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2	A low molecular weight alginate oligosaccharide disrupts pseudomonal microcolony
3	formation and enhances antibiotic effectiveness
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14	Running Head: OligoG CF-5/20 disrupts pseudomonal microcolonies
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24 ABSTRACT

25 In chronic respiratory disease the formation of dense, 3-dimensional 'micro colonies' by 26 Pseudomonas aeruginosa within the airway plays an important role in contributing to 27 resistance to treatment. An in vitro biofilm model of pseudomonal microcolony formation 28 using artificial sputum (AS) medium was established to study the effects of low molecular 29 weight alginate oligomers (OligoG CF-5/20) on pseudomonal growth, microcolony formation 30 and the efficacy of colistin. The studies employed clinical cystic fibrosis (CF) isolates (n=3) and reference non-mucoid and mucoid multi-drug resistant (MDR) CF isolates (n=7). 31 32 Bacterial growth, biofilm development and disruption were studied using cell-viability assays 33 and image analysis using scanning electron- and confocal laser scanning microscopy. 34 Pseudomonal growth in AS medium was associated with increased ATP production (p<0.05) and the formation (at 48 h) of discrete (>10 µm) microcolonies. In conventional growth 35 36 medium, colistin retained an ability to inhibit growth of planktonic bacteria, although the MIC was increased (0.1 to 0.4 µg/ml) in AS medium versus. In contrast, in an established biofilm 37 model in the AS medium, the efficacy of colistin was decreased. OligoG CF-5/20 (>2%) 38 39 treatment however, induced dose-dependent biofilm disruption (p<0.05), and led to colistin retaining its antimicrobial activity (p<0.05). Whilst circular dichroism indicated that OligoG 40 CF-5/20 did not change the orientation of the alginate carboxyl groups, mass-spectrometry 41 demonstrated that the oligomers induced dose-dependent (>0.2%; p<0.05) reductions in 42 43 pseudomonal quorum sensing signaling. These findings reinforce the potential clinical 44 significance of microcolony formation in the CF lung, and highlight a novel approach to treat 45 MDR pseudomonal infections.

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Keywords: *Pseudomonas aeruginosa*, colistin, cystic fibrosis, alginate, OligoG CF-5/20

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The opportunistic Gram-negative pathogen *Pseudomonas aeruginosa* is found in a range of chronic human respiratory diseases, including chronic obstructive pulmonary disease and cystic fibrosis (CF) (1). CF is a life-threatening, autosomal recessive genetic disorder affecting 1 in 2,300 Caucasian live births (2). Reduced airway surface-liquid volume and abnormally viscous sputum result in ineffective mucociliary clearance (3). Chronic bacterial colonization of the lung by a number of opportunist pathogens occurs, most notably *P*. *aeruginosa*, which will predominate with time (4).

57 Within the diseased lung, patho-adaptive mutation results in the selection of hypermutator P. aeruginosa strains (5, 6). In P. aeruginosa this adaption occurs with a 58 59 switch to the mucoid phenotype, characterized by over-production of high molecular weight 60 (Mw; >15 kDa) alginate exopolysaccharide (EPS) (7, 8). This switch is accompanied by 61 modification of acyl homoserine lactone (AHL) and Pseudomonas quinolone signal (PQS)-62 dependent quorum sensing (QS) systems (9), with altered production of virulence factors 63 e.g. pyocyanin and hydrogen cyanide (10). Extracellular alginate affords protection from host innate immune-responses, including phagocytosis and neutrophil-derived reactive 64 65 oxygen species (11). Mucoidal pseudomonal strains are often un-responsive to aggressive antibiotic selection (12) and 18.1% of CF patients are colonized with multi-drug resistant 66 67 (MDR) P. aeruginosa (13).

68 In contrast to standard laboratory models of bacterial biofilm formation on material 69 surfaces, biofilms within the CF lung form as non-adherent spherical microcolonies, 70 embedded in respiratory mucin (14, 15). Whilst in vitro studies of P. aeruginosa from CF 71 lungs routinely employ nutrient-rich media to optimize bacterial growth, or Mueller-Hinton 72 (MH) medium, such media fail to adequately reproduce the lung environment or secretome 73 (3). More recently, defined media such as artificial sputum (AS) medium (containing 74 components of CF sputum e.g. DNA, mucin, mineral salts, proteins and amino acids) have 75 been employed to model the behavior of *P. aeruginosa* (16-18). These AS medium models 76 have been used to study the adaptation of pathogens to the CF lung using whole-genome

sequencing and microarray expression profiling (19), analyze diffusion (20) and test the
 effectiveness of antibacterial therapeutics (21).

This distinctive biofilm microcolony formation in the CF lung has been demonstrated *exvivo* in freshly-excised intraluminal material and in lung sections (14). Studies have also further shown that *in vitro* biofilms observed in nutrient-limited conditions are increasingly recalcitrant to antibiotic therapy due to enhanced tolerance (22). The design and delivery of antimicrobial therapy targeted against the polymicrobial respiratory biofilm is, therefore, challenging (23).

The acquisition of MDR *Pseudomonas* in the CF lung has led to a resurgence of clinical interest in the bacteriocidal antibiotic colistin (24). Overlooked for many years due to associated nephro- and neuro-toxicity (25, 26), colistin is increasingly used to treat lifethreatening infections (24) and as an inhaled therapy in CF to prevent establishment of infection by MDR bacteria (25). While resistance to colistin remains low (27), the emergence of colistin-resistant strains heralds fears of a post-antibiotic era (28).

91 We previously described the use of a low molecular weight alginate oligomer (OligoG 92 CF-5/20, \geq 85% guluronic acid, with a degree of polymerization [DPn] of 16; Mn 3,200) from 93 the seaweed Laminaria hyperborea as a promising novel therapy in CF (29-31). In vitro 94 studies, demonstrated the ability of OligoG CF-5/20 to modify bacterial surface charge (30) 95 and biofilm growth of non-mucoid Pseudomonas sp. in conventional culture/biofilm models 96 (29). It is however, important to determine whether OligoG CF-5/20 could modify bacterial 97 growth within the inherently antibiotic-resistant microcolonies, which characterize the 98 diseased CF lung.

The objective of this study was to investigate the therapeutic efficacy of OligoG CF-5/20 and colistin in an *in vitro* microcolony model. We characterized the growth of fresh clinical isolates from CF patients and strains from the International *P. aeruginosa* Reference Panel (IPARP). Planktonic and biofilm cultures of wild-type PAO1 and the mucoid MDR NH57388A were studied in MH and AS media. We developed microcolonies in the AS medium and investigated the ability of OligoG CF-5/20 to modify these biofilms and further

105	determined the effectiveness of a combination treatment with colistin. The effect of colistin
106	on the mucoid CF isolate NH57388A grown under planktonic and biofilm conditions was
107	reduced in this AS medium. In contrast, OligoG CF-5/20 retained its dose-dependent anti-
108	biofilm properties, as well as maintaining the effectiveness of colistin in vitro, demonstrating
109	that addition of alginate oligosaccharides modifies pseudomonal microcolony assembly.
110	

111 **RESULTS**

Non-mucoid *P. aeruginosa* IPARP have faster growth rates in MH medium than either mucoid or new non-mucoid CF isolates

114 Growth of non-mucoid *P. aeruginosa* in MH medium from the IPARP reference strains was 115 more abundant (maximum absorbance \geq 1) compared to the delayed, weaker growth of the 116 fresh clinical isolates (maximum absorbance ≤ 1); acquiring stationary phase at 12 h versus 117 20 h respectively (Fig. 1A). The growth rates of the non-mucoid *P. aeruginosa* (PAO1, AA2, AA44) were also greater than those of the new CF Isolates (22476, 22078, 22554) and those 118 119 of the mucoidal strains (AA43, IST27, 2192, NH57388A) from the IPARP collection (Fig. 1B) 120 having doubling-times (at maximum exponential growth) of 0.2-0.23 versus 0.31-0.6 versus 121 0.29-0.56 unit/h respectively.

122

Colistin maintains its antimicrobial properties in the presence of the mucolytic OligoG CF-5/20

125 Initial studies on the effect of OligoG CF-5/20 and colistin (0.5x minimum inhibitory

concentration [MIC] value) on pseudomonal growth in MH medium, demonstrated no

difference in the growth rate of NH57388A when treated with colistin (0.16 unit/h) compared

to the control (**Fig. 1C**), although a reduced growth rate (0.12 unit/h) was noted when treated

129 with either 2% OligoG CF-5/20 or colistin with 2% OligoG CF-5/20. This was reflected by the

significantly decreased cell biomass at stationary phase (36 h) following treatment with 2%

131 OligoG CF-5/20 in combination with colistin compared to the control (p<0.05).

In the biofilm disruption assay, confocal laser scanning microscopy (CLSM) images of LIVE/DEAD[®]-stained, 24 h established pseudomonal biofilms demonstrated homogenous growth in the untreated control (**Fig. 1D**). Treatment for 1 h with colistin alone at 0.5x the minimum biofilm eradication concentration (MBEC value as previously described [32]) was associated with a decreased density of the resultant biofilm (**Fig. 1E**). Furthermore, when treated with 2% OligoG CF-5/20 alone for 1 h, the biofilm height was reduced and there was

increased porosity (Fig. 1F). Combination treatment of colistin and 2% OligoG CF-5/20 138 139 resulted in marked biofilm disruption (Fig. 1G). Quantification using COMSTAT image 140 analysis software (33) revealed that biofilm height was significantly reduced from 11 \pm 4 μ m 141 (in the untreated control) to $1.1 \pm 0.2 \,\mu\text{m}$ following 2% OligoG CF-5/20 and colistin 142 combination treatment (Fig. 1H). In parallel, the ratio of dead to live cells was significantly 143 increased following treatment with colistin (0.24 ±0.07) or 2% OligoG CF-5/20 and colistin in 144 combination (0.49 ± 0.22) compared to the control $(0.053 \pm 0.014; p<0.05; Fig. 1I);$ with 145 associated increases in porosity.

146

147 Mucoid and non-mucoid *P. aeruginosa* show a distinctly altered biofilm phenotype

148 in artificial sputum medium

149 Biofilms grown in different nutrient media exhibited distinct patterns of growth, with a marked 150 phenotypic difference in biofilm architecture in MH- versus AS medium (Fig. 2A-B; 151 diagrammatic representation **Fig. 2C**). Pseudomonal biofilms of both strains showed 152 conventional homogenous growth in MH medium, whilst discrete, spherical microcolonies with an inter-linking network of extracellular polysaccharide (EPS) were apparent in AS 153 medium. Microcolonies were not strongly bound to the well plates and varied considerably 154 155 in size. The median diameter of the PAO1 and NH57388A microcolonies was $14 \pm 4 \,\mu m$ 156 and 11 ±5 µm respectively; the difference in size perhaps reflecting the slower growth rate of 157 the mucoid strain. Elongated structures between the microcolonies were composed of 158 linearly arranged bacterial cells. 159 Growth curves were performed using a cell-viability assay, (measuring ATP production); 160 conventional growth curves with optical density measurements being impractical in AS 161 medium, due to bacterial aggregation and microcolony formation. Marked differences in 162 ATP production between cells grown in AS versus MH medium were evident within 24 h,

being considerably elevated in AS medium (**Fig. 2D**).

OligoG CF-5/20 disrupts *P. aeruginosa* (NH57388A) microcolony formation in artificial
 sputum medium

167 SEM studies using a biofilm formation assay of P. aeruginosa (NH57388A) biofilms grown in 168 MH medium ± OligoG CF-5/20 demonstrated the growth inhibitory effects of OligoG CF-5/20 169 at $\geq 2\%$ (w/v; Fig. 3A), which was reflected in a corresponding reduction in EPS formation. 170 Mucoid P. aeruginosa (NH57388A) formed typical microcolonies in AS medium at 48 h (Fig. **3B**); with individual bacterial cells visible on the surface of the microcolonies encased in EPS 171 172 (Fig. 3C). Biofilm formation in the presence of OligoG CF-5/20 was associated with a dose-173 dependent decrease in microcolony size and increasing cellular disruption of the biofilms. At 174 6% OligoG CF-5/20, the median microcolony diameter was 4.5 μm versus 6.6 μm in the 175 untreated control (Fig. 3D). Corresponding CLSM images of Syto-9 and Concanavalin A 176 633 matrix-stained NH57388A 48 h biofilms in AS medium showed dense microcolonies 177 surrounded by EPS (red) throughout the structure (Fig. 3E). Biofilms grown in OligoG CF-178 5/20 exhibited a decreased, overall biofilm mass with few spherical microcolonies and 179 reduced EPS. 180 Using the biofilm disruption assay, CLSM images of matrix-stained NH57388A 48 h-181 established biofilms stained with Syto-9 (green) demonstrated large cellular aggregates or 182 microcolonies (Fig. 3F) on (or within) a layer of cells. Treatment for 1 h with 2% OligoG CF-183 5/20, induced a reduction in aggregate size (**Fig. 3G**), with marked microcolony disruption.

184 3D videos of these images are available online (**Supplementary information Video 1**).

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187 Circular dichroism spectra of OligoG CF-5/20 mixed with high molecular weight

188 pseudomonal alginate do not indicate a specific interaction

189 Circular dichroism (CD) spectroscopy was used to test whether OligoG CF-5/20 and the high

190 molecular weight EPS alginate produced by mucoid pseudomonal strains show a specific

191 interaction. The CD chromophore responsible for the Cotton effect observed at ca. 210 nm 192 has been identified as the carboxyl groups of the alginates, and the $n \rightarrow \pi^*$ transition reflects their orientation (34). Upon heating from 4 to 37°C, spectra of high Mw alginate showed no 193 194 changes over a period of ~80 min (Fig. 4A, scans 1 to 7). Subsequent addition of OligoG 195 CF-5/20 at a 50-fold molar excess resulted in an increased CD amplitude, i.e. more negative values (**Fig. 4A**, scans 8 to 11). Addition of Ca²⁺ to a final concentration of 1 mM had no 196 197 effect (Fig. 4A, scans 12 to 17). The spectra observed for the high Mw alginate/OligoG CF-198 5/20 mixture corresponded to an additive effect of the two components not indicating any 199 change in the orientation of the carboxyl groups (cf. dashed curves in Fig. 4A). Experiments in which Ca^{2+} was added to the high Mw alginate before the addition of OligoG CF-5/20, and 200 a 1:600 molar ratio of high Mw alginate to OligoG CF-5/20 also are compatible with an 201 202 additive effect with no indication of a change in the orientation of the carboxyl groups (Fig. 203 S1).

204

205 OligoG CF-5/20 affects cell-signaling in pseudomonal biofilms

206 To determine whether OligoG CF-5/20 affected these changes via modification of QS 207 signaling in the biofilm systems, liquid chromatography/mass spectrometry (LC/MS) was 208 employed to detect the pseudomonal signaling molecules, C4- and 3-oxo-C12-acyl 209 homoserine lactones (AHLs). AHL levels were determined at 30 and 48 h for PAO1 cells 210 grown in both MH and AS medium. Initial experiments compared growth in MH and AS 211 medium at 30 h (as at earlier time points in AS medium, AHLs were at the limits of detection; 212 results not shown). LC/MS values indicated a reduction in the production of both 3-oxo-C12-213 AHL and C4-AHL signaling molecules in AS medium (62 and 783 fold respectively) 214 compared to MH medium (Fig. 4B). Further experiments were performed on OligoG CF-215 5/20-treated cultures grown in AS medium for 30 and 48 h (corresponding to mid- and late-216 stationary phase respectively; results not shown). Interestingly, in this model it was evident 217 that OligoG CF-5/20 (0.2-2%) induced a dose-dependent decrease in 3-oxo-C12 AHL 218 (produced by the Las pathway) in comparison to the control at 30 and 48 h (Fig. 4C), but did

not induce any significant change in C4-AHL (produced by the Rhll pathway; Fig. 4D).

Although OligoG CF-5/20 had a significant effect on biofilm formation, there was no marked

difference in ATP production (cell viability) by PAO1 or NH57388A following treatment (Fig.

222 **4E and 4F**).

223

The antibiotic properties of colistin were retained in artificial sputum medium when combined with OligoG CF-5/20

Assays of biofilm disruption in established (24 h) non-mucoid (PAO1) and mucoid

(NH57388A) pseudomonal biofilms following 24 h treatment with OligoG CF-5/20 and/or
 colistin were investigated in AS medium. The observed MIC value for colistin was 4x greater

in AS medium (0.4 μ g/ml versus 0.1 μ g/ml) compared to MH medium. The MIC employed in subsequent studies was based upon these findings.

231 As observed previously, PAO1 and NH57388A demonstrated the formation of large 232 numbers of spherical microcolonies, with extensive EPS in this model (Fig. 5A, 5B). The 233 mucoidal NH57388A biofilms were characterized by smaller microcolonies when compared 234 to PAO1 (median diameter 11 µm versus 14 µm respectively) (**Fig. 5C, 5D**). Biofilm 235 treatment with colistin (at x4 the MBEC value (32), induced disruption of PAO1 and 236 NH57388A bacterial networks. The microcolonies, however, remained intact (with no 237 change in median diameter; p>0.05). In contrast, treatment with 2% OligoG CF-5/20 238 induced significant decreases in the median microcolony diameter (6.9 \pm 2.0 μ m and 6.1 \pm 2.7 239 μ m; PAO1 and NH57388A respectively; p<0.05) characterized by marked disruption of the 240 inter-colony networks and microcolony morphology. Combining OligoG CF-5/20 with colistin 241 (although not as effective as OligoG CF-5/20 alone on the mucoid strain) effectively 242 disrupted both microcolony structure (reducing the median diameter by 60% in PAO1) as 243 well as the inter-colony branching/bridging in both mucoid and non-mucoid models. Overall 244 structural differences can be seen at a lower magnification in Fig. S2.

245

247 DISCUSSION

The improved survival of CF patients, due in part to the chronic administration of antibiotics, 248 249 has compounded the problems associated with resistance to antibiotic treatment (35, 36). 250 With the rapid emergence of antibiotic resistance, the development of new therapies is essential. Increased antibiotic resistance in biofilms has been extensively described, with 251 252 biofilms shown to resist antibiotics by up to 1000 fold (37). These studies demonstrate the potential benefits of combination therapies using a novel mucolytic alongside conventional 253 antibiotics in the treatment of antibiotic-resistant, microcolony-forming Pseudomonas sp. 254 255 lung Infections. 256 The resurgence of interest in colistin, a highly-effective membrane-permeabilizing 257 antibiotic, reflects the failure of conventional antibiotics in MDR infections (25). 258 Unfortunately, the emergence of plasmid-mediated colistin resistance provides the imminent

possibility for horizontal gene transfer from veterinary to human pathogens (38). Due to the
high rate of MDR in *P. aeruginosa* (39) colistin is now regarded as an antibiotic of 'last resort'
and its use (in non-CF patients) is therefore limited to prevent further development of
resistance (40).

Colistin acts through positively-charged electrostatic interactions with the negatively-263 264 charged bacterial lipopolysaccharide (LPS), facilitating membrane disruption. The observed 265 lowering of the efficacy of colistin in AS medium may, therefore, not only relate to an altered growth rate in this environment, (which mimics growth conditions in the CF lung), but also 266 267 may be due to LPS modification and/or direct binding by mucin in the AS medium, effectively 268 'sequestering' free antibiotic (41). This EPS effect, and the apparent differences in MIC for 269 colistin-treated NH57388A, (with MIC values being 4X greater in AS versus MH medium) reflect the 10^4 -fold difference previously observed between MIC (0.094 µg/ml) and MBEC 270 271 (>512 µg/ml) values for NH57388A in vitro (42).

246

272 Within the diseased CF lung, >95% of *P. aeruginosa* exist in dense microcolonies, >5 273 µm from the epithelial cell-surface and independent of cell-surface attachment (14, 43). The 274 microcolonies develop in the early stages of lung infection and readily resist physical 275 disruption (43). The AS medium employed here induced pseudomonal microcolony 276 formation in both non-mucoid and mucoid strains as seen previously. These microcolonies 277 resembled those observed in a range of CF epidemic and non-epidemic P. aeruginosa 278 strains (16); SEM demonstrating bridges between the microcolonies which appeared to be 279 composed of elongated single-cells encased in EPS, as previously observed in flow-cell 280 systems (44). OligoG CF-5/20 was able to modulate both the size and structure of these 281 bacterial microcolonies. This may relate to its ability to interact with the EPS component of 282 the biofilm, by direct effects on the pseudomonal bacterial cell-surface, and on bacterial 283 growth (29, 30). Whilst imaging studies demonstrated that colistin effectively disrupted the 284 inter-colony bridges, the microcolonies (which were encased in EPS) appeared unaffected 285 by colistin alone.

Despite the possible charge interactions between the cationic peptide colistin (41) and the anionic OligoG CF-5/20 (30), colistin retained its antibiotic activity in the presence of OligoG CF-5/20. The ability of OligoG CF-5/20 to modify biofilm assembly in *Pseudomonas* spp. has previously been attributed to irreversible binding at the bacterial cell surface (30). Moreover, the ability of OligoG CF-5/20 to potentiate the effectiveness of colistin against mucoid NH57388A biofilms by OligoG CF-5/20 has recently been demonstrated in MBEC assays (32).

In this model, the ability of OligoG CF-5/20 (both alone, and more markedly with colistin) to effectively disrupt the EPS of established biofilms was clearly evident. Disruption of the tight EPS-network, which comprises >90% of the biofilm dry-weight (45), has been shown to lead to less mechanically-stable biofilms, which are then more susceptible to antibiotics (46). It appears therefore that EPS disruption by OligoG CF-5/20 maintained the antimicrobial action of colistin by reducing its ability to bind to components of the biofilm

matrix, thereby increasing its penetration through the biofilm, facilitating access of colistin to
 the pseudomonal cell membrane.

301 Initial experiments demonstrated the contrasting growth phenotype between freshly 302 isolated clinical strains compared to the well-characterized reference strains. Compensatory 303 mutations in the clinical CF isolates are thought to provide differential fitness benefits, which 304 are advantageous within the CF lung environment (47). Fitness 'trade-offs', where beneficial 305 adaptations that improve fitness under one environmental condition that may lead to 306 compensatory loss of other traits have been described (48). This may, in part, explain the 307 observed lower growth rate of fresh clinical isolates (adapted to the CF lung) when 308 compared to the laboratory-maintained IPARP CF strains. This variability highlights the 309 importance of utilizing the reference strain collection for therapeutic development to ensure 310 global standardization of *in vitro* testing.

311 A number of approaches have been attempted to modify biofilm EPS and facilitate 312 treatment or displacement therapy. These have included: use of bacterial polysaccharides 313 e.g. from marine Vibrio sp. (49); co-administration of alginate lyase with DNase, which has 314 been reported to increase the efficacy of antibiotics in reducing biofilm growth (50) and co-315 administration of antibiotics with alginate lyase to eliminate mucoid variants not affected by 316 antibiotics alone (51). Interestingly, we have also previously demonstrated synergy between 317 OligoG CF-5/20 and rhDNase I in modifying the mechanical and structural properties of CF 318 sputum (31).

319 Biofilms also contain bacterially-derived alginates which, in contrast to OligoG CF-5/20, 320 lack G-blocks and have a considerably higher molecular mass (52). Anionic EPS 321 components, such as carboxyl groups, interact strongly with multivalent cations such as Ca²⁺, resulting in robust biofilms (53). Sletmoen et al., (2012) demonstrated that alginate 322 323 oligomers may destabilize the interaction between high Mw bacterially-produced alginates and mucin. The ionic displacement of divalent cations e.g. Ca²⁺ has been described as a 324 325 mechanism by which antimicrobial cationic peptides can potentiate antibiotics (54). 326 Similarly, the anionic alginate G-blocks may displace divalent cations associated with the

327 biofilm, resulting in a weaker biofilm structure. CD spectroscopy has previously been used 328 to investigate the structural and conformational changes of polysaccharides containing 329 uronic acid residues, and has recently been employed to characterize homopolymeric 330 fractions of the linear co-polymers L-guluronate and D-mannuronate (34, 55). The gelation of alginate in the presence of divalent cations such as Ca²⁺ in homopolyguluroinc acid is 331 332 known to induce changes in the coordination of the carboxylate groups (56). However, CD 333 spectra indicated that the orientation of the carboxy groups monitored at ~210 nm were not 334 changed upon mixing OligoG CF-5/20 with high Mw alginate.

335 Previous studies in planktonic systems demonstrated that OligoG CF-5/20 modified both 336 pilE gene expression and bacterial motility in Pseudomonas aeruginosa (30), which are 337 controlled by QS. The finding that levels of *P. aeruginosa* AHL signaling molecules were 338 significantly reduced in AS medium compared to MH medium was perhaps unsurprising, 339 reflecting the change in growth/morphology. Sriramulu et al (2005), demonstrated the 340 importance of *lasR* for the formation of the dense microcolony phenotype and these data 341 demonstrated the ability of (>2%) OligoG CF-5/20 to significantly reduce 3-oxo-C12-AHL 342 production at both mid- and late-stationary phase growth (30 and 48 h). The lack of an 343 observed effect on the Rhl product, C4-AHL, may reflect the reduced expression of *rhlR* 344 which is known to occur in AS medium (16).

345 These experiments demonstrate that the previously described antibacterial effects of 346 OligoG CF-5/20, are evident in this pseudomonal microcolony assay system which more 347 closely resembles growth in the CF lung. It must be remembered that many of the 348 components of the in vivo lung are absent in the biofilm model, including lactoferrin, lipids 349 and oligopeptides, which may modulate bacterial behavior in vivo. OligoG CF-5/20 was 350 shown to disrupt the biofilm EPS network and, in combined respiratory therapies, this 351 inhaled treatment may facilitate increased access of therapeutic agents to bacteria and/or 352 the lung cell-surface. The mechanistic studies showed that this disruption of EPS structure 353 was not simply related to interaction between the OligoG CF-5/20 and the pseudomonal (M-354 block alginate), but may rather reflect modification of QS signaling within the biofilm. The

indings here, and the proven safety of the agent as an inhalational therapy

356 (www.clinicaltrials.gov, Identifier: NCT00970346 and NCT01465529), highlight the potential

- 357 utility of this agent in the treatment of MDR bacterial infections in a range of human
- diseases. Phase IIb human studies are currently ongoing (www.clinicaltrials.gov, Identifier:
- 359 NCT02157922 and NCT02453789).
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362 METHODS

363 Bacterial strains and media

- 364 *P. aeruginosa* strains were cultured on blood agar plates and grown overnight in Tryptone
- soy broth (TSB; LabM), at 37°C. Mueller Hinton (MH) medium or Artificial Sputum (AS)
- medium (adapted from earlier studies [43] by supplementation with 20 ml/L RPMI 1640 as
- an amino acid source; Sigma Aldrich) were also employed.
- 368 Reference strains (n=7) were obtained from the International *P. aeruginosa*
- 369 Reference Panel (IPARP) (57) including: AA2 and AA44 (early and late non-mucoid CF
- colonizers respectively); AA43 (mucoidal colonizer from the same AT code) and mucoidal
- 371 CF isolates, IST 27 (Lisbon, Portugal) and 2192 (source ID; Boston, MA). The well
- 372 characterized non-mucoid PAO1 and mucoidal MDR CF strain NH57388A (Copenhagen,
- 373 Denmark), were also used in subsequent experiments.
- 374

375 Patients and clinical isolates

- Newly isolated, non-mucoid *P. aeruginosa* strains (22078, 22554, and 22476) were obtained
- 377 from induced sputum collected from children attending the Cystic Fibrosis Unit at the
- University Hospital of Wales, Cardiff participating in the Sputum Induction Trial (SpIT) study
- 379 (a longitudinal sputum collection study in CF patients; LREC approved [project ID
- 380 11/RPM/5216]).
- 381

382 Changes in antibiotic susceptibility in the different media

383 Bacteriocidal values for colistin in MH and AS medium were studied using standard

- minimum inhibitory concentration (MIC) assays as previously described (29).
- 385

386 Effects of OligoG CF-5/20 on pseudomonal growth in the presence of colistin

- For the inoculum for the pseudomonal growth curves, overnight cultures in TSB (n=3) were
- standardized to 10⁶ cells/ml in MH medium. For treated samples, *P. aeruginosa*
- (NH57388A; n=3) standardized to 10^8 cells/ml in MH medium were treated with and without
- 2% OligoG CF-5/20 (w/v) ± colistin (0.5x MIC; 0.05 µg/ml). Samples were grown (24 h;
- 391 37°C) in 96-well plates and change in cell density recorded every hour (OD₆₀₀) on a
- ³⁹² FLUOstar Omega plate reader. Cell doubling time was calculated for each growth curve.
- 393

394 Viable microbial cell numbers in culture when treated with OligoG CF-5/20

- Adenosine triphosphate (ATP) production by PAO1 and NH57388A was compared in MH
- and AS medium ± 2% OligoG CF-5/10. Cultures were prepared as for the growth curve
- and analyzed using the BacTiter-Glo[™] Microbial Cell Viability Assay (Promega)
- at 0, 2, 4, 6, 8, 12, 24 and 48 h with luminescence read on a FLUOstar Omega plate reader.

399

Confocal laser scanning microscopy biofilms in MH and AS media in the presence of OligoG CF-5/20

Pseudomonal cultures (NH57388A) standardized to 10^{7} cfu/ml, were inoculated 1:20 in MH or AS media and incubated (37°C; 20 rpm) for 24 h or 48 h respectively in Greiner glass-bottomed optical 96-well plates; the difference in growth rates in the two media, accounting for the longer growth time used for the AS medium. For antimicrobial treatment, half of the supernatant was gently removed and replaced with fresh MH or AS medium ± 2% OligoG CF-5/20 and/or colistin at half the MBEC (2 µg/ml) and incubated for 1 h.

Supernatant was then removed and replaced with 6% (v/v) LIVE/DEAD[®] (Invitrogen) stain in
PBS prior to imaging. CLSM was performed using a Leica SP5 confocal microscope with
x63 magnification under oil. Z-stack CLSM images were analyzed using COMSTAT image
analysis software (33).

AS medium biofilms were also fixed overnight at 4°C with 3% (v/v) glutaraldehyde and stained (1 h) at room temperature with 0.15% Syto-9 (Invitrogen) in PBS. CLSM of Zstack images was achieved using sequential fluorescence recordings of Syto-9 ($\lambda_{ex}/\lambda_{em}$ max: 480/500_{nm}) and propidium iodide ($\lambda_{ex}/\lambda_{em}$ max: 490/635_{nm}).

For EPS imaging, NH57388A biofilms (48 h) were grown in AS medium in 12-well glass bottomed plates (No. 1.5; MatTek Corp. Ashland, MA, USA) ± 2 or 6% OligoG CF-5/20.. Biofilms were fixed with 2.5% glutaraldehyde in PBS overnight at 4°C. Fixative was then removed and biofilms stained with Syto-9 (0.15% in PBS) and Concanavalin A, Alexa Fluor 1633 Conjugate (100 µg/ml in PBS; Invitrogen) prior to CLSM imaging.

421

422 Scanning electron microscopy of OligoG CF-5/20 treated biofilms in different media

P. aeruginosa (PAO1 and NH57388A) cultures were adjusted to 10⁷ cfu/ml in MH or AS 423 424 medium and grown (37°C for 24 h or 48 h respectively at 20 rpm) in 12-well plate (Greiner Bio-One) on Thermanox[™] glass slides (Agar Scientific) ± OligoG CF-5/20 0.2%, 2% or 6% 425 426 (w/v). For the established (24 h) biofilm model, half the supernatant was gently removed 427 and replaced with 2% OligoG CF-5/20 (v/v), colistin (x4 MBEC; 16 µg/ml), or combination 428 treatment and incubated for 24 h. Supernatant was removed and biofilms fixed with 2.5% 429 (v/v) glutaraldehyde prior to being washed (x4) with dH₂O and freeze-dried. The samples 430 were then gold-coated and imaged using a Tescan Vega conventional SEM (2.5 kV) for 431 untreated samples and the established biofilm model or performed using a Hitachi S4800 (1 432 kV) scanning electron microscope (SEM) for the biofilm development model. Pseudo-433 coloring of SEM images was performed using Adobe Photoshop CS6 (Adobe Systems

434	Europe Ltd, Maidenhead, UK). ImageJ was used to measure microcolony diameter
435	following line calibration using the known set scale for each image. Measurements of the
436	three largest cellular aggregates in each image were taken at the narrowest diameter.

438 Direct interaction of OligoG CF-5/20 and pseudomonal high molecular weight alginate 439 using circular dichroism spectroscopy

- 440 CD spectra of OligoG CF-5/20, high Mw alginate (approx. 100 kDa) comprising 7% guluronic
- 441 acid derived from *Pseudomonas aeruginosa*, and mixtures thereof were recorded using an
- 442 Aviv Model 215 instrument (Aviv Biomedical, Lakewood, NJ, U.S.A.). Samples were
- dissolved in 100 mM NaCl, 5 mM Tris.Cl, pH 7.5, spun at 14,000 g for 30 min at 4°C, and
- the supernatant transferred to a 0.1-cm quartz cell pre-warmed to 37°C. Repetitive spectra
- were collected from 245 to 196 nm at 0.2 nm intervals with 2 s accumulation per point
- 446 corresponding to ~11 min/spectrum). Buffer baselines were subtracted and ellipticities (Θ)

447 were corrected for dilutions.

448

Changes in quorum sensing acyl-homoserine lactone (AHL) production in different media when treated with OligoG CF-5/20

Overnight cultures of *P. aeruginosa* PAO1 were diluted (1:100) in either MH or AS medium and grown for a further 30 or 48 h \pm 0.2 or 2 % OligoG CF-5/20. Cultures were washed (x3; 18,000 *g*, 20 min, 4°C) in ice cold 0.9% NaCl and pelleted cells were dried (80°C) for 24 h and weighed. Culture supernatants were vigorously mixed (30 s) in equal volumes of ethyl acetate (acidified with 0.5% formic acid), and the upper layer collected (x3). The resultant ethyl acetate fractions were allowed to evaporate and the precipitate was re-suspended in 1 ml of distilled H₂O (58). Samples were freeze-dried prior to analysis. Quantification of acyl homoserine lactones was done using high performance liquid chromatography triple
 quadrupole mass spectrometry (LC-QQQ-MS).

460 Freeze-dried samples were maintained on ice and reconstituted in acetonitrile (200 µI) with 0.1% acetic acid and 7.2 ng mI⁻¹ of the internal standard umbelliferone. Samples 461 462 were centrifuged (16,100 g, 10 min, 4° C) and supernatants filtered (0.4 µm; x2). Samples (5 463 µI) were loaded onto a C18 XDB Eclipse (1.8 µm, 4.6 x 50 mm) reverse phase column and 464 quantified using a 1200 series HPLC coupled to a 6410B enhanced sensitivity triple 465 quadrupole (QQQ) mass spectrometer (Agilent Technologies, Palo Alto, USA). For 466 detection using positive ion mode, mobile phase A comprised of 5 mM ammonium acetate in 467 water modified with 0.1% acetic acid and B was acetonitrile containing 0.1% acetic acid. 468 The column was equilibrated in 2% B before increasing in a linear fashion to 100% over 6 469 mins. 100% B was maintained for a further 2 min before column re-equilibration. The 470 column temperature was maintained at 35°C for the duration with a flow rate of 0.3 mL/min. 471 Source parameters were set as follows: Temperature, 350°C; gas flow, 10 L/min; nebulizer, 472 35 psi; and capillary voltage, 4 kV. Data was analysed using Agilent MassHunter QQQ Quantitative Analysis software (Version B.07.00). Peak areas were normalized to the 473 474 internal standard umbelliferone and concentrations calculated using standard concentration 475 curves, offset against blank values (the average peak areas for the blanks).

476

477 Statistical analysis

The COMSTAT data was normally distributed so a standard t-test was performed using MiniTab 17 (Minitab Ltd, Coventry, UK) and a Bonferroni correction. A one-way ANOVA was used for the AHL data using GraphPad 3 (La Jolla, CA, USA). STATA was used to carry out a Kruskal-Wallis non-parametric test supplemented using Dunn's test for the microcolony SEM measurements.

483

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494

495 FIGURE LEGENDS

496 FIG 1 Comparison of planktonic growth in MH medium of characterized and new cystic 497 fibrosis P. aeruginosa isolates and biofilm growth following antimicrobial treatment. Growth 498 curves (24 h) of (A) Non-mucoid IPARP isolates (red) versus fresh clinical SpIT isolates 499 (blue) and (B) non-mucoid IPARP isolates (red) versus mucoid (green). (C) Growth curves 500 of NH57388A (36 h) \pm 2% and OligoG CF-5/20 with/without colistin (0.05 µg/ml). Biofilm 501 disruption assay showing LIVE/DEAD® CLSM [scale bar 20 µm] of 24 h established 502 NH57388A biofilms, (D) untreated control, (E) 1 h colistin treatment, (F) 2% OligoG CF-5/20, 503 (G) combined treatment. COMSTAT analysis showing (H) mean height of biofilm and (I) 504 ratio of DEAD: LIVE cells. (*p<0.05) 505

FIG 2 Comparison of growth of non-mucoid PAO1 and mucoid NH57388A *P. aeruginosa* in
Mueller-Hinton (MH) and artificial sputum (AS) medium. Scanning electron microscopy of
bacterial growth in MH (24 h) and AS medium (48 h; scale bar 20 µm), with corresponding
'zoomed-in' images in AS medium [scale bar 10 µm] (A) PAO1 and (B) NH57388A. (C)

Diagrammatic representation of biofilm structure in both media. (D) Cell viability (ATP production) of *P. aeruginosa* NH57388A and PAO1 (10⁸ cfu/ml) grown in MH and AS medium.

513

514 FIG 3 Biofilm formation assays showing mucoid NH57388A P. aeruginosa biofilms grown in 515 Mueller-Hinton (MH) and artificial sputum (AS) medium. SEM images of P. aeruginosa 516 (NH57388A) biofilms grown ±OligoG CF-5/20 for (A) 24 h in Mueller Hinton (MH) medium; 517 10 μm; (B), (C [zoomed in]) 48 h ± OligoG CF-5/20 [scale bar 5 μm] with (D) corresponding 518 scatter graphs showing approx. mean microcolony size. (E) Corresponding CLSM EPS 519 staining of P. aeruginosa (NH57388A) 48 h biofilms in artificial sputum (AS) medium using 520 Syto-9 (green) and Concanavalin A 633 (red). (F,G) Biofilm disruption assay using Syto-9 521 (green) showing cross-sectional views of 48 h established biofilms treated I h with 2% 522 OligoG CF-5/20 [scale bar 10 µm]. (*p<0.05).

523

524 FIG 4 Effect of OligoG CF-5/20 on high Mw alginate and on cell signaling molecules in vitro. 525 (A) Circular dichroism (CD) spectra of high Mw pseudomonal alginate mixed with OligoG CF-5/20. Scans 1-7 show spectra of high Mw alginate (~20 µM) followed over ~77 min upon 526 heating from 4 to 37[°]C; scans 8-11 (~44min) are recorded after addition of OligoG CF-5/20 527 (850 μ M) followed by addition of Ca²⁺ (1mM) (scans 12-17, ~ 66min). Spectra of OligoG CF-528 529 5/20 (850 μ M) alone and its sum with high Mw alginate (20 μ M) are shown as black and red 530 dashed lines, respectively. High performance liquid chromatography (HPLC) mass 531 spectrometry (LC-QQQ-MS) to quantify acyl homoserine lactone (AHL; 3-oxo-C12-AHL and 532 C4-AHL) production of *P. aeruginosa* PAO1 (B) grown in MH and AS medium (30 h), and in 533 a time course assay (30 and 48 h) showing the effect of OligoG CF-5/20 on (C) 3-oxo C12-534 AHL and (D) C4-AHL (*p<0.05; n=3). Cell viability (ATP production) over 48 h of (E) PAO1 535 and (F) NH57388A grown in MH and AS medium ± 2% OligoG CF-5/20 (2%G).

536

537 **FIG 5** Biofilm disruption assays showing scanning electron microscopy (SEM) of

sign antimicrobial (OligoG CF 5/20 and colistin) treated biofilms grown in ASM [Scale bar 10 µm].

- 539 SEM images of established (24 h) P. aeruginosa (A) PAO1 and (B) NH57388A biofilms
- treated for 24 h with 2% OligoG CF-5/20 \pm colistin (16 μ g/ml) with corresponding median
- 541 microcolony diameter measurements for (C) PAO1 and (D) NH57388A (*p<0.05).
- 542

543 Supplementary Figures

- 544 **FIG S1** CD spectra of high Mw pseudomonal alginate mixed with OligoG CF-5/20. Scans 1-
- 545 2 show spectra of high Mw alginate ($\sim 20 \mu$ M) followed over ~ 22 min after heating from 4 to
- 546 37°C, after which Ca^{2+} was added (scans 3-8: $c_{final} = 1mM$; scans 9-11: $c_{final} = 2mM$). Oligo
- 547 G CF-5/20 was added to c_{fin} = 700µM (scans 12-15; molar ratio high Mw alginate to OligoG
- 548 CF-5/20 1:50) and c_{fin} = 4.2mM (scans 16-22; molar ratio high Mw alginate to OligoG CF-
- 549 5/20 1:600). Adding calcium to c_{fin} = 9 mM results in spontaneous alginate precipitation
- 550 (scans 23-25).
- 551
- FIG S2 Biofilm disruption assay showing SEM images of established (24 h) *P. aeruginosa*(A) PAO1 and (B) NH57388A biofilms treated for 24 10 h with 2% OligoG CF-5/20 ± colistin
 (16 μg/ml). Scale bar 20 μm.
- 555

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FIG 1 Comparison of planktonic growth in MH medium of characterized and new cystic fibrosis *P. aeruginosa* isolates and biofilm growth following antimicrobial treatment. Growth curves (24 h) of (A) Non-mucoid IPARP isolates (red) versus fresh clinical SpIT isolates (blue) and (B) non-mucoid IPARP isolates (red) versus mucoid (green). (C) Growth curves of NH57388A (36 h) \pm 2% and OligoG CF-5/20 with/without colistin (0.05 µg/ml). Biofilm disruption assay showing LIVE/DEAD® CLSM [scale bar 20 µm] of 24 h established NH57388A biofilms, (D) untreated control, (E) 1 h colistin treatment, (F) 2% OligoG CF-5/20, (G) combined treatment. COMSTAT analysis showing (H) mean height of biofilm and (I) ratio of DEAD: LIVE cells. (*p<0.05)



FIG 2 Comparison of growth of non-mucoid PAO1 and mucoid NH57388A *P. aeruginosa* in Mueller-Hinton (MH) and artificial sputum (AS) medium. Scanning electron microscopy of bacterial growth in MH (24 h) and AS medium (48 h; scale bar 20 μ m), with corresponding 'zoomed-in' images in AS medium [scale bar 10 μ m] (A) PAO1 and (B) NH57388A. (C) Diagrammatic representation of biofilm structure in both media. (D) Cell viability (ATP production) of *P. aeruginosa* NH57388A and PAO1 (10⁸ cfu/ml) grown in MH and AS medium.



FIG 3 Biofilm formation assays showing mucoid NH57388A *P. aeruginosa* biofilms grown in Mueller-Hinton (MH) and artificial sputum (AS) medium. SEM images of *P. aeruginosa* (NH57388A) biofilms grown ±OligoG CF-5/20 for (A) 24 h in Mueller Hinton (MH) medium; 10 μ m; (B), (C [zoomed in]) 48 h ± OligoG CF-5/20 [scale bar 5 μ m] with (D) corresponding scatter graphs showing approx. mean microcolony size. (E) Corresponding CLSM EPS staining of *P. aeruginosa* (NH57388A) 48 h biofilms in artificial sputum (AS) medium using Syto-9 (green) and Concanavalin A 633 (red). (F,G) Biofilm disruption assay using Syto-9 (green) showing cross-sectional views of 48 h established biofilms treated I h with 2% OligoG CF-5/20 [scale bar 10 μ m]. (*p<0.05).



FIG 4 Effect of OligoG CF-5/20 on high Mw alginate and on cell signaling molecules *in vitro*. (A) Circular dichroism (CD) spectra of high Mw pseudomonal alginate mixed with OligoG CF-5/20. Scans 1-7 show spectra of high Mw alginate (~20 μ M) followed over ~77 min upon heating from 4 to 37°C; scans 8-11 (~44min) are recorded after addition of OligoG CF-5/20 (850 μ M) followed by addition of Ca²⁺ (1mM) (scans 12-17, ~ 66min). Spectra of OligoG CF-5/20 (850 μ M) alone and its sum with high Mw alginate (20 μ M) are shown as black and red dashed lines, respectively. High performance liquid chromatography (HPLC) mass spectrometry (LC-QQQ-MS) to quantify acyl homoserine lactone (AHL; 3-oxo-C12-AHL and C4-AHL) production of *P. aeruginosa* PAO1 (B) grown in MH and AS medium (30 h), and in a time course assay (30 and 48 h) showing the effect of OligoG CF-5/20 on (C) 3-oxo C12-AHL and (D) C4-AHL (*p<0.05; n=3). Cell viability (ATP production) over 48 h of (E) PAO1 and (F) NH57388A grown in MH and AS medium ± 2% OligoG CF-5/20 (2%G).



FIG 5 Biofilm disruption assays showing scanning electron microscopy (SEM) of antimicrobial (OligoG CF 5/20 and colistin) treated biofilms grown in ASM [Scale bar 10 μ m]. SEM images of established (24 h) *P. aeruginosa* (A) PAO1 and (B) NH57388A biofilms treated for 24 h with 2% OligoG CF-5/20 ± colistin (16 μ g/ml) with corresponding median microcolony diameter measurements for (C) PAO1 and (D) NH57388A (*p<0.05).