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1 **PUNCHING SHEAR RESISTANCE OF FLAT SLABS STRENGTHENED WITH NEAR**
2 **SURFACE-MOUNTED CFRP BARS**

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10 **ABSTRACT**

11 This paper presents the effectiveness of strengthening slab-column connections against punching
12 shear failure with near-surface mounted (NSM) carbon fibre-reinforced polymer (CFRP) bars.
13 The experimental program consists of preparing and testing eight samples, two control and six
14 strengthened samples. The main variables of the experiment are the strengthening layout and the
15 cross-section area of CFRP bars. The results show that NSM strengthening increases the ultimate
16 load by up to 44%. And the strengthening delays formation of the first crack in concrete thus
17 maintaining a linear behaviour for load-displacement and load-strain curves for higher level of
18 load. The NSM strengthening increases the flexural stiffness by over 100% and maintains a strong
19 bond with concrete throughout the loading. The flexural strength of the slab increases, which
20 subsequently improves the punching shear capacity. The experimental results are compared with
21 several design codes by modification and implementation of Chen & Li's method. There is a good
22 agreement between the calculated ultimate capacity of the strengthened samples and the obtained
23 experimental results.

24 **Keywords:** Flat Slabs; Reinforced Concrete; Punching Shear; Strengthening; NSM; CFRP.

25 INTRODUCTION

26 With the development of novel materials, the strengthening methods against punching shear
27 failure for flat slabs has evolved over the decades. The traditional methods for increasing the
28 punching shear resistance are: (i) Increasing the depth of the slab; (ii) Post-installation of shear
29 reinforcement; (iii) Enlargement of the column head with concrete; (iv) Enlargement of the
30 column head with a steel structure; and, (v) Increasing the cross-section of the column (Elbakry
31 et.al 2015; Ruiz 2011). Although these techniques are shown to be effective in practice, there are
32 certain limitations such as susceptibility to corrosion, high self-weight, and difficulties in
33 installation. The use of fibre-reinforced polymers (FRP) overcomes these shortcomings and can
34 potentially become a feasible alternative for the current methods.

35 The existing literature mainly focuses on two methods for strengthening slab against punching
36 shear failure: direct shear strengthening and indirect flexural strengthening. In the flexural
37 strengthening method, FRP materials (sheets, laminates, or bars) are bonded to the tension surface
38 of the slab to act as flexural reinforcement. In the direct shear strengthening method, vertical holes
39 are drilled through the slab. The FRP is placed inside the holes and the cavity is filled with epoxy
40 adhesive to bond the FRP with concrete. These methods enhance the load-carrying capacity of
41 flat slabs by either delaying the punching shear failure or changing the failure mode to flexural or
42 flexural punching.

43 Externally bonded reinforcement (EBR) is the most commonly used method for strengthening
44 concrete structures with FRP. However, the major disadvantage of FRP EBR strengthening is the
45 premature debonding of the FRP from the surface of the slab (Bilotta et.al 2015). Recently, the
46 researchers are focusing on the use of near-surface mounted (NSM) strengthening of beams and
47 slabs as an alternative to the EBR approach. NSM strengthening method is reported to have many
48 advantages over EBR strengthening such as stronger bond with concrete, protection against
49 accidental impact and higher fire resistance due to embedment of FRP reinforcement in the
50 concrete (De Lorenzis 2007). Bilotta et.al (2015) investigated the efficiency of NSM and EBR

51 flexural strengthening of RC elements. They concluded that the NSM method is less sensitive to
52 debonding and is more effective in increasing the peak-load. Seo et.al (2013) found that the NSM
53 technique exhibits 1.5 times higher bond strength and shows higher magnitude of strain compared
54 to the EBR technique for flexural strengthening of RC beams. An experimental investigation was
55 conducted by Hassan and Rizkalla (2004) for flexural strengthening of RC beams with NSM
56 CFRP bars. The strengthened samples displayed significantly higher ultimate load, yield load,
57 and post-cracking stiffness. The authors proposed a minimum anchorage length of 800 mm and a
58 maximum usable strain of 0.7-0.8% of the CFRP bars.

59 Agbossou et.al (2008) investigated the effectiveness of strengthening slab-column connections
60 with externally bonded CFRP sheets. They reported increasing the ultimate capacity of the
61 strengthened samples by 15 – 30% which was directly proportional to the number of layers of
62 CFRP strips. Esfahani et.al (2009) investigated the strengthening of interior slab-column
63 connections with CFRP sheets. The strengthened samples displayed higher ultimate capacities
64 compared to the control samples. The improvement due to punching shear strengthening with
65 CFRP sheets was more prominent for slabs made with low amount of steel reinforcement and
66 high strength concrete. In a similar study, Harajli & Soudki (2003) suggested that the punching
67 shear capacity of slab-column connections can be enhanced by up to 45%. The efficiency of the
68 strengthened specimen could further improved by increasing the width of CFRP strips. Similarly,
69 Faraghaly & Ueda (2011) concluded that the punching shear capacity of slabs could be increased
70 by up to 40% with EBR CFRP sheets. Increasing the width of CFRP sheets directly enhanced the
71 ultimate capacities of the strengthened specimens. Akhundzada et.al (2019) found that the use of
72 non-bolted transverse anchorages delayed the debonding of CFRP laminates which subsequently
73 increased the punching shear capacity. The anchorages retain over 90% of the residual strength
74 after reaching their peak load. In a similar study, Akhundzada et.al (2018) proposed that the
75 orthogonal positioning of the CFRP laminates is more efficient compared to diagonal positioning
76 of the laminates for enhancing the punching shear capacity of flat slabs. Abdullah et.al (2013)

77 investigated the use of prestressed CFRP plates for punching shear strengthening of slabs. The
78 slabs strengthened with prestressed CFRP plates displayed significantly lower ultimate load over
79 non-prestressed slabs. In a similar study, Kim et.al (2010) found that the use of prestressed CFRP
80 plates can improve the punching shear capacity by up to 20%. The difference in their findings
81 could be attributed to different anchorage length, steel reinforcement ratio and positioning of the
82 CFRP plates. In the studies above, the rupture of FRP was not reported and the magnitude of the
83 strain in FRP was low. El-Salakawy et. Al (2004) studied the punching shear behaviour of edge
84 column retrofitted with CFRP and GFRP sheets. Some of the slabs were additionally retrofitted
85 with steel bolts acting as shear reinforcement. The samples without shear bolts failed in punching
86 shear while the samples with shear bolts failed in flexure. The samples with flexural CFRP and
87 GFRP sheets increased the ultimate load by up to 23% while the samples with additional shear
88 bolts increased the ultimate load by up to 30%. Chen & Li (2004) investigated the influence of
89 flat slabs with bi-directional GFRP sheets. They found that the ultimate load can be improved by
90 up to 45% and 95% when using single-layer and double-layer GFRP sheets, respectively.

91 Abdul-Kareem (2019) studied the punching shear strengthening of slab-column connections with
92 the EBR and NSM method. The NSM CFRP reinforcement had a square shape and was positioned
93 in the tension surface of the slab, around the vicinity of the column in orthogonal and skewed
94 configuration. The authors concluded the NSM strengthening method is twice more efficient in
95 increasing the punching shear capacity compared to the EBR method. Azizi & Talaeitaba (2019)
96 conducted a numerical analysis of strengthening flat slabs with CFRP rebars in grooves (EBRIG)
97 and on grooves (EBROG) method. The punching capacity of the numerical strengthened samples
98 improved by up to 62%. George & Mohan found that the EBROG method with FRP could
99 improve the punching shear capacity of flat slabs by up to 58%.

100 A significant number of studies have concluded that the direct shear strengthening of flat-slabs
101 against punching shear failure with FRP materials (sheets, strands, rods and bolts) is highly
102 efficient and can change the failure mode from punching to flexural or flexural punching (Binici

103 & Bayrak 2005; Sissakis & Sheikh 2007; Erdogan et.al 2010; Lawler & Polak 2011; Meisami
104 et.al 2013; Meisami et.al 2015; Koppitz et al., 2014).

105 This research aims to experimentally investigate the efficiency of the NSM method to strengthen
106 slab-column connection against punching shear failure. The two main variables of this study are:
107 the strengthening layout and the cross-sectional area of CFRP bars. The experimental results are
108 analysed and compared with the predictions of the design codes based on the development of
109 analytical modelling. The presented research is a continuation of previously published work about
110 EB strengthening of slabs against punching shear failure by the authors (Akhundzada et.al 2018;
111 Akhundzada et.al 2019).

112

113 **EXPERIMENTAL PROGRAMME**

114 Test specimens

115 The proposed research consists of preparing and testing eight slabs with a central column to
116 present an internal two-way spanning slab-column connection. The slabs have dimensions of
117 1500×1500×120 mm and column head of 150×150×150 mm as shown in Fig 1. All slabs are
118 reinforced with top (tension) reinforcement of 15H8 @100 c/c and bottom (compression)
119 reinforcement of 8H6 @200 c/c. The column head is reinforced with 4H10 L-bars and 3 No. 6
120 mm links. The slabs with the above parameters were chosen to ensure that punching shear failure
121 occurs within the test slabs. Two slabs serve as control samples and the remaining six slabs are
122 strengthened with CFRP bars.

123 The tested specimens are prototypes of an actual flat slab, scaled down by a factor of 0.5. The
124 actual slab is 240 mm thick and is supported by a grid of columns at 6x6 m. The slab has hogging
125 reinforcement of H16 @200 c/c. The spacing of the hogging reinforcement is adjusted to ensure
126 the maximum spacing of rebars is within the allowable limits. The tested slabs only represent the
127 junction between the column and the slab where punching shear failure is supposed to occur.

128 Material properties

129 Ready-mix concrete was used for the experiment to imitate real-life construction. The concrete
130 was produced by mixing natural (Thames Valley) aggregates and sand in Portland cement with
131 water to cement ratio of 0.6. One batch of concrete was used to cast the slabs. The concrete was
132 then cured for two weeks by covering it with wet hessian sheets and at a temperature of 26°C.
133 The characteristic cylindrical compressive strength and the characteristic tensile strength of the
134 concrete was determined during the testing day (28 days) according to BS EN 12390-13 (BSI,
135 2013). The compressive cylinder strength f_c was 30 MPa and the tensile strength f_{cm} was 2.8 MPa
136 with a standard deviation of 0.65 and 0.71 respectively.

137 The steel reinforcement has a characteristic yield strength of 500 MPa and is designated as grade
138 500 (BS, 2005).

139 The CFRP bars used in this experiment had a spirally wound surface to ensure improved bond
140 with the concrete. Fig 2 shows the cross-sectional area of the CFRP bars used in this experiment.
141 The CFRP bars had a tensile strength of 1800-2200 MPa and elastic modulus of 140-150 GPa
142 with a minimum rupture strain of 0.0129. The CFRP bars had a fibre content of 63%. The surfaces
143 of the CFRP bars were treated with epoxy and were additionally threaded to create a spirally
144 wound surface. The values for the mechanical properties of the CFRP bars were provided by the
145 manufacturer and were based on the nominal cross-sectional area. A commercially available two-
146 component (resin and polyamine hardener) structural adhesive was used to bond the CFRP bars
147 to concrete. The structural adhesive (WEBER, 2021) had a compressive strength of 85 MPa and
148 tensile strength of 17 MPa. The elastic modulus for the epoxy adhesive was 9.8 GPa.

149 Strengthening with CFRP

150 The grooves at the tension surface of the slab for this research were created by placing timber
151 strips into fresh concrete and were removed after hardening. The use of wooden strips or foam
152 for creating the grooves in concrete is widely used in NSM related research (Novidis et.al 2007;

153 Wahab et.al 2011; Gopinath et.al 2016). The cross-sectional geometry of the grooves was square,
154 and its dimensions were $(1.5d_b \times 1.5d_b)$ where d_b is the diameter of the CFRP bars. Fig 3 shows
155 the typical groove detail of the 8mm CFRP bar. The groove dimensions fell within the optimum
156 values indicated by Lee et.al (2013). The CFRP bars were cleaned with white spirit and the dust
157 was removed from the grooves to ensure a stronger bond between the CFRP bars and the concrete.
158 The epoxy adhesive was mixed with a paddle mixer and put onto a mortar gun. The grooves were
159 then partially filled with the mortar gun and the CFRP bars were placed and pressed into the
160 grooves. The remaining cavity was filled with epoxy and the surface was flattened with a trowel.
161 The process of preparation and strengthening the slabs is shown in Fig 4.

162 Strengthening layout

163 The details of the strengthened samples with NSM CFRP bars as shown in table 1. The CFRP
164 bars are positioned in orthogonal directions by using two strengthening layouts as shown in Fig
165 5. Four bars are used for strengthening layout one and eight bars are used for strengthening layout
166 two. The bars are positioned at a distance of 60 mm from the perimeter of the column at the
167 tension surface of the slab in the first layout. In the second layout, the bars are positioned around
168 the perimeter of the column and also at a distance of 120 mm from the perimeter to the column.
169 The two strengthening layouts chosen for this research effectively intercepts the punching shear
170 crack and is expected to utilize the maximum capacity of the strengthening material. The chosen
171 layouts will allow for the development of dowel forces in the CFRP reinforcement at the
172 intersection point with the inclined shear crack as indicated in Fig 5. Three different bar diameters
173 are used for each of the strengthening layouts i.e., 6 mm, 8 mm and 10 mm.

174 Instrumentation and test set up

175 The response of the slab under monotonic loading was monitored by the instrumentation shown
176 in Fig 6. Five linear variable displacement transducers (LVDTs) were used to measure the vertical
177 displacement of the slab. The LVDTs were positioned at the middle, quarter-span and close to

178 support of the slab. Three strain gauges (SGs) were attached at the mid-point of steel
179 reinforcement to monitor the development of strain in steel reinforcement. Furthermore, four SGs
180 were attached to the mid-point of the CFRP bars and additional six strain gauges were attached
181 to the tension surface of the concrete. Four dial gauges (DGs) were positioned over the supporting
182 frame to measure the movement of the testing rig.

183 The test set up is shown in Fig 7 and 8. The load was applied through the column head in an
184 upward direction. Eight rectangular hollow sections (RHS) columns were bolted to a strong
185 concrete floor to provide support for the slab. The slab was supported on top and bottom by steel
186 angles bolted to RHS. Smooth surface steel bars were placed between the slab and the angles to
187 allow free rotation of the slab at the edges. The slab was simply supported on four sides. A stress
188 distributor plate was placed under the column to prevent localized crushing of the concrete. A
189 load cell was positioned over the hydraulic jack to monitor the load. The minor deformation of
190 the testing rig was monitored throughout the loading and was taken into consideration during the
191 analysis. The displacement measuring instruments were supported by a light steel frame built
192 above the testing rig and was not connected with the rig. The load application was force-controlled
193 and was applied at a rate of 1 kN/min and the readings were captured at a rate of 0.1 sec.

194

195 **EXPERIMENTAL RESULTS**

196 Failure modes

197 All of the tested samples failed under a classical punching shear failure at the point of ultimate
198 load. With the increasing level of load, the initial cracking developed in the radial direction. The
199 punching shear circular crack started to develop away from the perimeter of the column towards
200 the later stages of loading. A sudden drop in the load was observed after reaching the maximum
201 capacity, which is considered as the failure point. The column head with a truncated slab section
202 was physically separated from the slab. In all cases, the failure was abrupt and happened without

203 initial warning signs. The CFRP bars kept a strong bond with the concrete throughout the loading
204 process. The rupture and bond failure of CFRP bars were neither observed nor recorded during
205 the test. A typical failure of one of the strengthened samples is presented in Fig 9.

206 Load-displacement response

207 The mid-span deflection of the slab is taken as the difference between the deflection of the slab
208 and the average vertical displacement of the supporting frame. The load-displacement relationship
209 at the centre of the slab for strengthening layouts 1 and 2 are shown in Fig 10. This relationship
210 was linear for all the slabs before the formation of the first crack in the concrete. In radial direction
211 the first crack appeared at a loading level of between 40-50 kN for all samples. At this stage, the
212 slabs displayed a stiff response which could be attributed to the un-cracked concrete section. After
213 the first crack, the load-displacement relationship was majorly dependent on the cross-sectional
214 area of CFRP bars and the strengthening layout.

215 The first circular crack around the perimeter of the column for control samples CS1 and CS2
216 started to shape at load of 110-120 kN which caused higher deformability in the load-
217 displacement graph Fig 10. The deformability of the control samples kept increasing as the rate
218 and number of cracks started to increased. The average deflection at the centre of the slab for the
219 samples strengthened with layout one (L1-6, L1-8, L1-10) and layout two (L2-6, L2-8, L2-10)
220 was correspondingly 38% and 41% lower compared to control samples at the point of maximum
221 load. The deformability of the samples strengthened with CFRP bars was lower compared to
222 control samples. Slabs L1-6 and L2-6 exhibited higher deformability amongst the strengthened
223 cases after reaching a load of 135 kN. The larger deformability of these samples could be
224 attributed to small bar diameter allowing for relatively larger deflection throughout the loading.

225 Flexural stiffness

226 The flexural stiffness is defined as the ratio between the ultimate load and the maximum deflection
227 at the mid-point of the slab. This ratio explains the deformability of the samples in relation to

228 their ultimate load as indicated in Fig 11. The flexural stiffness is calculated in two stages, before
229 and after the concrete cracking.

230 In general, the strengthened samples displayed significantly higher stiffness compared to the
231 control samples during the two stages. The difference in the stiffness of the control and
232 strengthened samples are relatively low before the cracking of the concrete. As the concrete starts
233 to crack, the difference increases accordingly. On average, the increase in stiffness for the samples
234 using strengthening layout one and two were 1.76 and 2.75 respectively before the cracking of
235 the concrete. However, this ratio increased to 2.11 and 3.6 for the two strengthening layouts after
236 cracking of the concrete. It could be extrapolated that the degree of dowel action from the CFRP
237 bars contributing towards higher stiffness of the slab is higher after cracking of the concrete.

238 The increase in stiffness is directly proportional to the increase in cross-sectional area and the
239 number of CFRP bars. The samples with strengthening layout 2 displayed higher stiffness
240 compared to the samples with strengthening layout 1 due to higher number of CFRP bars. The
241 samples with larger diameter of CFRP bars exhibited higher stiffness within their corresponding
242 strengthening layout. Sample L2-10 displayed the highest and sample L1-6 displayed the lowest
243 increase in stiffness compared to the average stiffness of the control samples.

244 CFRP strains

245 The load-strain curve at the mid-point of the CFRP bars is shown in Fig 12. The linear behaviour
246 of the load-strain curve at the initial stages of loading shows that the concrete is not cracked. This
247 behaviour changes after initiation of micro-cracking and development of substantial cracks in the
248 tension surface of the slab.

249 The CFRP and the concrete maintained their bond which did not fail under the increasing
250 monotonic loading during the whole process of testing. This behaviour is well illustrated by the
251 increasing level of strain in relation to the increase in load. The rupture of the CFRP bars was not
252 observed during the test and the slabs failed by the formation of the circular punching shear crack

253 in the concrete. The bars were exposed via removing the epoxy cover after the failure to check
254 for their integrity and it was cross-checked with the data from strain gauges.

255 The CFRP bars reached up to 45% of its rupture strain before failure of the slab. The strain
256 utilization was relatively higher for samples L1-6 and L2-6. On the other hand, samples L2-8 and
257 L2-10 exhibited the lowest level of strain at any given point of loading amongst all other
258 strengthened samples.

259 Concrete strain

260 The concrete strain in the radial direction at the centre, quarter-span, and end of the slabs is shown
261 in Fig 13. The strain readings presented are taken at the peak-load before failure of the slabs. The
262 strain profile along the loading span is similar to a natural distribution curve i.e., it is highest at
263 the centre of the slab and exponentially decreases with the distance along the span. The slabs
264 developed severe cracking at the point of maximum load reaching strains of 0.02.

265 Eurocode 2 (EC2 2004) requires checking the shear resistance at the face of the column and at
266 the basic control perimeter of $2d$ (where d is the effective depth of the slab) from the face of the
267 column. The critical section of $2d$ is shown in dotted line in Fig 15. The capacity of the slab should
268 exceed the applied shear forces at these critical perimeters. The stress concentration is
269 significantly lower outside these perimeters. This shows that the maximum concentration of
270 stresses are within basic the control perimeters and the punching shear failure plane is likely to
271 form inside this region, for slabs without shear reinforcement.

272 Cracking

273 When the slabs were subjected to vertical load, the first cracks were formed in the radial direction
274 at the tension surface of the slabs. A circular crack around the perimeter of the column started to
275 develop at a load level of 70-100 kN as shown in Fig 14. The radial cracks kept increasing in the
276 circumferential direction. The punching shear crack started to develop after a significant increase
277 in load away from the face of the column. The failure occurred by full physical separation of a

278 truncated conical surface from the remaining parts of the slab. The cracking was detected by
279 visual observation and recorded throughout the testing.

280 The first crack for both control samples CS1 and CS2 appeared at a load of around 41 kN and
281 formed at random locations on the tension surface of the slabs. The formation of the first crack
282 for samples with strengthening layout one L1-6, L1-8 and L1-10 occurred on average at a load of
283 45 kN. The samples with strengthening layout two L2-6, L2-8 and L2-10 delayed the appearance
284 of the first crack and it was formed at a load of around 48 kN. The position and length of the first
285 cracks for the CFRP strengthened samples were in orthogonal direction, parallel to the CFRP
286 strips. The crack formed as a straight line in the middle of the slab, going from one end to the
287 other end and crossing over the column-head. However, the first crack formed at random locations
288 in the radial direction for control samples CS1 and CS2.

289 The punching shear crack was roughly circular and appeared at some distance away from the
290 vicinity of the column. Strengthening the slabs with NSM CFRP bars did not change the shape of
291 punching shear crack. The shear failure plane developed partially at random locations at the
292 tension surface of the slab and kept growing until failure. The formation of cracks in the epoxy
293 adhesive (used to attach NSM bars to concrete) occurred at later stages of loading compared to
294 concrete. This could be attributed to the higher flexural capacity of the epoxy.

295 Ultimate punching shear capacity

296 The maximum capacity of the tested samples is presented in Table 2. The control samples CS1
297 and CS2 failed under classical punching failure after reaching a maximum load of 141 kN and
298 146 kN respectively. The retrofitted samples with CFRP bars displayed significantly higher
299 punching capacity compared to the average failure load of the two control samples. The capacity
300 of the strengthened samples within each of the strengthening layout was very similar. Increasing
301 the cross-sectional area of the CFRP bars did not have any noticeable influence on the ultimate
302 capacity in this case. The maximum strain recorded for CFRP bars was around 45% of its rupture

303 strain (refer to Fig 12). The maximum allowable capacity of the CFRP bars was not utilized and
304 the samples failed under concrete shear failure.

305 Increasing the number of CFRP bars considerably improved the ultimate load. The samples with
306 strengthening layout one (L1-6, L1-8 and L1-10) increased the ultimate load by about 18%. The
307 average increase for strengthening layout two (L2-6, L2-8 and L2-10) was around 41% compared
308 to control samples. Sample L2-10 exhibited the highest increase amongst other strengthened cases
309 and increased the ultimate load by 44%. Positioning the CFRP bars over a larger area intersected
310 the punching shear failure plane at several locations and delayed the punching shear failure, which
311 subsequently translated into enhanced load-carrying capacity.

312

313 **ANALYTICAL PREDICTIONS**

314 Design codes expressions

315 The existing design codes predict the punching shear capacity of conventional steel-reinforced
316 concrete only. The ultimate load is obtained by considering several factors such as steel
317 reinforcement ratio, compressive strength of concrete, slab depth and size of the column. The
318 design guidance requires checking the punching capacity of slabs at the face of the column and at
319 critical perimeters. The FIB Model Code (FIB MC, 2010) defines the critical perimeter at a
320 distance of $0.5d$ (where d is the depth of slab) from the face of the column. The Eurocode 2 (EC2,
321 2004) identifies the critical perimeter at $2d$. Fig 15 shows the location of critical/control perimeter
322 according to the design codes. The following expressions, without considering capacity reduction
323 factors, are adopted for estimating the punching capacity of slabs without shear reinforcement.
324 The following expressions are used for the purpose of comparison with the design codes.

325

326

327 Eurocode 2

328 Eurocode 2 (EC2, 2004) proposes the following expression to estimate the punching shear
329 capacity of RC slabs.

$$330 \quad V_c = 0.18k(100\rho_1 \cdot f_{ck})^{1/3} \cdot d \cdot u + k_1\sigma_{cp} \geq (V_{min} + k_1\sigma_{cp}) \quad (1)$$

$$331 \quad k = 1 + \sqrt{200/d} \leq 2 \quad (2)$$

$$332 \quad \rho = \sqrt{(\rho_{1z} \cdot \rho_{1y})} \leq 0.02 \quad (3)$$

$$333 \quad V_{min} = 0.035k^{3/2}f_{ck}^{1/2} \quad (4)$$

334 In the above expressions, d represents the effective depth of the slab, u represents the critical
335 control perimeter, term k is a size factor, ρ_1 is the flexural reinforcement ratio, f_{ck} is the
336 characteristic compressive strength of concrete, σ_{cp} is a factor related to prestressing and V_{min}
337 shows the minimum shear capacity.

338 FIB MC 2010

339 FIB MC (2010) provides four levels of approximation denoted by term ψ for calculating the
340 rotation of the slab. The level one approximation is used in this instance due to negligible
341 redistribution of moments.

$$342 \quad V_{rc} = k_\psi \times f_{ck} \times u \times d \quad (5)$$

$$343 \quad k_\psi = \frac{1}{1.5 + 0.9k_{dg}\psi d} \leq 0.6 \quad (6)$$

$$344 \quad k_{dg} = \frac{32}{16 + d_g} \quad (7)$$

$$345 \quad \psi = 1.5 \times \frac{r_s f_{yd}}{d E_s} \quad (8)$$

346 The term k_{ψ} is related to the rotation of the slab, d_g is the maximum size of aggregate used in
347 concrete, r_s is the radius of the separated slab element, f_{yd} is the yield strength of steel and E_s is
348 the elastic modulus of flexural reinforcement.

349 Adoption of Chen & Li method for NSM

350 The design codes previously discussed estimate the punching capacity of slabs at critical
351 perimeters by considering effective depth, reinforcement ratio and compressive strength of the
352 concrete. However, there are no known design codes for calculating the punching capacities of
353 slabs strengthened with NSM CFRP bars. The design approach adopted in this study is based on
354 Chen & Li (2005) method. This design approach considers FRP as flexural reinforcement and
355 introduces two terms to be replaced in the design codes. The term ρ_{eqv} and d_{eqv} are introduced
356 to replace ρ and d to take the influence of reinforcement ratio and effective depth into
357 consideration.

358 This method assumes a perfect bond between the concrete and the CFRP bars. This assumption
359 is true for this experiment and it was confirmed by visual inspection and strain data. The
360 distribution of forces, stresses, and strains within the cross-section of the slab is presented in Fig
361 16. It should be noted that the diagram is modified to change the EBR FRP to NSM FRP
362 strengthening. According to this approach, the maximum flexural capacity is achieved, when the
363 concrete reaches strain of 0.003 or the CFRP reaches its rupture strain. The strain in CFRP bars
364 and steel reinforcement is determined by linear strain distribution.

$$365 \quad \varepsilon_s = \frac{d - c}{c} \varepsilon_{cu} \quad (9)$$

$$366 \quad \varepsilon_f = \frac{h_1 - c}{c} \varepsilon_{cu} \quad (10)$$

367 In the expressions above, ε_s is the strain in steel reinforcement; ε_{cu} is the strain in concrete which
 368 is taken as 0.003 and ε_f is the strain in CFRP bars. The stresses in steel and CFRP bars can be
 369 found using the following expressions:

$$370 \quad f_s = E_s \varepsilon_s \text{ for } \varepsilon_s < \varepsilon_y \quad (11)$$

$$371 \quad f_s = f_y \text{ for } \varepsilon_s \geq \varepsilon_y \quad (12)$$

$$372 \quad f_f = E_f \varepsilon_f \text{ for } \varepsilon_f < \varepsilon_{fu} \quad (13)$$

373 Where ε_y and ε_{fu} shows the yield and ultimate strain in CFRP bars; f_y is the yield stress of
 374 flexural steel reinforcement; E_f is the CFRP elastic modulus and E_s is the steel elastic modulus.

375 The compression force in concrete, tension force in steel reinforcement and tension force in CFRP
 376 bars is obtained from the following expressions:

$$377 \quad C_c = 0.85 f'_c a b \quad (14)$$

$$378 \quad T_s = A_s f_s \quad (15)$$

$$379 \quad T_f = A_f f_f \quad (16)$$

380 In the expressions above, a is the depth of rectangular stress block; b is the unit width of the slab
 381 and the cross-sectional area of steel reinforcement and the CFRP bars is denoted by A_s and A_f .

382 The depth of the neutral axis is obtained by conducting iterations of the equilibrium of internal
 383 forces until the following equation is satisfied.

$$384 \quad C_c = T_s + T_f \quad (17)$$

385 After taking moment about the steel reinforcement axis, the following expression is obtained:

$$386 \quad M_{nf} = C_c \left(d - \frac{a}{2} \right) + T_f (h_1 - d) \quad (18)$$

387 The influence of the CFRP bars and their positioning with respect to the depth of the slab could
388 be calculated backwardly.

$$389 \quad d_{eqv} = \frac{M_{nf}}{T_s + T_f} + \frac{a}{2} \quad (19)$$

$$390 \quad \rho_{eqv} = \frac{T_s + T_f}{bd_{eqv}f_s} \quad (20)$$

391 The terms d_{eqv} and ρ_{eqv} are then substituted in the design codes to obtain the ultimate capacity
392 of strengthened slabs.

393 Comparison of results

394 The ultimate capacities obtained from the experimental work and the capacities from the design
395 codes are presented in table 2. The predictions of both Eurocode 2 (2014) and FIB MC (2010) are
396 somewhat similar for estimating the punching capacities of the two control slabs CS1 and CS2.
397 However, the predictions of the modified design codes were relatively conservative for the
398 strengthened slabs using Chen & Li's (2005) method. In general, these predictions provided more
399 accurate values for the samples strengthened with layout one as compared to samples strengthened
400 with layout two.

401 Chen and Li's method restricts the concrete strain to 0.003 but during the experiment, a
402 significantly higher level of strain was recorded at the centre of slabs (refer to Fig 12). The model
403 also assumed full bond of CFRP with concrete which was observed during the experiment for all
404 slabs. This method could be used for estimating the punching capacities of flat slabs strengthened
405 with NSM FRP bars.

406 **DISCUSSION**

407 According to Moe (1961), the punching shear strength of flat slabs can be established from its
408 flexural capacity. Increasing the flexural reinforcement of flat slabs directly improves its flexural
409 capacity, but it also indirectly contributes to the punching shear capacity. Therefore, the provision

410 of flexural NSM CFRP reinforcement enhances the punching shear capacity of flat slabs. This
411 effect is more pronounced for slabs with lower reinforcement ratio. The NSM reinforcement
412 around the perimeter of the column intersects the punching shear crack and delays its growth.
413 Introducing greater numbers of CFRP bars around the punching area is more effective as it
414 increases the number of intersection points with the shear crack.

415 Changing the cross-sectional area did not noticeably influence the ultimate load because the CFRP
416 bars did not reach their rupture strain. The slabs were over-strengthened in this specific case. A
417 relationship between the cross-sectional area of the CFRP bars and the ultimate capacity could be
418 established if the failure occurs via rupture of the CFRP bars. This relationship can be achieved
419 by using smaller CFRP bar sizes.

420 The increase in the ultimate load for the strengthened samples is due to the development of dowel
421 forces in the CFRP bars when they cut across the inclined shear crack. When the conically shaped
422 crack is developed over the column head, it creates a shear failure plane with the remaining parts
423 of the slab. These shear forces are resisted by the aggregate interlock and dowel action of the steel
424 and CFRP reinforcement. The CFRP reinforcement restricts the crack widening by the
425 development of dowel forces. The concrete cover is the main parameter upon which the dowel
426 mechanism is dependent (CEB-FIP 1993; CEB 1996). Deeper concrete cover and higher tensile
427 splitting strength of concrete allow for the development of higher level of dowel forces. The
428 samples strengthened with layout two developed twice the amount of dowel forces compared to
429 samples strengthened with layout one, due to the amount of CFRP bars. Fig 17 shows the variation
430 in the dowel forces between the two strengthening schemes.

431 The development of vertical forces due to the membrane effect in the CFRP reinforcement is also
432 contributing towards increasing the ultimate load. Kinnunen and Nylander (1960) examined the
433 contributions from the dowel forces and the membrane effects, for the punching shear capacity of
434 flat slabs. According to their conclusion, slab punching shear capacity improves if the ratio and
435 strength of flexural reinforcement increases.

436 Sample L1-8 and L2-6 were strengthened with roughly the same amount of CFRP reinforcement
437 but the increase in the ultimate load for sample L2-6 was two times greater than sample L1-8.
438 Sample L2-6 satisfied the maximum bar spacing in the area affected by punching shear whilst
439 sample L1-8 had large unreinforced regions, which allowed for the development of punching
440 shear crack at a relatively lower level of loading.

441 Alexander and Simmonds (1990) concluded that the concentration of reinforcement over the
442 column strip is less effective compared to equal distribution of reinforcement over a wider area.
443 The equal distribution of reinforcement allowed for further development of dowel forces, which
444 subsequently delayed the punching shear failure.

445 Strengthening slab-column connections with NSM CFRP bars significantly increases the cracking
446 load, stiffness, and ultimate capacity. The bonded length provided for the CFRP bars is sufficient
447 for this specific size of the slab. The CFRP bars forms a strong bond with concrete and the system
448 does not suffer from debonding. This results in utilizing the maximum allowable capacity of
449 CFRP bars which subsequently enhances the ultimate load. The NSM strengthening of slab-
450 column connection is significantly more efficient than EBR strengthening mainly in terms of bond
451 performance and increasing the ultimate load.

452 The negative moment (hogging) region in flat slabs specifically in car parks is exposed to heavy
453 vehicular impact. External strengthening with FRP EBR causes durability issues and poses a
454 major fire risk. The use of NSM as an alternative to EBR strengthening overcomes such issues. It
455 should be noted, that the NSM method requires sufficient concrete cover for creating grooves in
456 concrete.

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460 **CONCLUSIONS**

461 In this study, the punching shear strength of interior slab-column connections retrofitted with
462 NSM CFRP bars is experimentally investigated. The study concentrates on the influence of the
463 cross-sectional area of CFRP bars and the strengthening layout. Eight slab-column connections
464 were tested under monotonic load and the following conclusions are drawn:

- 465 1. The use of NSM CFRP bars improves the shear capacity of slab-column connections.
466 Sample L2-10 increased the ultimate load by up to 44% compared to control samples.
- 467 2. Increasing the number of CFRP bars considerably enhances their ultimate load. The
468 average strength gain for strengthening layout one and two is 18% and 41% respectively.
- 469 3. Strengthening delays formation of the first crack in concrete which subsequently results
470 in maintaining a linear relationship for load-displacement and load-strain curves.
- 471 4. CFRP NSM strengthening significantly increases the flexural stiffness. The increase in
472 stiffness is directly related to the strengthening layout and the cross-sectional area of
473 CFRP bars. The maximum flexural stiffness was recorded for sample L2-10 which shows
474 an increase of 100% compared to control samples.
- 475 5. The ultimate capacities of strengthened slabs with NSM CFRP bars can accurately be
476 calculated by the adoption of Chen & Li's method in the design codes. The proposed
477 method could be incorporated into design codes.

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484 **NOTATION**

485	A_f	Cross-sectional area of FRP reinforcement
486	A_s	Cross-sectional area of steel reinforcement
487	a	Depth of the rectangular stress block
488	b	Breadth of the slab
489	C_c	Compression force in the concrete
490	c	Column side length (dimension)
491	d	Effective depth of the slab
492	d'	Height of concrete cover
493	d_b	Diameter of the bar
494	d_{eqv}	Equivalent effective depth for the slab
495	d_g	Maximum aggregate size in concrete mix
496	d_g	Concrete cover on the side of the slab
497	E_f	Modulus of elasticity for FRP
498	E_s	The modulus of elasticity for steel
499	f_{ck}	Compressive strength of concrete
500	f'_c	Compressive strength of concrete
501	f_y	Yield strength of steel
502	h	Depth of the slab section

503	h_1	Height between the compression surface of the concrete to the centre of the FRP reinforcement in the slab
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505	k	Size factor for the effective depth of the slab
506	k_1	Empirical factor representing the nominal stresses
507	k_{dg}	Parameter related to the maximum aggregate size
508	k_{ψ}	Parameter related to the rotation of the slab
509	T_f	Tensile force in the FRP reinforcement
510	T_s	Tensile force in the steel reinforcement
511	u	Length of the control perimeter in the slab
512	u_0	First perimeter of the column
513	V_{min}	Minimum shear capacity of the slab
514	$V_{u,predicted}$	Maximum punching shear capacity predicted by the design codes
515	$V_{u,test}$	Maximum punching shear capacity of the tested samples
516	γ_s	Radius of the separated slab element
517	ε_{cu}	Strain in the concrete
518	ε_f	Strain in the FRP reinforcement
519	ε_{fu}	Ultimate strain in the FRP
520	ε_s	Strain in the steel reinforcement
521	ε_y	Yield strain in the FRP
522	π	Ratio of circle circumference to its diameter (constant)

523	ρ	Flexural steel reinforcement ratio
524	ρ_1	Average reinforcement ratio
525	ρ_{1y}	Reinforcement ratio in Y-Y direction
526	ρ_{1z}	Reinforcement ratio in Z-Z direction
527	ρ_{eqv}	Equivalent reinforcement ratio for the slab
528	σ_{cp}	Concrete stresses due to prestressing of reinforcement
529	Ψ	Angle between the horizontal axis and the deformed slab

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543 **DATA AVAILABILITY STATEMENT**

544 Some or all data, models, or code that support the findings of this study are available from the
545 corresponding author upon reasonable request. The data includes:

- 546 1. Pictures showing the failure mode of all samples
- 547 2. Deflection of the samples at quarter-span
- 548 3. Strain development in steel reinforcement
- 549 4. Excel sheets showing the detailed calculation for obtaining the analytical results

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675 **TABLES**676 **Table 1.** Sample description

Slab ID	Strengthening Layout	Number of bars N_b	Bar diameter d_b (mm)	Test variable
CS1	-	-	-	Control slab
CS2	-	-	-	Control slab
L1-6	Layout 1	4	6	Strengthened
L1-8	Layout 1	4	8	Strengthened
L1-10	Layout 1	4	10	Strengthened
L2-6	Layout 2	8	6	Strengthened
L2-8	Layout 2	8	8	Strengthened
L2-10	Layout 2	8	10	Strengthened

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688 **Table 2.** Comparison of ultimate loads with design codes

Specimen designation	$V_{u, test}$ (kN)	$V_{u, predicted}$ (kN)		$V_{u, test} / V_{u, predicted}$	
		EC 2	FIB MC	EC 2	FIB MC
CS1	141	135	128	1.05	1.1
CS2	146	135	128	1.08	1.14
L1-6	168	153	144	1.1	1.17
L1-8	172	161	148	1.07	1.17
L1-10	167	168	150	0.99	1.11
L2-6	202	163	149	1.24	1.36
L2-8	197	174	153	1.14	1.29
L2-10	206	182	155	1.13	1.33
			Average	1.1	1.21

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700 **LIST OF FIGURES**

701 **Fig. 1.** Typical cross-section of the slab (dimensions in mm)

702 **Fig. 2.** Cross-sectional area of the CFRP bars (mm)

703 **Fig. 3.** Typical groove detail for 8mm CFRP bar (dimensions in mm)

704 **Fig. 4.** (a) Steel reinforcement in moulds (b) Finished grooves for strengthening (c) CFRP bars
705 (d) Placing CFRP bars in grooves

706 **Fig. 5.** (a) Cross-section layout 1 (b) plan layout 1 (c) cross-section layout 2 (d) plan layout
707 (dimensions in mm)

708 **Fig. 6.** Slab instrumentation (dimensions in mm)

709 **Fig. 7.** Test set up and instrumentation side view

710 **Fig. 8.** Test set up

711 **Fig. 9.** Punching failure of slab L2-8

712 **Fig. 10.** Load-deflection response at mid-span

713 **Fig. 11.** Flexural stiffness of all samples

714 **Fig. 12.** Load-strain relationship in CFRP bars

715 **Fig. 13.** Strain profile in the tension surface of slabs at ultimate load

716 **Fig. 14.** Cracking pattern for control and CFRP strengthened samples

717 **Fig. 15.** Critical/control punching shear perimeter for design codes

718 **Fig. 16.** Strain, stress and force distribution in slab section

719 **Fig. 17.** Development of dowel forces in the strengthened slabs

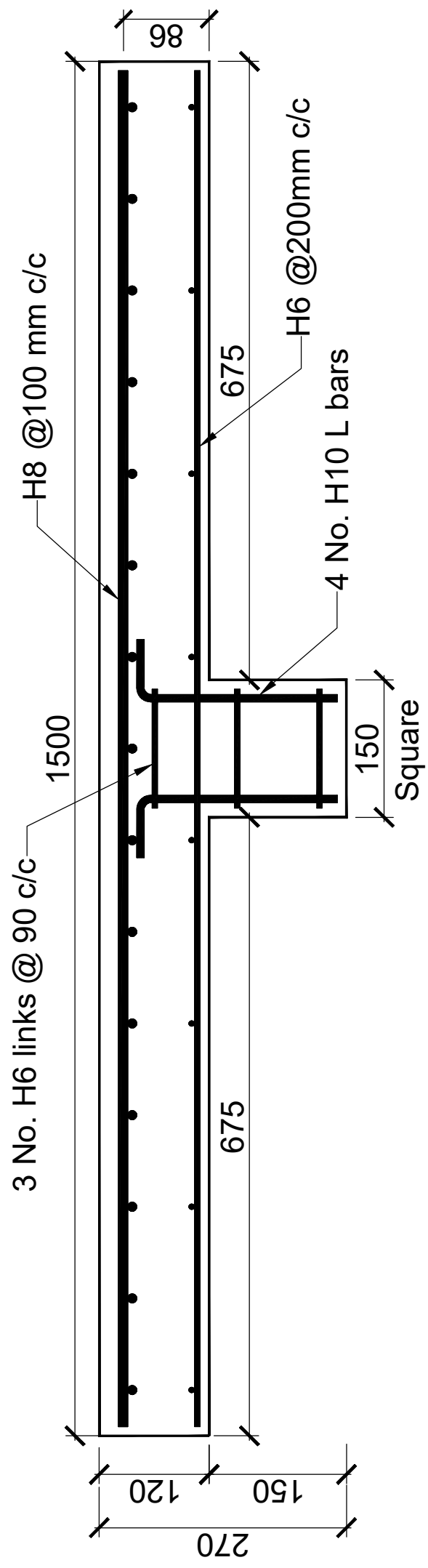




Figure 2

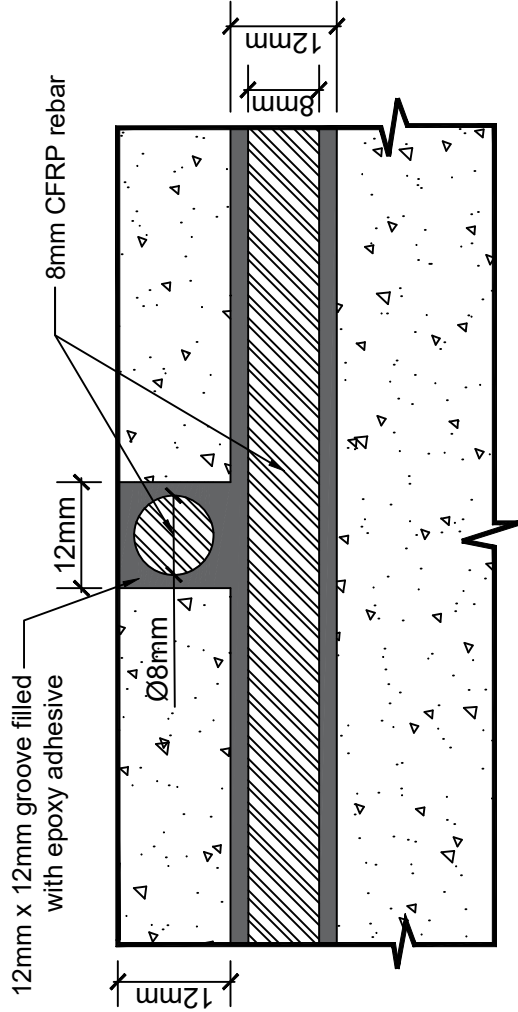
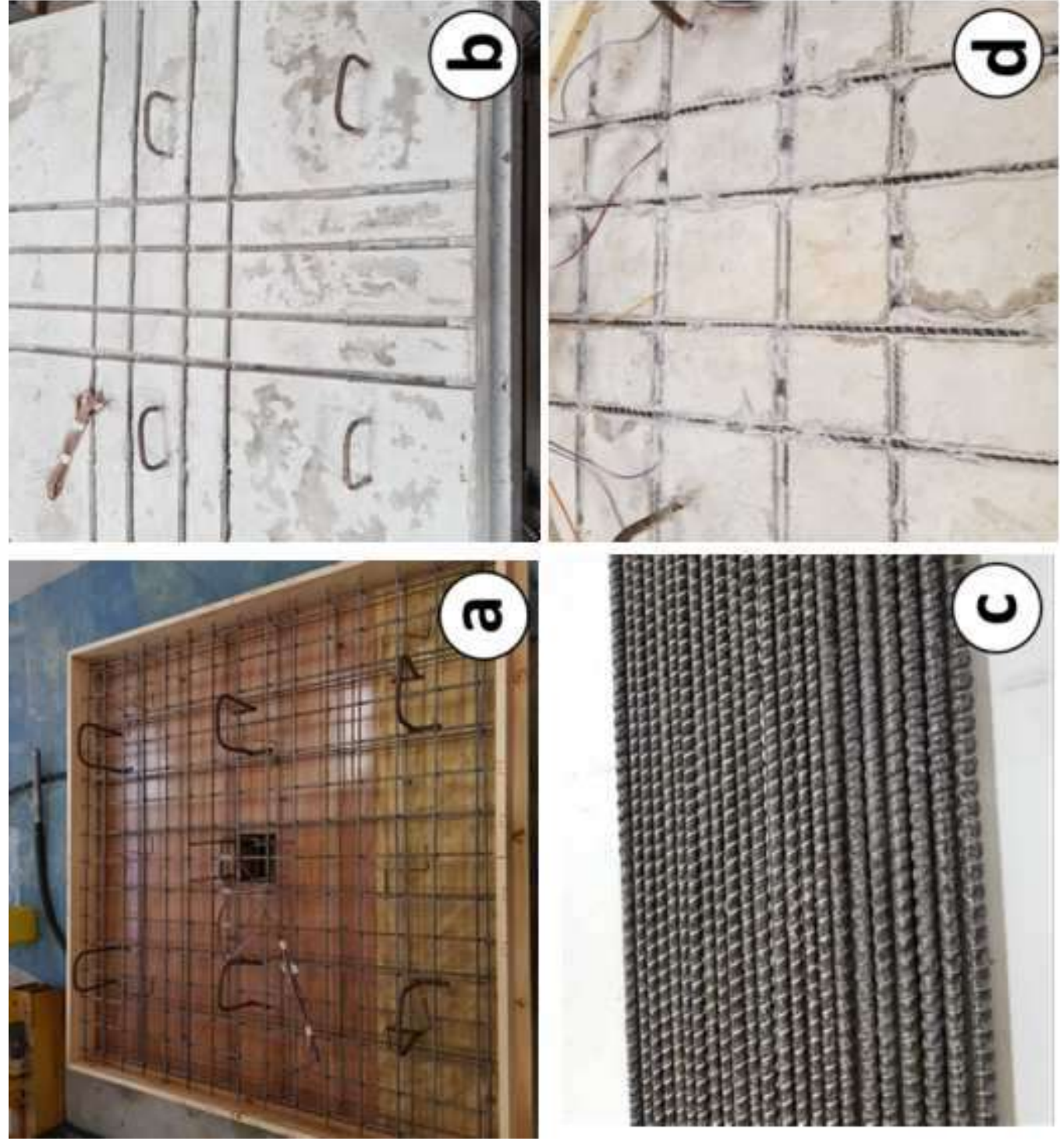
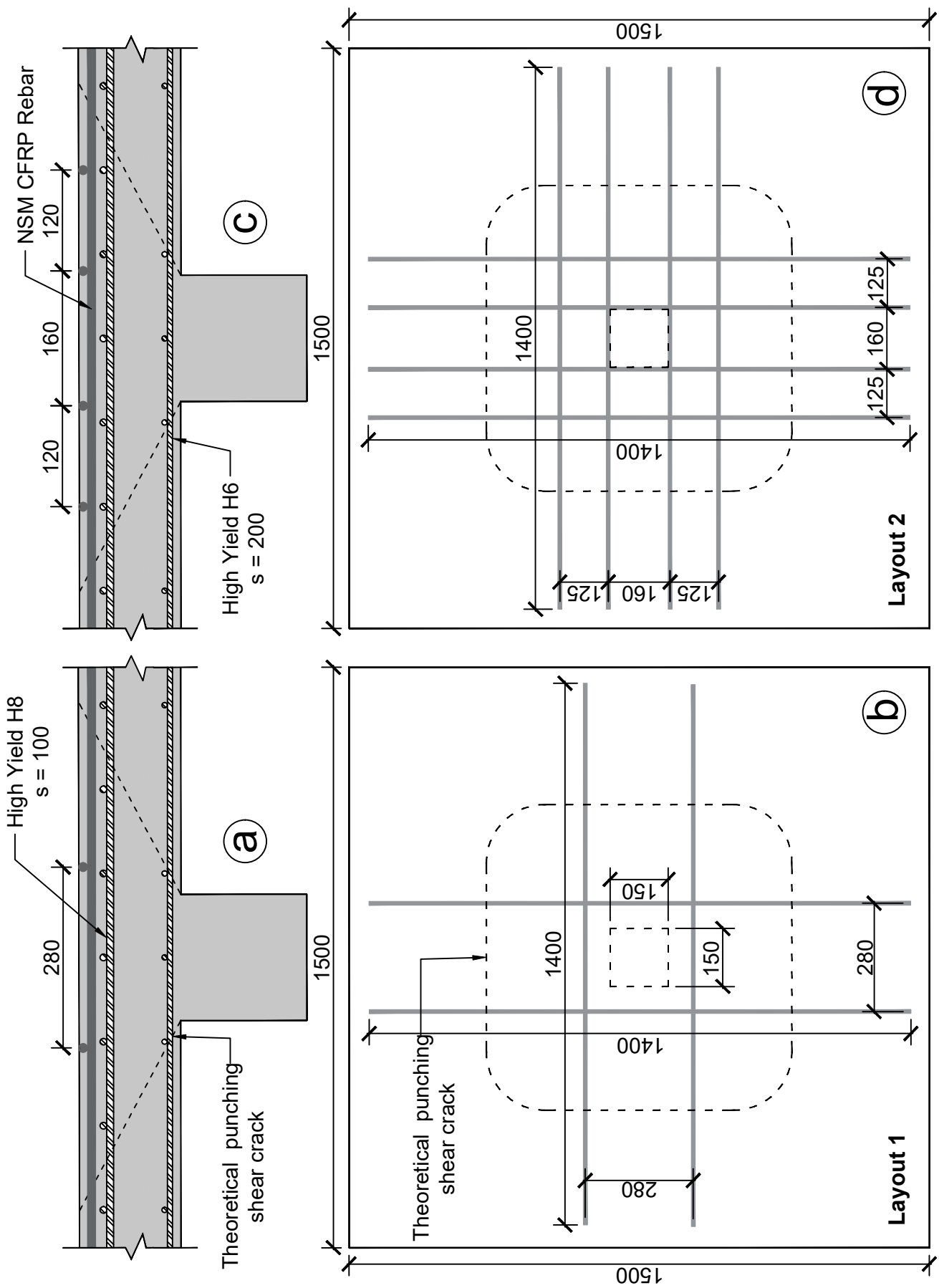
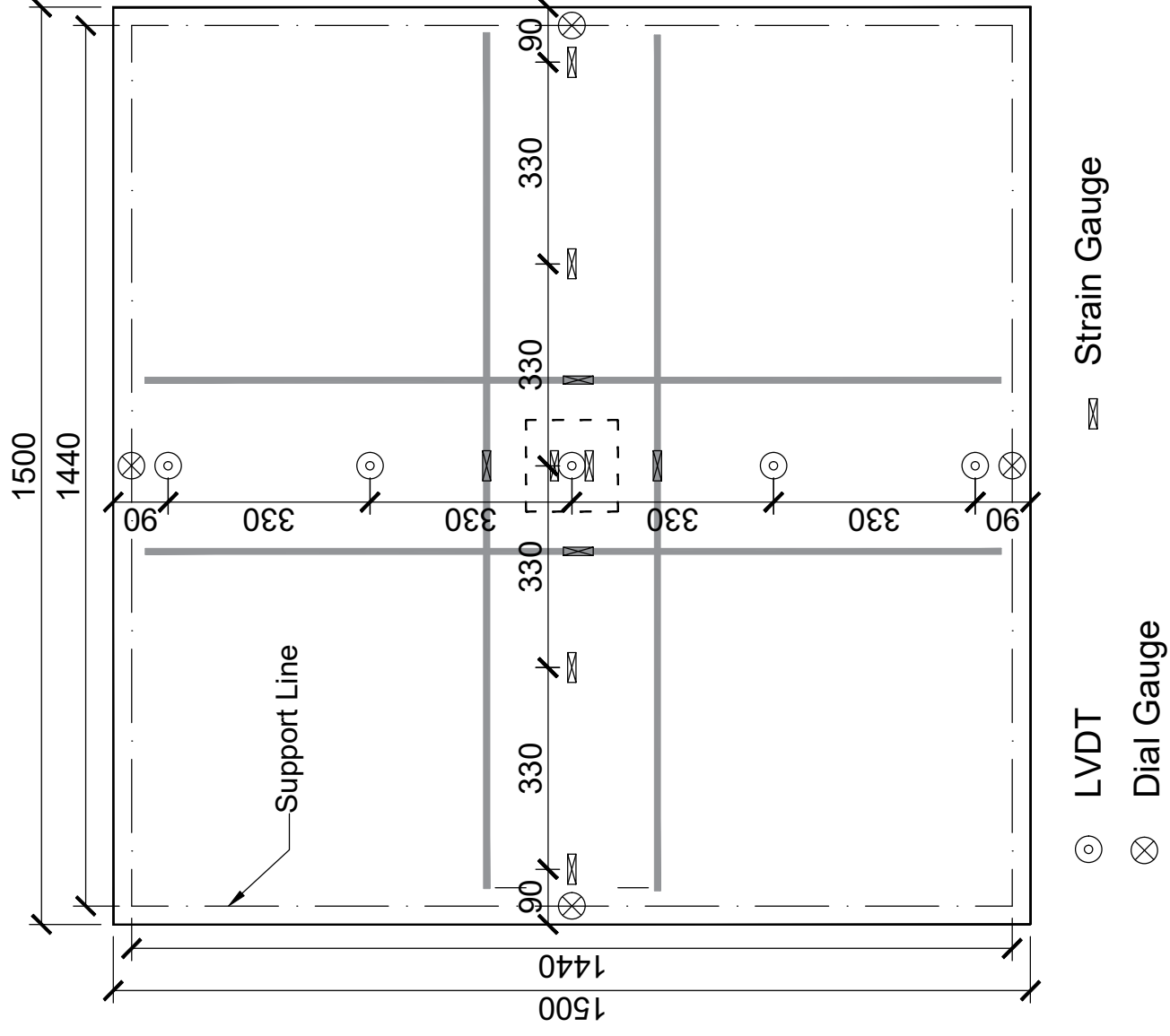


Figure 4





Note: All units are in mm.



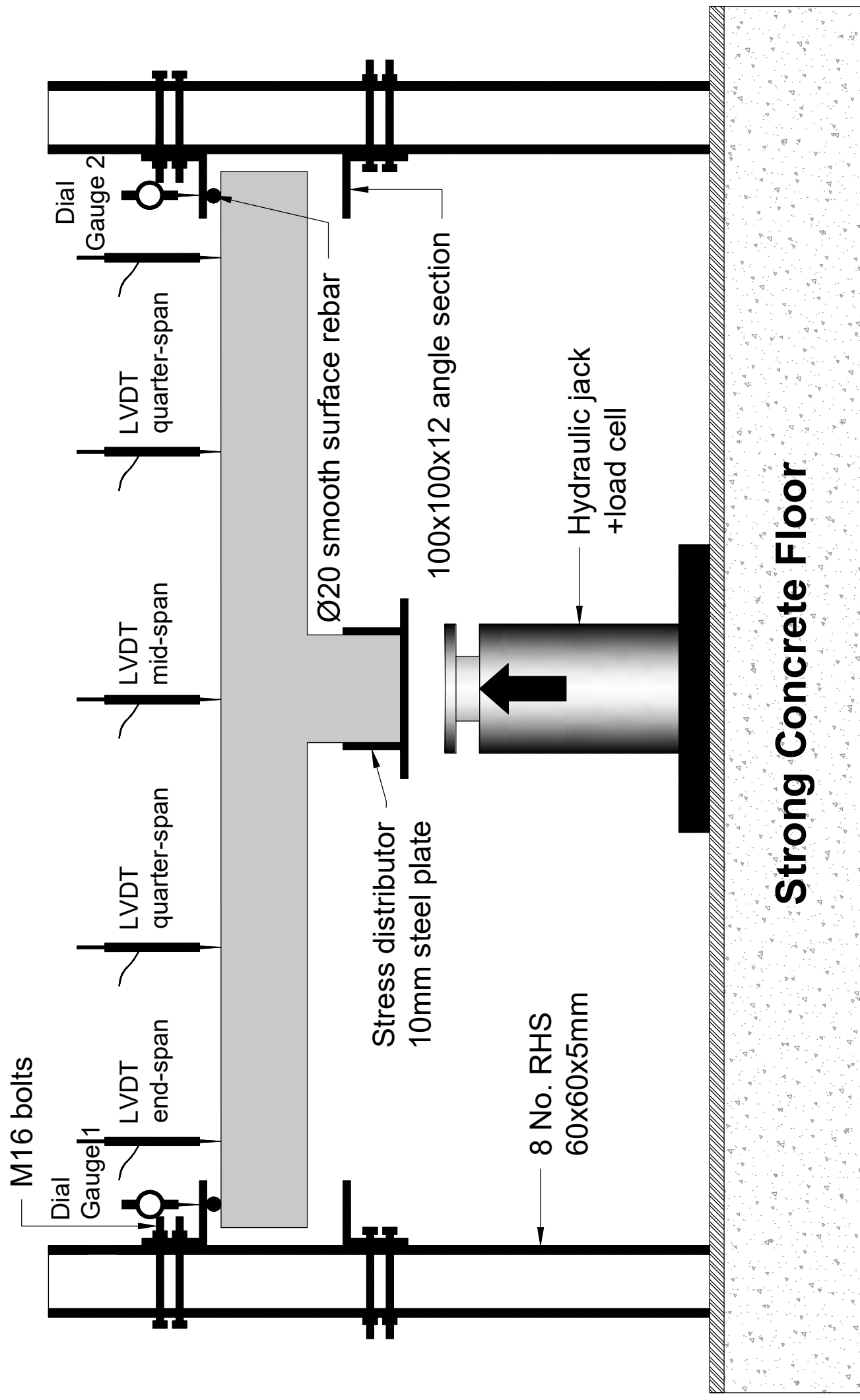


Figure 8





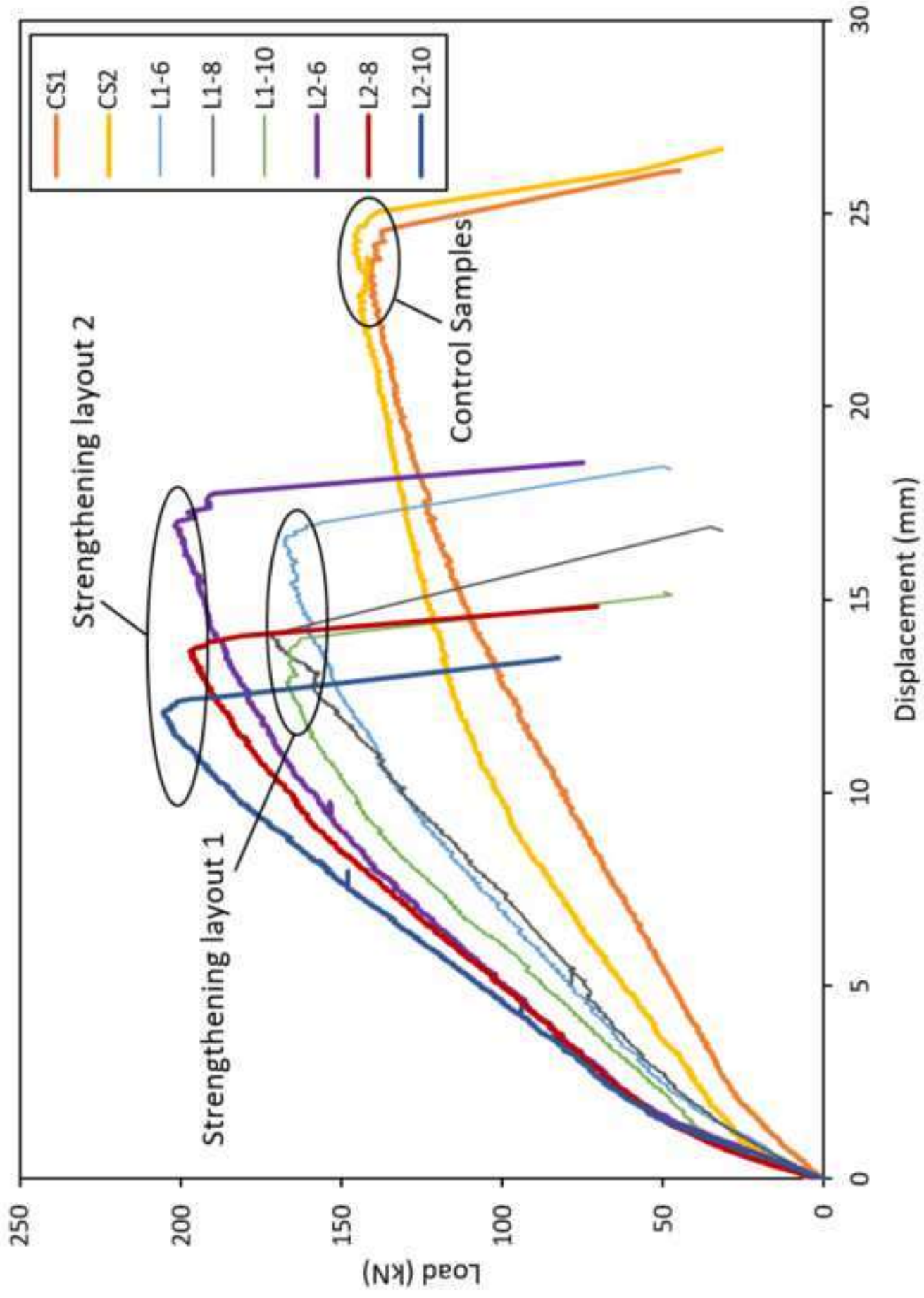


Figure 10

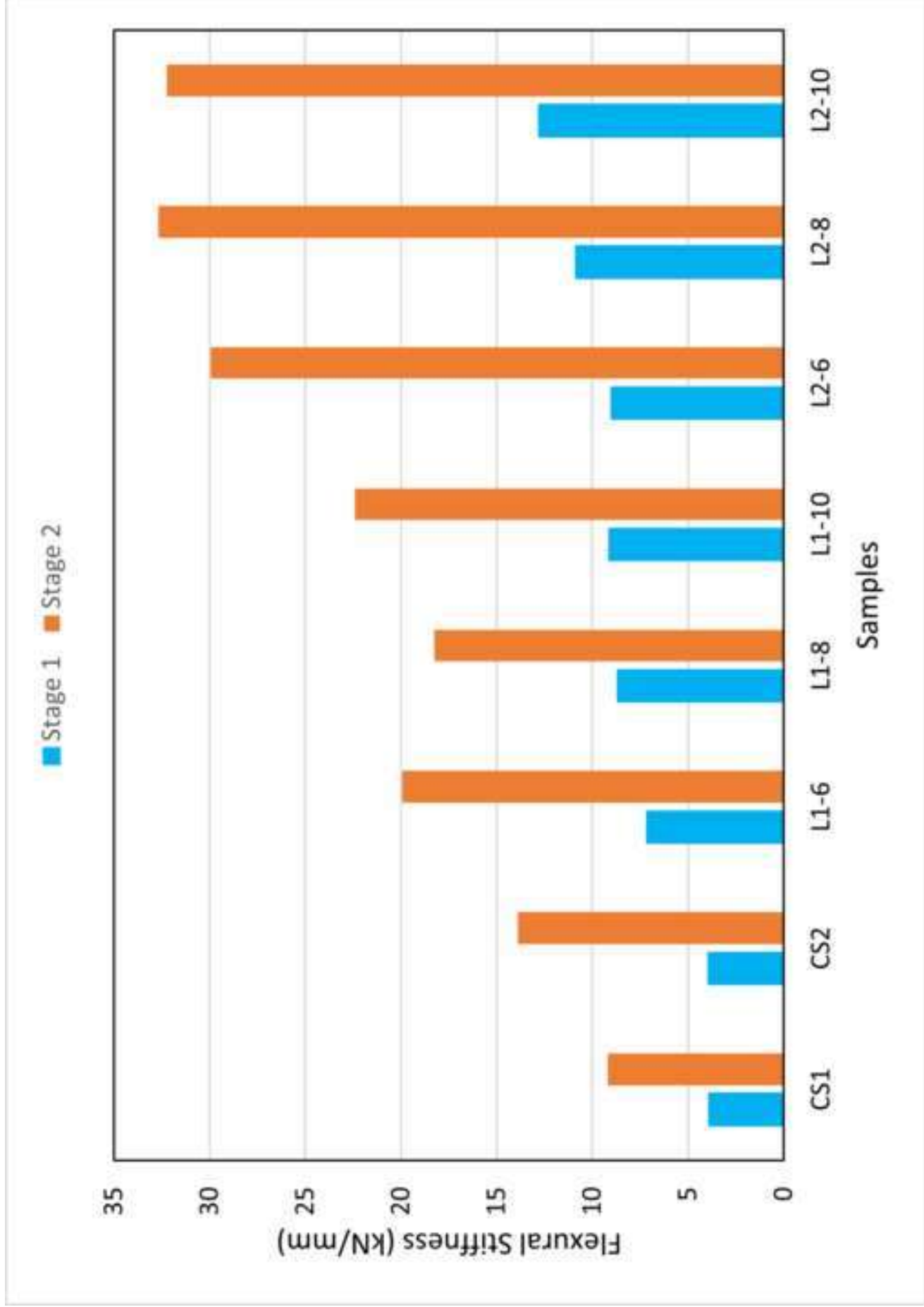


Figure 11

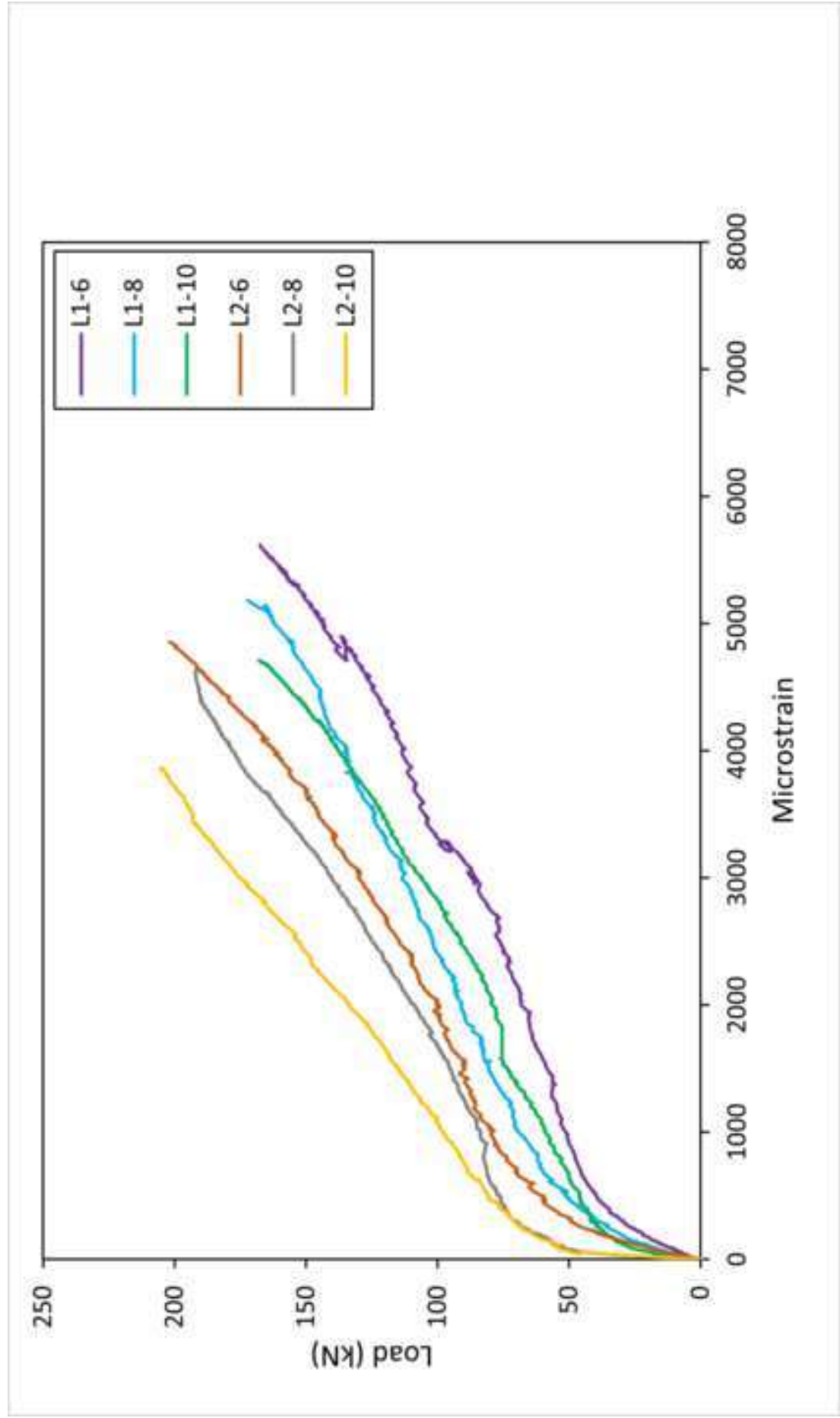
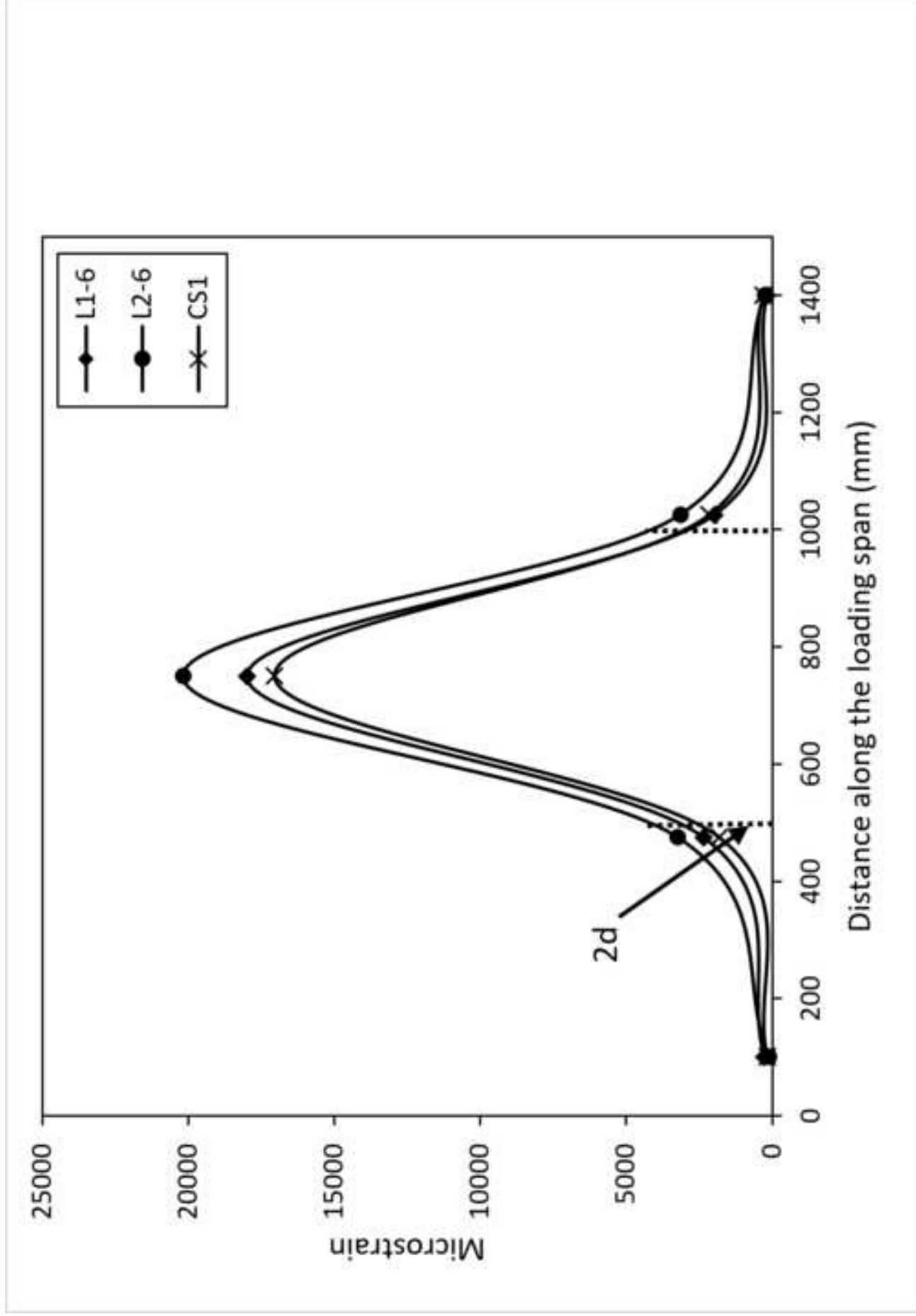
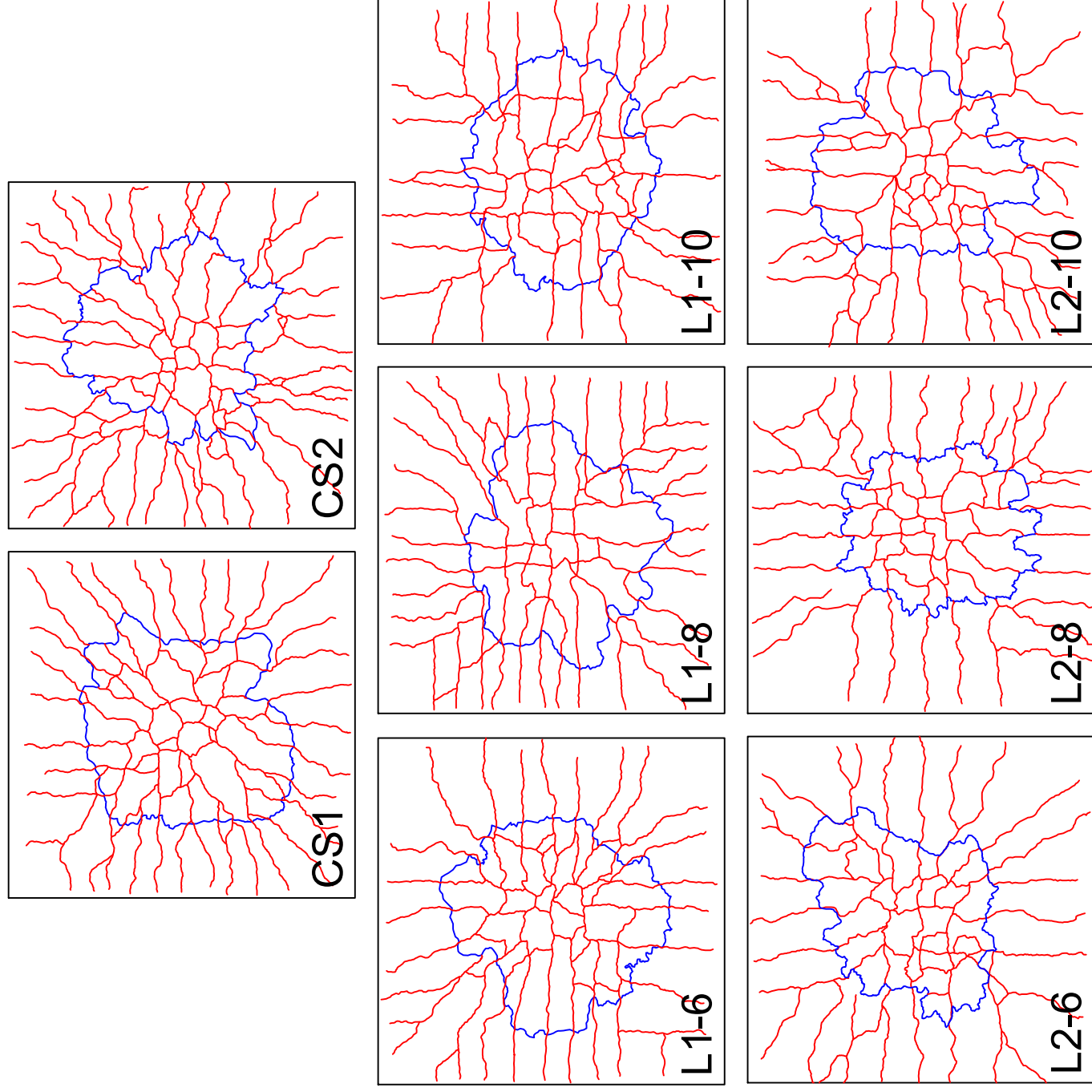
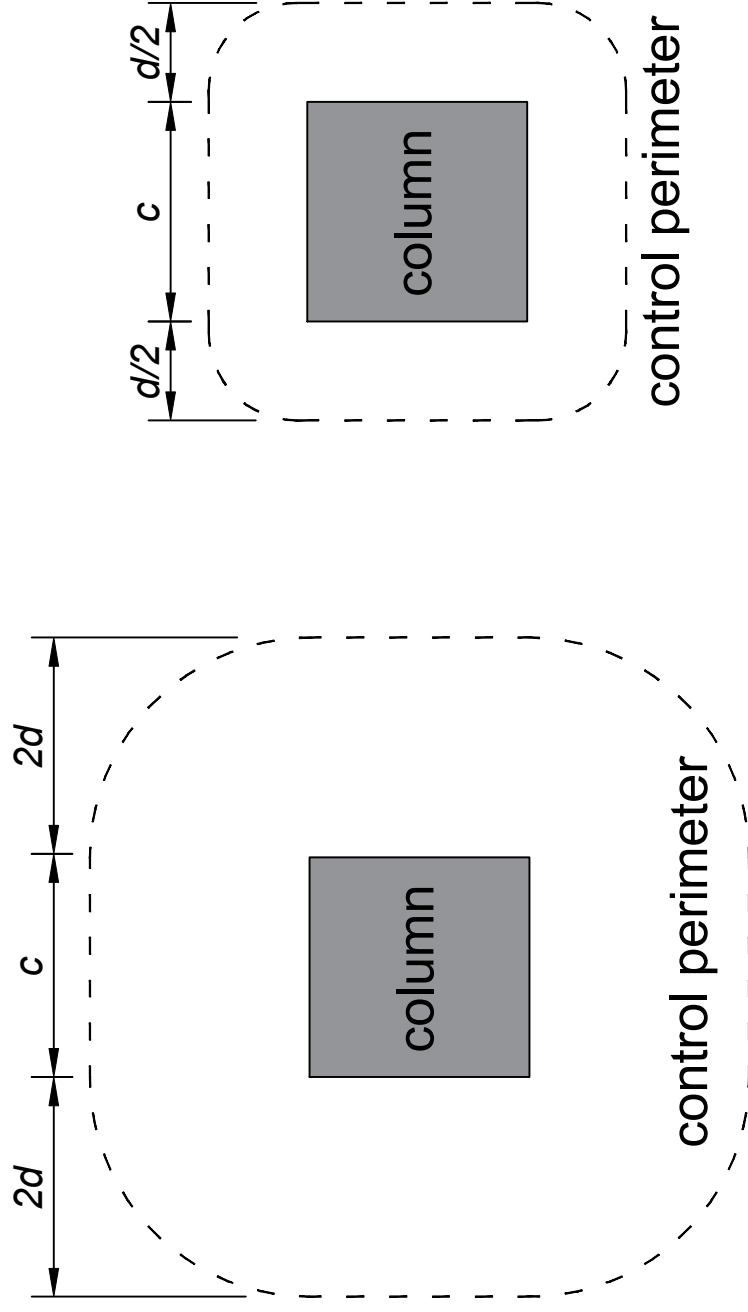


Figure 12

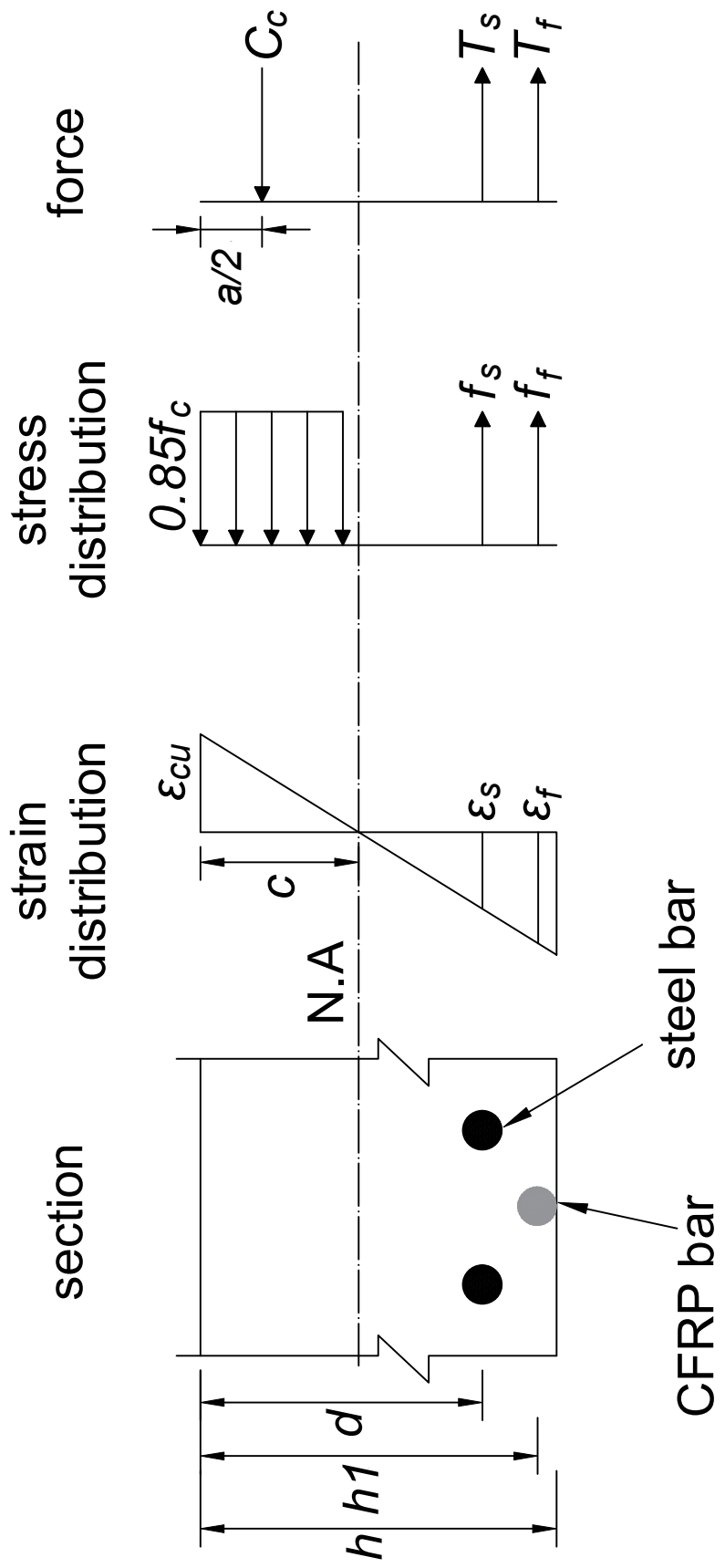


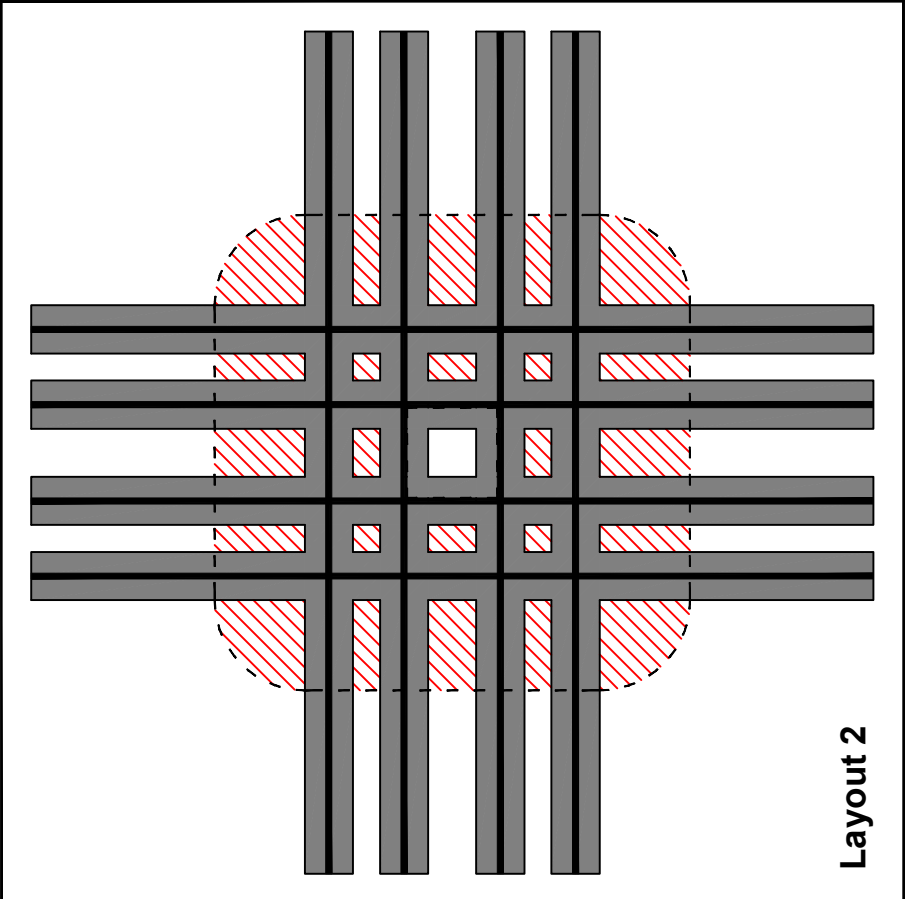
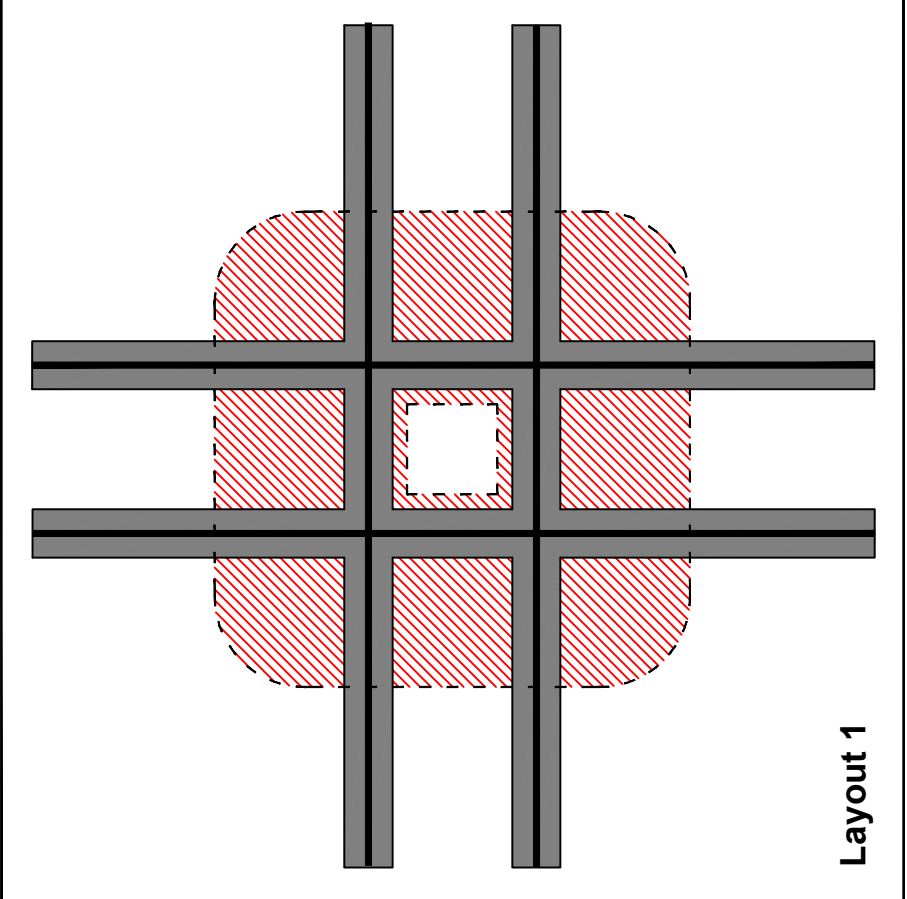




EUROCODE 2

FIB MC 2010





■ Areas where dowel forces are developed

▨ Areas where dowel forces are not developed