



**Lincoln  
University**  
*Te Whare Wānaka o Aoraki*  
CHRISTCHURCH • NEW ZEALAND



# Open Access Publishing

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New Zealand's specialist land-based university



# What is Open Access?

- Where intellectual outputs including research, scholarship, teaching resources and the public record can be made **openly and freely** accessible online globally
- Open access supports the principle of **publically funded outputs** being **publically available**
- Open access policies usually facilitate the reuse and repurposing of outputs using **Creative Commons** licences



# Benefits of Open Access

- **Increases** the quantity of **citations** for outputs
- Provides clear guidelines on **ownership** and **copyright**
- Raises the **visibility** of outputs and **reputation** of the author and organisation
- **Democratises** and **socialises** outputs
- **Contributes** to global knowledge



# Green and Gold OA

## Green Open Access

Authors publish in a journal, then submit to an institutional repository, e.g. LU Research Archive or in a discipline based online collection

Link to the published version

## Gold Open Access

Authors publish in OA journals via publishers websites

Publishers may charge institution, funder or author an Article

Processing Charge (APC)  
Varying business models

# Publisher Contracts

Understand **your** rights as an author before signing a contract- the different types include:

- Standard copyright transfer agreement
- Copyright transfer agreement with author addendum reserving certain rights
- Licence to publish – author retains copyright and grants publisher a licence to publish

# Publishers and Open Access

- Some publishers allow authors to deposit the final publication in an institutional repository
- Others only allow deposit of an earlier version
- Many journal policies detailed at [SherpaRomeo](#)
- [VERSIONS Toolkit](#) (London School of Economics for authors from all disciplines)  
Very useful guidelines for authors covering versions; managing research outputs; publisher agreements; author rights...

# Open Access Archives at Lincoln University



Open Access = Freely and Publically Available - Globally!

- [Lincoln University Research Archive](#)
  - Research outputs + theses + dissertations 72% open, 28% closed
- [Lincoln University Living Heritage](#)
  - Heritage resources, scholarship, images etc. 98% open, 2% closed
- [Lincoln University LEARN](#)
  - Teaching resources 1% open, 99% closed

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# 2016 PBRF Internal Round

- Choose up to four **Nominated Research Outputs**
  - Nominate your top four outputs
- Choose up to twelve **Other Research Outputs**
  - Select your twelve other supporting outputs
- The 16 outputs may have been published using a **mix** of Closed Access or Open Access models

2018 publication eligibility 1 January 2012 – 31 December 2017



# 2016 PBRF Internal Round

## Research Contribution Activities

Write 15 statements using a **mix** of the 12 categories

Activities include: Student Supervisions Funding Award  
On Editorial Board Invitation as Keynote Speaker  
Membership of a Committee Commercialisation  
Community Engagement Media Coverage of Research  
Metrics – Citation Counts Adjunct Appointment  
Research Mentoring External Thesis Examiner Outreach  
Assisting Student Publishing

# APC funding

- Considering **open access**?
  - your timeframe to publish is limited
  - OA could ensure inclusion of an output in your portfolio
  - select peer reviewed highly scored publishing options
- **Apply** for APC funding
- Application form and process...
  - under **Research and Innovation tab** on the Hub

# ORCID ID

- Strongly encouraged to register for an **ORCID ID**
- Easy to register at <http://orcid.org> or via Elements
- Provides **disambiguation** of names
- **Author identifier** in databases and on publications
- Essential for funding applications via **MBIE** portal
- Compulsory when submitting to some **publishers**

# Questions?

- PBRF
- Open Access, Open Data
- Lincoln University Archives
- [ORCID ID](#)
- [Creative Commons licences](#)
- LU Archives harvested to [DigitalNZ](#)
- More on [Understanding Copyright](#)

# Creative Commons Licences



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# References and Acknowledgements



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