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# Simulation of three-dimensional free-surface dam-break flows over a cuboid, cylinder, and sphere

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## ABSTRACT

A three-dimensional (3D) numerical study has been undertaken to investigate dam-break flows over 3D structures. A two-phase flow model has been developed within the large-eddy simulation (LES) framework. The governing equations have been discretised using the finite volume method, with the air-water interface being captured using a volume-of-fluid method whilst the Cartesian cut-cell method deals with complex geometries. The robustness and versatility of the proposed numerical approach are demonstrated first by applying it to a 3D dam-break flow over a cuboid. Good agreement is obtained between the simulation results and the corresponding experimental data and other numerical solutions. Then, a horizontal cylinder and a sphere are subjected to the same dam-break flow. Snapshots of water surface profiles are presented and discussed and turbulent vortical structures are identified in the flow. In addition, the pressure distribution around the structure, velocity field on the air-water interface, hydrodynamic loading on the structure, and energy dissipation during dam-break flow impact are analysed and discussed, providing more insight into such flows.

## INTRODUCTION

Dam-break flows are an important phenomenon appearing in civil engineering applications potentially leading to severe flooding of communities downstream of the dam with catastrophic consequences, such as damage to buildings and infrastructure and loss of human life, such as the recent Michigan dam failure in May 2020. The hydraulics of dam-break flows (Costa and Schuster 1988) is affected by the mode of dam failure and how the failure propagates as a function of time, as well as its underlying complex topography and the presence of structures in its path. Dam-break flow interacting with structures results in complex three-dimensional (3D) hydrodynamics and substantial turbulence. In the past, significant advances have been made based on theoretical (Stoker 1957), experimental (Martin and Moyce 1952; Stansby et al. 1998; Janosi et al. 2004; Soares-Frazao and Zech 2008) and numerical studies of dam-break flows (Toro and Garcia-Navarro 2007). An ability to predict accurately the complex fluid-structure interaction of dam-break flows enables a better understanding of the resulting inundation and the structural response of buildings in a dam-break flows path.

Much effort has been devoted to develop numerical methods for dam-break flows. Commonly used are one-dimensional (1D) or two-dimensional (2D) depth-averaged shallow water equation (SWE) models, respectively and have been applied to simplified (Liang et al. 2006; Wu and Wang 2007) or realistic (Sleigh et al. 1998; Zhou et al. 2004; Liang and Borthwick 2009; Kesserwani and Liang 2010; Xia et al. 2010) domains, predicting fairly accurately flood inundation and horizontal velocities. Due to the assumption of hydrostatic pressure and depth-averaging of the velocity, SWE models are unable to provide the detailed near-field flow, and thus loadings and stresses around a structure immersed in a dam-break flow.

Continuous development of Computational Fluid Dynamics (CFD) methods and accompanied by constant increase in computer power have facilitated solving the Navier-Stokes equations (NSE) together with free surface calculations (McSherry et al. 2017). Various methods for dam-break flows have been developed for predicting the NSE together with the volume-of-fluid method on fixed (Lin and Xu 2006; Kleefsman et al. 2005) or adaptive (Greaves 2006; Pavlidis et al. 2016)

51 grids, the level set method (Yue et al. 2003), the smooth particle hydrodynamics (SPH) method  
52 (Shao and Lo 2003; Gomez-Gesteira and Dalrymple 2004), and the non-hydrostatic model (Ai et al.  
53 2011). In order to deal with complex topography and structures, body-fitted (Stoesser et al. 2008)  
54 or unstructured grid (Pavlidis et al. 2016) and Cartesian grid method (Mittal and Iaccarino 2005;  
55 Kara et al. 2015; Xie and Stoesser 2020a) can be used. Most dam-break flows and their interaction  
56 with surrounding structures are turbulent, and therefore the effect of turbulence on the mean and  
57 instantaneous flows needs to be considered unless all scales of turbulence are fully resolved. In  
58 the past, the turbulence effect has been considered in several 3D dam-break flows based on the  
59 Reynolds-averaged Navier–Stokes (RANS) equations (Yang et al. 2010; Marsooli and Wu 2014;  
60 Munoz and Constantinescu 2020) or large-eddy simulation (LES) (Wu 2004; Wei et al. 2015) for  
61 uneven beds or vertical structures (such as bridge piers or buildings).

62 Many SWE and 3D NSE models suffer from numerical instabilities near the free surface  
63 (Kleefsman et al. 2005) which can be overcome by two-phase flow models, in which the air and  
64 water phase are solved simultaneously. Such models have been employed for breaking waves (Xie  
65 2012) and wave-structure interaction (Xie et al. 2020). When there is significant fluid-structure  
66 interaction (FSI), air entrainment (Kiger and Duncan 2012) can become important, hence the  
67 adoption of a two-phase flow model for FSI is preferred.

68 The objective of this study is therefore, to refine and validate a two-phase flow model (Xie 2012;  
69 Xie 2015) using the newly developed Cartesian cut-cell method (Xie and Stoesser 2020a) and to  
70 perform large-eddy simulations of 3D dam-break flows with complex structures with the aim to  
71 predict accurately various quantities for dam-break flows impact on structures, such as water surface  
72 elevations, water surface profiles, hydrodynamic loading on structures, and energy dissipation at  
73 high temporal and spatial resolution.

## 74 **NUMERICAL FRAMEWORK**

75 The in-house LES code (Xdolphin3D) (Xie 2012; Xie 2015; Xie and Stoesser 2020a) is  
76 employed in this study. The code solves the filtered Navier-Stokes equations on a staggered  
77 Cartesian grid based on the finite volume method and the dynamic Smagorinsky subgrid-scale

78 model is employed to compute the unresolved scales of turbulence. A first-order or second-order  
79 backward Euler method is used for the time derivative, which leads to an implicit scheme for the  
80 Navier-Stokes equations and the PISO algorithm (Issa 1986) is employed for the pressure-velocity  
81 coupling. In order to combine high-order accuracy with monotonicity, the advection terms are  
82 discretised by a high-resolution scheme (Xie 2012), whereas central difference schemes are used  
83 for the diffusion and pressure terms. In order to deal with complex geometries in Cartesian grids,  
84 the 3D cut-cell method developed by Xie and Stoesser (2020a) is utilised in the finite volume  
85 discretisation. Special treatment is needed in cut cells, for the advective and diffusive fluxes at cell  
86 faces, as well as cell volumes. The high-resolution VOF scheme CICSAM (Compressive Interface  
87 Capturing Scheme for Arbitrary Meshes) (Ubbink 1997) is used to capture the air-water interface,  
88 which is defined as the volume fraction is equal to 0.5. The two-phase flow code has already been  
89 extensively benchmarked and validated through a series of test cases for breaking waves (Xie 2012;  
90 Xie 2015; Xie and Stoesser 2020b), LES studies of open-channel and free-surface flows over rough  
91 beds (Xie et al. 2013a; Xie et al. 2013b; Xie et al. 2014; Xie et al. 2021) and moving bodies (Xie  
92 and Stoesser 2020a), and wave-structure interaction (Xie et al. 2020).

## 93 **RESULTS AND DISCUSSION**

94 In this section, the results of dam-break flow simulations over a cuboid are presented first with  
95 the goal to validate the present numerical approach. Once validated successfully a circular cylinder  
96 and a sphere are subjected to the same dam-break flows with the goal to expand current knowledge  
97 of dam-break-flow-structure interaction. Finally, the key parameters (hydrodynamic loads, energy  
98 dissipation and mass conservation) are compared between three different structures subjected to  
99 dam-break flows.

### 100 **Dam-break flow over a cuboid**

101 A dam-break flow over a cuboid, for which an experiment was carried out in the Maritime  
102 Research Institute Netherlands (MARIN) (Kleefsman et al. 2005) is considered. The time history  
103 of both the water surface elevation at several locations and the pressure on the cuboid were measured  
104 in the experiment.

105 The LES is set up to replicate the laboratory tank, which was 3.22 m long, 1 m high and 1 m  
106 wide (as shown in Fig. 1). A rectangular cuboid of 0.161(m)  $\times$  0.161(m)  $\times$  0.403(m) is placed  
107 downstream of a water column with its initial height at 0.55 m. Two water surface gauges at the  
108 front and back of the releasing gate, and four pressure transducers at the front and the top of the  
109 cuboid are implemented during the simulation to sample numerical data that can be compared to  
110 the experimental measurements. A uniform mesh 322  $\times$  100  $\times$  100 is used and the total number of  
111 cells is 3.22 million. The velocity field is initialised as zero for both air and water with a hydrostatic  
112 pressure and an adaptive time step with a maximum CFL number 0.2 is used in the simulation.

113 Fig. 2 shows snapshot of the predicted water surface profiles after the collapse of the water  
114 column, together with the experimental measurement and the single-phase VOF model of Kleefsman  
115 et al. (2005). It is shown that the water starts to collapse due to gravity and is almost two-dimensional  
116 before it hits the box. A jet is formed at the front face of the box during the impact, moving upwards  
117 and a little bit forward, whereas both sides wrap around the box and move towards the tank end.  
118 The two leading edges impacts on the tank wall and move upwards and towards the centre. Some  
119 of the water start to fall down on the wall due to gravity and some of the leading edges collide at  
120 the centre to form a thin sheet moving back towards the obstacle. After impact, the water flows  
121 back to the reservoir and complex air entrainment can be observed. It can be seen from Fig. 2 that  
122 a good agreement is obtained between the snapshots of the present two-phase flow simulation and  
123 the experiment as well as the single-phase flow model from Kleefsman et al. (2005).

124 In order to make quantitative comparison, the time histories of the water height at gauges H4  
125 and H2 are compared with the experimental data and the single-phase flow model from Kleefsman  
126 et al. (2005) in Fig. 3. Overall, both numerically obtained water heights agree reasonably well with  
127 the experimental data. The mean absolute percentage errors between the present and experimental  
128 results for gauges H4 and H2 are 4% and 7%, respectively. Slight discrepancies between mea-  
129 surement and simulation at a later stage is observed at H2, which can be attributed to the complex  
130 wave impact. Some phase difference can be observed and the wave propagation speed is not well  
131 captured which might be partly due to the unresolved bed friction in the turbulent boundary layer,

132 and partly due to smaller air-bubbles being entrained into the flow not being captured with the  
133 present mesh resolution.

134 Time history of the computed pressure as well as the measured and simulated pressure from  
135 Kleefsman et al. (2005) at the front and top faces of the obstacle are plotted in Fig. 4. Generally,  
136 convincing agreement between the two-phase flow simulation and experiment is obtained during  
137 initial impact at the front face (P1 and P3). The peak pressure is well captured and the return wave  
138 (around  $t = 5$  s) can also be noticed although there is a phase shift as mentioned above. On the top  
139 of the obstacle (P5 and P7), there are some oscillation for the pressure which is due to the complex  
140 wave impact shown in Fig. 2. Overall, it can be observed that better agreement with the experiment  
141 for the pressure field is obtained for the present two-phase flow approach, and there are no large  
142 spurious spikes which are often observed in single-phase flow models as seen in Fig. 4 and also  
143 Fig.11 in Marsooli and Wu (2014). Kleefsman et al. (2005) discussed that interpolation is needed  
144 to get values in surface cells in the single-phase flow model, these spikes are caused by the surface  
145 (or empty) cell changes to a fluid cell which the divergence is not zero. However, in the present  
146 two-phase flow model, both air and water are solved and the divergence free is ensured for all the  
147 cells in the computational domain and hence eliminates such pressure spikes.

### 148 **Dam-break flow over a horizontal cylinder**

149 In this section, a horizontal circular cylinder is subjected to a dam-break flow, which is often  
150 observed in large woody debris dams for natural flood reduction and coastal flooding over pipelines.  
151 This kind of flow is different from the commonly used vertical cylinder and this case can not be  
152 studied by employing 2D SWE models. The computational setup and mesh is the same as that used  
153 in the previous section, only the cuboid is replaced by a circular cylinder with the same height and  
154 width as the cuboid (shown in Fig. 1).

155 Fig. 5 shows snapshots of the predicted water surface profiles during dam-break flow impact.  
156 Compared to the cuboid case, it can be seen that a curved jet (at  $t = 0.56$  s) is formed when the  
157 water hits the cylinder due to the curvature of the surface. The jet overtops the cylinder with much  
158 lower height than previous case, but moves further towards the tank wall. As a consequence, less

159 water comes around either side of the obstacle and the impact velocity for the leading edges is  
160 smaller as observed from the height on the wall (at  $t = 0.8$  s). After that ( $t = 1.2 - 2.0$  s), the  
161 water is reflected and returns back towards the reservoir with a similar pattern shown in Fig. 2.  
162 For different geometry of the structures under the same dam-break flow, it is shown that the wave  
163 impact is weaker for the circular cylinder case, which is due to the round edge during fluid-structure  
164 interaction.

165 Fig. 6 shows water surface profiles and the streamwise velocity  $u$  along the central plane. At  
166 the initial impact (at  $t = 0.56$  s), the water surface profiles are similar for both cases whereas they  
167 are significantly different in the vicinity of the structure. The streamwise velocity  $u$  is higher for  
168 the cylinder case while the vertical velocity is higher for the cuboid case as the the jet is higher.  
169 In front of the structure near the bed, the flow is reflected and it can be observed that the sign  
170 of the streamwise velocity changes there. At  $t = 0.8$  s, the jet moves faster for the cylinder case  
171 with its height lower than the cuboid case. As more water pass by the cuboid (at  $t = 1.2$  s), the  
172 reflected velocity from the end wall is higher and the enclosed cavity is larger beneath the jet.  
173 When the reflected wave returns to the structure (at  $t = 2.0$  s), complex air-water interfaces can  
174 be observed for both cases with air entrainment phenomena. The air cavity breaks up and a large  
175 number of bubbles are formed when the reflected wave hits the structure. At this stage, the air-water  
176 interface is unstable and has multiple length scales affected by the surface tension, turbulence and  
177 mean flow. It can be seen from Fig. 2 and Fig. 5 that less bubbles entrained in the water and less  
178 droplets are obtained for the cylinder case. It is worth noting that the two-phase flow model is  
179 useful to study the detailed air entrainment phenomena. The air entrainment considered here is  
180 less significant compared to dam spillways (white water phenomenon), which will require very fine  
181 spatial resolution to capture the formulation and transport of a wide range of small bubbles and  
182 their size distribution and is beyond the scope of this study.

### 183 **Dam-break flow over a sphere**

184 The computational setup and mesh are the same as the ones reported in the previous two  
185 sections, with a sphere of similar cross sectional area (radius  $r = 0.15$  m) to both the cuboid and



186 the cylinder is placed at the same location as before and subjected to the same dam-break flow as  
187 shown in Fig. 1.

188 Fig. 7 shows the predicted water surface evolution and associated turbulent vortical structures,  
189 which is plotted via isosurfaces of  $\lambda_2$  (the second invariant of the velocity gradient tensor (Jeong  
190 and Hussain 1995)), to identify vortex cores. Both oblique and top views are presented in order to  
191 appreciate the complex 3D flow and turbulent structures. During initial impact ( $t = 0.56$  s), there  
192 is a pair of counter-rotating vortices developed in front of the sphere, as the flow is diverted by the  
193 sphere. Large vortical structures are also observed in the vicinity of the air-water interface, with  
194 opposite signs on either side of the sphere. At later stage ( $t = 0.8$  s), two leading edges of the flow  
195 hit the end of the tank and the water starts to overtop the sphere at lower water depth than for the  
196 cuboid (Fig. 2) and the cylinder (Fig. 5) flows. At  $t = 1.2$  s, the pair of counter-rotating vortices in  
197 front of the sphere becomes weaker and moves a little bit backwards. When the wave is reflected  
198 back from the end wall ( $t = 2.0$  s), complex jet impingement and air entrainment can be observed,  
199 associated with much stronger turbulent vortical structures both in the water and near the air-water  
200 interface.

### 201 **Comparison between 3D structures**

202 Accurate prediction of hydrodynamic loads will lead to better understanding of the risk assess-  
203 ment of infrastructure during dam-break flow and flooding events. The drag coefficient obtained  
204 from the 3D model is useful to account for local losses due to 3D structures in depth-averaged  
205 models. For different 3D structures, the hydrodynamic loads are normalised by the cross section  
206 area in order to make comparison in the present study.

207 Fig. 8 shows the computed drag  $F_x$  (left) and lift  $F_y$  (right) forces on the three different structures  
208 during the simulation. The forces increase suddenly when the water hits the structures and have  
209 a local maximum at the initial stage. The horizontal force changes direction when the reflected  
210 wave impacts on the structures (around  $t = 1.5$  s), and remains in the positive streamwise direction  
211 until the moment of the return flow hits the structures again (around  $t = 5$  s). Compared to the  
212 three cases, the cuboid has the highest horizontal force at the initial impact whereas the sphere

213 has the highest value when the first wave returned to the structures. The maximum force for the  
214 sphere is higher during the reflected flow than the initial impact. Overall, the cuboid has the highest  
215 horizontal force during the dam-break flow, and the maximum force is approximately 93% and  
216 33% of that value for the cylinder and sphere, respectively.

217 For the vertical force, the curved surface (cylinder and sphere) always has a positive value  
218 during the whole simulation as the flow attempts to lift these structures up. The negative vertical  
219 force only occurs for the cuboid case when the returned flow hits the structure. Compared to the  
220 three cases, the cylinder has the highest vertical force at the initial impact whereas the sphere has  
221 the highest value at later stage. It is worth noting that the cuboid and sphere have similar maximum  
222 vertical force during the initial impact, which is approximately 18% of the value for the cylinder  
223 case.

224 In order to study the energy dissipation mechanism and mass conservation for the complex two-  
225 phase flow during dam-break flow impact, the time history of the kinetic, potential, total energy,  
226 and total mass are shown in Fig. 9, where the energy is calculated by integrating the region in  
227 the water for the whole computational domain and normalised by the initial total energy. When  
228 the water in the reservoir collapses, the potential energy decreases and transfers into the kinetic  
229 energy. The kinetic energy achieves its maximum value during the flow impact on the structures  
230 whereas the potential energy has a local minimum. The kinetic energy starts to decrease when the  
231 flow passes over the structures and transfers some part back into the potential energy. There are  
232 some fluctuation of the kinetic and potential energy at later stage and eventually the kinetic energy  
233 will reduce to zero with potential energy converged to a certain value when the air-water interface  
234 becomes flat. There is stronger energy dissipation from  $t = 0 - 2$  s, which is mainly attributed to the  
235 vorticity and turbulence generation during complex turbulent two-phase flows. During this time,  
236 the sphere has the highest kinetic energy whereas the potential energy fluctuates between the three  
237 cases. Overall, the total energy dissipation is highest for the cuboid case while it is lowest for the  
238 sphere case which is due to the lower drag and lift forces. It is worth mentioning that comparison  
239 for the energy dissipation is only for present computational setup with the same initial stage of

240 dam-break flows and similar volume of the structures. Different flow regime and different size of  
241 structures might affect the energy dissipation, which is beyond the scope of this study.

242 Finally, mass conservation of the complex dam-break flow impact simulations is computed,  
243 and it is found that the errors of the total mass during the simulations are less than 0.3% for all  
244 cases considered here (shown in the last plot of Fig. 9), indicating a good mass conservation being  
245 achieved for the present two-phase flow code.

## 246 **CONCLUSION**

247 In this study, a LES-based two-phase flow code Xdolphin3D has been introduced able to predict  
248 3D dam-break flow-structure interaction. Different complex structures are well represented by the  
249 Cartesian cut-cell method. Simulations of dam-break flow over cuboid have been qualitatively and  
250 quantitatively compared with experimental measurements, with better agreement being obtained  
251 from the present two-phase LES model and there are no large spurious spikes for pressure which  
252 are often observed in single-phase flow models.

253 The free-surface flows during dam-break over a cuboid, cylinder, and sphere are presented  
254 in detail, demonstrating the fully 3D flow field, which is difficult to study in SWE models. The  
255 shape of the structures with similar volume has a significant effect on the free-surface flow field  
256 for the same incoming dam-break flow, which in return will change the hydrodynamic loadings  
257 and stresses around the structures. Different from single-phase flow over structures, it is found  
258 that the hydrodynamic load changes with time regarding the dam-break flows and the cuboid has  
259 the maximum drag force whereas the cylinder has the maximum lift force. Complex vortical  
260 structures and air entrainment are generated during flow-structure interaction, which change the  
261 energy dissipation associated with the flow.

## 262 **DATA AVAILABILITY STATEMENT**

263 Some or all data, models, or code that support the findings of this study are available from the  
264 corresponding author upon reasonable request (numerical results and flow profiles).

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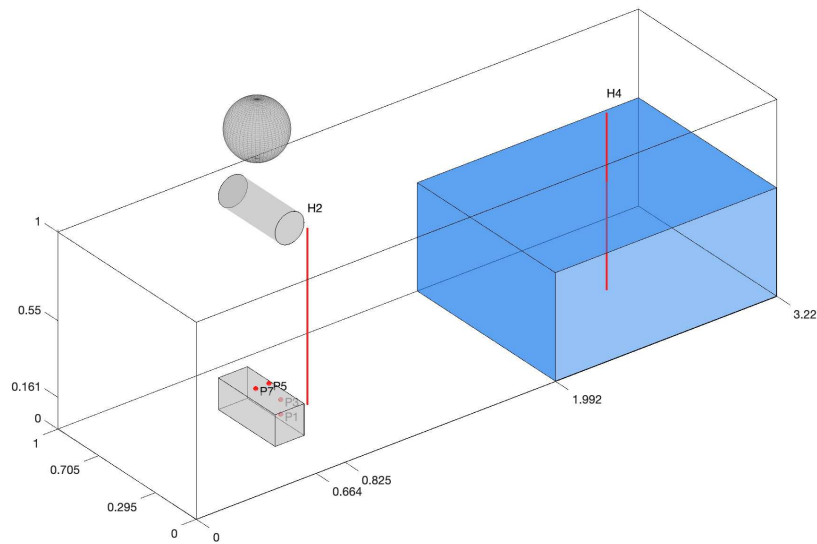
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**Fig. 1.** Schematic of computational setup of a dam-break flow over a structure. Only the cuboid is placed in the tank and it will be replaced with the cylinder and sphere for different cases.



(a)  $t = 0.4$  s



(b)  $t = 0.56$  s



(c)  $t = 0.8$  s

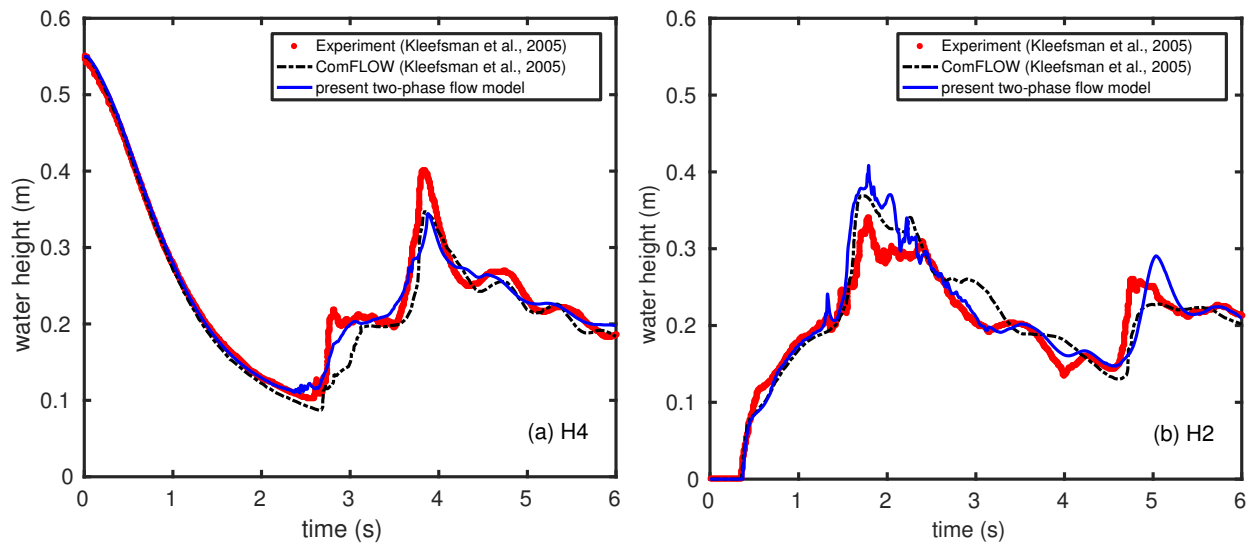


(d)  $t = 1.2$  s

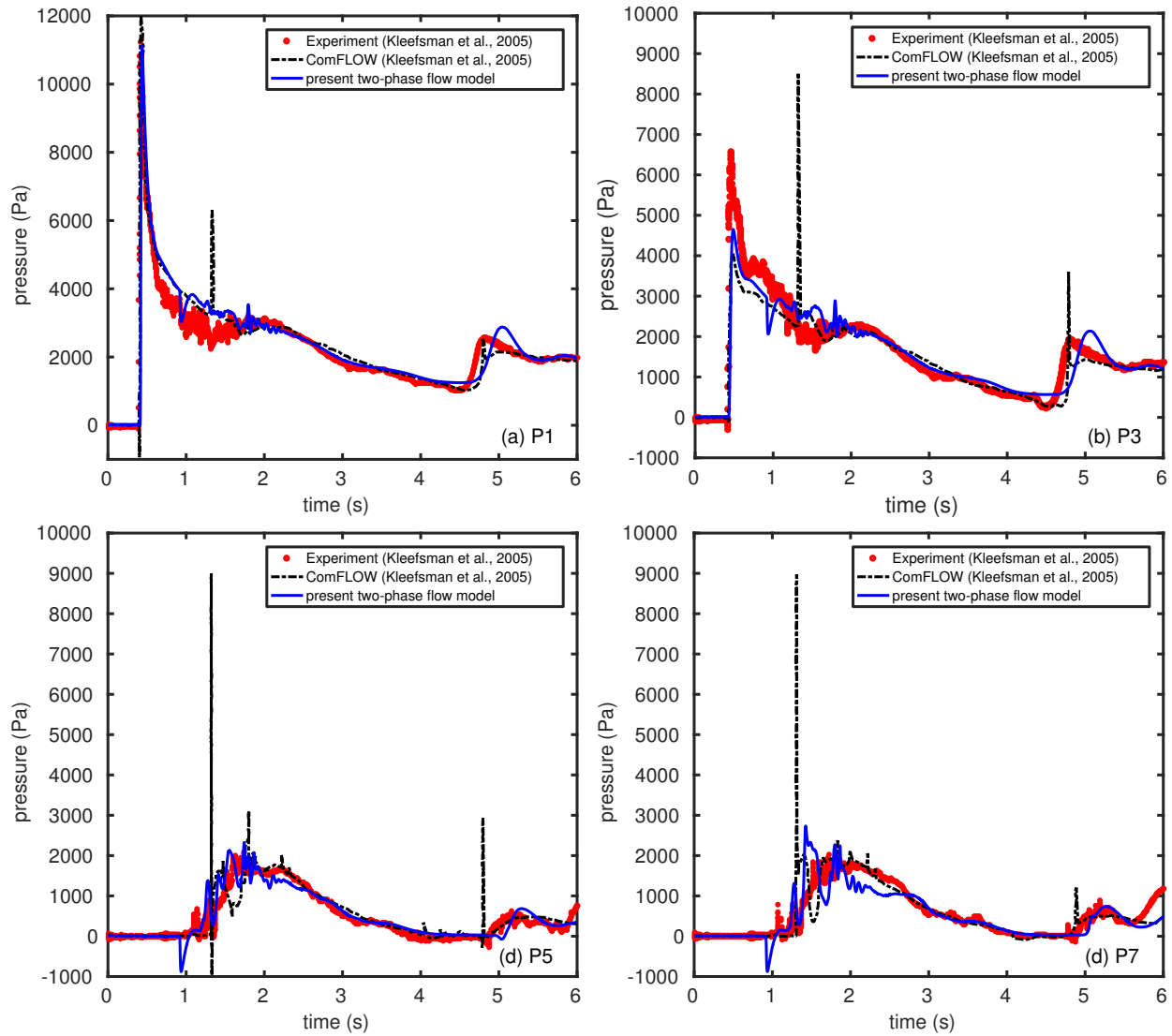


(e)  $t = 2.0$  s

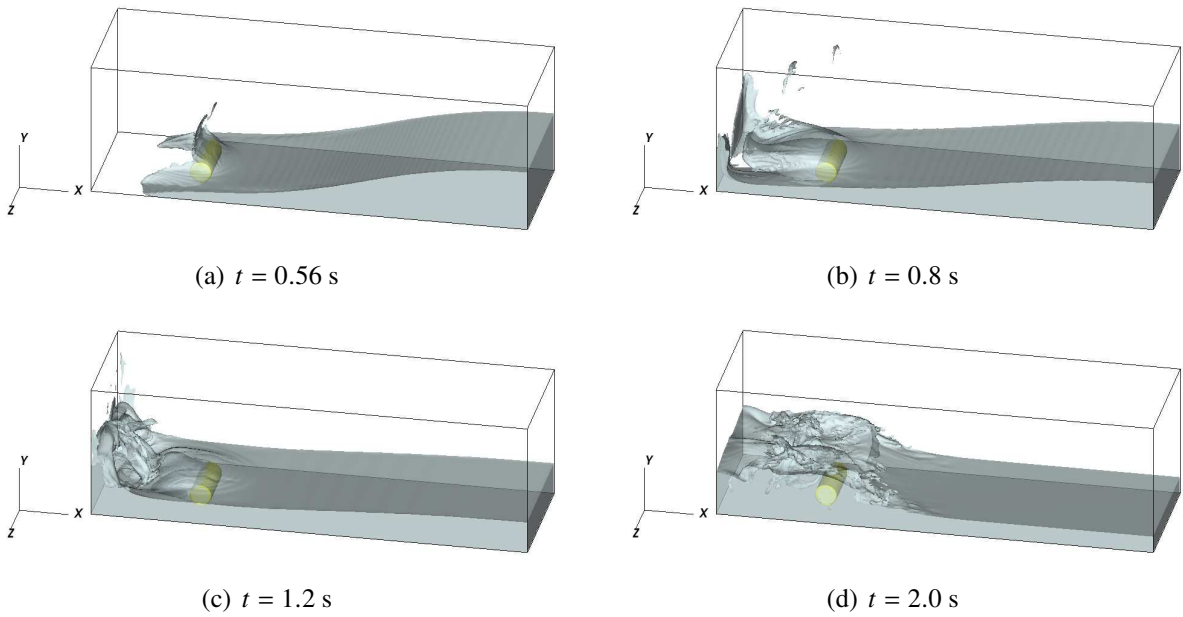
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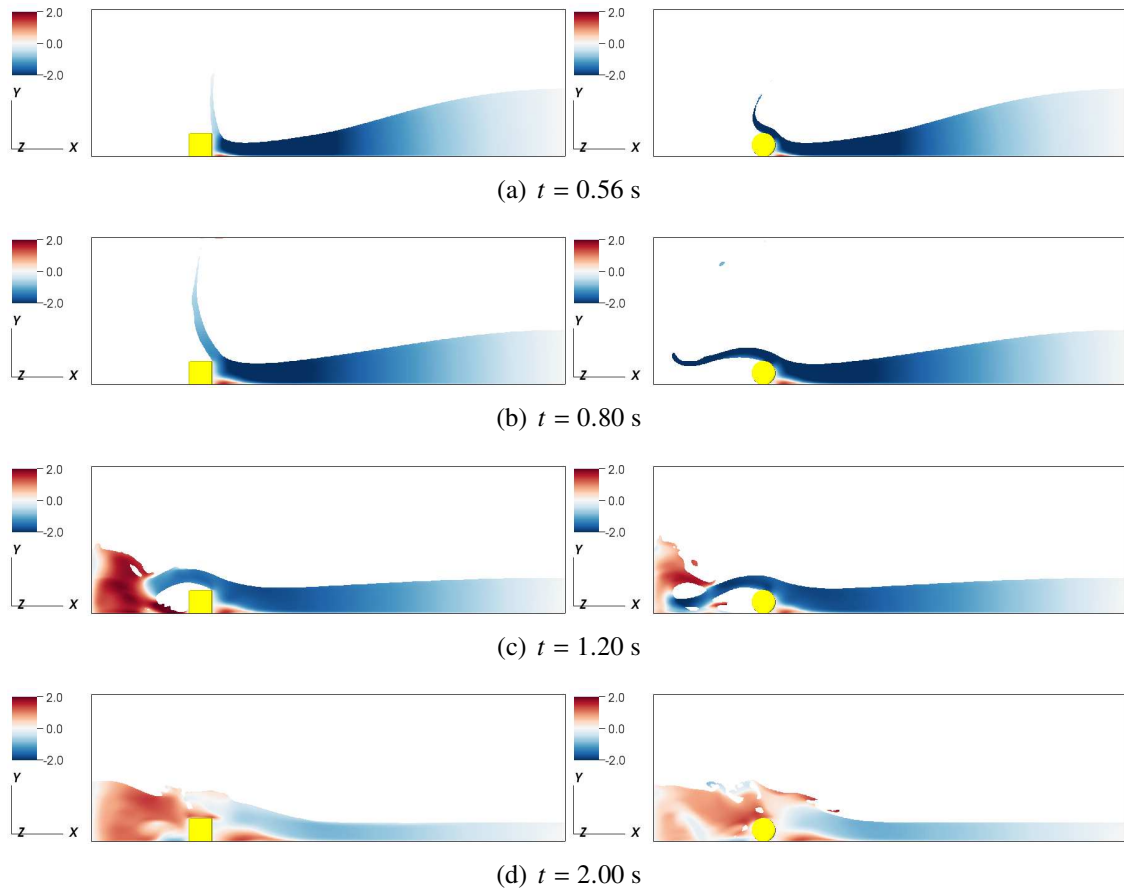
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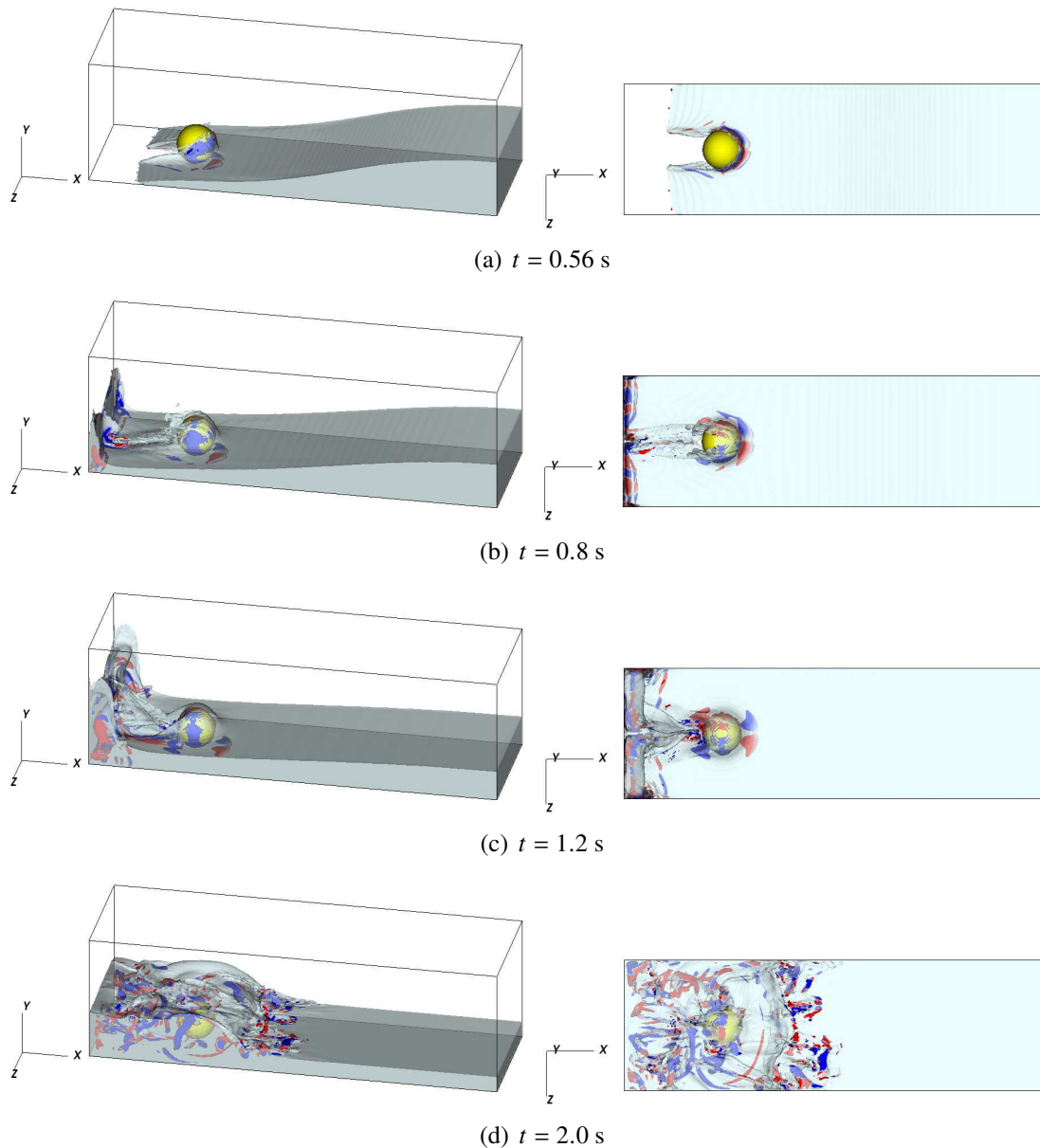
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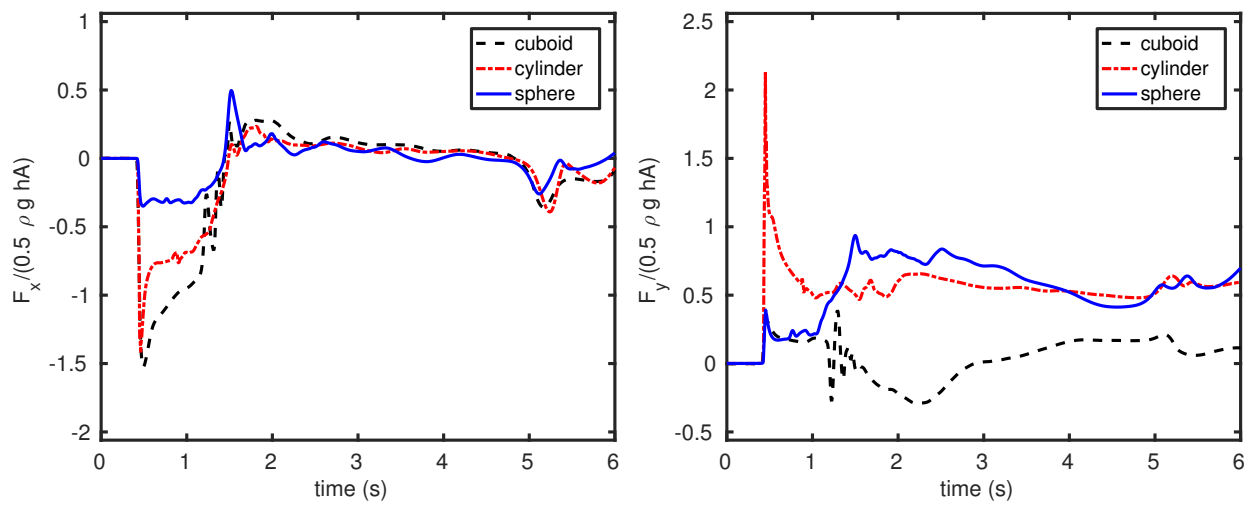


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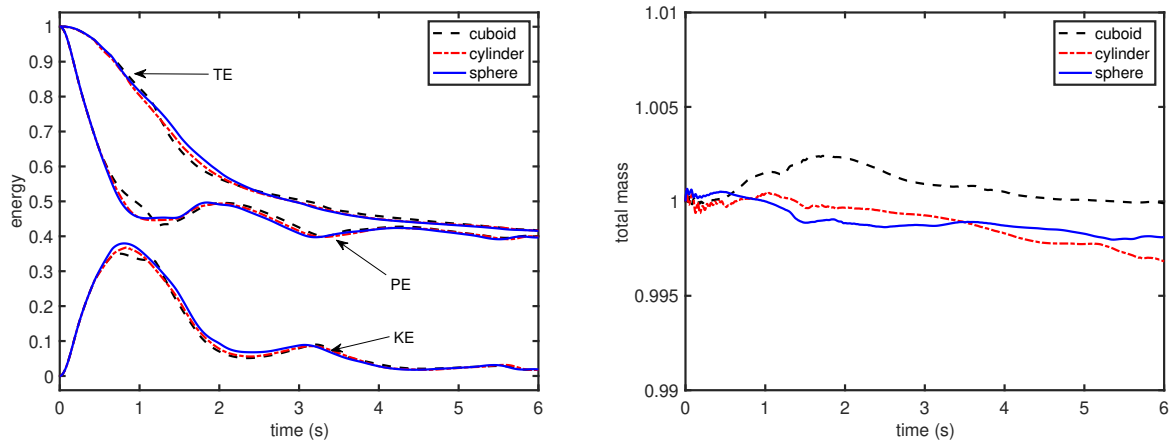


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**Fig. 8.** Time history of the drag (a) and lift (b) force acting on the cuboid, cylinder and sphere during the dam-break flow. The force is normalised by  $1/2\rho ghA$ , where  $A$  is the cross section area.



**Fig. 9.** Time history of the normalised energy (a) and total mass (b) during the dam-break flow over a cuboid, cylinder and sphere.