

1 **Effect of oxidant stressors and phenolic antioxidants on the**
2 **ochratoxigenic fungus *Aspergillus carbonarius***

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24 **Abstract**

25 BACKGROUND. There are few studies dealing with the relationship between
26 oxidative stress and ochratoxin A (OTA). In this work we have analyzed the effect
27 of the oxidant stressor menadione and the antioxidants 3,5-di-tert-butyl-4-
28 hydroxytoluene (BHT), catechin, resveratrol and a polyphenolic extract on growth,
29 generation of reactive oxygen species (ROS), OTA production and gene
30 expression of antioxidant enzymes of *Aspergillus carbonarius*.

31 RESULTS. Exposure to menadione concentrations higher than 20 μ M led to
32 increases in ROS and OTA levels and to a decrease in growth rate. Exposure to
33 2.5-10 mM BHT also led to higher ROS and OTA levels, although growth rate was
34 only affected above 2.5 mM. Naturally occurring concentrations of catechin,
35 resveratrol and polyphenolic extract barely affected growth rate, but they produced
36 widely different effects on OTA production level depending on the antioxidant
37 concentration used. In general, gene expression of antioxidant enzymes
38 superoxide dismutase (SOD) and peroxiredoxin (PRX) was down regulated after
39 exposure to oxidant and antioxidant concentrations that enhanced OTA
40 production.

41 CONCLUSION. *A. carbonarius* responds to oxidative stress increasing OTA
42 production. Nevertheless, the use of naturally occurring concentrations of
43 antioxidant phenolic compounds to reduce oxidative stress is not a valid approach
44 by itself for OTA contamination control in grapes.

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46 **Keywords:** *Aspergillus carbonarius*; ochratoxin production; oxidative stress; grapes.

47 **INTRODUCTION**

48 *Aspergillus carbonarius* is an ochratoxin A (OTA)-producing fungus, which
49 has been considered the main species responsible for OTA contamination of
50 grapes and derived products ¹⁻². The greatest concern about this contamination
51 lies in the fact that OTA is a nephrotoxic, carcinogenic, teratogenic and
52 immunotoxic mycotoxin ³. Thus, OTA has been classified by the International
53 Agency for Research on Cancer ⁴ in group 2B (possible human carcinogen).
54 Fungal growth and production of OTA has been shown to be affected by
55 environmental and nutritional factors, such as pH, temperature, water activity and
56 carbon and nitrogen sources ⁵. However, little is known about the effect of other
57 factors such as oxidative stress and the influence of phenolic antioxidants on OTA
58 production level and fungal growth of *A. carbonarius*.

59 Oxidative stress is recognized as a trigger of mycotoxin biosynthesis.
60 Jayashree and Subramanyam ⁶ were among the first researchers who evidenced
61 the relevance of reactive oxygen species (ROS) and oxidative stress on mycotoxin
62 production. They found that aflatoxin production is a consequence of increased
63 oxidative stress leading to enhanced lipid peroxidation and ROS generation.
64 These results were subsequently confirmed when it was observed that oxidative
65 stressors such as hydrogen and lipid hydroperoxides induce the accumulation of
66 deoxynivalenol by *Fusarium graminearum* ⁷, aflatoxins by *Aspergillus parasiticus*
67 and *Aspergillus flavus* ⁸⁻⁹ and sterigmatocystin by *Aspergillus nidulans* ¹⁰. By
68 contrast, it has also been demonstrated that antioxidant molecules have an
69 inhibiting effect on the formation of mycotoxins such as aflatoxins and fumonisins
70 ¹¹⁻¹³. Indeed, some of these compounds have also been used safely as
71 alternatives to fungicides to control aflatoxin and fumonisin contamination in
72 various food and agricultural products ¹⁴⁻¹⁶.

73 Correlation between oxidative stress and mycotoxin biosynthesis has been
74 less demonstrated in OTA than in other mycotoxins. Palumbo *et al.*¹⁷ studied the
75 inhibition effect of phenolic antioxidants on OTA production and fungal growth of
76 different ochratoxigenic *Aspergillus* species. Butylated hydroxyanisole (BHA) and
77 the antimicrobial agent propyl paraben (PP) have also been tested as alternatives
78 to fungicides to control *Aspergillus* section *Nigri* species in peanuts during storage
79 ¹⁸⁻¹⁹. Some of these antioxidant compounds included in these studies such as
80 BHA, caffeic acid and resveratrol are known to inhibit lipoxygenases. Moreover, it
81 is also known that the lipoxygenase biosynthesis pathway yields oxylipins, which
82 trigger signaling mechanisms that activate mycotoxin production²⁰. Actually, the
83 involvement of a lipoxygenase in OTA production by *Aspergillus ochraceus* has
84 been demonstrated by Reverberi *et al.*²¹. Based on this background information,
85 De Rossi *et al.*²² demonstrated that resveratrol is able to control OTA production
86 level by *A. carbonarius* through the inhibition of lipoxygenase activity. This led us
87 to hypothesize that the oxidant/antioxidant balance affects OTA biosynthesis and
88 that oxidative stress might be another important factor involved in triggering of
89 OTA biosynthesis.

90 The present study was undertaken to investigate how oxidative stress
91 influences OTA production by *A. carbonarius*. To this aim, the effect of the oxidant
92 stressor menadione and phenolic antioxidants on fungal growth, generation of
93 ROS, OTA production level and gene expression of antioxidant enzymes of *A.*
94 *carbonarius*, was studied.

95 **MATERIALS AND METHODS**

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97 **Fungi and culture conditions**

98

99 The OTA-producing *A. carbonarius* strain W04-40 was isolated from a
100 Spanish vineyard by Martínez-Culebras and Ramón ²³ and deposited in the
101 Institute of Agrochemistry and Food Technology of the Spanish National Research
102 Council (IATA-CSIC).

103 *A. carbonarius* strain was grown on Petri dishes containing Malt Extract
104 Agar (MEA) medium in the dark at 28 °C for 6 days to achieve conidia production.
105 Conidia were collected with a sterile solution of 0.005% (v/v) Tween 80 (J.T.
106 Baker, Holland) and were adjusted to 10⁶ conidia mL⁻¹ using a Thoma counting
107 chamber. One hundred microliters of the conidial suspension was homogeneously
108 spread on Petri dishes containing Czapek Yeast Extract Agar (CYA) medium and
109 incubated in the dark at 28 °C. For analysis of OTA production level, ROS
110 generation and gene expression, *A. carbonarius* strain W04-40 was incubated for
111 48 h. For growth assessment, CYA plates were inoculated centrally with 5 µL of
112 conidia suspensions (10⁶ conidia mL⁻¹). Two perpendicular diameters of the
113 growing colonies were measured daily over four days until the colony reached the
114 edge of the Petri dish. The radius of the colony was plotted against time, and a
115 linear regression was applied in order to obtain the growth rate as the slope of the
116 line to the X-axis. All the assays were performed in triplicate.

117 To study the effect of oxidative stress on *A. carbonarius* the oxidant agent
118 menadione (2-methyl-1,4-naphthoquinone) (0, 10, 20, 40, 60, 80 and 100 µM) was
119 added to CYA plates. As antioxidant agents, catechin and resveratrol (both 0, 10,
120 25, 50, 100, 250, 500, 1000 and 1500 µM), the synthetic antioxidant 3,5-di-tert-
121 butyl-4-hydroxytoluene (BHT) (0, 1, 2.5, 5 and 10 mM), and a grape polyphenol

122 extract obtained in the laboratory (described below) (0, 5, 10, 15, 20, 25, 30 and
123 35 ppm) were added to CYA plates. Dimethylsulfoxide (DMSO) (0.1 % final
124 concentration) was used as solvent for menadione and BHT while catechin,
125 resveratrol and the polyphenol extract were dissolved in methanol (0.1 % final
126 concentration). The same percentage of solvent was added to control cultures
127 without oxidant or antioxidant agents. All oxidant and antioxidant compounds were
128 purchased from Sigma-Aldrich, UK.

129

130 **Extraction of phenolic compounds from grapes**

131

132 The extraction of phenolic compounds from red grapes (Bobal variety) was
133 performed just from the grape skins. Grapes were peeled and skins washed with
134 distilled water. Then, skins were dried at 80°C for three hours. Eighty grams of
135 dried skins were frozen and ground with liquid nitrogen, and 600 mL of methanol-
136 water (80:20) were added for the extraction of phenolic compounds. After
137 homogenization with a polytron PT 45/80 (Kinematica AG, Switzerland)) for 30
138 seconds, four times, the extract was incubated at 45°C for 10 min. Then, the
139 homogenate was centrifuged at 5000 $\times g$ for 30 min. The supernatant was
140 recovered and the residue was re-extracted with another 600 mL of methanol-
141 water (80:20). Methanol was evaporated in a rotary evaporator under vacuum and
142 the concentrate was lyophilized. Finally, total phenolic compounds were
143 determined by the Folin–Ciocalteu reagent ²⁴.

144

145 **RNA isolation and cDNA synthesis**

146

147 Mycelia were collected from 48 h-old cultures, frozen in liquid nitrogen and
148 stored at -80°C before nucleic acid extraction. RNA was isolated from 1 g of
149 mycelium previously ground to a fine powder with a mortar and pestle with liquid
150 nitrogen. Pulverized mycelium was added to a pre-heated mixture of 10 mL of
151 extraction buffer (100 mM Tris-HCl, pH 8.0, 100 mM LiCl, 10 mM EDTA, 1% (w/v)
152 sodium-n-laurylsarcosine (SDS), 1% (w/v) polyvinyl-pyrrolidone 40, 1% β -
153 mercaptoethanol) and 5 mL of Tris-equilibrated phenol. After homogenization with
154 a Polytron PT 45/80 for 1 min, the extract was incubated at 65°C for 15 min and
155 cooled before adding 5 mL of chloroform:isoamyl alcohol (24:1, v/v). The
156 homogenate was centrifuged at $3900 \times g$ for 20 min at 4°C and the aqueous
157 phase was re-extracted with 10 mL of phenol:chloroform:isoamyl alcohol (25:24:1,
158 v/v/v). Nucleic acids were precipitated by adding 2 volumes of cold ethanol and
159 centrifuged immediately at $27,200 \times g$ for 15 min. The resulting pellet was
160 dissolved in 900 μL of TES (10 mM Tris-HCl, 5 mM EDTA, 0.1% SDS, pH 7.5)
161 and RNA was precipitated overnight at -20°C by adding 300 μL of 12 M LiCl.
162 After centrifugation at $27,200 \times g$ for 60 min, the precipitated RNA was re-
163 extracted with 250 μL of 3 M sodium acetate (pH 6.0) to remove residual
164 polysaccharides and, finally, dissolved in 200 μL of water. RNA concentration was
165 measured with a Multiskan Spectrum (Thermo, USA) and verified by agarose gel
166 electrophoresis (1.2 %) and ethidium-bromide staining. Total RNA was treated
167 with DNase (TURBO DNase, Ambion, USA) to remove contaminating genomic
168 DNA. Single-strand cDNA was synthesized from 10 μg of total RNA using
169 SuperScript III reverse transcription kit and an oligo (dT) primer, according to the
170 manufacturer's instruction (Invitrogen, USA).

171

172 **Quantification of relative gene expression by real-time RT-PCR**

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174 Gene expression of two genes related to oxidative stress response, a
175 superoxide dismutase (*sod*) and a peroxiredoxin (*prx*) from *A. carbonarius*, were
176 analyzed using gene specific primer pairs, SODf//SODr (5'-
177 CCCGGAAGTACCCTATGC-3' and 5'-AGGGCTTGAGGGCAATCTG-3') and
178 PRXf//PRXr (5'-TCCTTCTTGAGGTTGGTGAAGC-3' and 5'-
179 CTCAGAAGAAGTTCGGCGATG-3') respectively, designed in a previous work²⁵.
180 Real-time RT-PCR reactions were performed in a LightCycler 480 System (Roche,
181 USA) using SYBR Green to monitor cDNA amplification. The ribosomal 18S RNA
182 gene was used as a reference gene (forward 5'-
183 GCAAATTACCCAATCCCGACAC-3' and reverse primer 5'-
184 GAATTACCGCGGCTGCTG-3'). Amplifications were carried out in a final volume
185 of 210 μ L containing 2 μ L cDNA template, 2 μ L LightCycler® 480 SYBR Green I
186 Master (Roche, USA), 0.5 μ L of each primer (10 μ M), and 5 μ L H₂O, following the
187 manufacturer's instructions. PCR amplifications were performed in triplicate using
188 the following conditions: 10 min at 94 °C, followed by 45 cycles of 10 s at 94 °C,
189 10 s at 56 °C and 10 s at 72 °C. The corresponding real-time PCR efficiency (E)
190 in the exponential phase was calculated according to the equation:
191 $E = 10[-1/\text{slope}]$. The relative expression of the target genes was calculated
192 based on the E and the C_p value of sample versus a control and expressed in
193 comparison to the ribosomal 18S RNA (reference gene), according to the following
194 equation²⁶⁻²⁷: $\text{ratio} = (E_{\text{target}})^{\Delta C_p \text{target}(\text{control} - \text{sample})} / (E_{\text{ref}})^{\Delta C_p \text{ref}(\text{control} - \text{sample})}$. Three
195 technical replicates were done for each combination of cDNA and primer pair, and
196 PCR reaction quality was checked by analyzing the dissociation and amplification

197 curves. To calculate the normalized relative gene expression levels (fold
198 induction), data were analyzed using the Relative Expression Software Tool
199 (REST) and the mathematical model based on mean threshold cycle differences
200 between the sample and the control group²⁸.

201

202 **Extraction and detection of OTA from culture**

203

204 OTA was extracted using a variation of a simple method described
205 previously²⁹. Briefly, three agar plugs (6 mm in diameter) were obtained from each
206 *A. carbonarius* culture and placed in a vial containing 500 µL of methanol. After 60
207 min, the extracts were shaken and filtered (Millex® SLHV 013NK, Millipore, USA)
208 into another vial and stored at 4 °C until chromatographic analysis. Separation,
209 detection and quantification of OTA were performed by injecting 20 µL of extract
210 from each vial into an HPLC system consisting of a Dionex model P680A pump
211 (Sunnyvale, USA) connected to a Dionex model RF-2000 programmable
212 fluorescence detector and to a Dionex PDA-100 photodiode array detector. For
213 determination of OTA, a C18 reversed-phase column (150×4.6 mm i.d., 5 µm
214 particle size Kromasil C18 (Análisis Vínicos S.L., Spain), connected to a
215 precolumn Kromasil C18 (10×4.6 mm i.d., 5 µm particle sizes, Análisis Vínicos
216 S.L.) were used. For chromatographic separation of OTA, the mobile phase was
217 acetonitrile: water: acetic acid, (57:41:2 v/v/v) under isocratic elution during 10
218 min, at a flow rate of 1 mL min⁻¹. OTA was determined by fluorescence detection
219 at an excitation wavelength of 330 nm and an emission wavelength of 460 nm.
220 The OTA standard was obtained from *A. ochraceus* (Sigma-Aldrich, USA). The
221 assays were performed in triplicate.

222

223 **Measurement of ROS**

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225 Oxidation of the fluorogenic probe (2'-7'-dichlo-dihydrofluorescein diacetate,
226 DCFDA) by ROS was monitored as described by Narasaiah *et al.*⁹. Mycelia (5
227 mg) were collected from 48 h-old cultures on CYA and incubated with DCFDA (10
228 μ M) for 30 min at 30 °C in the dark. Release of the fluorescent dichlorofluorescein
229 (λ ex=490 nm, λ em=520 nm) was monitored using a Fluorescence Polarization
230 microplate reader (PolarStar Omega, BMG LABTECH GmbH). The assays were
231 performed in triplicate.

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233 **Statistical analyses**

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235 All comparisons were analyzed by One way ANOVA followed by the Least
236 significant different test (LSD), using Statgraphics Centurion Version XVI.
237 Significance was defined as $p < 0.05$.

238

239 **RESULTS AND DISCUSSION**

240

241 **Effect of menadione on growth, OTA production level, ROS** 242 **generation, and gene expression of antioxidant enzymes**

243

244 As shown in Figure 1A, the growth rate decreased significantly as the
245 concentration of menadione increased, reaching a maximum reduction of 52%
246 when the concentration of menadione was 100 μ M. Interestingly, a statistically

247 significant positive correlation between menadione concentration, ROS
248 generation, and OTA production level was found (Figure 1B). It is also worth
249 noting that in spite of the reduction on fungal growth due to menadione, OTA
250 production level significantly increased. All these data indicate that when *A.*
251 *carbonarius* is under oxidative stress caused by superoxide radicals generated by
252 menadione, OTA production level increases, highlighting the close relationship
253 between oxidative stress and OTA production. These results disagree with those
254 of Reverberi *et al.* ³⁰, who found that OTA production level by *A. ochraceus* was
255 inhibited in the presence of 100 μ M menadione. These contradictory results could
256 suggest that different OTA-producing species might regulate OTA production
257 differently in response to oxidants/antioxidants, as pointed out by Palumbo *et al.*
258 ¹⁷. However, our results are congruent with several previous studies in which
259 oxidative stress was correlated with increased levels of mycotoxin biosynthesis in
260 different mycotoxigenic fungi. These include the accumulation of deoxynivalenol
261 by *F. graminearum* ³¹⁻³² and aflatoxins by *A. parasiticus* and *A. flavus* ^{8, 33}.

262 SOD and PRX are two enzymes involved in the antioxidant response.
263 Expression of *sod* and *prx* genes was analysed in cultures with increasing
264 quantities of menadione (0, 20 and 60 μ M). Surprisingly, although menadione
265 increased ROS generation, it did not induce expression of *sod* and *prx* genes
266 (Figure 1C). Indeed, the down regulation of the *sod* and *prx* genes was stronger
267 when the menadione concentration was higher (60 μ M). These results suggest
268 that oxidative stress produced by menadione might cause an inhibition of the
269 fungal antioxidant response. However, based on our results it is difficult to
270 speculate on the mechanisms by which menadione oxidative stress affects the
271 expression of *sod* and *prx* genes.

272

273 **Effect of antioxidant agents on growth, OTA production level,**
274 **ROS generation, and gene expression of antioxidant enzymes**

275

276 When CYA cultures were amended with BHT, the growth rate decreased
277 with statistically significant differences for 5 and 10 mM, reaching a maximum
278 reduction of 48% for 10 mM (Figure 2A). This result can be explained due to the
279 BHT toxicity, which has been widely discussed³⁴. It has been suggested that BHT
280 toxicity is concentration-dependent as it can protect against osmotic fragility or, on
281 the contrary, alter the physical properties of the cell wall increasing its permeability
282³⁵.

283 In the case of catechin and resveratrol, two natural antioxidants present in
284 grapes, concentrations were selected to be representative of the levels of these
285 compounds in grapes. In comparison to the effect of BHT, the growth of *A.*
286 *carbonarius* was only barely affected by the catechin, resveratrol and polyphenol
287 extract concentrations assayed in this study (Figures 2B, C and D). Nevertheless,
288 it is worth noting that a statistically significant decrease of growth rate was
289 detected for the highest resveratrol concentration tested (1500 µM) (Figure 2C).
290 This is in agreement with previous studies where a clear reduction of *A.*
291 *carbonarius* growth was shown using resveratrol³⁶. Results also agree with the
292 confirmed role played by resveratrol as a phytoalexin in grapes²². Furthermore,
293 Galati *et al.*³⁷ observed that some polyphenols, among which resveratrol is
294 included, may be metabolized by peroxidase to form prooxidant phenoxyl radicals
295 that might generate ROS, H₂O₂ and semiquinone or quinones, all of which are

296 potentially cytotoxic³⁸⁻³⁹. This prooxidant character would explain the growth
297 inhibition effect of resveratrol found at the highest concentration tested (1500 µM).

298 The influence of the above mentioned antioxidants on OTA production was
299 also tested (Figure 3). When BHT was added to culture media, a statistically
300 significant increase in OTA production level was found for concentrations higher
301 than 2.5 mM (Figure 3A). Nevertheless, as BHT concentrations increased, OTA
302 production level decreased respect to the maximum obtained at 2.5 mM.
303 Therefore, although BHT increased OTA production level, this increase is not
304 directly proportional to BHT concentration. Figure 3A also shows the effect of BHT
305 on ROS generation. Similarly to data from OTA production, an increase in ROS
306 generation relative to the control was observed when BHT concentration was
307 increased. This increase in ROS generation was not proportional to the increase in
308 BHT concentration, as was observed in the OTA production level results. Thus, a
309 correlation between OTA production and ROS generation was observed. These
310 results are generally congruent with those reported by Barberis *et al.*¹⁸ who
311 observed a growth rate reduction and an increase of OTA production level by *A.*
312 *carbonarius* when butylated hydroxyanisole (BHA), a chemical compound
313 structurally similar to BHT, was added to the culture media. As it has previously
314 been mentioned, these results can be explained because of the cytotoxic effect of
315 BHT. In fact, a number of studies using different cell types have shown that BHA
316 can be cytotoxic⁴⁰. These studies also showed that BHA produced inhibition of
317 mitochondrial activity and induction of ROS. As it was concluded for BHA by
318 Barberis *et al.*¹⁸, these results suggest that BHT is not appropriate to control *A.*
319 *carbonarius*.

320 Catechin, resveratrol and the polyphenol extract produced widely different
321 effects on OTA production level depending on the concentrations assayed,
322 ranging from a reduction of 22% (35 μ M of polyphenol extract) to an increase of
323 61% (1500 μ M of resveratrol) relative to the control treatment (Figures 3B, C and
324 D). Both antioxidant and prooxidant capacity of a compound depend on several
325 variables such as its chemical structure, concentration or mode of action. It must
326 be noticed that phenolic antioxidants have been shown to undergo loss of activity
327 and become prooxidants at high concentrations ⁴¹. There is little information about
328 the effect of catechin on OTA production by *A. carbonarius* (Figure 3B).
329 Nevertheless, these results partially agree with those of Palumbo *et al.* ¹⁷, where
330 no OTA inhibition was observed in *A. carbonarius* when a higher amount of
331 catechin (10 mM) was added to the culture medium. In the case of resveratrol
332 (Figure 3C), several studies have been previously conducted to analyze its effect
333 on OTA production by *A. carbonarius* yielding to contradictory results. Whereas
334 Bavaresco *et al.* ³⁶ reported that the presence of resveratrol triggered OTA
335 production level in synthetic must medium, De Rossi *et al.* ²² found that when *A.*
336 *carbonarius* was treated with resveratrol at 0.1 mM, a significant inhibition of OTA
337 production level was evident under *in vitro* conditions. Resveratrol is a phytoalexin
338 produced by grapes in response to fungal invasion. Therefore, a high level of
339 infection would lead to a greater production of resveratrol, which in turn, according
340 to our results, could induce a greater biosynthesis of OTA. The positive correlation
341 between high levels of OTA and the content of resveratrol in the wine has been
342 reported previously by Perrone *et al.* ⁴². In contrast to catechin and resveratrol, the
343 polyphenol extract was able to reduce significantly the content of OTA (22%) at
344 the highest concentration assayed (35 ppm) (Figure 3D). These data may suggest

345 that other phenolic antioxidants present in the polyphenolic extract, and different
346 from those used in this study could be more effective in reducing OTA production
347 level by *A. carbonarius*. Additional studies to accurately determine the phenolic
348 antioxidant compounds responsible for the significative reduction in OTA
349 production would be needed. Moreover, further investigations regarding
350 bioavailability and fungal response to these phenolic antioxidants under ecological
351 conditions would be of interest.

352 The inhibitory effect of the antioxidant compounds on mycotoxin production
353 was quite small in comparison with data obtained in previous studies ^{15, 17}.
354 However, the antioxidant concentrations used in those studies (10-12 mM) were
355 meaningfully bigger than the ones used in the present work. Gallic and tannic
356 acids almost completely reduced aflatoxin production by *A. flavus* at 12 mM and 2
357 mM, respectively ⁴³. Similarly, to inhibit aflatoxin biosynthesis by *A. parasiticus*,
358 anthocyanidin treatments with concentrations higher than 4 mM were needed,
359 while natural concentrations occur on the order of micromolar ⁴⁴. Catechin and
360 resveratrol concentrations assayed in this study were chosen based on the levels
361 normally present in grapes. We intended to analyze whether they could have
362 some effect on OTA production at natural concentrations.

363 Regarding ROS production, when catechin and resveratrol were used, none
364 of the antioxidant concentrations tested significantly reduced ROS generation,
365 which correlates with the fact that no reduction of OTA was observed (Figure 3B,
366 C). However, the polyphenol extract showed a statistically significant antioxidant
367 effect when the culture medium was supplemented with 20 and 35 ppm of
368 polyphenol extract, as ROS generated were lower compared to control (Figure
369 3D). Nevertheless, due to the OTA production data at 20 ppm, it was not possible

370 to establish a total correlation between OTA production level and ROS generation.
371 It would be interesting to carry out a deeper study to determine whether the OTA
372 reduction observed at 35 ppm is a consequence of the antioxidant effect of the
373 polyphenolic extract.

374 Figure 4 shows the effect of the antioxidant compounds on the expression
375 of *sod* and *prx*. Analyses were carried out using two different concentrations, low
376 and high, of each antioxidant. Additionally, data from OTA production level were
377 also considered to choose the working concentration. In the case of BHT,
378 expression of the *sod* and *prx* was down regulated and this down regulation was
379 higher at 10 mM than at 2.5 mM of BHT. Within both genes studied, down
380 regulation was higher in the case of *sod*, which changed from 2.2 to 5.4 fold when
381 the BHT concentration was increased from 2.5 to 10 mM, whereas the expression
382 of *prx* changed from 2.6 to 3.4 fold. Additionally, when catechin was added to
383 culture media an important change on the expression of *sod* and *prx* was
384 observed depending on the catechin concentration used (Figure 4B). Whereas
385 expression was barely affected at 25 μ M, it was strongly down regulated at 1500
386 μ M (10.7 and 8.5 fold for *sod* and *prx*, respectively). In contrast, the effect of
387 resveratrol on the expression of *sod* and *prx* was quite different (Figure 4C).
388 Interestingly, the expression of *sod* and *prx* was up regulated when cultures were
389 supplemented with a resveratrol concentration that increases OTA production level
390 (1500 μ M). This result indicates that these oxidative enzymes and especially SOD,
391 which was particularly up regulated, participate in the fungal response to oxidative
392 stress induced by high resveratrol conditions. Since a direct correlation between
393 higher resveratrol concentrations and higher OTA contamination in the wine has
394 been reported ⁴², this observation suggest an special importance of SOD for the

395 survival of OTA-producing strains of *A. carbonarius* in the grape stressed
396 environment. Finally, gene expression of *sod* and *prx* was studied on *A.*
397 *carbonarius* cultures supplemented with 20 and 35 ppm of polyphenol extract and
398 incubated for 48 hours (Figure 4D). Both concentrations led to a reduction of the
399 expression of *sod* and *prx*, but this down regulation was greater at 20 ppm, in
400 parallel with an increase of OTA production level. If we compare data from OTA
401 production and gene expression, two different patterns in the expression of *sod*
402 and *prx* are apparent, suggesting that *A. carbonarius* may have two different
403 mechanisms of oxidative stress response. When resveratrol exhibited a prooxidant
404 behavior that increased OTA production level, the expression of *sod* and *prx* was
405 up regulated, suggesting that SOD and PRX enzymes participate in the cellular
406 response to oxidative stress. In contrast, prooxidant concentrations of catechin
407 and the polyphenol extract behaved like menadione. When these compounds had
408 a prooxidant effect which enhanced OTA production level, the expression of genes
409 was down regulated.

410

411 **CONCLUSION**

412 *A. carbonarius* responds to oxidative stress caused by high concentrations
413 of menadione or BHT, increasing OTA production. Naturally occurring
414 concentrations of grape phenolic compounds did not reduce OTA production in our
415 study. Therefore, the use of naturally occurring concentrations of these
416 compounds to reduce oxidative stress and thereby reduce ochratoxigenesis is not
417 adequate as a sole tool for OTA contamination control in grapes.

418

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561

562 **Figure captions**

563

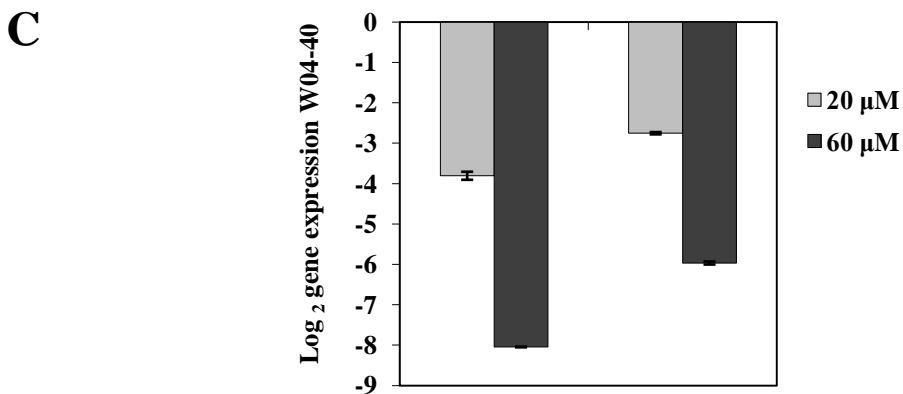
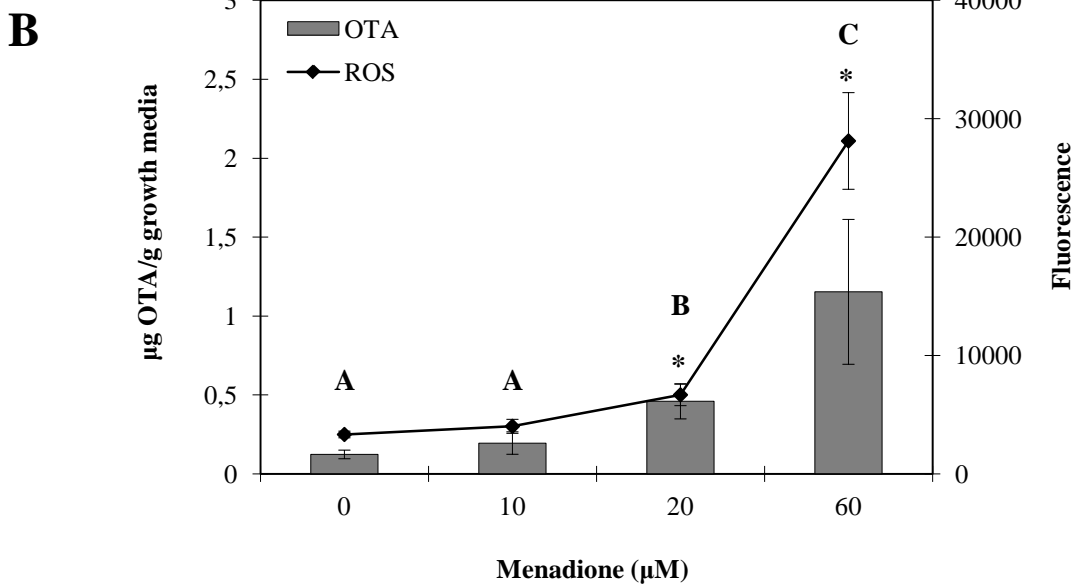
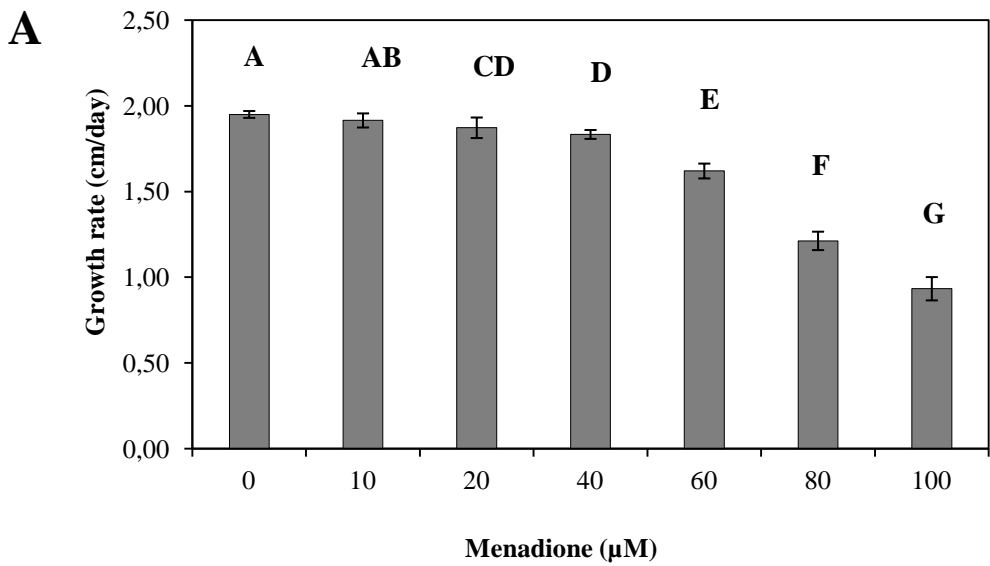
564 **Figure 1:** Effect of menadione on *A. carbonarius* W04-40. (A) Growth rate (cm
565 day⁻¹) of *A. carbonarius* W04-40 grown in presence of different concentrations of
566 menadione at 28 °C. Letters indicate homogeneous groups (ANOVA, p < 0.05).
567 (B) OTA production by *A. carbonarius* grown in CYA plates with increasing

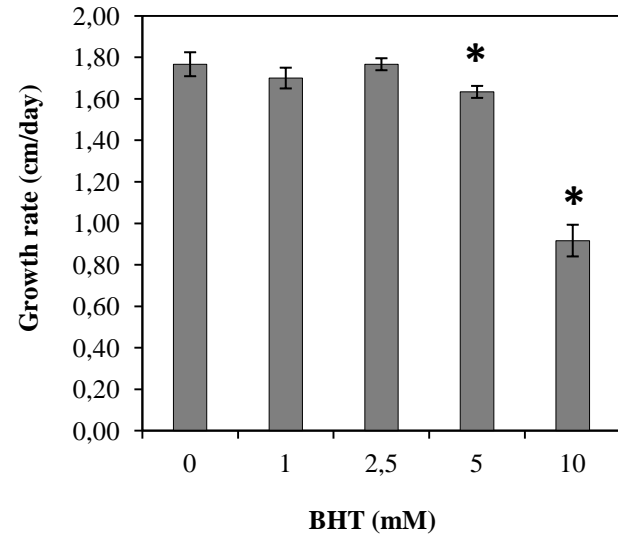
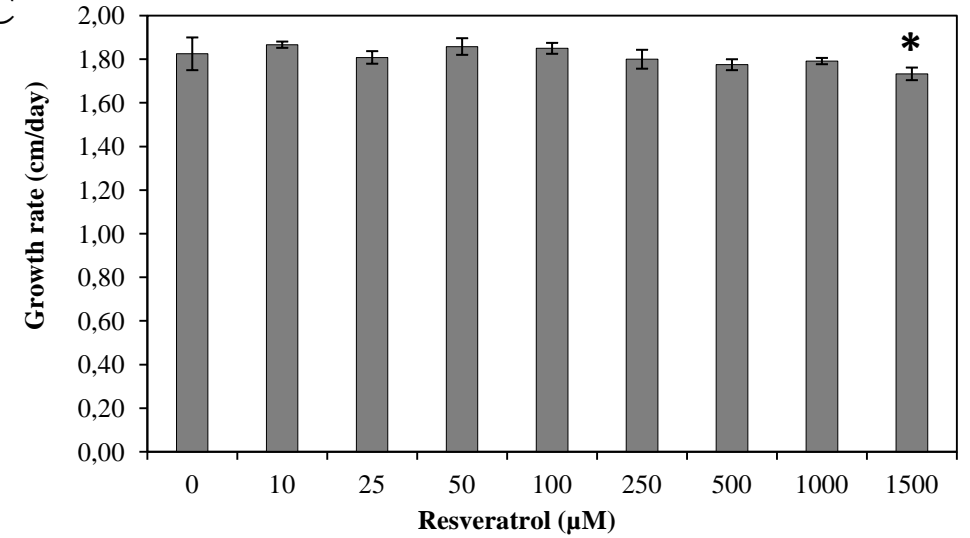
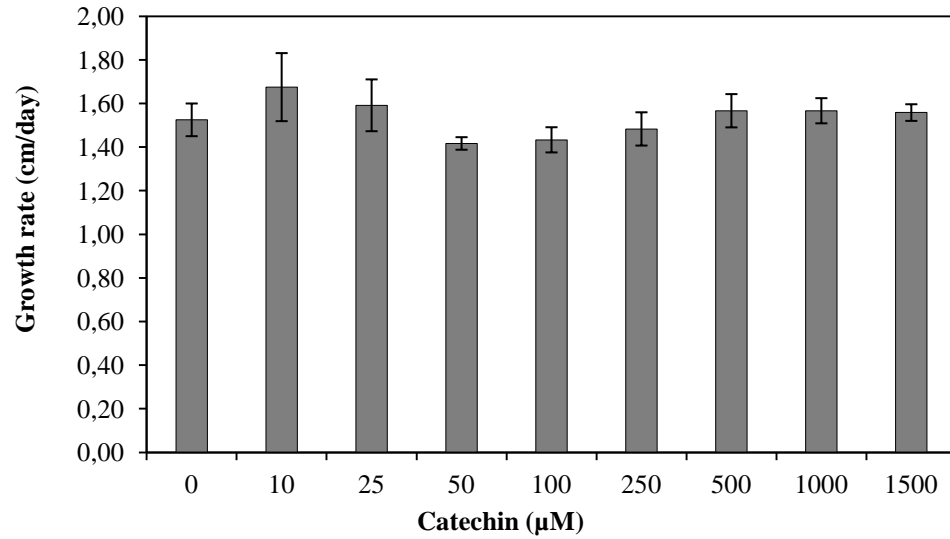
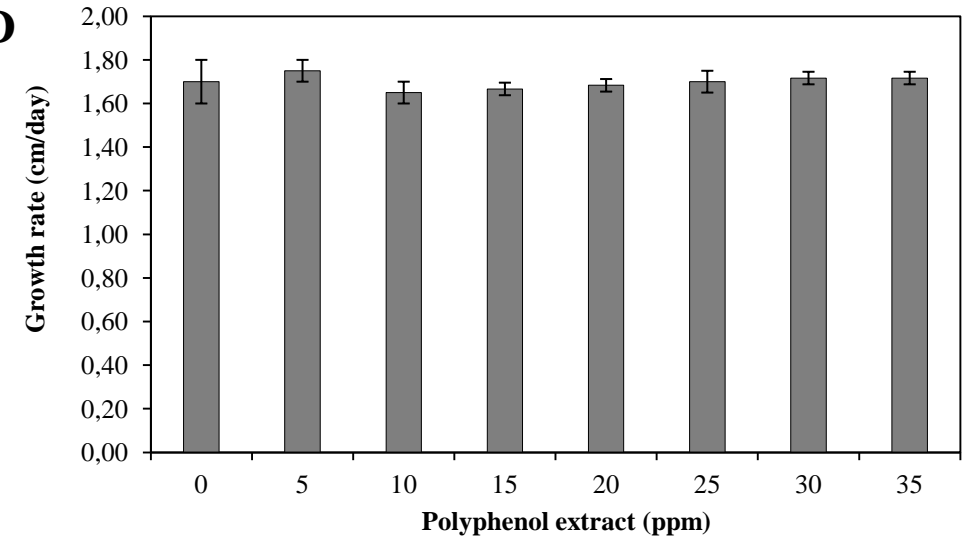
568 concentrations of menadione (left y-axis). Fluorescence values, proportional to
569 ROS, monitored by the probe DCFDA (right y-axis) after 48 h. Letters indicate
570 homogeneous groups for fluorescence and (*) indicates statistically significant
571 difference compared to the control condition for OTA production (ANOVA, $p <$
572 0.05). (C) Relative expression of *sod* and *prx* in *A. carbonarius* W04-40 grown for
573 48 h in the presence of different concentrations of menadione with respect to
574 expression level in the same medium without menadione. Error bars indicate
575 standard errors.

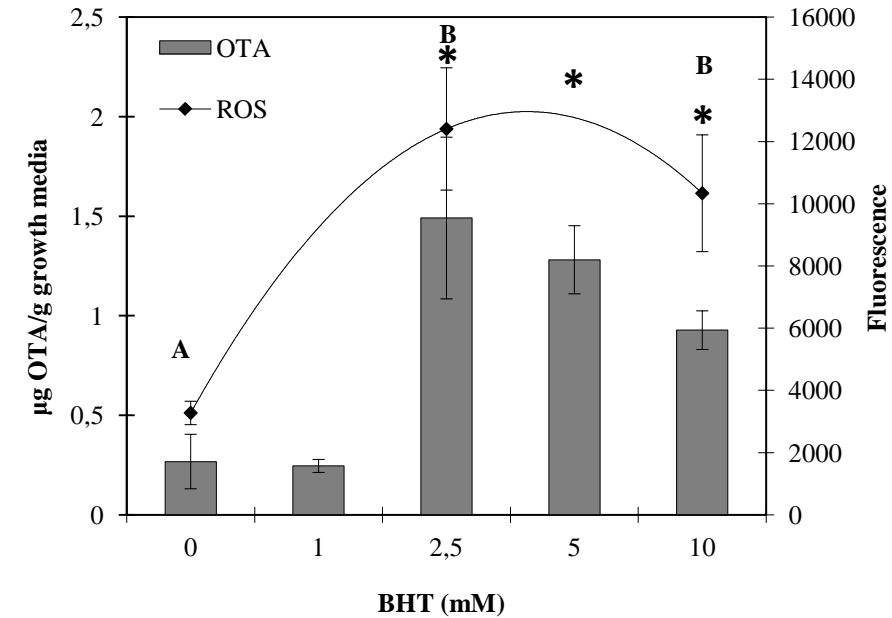
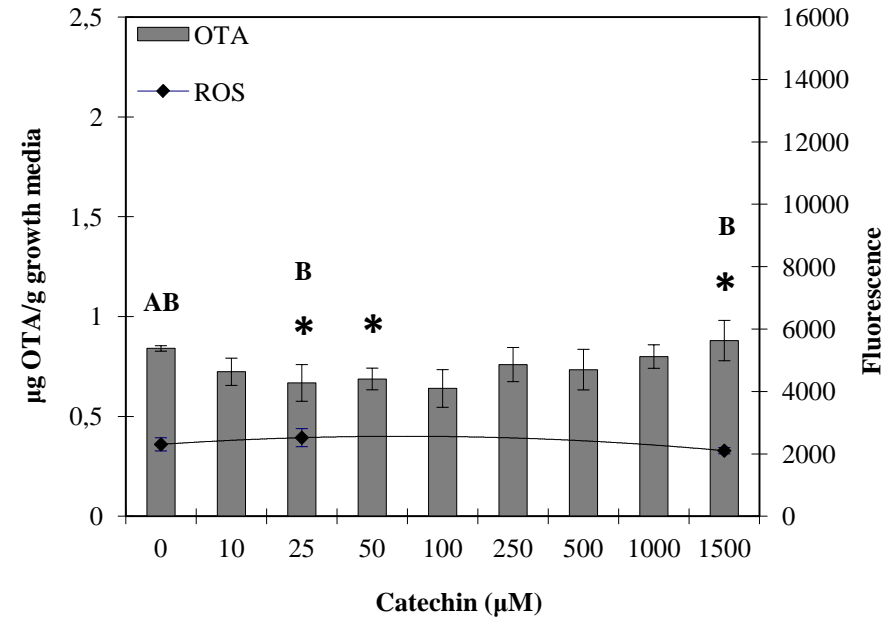
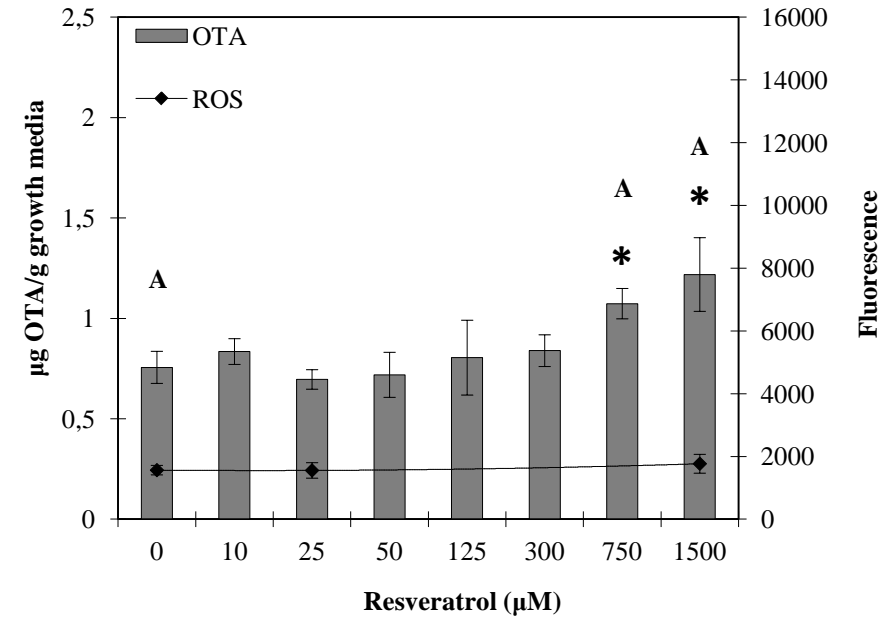
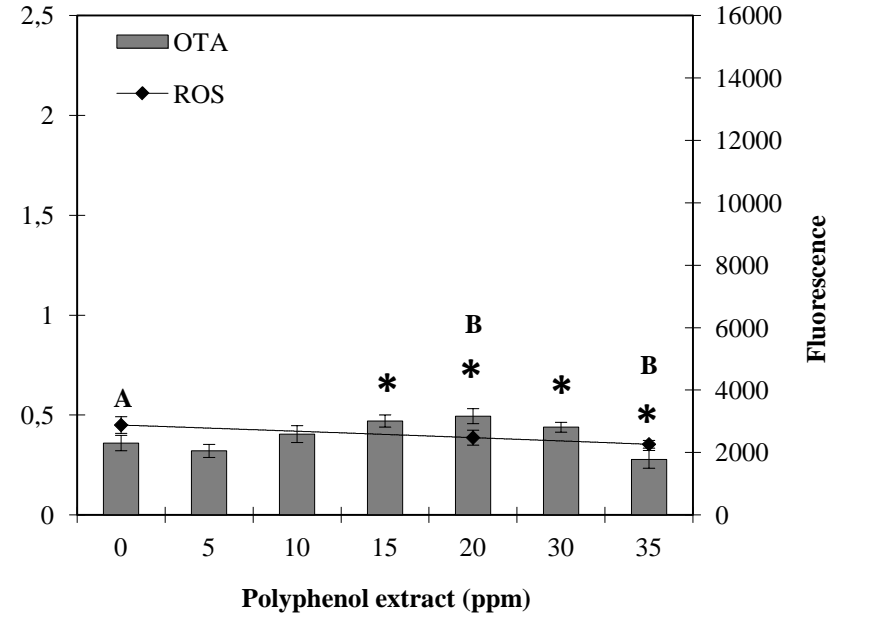
576 **Figure 2:** Growth rate (cm day^{-1}) of *A. carbonarius* W04-40 grown in increasing
577 concentrations of BHT (A), catechin (B), resveratrol (C) and polyphenol extract (D)
578 at 30 °C. (*) indicates statistically significant difference (ANOVA, $p <$ 0.05).

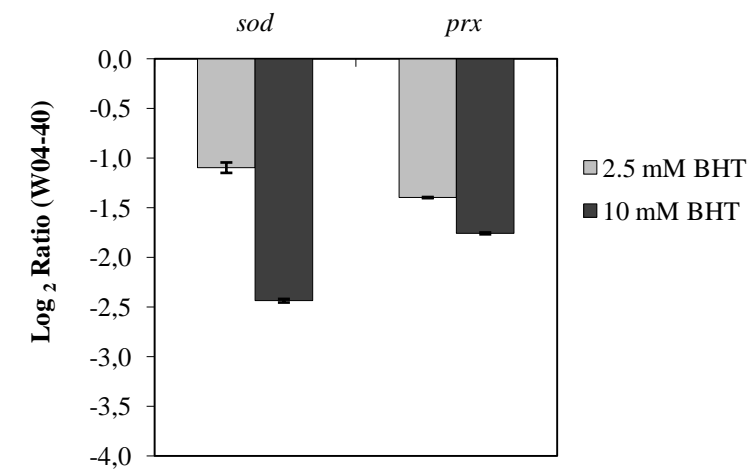
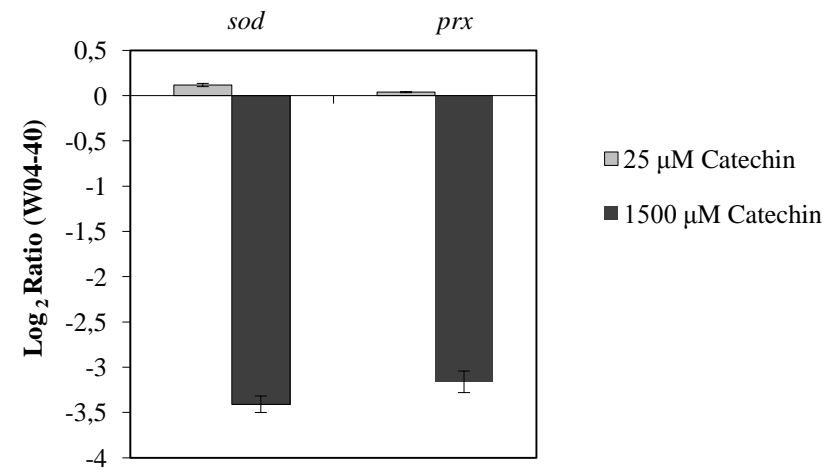
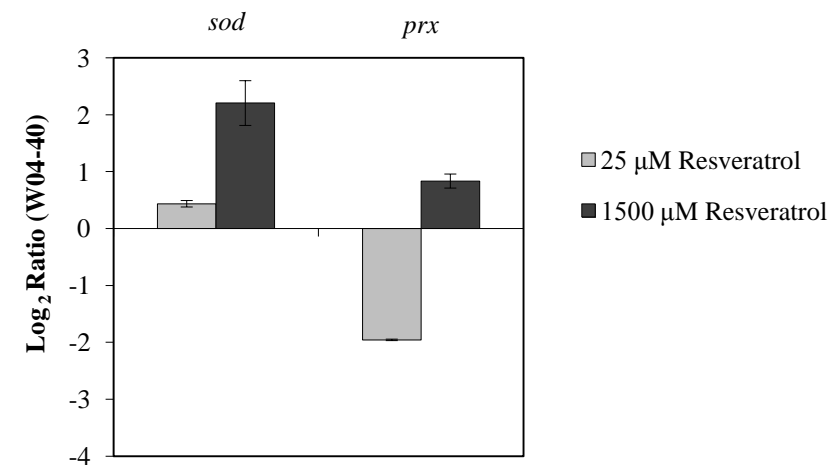
579 **Figure 3:** Effect of antioxidant agents on *A. carbonarius* W04-40. OTA production
580 by *A. carbonarius* grown in CYA plates with increasing concentrations of
581 antioxidant agents (left y-axis). Fluorescence values, proportional to ROS,
582 monitored by the probe DCFDA (right y-axis) after 48 h. Letters indicate
583 homogeneous groups for fluorescence and (*) indicates statistically significant
584 difference compared to the control condition for OTA production (ANOVA, $p <$
585 0.05). BHT (A), catechin (B), resveratrol (C) and polyphenol extract (D)

586 **Figure 4:** Relative expression of *sod* and *prx* in *A. carbonarius* W04-40 grown for
587 48h in the presence of BHT (A), catechin (B), resveratrol (C) and polyphenol
588 extract (D) with respect to the expression level in the same medium without the
589 antioxidants. Error bars indicate standard errors.



A**C****B****D**

A**B****C****D**

A**B****C****D**