

# Tidal influence on high frequency harbor oscillations in a narrow entrance bay

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**Abstract:** High frequency sea level oscillations at Wells Harbor (Maine, Northeastern US), with periods in the range of several tens of minutes, display a tidally modulated response. During low tides these sea level oscillations reach amplitudes of 10-20 cm, while during high tides they are significantly smaller. Wells Harbor is located in a low lying area with a tidal range of about 2 m and is connected to the open ocean through a narrow channel. Thus the extent and depth of the bay significantly vary over a tidal cycle. This changing geometry determines both the resonant periods and the amplification factor of the bay. Numerical results confirm the link between observed variability and these specific topographic features. Results imply that when exceptionally energetic long waves reach the Wells Harbor entrance (as in the case of a tsunami or meteotsunami) the expected response will be significantly stronger during low tide than during high tide. Although mean sea level would be lower in the former case, the currents inside the bay would be stronger and potentially more dangerous. This tidally modulated response could be extrapolated to other sites with similar topographic characteristics.

## 1.- Introduction

The topographic features of a bay or inlet determine how external energy is amplified under resonant conditions. The shape, bathymetry and configuration of the entrance to the bay define the characteristics of the major resonance modes, i.e. the seiche response, resonant frequencies and the inner basin quality factor  $Q$  (Rabinovich, 2009).

The amplification factor  $H$  for long waves arriving at the coast from the open sea may be approximately given by a single mode approach (Miles and Munk, 1961)

32 
$$H^2 = [(1 - f^2/f_0^2)^2 + Q^{-2}f^2/f_0^2]^{-1}, \quad (1)$$

33

34 where  $f$  is the frequency of the long waves,  $f_0$  is the fundamental resonant frequency of  
 35 the bay (seiche), and  $Q$  is the quality factor,  $Q = 2\pi f_0/r$ , with  $r$  being the rate of  
 36 frictional damping of the oscillations.

37 For a bay with a long narrow entrance channel, the fundamental frequency is  
 38 determined by the equation

39 
$$f_0 = \frac{1}{2\pi} \sqrt{\frac{g S}{l A}}, \quad (2)$$

40 where  $S$  is the entrance cross-section,  $A$  is the bay area,  $l$  the channel length and  $g$  the  
 41 gravity acceleration (Miles and Munk, 1961; Raichlen, 1966). According to Eq. (2), the  
 42 larger the bay area and the narrower the entrance for a given depth, the lower the  
 43 fundamental frequency.

44 The quality factor  $Q$ , which is a linear measure of the energy damping in the system,  
 45 depends on the size and shape of the bay. In the classical work by Miles and Munk  
 46 (1961), the  $Q$ -factor is related to the radiation of the wave energy, but in a more general  
 47 case, internal friction has also to be taken into account. The effective  $Q$ -factor of the  
 48 system is then determined by both the frictional factor,  $Q_I$ , and the radiational factor,  $Q_R$   
 49 (Garrett, 1975) where

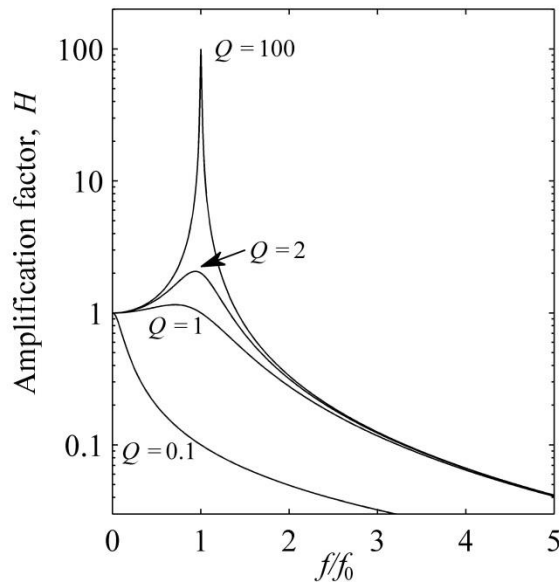
50 
$$Q^{-1} = Q_R^{-1} + Q_I^{-1}. \quad (3)$$

51 For a narrow entrance, the radiation becomes negligible so that internal dissipation  
 52 (friction) is the major effect controlling the quality factor (Raichlen, 1966).

53 In some locations the tidal range is large enough to significantly alter the bathymetric  
 54 and geometric characteristics of the region, in particular when the surrounding coastal  
 55 land is relatively flat. In such cases, the  $Q$ -factor and the expected amplification and  
 56 damping of the incoming waves differ during low and high tide. According to Eq. (2),  
 57 the resonant frequency for the bay may also differ within the tidal cycle: it becomes  
 58 lower for high tide and higher for low tide.

59 The tidal regime can have an additional effect on the  $Q$ -factor. Internal friction depends  
 60 on the current speed and depth. So, in a tidally controlled estuary, where the major  
 61 currents are related to the tides, highest speeds will occur in the bay channel during the  
 62 peak ebb and flood tidal phases. Also, the tidal current amplifies when the bay area  
 63 increases. So, the dissipation rate  $r$  will increase when the bay area increases and the  $Q$ -  
 64 factor will decrease even more strongly than in the case of a constant dissipation rate  
 65 without considering the frictional effects.

66 As indicated by equation (1), when the  $Q$ -factor is much greater than unity, the  
 67 amplification factor attains its maximum very close to  $H^2=Q^2$  when  $f=f_0$  (the  
 68 approximation being very good for large values of  $Q$ ) then decreases to unity as  $f$   
 69 approaches 0 and goes to zero as  $f$  goes to infinity (Fig. 1). When the  $Q$ -factor decreases  
 70 the maximum moves towards the origin, being located at  $f=0$  when  $Q = 1/\sqrt{2}$ . When  
 71  $Q < 1/\sqrt{2}$  there is no maximum and the amplification factor is always smaller than 1.0.  
 72 The wave amplitudes reduce at any non-zero frequency; i.e.  $H$  monotonically decreases  
 73 with increasing frequency. These features of the bay response to incoming waves could  
 74 be better explained and estimated using an equivalent circuit analogy, as was done by  
 75 Miles (1971) and Cummins (2013). However, all these features are limited to a single  
 76 oscillator approximation; when frequency increases, the presence of higher modes  
 77 essentially change the properties of the amplification function.



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Figure 1: Amplification factors as a function of  $f/f_0$  for different values of  $Q$

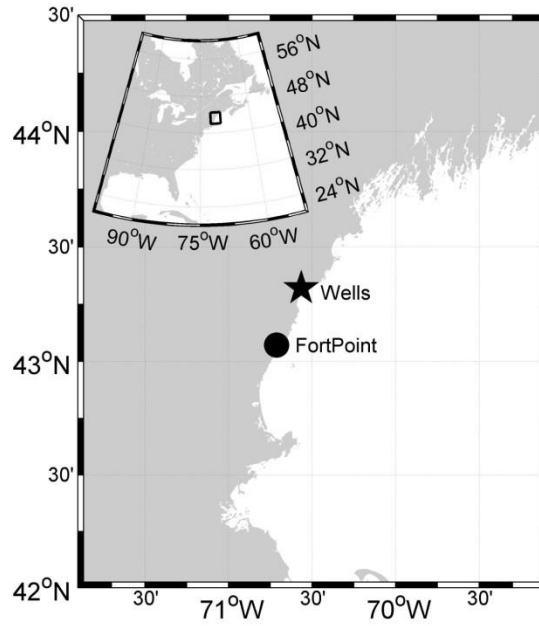
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81 It is important to quantify the resonant frequencies and the  $Q$ -factor for any bay or inlet  
82 to evaluate the potential risk from energetic incoming open-ocean long waves, as in the  
83 case of tsunamis or meteotsunamis. This can be easily done numerically by means of a  
84 shallow water numerical model. The spectral ratio between oscillations inside and  
85 outside the bay enables us to estimate the resonant bay modes (seiches) and expected  
86 amplification ( $Q$ -factor). (See, for example, Monserrat et al., 1998; Rabinovich et al.,  
87 1999; Liu et al., 2003).

88 Earlier studies have not addressed the effect of tidally modulated high frequency  
89 responses in semi-enclosed basins, likely because the effects were expected to be small.  
90 In this paper, we examine sea level observations at Wells Harbor (Maine, the  
91 northeastern coast of the United States) where the effect of the tidal range on the  
92 resonant properties of the bay is substantial. Wells Harbor is located in a low lying area  
93 with a tidal range of more than 2 m. Here, the surface area of the bay is significantly  
94 influenced by changing mean sea level during a tidal cycle. The available observations  
95 and data analysis are described in Section 2. The observations are interpreted based on a  
96 numerical model described in Section 3. A comparison between the observations and  
97 numerical results is presented in Section 4. The implications of the observed  
98 phenomenon for the case of tsunami or meteotsunami, and extrapolation of the results to  
99 other regions, are also discussed.

## 100 **2. Observations**

101 In this study, we use tide gauge observations from two harbors with different  
102 geometries. Wells Harbor is located in in a lagoon in the Gulf of Maine (Fig. 2), in a  
103 flood plain with a tidal range of more than 2 m and connected to the open sea through a  
104 narrow channel (Fig. 3). As a result, the extent of this lagoon is significantly affected by  
105 changes in sea level during a typical daily tidal cycle. The nearby harbor at Fort Point  
106 (Fig. 2), whose geometry is not appreciably altered by the tidally varying sea level, was  
107 used for comparison.

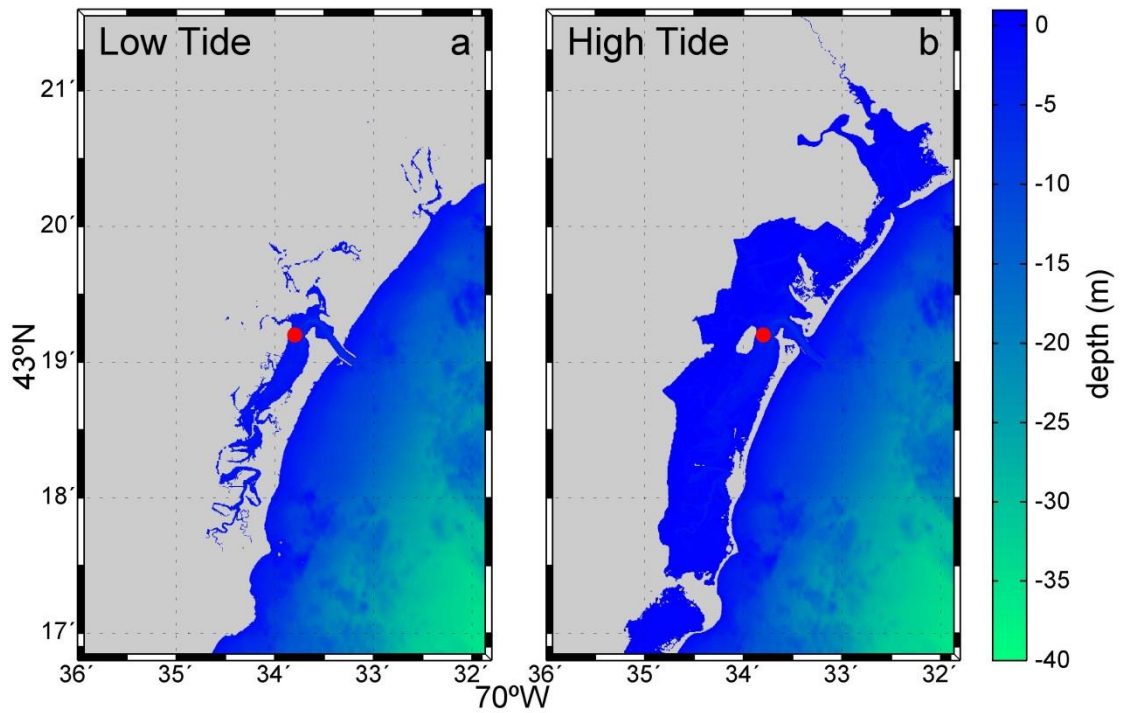


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Figure 2: Location of the Wells Harbor and Fort Point tide gauges on the east coast of the United States.



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Figure 3: Bathymetry of the tidal basin containing the Wells Harbor tide gauge during low tide (a) and high tide (b). The maps have been computed by subtracting and adding 1 m, respectively, to the mean harbor bathymetry. The location of the tide gauge is indicated by a red circle.

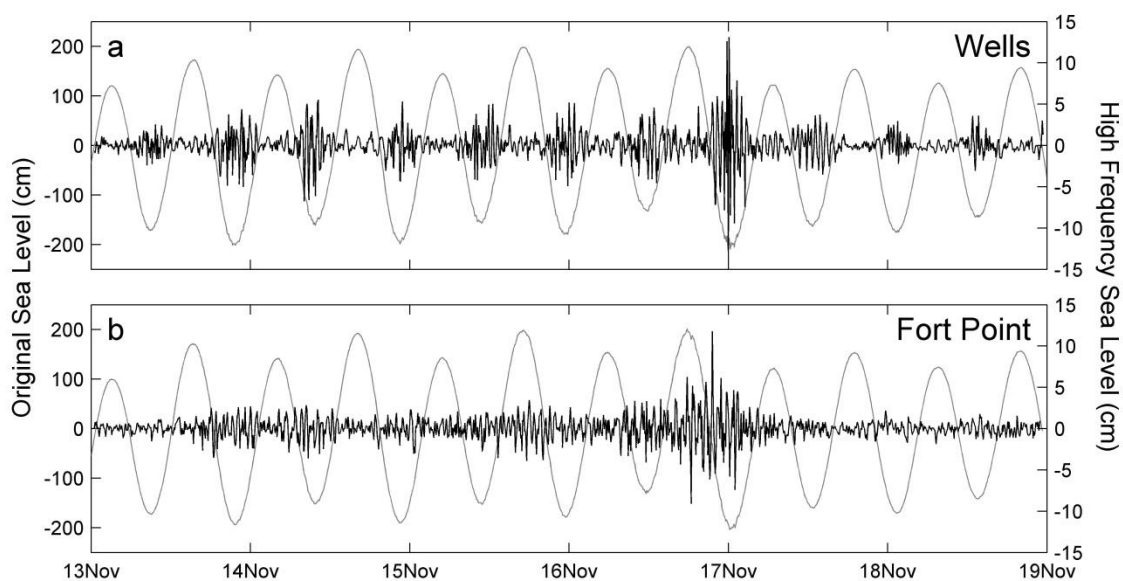
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117 Tide gauge sea-level observations in the Gulf of Maine were obtained from the NOAA  
118 CO-OPS website <http://opendap.co-ops.nos.noaa.gov>. The sea level time series for 2008  
119 used in this study for Fort Point and Wells have 6 min sampling intervals and 1 mm  
120 precision. The raw data were visually checked for spikes, gaps and discontinuities. No  
121 serious problem was detected. Some few missing points were linearly interpolated  
122 before applying a further analysis.

123 The corrected sea level time series from Wells and Fort Point were de-tided and high-  
124 pass filtered with 1-hour Kaiser-Bessel window. The observed oscillations with periods  
125 of several tens of minutes and amplitudes of 10-20 cm (Fig. 4) correspond to harbor  
126 seiches. The amplified seiches that occurred in both harbors around midnight of 16  
127 November 2008 are the result of a relatively small meteotsunami. Meteotsunamis have  
128 already been reported in the region. In particular, the event of 28<sup>th</sup> October 2008 has  
129 been extensively studied (Vilibić et al., 2013).

130 In addition to the strongest signal of the two sites being considered, the high frequency  
131 oscillations for Wells display a distinct tidal amplitude modulation, with energetic  
132 seiches during low tides and much weaker seiches during high tides (Fig. 4a). No such  
133 tidal modulation is observed at Fort Point in the neighboring harbor (Fig. 4b).

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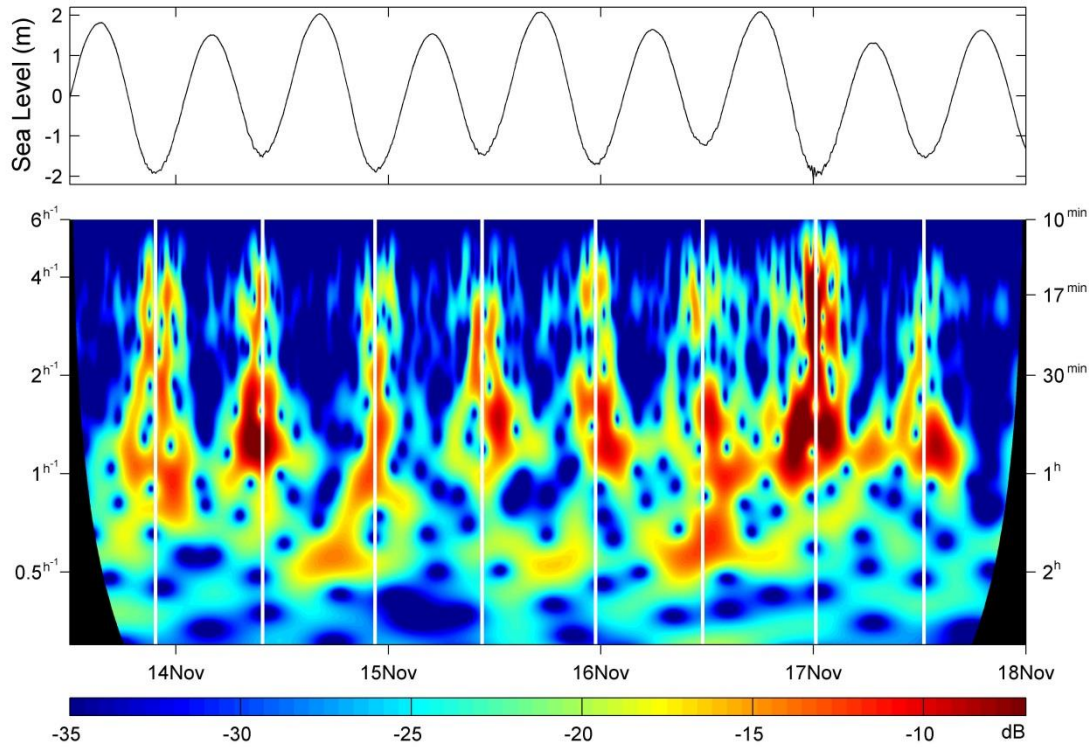
137 Figure 4: Original data time series (grey) and de-tided data (black) recorded at (a) Wells and (b)  
138 Fort Point during November 2008. De-tided data have also been high-pass filtered with 1-hour  
139 window.

140

141 The meteotsunami of 16 November 2008 was observed at Fort Point during almost the  
142 entire 12-hour tidal cycle but, in contrast, at Wells Harbor it is only apparent during the  
143 low tide, becoming there even more energetic than at Fort Point. This response is  
144 clearly associated with the pulse-like seiche behavior observed at Wells and related to  
145 the tidal regime.

146 The frequency response of the de-tided time series at Wells was analyzed using a Morlet  
147 wavelet (Fig. 5). Energy content in the range from 10 min to 2 h was computed for a 4.5  
148 days period (November 13<sup>th</sup>-18<sup>th</sup>). For comparison, we also show the tidal cycle in Fig.  
149 5. Tidal amplitudes modulate not only the amplification response to the incoming  
150 energy, which is higher during the low tide, but also the frequency of the seiche  
151 oscillations. Therefore, when particularly large high frequency energy reaches the Wells  
152 Harbor entrance (as in the case of the above mentioned meteotsunami), the expected  
153 response is significantly greater during low tide than during high tide. Although the  
154 mean sea level would be lower in the former case and the flooding risk reduced, the  
155 currents inside the bay would be much stronger and potentially more dangerous.

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160 Figure 5: Wavelet analysis of sea level recorded at Wells over 4.5 days in November 2008.  
 161 Tides at this location are also shown and the times of low tide are indicated with vertical white  
 162 lines in the wavelet plot.

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### 167 3. Numerical model

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169 A linear, shallow-water equation numerical model was used to compute the seiche  
 170 response for two scenarios with bathymetries corresponding to a tide that varies by  $\pm 1$   
 171 m (see Fig. 3). The bathymetry, with cell size of 1/3 arc-second (about 10 m), has been  
 172 obtained from the NOAA National Geophysical Data Center (Lim et al., 2009).

173 The model finite-difference formulation is similar to the one used in the TUNAMI N2  
 174 model (Imamura, 1996). The model was forced externally by specifying prescribed



175 incident waves at the open boundaries. The incident waves had a “red noise” spectra,  
 176 similar to the observed background noise in the open ocean (Fine et al., 2009). The open  
 177 boundary radiation condition in the model has the form

178  
 179 
$$\frac{\partial \zeta}{\partial n} - \frac{1}{c} \frac{\partial \zeta}{\partial t} = y_0, \quad (4)$$

180  
 181 where  $\zeta$  represents sea level elevation at time  $t$ ,  $c$  is the wave speed,  $n$  is directed normal  
 182 to the model boundary and  $y_0$  is the forcing term at the entrance, which is computed  
 183 using a stationary autoregressive (AR) model of the first order:

184 
$$y_k = a y_{k-1} + \varepsilon. \quad (5)$$

185  
 186 Here  $y_k$  is the autoregression output which is then used as the open boundary condition,  
 187  $a$  is the regression coefficient ( $0 < a < 1$ ), and  $\varepsilon$  is a random “white noise” process.

188 The normalized spectrum of  $y_k$  (and consequently  $\frac{\partial \zeta}{\partial t}$ ) has the form

189  
 190 
$$S_y = \left| 1 - e^{\log(a) + i2\pi f \Delta t} \right|^{-2}, \quad (6)$$

191  
 192 where  $\Delta t$  is the sampling interval of the input time series. It is clear then that the  
 193 incoming waves had a “red noise” spectrum

194  
 195 
$$S_\zeta = \left| 1 - e^{\log(a) + i2\pi f \Delta t} \right|^{-2} \frac{1}{\sin^2(2\pi f \Delta t)} \quad (7)$$

196  
 197 which is equal to (6) multiplied by the factor  $\sin^{-2}(2\pi f \Delta t)$  as obtained by integrating (4)  
 198 over time.

199 The spectrum (7) is a monotonic function of  $f$ , decreasing as  $f^{-2}$  for frequencies less than  
 200  $f_a$ , where  $f_a = -(2\pi \Delta t)^{-1} \log(a)$  and with a higher rate (up to  $f^{-4}$ ) for frequencies  
 201 higher than  $f_a$ .

202 We have used  $f_a = 1/120 \text{ s}^{-1}$  (i.e. the boundary frequency has been defined as 0.5 min-  
 203 <sup>1</sup>), thus, the incoming waves have a  $f^{-2}$  dependence for the seiche frequency band.

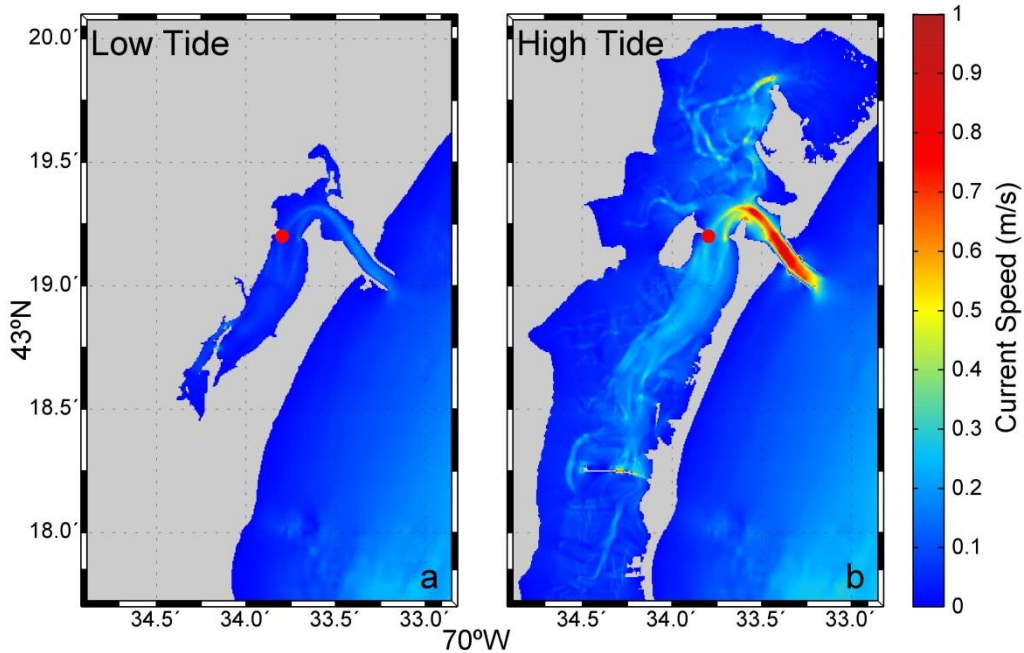
204 The computational domain (Fig 3) has grid dimensions of 1190 rows  $\times$  1081 columns  
 205 and an  $(x, y)$  grid size of 7.5 m by 10.3 m (or 1/3 arc second in spherical coordinates).  
 206 The time step used was 0.23 s, and results were saved at prescribed grid points every 1  
 207 min.

208 To model the wave dissipation in the bay, we included a linearized Manning friction of  
 209 the form

$$210 \quad r = 2gUn^2h^{-4/3} \quad (8)$$

211 where  $U(x,y)$  is a tidal current speed,  $g$  is the acceleration of gravity,  $n=0.03$  is the  
 212 Manning coefficient and  $h$  is the water depth. We have assumed that the tidal current  
 213 dominates the flow and that the wave-induced velocity is parallel to the tidal velocity.

214 To estimate the tidal speed to be used in our simulations, we computed a low-frequency  
 215 response during both low and high tide cases. Results are presented in Fig. 6. Due to the  
 216 much larger water body during high tide, the simulated tidal currents are approximately  
 217 five times stronger than at low tide for the same rate of sea level change.



218

219 Figure 6: Numerically computed tidal current speeds during low and high tides.

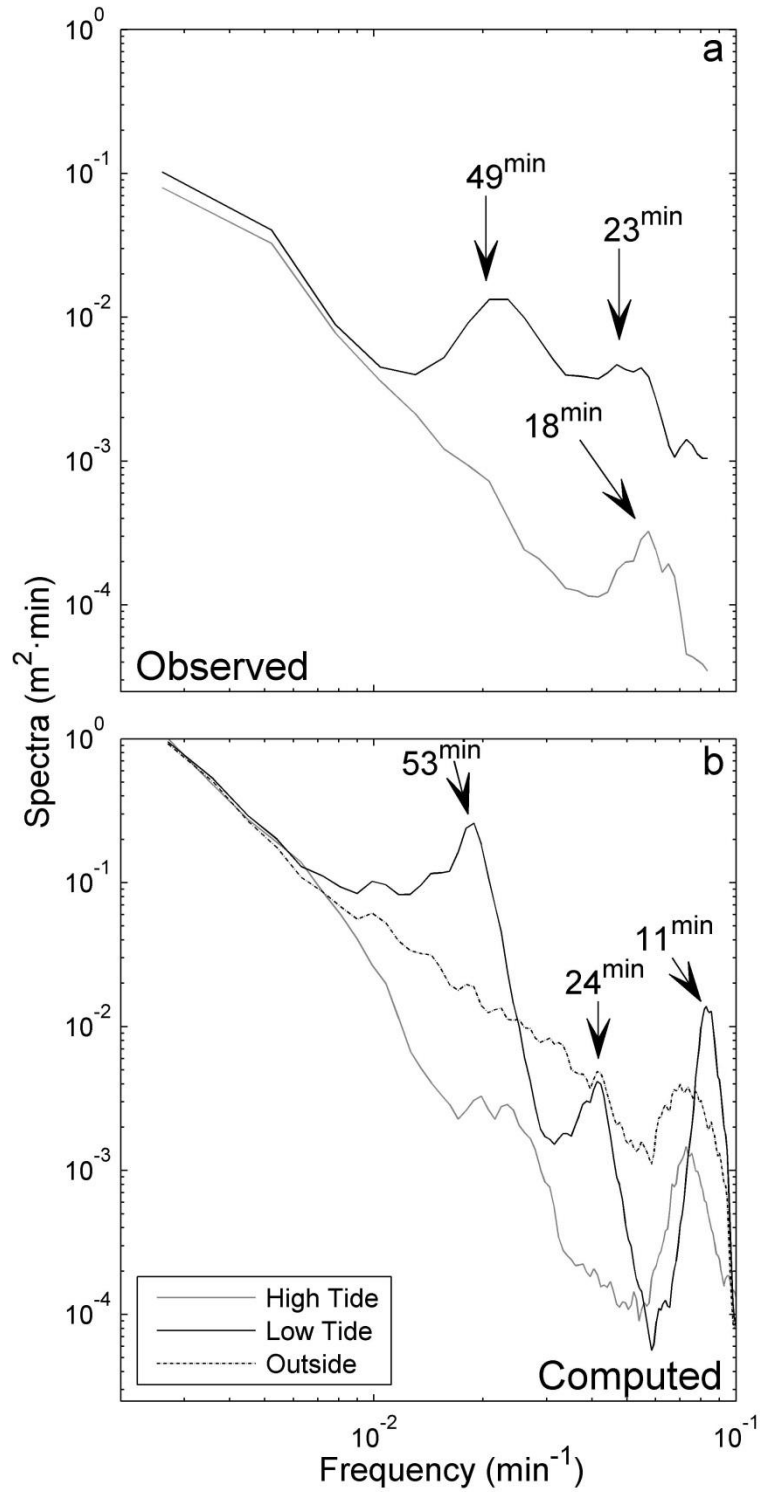
220 We simulated the bay response for 640 hrs (~27 days) for two conditions corresponding  
221 to low and high water. Results of the simulated waves at the grid points corresponding  
222 to the tide gauge locations were stored for analysis.

223

#### 224 **4. Discussion and conclusions**

225

226 The output of the numerical model and the tide gauge observations at Wells Harbor  
227 have been compared in the frequency domain (Fig. 7).



228

229 Figure 7: Sea level spectra during low and high tide at Wells Harbor using (a) observations and  
 230 (b) numerical results. The spectrum for a site located outside Wells Harbor is shown in (b).

231 Observational spectra have been computed by averaging of 50 individual spectra (DoF = 150).

232

Numerical spectra have DoF= 148.

233

234 For the observations, spectra have been computed separately for low and high tides  
235 aiming to estimate the resonant characteristics for the two situations. To do so, 6.4-hour  
236 segments centered at high and low tides were selected. Since the reference sea level  
237 during low and high tide varies significantly due to the tropical-equatorial and spring-  
238 neap cycles, only those segments for which the reference sea level ranged between 1.1  
239 m and 0.9 m above and below the yearly mean sea level have been selected. The spectra  
240 were computed with a Kaiser-Bessel window of  $2^6=64$  points with 2 degrees of freedom  
241 (DoF) (Emery and Thomson, 2001). This was repeated a total of 50 times with  
242 segments randomly selected from those fulfilling the above mentioned criterion for one  
243 entire yearly record. Then, in order to decrease the confidence intervals, the  
244 corresponding spectra were averaged independently for high tide and low tide segments.  
245 The initial DoF = 2 DoF were subsequently increased to DoF = 150.

246 The limitation of the tidal cycle does not exist in the output of the numerical model, as  
247 this was run with a uniform sea level. We computed then the spectra with series of  
248 38400 points (~26.7 days) and used a half-overlapping Kaiser-Bessel window of  
249  $2^{10}=1024$  points. With these selected values we obtain DoF = 148, i.e. almost the same  
250 as for the observed spectra.

251 The observational spectra (Fig.7a) show a peak at about 18 min, detected in both low  
252 and high tide spectra, although it becomes more energetic and slightly displaced  
253 towards higher periods during low tide. The second peak, at about 49 min, is only  
254 apparent and very energetic during low tide. The comparison between the two spectra  
255 demonstrates how the seiche response inside the harbor is changing through the tidal  
256 cycle. These changes are associated with the bathymetric changes in the harbor as the  
257 mean sea level varies.

258 The results for the simulated spectra for a site located outside the bay and at the tide  
259 gauge location are shown in Fig. 7b. During low tide, the spectrum significantly  
260 increases at the fundamental frequency (about  $0.02 \text{ min}^{-1}$ , i.e period of 53 min) as  
261 compared with the energy outside the bay. At higher frequencies, the spectrum inside  
262 the bay decreases faster than outside, thus the amplification factor becomes smaller than  
263 unity. At the first bay mode (~24 min), the energy of the low-tide seiche increases again  
264 but the amplification factor remains below 1.0. At high tide, the wave spectrum inside

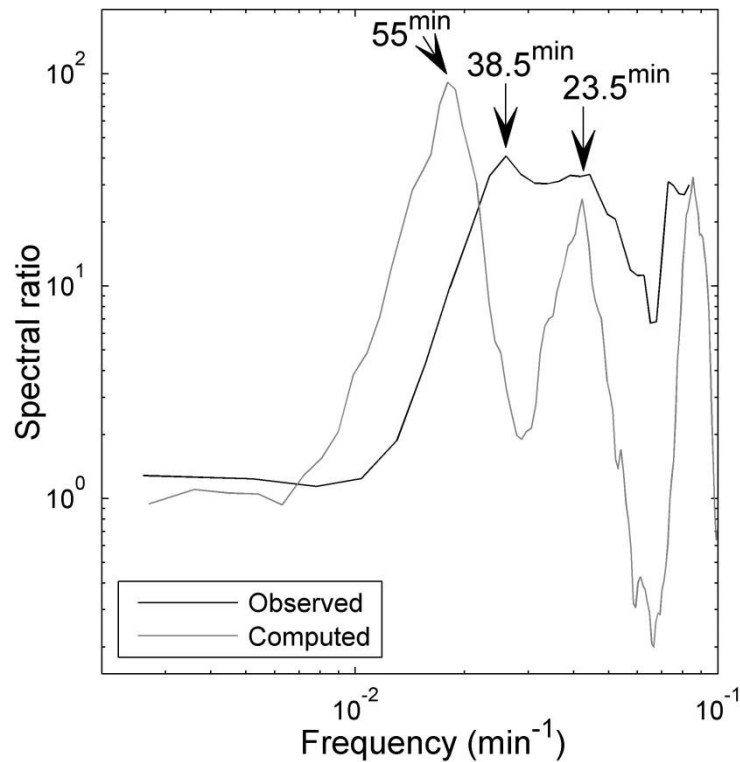
265 the bay is much lower than the spectrum outside the bay for all frequencies, indicating  
266 that the  $Q$ -factor is less than 1.0. The high frequency peak found around 11 min is  
267 above the Nyquist frequency for the observational spectra (12 min period) and could  
268 only be observed if the sampling rate for the observations was increased.

269 Comparing numerical simulations inside and outside the bay, the spectral amplification  
270 at the fundamental frequency during low tide can be estimated as  $\sim 30$ . Therefore, the  $Q$ -  
271 factor, following equation (1), should be of around 5-6, and accordingly, the linear  
272 damping rate would be approximately  $0.02 \text{ min}^{-1}$ . The bottom friction is expected to be  
273 significantly higher at the entrance channel during high tide because the bay area is  
274 much larger and the cross-section of the channel remains almost unchanged. As a result,  
275 the estimated  $Q$ -factor for high tide would be as low as 0.4.

276 It should be noticed that the wave spectra result from a topographic response combined  
277 with the incoming wave energy and, in this respect, the forcing of the model may differ  
278 from that for an actual event. To avoid this limitation, and remove the influence of the  
279 incoming wave energy in the comparison between observations and numerical results,  
280 we estimated the spectral ratios between low and high tide spectra (Fig. 8). Both  
281 “observational” and “computed” spectral ratios have quite similar shapes, but are not  
282 totally identical. The computed peak at 55 min is shifted towards higher frequencies in  
283 the observations (to a period of approximately 38.5 min). However, the second peak at  
284 23-24 min is clearly observed in both the computations and the observations. The actual  
285 values of the spectra are also similar, although those for the numerical values are  
286 slightly larger.

287 In general, the numerical results support our assumptions and demonstrate that the  
288 observed tide-modulated variability in seiche oscillations at Wells Harbor is definitively  
289 associated with changes in the basin geometry between low and high tides. The  
290 numerical model correctly reproduces the period of 23-min for the seiche oscillations  
291 affected by the bathymetric changes, although it slightly overestimates their actual  
292 amplification. The variation between the peaks and troughs in spectral ratios determined  
293 from the numerical results is also much more pronounced than in the observations. The  
294 observed differences are not surprising and could be attributed to the fact that the  
295 simulations were run with a constant reference sea level, whereas the actual tidal range

296 is continuously varying during the 12-hour tidal cycle. It is expected then that spectra  
297 computed using observations will be smoother due to this averaging. A more  
298 sophisticated numerical model, including a “tidally modulated” reference sea level,  
299 would likely provide better agreement with the observations, but the effect we wanted to  
300 show becomes apparent enough even for a simple model with a constant bathymetry.



301

302 Figure 8: Spectral ratios between the spectra estimated for low and high tide series at Wells  
303 Harbor for both observations (black) and numerical computations (grey).

304

305 The results shown in this paper for a particular harbor suggest that the tidal regime for  
306 some basins significantly influences the seiche activity and should be taken into account  
307 when assessing the seiche characteristics in the basin. Primarily, this is important for  
308 harbors with a high tidal range and extensive flat area surrounding the harbor. The  
309 expected response of the basin to intense incoming waves, in particular those associated  
310 with a tsunami or meteotsunami, may significantly vary during the tidal cycle.

311

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322

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