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- 1 Migration and transformation mechanism of phosphorus in waste activated
- 2 sludge during anaerobic fermentation and hydrothermal conversion
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15 Abstract:

This study investigated migration and transformation mechanism of P in waste 16 activated sludge (WAS) during anaerobic fermentation (AF) process and the subsequent 17 hydrothermal conversion (HTC) process. Control of pH during the AF processes was 18 found to be significant, whereby the use of acidic (pH = 5.5) or alkaline conditions (pH19 = 9.5) facilitated the release of either apatite phosphorus (AP) or non-apatite inorganic 20 phosphorus (NAIP) and organic phosphorus, respectively. At the same pH of 9.5, NaOH 21 22 promoted the transfer of P into liquid phase, and P in the solid phase was mainly in the 23 form of NAIP. In contrast, Ca(OH)₂ enhanced the incorporation of P into the solid products, with the P mainly in the form of AP. The subsequent HTC process promoted 24 the NAIP transferred to AP, and the bioavailability of P in the HTC solid products was 25 26 decreased. The P K-edge X-ray absorption near edge structure analysis provided detailed information about the phosphates. It demonstrated that the conversion of 27 Ca₈H₂PO₄·6.5H₂O to Ca₅(PO₄)₃·OH was facilitated by HTC under the alkaline 28 29 condition. This study sheds lights on transformation mechanism of P speciations during AF and HTC processes, which would provide fundamental information for effective 30 utilization of P in bio-wastes. 31

Key words: Waste Activated Sludge (WAS); Anaerobic Fermentation (AF);
Hydrothermal Conversion (HTC); Phosphorus (P); X-ray Absorption Near Edge
Structure (XANES)

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37 **1. Introduction**

Phosphorus is an essential element for the growth and survival of all living organisms. In recent years, phosphorus ore has become a scarce resource due to high fertilizer demand from a global increasing population. In addition, the global distribution of phosphorus ore is uneven (e.g. Morocco and Western Sahara occupy more than 70% of phosphate ore in the world) and could lead to significant fluctuation and instability in the price of the resource (Heilmann et al., 2014; Meng et al., 2019).

As a by-product of waste water treatment plants, large amounts of waste activated sludge (WAS) containing abundant organics are produced. These can cause serious environmental problems if not properly treated. In addition, phosphorus is also accumulated in WAS via biological removal and chemical precipitation from waste water (Fischer et al., 2011; Lin et al., 2003). Thus, WAS can be regarded as a potential source of phosphorus reclamation.

Anaerobic fermentation (AF) is regarded as an effective way to convert sludge into 50 51 valuable products (Liu et al., 2016; Wang et al., 2016). For example, large amounts of 52 volatile fatty acids (VFAs) are produced by AF and they are useful raw materials in many chemical and biological reactions (e.g. as a carbon source for denitrification). 53 Many researchers have investigated VFA production during fermentation processes 54 (Esteban et al., 2018; Lin et al., 2009; Yu et al., 2010; Zhang et al. 2009; Zou et al., 55 2018), and they found that alkaline conditions promoted the production of VFA from 56 WAS. Alkaline AF conditions are usually obtained by the addition of NaOH, while 57 Ca(OH)₂ was also used to adjust the pH of AF process due to the bad dewatering 58

59 performance of NaOH and its higher cost.

In addition, P was released from phosphorus accumulating organisms during the AF 60 process, which was also affected by pH (Chen et al., 2019; Zou et al., 2018). Previous 61 studies investigated P release from sludge at different pH. It was found that pH 62 adjustment was the most effective method to release P among different sludge treatment 63 methods (Liu et al., 2019). Shi et al. found that the optimum conditions for P release in 64 biofilm sludge treatment processes were at the pH values of 5 and 10 (Shi et al., 2019a). 65 The results of Latif revealed that low pH (< 5.7) caused a 3.6 times increase in 66 67 phosphorus release compared to neutral pH (7-7.7) (Latif et al., 2015). Some chemical and physical reactions such as precipitation and adsorption relating 68 to P-containing species are pH dependent. Recently, most previous studies just focused 69 70 on P release behavior of the AF liquid phase. The different P speciations formed under different AF pH and the related transformation mechanism are still unclear, especially 71 with different alkalis (NaOH or $Ca(OH)_2$). However, it is very important for the further 72 73 utilization of P in the AF solid phase by investigating the P speciations. It should be noted that only 30-40% of the volatile suspended solid (VSS) in WAS 74 were hydrolyzed and utilized for VFA production, and considerable amount of VSS was 75 present in the AF residues (Zhang et al., 2009). The rest part of VSS is difficult to be 76 77 biologically converted and could be further treated by hydrothermal conversion (HTC). Because HTC is an energy-efficient technique to achieve the reutilization of bio-wastes 78 79 and enhance the dewatering performance of various sludges (Gao et al., 2019; Wang et

al., 2017, 2019).

However, there are no current studies combining AF and HTC for the treatment of WAS, and in-depth investigation on the transformation mechanism of P during the combined processes is extremely limited, which is very important for the further recovery and reutilization of P obtained from WAS.

85 Based on the above considerations, this research aimed to study the effect of different pH and different alkalis on the changes of P speciations and its transformation 86 mechanism of WAS under the processes of AF and HTC. Since WAS was a complex 87 matrix, different techniques were used to systematically investigate the P speciations. 88 89 Normally, P speciations are identified by chemical extraction methods, while in-depth analysis has not been conducted. X-ray Absorption Near Edge Structure (XANES) has 90 the specific advantage in identifying phosphates, and XANES linear combination 91 92 fitting (LCF) could provide detailed information about the relative abundances of different P compounds. This study firstly combined the AF and HTC processes, and 93 focused on the P behavior during the two processes. It will provide some fundamental 94 95 knowledge on P reclamation and WAS reutilization strategies.

- 96 2. Materials and methods
- 97 2.1 WAS

WAS with water content of $98.93 \pm 0.11\%$ was obtained from a secondary sedimentation tank in Quyang waste water treatment plant (located in Shanghai, China) with an anaerobic/anoxic/aerobic process. The main characteristics of WAS are as follows: total suspend solids (TSS) of 10.5 ± 0.9 g/L, volatile suspend solids (VSS) of 7.4 ± 0.8 g/L, and pH value of 6.9 ± 0.1 . The ash content of WAS was about 31.0%, and 103 the content of C, H and N were about 30.8%, 5.46% and 31.0%, respectively. The

104 detailed metal element contents of the WAS are illustrated in section 3.3.

105 2.2 AF treatment with different pH

The AF experiments at different pH were conducted in four 2 L serum bottles with 106 1.6 L WAS. The pH was adjusted to 5.5 and 7.5 by adding HCl and NaOH solution 107 respectively. The AF treatment at pH of 9.5 was adjusted by using either NaOH or 108 $Ca(OH)_2$. All the serum bottles were flushed with N_2 in order to achieve anaerobic 109 conditions before being sealed. The bottles were maintained in an orbital shaker 110 incubator at a mesophilic temperature of 37 ± 1 °C. The pH was adjusted to the desired 111 value of 5.5, 7.5 and 9.5 every 12 hours. Samples were taken regularly for P and VFAs 112 analyses. After 12 days, the AF products were centrifuged (10,000 rpm for 20 min at 113 114 ca. 25°C) and separated to solid and liquid phases. The liquids were filtered and refrigerated in a 4°C fridge. The solids were dried at 80°C to constant weight for further 115 analyses, and the moisture of solid products were measured. The samples obtained at 116 117 different AF pH and alkaline additions were labeled as A5.5, A7.5, A9.5 (NaOH condition) and A9.5Ca (Ca(OH)₂ condition), respectively. 118

119 *2.3 HTC treatments*

120 The AF residues were further used for HTC treatment, which were performed using 121 an autoclave hastelloy reactor with a volume of 250 mL. The reactor was tightly sealed 122 and controlled by a programmable heating device. Previous studies indicated that 123 hydrothermal temperatures greater than 150 °C resulted in the decomposition of some 124 biopolymers and led to the generation of free water (Wang and Li, 2015), and a

residence time of 30 min promoted a substantial conversion in the sludge surface 125 properties (Wang et al., 2014). In order to balance financial considerations and HTC 126 127 performances, AF residues with different pH were treated at 170 °C for 30 min. The HTC products were centrifuged (10,000 rpm for 20 min at ca. 25 °C) and separated to 128 solid and liquid phases. The hydrolysates were filtered and refrigerated in 4°C fridge. 129 The solids were dried at 80 °C to constant weight for further analyses, and the moisture 130 of solid phases were measured. Accordingly, samples obtained after HTC process were 131 labeled as H5.5, H7.5, H9.5 and H9.5Ca. 132

2.4 SMT method

The SMT (Standard Measurement and Testing) method was used to analyze the 134 various P forms contained within the WAS, as well as the AF and HTC products. SMT 135 136 method has been widely used in the analyses of soil, sediment and sludge samples (Bairq et al., 2018; Huang et al., 2015; Xie et al., 2011). After sequential extraction 137 based on SMT, P in the WAS samples was divided into the following 5 categories: total 138 139 P (TP), organic P (OP), inorganic P (IP), non-apatite inorganic P (NAIP, i.e. the P fraction associated with oxides and hydroxides of Al, Fe and Mn), and apatite P (AP, 140 i.e. the P fraction associated with Ca). The TP was extracted by 3.5M HCl, and the IP 141 was extracted by 1M HCl. The residue after IP extraction was treated at 450°C, and then 142 143 extracted by 1M HCl to test the OP content. As to NAIP and AP, the samples were firstly extracted by 1M NaOH, and the supernatants were further extracted by 3.5M HCl to 144 test the NAIP contents. The residues after the 1M NaOH extraction were further 145 extracted by 1M HCl to test AP contents. The OP and NAIP were regarded as bio-146

available P (Huang et al., 2015; Xie et al., 2011). The concentrations of phosphorus in
the supernatants collected after extraction were detected in triplicate via the
molybdenum blue method.

150 2.5 P K-edge XANES Analysis

All P K-edge X-ray Absorption Near Edge Structure (XANES) data were collected 151 at Beijing Synchrotron Radiation Facility (4B7A). The WAS, AF and HTC solid 152 products were ground into fine powders and brushed evenly on the conductive adhesive. 153 Excess powder was subsequently removed in order to achieve a homogeneous thin film. 154 155 The XANES data were obtained in fluorescence mode using a silicon drift detector. XANES spectra were collected at 2120-2200 ev according to previous literatures 156 (Kruse et al., 2008, 2010). As phosphorus was mostly combined with some metals such 157 158 as Mg, Fe, Al and Ca in WAS, the following compounds were selected as reference compounds: Mg₃(PO₄)₂, FePO₄, AlPO₄, Ca₅(PO₄)₃·OH (HAP) and Ca₈H₂PO₄·6.5H₂O 159 (OCP). 160

Data analyses were performed using the Athena software. All spectra were carefully calibrated, normalized and subjected to linear combination fitting (LCF). All combinations of reference compounds were used to fit each sample.

164 *2.6 Other characterization analyses*

165 The VFAs contents of different AF liquid samples were detected by GC-FID (GC-

- 166 2010, SHIMADZU, Japan). The samples were separated by a Stabilwax-DA column
- 167 ($30m \times 0.32mm \times 0.25\mu m$, PEG-20M, $30 m \times 0.32 mm \times 0.50 \mu m$) with N₂ as carrier gas.
- 168 The metal concentrations of AF and HTC samples were detected by inductively coupled

169	plasma atomic emission spectrometry (ICP-AES, Optima 8000, Perkin Elmer, USA)
170	and the mean of two replicates are presented. The XPS results were obtained by using
171	an X-ray photoelectron spectrometer (PHI Corporation, Japan, type PHI5000C). The
172	synchrotron radiation XRD data were collected at Beijing Synchrotron Radiation
173	Facility (4B9A). The SEM images were obtained by using a field emission scanning
174	electron microscope (7800F Prime, JEOL, Japan).

175 2.7 Statistical analysis

176 The independent variance T tests were conducted to compare the OP contents of

WAS and the AF residues. The statistical analyses were performed using the program

- package SPSS 21 (IBM Corporation, USA) and the significance was assigned at the p < 0.05 level.
- 180 **3. Results and Discussion**

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181 3.1 Effect of pH on the VFAs and moisture contents of AF and HTC products

- 182 It was noted that the enhanced biological phosphorus remove performance lied in the
- 183 presence of adequate volatile fatty acids (VFAs) in the waste water (Yuan et al., 2011).
- 184 Therefore, it is necessary to detect the VFAs contents of the fermentation liquids.

185 Fig. S1 presents the VFA contents of WAS fermentation liquids at different pH values.

- 186 It is observed that the total VFAs concentration of AF supernatants under alkaline
- 187 conditions (A9.5 and A9.5Ca) were much higher than that of other conditions (A5.5
- and A7.5) after 12 days fermentation. This is because alkaline condition promoted the
- 189 hydrolysis of WAS, which provided more soluble substrate to produce VFAs. The main
- 190 composition of extracellular polymeric substances (EPS) of sludge, protein and

carbohydrate were more easily released at alkaline pHs due to the dissociation of acidic groups in EPS and the repulsions between the negatively charged EPS. (Zhang et al., 2009). The increased total VFAs concentrations of sample A9.5 and A9.5Ca were mainly caused by the increase of acetic acid, indicating that alkaline condition could promote the production of acetic acid during the AF process. In addition, the activity of methanogens was reduced under alkaline AF condition. Therefore, the accumulation of VFAs was enhanced under alkaline AF conditions (Zou et al. 2018).

198 Furthermore, it should be notable that the 12 days VFAs concentration (1705.89

199 mg/L) of sample produced using $Ca(OH)_2$ (A9.5Ca) was much lower than that of A9.5

200 (2617.48 mg/L), which is due to the detrimental effect of Ca^{2+} precipitation on sludge 201 hydrolysis and protein acidification efficiency. (Li et al., 2017).

202 The moisture contents of the AF and HTC solid products after centrifugation are shown in Tab. S1. It was found that after the AF process, the moisture contents were 203 82.28%, 84.61%, 83.41% and 81.60% for sample A5.5, A7.5, A9.5 and A9.5Ca, 204 205 respectively. After the HTC processes, they decreased to 70.48%, 69.74%, 72.11% and 63.20%. It was obvious that HTC significantly enhanced the dewatering performance 206 of AF solid residues. The use of Ca(OH)₂ resulted in the best dewatering performance 207 after the AF and HTC processes, whereby the moisture of sample H9.5Ca decreased by 208 209 35.73%, when compared with the WAS sample.

It is worth mentioning that the dewatering performance under $Ca(OH)_2$ mediated conditions was better than that of NaOH. This is because $Ca(OH)_2$ could enhance

granulation and it was able to re-flocculate the sludge floc and soluble organic polymers,

213 increase floc density and floc strength, as well as to decrease the amount of bound or

- 214 inter-floc water (Ahmad et al., 2011; Li et al., 2008). However, Na⁺ released by NaOH
- 215 can exchange with divalent cations in the WAS, which would weaken the strength of
- 216 floc structure and deteriorate the dewaterability (Higgins and Novak, 1997).
- 217 *3.2 P distribution and speciation changes after AF and HTC processes*
- 218 *3.2.1 P distribution after AF process*

After the 12 days AF processes, the distribution of P in solid and liquid products were measured. As shown in Fig.1, the distribution ratios of P in the liquid products with different pH decreased in the order of A9.5 > A5.5 > A7.5 > A9.5Ca. The AF products at the pH of 9.5 with NaOH addition had the highest P distribution in the liquid phase (30.86%), whilst almost all the P was distributed in the solid phase when Ca(OH)₂ was instead used. The P distribution results were predominantly a reflection of the different amounts of P released in the liquid phase. The details are illustrated in Fig.2.

Fig.2 highlights the fact that most P was released in the initial 6 days' fermentation, 226 227 and there was no obvious variation in P concentration after 6 days' fermentation. The release of P at different pH was also in the order of A9.5 > A5.5 > A7.5 > A9.5Ca. The 228 greatest release of P (191.23 mg/L) was achieved at the pH of 9.5 with the addition of 229 NaOH. There are three factors that contributed to this result. Firstly, with the increase 230 of OH⁻, more NAIP of the WAS were released into the AF supernatant, the detailed 231 mechanism is illustrated in section 3.2.3. Secondly, as shown in 3.1, A9.5 generated the 232 largest concentration of VFAs, which provided an efficient carbon source for the P 233 release of phosphorus-accumulating bacteria under anaerobic condition (Huang et al., 234

2019). Thirdly, under the alkaline conditions, there is a greater release of OP from WAS, 235 which is also demonstrated in section 3.2.3. OP released from extracellular polymeric 236 substances or microbial cells could be converted to PO₄³⁻ by microbes (Xie et al., 2011). 237 Interestingly, when Ca(OH)₂ was used, the supernatant had the lowest P concentration 238 (3.05 mg/L), with large amounts of Ca²⁺ precipitated with the released PO₄³⁻, which 239 decreased the P concentration in the supernatant. The acidic AF condition (A5.5) gave 240 the second largest P release amongst the four samples. This was mainly attributed to the 241 effect of H⁺, which caused P release from inorganic P (such as Ca-P, Al-P, Mg-P and 242 243 Fe-P) of WAS. Section 3.2.3 and 3.4 will further verify these results.

244 3.2.2 P distribution after HTC process

Fig.1 also shows the P distribution of different HTC products. The proportions of P 245 246 in the liquid phases were 10.41%, 7.96%, 11.17% and 0.78% for samples H5.5, H7.5, H9.5 and H9.5Ca, respectively. It was found that more P was distributed in the solid 247 phase after the HTC process, which was due to the enrichment effect of HTC process 248 249 (Shi et al., 2019b). Even at the same pH of 9.5, sample H9.5 had the largest P distribution in the liquid phase, while sample H9.5Ca had the largest P distribution in 250 the solid phase. It illustrates that alkaline AF residues with NaOH also had the 251 promotion effects on P release during the HTC process, whereas significant 252 precipitation of Ca-P compounds occurred in the solid phase upon the use of Ca(OH)₂. 253 The liquid phase under acidic conditions (H5.5) had the second highest P distribution, 254 255 which demonstrates that acidic AF residues could promote the release of P during the HTC process. 256

As shown in Tab. S2, the released P in the AF and HTC liquid products were mainly in the form of PO_4^{3-} , which is consistent with previous literatures (He et al., 2016; Liu et al., 2019). In addition, the formation and range of P-containing speciations in the solid phase were more complex than that of the liquid phase (Qian and Jiang, 2014). Therefore, different techniques were performed to systematically characterize these P speciations in the solid phase.

263 3.2.3 Characterization of P speciations after AF process

Fig.3 shows the concentration and proportion of P speciations in the AF residues by 264 265 SMT method. It can be seen that the TP concentration of the AF residues at the pH of 5.5 and 9.5 were both lower than that of the WAS. For sample A5.5, it was mainly 266 attributed to the decrease of IP, which was caused by the dissolution effect of H⁺ under 267 268 acidic conditions. For sample A9.5, it was due to the release of NAIP and OP. The detailed reasons are illustrated in the following parts. Correspondingly, the AF residue 269 at the pH of 7.5 had the largest TP concentration of 30.44 mg/g. It should be noted that 270 271 the AF supernatant under Ca(OH)2 mediated alkaline condition had the lowest P concentration, and the P distribution in the solid phase under this condition was the 272 highest. However, the TP concentration of the solid phase was also the lowest observed 273 (23.09 mg/g). This is because the AF solid mass in the presence of Ca(OH)₂ (*ca.* 236 g) 274 was much larger than other conditions (ca. 125-140 g), as a results of Ca-associated 275 precipitations. There was a considerable amount of precipitated Ca that did not involve 276 277 P, such as CaCO₃ (demonstrated in section 3.4) in sample A9.5Ca. Therefore, the solid yield was the largest, but the TP concentration of the solid product generated under 278

279 Ca(OH)₂ mediated condition was lower than that of other samples.

In addition, according to Fig.3 (a) and (b), the proportions of IP (all > 80%) were 280 281 much larger than that of OP among all samples, indicating that most of the phosphate anion was combined with metal ions in WAS and AF residues. Notably, the OP 282 concentration of the AF residue at the pH of 9.5 with NaOH (2.60 mg/g) was 283 significantly (p < 0.05) less than that in the residue produced at pH 5.5 (3.09 mg/g) and 284 WAS (3.22 mg/g), according to the independent variance T test (the details are shown 285 in Tab.S3). The results indicate that more OP was hydrolyzed from WAS under alkaline 286 287 condition with NaOH mediated, which further verify the reason why sample A9.5 has the largest P release in section 3.2.1. Even though the OP concentration of the AF 288 residue under Ca(OH)₂ condition (2.97 mg/g) was also less than that of A5.5 and WAS, 289 290 there was no significant decrease (p > 0.05). The results provide further evidence that the hydrolysis effect of NaOH is much more pronounced than that of Ca(OH)₂. 291 As shown in Fig. S2, P 2p XPS analysis of the WAS and AF samples were conducted. 292 293 It was readily observed that the P 2p peaks of the different samples could be deconvoluted into two components. The peak of 134ev was assigned to C-O-P, which 294 was related to organic phosphorus (Qian et al., 2019). The peak of 133ev was assigned 295 to Ortho-P/Pyro-P, and it was mainly associated with metals, which could be regarded 296 297 as inorganic phosphorus (Huang et al., 2015). The proportions of peak areas (shown in Tab.S4) can be used to semi-quantitatively analyze the P speciations. 298 As shown in Tab. S4, the proportion of Ortho-P/Pyro-P (>70%) was much larger than 299

300 that of C-O-P in the WAS and AF residues. Therefore, it implied that most P was

301 combined with metal ions and can be regarded as IP. In addition, the proportion of C302 O-P decreased with the increase of pH value, indicating that alkaline AF condition
303 promoted the hydrolysis of OP. The results are consistent with the analysis based on
304 SMT method.

Fig.3 (c) and (d) show the concentration and proportion of NAIP and AP in different 305 AF residues and the WAS sample. It was observed that the AP concentration (0.47 mg/g)306 decreased significantly when treated in acidic conditions (pH = 5.5), by comparing with 307 WAS (3.05 mg/g). However, there was no obvious changes for the NAIP concentration. 308 309 It implied that acidic condition could obviously promote the dissolution of Caassociated P (AP), in comparison to some other metal associated P (NAIP) during the 310 AF process. Thus Ca-associated P was more sensitive to acidic conditions than other 311 312 metal-associated P. This can be attributed to the positive effect of H⁺ on Ca-P dissolution, which was enhanced at lower pH and hence resulted in more Ca-P being 313 dissolved (Latif et al., 2015; Wang et al., 2015). With the increase of pH, the 314 315 concentration and proportion of AP increased gradually, which implied that alkaline conditions could promote the formation of AP. The AF residue generated with the 316 addition of Ca(OH)₂ had the largest AP concentration (17.17 mg/g) and proportion 317 (94.28%), which was due to the combination of Ca^{2+} and PO_4^{3-} from the supernatant. 318 319 At the pH of 9.5, the concentrations of NAIP were much lower than that of other samples, which indicated the release of NAIP under higher pH values. Ion exchange 320 321 effect of OH⁻ was occurred under the alkaline conditions. OH⁻ could dissolve Al-P and combine with Fe³⁺, which had previously been bound to phosphate anions. Following 322

this, P was then liberated from Al-P compounds and Fe-P compounds on account of precipitation-dissolution and ion exchange reactions (He et al., 2016). The chemical equation were as follows: $AlPO_4 + 4OH^- \leftrightarrow AlO_2^- + 2H_2O + PO_4^{3-}$; $FePO_4 + 3OH^- \leftrightarrow$ $Fe(OH)_3 + PO_4^{3-}$.

327 *3.2.4 Characterization of P speciations after HTC process*

Fig.4 presents the concentration and proportion of P speciations that were detected 328 following HTC treatment. Fig.4 (a) shows that the TP concentrations of different HTC 329 solid products increased by 35.35% (H5.5), 34.00% (H7.5), 33.19% (H9.5) and 36.18% 330 331 (H9.5Ca), compared with that of AF solid products. These results suggest the significant P enrichment effect of the HTC process. It was also observed that the increase of TP 332 concentration was mainly attributed to the increase of IP. However, the OP 333 334 concentrations were decreased, indicating that the hydrolysis of OP took place over the HTC processes. Owing to the relatively low HTC temperature (170 °C), there was still 335 ca. 5% OP remaining in the TP of solid products (Fig.4 (b)). 336

According to the P 2p XPS results (Fig. S2), the proportions of Ortho-P/Pyro-P in

338 HTC solid products were higher than that of the AF residues. The percentages of C-O-

339 P in A5.5 (29.60%), A7.5 (26.83%), A9.5 (24.77%) and A9.5Ca (22.54%) decreased

340 significantly to 21.98% (H5.5), 20.67% (H7.5), 17.58% (H9.5) and 14.98% (H9.5Ca)

after the HTC process, which suggested that certain amounts of organic phosphorus in

- 342 the AF residues hydrolyzed and converted to Ortho-P/Pyro-P during the HTC treatment.
- 343 The results were in agreement with the SMT analyses as discussed above.
- 344 As shown in Fig.4 (c), the concentrations of NAIP and AP increased significantly,

with the increase in AP concentration being markedly more pronounced than that of NAIP. It is noted from Fig.4 (d) and Fig. 3 (d) that the proportion of AP increased to 3.78% (H5.5), 15.74% (H7.5), 22.15% (H9.5) and 96.04% (H9.5Ca), compared with that of 2.31% (A5.5), 10.43% (A7.5), 15.49% (A9.5) and 94.28% (A9.5Ca) in the AF residues. These results implied that the HTC process promoted the conversion of NAIP into AP, on account of the greater stability of the latter.

351 *3.2.5 P bioavailability analyses of AF and HTC solid products*

Some soluble phosphates which could be released and utilized by plants are defined as bio-available P. According to the study of Ruban (Ruban et al., 2001), NAIP and OP are regarded as bio-available P. Because NAIP are easily to be released, absorbed and utilized by organisms. OP could be mineralized by microorganism and release some soluble phosphates. The soluble phosphates may combine with active Fe³⁺, and then converted to some Fe-P compounds, which could be utilized by organisms.

As shown in Tab. S5, the percentages of bio-available P (OP and NAIP) reached a maximum value under acidic AF conditions (97.99%) and decreased gradually with the increase of pH values. Under the alkaline AF condition with Ca(OH)₂, the percentages of bio-available P was the lowest (18.69%). After the HTC process, the proportion of bio-available P were decreased, which can be attributed to the release of OP and the increased contents of AP.

364 *3.3 Metal contents of the AF and HTC products*

365 As mentioned above, the P contained within WAS, AF and HTC solids were mainly

366 in the form of IP, which were combined with metal ions. Therefore, it was necessary to

analyze the metal contents of the different samples. The results are shown in Tab. S6. 367 It could be found from the results that the concentrations of Mg, Al, Ca and Fe were 368 much greater than other metals in all the solid samples, suggesting more P combined 369 with such metals. Tab. S7 presents the molar ratios of Mg, Al, Fe and Ca to P, which 370 shows the Al/P ratio was much higher than the others, implying that the Al associated 371 P compounds may occurred most frequently. Furthermore, the concentrations of Na in 372 A9.5 and H9.5 were much higher than the others, which is a consequence of the addition 373 of NaOH for the adjustment of pH. Similarly, the Ca contents in sample A9.5Ca and 374 375 H9.5Ca were far greater than those of others, which could be attributed to the addition of Ca(OH)₂. 376

Under acidic conditions (pH = 5.5), the concentrations of most metals were decreased, 377 378 compared with that of WAS. As Na and K associated compounds are more soluble and unstable, the concentration of Na and K decreased much more than others. By 379 comparing the concentration changes of Mg, Al, Ca and Fe, the decrease of Mg and Ca 380 381 are much larger than that of Al and Fe, indicating that Mg and Ca elements are more sensitive to H⁺. The decreased concentration of Ca was consistent with the decreased 382 amount of AP under acid anaerobic fermentation, which was illustrated in 3.2.3. With 383 the increase of pH value, the metal concentration increased gradually, which was due 384 to the precipitation of metal ion and OH⁻. What worth mentioning was that, except the 385 element of Ca, the other metal concentrations of AF residue under Ca(OH)₂ condition 386 was lower than that of NaOH, even at the same pH value. The main reason was that 387 large amounts of Ca²⁺ could compete with other metal ions to form more Ca associated 388

389 precipitations.

After the HTC process, the concentration of Na and K in the solid products decreased 390 391 significantly. This is because Na and K associated compounds were not stable under HTC process, large amounts of Na⁺ and K⁺ transferred to the liquid phase. The change 392 trends of Mg, Al, Ca and Fe are similar to that of AF residues. However, the 393 concentrations of these elements in HTC solid products were higher than that of AF 394 residues, which was due to the enrichment effect of HTC process and the changes in 395 physical structure of the solid products. After the HTC process, the solid products 396 397 became more porous (shown in section 3.6), which would absorb more metal ions. Tab. S8 shows the metal concentrations presented in the AF and HTC liquid phases. 398 For the AF samples, almost all the metal concentrations obtained the maximum value 399 400 at the pH of 5.5, except the Na and Ca concentrations for samples prepared using NaOH and Ca(OH)₂. The metal concentrations mainly follow a decreasing trend with the 401 increase of pH value. The results were consistent with that of the solid products, which 402 403 was due to the effect of H⁺, leading to more metal ions being dissolved in the liquid phase under the acidic AF condition. After the HTC process, the concentration of metal 404 ions mainly followed an increasing trend, compared with that of the AF liquid products. 405 It indicated that HTC process has a more positive effect on the release of metal ions 406 than that of the AF process. The change trends of the metal concentrations between 407 different HTC liquids were similar to that of the AF liquids. 408 409 It was found that the Mg concentrations of the liquid samples A9.5Ca and H9.5Ca

410 were much higher than that of sample A9.5 and H9.5. This is because the large existence

411	of Ca^{2+} competed with Mg^{2+} to form precipitates, leading to a greater retention of Mg^{2+}
412	in the liquid phase (Munir et al., 2017). The XANES LCF results in section 3.5 would
413	further verify this phenomenon.

414 *3.4 XRD analysis*

In order to identify the formation of P-containing minerals in different samples, XRD 415 analysis was conducted. As can be seen in Fig. S3, the changes in mineral compositions 416 were apparent after the AF and HTC processes. Fig. S3 (a) shows that the P minerals 417 were mainly associated with Al, Mg, Ca and Fe in WAS. The Ca-associated P minerals 418 419 disappeared after AF process under acidic conditions (pH = 5.5). An increase in pH (to 7.5 and 9.5) (Fig. S3 (b)) resulted in the formation of Ca₃(PO₄)₂ and CaPO₃(OH) in the 420 AF residues, thus indicating that a relatively high pH promoted the generation of Ca-421 422 associated P minerals. These results verified the analysis of section 3.2.3, which also showed that the Ca-P content increased with the increase of pH value. 423

On the other hand, there was an obvious generation of CaCO₃ crystalline phase (calcite) in the AF residue with Ca(OH)₂ addition (Fig. S3 (c)), thus indicating that the AF treatment with Ca(OH)₂ addition promoted the formation of CaCO₃, due to the production of CO₂ during the AF process. This also verified that there were some Caassociated precipitates that did not contain P, as mentioned in section 3.2.3.

The AF residues also contained a certain amount of valuable minerals such as vivianite $Fe_3(PO_4)_2 \cdot 8H_2O$ and struvite $NH_4MgPO_4 \cdot 6H_2O$. Vivianite and struvite precipitations are both regarded as highly efficient methods for the recovery of phosphorus originated from sludge (Law et al., 2019; Munir et al., 2017; Uysal et al., 2010; Wang et al., 2017). Therefore, the AF residue has the potential to be utilized as
an agricultural fertilizer, and further techniques should be developed in order to reclaim
such minerals.

Fig. S3 (d) shows the mineral compositions of the HTC solid products. It suggests 436 that some mineral compositions disappeared after the HTC process, which might be 437 due to the destruction of crystal structures during the HTC process. However, the 438 berlinite (AIPO₄) was remained in the HTC solid phase, implying that the berlinite was 439 relatively stable and abundant in the HTC process. This is consistent with the results 440 441 shown in Tab. S7, which implied that the Al-associated P compounds maybe more abundant than the other speciations. According to the XRD spectrum, CaCO₃ was also 442 a main mineral in the HTC product of the AF residue under Ca(OH)₂ condition. It 443 444 indicated that CaCO₃ crystal was stable under the conditions of this HTC process.

The XRD results provide a relatively complete characterization concerning the 445 identity of possible P-containing compounds in the WAS, AF and HTC solid products, 446 447 as well as some other associated minerals. However, they are highly depended on the crystallinity of mineral components. Some phosphates which were poorly crystallized 448 may be difficult to be identified by XRD. According to the SMT analysis in section 449 3.2.4, the proportion of AP increased significantly after the HTC process, which was 450 451 due to the higher stability of AP. Nevertheless, XRD analysis suggested that there was no AP existing within the HTC solid products. It may be because the crystalline form 452 of AP was destroyed and not identified by the XRD. Therefore, a more advanced 453 technique such as P K-edge XANES was essential in order to detect the detailed 454

455 changes of NAIP and AP after the AF and HTC processes.

456 3.5 P K-edge XANES and LCF analysis

In order to systematically characterize the changes of phosphates during the AF and HTC processes, as well as to validate the results obtained by SMT method, P K-edge XANES and LCF analyses were conducted. Fig.5 presents the linear combination fittings of P K-edge spectra, and the associated LCF factors are given in Tab.S9. All the R factors were lower than 0.02, which meant good fitting results. Fig.6 shows the relative abundances of different phosphates.

The results indicate that the relative abundances of Ca-associated P (HAP and OCP) 463 decreased from 50.5% (WAS) to 45.7% after the AF conducted at a pH of 5.5 and it 464 increased gradually as the AF pH increased. At the pH of 9.5 (NaOH), the relative 465 466 abundances of Ca-associated P reached to 60.7%. Large amounts of HAP (59.0%) were generated when Ca(OH)2 was used in the AF process, and the relative abundance of Ca-467 associated P was 88.3%. It suggests that Ca(OH)₂ can promote the formation of HAP, 468 469 including the transformation from OCP to HAP. Furthermore, the relative abundances of AlPO₄ and FePO₄ decreased from 54.2% (A5.5) to 39.3% (A9.5), indicating that the 470 increase of pH value during the AF process could promote the transformation from 471 NAIP to AP. The relative abundances of AlPO₄ and FePO₄ in sample A9.5Ca was only 472 11.7%, which was due to the Ca^{2+} competing with Al^{3+} and Fe^{2+} to generate more HAP 473 precipitation during the AF process. Compared with that of A9.5, pH was not the key 474 factor in the formation of HAP, since the significant presence of Ca^{2+} played an 475 important role in producing HAP. HAP and OCP are related to AP, AlPO₄ and FePO₄ 476

belong to NAIP. The results obtained by P K edge XANES LCF provided detailed
information about the changes of different phosphates and verified the SMT results
which were discussed previously.

After the HTC process, the Ca-associated P also increased gradually as the pH 480 increased. The HTC solid sample under Ca(OH)₂ condition had the highest relative 481 abundance of Ca-P (90.7%), including 65.2% of HAP. The relative abundance of HAP 482 is higher than that of the AF residue under Ca(OH)₂ condition (59%). In addition, it 483 could be found that some OCP in AF residues at pH values of 7.5 and 9.5 were 484 485 converted to HAP after the HTC process. The relative abundance of HAP increased from 0% to 9.9% (H7.5) and 11.2% (H9.5), respectively. The results revealed that HTC 486 process could promote the conversion of OCP to HAP under alkaline conditions. 487 488 Because HAP is a comparatively more thermodynamically stable product (Bruun, et al., 2016; Huang and Tang, 2016). HAP was not found in the HTC solid product of the AF 489 residue at the pH of 5.5, indicating that HAP could not exist under the acidic 490 491 hydrothermal condition.

In addition, $Mg_3(PO_4)_2$ was identified after the HTC process, while it was not presented in AF solid products. This is because HTC process provided a more homogeneous environment for inorganic ions, which increased the activity of the inorganic ions. As a result, the reactions of precipitation, surface absorption and complexation were easily occurred under the HTC process (Huang et al., 2018). Mg was in a relatively high concentration in the WAS and AF residues according to Tab. S6, so $Mg_3(PO_4)_2$ was generated in such circumstance. However, $Mg_3(PO_4)_2$ was not identified in the HTC sample by $Ca(OH)_2$ conditions, which can be ascribed to the competitive effect of Ca^{2+} , leading to the accumulation of Mg^{2+} in the liquid phase (Munir et al., 2017). This is consistent with the results of section 3.3.

502 3.6 Morphology analysis of AF and HTC solid products

Fig.7 presented the SEM spectra of WAS, AF and HTC solid products. It could be 503 found that the particulars of the WAS sample were in large size, and mainly in the form 504 of bulk structure. The needle-shaped SiO₂ crystals were detected in the WAS sample. 505 After the alkaline AF process, the particulars became smaller, especially for the AF 506 507 residue under Ca(OH)₂ condition (A9.5Ca). Layer shaped mineral precipitations were found in sample A9.5, while there were also some spherical shaped CaCO₃ crystals in 508 sample A9.5Ca. After the HTC process, the surface of the solid products (H9.5, H9.5Ca) 509 510 became rougher, and large amounts of flocculent structures appeared. The specific surface area increased and it was beneficial for the adsorption of phosphates and metal 511 ions. In sample H9.5, needle-rod shaped SiO₂ crystals and layer shaped precipitates 512 513 were detected. However, sample H9.5Ca was mainly dominated by CaCO₃ crystals. The morphological analysis results of WAS, AF and HTC solid products were 514 consistent with the conclusions obtained by the metal ions and XRD analysis. 515

516 *3.7 Implication*

517 Global resource and energy shortage promoted the reclamation of some nutrients and 518 critical elements from solid wastes, especially for bio-wastes such as WAS from 519 WWTPs. AF and HTC are considered to be the optimum techniques to achieve the 520 reduction, harmlessness and recycling of bio-wastes. Considerable amounts of P from human life ultimately converged in WWTPs and end up in sludge, which is a great opportunity for P reclamation. Recently, AF process was mainly used to produce VFAs, and researches about HTC were mostly focused on the conversion of C and N compounds. Little is known about the P behavior after the combined processes of AF and HTC. The characterization of P speciations and the corresponding transformation mechanisms that occur during the AF and HTC processes is crucial for the optimization of treatment techniques and further recovery of P from bio-wastes.

The present study found that the alkaline AF condition (pH=9.5) achieved via NaOH 528 529 addition obtained maximum release of P (30.86%) from WAS. The P distributed in the AF and HTC liquid phase could be recovered by some crystallization method to form 530 hydroxyapatite or struvite (Guisasola et al., 2019; Shi et al., 2019b). The AF supernatant 531 532 under NaOH condition (pH=9.5) also obtained the highest content of VFAs, which could be an effective carbon source for the P release of phosphorus-accumulating 533 bacteria. While some further studies about the detailed functional genes and pathways 534 535 of the bacteria associated with P transformation during the AF process are still needed. The AF and HTC products obtained by Ca(OH)₂ had the highest P distribution (> 536 99%) in the solid products. The enriched P in the solid products could be further 537 extracted by acid treatment, and subsequently precipitated under alkaline condition to 538 form calcium phosphate (Heilmann et al., 2014). What worth mentioning is that, the 539 detected CaCO₃ (calcite) in the AF and HTC solid products under Ca(OH)₂ condition 540 541 was a kind of soil amendment. CaCO₃ can induce metal immobilization by increasing soil pH (Abd et al. 2013; Houben et al. 2012). Therefore, the AF residue and HTC solid 542

543 under $Ca(OH)_2$ condition have the potential to be utilized in soil improvement. Some 544 further studies about the effect of this kind of soil amendment are remain to be 545 investigated.

546	Acidic AF conditions (pH = 5.5) generated the highest bioavailable P (OP+NAIP)
547	content (97.99%) in the solid phase, which had potential application as a P fertilizer
548	(Kahiluoto et al., 2015). After the HTC process, the proportion of bio-available P was
549	reduced, especially under alkaline conditions. Concomitantly, the P concentration in the
550	solid phase was increased and the dewatering performance of the solid products were
551	improved. It indicated that the HTC process could enrich phosphorus to the solid phases,
552	which could be further extracted by acid for recycling (Shi et al., 2019b).
553	In general, treatment using alkaline conditions (NaOH) is beneficial for the
554	generation of VFAs and P recovery from the liquid phase, whereas acidic conditions
555	(pH = 5.5) increased the P bioavailability of the solid phase. The use of Ca(OH) ₂
556	improved the dewaterability and enhanced the P enriched in the solid products during
557	the AF and HTC processes. These findings provide fundamental knowledge for the
558	further recovery and recycling of P from bio-wastes, which are of great significance for
559	the recycling of global resource and sustainable development.

560 **4. Conclusions**

This study elucidated the variation of P speciations and the related transformation mechanism during the AF and HTC processes at different pH and different alkalis. The main conclusions are as follows.

564 (1) The 12 days VFAs production with NaOH additive (pH = 9.5) was much larger

than that of Ca(OH)₂, whereas Ca(OH)₂ can be utilized in order to obtain a more optimal
dewatering performance.

567 (2) Under the alkaline AF condition (pH = 9.5) with NaOH additives, the transfer of 568 P to the supernatant was promoted, due to the dissolution of NAIP and release of OP in 569 the AF solid phase. At the same AF pH value (9.5), $Ca(OH)_2$ fixed more P in the AF 570 and HTC solid products.

(3) Acidic AF treatment facilitated the conversion of AP to NAIP and improved the 571 P bioavailability. Alkaline AF condition enhanced the formation of AP, especially with 572 573 Ca(OH)₂ additive. The following HTC process promoted the conversion of NAIP to AP. The P K-edge XANES analyses verified the SMT results and revealed that the HTC 574 process promoted the conversion of OCP to HAP under alkaline conditions. 575 576 (4) Some P-associated valuable minerals such as vivianite and struvite were found in the AF residues. CaCO₃(calcite) was a main mineral in the AF and HTC products under 577 $Ca(OH)_2$ condition. 578 579 The results obtained have great implications on the recycling of P from bio-wastes,

and the methodology could also be applied to investigate the behavior of other elements.

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589 Appendix A. Supplementary data

590 Supplementary data related to this article are provided in the supplementary section.

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