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Late Cenozoic Paleoceanography of the Central Arctic Ocean

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Abstract The Arctic Ocean is the smallest and perhaps least accessible of the world's oceans. It occupies only 26% of the global ocean area, and less than 10% of its volume [1]. However, it exerts a disproportionately large influence on the global climate system through a complex set of positive and negative feedback mechanisms directly or indirectly related to terrestrial ice and snow cover and sea ice. Increasingly, the northern high latitude cryosphere is seen as an exceptionally fragile part of the global climate system, a fact exemplified by observed reductions in sea ice extent during the past decades [2]. The paleoceanographic evolution of the Arctic Ocean can provide important insights into the physical forcing mechanisms that affect the form, intensity and permanence of ice in the high Arctic, and its sensitivity to these mechanisms in vastly different climate states of the past. However, marine records capturing the late Cenozoic paleoceanography of the Arctic are limited - most notably because only a single deep borehole exists from the central parts of this Ocean. This paper reviews the principal late Cenozoic (Neogene/Quaternary) results from the Arctic Coring Expedition to the Lomonosov Ridge and in light of recent data and observations on modern sea ice, outlines emerging questions related to three main themes: 1) the establishment of the 'modern' Arctic Ocean and the opening of the Fram Strait 2) the inception of perennial sea ice 3) The Quaternary intensification of Northern Hemisphere glaciations.

1. Introduction

The projected disappearance of perennial sea ice in the Arctic Ocean is one of the most alarming and immediately realized impacts of continued global warming [3]. Considerable uncertainty remains in the anticipated timing for this transition under future CO₂ emission scenarios [4]. Multi-model ensemble mean estimates under 'business as usual' scenarios in the IPCC 4th Assessment Report, indicate that perennial sea ice may disappear anywhere from 2050 to well beyond 2100, corresponding to atmospheric CO₂ levels of 520 to >700 ppmv [4]. However, the rate of sea ice reduction derived from satellite observations during the past decade is not captured in these models, suggesting that enhanced sensitivity of sea ice to the feedback mechanisms that amplify global temperature change in the Arctic may remain poorly understood and parameterized [5,6].

One of the most illustrative examples of sea ice sensitivity to global climate change was presented by *Johannessen* [7], by comparing the satellite derived annual sea ice extent from 1979-2007 against atmospheric CO₂ concentrations during the same time period (Figure 1). The strong linear correlation between these datasets was used to forecast the sea ice extent up until 2050, and illustrated that the corresponding predictions are several million km² lower than IPCC ensemble mean predictions.

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On geologic timescales, the sensitivity of the Arctic to climate change was recently quantified through a comparison of reconstructed Arctic and average Northern Hemisphere (NH) summer temperatures during four periods in the last 3 Million years (the Holocene thermal maximum, the last glacial maximum, the last interglacial and the mid-Pliocene). The results illustrate that Arctic temperature anomalies are amplified by a factor of 3-4 above the NH average during periods when the global climate cools and warms [6]. However, we still lack a complementary understanding of the variability of sea ice in the geologic past, or how the mechanisms that control it have changed. The striking correlation between sea ice extent and atmospheric CO₂ in Figure (1), and the apparently predictable amplification of temperature change in the Arctic, raise questions about how useful these relationships are for hind-casting when large-scale boundary conditions are altered (Figure 2)

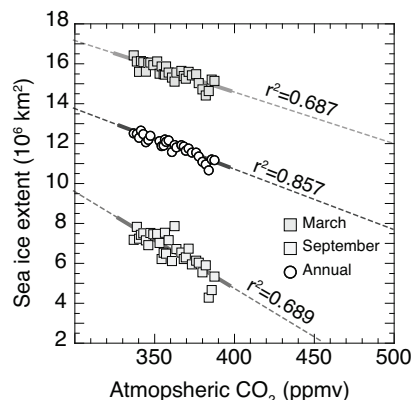


Figure 1. Arctic sea ice extent and CO₂. Annual, September and March Arctic sea ice extent for the period between 1979-2007 [7] shown against atmospheric CO₂ concentrations from the Maun Loa Observatory (www.esrl.noaa.gov/gmd/ccgg/trends/).

In addition to elevated (yet poorly constrained) CO₂ concentrations in the Cenozoic, these boundary conditions include changes in the freshwater budget, and exchange with both the Pacific and Atlantic Oceans. These changes clearly modify atmospheric and oceanic circulation patterns and impact the vertical stratification and overall heat budget of the Arctic. Similarly, the advance and retreat of large ice sheets alter regional temperature and circulation patterns and have certainly changed dramatically through the late Cenozoic. An understanding of how these boundary conditions have influenced the form, intensity and permanence of ice cover in the Arctic can help improve our understanding of the complex modern ocean-atmosphere-ice system and how it has evolved with global climate. It is also needed to define natural variability within this system and identify periods in the geologic past that may serve as potential analogues for future climate states. As it is the oceanic response that is of greatest concern, these insights must come from the analysis of marine sediments

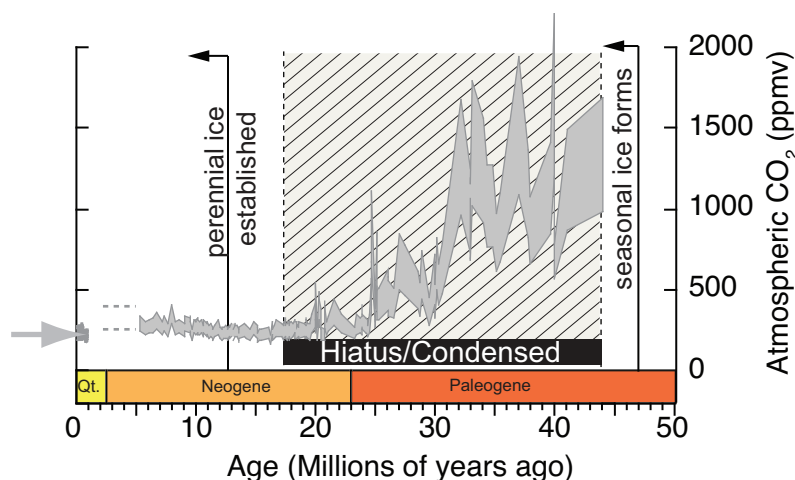


Figure 2. Cenozoic reconstruction of atmospheric carbon dioxide concentration from marine proxy records [9]. Grey arrow on left indicates pre-industrial CO₂. Results from the Arctic Coring Expedition on the Lomonosov Ridge indicate that seasonal sea ice was established around 47 Ma, when greenhouse gas concentrations were comparable to levels at 2-4x the modern. ACES results also suggest the formation of perennial sea ice in the Miocene, coincident with or prior to the Middle Miocene Climate Optimum (See section 4). (. Quaternary (Qt). *It is important to note that ongoing debates exist about the actual magnitude and variability of CO₂ through the Cenozoic

2. Coring and drilling in the Arctic

It was only in 1991 that the first non-nuclear powered icebreakers (the Swedish *R/V Oden* and German *R/V Polarstern*) reached the North Pole. Since this time, hundreds of cores were retrieved from the central Arctic Ocean and its Marginal seas, adding considerably to the inventory of cores collected in previous decades, many of which from floating ice camps (Figure 3). Difficulties in dating these sediments, and the short nature of the recovered sequences (generally less than 10-15 m) has limited our ability to characterize paleoceanographic changes occurring before the last 200-300 thousand years and address the long-term stability of sea ice under different orbital, climatic and tectonic boundary conditions.

In general terms, difficulties in dating arise from the often microfossil poor glaciomarine nature of



Figure 3. Partial inventory of gravity and piston cores from the Arctic Ocean. Green squares = data downloaded from www.geomapapp.org. Red circles = compiled data from icebreaker led expeditions in the past 20 years. Yellow circles = ODP/IODP boreholes. Detailed data from the analysis of many of these cores can be found at www.pangaade. For color reproductions of this and other figures, the reader is referred to the online version of this article.

of the sediments, and is compounded by a complex downhole paleomagnetic signal that has yet to be integrated with the global geomagnetic timescale. These problems are generally not as severe in marginal settings of the Arctic Ocean. Similar challenges are faced when attempting to derive proxies for past sea ice conditions, and many established and emerging proxies, including microfossil based transfer functions [10], abundances [11] and organic biomarkers [12] have yet to be successfully applied in central Arctic Ocean sediments.

An important realization for anyone studying the C e n o z o i c

paleoceanography of the Arctic, is that while absolute and relative ages remain difficult to determine, correlating depositional sequences between cores and across relatively large spatial distances is possible using continuous downhole records of the lithologic, magnetic, and physical properties of the sediments and their microfaunal composition [13]. Depending on how robust the stratigraphic correlation is, this approach can be used to identify syndepositional sediments and combine age markers derived from different cores (Figure 4). Although not yet exploited, these correlations can also be used to investigate changes in the vertical water mass properties on glacial/interglacial timescales without needing a detailed or finely tuned age-model (Figure 4).

Despite the continued advance of our knowledge on late Quaternary paleoceanography in the Arctic (*see* [19] for a detailed overview), the largest contribution to our emerging understanding of late Cenozoic Arctic Paleoceanography came from the Integrated Ocean Drilling Program's pioneering Arctic Coring Expedition (ACEX). This expedition not only recovered the first Cenozoic marine

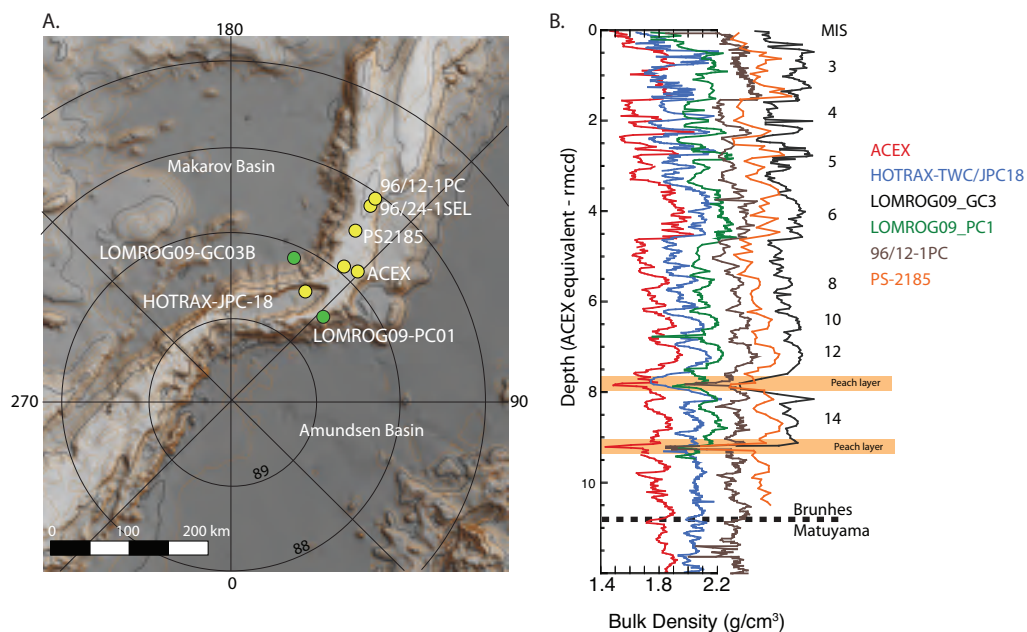
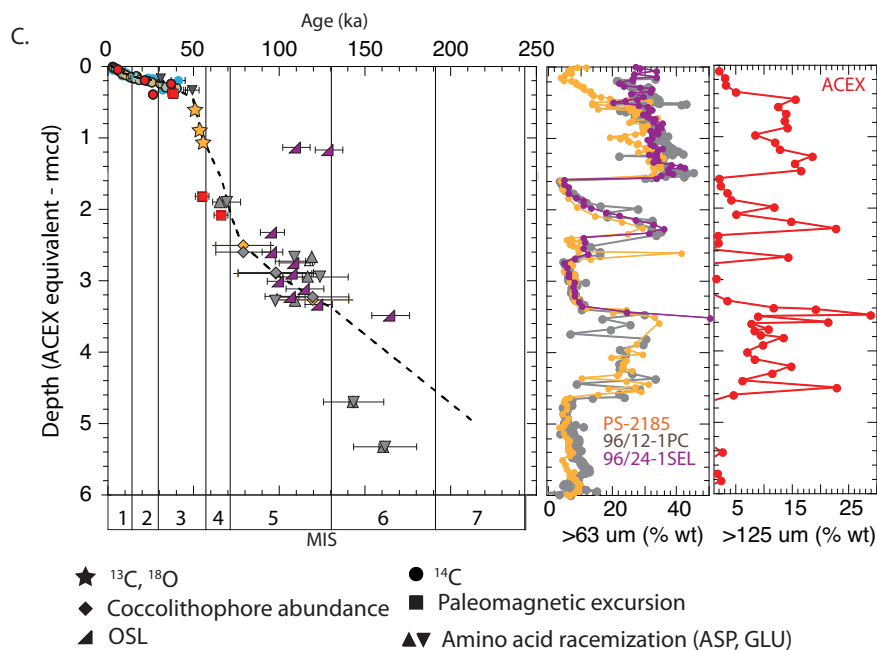


Figure 4. **A.** Bathymetric map of the central Lomonosov Ridge [14] showing the location of six cores collected from varying water depths (Table 1) that can be stratigraphically correlated without prior knowledge on the age of the sediments. **B.** Stratigraphic correlation of the six cores illustrated using downhole bulk density profiles. The cores are migrated onto the ACEX depth scale using prominent tie points. Inferred ages are from compiled relative and absolute dating techniques applied to the different records and covering MIS 1-6 (Table 1), with older ages derived from cyclostratigraphic analysis of the ACEX record [13]. **C.** A number of different chronostratigraphic techniques were applied to these cores to acquire ages for sediments during the last 200 Kyr. These are illustrated and color-coded to show which core they were derived from (Table 1). While some scatter still exists, there is overall agreement between the different chronostratigraphic methods back to the end of MIS5. The detail of the stratigraphic correlation from **B** is shown on the right using grain size records for the upper 6 m of the migrated depth scale.



sediments sequence from the central Arctic Ocean, but illustrated that drilling was possible in the continuously moving ice pack, there-by opening the way for future scientific drilling [20]. ACEX targeted 4 closely spaced sites on the circumpolar region of the Lomonosov Ridge Collectively, a 428-meter sequence of Cenozoic sediments was penetrated and partially recovered, reflecting deposition during the subsidence and drift of the Lomonosov Ridge towards its present position [21]. Many of the traditional limitations to dating Arctic sediments, namely the sporadic and low occurrence of microfossils and a complex magnetostratigraphy, were also encountered in the ~198 m late Cenozoic section of the ACEX record. To overcome these limitations a few key biostratigraphic datums were used in conjunction with beryllium isotopes and partially supported through cyclostratigraphic analysis [22] (Figure 5).

Table 1. Locations, modern water depths, methods of dating and references for cores displayed in Figure 4 PM= Paleomagnetic excursions; cn= calcareous nannofossil abundance/assemblage data; AAR=Amino Acid Racemization; OSL=Optically Stimulated Luminescence Dating; cy=cyclostratigraphy.

Core	Lat	Lon	Water Depth (m)	Dating method	Reference
ACEX (Holes 4C/ 3A)	879	1362-1395	1200-1290	¹⁴ C, PM, cy	[15, 13]
96/12-1PC	871	1448	1003	AAR, cn, cy	[16]
96/24-1SEL	872	1446	980	OSL	[17]
PS-2185	875	1442	1052	¹⁴ C, cn, ¹³ C, ¹⁸ O, ¹⁰ Be	[13]
HOTRAX-JPC18	884	1466	2598	¹⁴ C, cn	[18]
LOMROG09-GC03	882	1564	3814	N/A	
LOMROG09-PC01	885	1335	1244	N/A	

One of the surprising initial findings was the presence of a 26 million year (Myr) hiatus separating early to middle Eocene (44.4 Ma) from late early Miocene sediments (18.2 Ma), a result that had not been anticipated from pre-cruise analysis of seismic data. The location and duration of this hiatus, and the overall mid Cenozoic age model, were recently challenged by osmium isotope dates (Figure 5) [23]. Findings that potentially have large implications for our understanding of when the *modern* conditions were established in the Arctic, defined by ventilated bottom-water conditions and predominantly glaciomarine sediments [21].

3. Establishment of the ‘modern’ Arctic

The most dramatic paleoenvironmental change captured in the ACEX record is the shift from freshwater influenced biosiliceous and organic rich deposits of the Paleogene to fossil poor glaciomarine silty clays that occurred by at least the late early Miocene [22,24]. Based on the original ACEX age model, the timing of this change from euxinic to well-oxygenated open marine conditions was correlated to the tectonically controlled widening of the Fram Strait in the late early Miocene (~17.5 Ma), which allowed a critical two-way surface exchange between the Arctic Ocean and Norwegian Greenland Seas to commence [25].

The transition between these two states is captured in a 5.76 m sequence of cross-banded sediments, interpreted as representing alternating states of oxygenation [26] (Figure 5). This sequence occurs immediately above the 26 Myr hiatus. The origin of the hiatus has been ascribed to either the exposure of the ridge, which may have remained in a shallow water setting through most of the Paleogene [27], or to erosion from enhanced bottom water currents [28], potentially associated with the gradual opening of the Fram Strait [29].

Recent osmium isotope dates from the cross-banded and underlying Eocene age biosiliceous rich sediments suggest that the transition from euxinic to well-oxygenated conditions may have occurred in the late Eocene [23]. Within this new proposed stratigraphy, the cross-banded sediments are interpreted as a condensed section arising from sea-level variations during the opening of the Fram Strait. However, the early age (late Eocene -Oligocene) for a connection between the Atlantic and

Arctic needs to be reconciled with plate tectonic reconstructions, which suggest crustal overlap between the modern Greenland and Svalbard margins during chron 13 time (33.3 Ma) [30], implying that the pre- and synrift topography in this region becomes quite critical to constrain.

Despite emerging questions over the timing and mechanism associated with this profound oceanographic change, from a lithostratigraphic perspective it marks the initiation of *modern* conditions in the central Arctic Ocean. These are defined by the apparent continuous deposition of glaciomarine silts and clays, with a minor but persistent sand component, and containing very limited

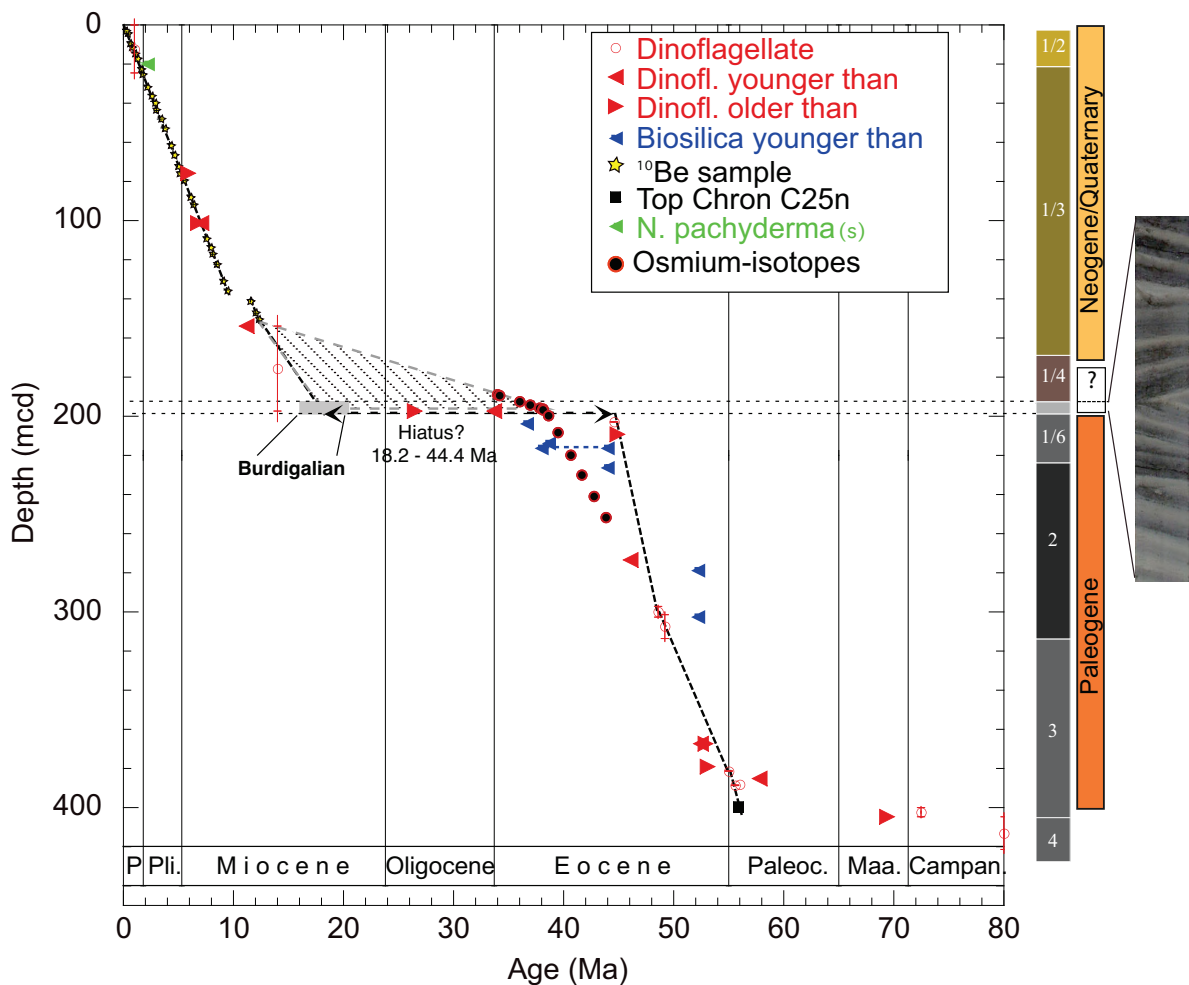


Figure 5. Age model for the ACEX record and corresponding lithologic units [22]. New osmium derived dates [23] are also shown and highlight an emerging discrepancy. On the far right is an image of the cross-banded sequence that marks the transition from euxinic to oxygenated bottom water conditions

and sporadic preserved microfossils.

4. Onset and persistence of perennial ice

Based on the results from ACEX there is strong evidence indicating the existence of seasonal ice in the Eocene (47 Ma) [31] when global atmospheric CO₂ concentrations were likely >1000 ppmv. While no direct proxy for perennial ice has been derived from the microfossil poor glaciomarine sediments deposited since at least the late early Miocene, novel solutions for identifying its onset were derived

from analyzing the provenance (source region) of clay, heavy mineral and detrital iron-oxide grains found within this record [32,33].

For example, Krylov *et al.* [32] document a pronounced shift in the clay and heavy mineral assemblages (from smectite to illite; and clinopyroxenes to hornblendes respectively) that they ascribe to a change from the Western Laptev/Kara Seas, to the East Siberian Sea as the dominant sediment source area. As the average modern drift time for sea ice to reach the central Lomonosov Ridge from the East Siberian Sea is > 1 year [34], this change is interpreted as marking the onset of perennial ice. This transition is independently dated between 12-14 Ma [22]. Employing similar arguments, Darby [33] uses an iron oxide fingerprinting technique to match detrital iron-oxide grains to a large database of Arctic source regions, and reports a constant input of IRD from all the circum-arctic shelves in samples extending back to at least 15 Ma, again implying that perennial ice has been present throughout this time, and potentially earlier. His analysis also illustrates that tectonic reconstructions from the middle Miocene to present do not significantly alter the distances between the Lomonosov Ridge and Western Arctic and East Siberian Shelves, and cannot be used to explain the changes in sediment provenance in the ACEX record. Frank *et al.* [35] also argue that the generally smooth but coarse resolution exponential decrease of $^{10}\text{Be}/^9\text{Be}$ with depth, and the low calculated flux rates of

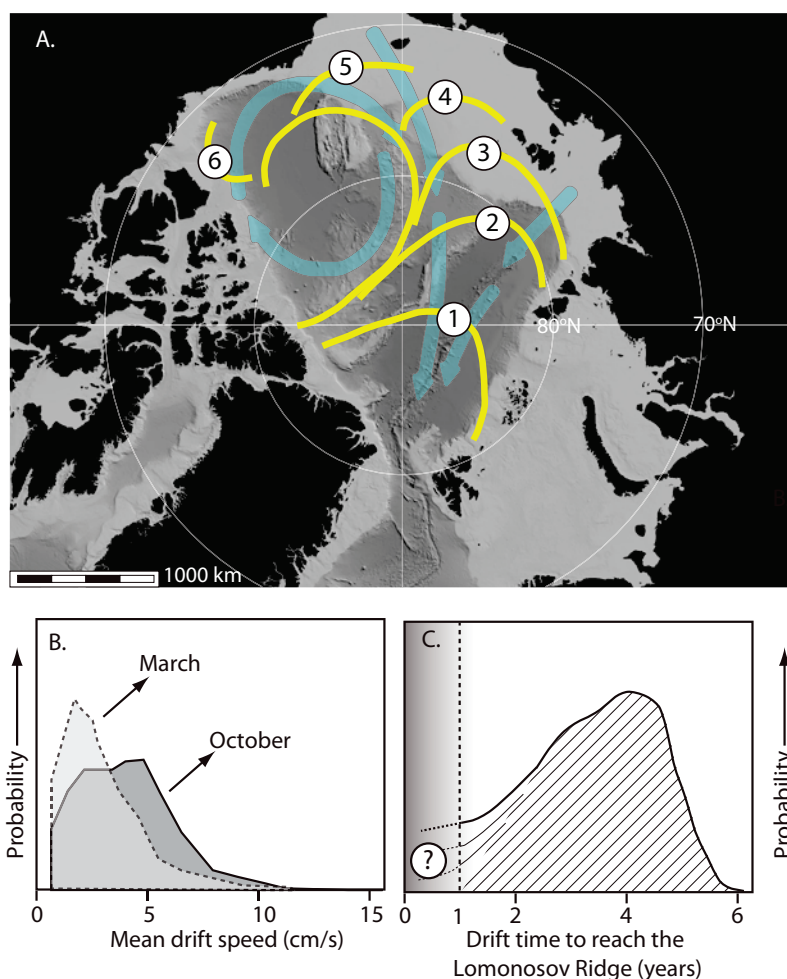


Figure 6. A. Map of the Arctic Ocean showing the dominant surface circulation patterns, and the mean drift times required for ice from different regions to exit through the Fram Strait (adapted from [34]). B. Seasonal variations in mean sea ice drift speed in the central Arctic Ocean (generalized and adapted from [44]). C. A *conceptual* illustration of how the mean residence time derived from the mean drift speed may not capture important variability in the statistical distribution. How probable ice drift reaching the Lomonosov Ridge in < 1 year (marked by question mark in C) has not yet been quantified for the different source regions.

^{10}Be into the sediments, provide ancillary evidence for a persistent perennial ice pack since at least 12 Ma.

Within the resolution of the ACEX age model, the onset for perennial Arctic sea ice inferred by Krylov *et al.* [32] occurs at the end of the Middle Miocene Climate Optimum (MMCO, 15-17 Ma),

during a period of recognized global cooling [36], reduction in atmospheric CO₂ [37] and the expansion of the East Antarctic ice sheet [38]. It also appears, to a first order, synchronous with terrestrial evidence that documents middle Miocene cooling in the Canadian Arctic Archipelago (CAA) and throughout Alaska and the western North West Territories of Canada [37]. However, the assertion that perennial ice was established after the MMCO and persisted since this time is not consistent with the presence of mixed hardwood and deciduous forests which are preserved in the late Miocene/Pliocene Beaufort Formation of the CAA [40, 41], with early Pliocene sea surface temperature estimates as high as 18°C in the Fram Strait [42] or the early Pliocene peat deposits from Ellesmere Island (78°N, 82°W) recording mean annual temperatures that were ~19°C higher than today [43].

The drift-speed/provenance argument for delineating changes between seasonal and perennial sea ice is simple and somewhat elegant, yet the importance of the findings using this technique (namely that perennial sea ice has been a stable component of the central Arctic Ocean for 12-15 Ma) and the mismatch with other circum-arctic studies, requires further study. For example, [32, 33] both use mean annual residence time charts for sea ice emanating from different regions of the Arctic Ocean. The charts are based upon the monthly analysis of the mean field of sea ice motion derived from drifting buoys deployed on ice flows between 1979 and 1998 as part of the International Arctic Buoy Programme (IABP) [34] and from a paleoceanographic perspective may not capture two important aspects of the drift speed field. First, there is yet no analysis of the statistical distribution of these mean residence times, or quantification of the seasonal, inter-annual and decadal variability associated with them. Although this cannot be resolved in the low-resolution sedimentary records, it clearly defines what is averaged within any one sample over the few thousand years it represents (Figure 6).

A second obstacle is how the modern speeds would be affected by diminished sea ice thickness that would exist during warmer periods of the geologic past. Modern observations suggest a strong link between mean drift speed and sea ice thickness. This was recently illustrated using IABP data [45] in which sea ice drift speeds during the last 29 years increased by 17%/decade in the winter and 85%/decade in the summer, with no corresponding increase in the derived monthly mean wind speed. This increased drift speed was associated with contemporaneous and observed thinning of the ice pack during this time [46].

At the same time, the arguments that perennial sea ice could not have existed because of the fossilized floral remains along the CAA require a more thorough analysis of the regional climatic effects that would be expected in the Miocene. For example, much warmer than modern conditions along the Northern Greenland coast and the CAA are largely reported from Miocene paleoclimate models, and are associated with a regional anomaly arising from the absence of the Greenland icesheet [47]. In this sense, the ACEX results raise important questions on the controls and overall sensitivity of sea ice in the geologic past, and provide a framework for testing and furthering our understanding of these questions. Due to the burial depth of Miocene age sediments, addressing them fully requires additional deep boreholes from strategic locations in the Arctic.

5. Intensification of Northern Hemisphere glaciations

The growth of large Northern Hemisphere icesheets is widely believed to have occurred between ~2.9-2.6 Ma and is best illustrated by compilations of global benthic δ¹⁸O [47] and by the gradual increase in ice rafted material (IRD) in records from high latitude settings [40,49].

During the last two glacial cycles, prominent fluxes in sand sized material to the Lomonosov Ridge (Figure 3b) are closely related to known changes in the extension of the Barents/Kara icesheet to the shelf edge [13]. These results fit with the growing awareness of glaciogenic bedforms on many topographic highs, imaged with high-resolution subbottom profiling and swath-bathymetry, which indicate the incursion of deep drafting ice (> 900 m draft) into the central Arctic Ocean and collectively suggest the growth of large ice shelves in the late Quaternary [50].

However, while there seems to be a strong correspondence between very subtle changes in grain size and glacial/interglacial cycles through the Quaternary, the ACEX record reveals an overall decrease in the amount of IRD between ~ 2 Ma (an interval with poor chronostratigraphic control in the ACEX record) and the better-constrained base of Marine Isotope Stage 6 (191 ka) [51] (Figure 7, Figure 4). This reduction in coarse grained IRD cannot be explained by dilution due to higher

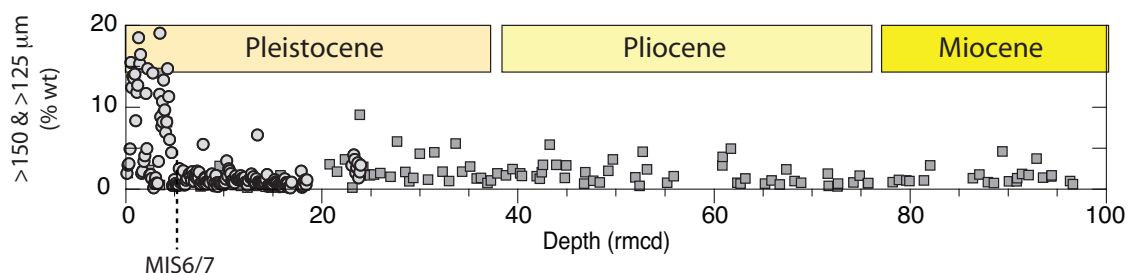


Figure 7. Coarse-fraction content of the ACEX record in the later part of the Cenozoic. $>150 \mu\text{m}$ (squares) and $>125 \mu\text{m}$ (circles). Boundaries of epochs derived from age model of Backman *et al.* [22] that uses an average sedimentation rate of 14.5 m/Myr over this interval. Note the increase in coarse fraction content above the MIS6/7 boundary (see Figure 4 for details) and the low abundances for the middle part of the Pleistocene. No systematic increase in the coarse fraction contents is seen as NH glaciations intensified through the Pleistocene.

sedimentation rates [51], and is not consistent with patterns from other high latitude records that reveal enhanced ice rafting during the Quaternary (*see compilation in* [40]). Existing data from the Lomonosov Ridge indicate that episodes of intense coarse grained ice rafting occur mainly during the last two glacial cycles, which largely corresponds to the ages of dated glaciogenic bedforms [50]. These observations suggest that such massive intrusions of icebergs and ice shelves may not have occurred prior to MIS6, when IRD contents are low in the ACEX record, and furthermore suggest that sea ice was likely the dominant carrier of IRD in previous glacial periods. This remains somewhat speculative, and there is clearly evidence for large-scale ice sheet growth in the Barents Sea during the

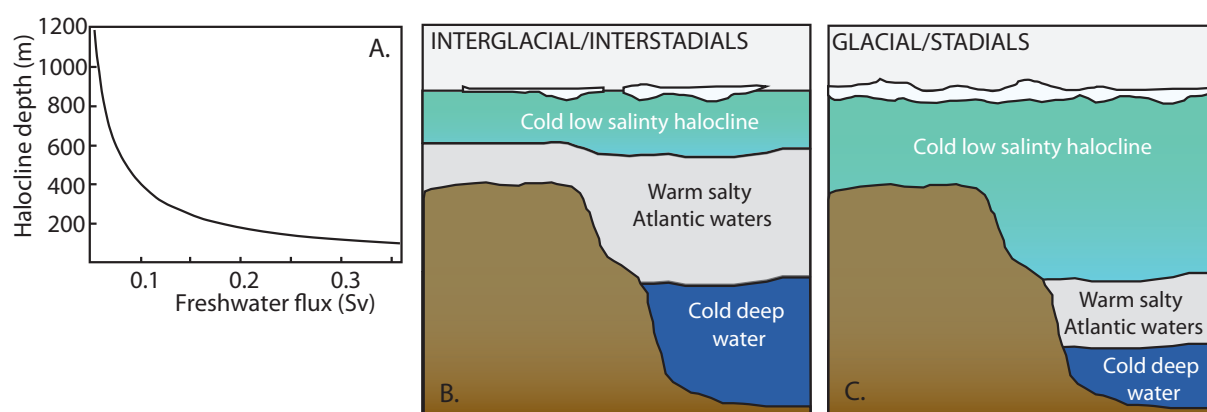


Figure 8. **A.** Analytically derived changes in the depth to the halocline as a function of freshwater flux to the Arctic Ocean (Jakobsson *et al.*, 2010). **B** and **C** are conceptual illustrations of how the depth to the halocline (and subsequently any warm Atlantic water that enters the Arctic), may be depressed during glacial/stadial periods or at times in the geologic past when freshwater inflow to the Arctic was reduced

middle part of the Pleistocene [49,52]. Longer and better dated sequences from the Amerasian Basin, Morris Jesup Rise and other regions of the Arctic Ocean are needed to address spatial and temporal differences in depositional patterns.

The reduction in the amount of IRD at ~ 2 Ma in the ACEX record coincides with the start of high amplitude oscillations in the neodymium isotopic composition of intermediate waters, which are interpreted as marking the onset of large scale Atlantic water inflow following the subsidence of the Greenland-Scotland Ridge [53]. The observed reductions in IRD that appear coincident with the enhanced inflow of Atlantic water are somewhat counter-intuitive, given the recognized influence that North Atlantic waters have on transporting heat into the central Arctic Ocean. While possible explanations for these observations may lie in the evolution of the major oceanic gateways (the Fram Strait/Barents Sea and the Bering Strait) [51], the role of changing freshwater delivery to the Arctic, long considered to be a fundamental boundary condition for sea ice growth and a possible key to Northern Hemisphere icesheet growth, remain largely unexplored. For example, Jakobsson *et al.* [50] illustrate how the depth of the modern halocline in the Arctic Ocean is extremely sensitive to changes in the freshwater flux, and increases sharply when freshwater delivery is reduced (Figure 8). This suggests that large-scale vertical migration of water masses may occur on glacial/interglacial timescales, and potentially during reduced/enhanced hydrologic periods of the past. To investigate these changes it is critical to exploit coupled analyses of cores that form depth transects in the modern Arctic (*i.e.* Figure 4b). Quantifying these changes and coupling them with proxies of past sea ice and glacial ice, would be of great benefit in attempting to reconstruct the paleoceanographic evolution of the Arctic Ocean, and how it is influenced by oceanic exchanges with the Pacific and Atlantic Oceans.

6. Concluding remarks

Our knowledge of past and present conditions in the Arctic Ocean is advancing rapidly, due in part to the challenge we face in improving our ability to anticipate and predict the magnitude and impacts associated with the rapidly diminishing sea ice cover. From a geologic perspective, an understanding of modern processes provides important groundtruthing for directing our studies into the past and the interpretations we extract from them. The groundbreaking Arctic Coring Expedition proved that scientific drilling in the Arctic Ocean is possible, and it is clear that more long-term records need to be recovered from the Arctic to complement and test theories that have evolved from ACEX. The overview provided here is based primarily on published results from ACEX, and attempts to highlight important questions that are emerging from these results. In doing so it admittedly overlooks many valuable contributions to our understanding of late Cenozoic paleoceanographic change in the Arctic that have emerged from other expeditions and programs. While sea ice is a dominant theme in much of this discussion, it is intimately tied with variations in both terrestrial and oceanic boundary conditions, all of which ultimately need to be integrated into a coherent picture of the geologic and paleoceanographic evolution of this Ocean, and its role in the global climate system.

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