

# Experimental characterisation of different hermetically sealed horizontal, cylindrical double vessel Integrated Collector Storage Solar Water Heating (ICSSWH) prototypes

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## ABSTRACT

Existing Integrated Collector Storage Solar Water Heaters (ICSSWHs) are typically simple and low-cost devices that combine heat collection and storage functions in one unified vessel. However, during non-collection periods they are affected by higher heat loss characteristics when compared to standard solar collector systems. This paper introduces the design evolution of new horizontal cylindrical ICSSWH prototypes developed at Ulster University that use novel, patented double vessel, thermal diode features (to enhance heat retention during non-collection periods) achieved by incorporating a liquid-vapour phase change material (PCM) with a very low pressure annular cavity. The energy performance evaluation and characterisation of different prototype designs under solar simulated experimental conditions has been conducted and the subsequent parametric analysis presented. A balance between performance and physical/operational considerations is necessary to ensure that new and practical design solutions (in materials, fabrication and assembly) can be formulated to improve the performance of the ICSSWHs within the limits of commercial reality. The importance of augmenting heat transfer across the annulus cavity has been demonstrated with improvement of the cold-start daily collection efficiency from around ( $\eta_{col}$ ) of 20% (no HTF), to >55% when the annulus is evacuated to remove non-condensable gases and form a liquid-vapour phase change thermal diode. Annulus thermal diode heat transfer coefficients of around ( $U_{fr}$ ) 35 W/m<sup>2</sup>K in forward mode and 2 W/m<sup>2</sup>K in reverse mode have been demonstrated. Diurnal thermal efficiencies ( $\eta_{col 24}$ ) of 22% have been measured, but values of 39% have been identified as achievable in the longer-term development of presented ICSSWH.

## KEYWORDS

Solar thermal collector, ICSSWH, double vessel, thermal diode, solar simulator

## 41 **1.0 INTRODUCTION**

42 Integrated Collector Storage Solar Water Heaters (ICSSWH) are simple, low cost solar devices. The  
43 original ICSSWH systems consisted of exposed blackened tanks of water left out to heat in the sun.  
44 Used on a few farms and ranches in the South-West USA in the late 1800s, they were reportedly  
45 capable of producing water hot enough for showering by the late afternoon on clear days [Butti and  
46 Perlin, 1981]. ICSSWHs have developed significantly since these early units and their potential to  
47 broaden the scope of current small-scale solar hot water systems for single and multi-family  
48 dwellings, particularly in warm climates is apparent. Their continual development and improvement  
49 as simple, reliable and low cost configurations is essential to achieve increased interest in the general  
50 solar heat market globally.

51  
52 The development of ICSSWH systems is detailed in Smyth et al. [2006] and more recently by Singh  
53 et al. [2016], along with their tendency to suffer significant ambient heat loss, especially at night-time  
54 and during non-collection periods. Many recent studies have focused on the improvement of the  
55 thermal performance of ICSSWH systems through the development of the thermal diode ICSSWH  
56 (based on De Beijer's [1998] double vessel concept) using liquid to vapour phase change. The use of  
57 liquid/vapour phase change materials in solar thermal technologies is not new, the heat pipe principle  
58 is recognised as one of the most efficient passive heat transfer technologies available. Jouhara et al  
59 [2017] provide a comprehensive review on heat pipe technology and Shafieian et al [2018] presents  
60 the latest developments, progress and application of heat pipe as solar collectors. The use of liquid to  
61 vapour phase change with storage (a quasi-heat pipe with storage) was first applied in De Beijer's  
62 [1998] ICSSWH. Quinlan [2010] sets out the principle for a vertical cylindrical unit, using the same  
63 double vessel design first reported by De Beijer. This work and follow up investigations by Smyth et  
64 al. [2017a,b; 2018] detail the performance of prototype systems determined using experimental  
65 evaluation and dynamic simulation modelling. These studies created enhancements and optimisation  
66 of the design which led to the development of a pre-commercial, pre-heat ICSSWH known as the  
67 SolaCatcher [SolaForm, 2014]. The energy performance of another laboratory prototype, using  
68 innovative end cap arrangements, was investigated under different boundary and working conditions,  
69 using a suitably dynamic simulation model developed and implemented in a computer code written  
70 in MatLab environment. The model was been validated by experimental data gathered from testing  
71 carried out under the solar simulator facility at Ulster University. [Smyth et al 2019a; 2020].

72  
73 Some work has looked at augmentation of the double vessel, thermal diode concept with external  
74 reflectors. Souliotis et al. [2011; 2017] optically analysed (through ray tracing) and experimentally  
75 studied a thermal diode ICS vessel design mounted horizontally inside a stationary truncated  
76 asymmetric compound parabolic concentrating (CPC) reflector trough. Muhumuza et al [2019a,b,  
77 2020] report on the use of a novel ICSSWH mounted within an asymmetric formed reflector that was  
78 specifically designed to the ICS tank requirements, giving rise to the Asymmetric Formed Reflector  
79 with Integrated Collector and Storage (AFRICaS) system.

80  
81 Several authors have experimented with the design format and have investigated different double  
82 vessel, thermal diode configurations, other than cylindrical. Cylindrical vessels offer significant  
83 advantages in structural integrity, material and fabrication time, but suffer prominent features that  
84 don't lend themselves to easy building integration and aesthetic appeal. Kalogirou et al [2017] have  
85 published a comprehensive handbook that reviews the design and application of Building Integrated  
86 Solar Thermal Systems (BISTS). In their guide, they specifically report upon flat collector profiles  
87 and detail a flat double vessel, thermal diode concept developed by Smyth et al [2017a,b; 2019b].  
88 The work reports upon the experimental performance characterisation of a Hybrid Photovoltaic/Solar  
89 Thermal Façade module compared to a flat Integrated Collector Storage Solar Water Heater module.  
90 Both units were modular and designed specifically to integrate into a vertical building façade.  
91 Lamnatou et al [2019] carried out a Life Cycle Analysis (LCA) of the unit and reported on issues

92 related to human health, ecosystems and resources. Earlier work by Pugsley et al [2016] conducted a  
93 comprehensive evaluation on the experimental characterisation of a flat panel ICSSWH design that  
94 featured a PV absorber and a Planar Liquid-Vapour Thermal Diode (PLVTD). More recent work by  
95 Pugsley et al [2019] explores the principle of thermal diodicity and presents pioneering work to  
96 experimentally measure the heat transfer characteristics of a 0.15 m<sup>2</sup> passive Planar Liquid-Vapour  
97 Thermal Diode (PLVTD). The flexibility of the double vessel concept was demonstrated by Mondol  
98 et al [2013] where it was used in a solar augmented anaerobic digester design for small-scale biogas  
99 production. The lag in collection and improved thermal retention created a more stable inner vessel  
100 (digester) temperature, minimising operational temperature fluctuations.

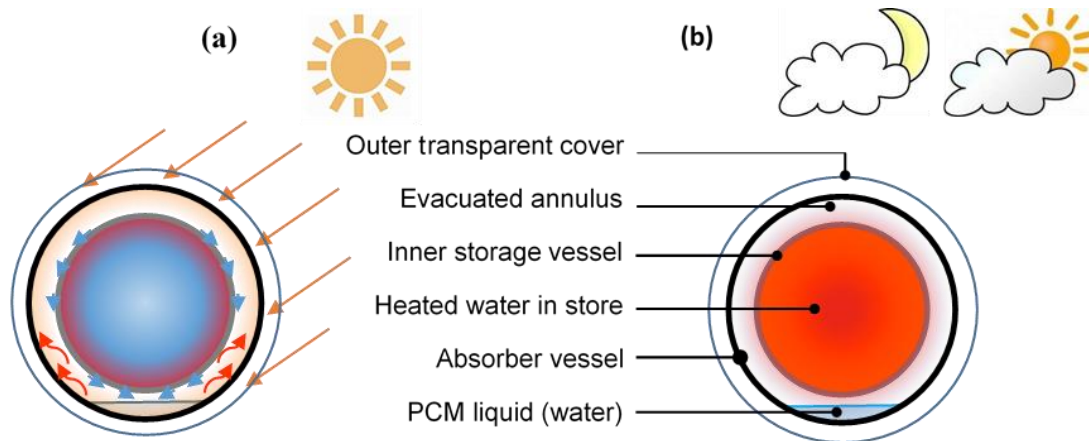
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102 The work presented in this paper details the design evolution of horizontal ICSSWH prototypes  
103 developed at the Centre for Sustainable Technologies (CST) at Ulster University that use the novel,  
104 patented (a solar water heater, 2010) double vessel, thermal diode feature (to enhance heat retention  
105 during non-collection periods) achieved by incorporating a liquid-vapour phase change material  
106 (PCM) and very low annulus cavity pressures. The energy performance evaluation and  
107 characterisation of different prototype designs under solar simulated experimental conditions has  
108 been conducted and the subsequent parametric analysis has created improvements and optimisation  
109 that are instrumental in informing the development of a commercial ready design for deployment in  
110 the developing world.

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## 112 2.0 THERMAL DIODE DESCRIPTION

113 A solar thermal diode is a mechanical technique that enables rapid heat collection of incident solar  
114 radiation on the absorber combined with thermal insulation of the collected heat in a storage tank to  
115 minimise heat loss during the night and non-collecting periods. A small volume of PCM (heat transfer  
116 fluid, HTF) resides in the low pressure annulus of two concentric cylindrical vessels and determines  
117 the forward and backward operational behaviour of the thermal diode as illustrated in Figure 1.

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119  
120 Figure 1: Operating principle of the ICSSWH design concept: (a) forward operation during thermal  
121 energy collection for a sunny period, (b) reverse operation during night-time and non-collecting  
122 periods [Muhumuza et al, 2019b]

123

124 In the forward mode, radiant solar heat evaporates the PCM HTF under partial vacuum in the annulus,  
125 which then condenses on the outer surface of the storage tank thereby releasing its latent heat of  
126 vaporisation to the store before returning to the sump. In the reverse operation mode, the 'partial'  
127 vacuum in the annulus (along with outer transparent cover) minimises convective and radiative losses

128 to ambient. The presence of non-condensable gases in the thermal diode cavity (as indicated by the  
129 cavity pressure at a low temperature) significantly impairs the forward mode heat transfer rate  
130 [Boreyko et al, 2011; 2013]. Evacuating the cavity to the lowest total pressure possible, enables  
131 effective forward mode heat transfer. Vertical installations are preferred for achieving thermal  
132 stratification in the storage tank, which is often a requirement for cold/temperate climate conditions.  
133 However, horizontally mounted units could be just as good when operating in regions with significant  
134 solar resources. Figure 2 demonstrates one of the horizontally mounted prototypes - the ‘SolaCatcher’  
135 installed (and combined with an integrated PV panel) and operated in Northern Botswana.  
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139 Figure 2: Installed horizontal ‘SolaCatcher’ prototype in Northern Botswana  
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141 The aim of the work presented in this study is to deliver an optimised blueprint for the ICS thermal  
142 diode design, that has a relatively good (comparative) performance but presents a much simpler  
143 design in materials and components, needs no parasitic pumping or control power to operate, is easy  
144 to install and connect and offers the potential for much lower costs and therefore more cost-effective  
145 hot water for many in the developing world. The metal/metal evacuated concept makes a much more  
146 robust unit, which makes them less susceptible to environmental physical damage than existing  
147 commercial glass/metal evacuated ICSSWHs. Furthermore, from a manufacturing perspective, the  
148 metal/metal vessel concept doesn’t need specialised fabrication/assembly equipment or facilities but  
149 can be produced in traditional metalworking facilities already experienced in making water storage  
150 tanks.  
151

### 152 3.0 THE DOUBLE VESSEL, THERMAL DIODE ICSSWH

153 The unit presented in Figure 2 represents years of developmental work conducted in the Ulster  
154 University CST laboratories. Many different variants have been realised and tested as part of the  
155 evolutionary progression leading to the current design. All have utilised the thermal diode operating  
156 principle combined with a horizontal cylindrical tank-in-tank configuration, similar vessel  
157 dimensions and tubular stainless-steel vessel materials. Each vessel was coated with a matt black film  
158 of black stove paint, enclosed within a cylindrical transparent aperture cover and mounted on a frame  
159 that permitted the inclusion of an external reflector and inlet/outlet ports. This paper compares and  
160 contrasts the results obtained from experimental tests on design variants, examining the influence of  
161 different operating parameters (pressure, heat transfer fluid, irradiation), and the effect of various  
162 design options concerning insulation, heat exchanger integration and vessel end sealing mechanisms.  
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164 The main variable design features used to delineate the concept classification and investigation was  
165 the vessel end sealing mechanism; either hermetic polymer end cap or metal weld. Figure 3 depicts  
166 the various units evaluated. The main geometrical and thermal features of the ICSSWH prototypes  
167 presented are detailed in Table 1.  
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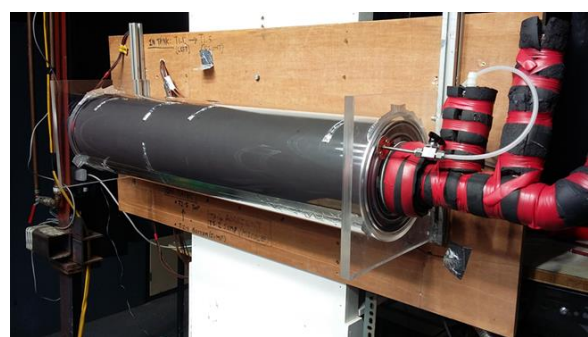
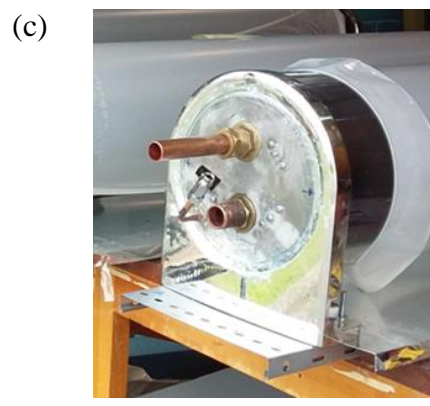
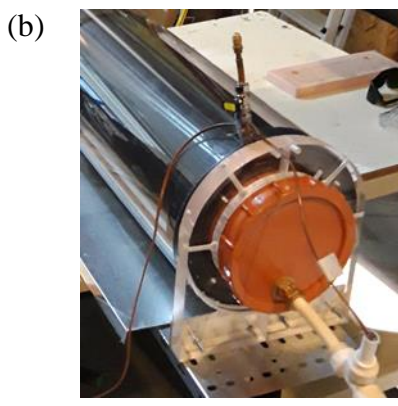
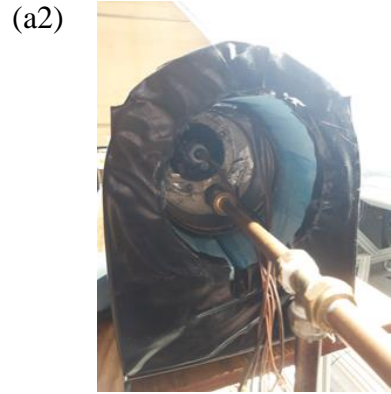
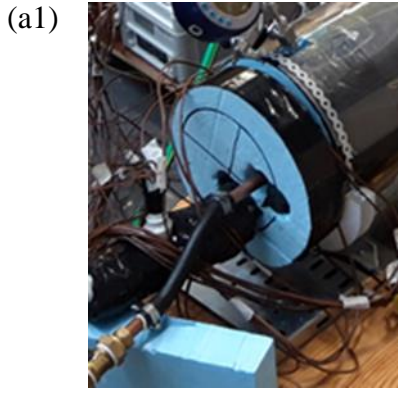


Figure 3: Different horizontal double vessel, thermal diode ICSSWH prototypes investigated in the present study

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Table 1: Investigated ICSSWH prototype main features

	a1. seam welded cone	a2. inset seam welded cone	b. PVC cup insert	c. ABS hub with gasket	d. seam welded dish	e. Acrylic hub with gasket
Vessel (SS): Capacity, Length & Diameter	25.8 litres 1.43m 200mmØ	24.2 litres 1.41m 200mmØ	30.8 litres 1.62m 200mmØ	28.8 litres 1.63m 200mmØ	47.5 litres 1.11m 360mmØ	16.5 litres 1.01m 200mmØ
Volume-to-absorber area ratios	0.029 $\text{m}^3/\text{m}^2$	0.027 $\text{m}^3/\text{m}^2$	0.030 $\text{m}^3/\text{m}^2$	0.028 $\text{m}^3/\text{m}^2$	0.038 $\text{m}^3/\text{m}^2$	0.026 $\text{m}^3/\text{m}^2$
Transparent cover	PETG, single layer (scuffed)	PETG, single layer	PETG, single layer	PETG, single layer	PETG, double layer	Acrylic, single layer
Solar Source: Irradiance & angle from the horizontal	706Wm <sup>2</sup> 15°	700Wm <sup>2</sup> 45°	715Wm <sup>2</sup> 90°	730Wm <sup>2</sup> 0°	661Wm <sup>2</sup> 90°	730Wm <sup>2</sup> 45°
Back reflector	yes/no	yes/no	yes	yes	no	no
Wetting mechanism	Capillary matting (partial)	Capillary matting (partial)	Capillary matting (good fit)	Capillary matting (good fit)	Film spray	Capillary matting (good fit)

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227 Unit (a) was a fully welded stainless steel, double vessel arrangement. There were 2 differing  
228 configurations; (a1) and (a2). Both variants were identical and utilised the same sloped end cone  
229 design to bring the inner and outer vessels together to enable a hermetic seam weld to be formed. The  
230 depth of the welded seam however differentiated the designs. Unit (a1) had a flush end weld whilst  
231 unit (a2) had an inset (by 100mm) end weld. Unit (d) was also a fully welded stainless steel, double  
232 vessel arrangement, but differed in its diameter and length and the type of wetting mechanism. Units  
233 (b), (c) and (e) comprised 2 concentric stainless steel tubes that were connected and thus hermetically  
234 sealed using a polymer end cap and gasket seal. Unit (b) utilised an inserted PVC cap with a  
235 removable lid. Units (c) and (e) utilised ABS and acrylic, respectively, with the 2 concentric stainless  
236 steel tubes inserted into recessed gasketed grooves to provide the hermetic seal. The majority of  
237 materials used (and therefore characteristics) for all the units were common. The transmittance-  
238 absorptance product ( $\tau\alpha$ ) of the 1mm thick PETG transparent cover was 0.75 with a thermal  
239 conductivity of 0.25 W/mK. The 1.5mm thick stainless steel used to make the vessels had a thermal  
240 conductivity of 16 W/mK and the 5mm thick PVC end caps had a thermal conductivity of 0.18 W/mK.  
241 Acrylic and ABS both have a thermal conductivity of around 0.2 W/mK.  
242

243 Pugsley et al [2020a] presents a collective review of the importance of the volume-to-absorber area  
244 ratios ( $V/A_{ab}$ ) in ICSSWH design. Smyth et al. [2006] and Singh et al. [2016] presents numerous  
245 studies on ICSSWH vessel design, including single or multiple cylindrical, cuboid, triangular,  
246 trapezoidal and pyramid tanks. Volume-to-absorber area ratios range from 0.05 to 0.3  $\text{m}^3/\text{m}^2$  with 0.1  
247  $\text{m}^3/\text{m}^2$  being a typical vessel size. Small volumes of stored water cause large diurnal temperature  
248 fluctuations in solar heating systems. Larger volumes reduce fluctuation magnitudes thereby reducing  
249 summertime overheating and wintertime freezing risks, but the resulting reduced maximum

250 temperatures can increase legionella risks. Schmidt & Goetzberger [1990] suggest  $V/A_{ab} > 0.07 \text{ m}^3/\text{m}^2$   
251 for Northern European climates to reduce freeze risks. Amerongen et al. [2013] suggests limiting  
252 criteria of  $V/A_{ab} < 0.03 \text{ m}^3/\text{m}^2$  and  $V/A_{ab} < 0.06 \text{ m}^3/\text{m}^2$  for northern and southern European climates,  
253 respectively in respect of controlling legionella risk in direct-flow solar water heating systems. The  
254 designs examined in this study all have volume-to-absorber ratios in the range  $0.025 < V/A_{ab} < 0.04$   
255  $\text{m}^3/\text{m}^2$  and are primarily intended for use in sunny equatorial, tropical and sub-tropical regions.  
256 Choice of volume-to-absorber ratio is partly influenced by the need to minimise legionella risk, but  
257 also by the need to minimise unit weight and material costs without compromising structural integrity.  
258 Units with larger volume-to-absorber ratios inherently require much heavier gauge stainless steel  
259 together with structural reinforcements to prevent implosion associated with partial vacuum annulus  
260 cavity pressures.  
261

#### 262 **4.0 EXPERIMENTAL SETUP DESCRIPTION**

263 The horizontal SolaCatcher prototypes (typical setup shown in Figure 4) were experimentally  
264 evaluated using the state-of-the-art indoor Solar Simulator facility at the Centre for Sustainable  
265 Technologies (CST), Ulster University [Zacharopoulos, 2009]. The indoor solar simulator testing  
266 facility consists of 35 high power metal halide lamps arranged in 7 rows of 5 lamps. Each lamp is  
267 equipped with a rotational symmetrical paraboloidal reflector that provides a light beam of high  
268 collimation. In order to achieve uniform distribution of light intensity on the test area, a lens is inserted  
269 into each lamp to widen the illumination of light. The combination of reflector-characteristics, lens  
270 and lamps ensures a realistic simulation of the beam path, spectrum and uniformity. The solar  
271 simulator control panel maintained the constant level light intensity automatically on the collector  
272 surface via a pyranometer mounted at the centre of the test plane. Testing via Solar Simulator adhered  
273 to standard ISO 9806:2017 regarding the use of a Solar Simulator and instrumentation, including,  
274 irradiance, uniformity, collimation, spectral distribution and IR thermal radiation. Indoor solar  
275 thermal simulator testing provided consistent/repeatable test conditions as well as instantaneous and  
276 average collection efficiencies. Heat loss coefficients and heat retention efficiencies are achieved  
277 from overnight cool-down period testing.  
278

279 T-type Copper/Constantan Thermocouples with  $\pm 0.5 \text{ K}$  accuracy measured the temperatures in the  
280 water, on vessel surfaces, and ambient air temperatures. All thermocouples were fabricated in-house,  
281 calibrated and mounted onto and within the prototype units to ensure accurate temperature  
282 representation. Under the solar simulator, the lamps illuminated the test rig at various incidence  
283 angles to the horizontally mounted ICSSWH as shown in Figure 4. The irradiance value was  
284 measured for each test. The intensity varied between 660 and  $730 \pm 10 \text{ Wm}^2$  on the transparent cover  
285 which is typical of the mean hourly global horizontal solar radiation during the period of 6 hours  
286 representing a typical period of utilisable solar energy between 10:00 a.m. to 4:00 p.m. for most  
287 equatorial locations [Weather Data, 2019]. A calibrated pyranometer (Kipp & Zonen-CM4) of  
288 sensitivity  $6.87 \mu\text{VW}/\text{m}^2$  was used to measure incident simulated radiation levels on each ICSSWH.  
289 A digital pressure gauge (Druck DPI104-1) with 0.05% full-scale accuracy measured annulus cavity  
290 pressure. The acquisition of experimental measurements was done under no draw-off conditions for  
291 a total period of 24 hours comprised of 6 to 8 hours of solar thermal collection and 16 to 18 hours of  
292 cool-down (heat retention). All sensors were linked back to dedicated channels of a data logger  
293 (Delta-T DL2e), which sampled every 5 s and recorded average temperatures on 5-min intervals.  
294

295 The presented ICS prototype units (as non-separable solar collectors) have been tested using an  
296 adapted version of ISO 9459-4 (British Standards Institution, 2013) based on heat loss tests and  
297 warm-up tests. The testing and characterisation of ICSSWH concepts has long been a point of  
298 discussion for researchers. Tripanagnostopoulos et al (2002) developed a method that has been used  
299 by many authors since. The method used was considered simpler to other methods presented by  
300 Aranovitch et al (1986) and Faiman (1984), regarding the comparison of tests relating to different

301 ICS systems, using the water temperature in the system (ICS) tank from bottom to top. This current  
302 work uses Tripanagnostopoulos et al's (2002) quasi-steady state method to present the thermal  
303 performance and characterisation of the concept prototypes. This does not directly yield performance  
304 criteria to certified standards, but rather permits the direct comparison of the prototypes being  
305 developed, towards future work in commercialisation and solar Keymark accreditation. The adapted  
306 ISO 9459-4 test standard is used to give guidance and quality assurance in instrumentation and facility  
307 set up. Previous work [Smyth et al, 2017; Muhumuza et al, 2019a,b, 2020] regarding the thermal  
308 performance characterisation of ICSSWHs details the test procedures of ICS characterisation under  
309 indoor solar simulated conditions.



Figure 4: Typical experimental set up for a prototype under the solar simulator

## 5.0 EXPERIMENTAL RESULTS AND DISCUSSION

A series of experimental investigations were conducted to determine the performance of the different units under differing incident angles and levels of irradiance, varying storage capacities, with and without insulation, with and without a planar reflector, various transparent cover(s) arrangements, and applying different annulus pressures. Over 60 indoor solar thermal simulator tests were conducted, spanning a 4 year period of component and unit design development.

Performance characterisation consisted of evaluation of temperature profiles, the mean 6 hour collection efficiency and nominal solar heating efficiency curves, the mean 12 and 18 hour heat retention efficiency and thermal loss coefficients, the overall thermal transmission in forward (f) heat transfer mode and reverse (r) insulation mode and the diurnal 24 hour thermal efficiency. Definitions



352 of these parameters are given by Pugsley et al. [2020a] and have not been repeated here for the sake  
353 of brevity. This paper presents a condensed representation of the important findings observed over  
354 the extended period of investigation.

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### 356 **Temperature profiling**

357 Figure 5 details the location of thermocouples and a typical vessel cross section with corresponding  
358 sensor temperature annotation:  $T_1$  – average outer transparent surface temperature,  $T_2$  - average outer  
359 (absorber/evaporator) vessel surface temperature,  $T_3$  - average inner (storage/condenser) vessel  
360 surface temperature,  $T_4$  – average water store temperature and  $T_{amb}$  - local ambient temperature. Other  
361 sensor annotation (not included) are HTF sump temperature at the base of the outer vessel and end  
362 cap temperatures.

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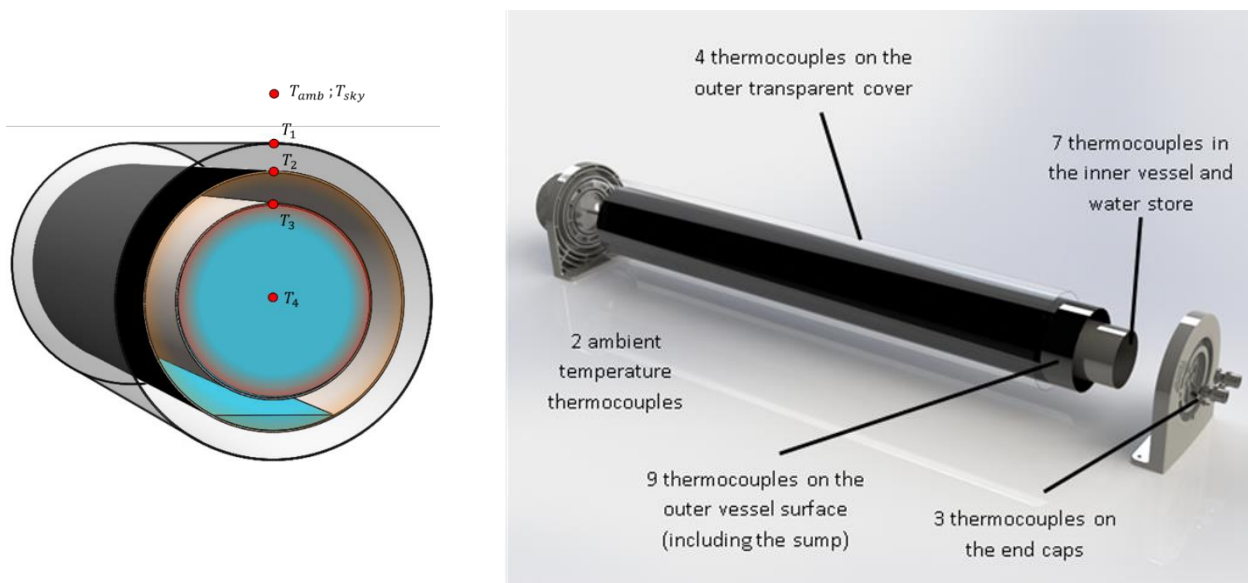
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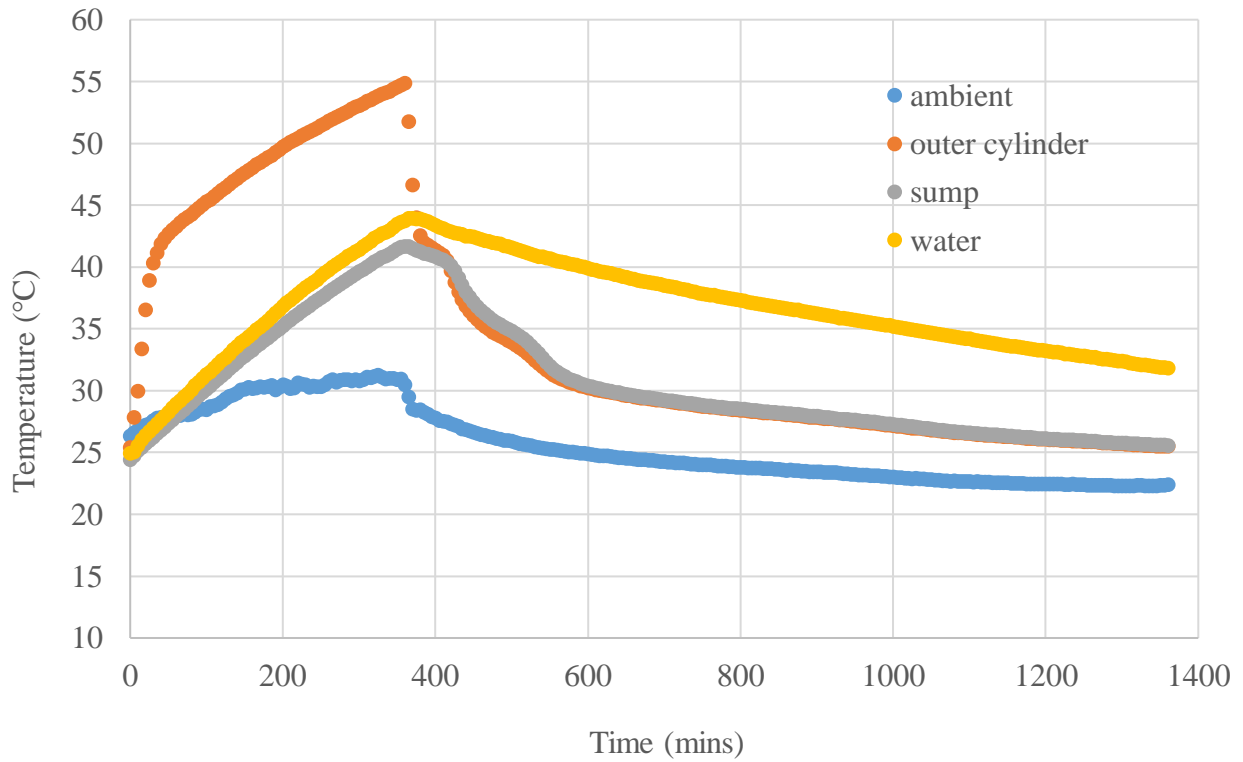
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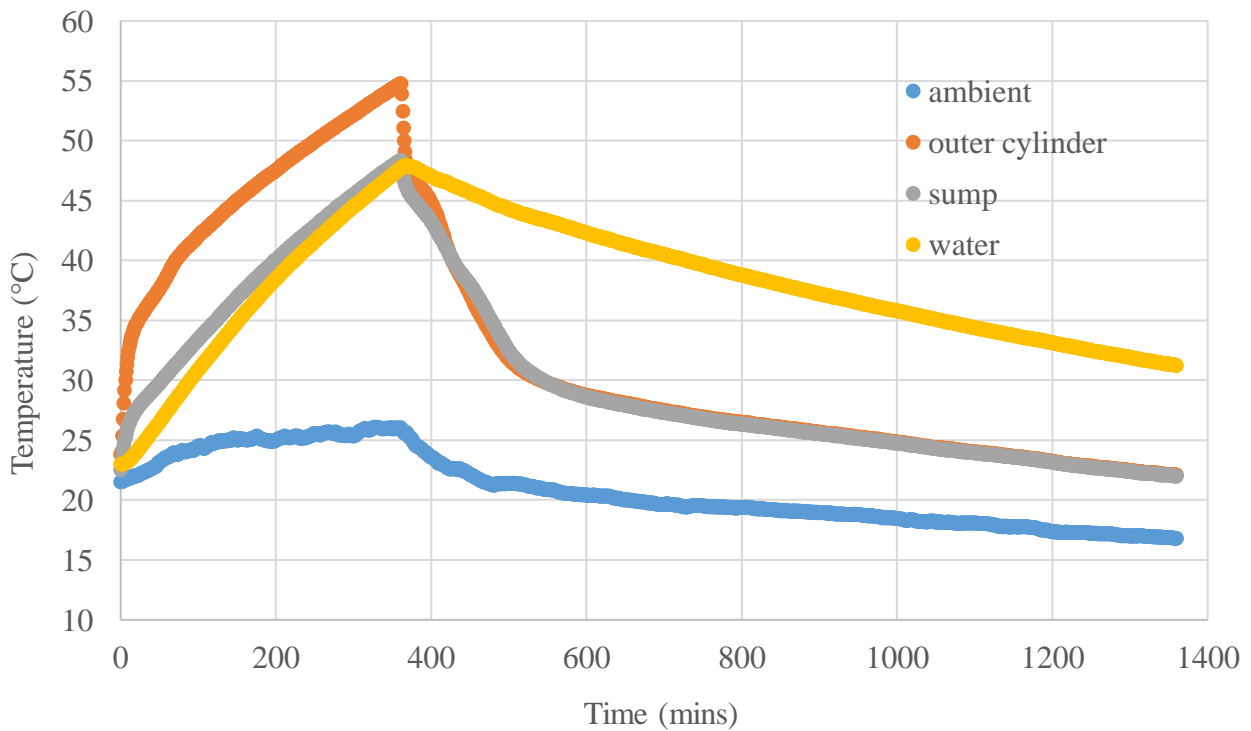


380 Figure 5. Typical cross section detail with corresponding sensor temperature annotation (left) and  
381 number of thermocouple located on ICS prototypes

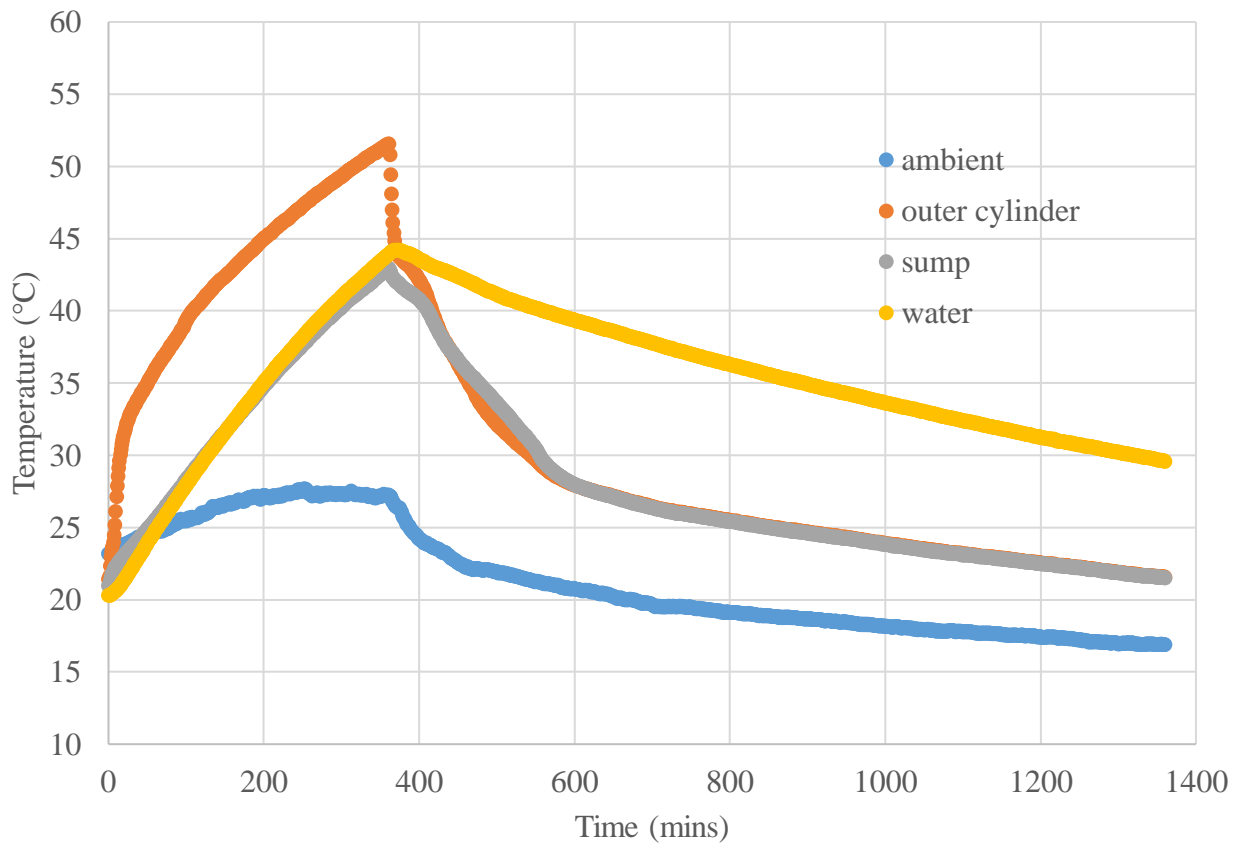
383 Figures 6 to 10 detail the recorded temperature profiles for elements within various units, showing  
384 average outer and inner cylinder surface temperatures, HTF sump, average water store temperature  
385 and local ambient temperature. Figure 6 shows the temperature plots for components in the base line  
386 unit a1. Figures 7 to 9 shows the temperature plots for components in unit a2.; base line, without  
387 reflector and without vacuum and reflector. Figure 10 shows the temperature plots for components in  
388 the base line unit c.



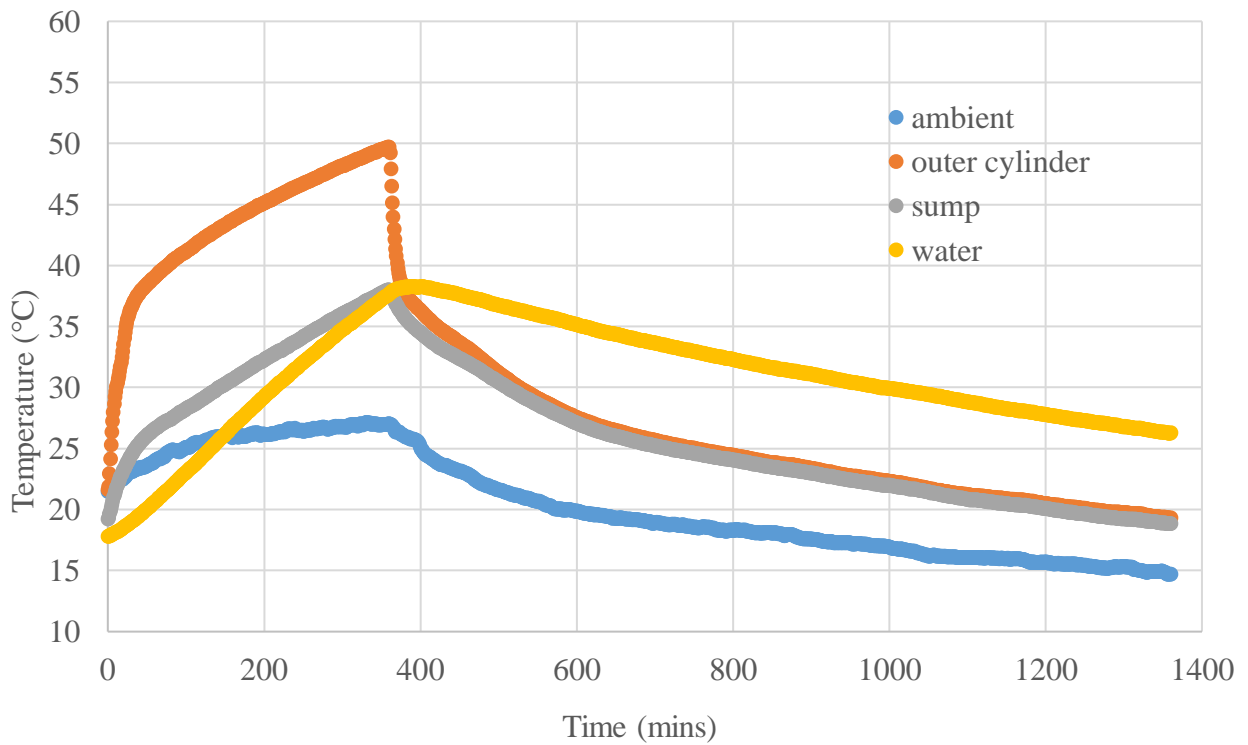
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 391 Figure 6. Measured temperature plots for components in the base line unit a1. (under  $706 \pm 10 \text{ W/m}^2$   
 392 solar simulated radiation during the 6 hour collection period)



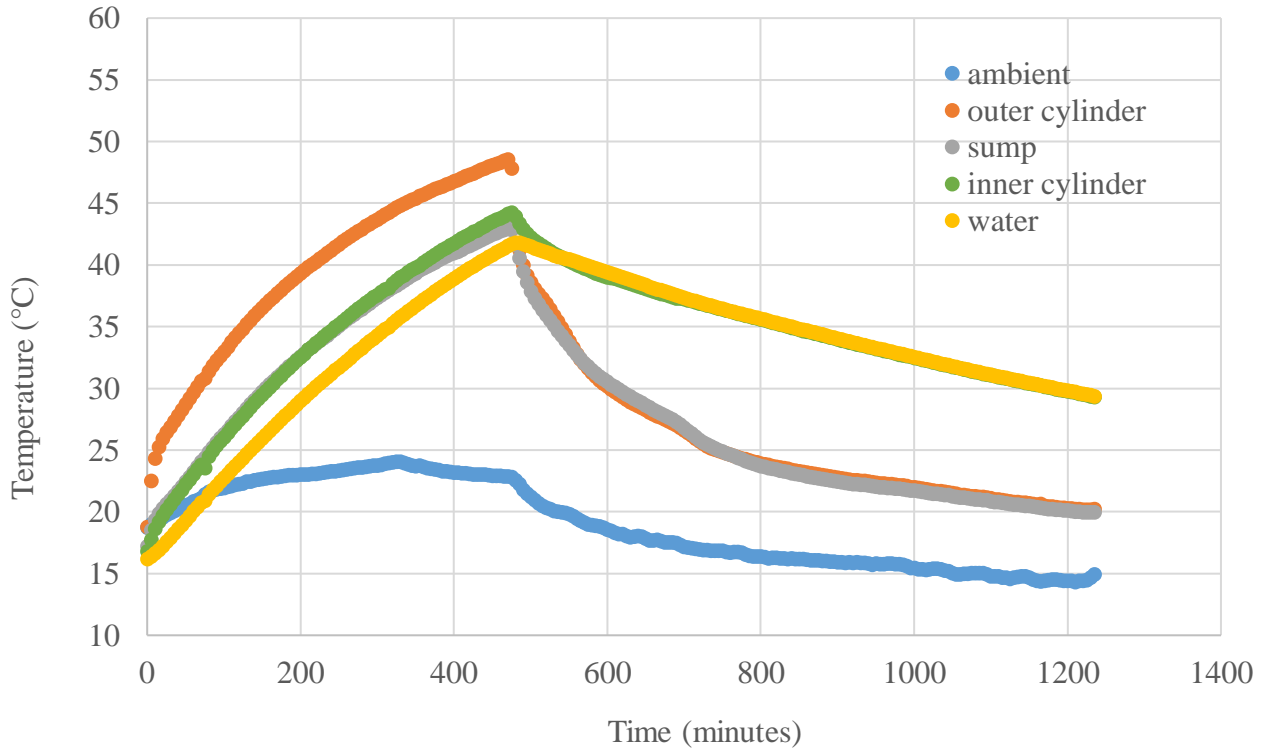
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 394 Figure 7. Measured temperature plots for components in the base line unit a2. (under  $700 \pm 10 \text{ W/m}^2$   
 395 solar simulated radiation during the 6 hour collection period)  
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 398 Figure 8. Measured temperature plots for components in unit a2. with no reflector (under  $694 \pm 10$   
 399  $W/m^2$  solar simulated radiation during the 6 hour collection period)



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 401 Figure 9. Measured temperature plots for components in unit a2. with no vacuum and no reflector  
 402 (under  $696 \pm 10$   $W/m^2$  solar simulated radiation during the 6 hour collection period)



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Figure 10. Measured temperature plots for components in the base line unit c. (under  $715 \pm 10 \text{ W/m}^2$  solar simulated radiation during the 6 hour collection period)

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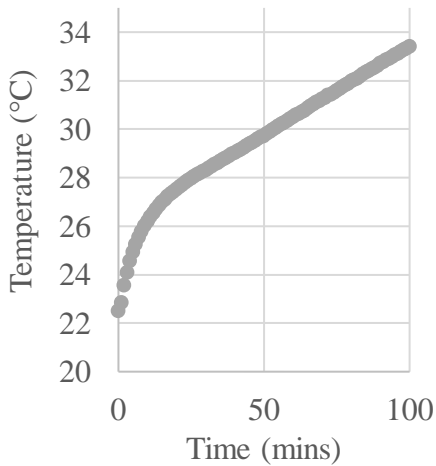
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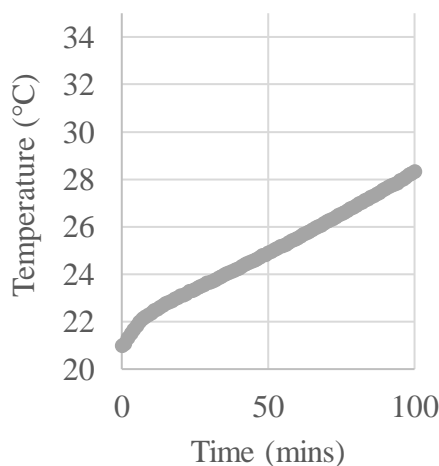
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Initial a2. baseline sump profile



Initial a2. no reflector sump profile



Initial a2. no reflector and vacuum sump profile

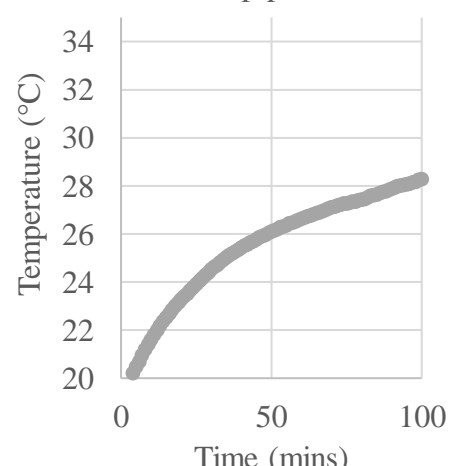


Figure 11. Initial sump temperature plots for a2 units (baseline - left; no reflector - middle; no reflector and no vacuum - right) from figures 7, 8 and 9

All the measured temperature plots (Figures 6 to 10) in each horizontal, double vessel, thermal diode unit (including those not shown) exhibit the same standard profile pattern and differentiation. During

433 the collection phase, there is an initial rapid rise in the (average) outer absorbing cylinder temperature,  
 434 followed by a gentler rise in the sump, inner cylinder and water temperatures.

435 Figures 7 to 9 illustrates the various plots for different unit a2 configurations and figure 11 shows the  
 436 initial sump temperature plots for the 3 units in detail; baseline unit (with reflector and vacuum), unit  
 437 without reflector and unit without vacuum and reflector, extracted from figures 7, 8 and 9. The impact  
 438 of different (or rather lack off) component elements can be observed in figure 11 through the differing  
 439 sump temperature profiles. The removal of the planar reflector at the base of the outer absorbing  
 440 vessel, just below the HTF sump, results a similar sump and water temperature. As there is no  
 441 reflected solar radiation incident on base of the absorber vessel, the sump temperature does not  
 442 initially rise rapidly (exhibiting an almost linear like rise) which results in a slower HTF evaporation  
 443 rate, borne out by the lower collection performances highlighted later in the analysis. Likewise, the  
 444 lack of vacuum also shows a difference in sump and water temperatures. Due to the absence of the  
 445 thermal diode evaporation/condensation heat transfer mechanism, heat transfer to the inner vessel is  
 446 curtailed and so the water temperature rises at a much slower rate, again borne out by lower collection  
 447 performances. During the cool-down phase, the outer vessel surface temperatures rapidly drop away  
 448 towards ambient, but never converge due to radiative heat loss from the inner vessel/water  
 449 maintaining the surface temperature above ambient.

450

#### 451 **Collection performance**

452 The mean collection efficiency  $\eta_{col}$  of the various units over the testing period is given by the ratio  
 453 of the amount of thermal energy collected  $Q_{col}$  to the total energy incident on the aperture  $Q_{ap}$  and  
 454 is shown in Equation 1.

455

$$\eta_{col} = Q_{col} / Q_{ap} = [m_w C_{p,w} (T_{w,f} - T_{w,i})] / (I_{avg} A_{ap} \Delta t) \quad (1)$$

456

457 where  $\Delta t$  is the collection period (seconds) under the solar simulator,  $A_{ap}$  is the collector area ( $m^2$ )  
 458 and  $I_{avg}$  is the constant average light intensity ( $W/m^2$ ) as measured by the pyranometer,  $m_w = \rho V_T$   
 459 is the mass of water in the inner storage vessel (kg),  $\rho$  is the water density ( $kg/m^3$ ),  $V_T$  is the inner  
 460 vessel storage volume ( $m^3$ ),  $C_{p,w}$  is the specific heat capacity of water at constant pressure  
 461 ( $J kg^{-1} K^{-1}$ ) and  $T_{w,i}$  and  $T_{w,f}$  ( $^{\circ}C$ ) are the average initial and average final temperature of the stored  
 462 water.  $T_w$  and  $T_a$  are the average water temperature and average ambient air temperature ( $^{\circ}C$ ),  
 463 respectively.

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Table 2: Collection characteristics for the investigated ICSSWH prototypes

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	Test	Annulus pressure	Irradiation ( $Wm^2$ )	Planar reflector	Cold-start daily collection efficiency (6hrs) ( $\eta_{col}$ )
a1. seam welded cone	No HTF	-952 mb	615	yes	0.27
	Low intensity	-952 mb	615	yes	0.42
	Base case	-951 mb	706	yes	0.47
	No reflector	-953 mb	609	no	0.38
a2. inset seam welded cone	No vacuum	0 mb	692	yes	0.53

	Base case	-955 mb	700	yes	0.57
	No reflector	-943 mb	694	no	0.55
	No vacuum No reflector	0 mb	696	no	0.50
b. PVC cup insert	Base case	-986 mb	715	yes	0.54
c. ABS hub with gasket	Insulated end caps	-980 mb	731	yes	0.61
d. seam welded dish	No HTF film Double cover	-955 mb	661	yes	0.19
	Base case HTF film Double cover	-955 mb	661	yes	0.52
	Vertical HTF film Double cover	-950 mb	694	yes	0.52
e. Acrylic hub with gasket	Base case	-950 mb	730	no	0.61

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Table 2 details the test set parameters and the 6 hour “cold-start” daily collection efficiency ( $\eta_{col}$ ) for a range of investigated ICSSWH prototypes where all tests were initiated under similar conditions of testing procedure, exposure to radiation and ambient temperature ( $\pm 2^\circ\text{C}$  from the vessel water temperature). It is clear that some physical elements are key to the operational performance. The absence of a HTF makes a very big difference to the collection efficiency ( $\eta_{col}$ ), reducing collection by almost half when it is omitted. Removing the reflector reduces  $\eta_{col}$  by between 2 and 9% and the lack of vacuum reduces  $\eta_{col}$  by about 5% in the cases tested. Good insulation on the end caps is also a factor. Units b, c and e had insulation on the polymer end caps, but unit c, had slightly less insulation (and greater surface area projection due to its construction) and exhibited 6% less collection. The lack of end cap insulation is more apparent on performance during the cooldown phase.

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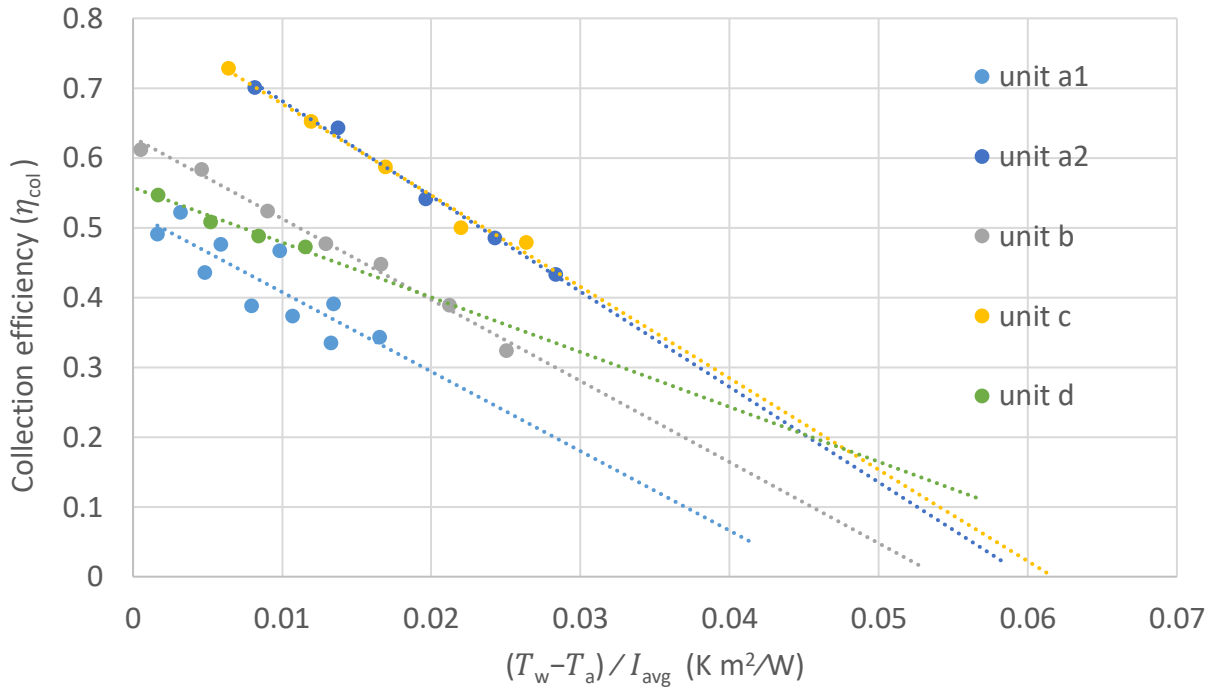
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The thermal output of ICSSWH systems is illustrated according to Tripanagnostopoulos et al (2002) in the form of nominal solar heating efficiency curves where the x-axis is the solar thermal condition  $((T_w - T_a)) / I_{ave}$  and the y-axis is the instantaneous solar thermal collection efficiency ( $\eta_T$ ). On such plots, the y-axis intercept indicates maximum solar thermal efficiency under zero heat loss conditions ( $\eta_T = F \times \tau \times \alpha$  where  $T_w - T_a = 0$ ); the line gradient ( $F \times U_L$ ) represents the overall heat loss coefficient referenced to the absorber area ( $A_{ab}$ ); and the x-axis intercept indicates the stagnation condition. In traditional collectors (flat plate, etc), the y-axis intercept usually gives the collector optical efficiency ( $\eta_{col}$ ) as there is near perfect absorber-to-fluid heat transfer under these conditions (Heat removal factor,  $F > 0.95$ ) and the overall efficiency is determined by the transmission absorption product ( $\tau\alpha$ ). However, in previous thermal diode studies, the heat removal factor has been observed to be  $F < 0.95$  due to the resistance of the thermal diode.



491

492 Figure 12. Nominal solar heating efficiency for various double vessel ICSSWHs (under constant  
 493 solar simulated radiation during the 6 hour collection period)  
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Table 3: Collection characteristics for the ICSSWH prototypes shown in Figure 12

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	Test variant	Cold-start daily collection efficiency (6hrs) ( $\eta_{col}$ )	$F(\tau\alpha)$	$(F \times U_L)$	Operational collection efficiency ( $\eta_{col(0.035)}$ ) at $(T_w - T_a) / I_{ave} = 0.035 \text{ m}^2 \text{ K/W}$
a1. seam welded cone	Base case	0.47	0.52	-11.39	0.12
a2. inset seam welded cone	Base case	0.57	0.82	-13.66	0.34
b. PVC cup insert	Base case	0.54	0.63	-11.63	0.22
c. ABS hub with gasket	Base case	0.61	0.81	-13.11	0.35
d. seam welded dish	Base case with HTF film Double cover	0.52	0.56	-7.86	0.28

497

498 Figure 12 and Table 3 detail the ‘simple’ linear nominal solar heating efficiency plots and  
 499 corresponding mathematical characterisation for the 5 base case, double vessel ICSSWHs (under  
 500 constant solar simulated radiation during the 6 hour collection period). Base case relates to units  
 501 having HTF wetting the evaporator, annulus cavity being under vacuum pressure, and the prototype  
 502 being fitted with a reflector, insulated end caps and a single transparent cover (as listed in Tables 1  
 503 and 2) for that test series. Amongst the performance metrics used in Table 3 are the “cold-start” daily  
 504 collection efficiency and the “operational” collection efficiency. The former describes the overall

505 daily collection efficiency when the test is commenced with a stored water temperature equal to the  
506 ambient temperature. The latter is intended to be representative of a notional typical operating  
507 condition  $(T_w - T_a) / I_{ave} \approx 0.035 \text{ m}^2 \text{ K/W}$  as proposed by Pugsley et al. [2020a] who established a  
508 benchmark target of  $\eta_{col} \approx 60\%$  representing a performance broadly comparable to basic conventional  
509 solar thermal collectors.

510  
511 Unit a2. inset seam welded cone and unit c. ABS hub with gasket, are observed to offer the best  
512 collection performance with cold-start daily collection efficiencies ( $\eta_{col}$ ) of 57% and 61%,  
513 respectively. Similarly, their zero-loss performances ( $F(\tau\alpha)$ ) are 82% and 80%, respectively. Both  
514 units (from a fabrication and assembly perspective) had superior materials and techniques and so the  
515 removal of cover 'scuffs', better application of matt black coatings, capillary mat contact and vessel  
516 bracing structures did in part lead to better  $F(\tau\alpha)$  values. The improved mean collection efficiencies  
517 highlight the benefit of design enhancements to remove unnecessary end cap heat losses. This is  
518 explored later in the analysis. Unit d. seam welded dish, suffers from a lower  $F(\tau\alpha)$ , but benefits from  
519 a much shallower gradient ( $F \times U_L$ ) indicating a much better capture of collected and converted heat.  
520 This is probably related to the better  $V/A_{ab}$  ratio due to the larger thermal store as well as superior  
521 heat transfer properties of the thermal diode. Unit d. had a  $V/A_{ab}$  ratio of  $0.038 \text{ m}^3/\text{m}^2$  and a falling  
522 film thermal diode whilst units a2. and c. had much lower  $V/A_{ab}$  ratio at around  $0.028 \text{ m}^3/\text{m}^2$  and  
523 featured passive thermal diodes based on capillary matting.

524  
525 In terms of the operational collection efficiency at  $(T_w - T_a) / I_{ave} \approx 0.035 \text{ m}^2 \text{ K/W}$ , again, units a2. and  
526 c. exhibited the best performance at 34% and 35%, respectively. Unit d. with a low  $F(\tau\alpha)$  but better  
527 ( $F \times U_L$ ) is a closer competitor achieving 28%. Compared to the collection performance of other  
528 ICSSWHs, the current prototypes perform quite well. Pugsley et al [2020a] provides a comprehensive  
529 catalogue of key performance indicators for many ICSSWH research conducted around the world  
530 over the past 2 decades. The authors report that the minimum operational collection efficiency ( $\eta_{col}$  at  
531  $(T_w - T_a) / I_{ave} \approx 0.035 \text{ m}^2 \text{ K/W}$ ) reported in literature was 28%, the maximum was 59% and the  
532 average was 43%. Specifically compared to other double vessel, thermal diode systems, the  
533 performance of the presented units is reasonably good. A horizontal cylindrical tank-in-tank, selective  
534 coating, two-part CPC reflector, single glazed, insulated unit presented by Souliotis et al [2017]  
535 presents a mean collection efficiency of 31%. Muhumuza et al [2019b] report upon double vessel  
536 units with an aluminium outer vessel and a stainless steel outer vessel with cold-start daily collection  
537 efficiencies ( $\eta_{col}$ ) of 47.4% and 51.6%, respectively. Pugsley et al [2020a] translates the performance  
538 to operational collection efficiencies of 28% and 31%, respectively. Follow on work by Muhumuza  
539 [2020] for their Asymmetric Formed Reflector with Integrated Collector and Storage (AFRICaS)  
540 system, states zero-loss performance of 48.5% and an operational efficiency of around 37%. The  
541 zero-loss performances of the single covered BIPV-PLVTD-ICSSWH collector in Pugsley et al  
542 [2020b] is 60% and their measured performances at the benchmark solar thermal condition ( $0.035$   
543  $\text{ m}^2 \text{ K/W}$ ) is 49%.

544  
545 Figure 13 compares the collector characterisation for unit a2. under different operating conditions to  
546 assess the impact of the vacuum, reflector and end insulation.

- 547
- 548 • Test 5 - unit a2. – atmospheric with HTF and reflector
  - 549 • Test 6 - unit a2. – evacuated with HTF and reflector
  - 550 • Test 8 - unit a2. – evacuated with HTF but no reflector
  - 551 • Test 9 - unit a2. – evacuated with HTF, reflector but no end cap insulation
  - 552 • Test 10 - unit a2. – atmospheric with HTF but no reflector
- 553  
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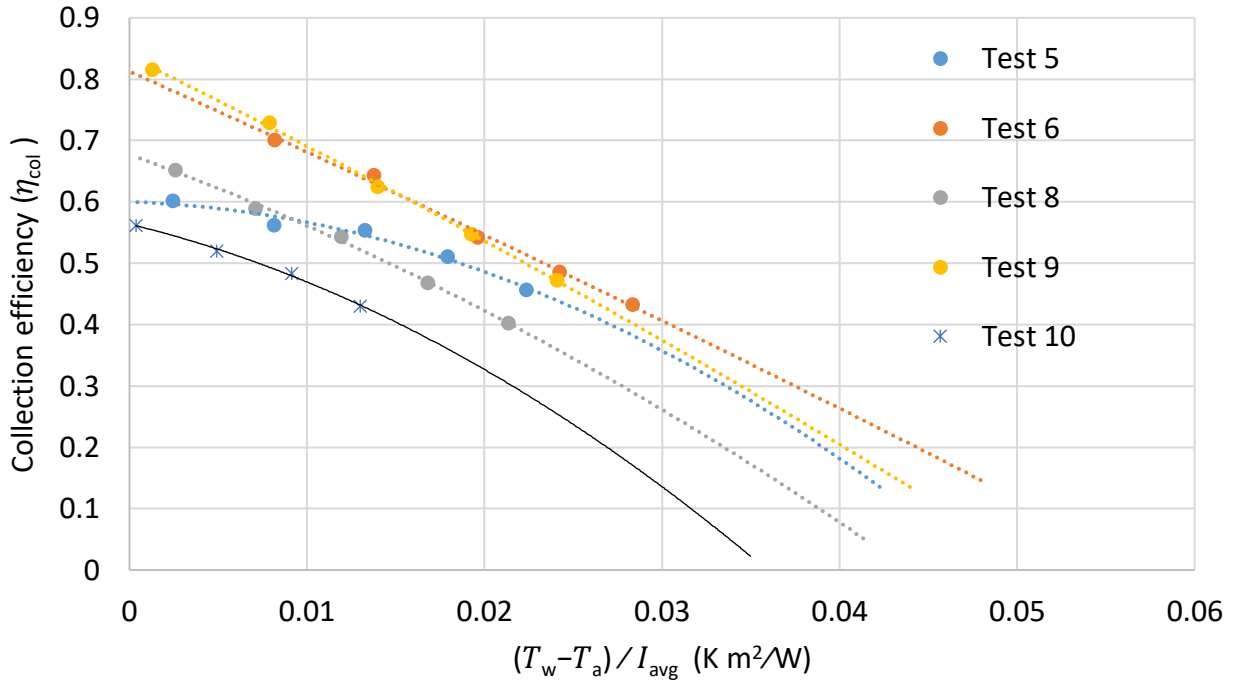


Figure 13. Nominal solar heating efficiency for various unit a2 ICSSWHs (under constant solar simulated radiation during the 6 hour collection period)

Tests 6 and 9 are identical bar the use of end cap insulation. Both variants exhibit superior collection efficiency curves, but the shallower gradient ( $F \times U_L$ ) observed in Test 6 indicates the importance of sufficient end cap insulation to improve conversion of captured heat to the store. Tests 6 and 8 are identical bar the use of the planar reflector. The difference is a consistent 12% points across the testing period, reinforcing the observation from the Table 2 test comparisons which indicated that the reflector could have an influence of between 2 and 9% on the daily collection efficiency. Tests 5 and 10 have no vacuum and the drop in collection performance is clear. The  $F(\tau\alpha)$  values are much lower, due to the significant resistance to heat flow across the annulus without the phase change process. Again, the use of the reflector is seen to have a positive impact, even when no vacuum is applied.

### Thermal retention performance

Concerning thermal losses  $U_{sys}A_{ab}$  (W/K) during the overnight heat loss period, Equation 2 is used to estimate the coefficient of water storage thermal losses assuming an idealised exponential temperature decay.

$$U_{sys}A_{ab} = [(\rho C_{p,w} V_T) / \Delta t_N] \cdot \ln[(T_{i,N} - T_{a,N}) / (T_{f,N} - T_{a,N})] \quad (2)$$

where  $\Delta t_N$  refers to time interval of the considered heat loss period in seconds and  $T_{a,N}$  the corresponding average ambient air temperature. Temperatures  $T_{i,N}$  and  $T_{f,N}$  are initial and final hot water temperatures during each interval of the cooling period respectively. The heat retention efficiency  $\eta_{ret}$  of the various units during the cooling period is shown in Equation 3.

$$\eta_{ret} = [(T_{f,N} - T_{a,N}) / (T_{i,N} - T_{a,N})] \times 100 \quad (3)$$

Combining Equations 2 and 3 can simplify  $U_{sys}A_{ab}$  (W/K) to Equation 4

$$U_{sys}A_{ab} = [(\rho C_{p,w}V_T)/\Delta t_N] \cdot \ln[1/\eta_{ret}] \quad (4)$$

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Table 4 lists the heat loss characteristics for a range of the investigated ICSSWH prototypes. As stated by Pugsley et al [2020a] comparisons between heat loss coefficients must be made with caution because there is a lack of consistency concerning definitions for reference areas (such as the absorber, aperture, or whole envelope area) and because  $U_{sys}A_{ab}$  inherently increases in proportion to the physical size of the ICSSWH. To enable fair comparisons in relation to heat retention performance in ICSSWH units, Pugsley et al [2020a] proposed 2 new heat loss coefficient metrics, one of which is referenced to stored water volume ( $U_{sys}A_{ab}/V$ ). Using this metric eliminates duration issues associated with  $\eta_{ret}$  and problems with comparing large volume units with small volume units, and those with strange aspect ratios.

Table 4: Heat loss characteristics for the investigated ICSSWH prototype

	Test	Heat retention efficiency (12 hrs) ( $\eta_{ret}$ )	Heat retention efficiency (18 hrs) ( $\eta_{ret}$ )	Heat loss coefficient $U_{sys}$ (W/m <sup>2</sup> K)	Heat loss coefficient $U_{sys}A_{ab}$ (W/K)	Heat loss coefficient $U_{sys}A_{ab}/V$ (W/m <sup>3</sup> K)
a1. seam welded cone	No HTF, vacuum	0.57	0.44	1.58	1.42	55.17
	Low intensity	0.52	0.37	1.83	1.65	63.95
	Base case	0.51	0.35	1.90	1.71	66.20
	No reflector	0.53	0.38	1.78	1.56	60.47
a2. inset seam welded cone	No vacuum	0.52	0.39	1.73	1.53	63.18
	Base case	0.53	0.39	1.64	1.46	60.17
	No reflector	0.52	0.39	1.65	1.46	60.45
	No end insulation	0.45	0.31	2.04	1.81	74.75
	No vacuum No reflector	0.53	0.38	1.77	1.57	64.75
b. PVC cup insert	Base case	0.52	0.36	1.90	1.94	62.89
c. ABS hub with gasket	Insulated end caps	0.62	0.48	1.32	1.36	47.05
d. seam welded dish	No HTF film No cover	0.72	0.63	1.31	1.44	30.25
	No HTF film single cover	0.74	0.64	1.22	1.34	28.17
	No HTF	0.77	0.69	1.02	1.12	23.64

	film Double cover					
	Vertical Double cover	0.83	0.76	0.79	0.87	18.29
e. Acrylic hub with gasket	Base case	0.60	0.46	1.32	0.83	50.12

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Overall unit d. seam welded dish exhibited the thermal heat retention efficiencies ( $\eta_{ret}$ ) and corresponding system thermal loss coefficients. The unit d. tests shown in Table 4 are units without any HTF (but with vacuum). As seen previously, the lack of HTF seriously impedes the ability to collect absorbed solar radiation during the collection phase. During cooldown, however, the lack of vapour in the annulus reduces reverse mode transference. An added factor is the  $V/A_{ab}$  ratio as unit d has much higher ratio compared to the other units studied. The evacuated, double vessel and bare absorber had a 12 hour thermal heat retention efficiency ( $\eta_{ret}$ ) of 72% (63% 18 hours) ( $U_{sys}A_{ab}/V = 30 \text{ W/m}^3\text{K}$ ), highlighting the potential performance that the thermal diode can achieve. Adding successful layers of transparent cover improves the retention yet further; single ( $\eta_{ret}$ ) = 74% (64% 18 hours) ( $U_{sys}A_{ab}/V = 28 \text{ W/m}^3\text{K}$ ), double ( $\eta_{ret}$ ) = 77% (69% 18 hours) ( $U_{sys}A_{ab}/V = 24 \text{ W/m}^3\text{K}$ ). Interestingly, mounting the unit vertically (whilst problematic in achieving a wetted film during collection) improves heat retention yet further at ( $\eta_{ret}$ ) = 83% (76% 18 hours) ( $U_{sys}A_{ab}/V = 18 \text{ W/m}^3\text{K}$ ). This was a characteristic observed in the vertical single glazed BIPV-PLVTD-ICSSWH reported by Pugsley et al [2020b] which had an 18 hour heat retention efficiency of 71% and  $U_{sys}A_{ab}/V = 23 \text{ W/m}^3\text{K}$ . The primary reason for the reduced heat loss is due to vessel stratification and the radiative view factor coupled with top end insulation.

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The best horizontal unit, with lower  $V/A_{ab}$  ratios, was unit c. with ( $\eta_{ret}$ ) of 62% over 12 hours (48% 18 hours) and heat loss coefficient referenced to stored water volume of  $U_{sys}A_{ab}/V = 47 \text{ W/m}^3\text{K}$ . A big factor in the retention performance was the low thermal conductance of the end caps (ABS). Units a1 and a2 have metal to metal welded end caps thus creating an unbroken direct conductive path from the inner vessel to the outer vessel, thus exacerbating heat loss during cool-down. Three tests highlight this thermal loss path perfectly; unit a1. base case, unit a2. base case, unit a2. with no end cap insulation. Comparing ( $\eta_{ret}$ ) unit a1 = 51% (35% 18 hours) and  $U_{sys}A_{ab}/V = 66 \text{ W/m}^3\text{K}$ ; unit a2 = 53% (39% 18 hours) and  $U_{sys}A_{ab}/V = 60 \text{ W/m}^3\text{K}$ ; unit a2 (no end cap insulation) = 45% (31% 18 hours) and  $U_{sys}A_{ab}/V = 75 \text{ W/m}^3\text{K}$ . Unit a1 and unit a2 only differ with regards to the end welding configuration, a1. utilises a simple edge seam whilst a2. incorporates a more complex jointing design, to increase the length of conductive travel. However, this improvement is small when the impact of end insulation is considered. It is therefore very important to ensure that sufficient insulation (either end cap material or added) is used to reduce vessel end losses.

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By way of comparison, Pugsley et al [2020a] state that averaged heat loss coefficient referenced to stored water volume taken from the body of material published in this area is  $U_{sys}A_{ab}/V = 49 \text{ W/m}^3\text{K}$  with the ideal being  $10 \text{ W/m}^3\text{K}$ . The horizontal cylindrical tank-in-tank, unit mounted in a two-part CPC reflector investigated by Souliotis et al [2017] had a  $U_{sys}A_{ab}/V = 38 \text{ W/m}^3\text{K}$ . Muhumuza et al [2019b] investigated the heat retention of several thermal diode ICSSWH units with different lengths and aluminium and stainless steel vessels. Values of  $U_{sys}A_{ab}/V = 88 \text{ W/m}^3\text{K}$ ,  $59 \text{ W/m}^3\text{K}$  and  $46 \text{ W/m}^3\text{K}$  are presented for a 1m long aluminium unit, 1m long stainless steel unit and 1.6m long stainless steel unit, respectively.

641 **Diode and overall performance**

642 Diodicity is a dimensionless measure of thermal rectification and is a useful performance measure for  
 643 thermal diodes. It is commonly defined as a scalar based on the apparent thermal conductivities (k)  
 644 of the device in forward (f) heat transfer mode and reverse (r) insulation modes. Pugsley et al. [2019,  
 645 2020a] proposed and validated calculation methods and a parametric design approach for evaluating  
 646 the thermal resistances exhibited by a PLVTD ICSSWH and developed a working prototype. Heat  
 647 transfer through the PLVTD is driven by the difference in temperature between the two plates  
 648 (evaporator (T<sub>1</sub>) and condenser(T<sub>2</sub>)). The transferred thermal power (q<sub>12</sub>), the transferred heat flux  
 649 (q<sub>12</sub>/A<sub>ab</sub>) and the overall thermal transmission (U<sub>fr</sub>) through the PLVTD are related according to  
 650 Equation 5.

$$U_{fr} = q_{12}/(A_{ab}\Delta T_{12}) \quad (5)$$

652  
 653 In forward mode, the dominant thermal transmission mechanism is latent heat transfer associated  
 654 with working fluid liquid-vapour-liquid phase changes and the net transfer of working fluid vapour  
 655 mass across the cavity between the two plates. In reverse mode, thermal transmission occurs via  
 656 several different mechanisms including working fluid convection and gaseous conduction; radiation  
 657 between the plates; and conduction through the external envelope and end supporting structural  
 658 elements.

660 Table 5: Overall thermal transmission in forward (f) heat transfer mode and reverse (r) insulation  
 661 modes for selected welded ICSSWH prototypes  
 662

	Test	U <sub>f</sub> (W/m <sup>2</sup> K)	U <sub>r</sub> (W/m <sup>2</sup> K)	24 hour diurnal efficiency (η <sub>col 24</sub> )
a1. seam welded cone	No HTF, vacuum	6.30	2.26	0.12
	Low intensity	20.56	2.58	0.16
	Base case	23.96	2.62	0.17
	No reflector	19.59	2.66	0.15
a2. inset seam welded cone	No vacuum	18.17	2.43	0.21
	Base case	36.11	2.00	0.22
	No reflector	31.41	2.00	0.21
	No end insulation	35.43	2.45	-
	No vacuum, no reflector	21.42	2.86	0.19
d. seam welded dish	No HTF film, no cover	4.42	1.47	0.12
	No HTF film, single cover	4.09	2.22	0.12
	No HTF film, double cover	4.60	1.98	0.13

663  
 664 The thermal transmission in both the forward heat transfer and reverse insulation modes shown in  
 665 Table 5, broadly mirror the results observed in collection and heat retention efficiencies. Unit a2, the  
 666 inset seam welded cone design with optimal operating features had the best diurnal thermal (η<sub>col 24</sub>)  
 667 efficiency (product of the collection and retention efficiencies over the 24 hour period) at 22% as  
 668 expected given the high forward heat transfer (36.1 W/m<sup>2</sup>K) and low reverse insulation mode (2.0  
 669 W/m<sup>2</sup>K). Unit d, the seam welded dish design (all presented forms), highlights the importance of the  
 670 HTF in the forward mode. At around 4 W/m<sup>2</sup>K, it exhibits the lowest forward mode heat transfer  
 671 value, resulting in a low collection efficiency (see Table 2). The lack of HTF, however marginally  
 672 improves (or rather reduces) heat flow in the reverse mode, having the lowest observed value (1.47  
 673 W/m<sup>2</sup>K) of any of the units tested, although there is some deviation when covers are introduced. It is  
 674 not entirely clear why this is, but it is assumed to be due to the very low tank temperatures and their

675 influence by small changes in the ambient during cooldown and influence of kinematic viscosity in  
676 the gas in the annulus. The collective diurnal thermal efficiency ( $\eta_{col\ 24}$ ) for all 3 versions of unit d,  
677 with no HTF is very low at 12 to 13%. From studies on vertical, pumped film units (based on unit  
678 design d) cold-start daily collection efficiencies and 18 hour heat retention efficiencies of 52% (table  
679 2) and 76% (table 4), respectively are achievable, giving an effective diurnal thermal ( $\eta_{col\ 24}$ )  
680 efficiency of 39%. Clearly, there is more that can be done to improve the overall performance of the  
681 the passive horizontal units investigated in this study and it is this goal that drives the authors in the  
682 continued work in this area.

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684

## 685 **6.0 CONCLUSION**

686 Integrated Collector Storage Solar Water Heaters (ICSSWH) are simple, low cost solar devices.  
687 ICSSWHs have developed significantly and they have the potential to broaden the scope of current  
688 small-scale solar hot water systems for single and multi-family dwellings, particularly in warm  
689 climates. Their continual development and improvement as simple, reliable and low-cost  
690 configurations is essential to achieve increased interest in the general solar heat market globally.  
691 Many recent studies have focused on the improvement of the thermal performance of ICSSWH  
692 systems through the development of the thermal diode ICSSWH based on a double vessel concept.

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694 This paper presents for the first time a systematic evaluation of horizontal ICSSWH prototypes  
695 developed at the Centre for Sustainable Technologies (CST) at Ulster University. The study  
696 introduces the use the novel, patented double vessel, thermal diode feature (to enhance heat retention  
697 during non-collection periods) within a range of horizontal unit configurations that have evolved from  
698 the initial investigations around liquid-vapour phase change materials (PCM) and very low annulus  
699 cavity pressures in ICSSWHs. The energy performance evaluation and characterisation of different  
700 prototype designs under solar simulated experimental conditions has been conducted and the  
701 subsequent parametric analysis presented.

702

703 A balance between performance and physical/operational considerations is thus necessary. Careful  
704 design of the components and configuration, best selection and use of materials, optimal metrics, such  
705 as the volume/area ratio and designs tailored to meet climatic, aesthetic, infrastructural and economic  
706 operational conditions should always be adhered to. This work demonstrates that new and innovative  
707 design solutions that are readily achievable (in materials, fabrication and assembly) can be formulated  
708 to improve the performance of simple double-vessel cylindrical ICSSWHs. The work highlights the  
709 importance of augmenting heat transfer across the annulus cavity and demonstrates the improvement  
710 of the cold-start daily collection efficiency from around ( $\eta_{col}$ ) of 20% (no HTF), to around 50% (with  
711 HTF but no vacuum), and >55% when the annulus is evacuated to remove non-consensable gases and  
712 form a liquid-vapour phase change thermal diode. Annulus thermal diode heat transfer coefficients  
713 of around ( $U_{fr}$ ) 35 W/m<sup>2</sup>K in forward mode and 2W/m<sup>2</sup>K in reverse mode have been demonstrated.  
714 Lower annulus heat transfer coefficients (1.5W/m<sup>2</sup>K) are achievable when there is no HTF in the  
715 annulus, but the relatively modest improvement in overnight heat retention performance achieved is  
716 negligible when compared to the dramatic loss of solar collection efficiency. This is clearly apparent  
717 when comparing diurnal thermal efficiencies which are typically limited to around ( $\eta_{col\ 24}$ ) 12% when  
718 there is no HTF but can reach 22% when HTF and annulus vacuum are implemented.

719

720 The importance of insulating the ends of the vessels has also been demonstrated with uninsulated  
721 ends typically introducing significant overnight heat losses. Heat retention performances of  
722 ( $U_{sys}A_{ab}/V$ ) 60 W/m<sup>3</sup>K (12 hour heat retention of 53%) are achievable when end caps are insulated  
723 but performances are limited to 75 W/m<sup>3</sup>K (12 hour heat retention of 45%) if the ICSSWH has  
724 uninsulated metal ends. Increasing the conductive path lengths between outer and inner vessels has  
725 been shown to increase both solar collection and heat retention efficiencies significantly, improving

726 diurnal thermal efficiency from ( $\eta_{col\ 24}$ ) 17% in the case of a conventional metal end cap to 22%  
727 when a novel inset welded design was employed.

728

729 Common to most solar thermal collectors, provision of one or more transparent covers are required  
730 to reduce absorber heat loss, although optical losses need to be considered, especially when double-  
731 cover solutions are proposed. Augmenting a thermal diode double-vessel cylindrical ICSSWHs using  
732 a simple, low cost, planar reflector has been shown to be very effective, increasing collection  
733 efficiencies by up to 12%. The best collection efficiency achieved for a thermal diode double-vessel  
734 cylindrical ICSSWH with single transparent cover, planar reflector, insulated inset welded metal end  
735 caps (prototype a2.) was ( $\eta_{col}$ ) 57%. A similar unit but with plastic end caps (prototype c.) achieved  
736 ( $\eta_{col}$ ) 61%. Corresponding operational collection efficiencies at  $(T_w - T_a) / I_{ave} \approx 0.035 \text{m}^2 \text{K/W}$  were  
737 found to be ( $\eta_{col(0.035)}$ ) 34 and 35% respectively, similar to many of the best double-vessel  
738 cylindrical ICSSWHs reported in the literature but without requiring a complicated, bulky, and  
739 expensive parabolic reflector. Corresponding overnight heat retention coefficients achieved by  
740 prototypes a2. and c. were  $U_{sys}A_{ab}/V = 60 \text{ W/m}^3 \text{K}$ . (18 hour heat retention of 39%) and  $U_{sys}A_{ab}/V =$   
741  $47 \text{ W/m}^3 \text{K}$  (18 hour heat retention of 48%) respectively, which is better than most devices reported  
742 in the literature.

743

744 Overall, this study has shown that design enhancements such as inset welded design end caps,  
745 transparent covers, targeted insulation, end cap combined sealing and mounting assembly, integrated  
746 into an already innovative thermal diode operating concept can provide a solar water heating system  
747 that has a relatively good (comparative) performance. The optimised prototype to be developed from  
748 this study for subsequent field trials will use the fully hermetically sealed, metal to metal welded inset  
749 cap end configuration, with optimised HTF and vacuum capacities, using tailored end cap insulation  
750 and reflector elements. This design can deliver a 24 hour diurnal efficiency ( $\eta_{col\ 24}$ ) of 22% (84%  
751 greater than the base case welded unit) but still presents a simple, mass manufacturable design in both  
752 materials and components, requiring no parasitic pumping or control power to operate, still easy to  
753 install and connect but crucially offers the potential for much lower costs and therefore more cost-  
754 effective hot water for many in the developing world.

755

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761

## 762 Nomenclature

763	$A_{ab}$	absorber area ( $\text{m}^2$ )
764	$A_{ap}$	collector area ( $\text{m}^2$ )
765	$C_{p,w}$	specific heat capacity of water at constant pressure ( $\text{J kg}^{-1} \text{K}^{-1}$ )
766	$F$	heat removal factor
767	$I_{avg}$	constant average light intensity ( $\text{W/m}^2$ )
768	$m_w$	mass of water in the inner storage vessel (kg)
769	$q_{12}$	transferred thermal power (W)
770	$Q_{col}$	thermal energy collected (J)
771	$Q_{ap}$	total energy incident on the aperture (J)
772	$T_{w,i}$	average initial temperature of the stored water ( $^{\circ}\text{C}$ )
773	$T_{w,f}$	average final temperature of the stored water ( $^{\circ}\text{C}$ )
774	$T_w$	average water temperature ( $^{\circ}\text{C}$ )
775	$T_a$	average ambient air temperature ( $^{\circ}\text{C}$ )

776	$T_{a,N}$	average ambient air temperature of the time interval ( $^{\circ}\text{C}$ )
777	$T_{i,N}$	final hot water temperature ( $^{\circ}\text{C}$ )
778	$T_{f,N}$	final hot water temperature ( $^{\circ}\text{C}$ )
779	$(T_w - T_a) / I_{ave}$	solar thermal condition ( $\text{Km}^2/\text{W}$ )
780	$U_{sys}$	system thermal loss coefficient ( $\text{W}/\text{m}^2\text{K}$ )
781	$U_{sys}A_{ab}$	heat loss coefficient per area of absorber ( $\text{W}/\text{K}$ )
782	$U_{fr}$	overall thermal transmission ( $\text{W}/\text{m}^2\text{K}$ )
783	$U_f$	thermal transmission in the forward (collection) mode ( $\text{W}/\text{m}^2\text{K}$ )
784	$U_r$	thermal transmission in the reverse (retention) mode ( $\text{W}/\text{m}^2\text{K}$ )
785	$(V/A_{ab})$	volume-to-absorber area ratios ( $\text{m}^3/\text{m}^2$ )
786	$V$ or $V_T$	inner vessel storage volume ( $\text{m}^3$ )
787		
788	Greek	
789	$\Delta t$	collection period (seconds)
790	$\Delta t_N$	time interval of the considered heat loss period (seconds)
791	$\rho$	water density ( $\text{kg}/\text{m}^3$ )
792	$\eta_{col}$	mean collection efficiency
793	$\eta_{ret}$	thermal heat retention efficiency
794	$\eta_T$	instantaneous solar thermal collection efficiency
795	$\eta_{col(0.035)}$	operational collection efficiency
796	$\eta_{col 24}$	diurnal thermal efficiency
797	$(\tau\alpha)$	transmittance- absorptance product

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