum Eady  
289 Growth Rate. The latter is an indicator of baroclinicity and potential cyclone growth (Hoskins and Valdes 1990).  
290 The climatological values of the jet intensity ( $u_{250}$ ) and Maximum Eady Growth Rate in the upper (500-300  
291 hPa;  $bi_{400}$ ) and lower (850-700 hPa;  $bi_{775}$ ) troposphere are presented in Figs. 3a and S5a (NCEP). Both  $bi_{400}$   
292 and  $bi_{775}$  overlap well with  $u_{250}$  (particularly  $bi_{400}$ ). The three variables are thus good estimators of the  
293 vertical environmental wind shear and potential growth of cyclones (e.g., Pinto et al. 2009). Differences  
294 between the two periods (1948-1962 vs. 1963-1997) are shown in Fig. 3b. The early period is characterized by a  
295 weaker jet and decreased baroclinicity ( $bi_{400}$ ) over large parts of the sub-polar North Atlantic compared with  
296 the second period, with more suitable conditions for cyclone formation over the sub-tropical North Atlantic. For  
297 the lower level baroclinicity ( $bi_{775}$ ; Fig. S5b), large differences are observed over western Greenland. The  
298 strong low level baroclinicity over Western Greenland during the first period might explain the deflected EC  
299 trajectories toward this region in the  $EC1_{50s}$  pattern (cf. Fig. 2a). In addition, no significant change in  $bi_{775}$  is  
300 observed over the sub-tropical North Atlantic between the two periods, in contrast with the  $bi_{400}$  results (cf.  
301 Figs. 3b and S5b). The  $bi_{400}$  changes might be related to the modified intensity of the sub-tropical jet, which is  
302 confined to the upper troposphere.

303 To analyze the decadal jet and NAO variability for the NCEP period, a Hovmöller diagram of low-pass  
304 filtered ( $>33$  yr.)  $u_{250}$  anomalies averaged between  $100^{\circ}W$ - $20^{\circ}W$ , an index of the latitudinal location of the  
305 maximum positive jet anomalies and a multi-decadal NAO index are presented in Fig. 3c. The latter is shifted  
306 and rescaled to have the same mean and standard deviation as the latitude index. The period of low correlation  
307 between  $EC1_T$  and  $Jet1_T/NAO_T$  (1948-1962) is characterized by minimum values in the jet latitude index and in  
308 the decadal NAO, and by negative  $u_{250}$  anomalies spanning over the latitude band  $40^{\circ}$ - $60^{\circ}N$  (Fig. 3c). In  
309 contrast, a more intense and poleward shifted jet is observed during the latter period, together with a more  
310 positive decadal NAO.

311 The influence of inter-decadal changes in the ocean is analyzed through the winter SSTs and integrated (0-  
312 300 m) ocean temperature. Climatologies of these variables are shown in Fig. S5c. Fig. 3d shows the winter SST

313 difference between the two periods: a positive anomaly pattern apparently reminiscent of the Atlantic Multi-  
314 decadal Oscillation (AMO; Knight et al. 2005) is found over the North Atlantic. This is consistent with the  
315 observed out-of-phase relation between the EC-NAO/Jet running-correlations and the AMO index (Fig. 1d).  
316 Thus, the strengthening and eastward propagation of EC track density during the second period (Fig. 2b) could  
317 be associated with a more negative AMO phase. Both changes could be driven by the AMOC (Sun et al. 2015),  
318 greenhouse gas forcing, or both (Woollings et al. 2012).

319 The difference in winter integrated temperature (0-300 m, T300) is shown in Fig. S5d as an indicator of  
320 changes in the ocean heat content above the thermocline. The emerging pattern seems consistent with the  
321 impacts of AMO and AMOC, with significant cooling in the tropics (Wang and Zhang 2013, cf. their Fig. 1).  
322 The inter-decadal changes between the 50s and 80s in terms of the meridional T300 gradient ( $\delta y T300$ ) are also  
323 depicted in Fig. S5d. Significant changes in  $\delta y T300$  are found over the tropical North Atlantic, but not over  
324 extratropical areas.

325 We hypothesize that decadal variations of the jet and the ocean may contribute to modulate inter-decadal  
326 changes in the interannual variability of explosive North Atlantic cyclones. However, the robustness of these  
327 results is difficult to establish given the short time series. In particular, it is not clear if the variability changes in  
328 EC activity are triggered by natural decadal variability of the climate system, anthropogenic climate change  
329 (e.g., the eastern NAO shift during the late 20<sup>th</sup> century, a slowdown of the AMOC, etc.) or are just fortuitous.  
330 These facts put forward the necessity of using long control simulations in order to better infer a robust  
331 hypothesis about the mechanisms involved and characterize EC variability at longer timescales.

332

## 333 **5. Explosive cyclone variability in ECHAM5/MPIOM1**

### 334 **A) Multi-decadal variability of explosive cyclone tracks**

335 We next describe the variability of explosive cyclones in a 505-yr long control simulation with the  
336 ECHAM5/MPIOM1 coupled model. First, a broad overview of the multi-decadal cyclone variability is  
337 provided, which could not be analyzed with the shorter reanalysis data sets.

338 Cyclone track density GCM climatologies for EC and NoEC are shown in Fig. 4a. Just like NCEP (Fig. 1a),  
339 the maximum in EC activity is located between Newfoundland and Iceland. For NoEC, the shape and counts are  
340 also similar to NCEP over the North Atlantic. The main difference between ECHAM5 and NCEP for EC  
341 densities (Fig. 4b) is that ECHAM5 produces too zonal tracks - a systematic bias in GCMs (e.g., Zappa et al.  
342 2014; Seiler and Zwiers 2015). The same is found for NoEC (not shown).

343 The leading EOFs of low-pass filtered (>11-yr) EC track density data are calculated. The leading modes of  
344 variability at multi-decadal timescales are very similar to the unfiltered modes found for reanalysis (cf. section  
345 4). In particular,  $EC1_{LF}$  (Fig. 4c; LF stands for low frequency) also represents a strengthening/weakening of EC  
346 tracks between Newfoundland and Iceland.  $EC2_{LF}$  (Fig. S6a) depicts a similar pattern southerly shifted between  
347 eastern North America and Western Europe. The leading EOFs of low pass filtered (>11-yr) u250 winter  
348 anomalies reveal again very similar structures to the interannual modes. Please note the different scales used in  
349 Figs. 4 and S6 due to the different amplitude of this variability.

350 Linear correlations between the PCs of these modes and dominant low-frequency teleconnection patterns  
351 (e.g., decadal NAO, AMO, IPO etc.) are provided in Table 2. Correlations show that  $EC1_{LF}$  is tightly connected  
352 with decadal variability of the NAO ( $NAO_{LF}$ ; 0.75) and the jet ( $Jet1_{LF}$ ; 0.68).  $EC2_{LF}$  is significantly anti-  
353 correlated (correlated) with  $Jet1_{LF}$  ( $Jet2_{LF}$ ). These results suggest that multi-decadal fluctuations of EC tracks  
354 and frequencies are primarily controlled by the decadal variability of the NAO and the jet.

355

## 356 **B) Interannual variability of explosive cyclone tracks**

357 In order to test the robustness of the results from section 4, the interannual (1-11 yr.) variability of EC  
358 density tracks is also analyzed in ECHAM5/MPIOM1. The leading interannual EOF of explosive cyclones for  
359 the whole period ( $EC1_T$ ) represents a strengthening/weakening of the climatological pattern between  
360 Newfoundland and Iceland (Fig. 4d), also consistent with EOF1 of u250 ( $Jet1_T$ ).

361 The winter anomalies of z500 for the total ECHAM5 period are regressed on the  $EC1_T$ -PC (Fig. 4e),  
362 revealing again a very clear AO/NAO pattern (cf. Table 1). This pattern is very similar to that obtained for the  
363 second NCEP period (Fig. 2d). The winter SST anomalies are also regressed on  $EC1_T$ -PC (Fig. 4f), resulting in a  
364 pattern very similar to the second NCEP period (Fig. 2h). In particular, both the North Atlantic tripole pattern  
365 and ENSO appear to be significantly correlated and anti-correlated, respectively, with the leading mode of  
366 variability of explosive cyclone tracks. These results contrast with the non-significant ENSO- $EC1$  connection  
367 found in NCEP (Fig. 2h). However, as already mentioned, results from observations should be considered with  
368 caution due to the short time series of available data.

369 Finally, the remaining EOFs ( $All1_T$ ,  $All2_T$ ,  $EC2_T$ ) are provided in Figs. S6b-d. These are again in good  
370 agreement with NCEP and ERA-40.

371

## 372 **C) Analysis of stationarity**

373 To investigate whether the non-stationary behavior found in NCEP is also present in the GCM and to what  
374 extent these results are caused by natural variability of the climate system, the time-dependent relation between  
375  $EC1_T$  and the  $Jet1_T/NAO_T$  variability is analyzed. We focus on the interannual (1-11 yr.) variability, as the  
376 spectral density of the reanalysis is mainly concentrated within the band 1-11 yr (cf. Fig. S3c). Fig. 5a shows the  
377 21-yr running correlations between the principal components (PCs) of  $EC1_T$  and the  $NAO_T/Jet1_T$ .  $EC1_T$  and  
378  $NAO_T$  are highly correlated most of the time, but appear to be less correlated or ‘decoupled’ in specific periods.  
379 The same is found for  $EC1_T$  vs.  $Jet1_T$  PCs. Hereupon we refer to the periods of low correlation as ‘decoupling  
380 periods’ (51 years in total, model years 182-209 and 305-327; Fig. 5a). Although smoothed, the ‘decoupling  
381 periods’ can still be detected in the running correlations if the window length is increased to 41-yr.

382 We analyze the change of the leading patterns of variability during the simulation with running EOFs. If the  
383 EC or NAO variability changes during specific periods, the EOFs for those periods are expected to look  
384 differently. With this aim, we compute the EOFs in 21-yr sliding windows (485 patterns for 505 years) with a  
385 consistent polarity for all calculations.

386 On the one hand, the spatial correlation between each of the 485 NAO patterns and the total period  $NAO_T$  is  
387 shown in Fig. 5b. There are no abrupt changes in the correlations during the decoupling periods, suggesting that  
388 the NAO spatial structure could remain largely unchanged. However, visual inspection of the spatial NAO  
389 pattern calculated only for the decoupling dates (Fig. 5c; hereafter  $NAO_D$ ) reveals a noticeable strengthening of  
390 its southern node compared with  $NAO_T$  (Fig. S1c). This is suggestive that the NAO spatial correlation values in  
391 Fig. 5b could be more sensitive to the location of the NAO centers of action than to their relative strength. As  
392 will be described later on, the relative intensity of the NAO nodes and their projection onto the mean flow seems  
393 to be determinant for explosive cyclone development over the North Atlantic.

394 On the other hand, the spatial correlation between the time-varying and total-period explosive cyclone  
395 modes is non-stationary in time and changes abruptly during the decoupling periods (Fig. 5b). This suggests that  
396 the occurrence of the decoupling periods is due to a clearly different spatial structure of the leading EC  
397 variability. A zonal average ( $90^\circ W-40^\circ E$ ) of each of the 485 EC1 regression patterns is performed to characterize  
398 these changes. An index with the latitudinal location of the maximum positive center of action for each EC1  
399 pattern is displayed in Fig. 5b, and shows an abrupt southward shift of this center of action (from  $\sim 60^\circ N$  to  
400  $45^\circ N$ ) during the decoupling periods. This is confirmed when the specific EOF1 of explosive cyclone track  
401 density anomalies is calculated solely for the decoupling dates ( $EC1_D$ ; Fig. 5d). Here,  $EC1_D$  represents a  
402 strengthening/weakening of the explosive cyclone tracks between Eastern North America and Western Europe, a

403 pattern shifted south relative to the total period  $EC1_T$  (compare Figs. 4d and 5d). Finally, the corresponding  
404 second leading EOF ( $EC2_D$ ) depicts an intensifying/weakening pattern more constrained over the Icelandic area  
405 (Fig. S6e). This suggests that the two leading EOFs change order during the decoupling periods.

406 Although the leading variability of EC track density is normally explained by a pattern confined over the  
407 northern sub-polar regions, the variability shifts south during specific periods and cannot be explained by the  
408 EOFs computed for the full period ( $EC1_T$  and  $NAO_T$ ). Unlike NCEP, these changes do not appear to be  
409 associated with a pure longitudinal shift of the NAO nodes, but with modifications in their relative strength. The  
410 potential changes in the interannual forcing during the decoupling periods are investigated through the  
411 regression of z500 and SST winter anomalies on  $EC1_D-PC$  (Figs. 5e-f). During these periods, the z500 pattern  
412 associated with the variability of EC is characterized by a belt of low pressure anomalies extending from  
413 Newfoundland to Western Europe between  $40^\circ-60^\circ N$  (Fig. 5e). This anomaly is accompanied by positive  
414 anomalies of z500 between Greenland and Scandinavia and over the eastern sub-tropical North Atlantic  
415 ( $\sim 30^\circ N$ ). The sign and shape of the z500 anomalies do resemble the NAO- pattern. Indeed, the correlation  
416 between  $EC1_D$  and  $Jet1_D/NAO_D$  is  $-0.62/-0.49$  (99% confidence interval). Therefore, explosive cyclones appear  
417 to be favored by NAO- during the decoupling periods, in contrast with the normal situation. It will be shown in  
418 section 5E that the baroclinicity associated with the negative NAO years during the decoupling periods is  
419 stronger and more extensive over the North Atlantic than that for the positive NAO years (unlike the  
420 climatology; cf. Pinto et al. 2009). Regarding the SST anomalies, no significant regression pattern is found (Fig.  
421 5f), nor there is an indication of the North Atlantic SST tripole during the decoupling periods.

422

#### 423 **D) Impact of multi-decadal variability**

424 The potential role of multi-decadal variability as modulator of interannual EC variability is analyzed in the  
425 following. During the decoupling periods, EC is anomalously frequent in the corridor between the eastern US  
426 and Western Europe, while their numbers are reduced from southern Greenland to Western Scandinavia (Fig.  
427 6a). A similar structure is observed in the variance, with an increase of variability to the south and a decrease to  
428 the north. This is consistent with greater variability in the areas where EC are more frequent. In contrast, no  
429 significant differences are observed in the mean or in the variance of the North Pacific storm track (not shown).  
430 This suggests that the westerly flow from the North Pacific does not play an important role in the occurrence of  
431 the decoupling periods.

432 This raises again the question of why EC tracks shift south during long and persistent periods of time (~20-  
433 25 years each). The long term anomalies of the jet intensity and the upper-level maximum Eady Growth Rate  
434 are calculated for the decoupling periods. The climatological means for ECHAM5 are provided in Fig. 6b for  
435 comparison. The overlapping between both variables is evident, although with a much more zonal structure than  
436 for NCEP. During the decoupling periods both the jet and bi400 significantly shift south (Fig. 6c), with positive  
437 anomalies over the sub-tropical North Atlantic and negative values over the Newfoundland-Iceland area. The  
438 same is observed for bi775 (not shown). Thus, the long-term upper-level conditions become more efficient for  
439 cyclone growth between the eastern US and Western Europe at the expense of the sub-polar North Atlantic.

440 The low-pass filtered (>33-yr) u250 anomalies averaged between 100°-20°W are used to highlight the  
441 latitude of the maximum positive jet anomalies in Fig. 6d. The decadal NAO index is also shown. The polar jet  
442 exhibits strong multi-decadal variability, with multiple oscillations in the latitude range 30°-65°N.

443 It is noticeable that both decoupling periods feature a persistent and remarkably equatorward shifted jet.  
444 Moreover, weakened upper-level winds are identified around 45°-60°N (consistent with Fig. 6c). Although the  
445 low-frequency variability of the NAO and the jet appear similar in Fig. 6d, it is noteworthy that the decadal  
446 NAO index has lower amplitude during the decoupling periods. Therefore, additional potential forcings for the  
447 southward shift of the jet are investigated.

448 Eddy-driven jets tend to be located over areas with maximum meridional SST and ocean heat content (OHC;  
449 total heat content above the oceanic thermocline) gradients (cf. Figs. 7a and S7a; Minobe et al. 2008; Nakamura  
450 et al. 2008). Therefore, we hypothesize that if the North Atlantic SST/OHC meridional gradient shifts in latitude  
451 during specific periods, then the extra-tropical jet and baroclinicity fields might also respond to this forcing  
452 (Dong et al. 2013). This is observed for the SST and OHC anomaly during the decoupling periods (Fig. 7b).  
453 During these periods, an extensive cold SST anomaly is present across the sub-tropical North Atlantic. Such  
454 anomaly extends over the full mixed layer depth (Fig. 7b) and below the thermocline (Fig. S7b). As a  
455 consequence, a significant attenuation of the meridional OHC gradient is observed over the Gulf Stream area  
456 during the decoupling periods, together with an increase over the sub-tropical North Atlantic (Fig. 7c). Such a  
457 SST/OHC anomaly pattern is thought to: (i) force positive jet and baroclinicity anomalies over the sub-tropical  
458 North Atlantic; and (ii) support the persistence of these anomalies through a positive feedback process. A  
459 southerly shifted storm track cools the waters beneath, which acts to reinforce the pre-existing SST anomaly  
460 (Dong et al. 2013). As a note of caution, it must be noted that these gradient anomalies (Fig. 7c) are particularly  
461 weaker than in Nakamura et al. (2008). To evaluate the potential forcing of these oceanic anomalies, several



462 indices of temperature anomaly in the mixed layer are constructed for the North Atlantic area (30°-40°N, 60°-  
463 30°W; dashed rectangle in Fig. 7b). Fig. 7d shows the low pass filtered (>33-yr) indices of ocean temperature  
464 anomaly in depth. As expected, they reveal exceptional negative anomalies over the focus area during the  
465 decoupling periods. The AMOC index calculated for the MPIOM1 data is also provided in Fig. 7d (cf. AMOC  
466 pattern in Fig. S2j) and significantly correlates with the indices of ocean temperature anomaly: AMOC vs. SST  
467 = 0.35; AMOC vs. T123m = 0.60 (99% confidence interval). However, the overlapping is not so evident for all  
468 the periods (e.g., second decoupling period). We speculate that the AMOC could play a role for the occurrence  
469 of the decoupling periods in agreement with an equatorward shift of the extra-tropical jet and baroclinicity, but  
470 the degree of this influence is uncertain. In this line, a deficient representation of the AMOC strength in climate  
471 models appears to lead to important SST biases over the North Atlantic (Wang et al. 2014). Despite the obtained  
472 AMOC pattern in ECHAM5/MPIOM1 looks strong enough (cf. Fig. S2j), the model bias could exert as well  
473 some undesired influence in the dynamics observed during the decoupling periods.

474 In the next section, we discuss possible atmospheric mechanisms explaining the changes in the NAO-EC  
475 relation during the decoupling periods based on these ingredients.

476

#### 477 **E) Potential mechanisms leading to the Decoupling Periods**

478 In this section, we propose two different mechanisms to explain the abrupt transitions in the EC leading  
479 variability and its connection with the NAO.

- 480 • The *cross-jet* mechanism: Under normal conditions, the interannual jet alternates its position  
481 between the northern, central and southern North Atlantic locations during consecutive years  
482 (Fig. 8a; Woollings et al. 2010). When the jet is situated over its northern position (NAO+), low  
483 pressure systems forming over eastern North America can easily cross the extra-tropical jet and  
484 explosively amplify (Fig. 8a; cf. Uccellini 1990; Gilet et al. 2009; Rivière et al. 2013). Under a  
485 negative NAO phase, the relative position between developing cyclones and the jet is less efficient  
486 for rapid cyclone intensification, and explosive cyclone deepening is thus weaker over the North  
487 Atlantic. This mechanism is consistent with the NAO modulation of explosive cyclone variability  
488 identified for most of the time periods in the GCM. However, when the interannual jet is  
489 persistently (20-25 yr.) located over the central or sub-tropical North Atlantic (i.e. a southerly  
490 shifted multi-decadal jet), the EC variance associated with NAO+ years is absent. This implies that  
491 NAO+ no longer acts as a precursor for explosive cyclone deepening; instead, a different mode of

492 EC variability emerges (Fig. 8b). For this mechanism to occur the jet locations must significantly  
493 vary with the NAO phase but the main genesis area of explosive cyclones over Eastern North  
494 America must not, which is the case in our results (cf. Figs. 8ab). Thus, we consider the *cross-jet*  
495 mechanism as a plausible hypothesis for the occurrence of abrupt transitions in the EC variability  
496 and the decoupling periods.

497 • The *NAO-baroclinicity* mechanism: Under normal conditions, the North Atlantic baroclinicity  
498 associated with NAO+ is stronger and extends over a broader region than for NAO- (Pinto et al.  
499 2009). Consistent with this, the number of explosive cyclones over the North Atlantic is higher  
500 (lower) under NAO+ (NAO-). However, the opposite is found during the decoupling periods, when  
501 explosive cyclones seem to be favored under NAO-. To check if this might be due to changes in  
502 NAO-mediated baroclinicity, composite maps of total upper-level baroclinicity (bi400) are  
503 computed for NAO values above and below  $\pm 1$  SU for climatology (NAO<sub>T</sub>) and decoupling periods  
504 (NAO<sub>D</sub>). These maps are shown in Figs. 9a-d, with the areas of highest bi400 ( $>40$  day<sup>-1</sup>)  
505 emphasized with stippling. The baroclinicity associated with NAO+ appears to be more  
506 intense/extensive than for NAO- in climatology (Figs. 9ab). For the decoupling periods the contrary  
507 is observed (Figs. 9cd). For a more quantitative comparison, the total sum of actual values over grid  
508 points with highest bi400 (stippled areas; disregarding the subtropical jet) is determined to estimate  
509 the baroclinicity strength/extent under each situation. In Fig. 10, the total values for each case (in  
510 %, normalized with the climatology/NAO+ case) are provided. As expected, results depict a higher  
511 (lower) baroclinicity under NAO- (NAO+) during the decoupling periods (as opposed to  
512 climatology). The same is observed if a more relaxed criterion of 35 day<sup>-1</sup> is used (not shown).

513 Both the *cross-jet* and *NAO-baroclinity* mechanisms are plausible hypotheses which could contribute to the  
514 abrupt transitions in EC variability in ECHAM5/MPIOM1 during the decoupling periods, and it is not obvious  
515 which of the two is more relevant. To answer this question, regional simulations forced to different large-scale  
516 configurations would be useful. Such an approach is outside the scope of the paper and is left for future work.

517

## 518 6. Summary and conclusions

519 This study explores the modulating role of the multi-decadal oceanic and atmospheric variability ( $>11$ -yr) on  
520 the interannual climate variability (1-11 yr.) of explosive cyclogenesis over the North Atlantic in wintertime. In  
521 addition, the multi-decadal variability of explosive cyclone tracks is assessed. For this purpose, the track density

522 of explosive and non-explosive cyclones from two reanalysis datasets and a long control General Circulation  
523 Model (GCM) simulation are analyzed. An Empirical Orthogonal Function (EOF) approach is used to  
524 characterize the preferred modes of variability in the North Atlantic.

525 Results show that the leading mode of the interannual climate variability for all cyclones ( $All1_T$ ) represents  
526 primarily a latitudinal shift of cyclone trajectories between Iceland and Western Europe (Fig. 1b). This pattern is  
527 consistent with previous studies (e.g., Rogers 1997; Lu and Greatbach 2002). However, the leading interannual  
528 variability mode of explosive cyclone tracks ( $EC1_T$ ) consists of a strengthening/weakening of its climatological  
529 pattern extending from Newfoundland to Iceland (Fig. 1c). Although these two patterns are different, both  
530 modes are significantly correlated with the North Atlantic Oscillation (NAO) during most time periods in all  
531 three datasets (cf. Table 1). This results from the influence of the NAO in modulating cyclone's trajectories  
532 ( $All1_T$ ; cf. Wanner et al. 2001) and cyclone intensification rates ( $EC1_T$ ; cf. Pinto et al. 2009). However, during  
533 specific decades of NCEP and ECHAM5, the  $EC1$ -NAO correlation abruptly drops.

534 For NCEP, this non-stationary relationship is apparently associated with multi-decadal changes in the NAO  
535 shape and location. In particular, the NAO- $EC1$  correlation is 0.68 between 1948 and 1962. In this period the  
536 NAO pattern is more confined over the western North Atlantic (Raible et al. 2014). Contrastingly, the period  
537 1963-1997 is characterized by an eastward extension and intensification of the NAO centers of action (Jung et  
538 al. 2003). During this period, the NAO- $EC1$  correlation increases to 0.89. The changes in the NAO structure are  
539 consistent with the changes in  $EC1$  between periods. Thus,  $EC1$  is more confined west in the basin during the  
540 mid 20<sup>th</sup> century, whereas for recent decades it appears stronger and more extended towards Northern Europe  
541 (cf. Fig. 2). These decadal changes in NAO and  $EC1$  variability appear to coincide with changes in the Atlantic  
542 Multi-decadal Oscillation phase (AMO; Woollings et al. 2012).

543 For ECHAM5, the NAO- $EC1$  correlation is constant and positive during most of the simulation, except for  
544 two periods about 20-25 yr. long (Fig. 5). During these periods (so called 'decoupling periods'),  $EC1$  abruptly  
545 changes shape and the NAO- $EC1$  correlation rapidly switches to negative values. In this article two different  
546 potential mechanisms have been proposed to explain such behavior:

- 547 • The first is the so called *cross-jet* mechanism and relies on the relative position between the eddy-  
548 driven jet (Woollings et al. 2010) and explosive cyclones growing over eastern North America  
549 (Hoskins and Hodges, 2002) during consecutive years (20-25 yr.). This mechanism appears to be  
550 related to multi-decadal variability of the atmosphere and the ocean. It occurs during periods when  
551 the jet is persistently shifted south, the meridional gradient of ocean heat content over the

552 subtropical North Atlantic is enhanced and the Atlantic Meridional Overturning Circulation  
553 (AMOC) is weakened (Figs. 6 to 8).

554 • The second is the so called *NAO-baroclinicity* mechanism. This mechanism relies on multi-decadal  
555 variability of the atmosphere, in particular on how multi-decadal changes in the NAO structure and  
556 relative strength of its centers of action project on interannual variability features. As opposed to  
557 climatology (Pinto et al. 2009), the baroclinicity associated with NAO- is stronger and more  
558 extensive than for NAO+ over the North Atlantic during the decoupling periods (Figs. 9 and 10). As  
559 a consequence, explosive cyclones are favored by NAO- instead of NAO+. These changes lead to  
560 abrupt transitions in the EC leading variability mode and the EC1-NAO correlation.

561 The present results evidence the importance of the low-frequency control for the time-evolving interannual  
562 climate variability of explosive cyclone tracks in the North Atlantic. This modulation leads to a non-stationary  
563 connection between the NAO and EC activity both in reanalysis and GCM data. In this context, a plausible  
564 influence of the ocean circulation on this non-stationary connection is highlighted. Although this influence looks  
565 different between reanalysis and the GCM, this is not surprising as the low NAO-EC1 correlation periods in  
566 both datasets are associated with distinct EOF patterns (compare Figs. 2a and 5d). An interesting question left  
567 for future work concerns the relative role played by the ocean in the longitudinal location and relative intensity  
568 of the NAO centers of action (Wang et al. 2012; Raible et al. 2014). The opposite effect, in which the NAO  
569 triggers multi-decadal changes in the ocean, is also present in the literature (Visbeck et al.1998; Delworth and  
570 Greatbatch 2000; Eden and Jung 2001). The NAO positive phase is thought to strengthen the AMOC via air-sea  
571 fluxes of heat and momentum (Delworth and Zeng 2015), and induce a basin-wide SST warming (AMO  
572 pattern). This pattern subsequently switches phase and behaves as a delayed oscillator, which explains a 60 yr.  
573 quasi-periodic cycle of the NAO (Sun et al. 2015). In this work we suggest that AMOC could in turn alter the  
574 position of the jet stream and maximum baroclinicity over the North Atlantic, thus modifying the preferred  
575 regions explosive cyclone development. The study of the opposite branch of this relation remains as an  
576 interesting path for future research.

577 The present results can potentially contribute to an improvement of the multi-decadal predictions of extreme  
578 cyclones in the North Atlantic (e.g., Lee et al. 2012; Nissen et al. 2014; Feser et al. 2015). Regarding the  
579 potential role of anthropogenic climate change in the NCEP results, it must be noted that abrupt changes in the  
580 variability of explosive cyclogenesis are also observed in the pre-industrial simulation, so these transitions can  
581 be internally driven.

582        Additionally, an unsteady connection between the EC leading variability and El Niño-Southern Oscillation is  
583 also found in the GCM data (Luksch et al. 2005). Such connection must be checked in additional control  
584 simulations to potentially improve seasonal predictions of explosive North Atlantic cyclones.

585        At multi-decadal timescales (>11-yr), the main variability mode of explosive cyclones also depicts a  
586 strengthening/weakening pattern of EC tracks between Newfoundland and Iceland (Fig. 4c). Our results suggest  
587 that this variability is predominantly driven by the decadal NAO and jet variability. Further analysis on this  
588 topic is also left for future work.

589        Finally, as this study is mainly focused on explosive cyclogenesis, an extended analysis for all cyclones and  
590 their time-varying relation with the NAO will be an interesting path for future research through the use of a  
591 larger set of control and forced simulations (e.g., CMIP5 models).

592

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867

868 **Tables:**

869

870 **Table 1:** Correlation coefficients between interannual cyclone track EOFs and climate indices in NCEP/ERA-  
871 40/ECHAM5

Corr.	NAO <sub>T</sub>	AO	PNA	Niño-3.4	Jet1 <sub>T</sub>	Jet2 <sub>T</sub>
All <sub>T</sub>	<b>0.91/0.90/0.84</b>	<b>0.78/0.79/0.56</b>	-0.23/-0.23/-0.17	-0.15/-0.08/-0.17	<b>0.92/0.90/0.84</b>	-0.06/-0.12/-0.16
EC1 <sub>T</sub>	<b>0.78/0.88/0.66</b>	<b>0.63/0.74/0.38</b>	-0.16/-0.25/-0.32	-0.11/-0.27/-0.13	<b>0.72/0.85/0.59</b>	0.21/0.19/0.29
All <sub>2T</sub>	0.08/0.10/0.21	-0.14/-0.18/0.14	0.04/-0.29/0.17	0.04/0.14/0.04	0.03/0.06/0.15	<b>0.55/0.55/0.36</b>
EC2 <sub>T</sub>	-0.12/-0.04/-0.36	<b>-0.26/-0.21/-0.19</b>	-0.05/-0.44/0.02	0.04/0.20/0.17	-0.22/-0.21/-0.53	<b>0.65/0.78/0.50</b>

872 Significant correlations (95%, t test) are shown with bold face. Cells are shaded when the correlation is  
873 significant for all datasets.

874

875 **Table 2:** Correlation coefficients between multi-decadal explosive cyclone track EOFs and low frequency (>11-  
876 yr) climate indices in ECHAM5/MPIOM1.

Corr.	NAO <sub>LF</sub>	PNA <sub>LF</sub>	AMO	IPO	AMOC	Jet1 <sub>LF</sub>	Jet2 <sub>LF</sub>
EC1 <sub>LF</sub>	<b>0.75</b>	-0.08	0.24	0.16	0.11	<b>0.68</b>	0.15
EC2 <sub>LF</sub>	-0.25	-0.01	-0.12	-0.09	-0.04	<b>-0.42</b>	<b>0.60</b>

877 Significant values (95%, t test) are shown with bold face and shaded cells.

878

879 **Figures**

880

881 **Fig. 1:** (a) Mean track density plots (in counts per  $7.5^\circ$  radius circle area per DJF season) of explosive  
882 (contours) and non-explosive (shadings) cyclones based on NCEP (base period 1948-2011; all cyclone time  
883 steps included). (b) EOF1 and explained variance (%) of DJF all cyclones (explosive + non-explosive)  
884 anomalous track density fields ( $ALL1_T$ ; shadings in track density units  $\text{std}^{-1}$  - 99% significance in stippling) and  
885  $u250$  ( $Jet1_T$ ; red/blue contours, starting from 2.5 in 0.5 intervals of  $\text{m s}^{-1} \text{std}^{-1}$ ) based on NCEP. The  
886 climatological jet stream core is shown in thick black contours (starting from  $30 \text{ m s}^{-1}$  with  $5 \text{ m s}^{-1}$  intervals). (c)  
887 Same as (b) but for explosive track density fields only. (d) 21-yr running correlations (centered) of  $EC1_T$  vs.  
888  $NAO_T$  (blue lines) and  $EC1_T$  vs.  $Jet1_T$  (red lines) for NCEP (solid lines) and ERA40 (dashed lines). All  
889 correlations are significant (95% confidence interval, two sided t test). The magenta line is the AMO index.  
890 1948-1962 and 1963-1997 periods denoted with black dashed and black solid horizontal lines.

891

892

893 **Fig. 2:** (a) Same as Fig. 1(c) but for the re-calculated EOFs for the base period 1948-1962. (b) Same as (a) but  
894 for 1963-1997. (c) Regression (shadings, in  $\text{gpm std}^{-1}$ ) and correlation (contours, 95% confidence interval) of  
895  $z500$  winter anomalies on  $EC1_{50s}$  (period 1948-1962). (d) Same as (c) but for  $EC1_{80s}$  (base period 1963-1997).  
896 (e) Re-calculated NAO pattern ( $NAO_{50s}$ ; shadings in  $\text{gpm std}^{-1}$ , contours every  $10 \text{ gpm std}^{-1}$ ) and explained  
897 variance (in %) for the base period DJF 1948-1962. (f) Same as (e) but for 1963-1997 ( $NAO_{80s}$ ). (g) Same as (c)  
898 but for SST winter anomalies on  $EC1_{50s}$  for the period 1948-1962 (in  $\text{K std}^{-1}$ ; based on HadISST). (h) Same as  
899 (g) but for  $EC1_{80s}$  (base period 1963-1997).

900

901 **Fig. 3:** (a) Climatological winter zonal wind at 250 hPa ( $u250$ , contours in  $\text{m s}^{-1}$ ) and 300-500 hPa maximum  
902 Eady Growth rate ( $bi400$ , shadings in  $\text{day}^{-1}$ ) on NCEP. (b) Difference of  $u250$  intensity between 1948-1962 and  
903 1963-1997 in red/blue shadings ( $\text{m s}^{-1}$ ; 95% confidence interval marked with +). Difference of 300-500 hPa  
904 Eady Growth rate ( $bi400$ ) in contours ( $\text{day}^{-1}$ ; 95% confidence interval marked with x). For the differences the  
905 means of the second period are subtracted to the first one. (c) Low frequency ( $>33$ -yr.)  $u250$  anomalies averaged  
906 between  $100^\circ\text{W}$ - $20^\circ\text{W}$  in red/blue shadings ( $\text{m s}^{-1}$ ). The thick black line follows the latitudinal location of the  
907 maximum  $u250$  positive anomalies. The thick dashed line is the decadal NAO index. Both indices are smoothed  
908 ( $>33$ -yr low-pass filter), centered in the Y-axis and set to have the same standard deviation (the corresponding of



909 the jet latitude index) to allow comparison. (d) Same as (b) but for the winter SST in red/blue shadings (in K,  
910 95% confidence interval in contours).

911

912 **Fig. 4:** (a) Same as Fig. 1(a) but for ECHAM5 (base period DJF 1-505 model years). (b) Difference in mean of  
913 explosive track densities: ECHAM5 minus NCEP (shadings). Hatched areas depict significant differences (95%  
914 confidence interval, t test for a difference in mean). (c) EOF1 and explained variance (%) of low-frequency  
915 multi-decadal (>11-yr) DJF explosive anomalous track density fields ( $EC1_{LF}$ ; shadings - 99% significance in  
916 stippling) and  $u250$  ( $Jet1_{LF}$ ; red/blue contours, starting from 1 in  $0.5 \text{ m s}^{-1} \text{ std}^{-1}$  intervals) on ECHAM5 (base  
917 period DJF 1-505 years). (d) Same as Fig. 1(c) but for interannual timescales in ECHAM5 (1-11 yr.;  
918  $EC1_T/Jet1_T$ ). (e) Regression (shadings, in  $\text{gpm std}^{-1}$ ) and correlation (contours, 95% confidence interval) of  $z500$   
919 winter anomalies on interannual  $EC1_T$  (1-11 yr.) for the total period of study on ECHAM5 (years 1-505). (f)  
920 Same as (e) but for winter SST anomalies ( $\text{K std}^{-1}$ ).

921

922 **Fig. 5:** (a) 21-yr running correlations (centered) of  $EC1_T$  vs.  $NAO_T$  PCs (blue line) and  $EC1_T$  vs.  $Jet1_T$  PCs (red)  
923 on ECHAM5. Significant values highlighted with thicker red/blue segments (95% confidence interval, two sided  
924 t test). ‘Decoupling periods’ denoted with vertical bars. (b) *Magenta line:* Spatial correlation of  $NAO_T$  vs. the  
925 485 running NAO patterns (based on 21-yr. sliding window EOFs). *Blue line:* Same as the magenta line but for  
926 the 485  $EC1$  patterns vs.  $EC1_T$ . *Red line:* Latitudinal location (deg. N) of the main center of action of the 485  
927  $EC1$  patterns (averaged over  $90^\circ\text{W}-40^\circ\text{E}$ ). (c) Same as Fig. 2(e) but for the decoupling periods on ECHAM5  
928 (model years 182-209 and 305-327). (d) Same as Fig. 2(a) but for the decoupling periods on ECHAM5. (e)  
929 Same as Fig. 2(c) but for the decoupling periods on ECHAM5. (f) Same as Fig. 2(g) but for the decoupling  
930 periods on ECHAM5/MPIOM1.

931

932 **Fig. 6:** (a) Explosive track density anomaly for the decoupling periods (shadings; 95% confidence interval -  
933 hatched) and standard deviation anomaly (contours; standard units, 95% confidence interval - filled dots). (b)  
934 Climatological winter zonal wind at 250 hPa ( $u250$ , contours in  $\text{m s}^{-1}$ ) and 300-500 hPa maximum Eady Growth  
935 rate (bi400, shadings in  $\text{day}^{-1}$ ) on ECHAM5. (c) Winter  $u250$  anomaly (red/blue shadings in  $\text{m s}^{-1}$ , 95%  
936 confidence interval marked with +) and 300-500 hPa Eady Growth rate anomaly (bi400, contours in  $\text{day}^{-1}$ , 95%  
937 confidence interval marked with x) during the decoupling periods. (d) Same as Fig. 3(c) but for ECHAM5.  
938 Decoupling periods marked with vertical bars.

939

940 **Fig. 7:** (a) Mean oceanic heat content (OHC) from 0 to 123 m (thermocline depth over the sub-tropical North  
941 Atlantic) in MPIOM1 (shadings in  $10^9 \text{ J m}^{-2}$ ) and its meridional gradient ( $\delta_y\text{OHC}$ ) (contours in  $10^9 \text{ J m}^{-2} * 10^{-3}$   
942 km). (b) Winter SST anomalies in shadings (K) and significant areas (95% confidence interval) in contours  
943 during the decoupling periods. Winter OHC significant anomalies ( $10^9 \text{ J m}^{-2}$ ) in stippling. (c) Meridional OHC  
944 gradient anomaly ( $\delta_y\text{OHC}$ ) (shadings in  $10^9 \text{ J m}^{-2} * 10^{-3}$  km) during the decoupling periods. Significant areas  
945 (95% confidence interval) in stippling. (d) Low pass filtered water temperature anomalies (>33 yr.; in SU)  
946 averaged over the area ( $30^\circ\text{-}40^\circ\text{N}$ ,  $60^\circ\text{-}30^\circ\text{W}$ , dashed rectangle in Fig. 7b) at different ocean depth levels (0, 6,  
947 69 and 123 meters - see legend). Low pass filtered AMOC index (>33 yr.; dashed black curve in SU).

948

949 **Fig. 8:** (a) Schematic of the leading variability mode (1-11 yr.) of explosive cyclone tracks under normal  
950 conditions in ECHAM5/MPIOM1. EOF1 EC ( $\text{EC}_{1T}$ ) in red shadings. Leading EOFs of u250 (1-11 yr.) in  
951 contours. These are the three preferred positions of the interannual jet (Woollings et al. 2010): (1) red -  
952  $\text{Jet}_{1T+}/\text{NAO}+$ ; (2) blue -  $\text{Jet}_{1T-}/\text{NAO}-$ ; and (3) black -  $\text{Jet}_{2T+}$ . Main genesis area of explosive North Atlantic  
953 cyclones in stippling (values above 0.5 counts per  $7.5^0$  radius circle area per DJF). (b) Same as (a) but for the  
954 decoupling periods.

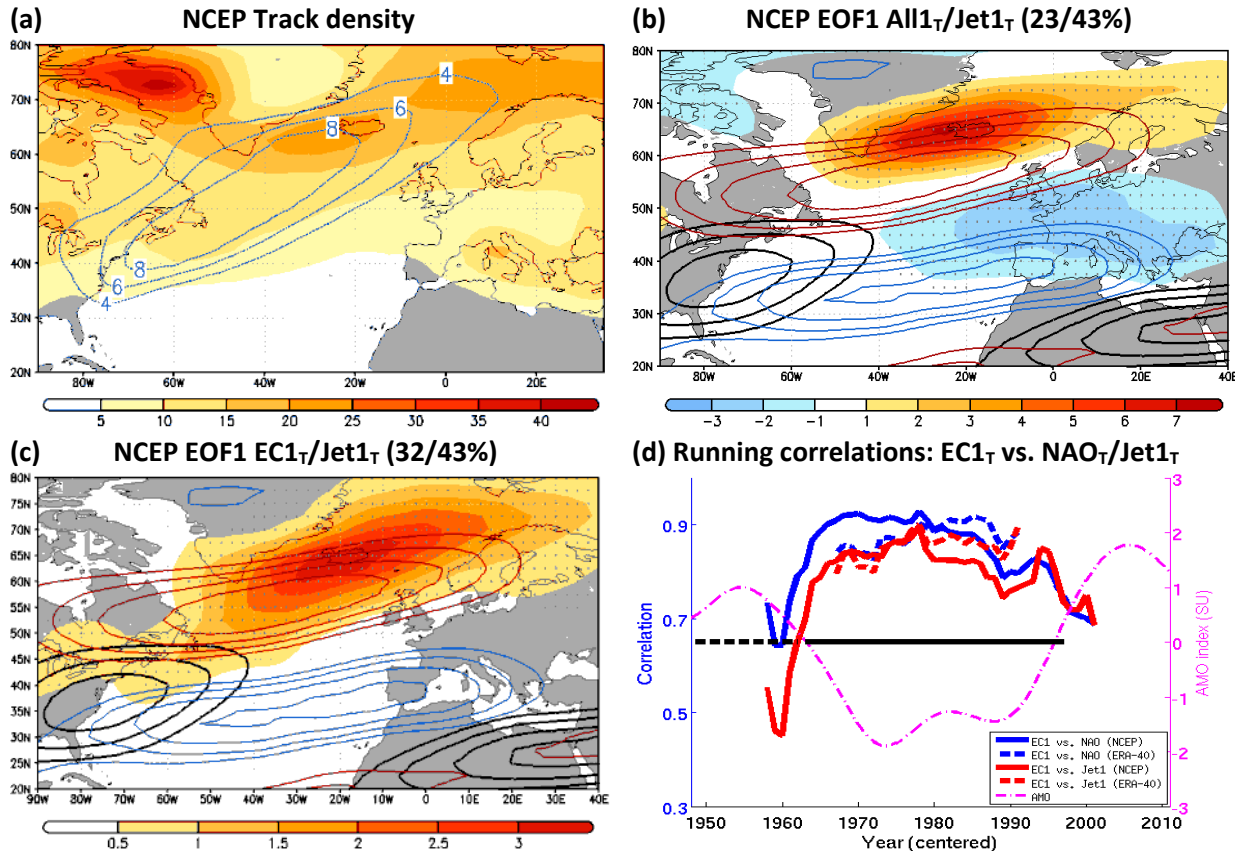
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956 **Fig. 9:** (a) Composite of DJF bi400 (shadings in  $\text{day}^{-1}$ ) under  $\text{NAO}_{T+}$  (>1 SU; 88 members). Base period: 1-505  
957 yr. The areas of values above  $40 \text{ day}^{-1}$  are highlighted in stippling. (b) Same as (a) but under  $\text{NAO}_{T-}$  (<-1 SU; 88  
958 members). (c) Same as (a) but under  $\text{NAO}_{D+}$  (>1 SU; 8 members) during the decoupling periods. (d) Same as  
959 (a) but under  $\text{NAO}_{D-}$  (<-1 SU; 8 members) during the decoupling periods.

960

961 **Fig. 10:** Quantification of bi400 extent/strength over the North Atlantic: sum of all values in stippling from Figs.  
962 9a-d associated with the extratropical jet (sub-tropical jet areas removed). The final values are normalized (in %)  
963 with respect to the climatological  $\text{NAO}_{T+}$  absolute value (Fig. 9a).

NCEP and ERA-40

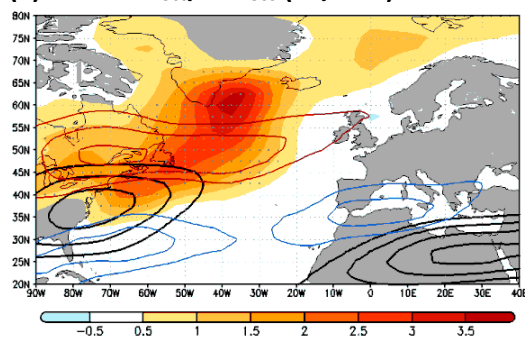


964 Fig. 1

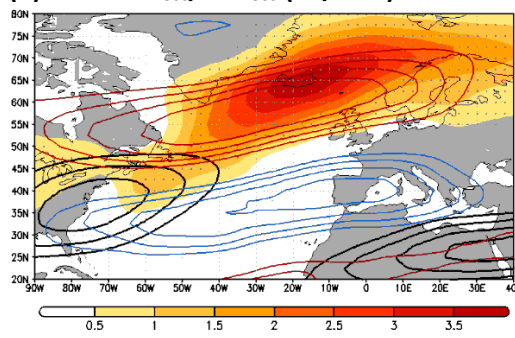
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NCEP

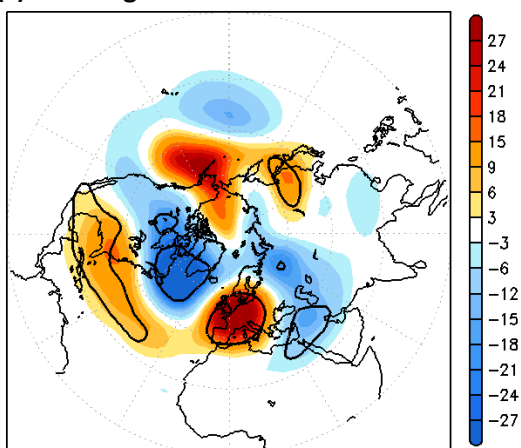
(a) EOF1 EC1<sub>50s</sub>/Jet1<sub>50s</sub> (36/32%) 1948-1962



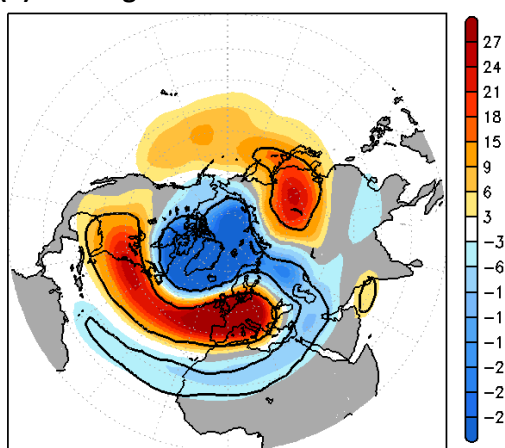
(b) EOF1 EC1<sub>80s</sub>/Jet1<sub>80s</sub> (40/56%) 1963-1997



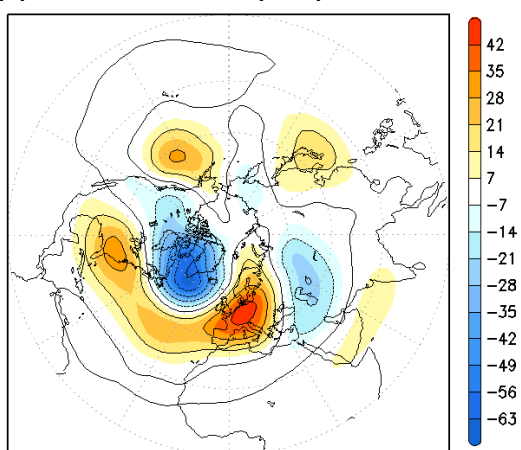
(c) Regression: z500 - EC1<sub>50s</sub>



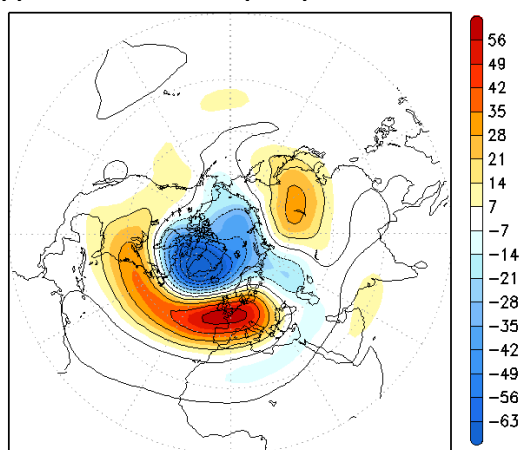
(d) Regression: z500 - EC1<sub>80s</sub>



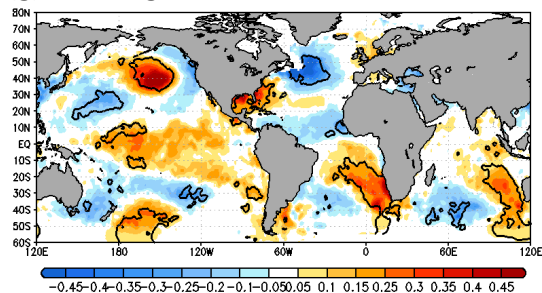
(e) NAO<sub>50s</sub> (40%)



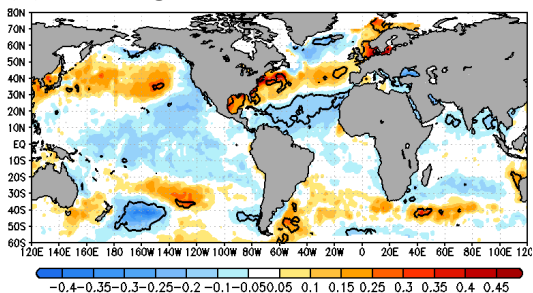
(f) NAO<sub>80s</sub> (52%)



(g) Regression: SST - EC1<sub>50s</sub>



(h) Regression: SST - EC1<sub>80s</sub>

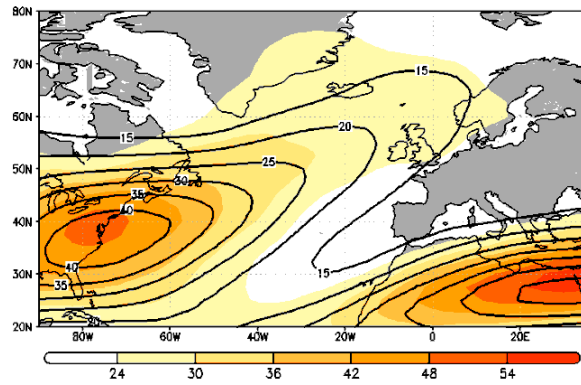


966 Fig. 2

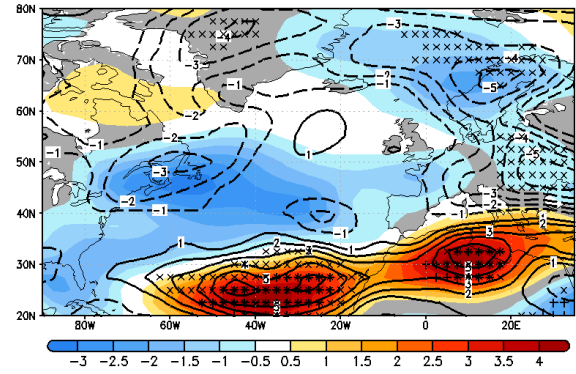
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NCEP

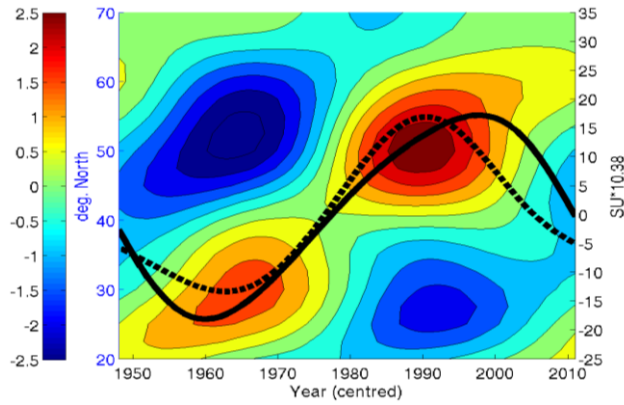
(a) Mean u250/bi400



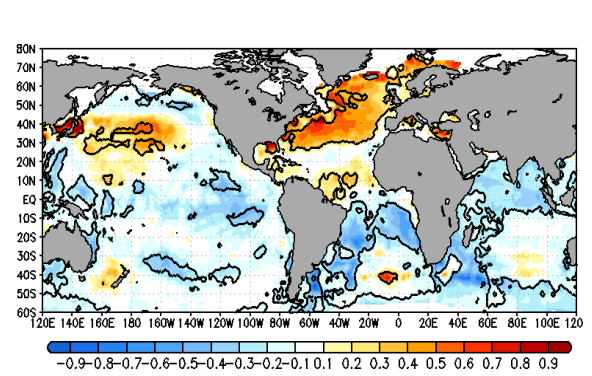
(b) Difference u250/bi400 50s-80s



(c) Decadal jet/NAO variability



(d) Difference SST 50s-80s



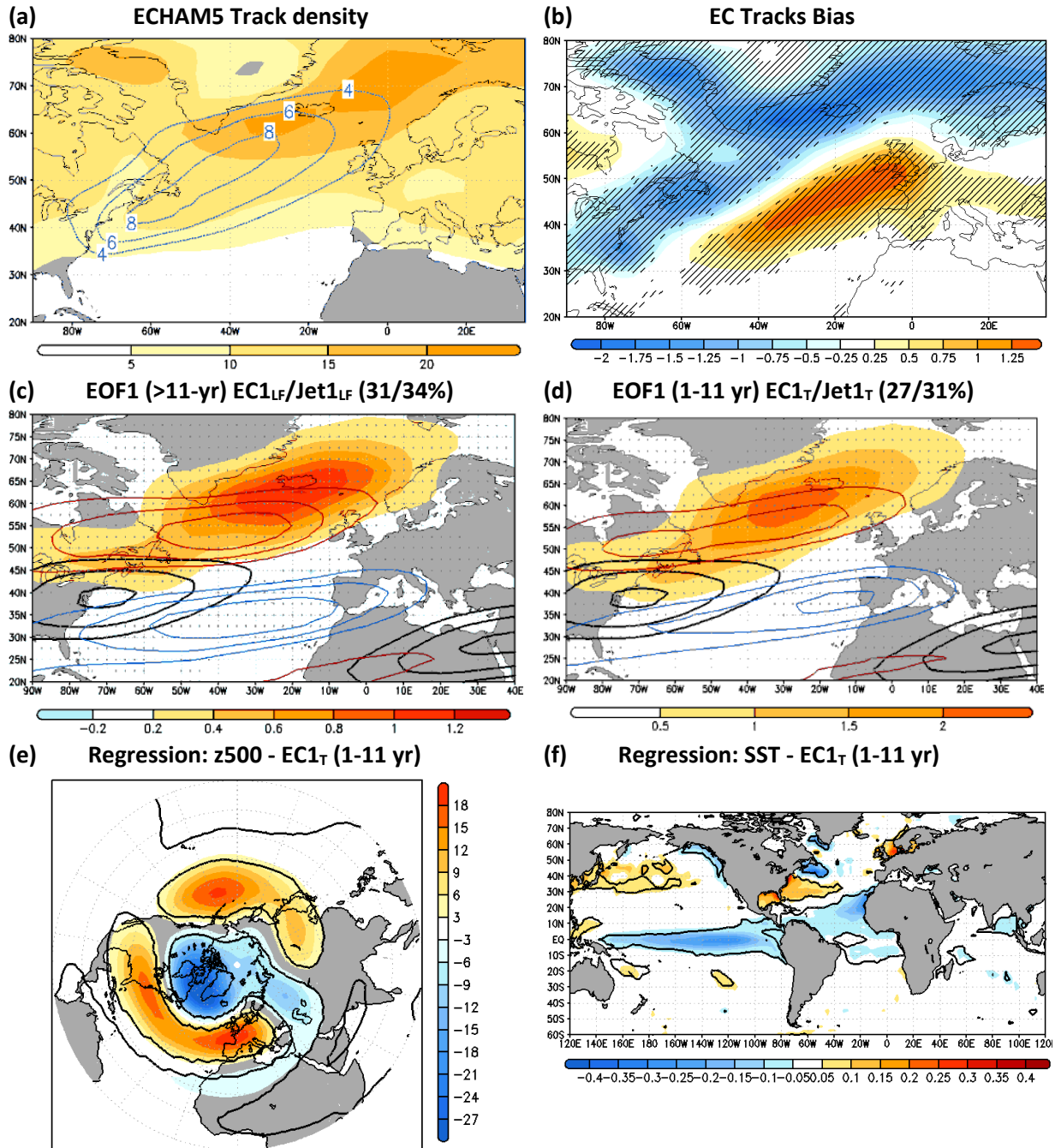
968 Fig. 3

969

970

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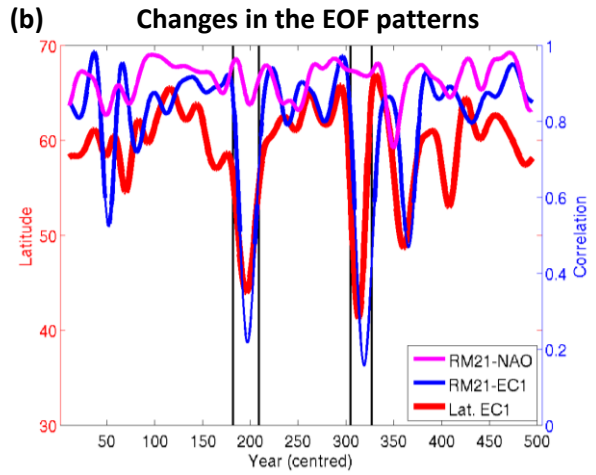
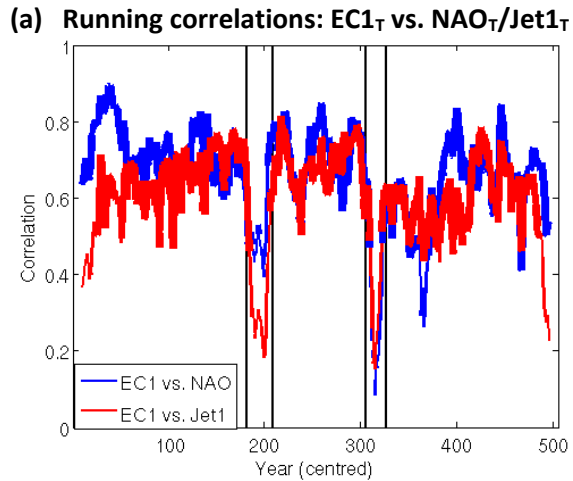
ECHAM5/MPIOM1



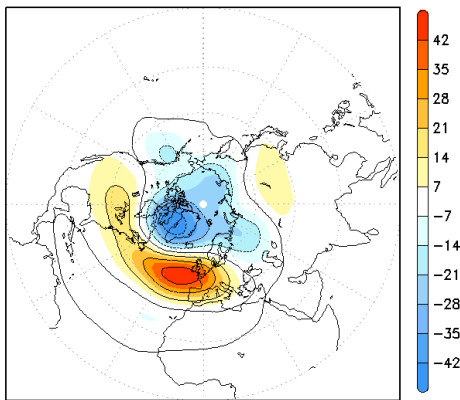
972 **Fig. 4**

973

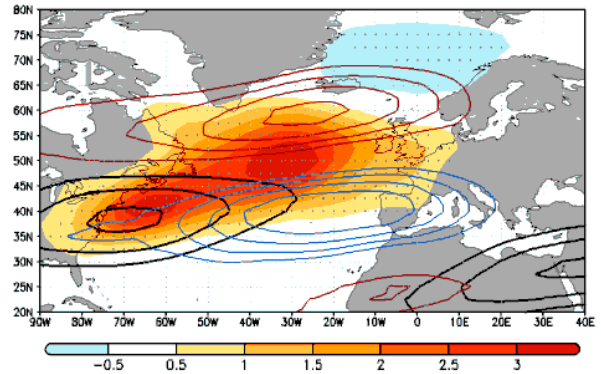
ECHAM5/MPIOM1



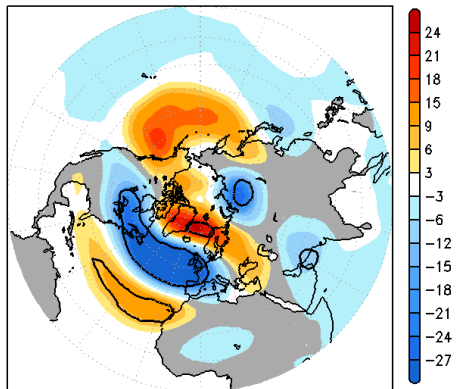
(c) Re-calculated NAO<sub>D</sub> (40%)



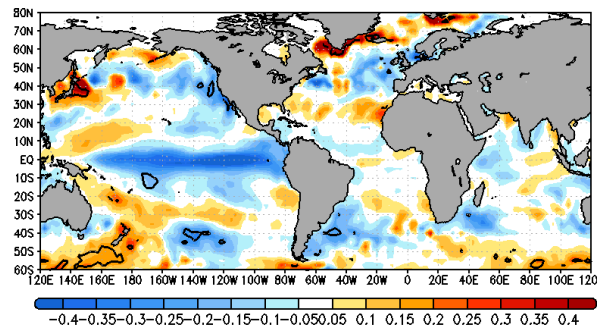
(d) EC1<sub>D</sub>/Jet1<sub>D</sub> (26/36%): decoupling periods



(e) Regression: z500 - EC1<sub>D</sub>



(f) Regression: SST - EC1<sub>D</sub>



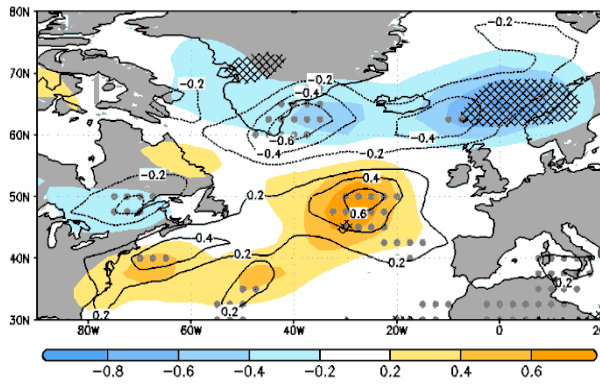
974 Fig. 5

975

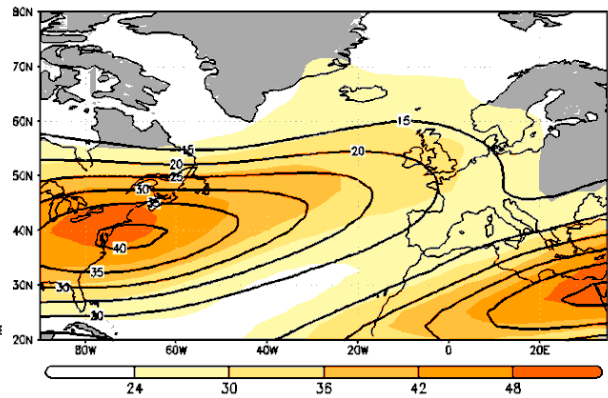
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ECHAM5/MPIOM1: DECOUPLING PERIODS - ATMOSPHERE

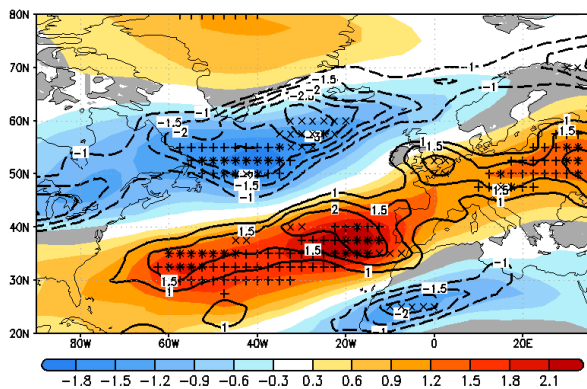
(a) Track-densities: mean/variance anomaly



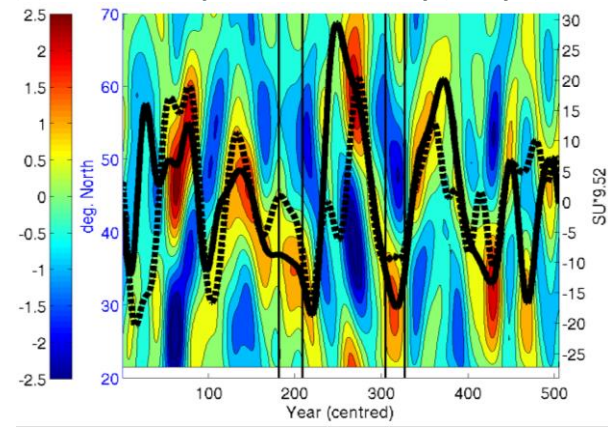
(b) Mean u250/bi400



(c) Anomalous u250/bi400



(d) Decadal jet/NAO variability (>33 yr.)

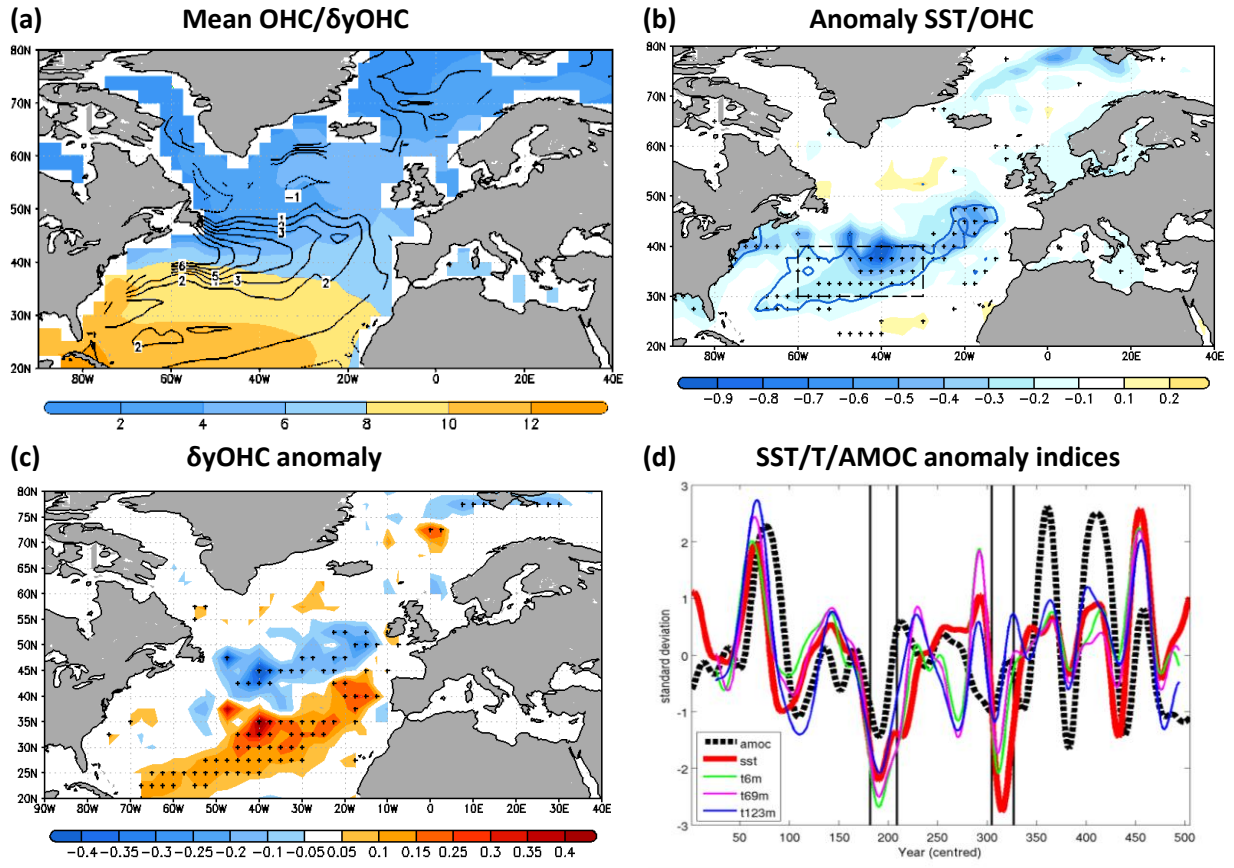


977 Fig. 6

978

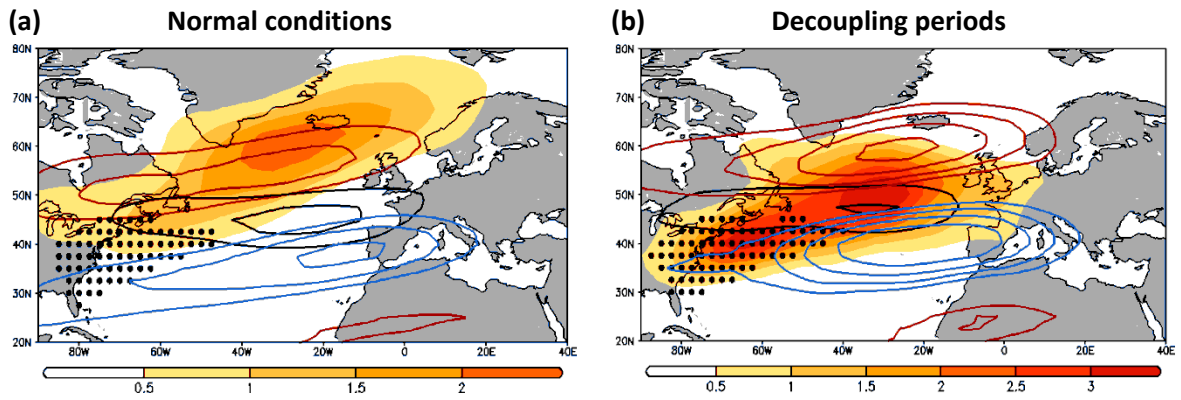


ECHAM5/MPIOM1: DECOUPLING PERIODS - OCEAN



979 Fig. 7

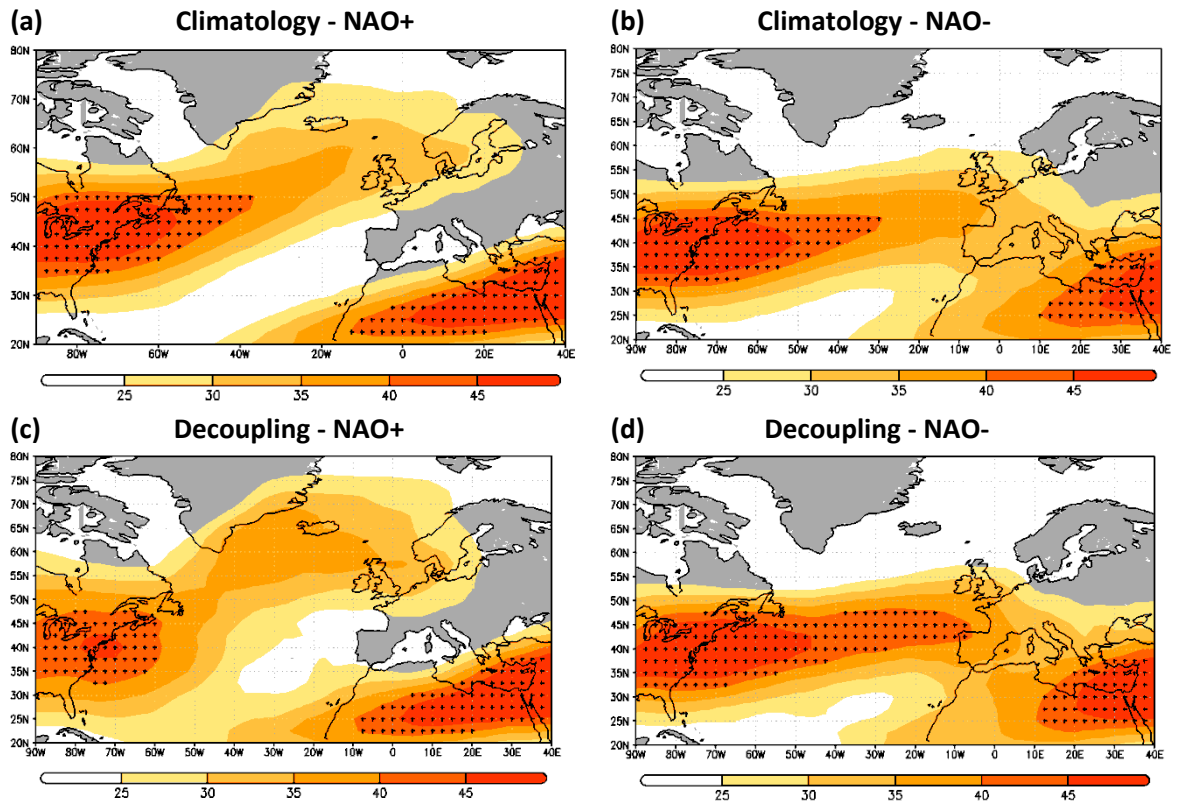
POTENTIAL MECHANISM (1): CROSS-JET



980 Fig. 8

981

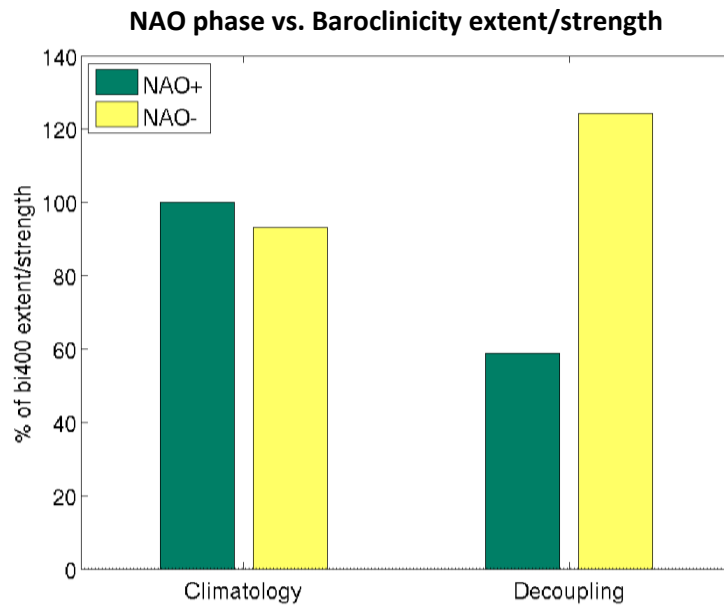
POTENTIAL MECHANISM (2): NAO PHASE - BAROCLINICITY



982 Fig. 9

983

984



985

986 **Fig. 10**