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A Bayesian inverse dynamic approach for impulsive wave loading reconstruction: Theory, Laboratory and Field application

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14

15 Abstract

16 The measurement of wave forces acting on marine structures is a complicated task, both during physical experiments and, even more so, in the field. Force transducers adopted in laboratory experiments require a 17 18 minimum level of structural movement, thus violating the main assumption of fully rigid structure and 19 introducing a dynamic response of the system. Sometimes the induced vibrations are so intense that they 20 completely nullify the reliability of the experiments. On-site, it is even more complex, since there are no 21 force transducers of the size and capacity able to measure such massive force intensity acting over the very 22 large domain of a marine structure. To this end, this investigation proposes a Bayesian methodology aimed 23 to remove the undesired effects from the directly (laboratory applications) or indirectly (field applications) 24 measured wave forces. The paper presents three applications of the method: i) a theoretical application on 25 a synthetic signal for which MATLAB® procedures are provided, ii) an experimental application on 26 laboratory data collected during experiments aimed to model broken wave loading on a cylinder upon a 27 shoal and iii) a field application designed to reconstruct the wave force that generated recorded vibrations 28 on the Wolf Rock lighthouse during Hurricane Ophelia. The proposed methodology allows the inclusion of 29 existing information on breaking and broken wave forces through the process-based informative prior 30 distributions, while it also provides the formal framework for uncertainty quantification of the results 31 through the posterior distribution.

Notable findings are that the broken wave loading shows similar features for both laboratory and field data. The load time series is characterised by an initial impulsive component constituted by two peaks and followed by a delayed smoother one. The first two peaks are due to the initial impact of the aerated front and to the sudden deceleration of the falling water mass previously upward accelerated by the initial impact. The third, less intense peak, is due to the interaction between the cylinder and remaining water mass carried by the individual wave.

Finally, the method allows to properly identify the length of the impulsive loading component. The implications of this length on the use of the impulse theory for the assessment or design of marine structures are discussed.

- 42 *Keywords:* Broken and breaking wave force; Dynamic response of marine structures; Inverse method;
- 43 Bayes' theorem; Physical modelling
- 44

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68 List of symbols

- 69 $d_{(t)}$: displacement of the mass or measured force;
- 70 $h_{(t-\tau)}$ or IRF: unit-impulse response functions;
- 71 $F_{(\tau)}$: external system perturbation;
- 72 *M*: mass (or equivalent mass) of the modelled body;
- 73 *k*: dimensional system stiffness;
- 74 *c*: the dimensional system viscous damping coefficient;
- 75 ω_n : system natural frequency;
- 76 ω_d : system damped natural frequency;
- 77 ζ : system damping ratio;
- 78 *t*: time;
- 79 *d*: recorded force response time vector;
- 80 *m*: unknown external load time vector;
- 81 **G**: Toeplitz matrix representing the convolution operation;
- 82 *t*: time vector;
- 83 *C_D*: data covariance matrix;
- 84 $q(\boldsymbol{m}|\boldsymbol{d})$: posterior distributions;

- $p(\mathbf{m})$: prior distributions;
- $f(\boldsymbol{d}|\boldsymbol{m})$: conditional probability;
- m_{prior} : prior distributions expected value;
- C_M : prior distribution covariance matrix;
- *V*: right singular vector matrices;
- **U**: left singular vector matrices;
- *S*: singular values matrix;
- *I*: identity matrix;
- C_R : prior distributions correlation matrix;
- $C_{M,R}$: non-diagonal prior covariance matrix;
- h_r : response of the laboratory model to the force exerted by the impact hammer;
- h_h : force exerted by the impact hammer;
- G_h : Toeplitz matrix representing the convolution operation when the exerted impact hammer force is
- 98 known and the system IRF is unknown;
- **R**: matrix resulting from the QR decomposition;
- 100 H_{m0}: spectral significant wave height;
- 101 H_{m0MAX}: maximum recorded significant wave height;
- 102 T_P: spectral peak wave period;
- T_s : spectral significant wave period, $T_s = T_P / 1.07$;
- T_m = spectral mean wave period T_s = T_P /1.19;
- 105 d_P: spectral peak wave direction;
- *CH*_i: accelerometer *i*th signal;
- 107 H_{0.21%}: wave height with exceedance probability equal to 0.21%

109 **1. Introduction**

110 Impulsive loading due to a breaking wave or to the initial impact of a broken wave is of great interest for the design of offshore and coastal structures. The transient nature of this load, relatively short duration 111 (e.g. some 0.02 s (Goda et al., 1966)) and high intensity, makes it of great interest not only from the 112 113 hydraulic point of view but also from the structural one, Dermentzoglou et al. (2020). The time domain 114 representation of impulsive loading is characterised by sharp shapes that are not adequate to properly 115 highlight its particular nature and dangerousness. However, a frequency domain approach better serves to 116 present how the content of energy within an impulsive load can be dangerous for every kind of structure. 117 Indeed, the energy is spread among a large range of frequencies (theoretically from 0 to ∞ , e.g. Figure 1) so 118 that the risk for induced resonance, and consequently, amplification of the effective load, is significant. 119 Figure 1 shows, through the well-known Kronecker delta, these phenomena wherein the upper panel 120 presents the time series of the Kronecker delta and the lower panel its Hilbert-Huang spectrum (HHs) with 121 energy spreading through all the analysed frequencies.



122 123

Figure 1 (a) Theoretical (Kronecker delta) impulsive load and (b) its Hilbert-Huang spectrum (HHs).

124 This is true not only for on-site conditions, where the amplification of the effective load can be detrimental for the structure integrity (Serinaldi and Cuomo, 2011), but also when the impulsive wave force has to be 125 126 measured in a hydraulic laboratory. As a result, the measurement of wave forces acting on marine 127 structures is a complicated task, both during physical experiments and, even more so, in the field. Force 128 transducers adopted in laboratory experiments require a minimum level of structural movement, thus 129 violating the main assumption of fully rigid structure and introducing a dynamic response of the system 130 that masks the hydrodynamic load (Dassanayake et al., 2019a). On-site, it is even more complex, since 131 there are no force transducers of the size and capacity able to measure such massive force intensity acting 132 over the very large domain of a marine structure. Field wave pressures have been measured to determine 133 the overall loading, producing benchmark information for understanding the interaction between wave and 134 structures (Bullock et al., 2007). Wave pressures have been measured with success in several experimental 135 campaigns (Cuomo et al., 2010; Cuomo et al., 2007; de Almeida and Hofland, 2020; de Almeida et al., 2019; 136 Stagonas et al., 2016); however, the overall description of the total wave forces is affected by several 137 assumptions and possible inaccuracies in the spatio-temporal integration of those pressures (Lamberti et

al., 2011; Martinelli et al., 2018). Therefore a force reconstruction method is required with its inherent
downsides due to the solution of the underlying inverse problem (Maes et al., 2018; Sanchez and Benaroya,
2014).

141 The problem of characterising the impulsive wave loadings has attracted researchers' interest since 1958, 142 when Hall performed the first laboratory experiments aimed to characterize the breaking wave forces on a 143 circular pile located on a sloping beach, (Hall, 1958). Several authors follow these pioneering tests and are 144 nicely summarized by Tu (2018) and Tu et al. (2017a). Goda et al. (1966) were the first to formalize a 145 mathematical model to describe breaking wave loading and to highlight the need to consider within the description of the loading condition the dynamic of the affected structure, at both the model and prototype 146 147 scale. Goda based his final formula on the combination of experimental results and von Karman theory 148 (Von Karman, 1929). Later, (Campbell, 1980) performed drop tests instead of wave impact tests, in order to 149 achieve a reasonably large Froude number (e.g. > 0.6) so that the total load was mainly dominated by the 150 slamming component. Despite achieving high rigidity in test set-up (natural frequency around 550Hz), the 151 amplification due to the dynamic response was large enough to mask the hydrodynamic load. Hence, to 152 properly describe the pure hydrodynamic load, the experimental system was modelled as a lumped mass and was forced with a hyperbolic function. The goal of the method was to identify which shape of the 153 154 hyperbolic function allowed the best match between the dynamic response of the experimental set-up and 155 the response of the single degree of freedom (SDoF) model. More recently, (Wienke and Oumeraci, 2005) performed a large scale test aimed at identifying the breaking wave loading on vertical cylinder under the 156 157 action of focused wave groups. The model comprised a cylinder installed in deep water and fastened at 158 both ends. Also in this case the transient nature of the impulsive wave loading induced dynamic response 159 of the experimental set-up, so they applied a similar method to that of Campbell (1980), though removing a 160 known quasi-static force from the experimental dynamic response. The approach allowed the verification 161 of the assumed theoretical description of the impulsive load by contrasting a SDoF model response and the 162 measured dynamic force. However, both Campbell (1980) and Wienke and Oumeraci (2005) avoided the 163 use of the inverse method, and under the hypothesis of linear response of the experimental structures, instead verified their formula by means of the convolution process between the developed empirical 164 equation and the impulse response function (IRF) of the structure. Dynamic amplification of breaking wave 165 166 loading during experimental study was also highlighted by Choi et al. (2015), where they quantified, and 167 subsequently removed, this unwanted effect by means of the combined use of the Empirical Mode Decomposition (EMD) and Computational Fluid Dynamic model (CFD). Later, a similar approach based on 168 Ensemble Empirical Mode Decomposition (EEMD) was applied by Dassanayake et al. (2019a) and 169 170 Dassanayake et al. (2019b) to remove the effects of the vibration induced by broken waves on the 171 experimental set-up aimed to model an offshore rock lighthouse. Despite the EEMD approach being more 172 accurate in removing the dynamic response of the structure than EMD, it still presents disadvantages 173 related to the overestimation of the quasi-static force component, as also highlighted by Tu (2018).

174 It was only within the WaveSlam project (HYDRALAB IV framework) that the inverse estimation of the 175 breaking wave force acting on marine structures has been successfully undertaken. Four different 176 approaches were proposed within Tu's related PhD thesis (Tu, 2018), all of them based on the 177 deconvolution between the recorded wave force and the dynamic response of the structure. The first 178 method, called optimization-based deconvolution (OBD) (Tu et al., 2015), is based on the minimization of 179 the Euclidean distance between the measured force and the modelled force given by the superimposition 180 of the scaled and shifted hammer test responses. The other three methods are mainly based on the 181 deconvolution between the IRF and the measured dynamic response of the structure under the breaking 182 wave action. The so-called "horizontal approach" is a two-step approach based on the impact hammer test

183 results. The IRF is identified by means of conjugate gradient technique while it is later applied to the 184 recorded dynamic response through a weighted eigenvector expansion method in order to obtain the wave 185 slamming force. The horizontal approach relies on two main parameters for the regularization of the 186 solution, the stopping factor and the weighting factor for the first and second steps respectively. Both are 187 defined by the user in order to control the noise effect in the IRF definition (first step) and to discriminate 188 the smallest eigenvalues of the deconvolution matrix (second step), thus reducing the risk of numerical 189 instability through a regularization approach (Tu et al., 2017b). The so-called "vertical approach" uses the 190 linear regression technique. Similarly to the horizontal approach, it also reconstructs the wave impact force 191 at each investigated location by using the hammer impact force, the hammer response force, and the wave 192 response force at the same measurement location. For each investigated location, the wave impact force is 193 conceived of as a result of the hammer hitting this location with different amplitudes many times in a row, 194 hence the interval between every two imaginary hammer impacts is an input required from the user and is 195 called step factor. The step factor indirectly controls the size of the deconvolution matrix and the accuracy 196 of the reconstructed impact force. The last proposed approach is the "extended vertical approach". 197 Similarly to the OBD, the extended vertical approach accounts for the contribution of the impacts at 198 different locations into the measured force responses, while treating each transducer simultaneously. In 199 this approach, the response locations and the impact locations are distinguished. More recently Maes et al. 200 (2018) applied a recursive joint input-state estimation algorithm for the inverse estimation of the breaking 201 wave loading on hydro-elastic model scaled wind turbine monopile and the induced members forces at the 202 base of the flexible structure. The algorithm is based on the dynamic behaviour of the flexible monopile 203 along the incoming wave direction where the modal parameters are experimentally identified via impact 204 hammer tests. The results show relatively close agreement between the measured and reconstructed 205 forces with an average absolute error around 27% for the impact force and 19% for the overturning 206 moment. However, the overall method relies on the assumption of triangular pressure distribution for 207 which there is no evidence that it can be used within the whole loading process. Despite this assumption, 208 Maes et al.' work sets a foundation for the inverse wave force identification through the dynamic response 209 of the structures. Finally, to estimate the magnitude of the slamming load on offshore wind turbine, 210 Paulsen et al. (2019) applied a simplified dynamic model of the laboratory set-up to describe the transfer 211 function and hence partially remove the dynamic oscillation of the cylinder. However, the methodology is 212 not described in detail by Paulsen et al. because of the different focus of the analysis.

213 This work intends to make progress in the application of the inverse method to reconstruct wave forces 214 exerted on marine structures. We aim to present a new Bayesian inverse method to reconstruct both field 215 and laboratory forces due to breaking or broken waves. While tackling the three main downsides of the 216 inverse methods, i.e. solution existence, uniqueness and stability (Aster et al., 2018), the proposed 217 approach will provide not only a proper framework to analyse future laboratory and field data from 218 offshore and coastal structures, but also a tool to account for the prior knowledge on breaking wave forces 219 and a formal approach for the uncertainty quantification of the results through the posterior distribution. 220 Therefore, this paper is not aimed at producing a comprehensive description of the specific impulsive load 221 due to the broken waves on a cylinder upon a shoal, but instead at presenting and describing a useful 222 Bayesian methodology to achieve a more comprehensive and general result. In order to achieve this, the 223 paper presents an introductory overview on the experimental problems related to the measurement of the 224 wave forces and on the issues connected with the inverse methodology required to solve the inevitable 225 violation of fully rigid model assumption, based on linear systems theory. The convolution between the 226 input signal and the IRF is the core concept of the methodology, therefore, the assumption of linear elastic 227 behaviour of the structure is implicitly adopted. From the statistical point of view, the main hypothesis is

related to the noise affecting the data that is considered normally distributed and independent. Through the development of the paper, the method is applied to both laboratory and field data. Despite the different nature of the recorded experimental and field vibrations - one is a laboratory effect whereas the other is a real structural response - the methodology is successfully applied to reconstruct both wave forces.

The method will be presented in the following chapter where the main theoretical background and numerical issues will be treated in order to provide the required background knowledge. The proposed method will then be applied to the laboratory force measurements (chapter 4) and field accelerations measurement (chapter 5) as illustrative examples of application. Finally, discussions about the main results of the applications and the resolved issues will be gathered in chapter 6.

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240 **2. Method**

The proposed solution for the inverse problem is a merger of structural and statistical models, thus it 241 242 requires a proper formulation of the fundamental hypotheses for both aspects. The approach describes the 243 dynamic behaviour of the investigated structure by means of damped single degree of freedom model 244 (SDoF) (whether it is laboratory or prototype one), under the main structural hypothesis of linear time-245 invariant behaviour. This means that the wave loading that is to be reconstructed, cannot generate plastic 246 deformation and also that the structure has fully elastic behaviour under such loading. This allows the 247 calculation of the response $(d_{(t)}, e.g. displacement of the mass or measured force)$ to an arbitrary time-248 varying external perturbation (e.g. the wave force) by means of the superposition of a series of unit-249 impulse response functions (IRF or $h_{(t-\tau)}$) due to a series impulses composing the external perturbation $(F_{(\tau)})$. This concept is well known within the earthquake engineering as Duhamel's integral (Rajasekaran, 250 2009) or more generally under the mathematical concept of Fredholm integral equation of the first kind 251 252 (Aster et al., 2018) and is represented in eq.(1):

$$d_{(t)} = \int_0^t F_{(\tau)} \cdot h_{(t-\tau)} d\tau \tag{1}$$

while the displacement IRF for damped SDoF can be written as shown in eq.(2).

$$h_{(t-\tau)} = \frac{1}{M \cdot \omega_d} \cdot e^{-(\zeta \cdot \omega_n \cdot (t-\tau))} \cdot \sin(\omega_d \cdot (t-\tau)), t \ge \tau \text{ and } t > 0$$
⁽²⁾

where *M* is the mass (or equivalent mass) of the modelled body, *k* and *c* are the dimensional stiffness and viscous damping coefficient, ω_n is the natural frequency calculated through the well-known equation

256 $\omega_n = \sqrt{\frac{k}{M}} \zeta$ is the damping ratio expressed by $\zeta = \frac{c}{2\sqrt{\frac{k}{M}}}$ and ω_d is the damped natural frequency described

257 by
$$\omega_d = \omega_n \cdot \sqrt{1 - \zeta^2}$$
.

Most often in civil engineering the damping ratio does not exceed 20%, thus the damped and natural frequencies tend to be the same (Lee et al., 2018; Martinelli and Lamberti, 2011; Rajasekaran, 2009). However, despite the optimum laboratory set-up making use of stiff instruments, the nature of the connection of the sought instruments with additional elements (as in the case presented in this paper) could perhaps introduce damping to a level requiring distinction of damped and natural frequencies.

263 The proposed method aims to solve the inverse deconvolution operation that will remove the dynamic 264 effect of the structure (i.e. $h_{(t-\tau)}$ in eq.(1)) and allows the reconstruction of the wave force $F_{(\tau)}$. As an 265 illustrative example, we can assume that the measured data $(d_{(t)})$ is the response of a force transducer to 266 an external load $F_{(\tau)}$ that we want to reconstruct by removing the dynamic response due to the model setup. Standard laboratory force measurements rely on transducers that integrate strain gauges, thus the 267 268 structure must be free to move, hence violating the hydraulic modelling assumption of a fully rigid 269 structure. Therefore, to reconstruct a force resulting from the dynamic response of the system, e.g. a force 270 transducer connected with a structure, the required IRF should not be expressed in term of displacement 271 per unitary impulse (i.e. m/Ns) as in eq.(2), but in term of inertia force per unitary impulse, (i.e. N/Ns), 272 Figure 2. Knowing the analytical expression of the displacement IRF, the calculation of the force IRF is easily 273 achievable by means of the multiplication between the laboratory structure mass (M) and the second time 274 derivative of eq.(2). Eq.(3) shows the resulting expression, in which M can be simplified as presented in 275 eq.(5). For the illustrative example, the numerical values of the dynamic parameters are described in Figure 276 2.



280Figure 2 Analytical force IRF for a linear system having mass (M) equal to 20 kg, natural (ω_n) and damped (ω_d)281frequency the same and equal to 10 Hz, stiffness (k) equal to 1000 Nm and damping ratio (ζ) 2%, sample time step (Δt)282equal to 0.001 s.

The convolution integral in eq.(1) can be rewritten in more convenient matrix notation as presented in the eq.(4):

d = Gm

where the symbols in bold denote a vector of values varying in time, i.e. d is the recorded force response time series, m is the sought unknown external load and G is a square Toeplitz matrix representing the convolution operation. G comprises lagged IRFs, so that the rows are time-reversed and the columns are non-time-reversed versions of the IRF lagged by i and j as shown in eq.(5). The resulting convolution matrix with example columns are presented in Figure 3.

$$G_{(i,j)} = \begin{cases} \left(\begin{array}{c} \frac{\omega_n^2 \cdot \zeta^2 \cdot e^{\left(-\omega_n \cdot \zeta \cdot (t_i - t_j)\right)} \cdot \sin\left(\omega_d \cdot (t_i - t_j)\right)}{\omega_d} \\ 2 \cdot \omega_n \cdot \zeta \cdot e^{\left(-\omega_n \cdot \zeta \cdot (t_i - t_j)\right)} \cdot \cos\left(\omega_d \cdot (t_i - t_j)\right) - \\ \omega_d \cdot e^{\left(-\omega_n \cdot \zeta \cdot (t_i - t_j)\right)} \cdot \sin\left(\omega_d \cdot (t_i - t_j)\right) \\ 0 \end{cases} \right) \cdot \Delta t \iff (t_j \ge t_i) \end{cases}$$
(5)

9

(4)



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Figure 3 Convolution matrix and example of columns for the illustrative IRF example in Figure 2

The fundamental statistical hypothesis of the method is related to the noise affecting the data $(d_{(t)})$, that is assumed to be normally and independently distributed with a corresponding diagonal covariance matrix C_D . Moreover, the proposed approach relies on Bayes' theorem as presented in eq.(6). Hence, the sought model is assumed to be a random variable so that the final solution is a probability distribution q(m|d) for

$$q(\boldsymbol{m}|\boldsymbol{d}) = \frac{f(\boldsymbol{d}|\boldsymbol{m})p(\boldsymbol{m})}{\int_{all\ models} f(\boldsymbol{d}|\boldsymbol{m})p(\boldsymbol{m})d\boldsymbol{m}}$$
(6)

297 the model parameters, often called the posterior distributions.

where $p(\mathbf{m})$ denotes the prior distributions and $f(\mathbf{d}|\mathbf{m})$ is the conditional probability, that, given a particular model \mathbf{m} , corresponding data \mathbf{d} will be observed.

300 In other words, and more specifically related to the inverse force reconstruction, we aim to identify a 301 probability distribution for each instant (t_i) described by the time vector (t) that our identified model 302 $(m_{(t_i)})$ might have generated the measured response $(d_{(t_i)})$. Furthermore, we want to probabilistically 303 describe how our model $(m_{(t_i)})$ is effective in modelling the real unknown wave force $(F_{(\tau_i)})$ that generated 304 the measured response $d_{(t_i)}$. The Bayesian approach allows the natural incorporation of the prior 305 information about the final solution that comes from previous knowledge or experience by means of the 306 time-varying prior distributions $p(m_{(t_i)})$. Therefore, the previously developed knowledge on wave impact (e.g. Dassanayake et al. (2019a); Dassanayake et al. (2019b); Pappas et al. (2017); Wienke and Oumeraci 307 308 (2005)) and on the structural dynamic behaviour (e.g. Antonini et al. (2019); Brownjohn et al. (2019); 309 Brownjohn et al. (2018); (Pappas et al., 2017; Pappas et al., 2021); Pappas et al. (2019); (Raby et al., 2019b)) 310 can be directly considered within the analysis. The prior distributions are assumed to be normal 311 distributions varying with time as in eq.(7), with expected value m_{prior} and associated covariance matrix 312 С_М.

$$p(\boldsymbol{m}) \propto e^{-\frac{1}{2}(\boldsymbol{m}-\boldsymbol{m}_{prior})^{T} \boldsymbol{C}_{\boldsymbol{M}}^{-1}(\boldsymbol{m}-\boldsymbol{m}_{prior})}$$
(7)

The likelihood that given a particular model, a response vector (*d*) will be observed is expressed by the likelihood function f(d|m) eq.(8).

$$f(\boldsymbol{d}|\boldsymbol{m}) \propto e^{-\frac{1}{2}(\boldsymbol{G}\boldsymbol{m}-\boldsymbol{d})^T \boldsymbol{C}_{\boldsymbol{D}}^{-1}(\boldsymbol{G}\boldsymbol{m}-\boldsymbol{d})}$$
(8)

- Therefore, through the resolution of the integral in eq.(6) the prior and posterior distribution are related in
- a way that makes the computation of $q(\boldsymbol{m}|\boldsymbol{d})$ possible. The final result of the method is a series of normal distributions (i.e. one for each complete data value), describing the value are for each complete data value).
- distributions (i.e. one for each sampled data value), describing the unknown wave force as shown in eq.(9).

$$q(\boldsymbol{m}|\boldsymbol{d}) \propto e^{-\frac{1}{2} \left((\boldsymbol{G}\boldsymbol{m}-\boldsymbol{d})^T \boldsymbol{C}_{\boldsymbol{D}}^{-1} (\boldsymbol{G}\boldsymbol{m}-\boldsymbol{d}) + \left(\boldsymbol{m}-\boldsymbol{m}_{prior}\right)^T \boldsymbol{C}_{\boldsymbol{M}}^{-1} (\boldsymbol{m}-\boldsymbol{m}_{prior}) \right)}$$
(9)

318 It must be noted that $q(\mathbf{m}|\mathbf{d})$ does not provide a single value that we can consider "the wave force", thus 319 to provide a single model output of the wave force, the *maximum a posteriori* value (MAP), i.e. the wave 320 force associated with the largest value of $q(\mathbf{m}|\mathbf{d})$, is proposed as suggested by Aster et al. (2018), leading 321 to a simplification of eq.(9) in eq.(10) and eq.(11), as proposed by Tarantola (1987).

$$q(\mathbf{m}|\mathbf{d}) \propto e^{-\frac{1}{2}(\mathbf{m} - \mathbf{m}_{MAP})^{T}} C_{M'}^{-1}(\mathbf{m} - \mathbf{m}_{MAP})$$

$$C_{M'} = \left(\mathbf{G}^{T} C_{D}^{-1} \mathbf{G} + C_{M}^{-1} \right)^{-1}$$
(10)
(11)

Rewriting C_M^{-1} and C_D^{-1} in terms of matrix square root by means of, for example the Singular Value Decomposition (SVD), the MAP solution can be now calculated by the minimization of the exponent in eq.(9) resulting in a standard linear least-squares problem presented in eq.(12).

$$\min \left\| \begin{bmatrix} \boldsymbol{C}_{\boldsymbol{D}}^{-1/2} \boldsymbol{G} \\ \boldsymbol{C}_{\boldsymbol{M}}^{-1/2} \end{bmatrix} \boldsymbol{m} - \begin{bmatrix} \boldsymbol{C}_{\boldsymbol{D}}^{-1/2} \boldsymbol{d} \\ \boldsymbol{C}_{\boldsymbol{M}}^{-1/2} \boldsymbol{m}_{prior} \end{bmatrix} \right\|_{2}^{2}$$
(12)

where the multiplication of $C_D^{-1/2}$ with d and of $C_M^{-1/2}$ and m can be seen as a transformation that makes the data (affected by random noise) and the unknown models (intrinsically stochastic due to the Bayesian nature of the methodology) independent with a normalised standard deviation for both the data and model space respectively.

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3. Theoretical example

Usually the convolution matrix as given by eq.(5) and shown in Figure 3 is mildly to severely ill-conditioned, hence the inverse problem is not straightforward because we can anticipate a severe amplification of the noise contained within the real data. In the example proposed above the condition number of the matrix *G* is slightly larger than 88'800 due to its wide range of singular values between 24 and 2.7 x 10^{-4} , Figure 4. Therefore, even assuming optimistically the recording signal is affected by a 0.01% noise level, the results of the inverse process will be dominated by the amplified noise.





Figure 4 Singular values matrix G for the example presented in Figure 2 and Figure 3

For explanation purposes, we can conceive the reconstruction of an impulsive wave force (black line in Figure 5) acting on the laboratory set-up characterised by the force IRF in Figure 2 and that the force measurement (i.e. the system response) is affected by some white noise (blue line in Figure 5). A standard approach to tackle this inverse problem would be through the application of the least-squares method with the support of the Singular Value Decomposition (SVD). Indeed, the system presented in eq.(4) (i.e. d = Gm) can be solved for m once the inverse of the matrix G is obtained via the SVD decomposition as presented in eq.(13).

$$\boldsymbol{m} = \boldsymbol{V}\boldsymbol{S}^{-1}\boldsymbol{U}^T\boldsymbol{d}$$





349 Figure 5 Theoretical example: input force (black), theoretical measurement (red dotted) and noisy measurement (blue)

(13)

where *V* and *U* are the right and left singular vector matrices respectively and *S* is the singular values matrix. The reconstruction of the impulsive wave force from the theoretical measurement (i.e. the noiseless red dotted line in Figure 5) fits its noiseless data perfectly, being essentially identical to the original impulsive force (Figure 6.a). If the same procedure is applied to more realistic white noise data (i.e. the blue line in Figure 5, $C_D = 0.65 \cdot I$) the solution is meaningless. The information about the original impulsive wave force is overwhelmed by the noise, enormously amplified by the inversion process (Figure 6.b).

- 357 To control the unstable character of the proposed inverse problem, a first preliminary method can rely on 358 the property of the Fourier transform. Indeed, the Fourier transform of a convolution between two 359 elements is equal to the product of the two Fourier transforms, so that the solution is trivial within the 360 frequency domain. However, the solution of the inverse problem remains extremely sensitive to small 361 changes in the records (d) and requires a regularisation process that can be achieved by imposing equal to 362 zero the smallest elements of the Fourier transform of the records, hence obtaining a sort of truncated 363 Fourier transform. Even though this method is rather effective in term of computational time, does not 364 come without downside aspects. The threshold that defines the level of the "smallness" of the Fourier transform elements to be zeroed is unknown and depends on the noise realisation, therefore for each case 365 it should be properly defined introducing a subjective selection of this fundamental parameter. The result 366 367 of this simplified method is presented in Figure 6.c with the cyan colour.
- To overcome the instability issue due to the ill-conditioning of the convolution matrix a truncated SVD (i.e. 368 369 the inverse of the matrix G is obtained by using only the largest singular values) can be applied in order to reconstruct a reasonable estimation of the original force and avoid the subjective selection of the above 370 371 mentioned threshold. Figure 6.c shows the reconstructed force obtained using the L-curve criterion 372 (Hansen, 1992; Hansen, 2007) as a guide for selecting the Tikhonov regularisation parameter (Tikhonov and 373 Goncharsky, 1987) where only the first 168 singular vectors of the matrix V are used within the inverse 374 process. The SVD truncated approach allows the detection of the essential features of the original impulsive 375 force; however, this technique, as well as the truncated Fourier transform, introduces some spurious 376 oscillations and loss of resolution generating a wider impulse and reduced amplitude as shown in the 377 zoomed box in Figure 6.c.



378

Figure 6 Application examples of the truncated Fourier transform and least square method and SVD for the
 reconstruction of the incident force: a) theoretical data; b) noisy data; c) noisy data, truncated SVD and truncated
 Fourier transform

382 3.1. Informative prior distributions

383 In order to properly apply the previously developed Bayesian method, the prior distributions need to be 384 defined. Having described the dynamics of the system, this information can be incorporated into the process by means of informative priors. It is reasonable to believe that the force shown in Figure 5 should 385 386 have been applied to the system at least some instants before the change of its status (i.e. t=0.5 s). After 387 that, it is reasonable to assume that the maximum force value (calculated, for example, using the Wienke 388 and Oumeraci (2005) approach) should have been applied to the structure at least a short time after the maximum response value (i.e. t=0.57 s) after which the incident force should have dropped to 0. Moreover, 389 390 assuming that the incident force is an impulsive wave force there is enough knowledge (e.g. Cuomo et al. 391 (2010); Cuomo et al. (2007); Wienke and Oumeraci (2005)) to believe that the rising slope is steeper than 392 the decreasing one. Hence we can assume a trapezoidal-shaped zero-covariance prior distribution that 393 preferentially concentrates the model structure around the instant of the maximum response by imposing 394 a zero prior with small standard deviation far from the maximum system response (Figure 7).





401



Figure 8 shows the comparison of the Bayesian inverse approach and the least square method approach. It is evident that the Bayesian solution is still severely affected by some noisy oscillation and large uncertainties around the reconstructed force. Because the prior distribution has zero covariance, the resulting model realisations are quite rough.



402 Figure 8 Application examples of Bayesian inverse method with informative trapezoidal shaped zero-covariance prior
 403 distribution

404 Therefore, the prior distributions can be designed to enforce a smoothness constraint on the realisation of 405 the posterior distribution by specifying a non-diagonal prior correlation matrix (C_R). The positive 406 definiteness of C_R can be guaranteed by constructing the matrix so that each column is the autocorrelation

407 of a pre-selected function in which the zero-lag (unit) maximum is centred on the diagonal of C_R . Due to 408 the particular shape of the impulsive wave loading, i.e. close to a triangle with the highest corner 409 corresponding to the peak force, the autocorrelation of a triangle function that produces a cubic 410 approximation to a Gaussian function is selected. Moreover, we can base the correlation time scale on the 411 previously developed knowledge of the impulsive wave force duration (Cuomo et al., 2010; Goda et al., 412 1966; Wienke and Oumeraci, 2005) so that the prior correlation function falls off with a time scale of 0.05 s, 413 i.e. the zero-lag (unit) maximum of the correlation sequence is centred on the element i and zero at 414 approximately $i \pm 0.05 s$ (Figure 9). Hence, given the non-uniform diagonal elements of the covariance 415 matrix C_M and the correlation matrix C_R , the non-diagonal prior covariance matrix $C_{M,R}$ is defined as in 416 eq.(14):

$$C_{M,R} = diag(C_M) \cdot C_R$$
(14)
417
417
418
418

418 419

Figure 9 A correlation function for the prior distribution. C_R 's 4000th column (i=4000)

420

421 Figure 10 shows the final result of the developed Bayesian inverse method, in which full use of the previous 422 knowledge about both the dynamic behaviour of the system and the physical knowledge about the 423 breaking wave loading have been incorporated within the prior distributions and therefore within the 424 inverse process. The obtained solution is, not surprisingly, considerably improved by the more restrictive 425 prior model. This is because the true model is highly restricted and thus consistent with the prior 426 distributions. Moreover, it can be recognised that, despite the restrictive prior model, the information 427 carried by the data is not overwhelmed by the prior distribution. The zoomed box in Figure 10 clearly 428 depicts a smaller credible interval for the rising part of the impulsive force (i.e. $0.5 \le t \le 0.538$) than for 429 the falling one (i.e. $0.38 < t \le 0.8$) even if the assumed prior variance is the same. Thus, it can be argued 430 that the slope of the original signal can be interpreted as an index of the relative importance or strength, 431 within the Bayesian process, between the information carried by the data and that carried by the prior 432 model. The 95% credible interval is not the standard 95% confidence interval, rather it is the 95% 433 probability interval calculated from the posterior distributions, so that there is 95% probability that each 434 $m_{(t_i)}$ value lies within the corresponding symmetric interval around the MAP value.

435 In this chapter, three different methods to solve the inverse problem aimed to reconstruct the incident 436 wave force from a noisy signal recorded on a, or from a structure have been presented. The first and more 437 simplified method makes use of the Fourier transform properties and the subjective selection of the 438 threshold to solve the instability issue due to the noise affecting the records. The second is based on the 439 SVD decomposition of the convolution matrix, the Tikhonov regularisation criterion and the L-curve 440 technique for the selection of the threshold aimed to identify the largest singular values to consider within 441 the resolution of the deconvolution process. The third, and most comprehensive one, is based on the Bayes

theorem, it provides the framework to inherently quantify the uncertainty in the final solution and to account for the prior knowledge about impulsive wave loading and structural dynamic. All the proposed methods allow a reasonable reconstruction of the incident force, each of them with its own downsides, but still all of them applicable to further case studies involving coastal and offshore structures under impulsive wave loadings.

The above theoretical example, as well as the following laboratory and field applications, have been entirely developed within the MATLAB[®] environment. The entire procedure chain adopted to complete the theoretical example is also released as additional material to this document, aiming for a straightforward

450 easy application to different case studies.



452 Figure 10 Application examples of Bayesian inverse method with informative trapezoidal shaped zero-covariance prior
 453 distribution

454 455

451

456 **4. Laboratory application**

457 4.1. Laboratory setup

458 A series of physical model tests on a vertical cylinder upon a variety of 2D shoals was performed within the STORMLAMP (STructural behaviour Of Rock Mounted Lighthouses At the Mercy of imPulsive waves) 459 research project framework in the wave flume of the COAST Laboratory, University of Plymouth. The flume 460 461 is 35 m long, 0.6 m wide and 1.2 m high. A lighthouse is modelled as a vertical aluminium cylinder (weight 9.88 kg) installed at the middle of the shoal, while the adopted foreshore steepness for the test reported 462 463 here is 1:5. The water surface is measured by means of 16 wave gauges (WG), spread before and after the 464 shoal, while two cameras, standard and high-speed ones, are used to capture the wave development along the foreshore (standard ones) and at the cylinder (high speed one) (Figure 11 and Figure 12). The high 465 466 speed camera records were also used to evaluate the runup along the cylinder by means of the 467 methodology presented by Dassanayake et al. (2019a). The offshore flume bed is flat and the mean free 468 surface is coincident with the upper part of the shoal, i.e. 0.5 m (Figure 11). The cylinder diameter (D) is 469 0.12 m, while the width of the upper shoal platform is 0.36 m. The 0.5 m high cylinder is suspended from its

470 top and behaves as a vertical cantilever, leaving a minimal gap (i.e. 0.7 mm) between the cylinder bottom 471 surface and the shoal. The top of the cylinder is connected to a 6 degrees of freedom load cell 472 (model:6A40B-500/20 – weight 0.4 kg, (Interface, 2019)), that in turn is connected to a beam which is part 473 of the main supporting structure (Figure 12). The height of the force transducer is 40 mm, while the origin 474 of the coordinate system is located 32 mm above the cylinder top surface, so that the cylinder bottom 475 surface is at 532 mm from the origin. The load cell is equipped with 6 temperature-compensated bridges 476 providing output for each of the 6 degree of freedom. Therefore the output signals have to be post-477 processed by means of a 6x6 calibration matrix in order to extract the force and moment values. The set-up 478 enables force measurements along three perpendicular axes with three simultaneous moments. The 479 sample frequency is 5120 Hz, but all the signals have been decimated to 1000 Hz in order to reduce the 480 computational effort of the inverse process. Regular, irregular and focused waves were run; however, this 481 investigation considers the applied methodology to reconstruct the wave force, hence results from regular 482 waves only are presented. The assumption of 2D model is valid for the present test, hence, the wave force 483 is acting along the negative y-direction and the induced moment is positive around the x-direction, Figure 484 11.

outralPres





Figure 12 Shoal (1:5)-cylinder set-up details

485

486 The cantilever scheme leads to a versatile set-up but also to an unavoidable reduction in the overall system 487 stiffness, requiring the need to properly address the wave-induced vibrations. Figure 13 upper and middle 488 panels show the typical recorded force and moment patterns for a regular wave case characterised by 489 wave height (H) equal to 0.14 m measured at WG11 on the shoal (water depth 0.1 m and distance from the 490 cylinder 0.5 m) and period (T) equal to 1.5 s (Figure 13 dotted line lower panel). A similar vibration pattern 491 is present for all the wave states, highlighting the overwhelming effects of the structural dynamic response 492 on the recorded force. Figure 13 also shows the raw records and the Hilbert-Huang spectrum (HHs) 493 presenting a clear pattern due to the natural frequency of the laboratory set-up which becomes the 494 dominant feature of the records. Between 3.0 and 3.2 s a sudden jump in the instantaneous frequency and 495 energy is detectable which is likely to indicate the instant at which the wave impacted the structure, as 496 confirmed by the measured runup (lower panel solid line). Proceeding along the signal development, the 497 natural frequency of the structure becomes dominant as shown by the instantaneous energy concentration 498 between 12 and 12.5Hz. Less energetic intrinsic mode functions (IMFs) are also grouped around the lower 499 frequencies close to the incoming wave frequency equal to 0.66Hz, however due to the large difference in

instantaneous energy they are barely discernible. Overall, it is evident that the recorded force is not the wave force but the response of the model to an external perturbation. Finally, we want to stress that, although in this example the dynamic response is particularly effective in corrupting the measurement, any impulsive wave force measurements should be properly post-processed with different deconvolution techniques in order to extract real features and intensity of the incident force, regardless of whether the laboratory model is relatively stiff.



Figure 13 Raw recorded force (upper panel) and induced moment (middle panel) together with their HHs, the colour
 palettes indicating the instantaneous energy. Lower panel shows the measured runup and measured surface elevation
 at 0.5 m from the lighthouse, WG11 in Figure 11. This example refers to a regular wave case characterised by H=0.18
 m and T=1.5s

511 4.2. Laboratory Bayesian inverse method application

506

As for any dynamic system, the first step is the identification of the dynamic behaviour. In order to properly 512 describe the dynamic response of the laboratory model, impact hammer tests have been performed with 513 514 the aim to experimentally reconstruct the force IRF. The impact hammer tests made use of a piezoelectric 515 impact hammer equipped with a rubber head (Figure 14) which was used to hit the dry cylinder, i.e. 516 without any surrounding water, 3 times around a lower location where the wave impact is expected (Figure 517 15). By using the dry IRF within the inverse process, we are implicitly assuming that the dynamic 518 parameters of the laboratory model remain the same during the interaction with the wave. From the 519 preliminary results of wet IRFs, we identified that the damping ratio increases and the natural frequency 520 decreases due to the additional viscous damping and added mass due to the surrounding water. However, 521 the uncertainty in the level of the water that should have been considered to properly reproduce the wave 522 impact conditions do not allow the use of the wet IRF within the inverse process and therefore the dry IRF 523 has been used through the entire paper. The final adopted IRF is the time average of 3 IRFs each of them 524 calculated, as will be described, by dividing the signals shown in Figure 15 in shorter and equally spaced 525 segments with a length equal to 2.06 s and highlighted by the red dotted lines.



impact location

segments (red dotted lines)

The sought IRF can be seen as the time domain image of the frequency response function (FRF) of the 526 527 system, so that the IRF can be calculated as the inverse Fourier transform of the ratio between the Fourier transform of the system output (lower panel in Figure 15) and the Fourier transform of the system input 528 529 (upper panel in Figure 15) or simply the time domain deconvolution of the two. Under the hypothesis of 530 fully rigid cylinder and supporting structure the overall laboratory set-up can be approximated by a single degree of freedom system with a strict relation between the rotation at the force transducer and the 531 displacement at the tip of the cylinder so that the same IRF can be used for both force and moment. 532 533 Appendix A presents the derivation of the IRF and the comparison between the IRF, calculated under the above-mentioned hypothesis and by explicitly taking into account both the force and the moment. 534 However, despite the robust theoretical basis, the operation as described above in the case of noisy 535 536 discrete measurements is ill-conditioned, so that a regularization procedure needs to be applied also at this 537 stage. Here, the issue is tackled by means of the least-squares solution supported by the QR 538 decomposition. Each of the three experimental IRFs, calculated by means of the three signal segments 539 identified in Figure 15, is calculated as the solution of the linear system presented in eq.(15):

$$\frac{h_r}{\int h_h \, dt} = G_h \cdot \text{IRF} \tag{15}$$

where h_r is one of the three segments representing the response of the laboratory model to the impulsive 540 541 force exerted by the impact hammer (i.e. h_h) that in turn is divided by the time integral of the impulsive 542 force recorded by the impact hammer, i.e. h_h . G_h is a matrix defined using the same method of matrix G 543 (eq.(4)) with the main difference that, in this case, each column is defined as a lagged hammer force, i.e. 544 h_h . Therefore, the sought solution is the IRF that minimises both the norms in eq.(16).

$$\begin{cases} \min \left\| \boldsymbol{G}_{\boldsymbol{h}} \cdot \operatorname{IRF} - \frac{\boldsymbol{h}_{\boldsymbol{r}}}{\int \boldsymbol{h}_{\boldsymbol{a}} \, d\boldsymbol{t}} \right\| \\ \min \|\operatorname{IRF}\| \end{cases}$$
(16)

545 The applied regularization approach is aimed at treating the smallest elements along the diagonal of the

matrix **R** (obtained from the QR decomposition of the matrix G_h) as zeros, so that the effect of the noise in 546 the impact hammer records does not play a major role in the final solution. Plotting the elements along R's 547 548 diagonal is enough to identify a reasonable regularization threshold that in this example is set to 0.5; 549 however this value should be evaluated for each case. Figure 16 shows the calculated average IRF that has 550 been adopted for all the following analysis. The clear presence of multiple components with their own 551 frequency (13, 90, 475 and 535 Hz, see Appendix A) might be related to the quasi-rigid rotation of the 552 cylinder around the transducer (13 Hz), to the second flexural mode of the cylinder, having the centre of 553 mass moving in phase opposition relative to the tip (90 Hz), to the first natural mode of a cylindrical 554 cantilever element (475 Hz) and to the supporting structure vibrations (535 Hz).



555 556

Figure 16 The identified IRFs for both horizontal force and moment

Finally, in order to identify the intrinsic noise within the data, and then define the covariance matrix (C_D) associated with the data, the signal is assumed to comprise a smoothly varying function plus additive Gaussian noise with zero mean and variance to be estimated; the methodology described by D'Errico (2007) is applied here, for the estimation of the signal noise variance. The identified variance values are 0.0015 N² and 2.5x10⁻⁶ (Nm)² for the force and moment, respectively, and are assigned to the elements along C_D 's principal diagonals.

563 4.3. Laboratory informative prior distributions

As presented in the theoretical example, the definition of the prior distributions is based on the previous 564 565 knowledge on the impulsive wave loading on cylindrical structures (Goda et al., 1966; Tanimoto et al., 1987; Von Karman, 1929; Wienke and Oumeraci, 2005). Despite alternative approaches being available, recently 566 567 the work of Wienke and Oumeraci has been successfully applied in preliminarily investigations of wave 568 loading on offshore rock lighthouses (Trinh et al., 2016), hence it is used as reference for the definition of 569 the prior distribution. However, a large proportion of the waves that interact with the lighthouse, and 570 accordingly, also in the present laboratory experiments, rarely break directly onto the structure, instead 571 they mostly reach the structure already broken with an initial aerated and turbulent front (Bressan et al., 572 2018). Therefore a modification is applied to the standard Wienke and Oumeraci approach and the wave 573 celerity (C_b) is calculated according to the method of Bonneton (2004) for broken waves in the surf zone, 574 resulting in a value 1.5 m/s. Hence, the maximum value for the prior distribution is kept equal to the 575 maximum force calculated according to the modified Wienke's method, i.e. 31N and is approximately 576 applied at 0.06 m from the bottom of the cylinder (i.e. 0.47 m from the origin of the axis). These 577 dimensions are associated with a curling factor of 0.46 and wave crest of 0.08 m at the breaking point

578 (Figure 13 upper panel).



579 580

Figure 17 Recorded signals and normal prior distributions (mean and standard deviation)

Finally, due to the large uncertainties on the multitude of phenomena affecting the interaction between 581 582 the flow and the structure, a relatively non-restrictive standard deviation is assumed. Thus, a value equal to 583 half the mean value is assigned to the standard deviation in order to fully describe the prior normal distributions for both horizontal force and overturning moment, as shown in Figure 17. Due to the non-fully 584 breaking nature of the waves action, for the definition of the prior distribution, the time length of the 585 impulsive force is initially estimated to be 0.04 s, according to Goda et al. (1966) who presented the longest 586 values among the available impulsive model lengths, i.e. $\frac{D}{2\cdot C_{k}}$. Hence, the prior correlation function falls off 587 588 with a time scale of 0.04 s.

589 4.4. Laboratory results

Results of the analysis are shown in Figure 18 for a record of 5 incident regular waves, with 1.5 s period and 590 wave height around the breaking point of 0.14 m (a movie of the 5 incident waves and the obtained results 591 592 is available as additional material to this document). Panel a) shows the water surface elevation recorded 593 at WG11 situated on the shoal (i.e. water depth 0.1 m and distance from the cylinder 0.5 m) and the 594 measured runup, while panels **b** & **d**) show the identified wave force and induced moment respectively, and panels c & e) their HHs. The runup is defined as the level B runup proposed by Grue and Osyka (2021), 595 596 i.e. the runup of a thin layer of water and air mixture, and water layer which was no longer attached to the 597 surface of the pile, or high spray concentration.



Figure 18 Example result for regular wave T=1.5s and wave height around the breaking point 0.14 m. a) water surface
 elevation recorded at WG11 (0.1 m water depth and 0.5 m from the cylinder) and Runup, b, d) the identified incident
 wave force and moment and c, e) the Hilbert-Huang spectrum.

598

602 The dynamic amplification due to the structure is completely removed from the records allowing the 603 description of a clear signal and the identification of the main loading features due to the broken waves. 604 The detected force and moment highlight the presence of common features within the signals. Two peaks 605 are clearly visible for all the loading events while a third one is slightly less pronounced but still present for 606 all the events. The first peak is related to the violent impact of the first broken aerated front (red arrow 607 Figure 18.b,d), the second is mainly due to the sudden deceleration of the falling down water mass 608 previously upward accelerated by the impact with the cylinder (green arrow Figure 18.b,d). A third, less 609 intense, peak due to the remaining water mass carried by the wave is also detected and is clearly visible for 610 the third wave (purple arrow Figure 18.b,d) but it is also present within the other loading events with a 611 smaller intensity. Despite the above-described loading mechanism is confirmed by the movie provided with 612 this document some doubts arise regarding the effects of the cylinder compliance and movement. The 613 moment transducer actually measures a small transducer deformation and rotation of the cylinder that due 614 to the moderately high frequency of the induced oscillations and distance from the hinge point may result 615 in a significant velocity of the cylinder in contact with water. However, to what extent this process affects 616 the reconstructed force is not trivial to define and it has been assumed negligible in this work in light of the 617 reasonable agreement with the later-described field results. As identified by Liu et al. (2019) and 618 Kristiansen and Faltinsen (2017) for breaking wave on a vertical deep water cylinder, the content of energy 619 for the impulsive load part is spread over a frequency range broader than the incident wave frequency. For 620 the analysed case the waves break before the structure, hence the front that first impacts on the cylinder is extremely turbulent inducing a longer rise time but also energy content at higher frequencies that reach up 621 to 40 times the wave frequency (i.e. 0.66 Hz), as shown by the HHs in Figure 18.c,e. This is particularly 622 623 relevant for stiff structures, like the granite masonry offshore rock lighthouses, for which the observed 624 natural frequencies for the first two modes are in the range between 4 and 8 Hz, (Brownjohn et al., 2019; 625 Brownjohn et al., 2018). Therefore, a detailed description of the higher wave load harmonics is essential to 626 describe the induced dynamic response. Moreover, a constant low frequency component with a value close 627 to the incident wave frequency (i.e. 0.66 Hz) is visible all along the time series shows in Figure 18.c,e. For all 628 the impact events, a sudden jump in the instantaneous frequency is detected with a concentration of

energy during the rising part of the impulsive load, particularly pronounced for the fourth event. The detected rise times range between 7% and 9% of the wave period (i.e. 1.5 s) and between 20% and 30% of the whole impulsive loading duration that lasts around 0.2 and 0.3 s. It is important to highlight this aspect because several approaches, e.g. Goda et al. (1966), (Goda et al., 1966); Wienke and Oumeraci (2005), do not consider the rise time when describing the breaking wave force time series, whereas it is indeed the

634 part of the impulsive load where the energy is largely concentrated for the broken wave action.

Figure 19 shows details of the results for the third event in Figure 18, in which the detected wave force

application point is shown together with the runup in panel c. The runup measurement is obtained by the

automated image processing method described in Dassanayake et al. (2019a), while the application point isthe crude ratio between the moment and the force.



639

Figure 19 Detailed results of the laboratory data analysis. a) the identified incident wave force together with a zoom on
 the posterior distribution for the maximum value and, b) the incident wave force Hilbert-Huang spectrum. c) the
 measured runup and the identified application point for the wave force and related posterior distribution.

As expected, both the wave force and the induced moment are related to the runup as already highlighted 643 644 by Peregrine (2003) for a vertical wall under breaking waves. The initial increase in runup (Figure 19.c: 3.0 -645 3.05 s) is largely due to the jet and the aerated water mass generated by the wave breaking before the 646 cylinder, therefore little or no pressure is exerted on the structure. Subsequently, the primary front of the 647 broken wave reaches the structure. It is projected upward by the pressure gradient due to the high pressure developed during the contact between the water mass and the cylinder (Figure 19: 3.05 - 3.15 s) 648 649 until it reaches the maximum runup level (Figure 19: 3.15 s). The force application point correlates reasonably with the magnitude of the force, reaching its maximum slightly later than the maximum force, 650 thus also inducing a different phase between the maximum force and maximum moment, then it suddenly 651 drops as the force decreases. At the point of maximum runup, the water is in a nearly in free fall (Figure 19: 652 3.15 - 3.25 s), exerting little pressure on the water below and resulting in the reduction of the force and 653 654 moment. As it falls down, the water must be decelerated by a pressure gradient that is again supported by 655 high pressure at the base of the cylinder and therefore by a second peak in the horizontal force and 656 moment, (Figure 19: 3.25 - 3.30 s). As expected the application point for the second peak is quite low and more steady than the first one, highlighting the non-impulsive nature of this loading cycle. The sudden drop 657

658 of the runup around 3.3 s is mainly due to the inaccuracy of the video camera technique that failed to distinguish between the thin layer of water that was no longer continuously attached to the cylinder 659 660 surface as shown in the additional video available with the paper. After the end of the impulsive loading 661 component (Figure 19: 3.30 s) the remaining part of the water mass carried by the wave reaches the 662 cylinder generating a secondary load cycle (Figure 19: 3.35 - 3.45 s) that is less violent than the primary one, 663 but still shows a slightly impulsive nature. On average it was observed that the intensity of this secondary 664 load cycle ranges between 15% and 25 % of the primary one and it lasts for a duration that ranges between 665 5% and 12% of the wave period and between 40% and 60% of the primary impulsive load. However, 666 despite the reduced intensity of the secondary load cycle, it consistently shows a relatively high application point that ranges between 60% and 80% of the runup levels; thus it might have important effects on the 667 668 structural response. As expected and highlighted by the HHs in Figure 19.b he energy is concentrated 669 around the first loading event with energy spread on a large range of frequencies. The presence of a force 670 component coherent with the lower frequency identified within the IRF (i.e. 13 Hz), might signify that, 671 despite the removal of the cylinder vibrations from the recorded signal, the original loading process was 672 affected by the non-fully rigid nature of the experimental set-up. Hence the exerted hydrodynamic loading 673 might not be exactly the same that would have occurred in a situation with a fully rigid structure.

674 Although the proposed method allows the identification of most of the main features of the wave loading, 675 the description of the application point, calculated by the crude ratio between the overturning moment and the horizontal force, is still affected by some inaccuracies that are reflected in the gaps within the time 676 677 series. The main reason is the level of noise that is present in the MAP solution. Indeed, the gaps in the 678 application point time series (black line and grey area in Figure 19.c) correspond to the lower values of the 679 identified wave force, so that the division between the moment and small force values provides unrealistic 680 results. Furthermore, few values of the application point fall above the detected runup. This is associated 681 with the inaccuracy of the image processing based measurement of the runup that sometimes fails to 682 properly detect the high turbulent or aerated water mass. In Figure 19.c, for the sake of visual rendering, all 683 the values of the application point related to forces smaller than 2 N have been removed. Both Figure 684 18.b,d and Figure 19.a,c show the credible interval around the MAP solution for the force, the moment and 685 the application point; however, due to the small signal-to-noise ratio the posterior distributions are quite 686 narrow, so that the shaded grey area is slightly obscured. Two examples of posterior distributions are 687 presented in Figure 19.a,c for the instant related to the maximum identified force (i.e. 3.153 s) and are 688 overlapped with the main time series within the smaller plot boxes at 3.153 s. Note how the uncertainty in 689 the application point is quite large for small values of force, whereas it becomes relatively small for the 690 main impulsive load, as a result of the stronger information carried by the data in the Bayesian process. 691 Finally, Figure 19.c also shows the average least-square solution for the application point as a black 692 horizontal dotted line with the associated uncertainty, again quite small and barely visible in the figure. 693 From the comparison between the time varying application point and the overall least-square solution it is 694 clear the potential of the proposed analysis method and the need for a proper post-processing procedure 695 for the laboratory wave force time series.

696

5. Field measurement application

698 5.1. Field data: Wolf Rock lighthouse

In order to show the capability of the proposed approach, the same methodology is applied to the field
 acceleration measurements recorded during Hurricane Ophelia (October 2017) on Wolf Rock lighthouse.
 The idea is to use the lighthouse as full-scale force transducer and reconstruct the wave load that induced

the shaking of the structure. However, the field nature of this application requires more detailed characterisation of the dynamic behaviour of the structure, so that the modal parameters must be identified by means of a dedicated field modal campaign. The same approach can be applied to more common marine structures such as: vertical wall breakwaters, crown-walls, offshore wind turbines and offshore platforms.

5.2. Wolf Rock lighthouse: location, features and modal analysis

708 Wolf Rock lighthouse (49°56.72'N - 05°48.50'W), Figure 20 left panel, is situated 13 km offshore the most 709 south-westerly point of UK, halfway between the Isles of Scilly and Land's End. It is one of the most 710 exposed lighthouses in the British Isles, being surrounded by more than 35 m water depth on all sides but 711 the south-east, Brownjohn et al. (2018); Raby et al. (2019a). The tower is composed of 70 granite courses, 712 and extends to a height of 41 m from foundation to highest course. If the extent of the helideck is also 713 considered (the first one constructed on top of a lighthouse, in 1973) it reaches a height of 43.1 m. Each 714 granite course of the tower is subdivided into 16 sectors, each masonry course and sector being connected 715 with their neighbours through vertical key and dovetail joints. The outside diameter reduces from a maximum of 12.68 m at the complete 2nd course to a minimum 5.18 m at the 68th course. The total volume 716 of the granite is 1260 m³ having a mass of 3350 t. The lower landing platform extends north-east for about 717 718 25 m and is covered by granite blocks about 0.15 m thick. More detailed descriptions of the Wolf Rock lighthouse can be found in Raby et al. (2019b) and Brownjohn et al. (2018). 719



Figure 20 Wolf Rock lighthouse from the helicopter during the field campaign (courtesy of Trinity House) and the
 vertical cross-section of the

A field campaign aimed to identify the lighthouse modal parameters such as modal masses, natural frequencies, damping ratios and mode shapes was performed in 2016 as part of the STORMLAMP project activities (Brownjohn et al. (2018)). During the two day campaign, both ambient and forced vibrations were recorded at the 8+1 floors (masonry tower and helideck) of the lighthouse. Orthogonal pairs of Honeywell QA-750 quartz-flex accelerometers were arranged at the inner wall of the masonry tower at the same

- compass bearing with respect to the lighthouse vertical axis, Figure 20 right panel, while a shaker was
- 128 located at the battery room and acted along both the x and y directions. The ambient vibration data were
- 729 post-processed with standard Eigensystem Realisation Algorithm (ERA) (James et al., 1993) allowing the
- identification of natural frequencies, mode shapes and damping ratios. The forced vibrations were analysed
 with both Global Rational Fraction Polynomial (GRFP) (Richardson and Formenti, 1985) and circle fit (CFIT)
- functions (Kennedy and PANCU, 1947), additionally allowing the identification of the modal masses (Figure
- 733 21Error! Reference source not found.).



Figure 21 Left panel: modal parameters from GRFP with mode shapes normalised to unity at battery level (shaker location). M indicates the modal mass for mode shape scaled to unit at the battery level, fn is the natural frequency and ζt is the damping ratio. Right panel: the modal ordinates values for the two identified mode shapes.

734

735 Modal masses are most important for relating wave loading to response, but often they can be misunderstood because of the way they are linked to mode shape scaling. Therefore we define the modal 736 737 mass as the integral with respect to height of mass weighted by squared horizontal modal ordinate 738 (Brownjohn and Pavic, 2007), while the scaling sets the mode shapes to have unitary value at the level where the shaker is located (i.e. battery room). Accordingly, the physical response at this location is 739 740 obtained by considering each mode as a SDoF system with this "unity scaled" value of modal mass. Figure 741 21Error! Reference source not found. shows the obtained results from the GRFP in which the first two 742 identified mode shapes are presented. The mode shapes with large helideck ordinate have much larger 743 modal mass. This is because the contribution to the modal mass calculation goes with the square of the 744 modal ordinate. Furthermore, since the open helideck structure is practically transparent to horizontal 745 loads due to breaking wave impacts, response of the masonry towers in these modes is expected to be relatively low. 746

747 5.3. Hurricane Ophelia

748 Hurricane Ophelia, the tenth and final consecutive hurricane of the very active 2017 Atlantic hurricane

season, was the strongest storm that affected the south-western UK and Irish coasts. Formed on 3rd 749 October from a broad low-pressure area offshore the Azores, it began to strike the British and Irish coasts 750 at the beginning of 12th October (Guisado-Pintado and Jackson, 2018). At the Wolf Rock nearest deep water 751 node (49°56'4.7" N - 5°49'46" W), available within the NORGASUG model (Boudière et al., 2013), the 752 hurricane reached its maximum intensities in term of significant wave height on 16th October during the 753 afternoon between 13:00 and 16:00 (H_{mOMAX} =7.15m; T_P =13.3s, T_S =12.4s, T_m =11.2s and d_P =222°N) and 21st 754 755 October, during the morning, between 10:00 and 13:00 (H_{m0MAX} =7.55m; T_{P} =14.7s, T_{S} =13.7s, T_{m} =12.4s and $d_P=242^{\circ}N$), Figure 22. The following analysis will focus on the 16^{th} October peak. 756



757

758Figure 22 Wave hindcast data from HOMERE model (Ifremer, Boudière et al. (2013)). Deep water wave conditions759south-west of Wolf Rock lighthouse at 49°56'4.7" N - 5°49'46" W.

760 5.4. Remote acceleration acquisition system

During the same period a remote logging system, aimed to acquire the wave-induced acceleration, was installed in the lighthouse battery room, i.e. 7^{th} floor. The system comprises a single JA-70SA triaxial servo accelerometer, therefore two horizontal (i.e. CH_1 and CH_2) and one vertical signals are available for the analysis. The vertical acceleration is negligible for the aim of the proposed analysis. CH_1 points 282°N and CH_2 is perpendicular, i.e. it points 192°N, Figure 23 upper panel. The recorded accelerations are shown in Figure 23 lower panels at different time scales, i.e. the entire day, the 6 hours of the storm and the selected acceleration time series together with their HHs.



768 769

Figure 23 Remote logging system set-up and 16th October 2017 Wolf Rock lighthouse acceleration records during Hurricane Ophelia and the selected impact event

770 The original recorded CH_2 acceleration is rotated by approximately 30° from the hindcast wave direction; 771 thus, to extract the acceleration of the lighthouse only along the wave direction the simultaneous records 772 of both perpendicular channels are iteratively rotated (by step of 1°) from 0 to 180° clockwise. Moreover, 773 the rotation analysis also allows the assessment of coherence between the acceleration measurements and 774 the hindcast wave direction. The integral of the energy spectrum for both rotated signals is calculated and used as a proxy for the estimation of the impact direction. The maximum value of CH_2 energy spectrum 775 integral is obtained for a clockwise rotation equal to 34°, hence it can be argued that the wave generating 776 the shaking of Wolf Rock lighthouse at 14:28 on 16th October was coming from 226°N in close agreement 777 778 with the hindcast wave direction. Thus in the following study the 34° rotated CH_2 signal is considered, i.e. 779 the considered alignment is 226°N.

780 To identify the wave force generating the shaking at the battery room, the experienced inertia force should be described through the modal masses presented in Figure 21Error! Reference source not found. 781 782 (Brownjohn et al., 2019; Brownjohn et al., 2018) and then used within the inverse process. In other words, we want to use the measured acceleration of an elastically linked mass to evaluate the force acting on the 783 784 mass itself. In the lower panels of Figure 23 the HHs for both CH_1 and CH_2 show that the impulsive wave load response is mainly concentrated within the second natural mode, i.e. around 6.8 Hz. Thus, under the 785 786 assumption of linear behaviour of the structure, the experienced inertial force at the battery room can be 787 calculated as the product between the acceleration and the modal mass related to the second natural 788 mode (i.e. 436 t) as shown Figure 24. To summarise this preliminary analysis of the acquired signal, 789 impulsive wave load will be reconstructed by the application of the previously described inverse method 790 considering the inertial force and the IRF related to the second natural mode.





Figure 24 Experienced inertial force at the battery room during one wave impact during the Hurricane Ophelia.

793 The process follows the same steps adopted for the laboratory data analysis; however, since it is impossible 794 to test the lighthouse with an impact hammer, the IRF for the second natural mode is reconstructed from 795 its theoretical expression. The process requires the deconvolution between two homogenous signals, 796 hence the IRF expressed in term of displacement is converted in terms of force by means of the product 797 between the second mode modal mass and the second time derivative of the displacement IRF as in eq.(3). 798 In this case the modal parameters identified through the field modal analysis are adopted within eq.(3). In 799 this regard, it should be mentioned that we are assuming that the dynamic parameters identified through 800 the dry modal analysis, i.e. when no water was in contact with the lighthouse, remain valid also during the 801 wave impact; whereas in Brownjohn et al. (2019) the results of the non-stationary modal analysis during 802 Hurricane Ophelia show the increasing of the damping ratio together with the decreasing of the natural 803 frequencies during the period of maximum wave agitation. In such cases, it is reasonable to argue that the 804 water surrounding the structure during the impact exerts additional damping and contribution to the 805 inertia of the structure through the added mass. However, the limited number of observations and the 806 uncertainty on the added mass do not allow the systematic use of these non-stationary parameters within 807 the inverse process.

808 5.5. Field (almost) uninformative prior distributions

809 The lack of information about the individual wave that might have generated the analysed lighthouse 810 shaking imposes the use of uninformative prior distributions about the intensity of the impulsive wave 811 loading. Therefore, time constant normal prior distributions characterised by mean value equal to zero and 812 relatively large standard deviation (i.e. 4 times the maximum calculated inertial force at the battery room) 813 are adopted. Such a large value of the standard deviation makes the prior similar to a uniform distribution for the range of investigated values. In contrast, the time duration of the impulsive loading can be 814 815 estimated with reasonable accuracy. We assume that the linear phase celerity is valid for the estimation of 816 the velocity of the water mass that hits the lighthouse, hence, knowing the water depth (i.e. 35 m) and 817 assuming that the largest waves are associated with the significant period equal to 11.75 s (Goda (2000)), 818 the wave phase celerity is equal to 15.40 m/s. Moreover, applying Goda et al. (1966) impulsive loading 819 duration model and considering the diameter equal to 12.68 m (the maximum at the base of the 820 lighthouse) it is possible to make a preliminary estimate of the duration of the loading impulsive 821 component of 0.41 s, hence the prior correlation function falls off with the same time scale. A detailed 822 sensitivity analysis on the effect of the prior distributions on the final result is presented in the appendix B 823 for sake of completeness.

824 5.6. Field results

The result of the inverse process applied to the field data is presented in Figure 25, where the overall inertia force due to the 16th October event is shown as a dotted light blue line. The reconstructed force experienced at the battery level due to the wave impact is presented with a solid black line (the credible interval is not visible due to the scale) the lower panel shows its HHs. The lower left box shows the detail of the posterior distribution related to the maximum reconstructed force value, while the upper right box is the enlargement of the reconstructed peak force.

831 Not only for the well-controlled laboratory data, but also for the more complex field data it can be said that 832 the inverse method works properly and a large amount of the structural dynamic effects are removed from 833 the signal, allowing a clear description of the wave force features. Weak background oscillations remain in 834 the final reconstructed force due to the theoretical nature of the adopted IRF that does not provide an 835 ideal kernel for the deconvolution of complex field data. Some of the dynamic features of the lighthouse are not perfectly removed from the final solution, as shown by the oscillations characterised by a frequency 836 slightly larger than the first natural mode between 14:28:40 and 14:28:42. However, despite this spurious 837 838 less energetic component in the final result, the impulsive components are properly captured.

839 Similar features that were previously identified in the laboratory result are also detectable for the field 840 outcomes. The two close peaks characterising the first impulsive loading component are properly 841 reconstructed and likely to be due to the impact of the first front and the following deceleration of the 842 falling water mass. Although the initial impulsive component does not show the same characteristics of a 843 fully breaking wave loading, its slightly longer character remains rather similar to a slamming force. The 844 energy is concentrated within a few tenths of second, between the beginning of the rise time and the end 845 of the second peak. The instantaneous frequency shows the typical feature of the impulsive load, with 846 energy content spread over a rather large frequency band, ranging between 0.8 to 40-50 Hz. While the 847 laboratory results showed clearer concentration of energy at the beginning of the rise time, here the 848 maximum energy is detected at the beginning of the second peak; however, a sudden increase of the 849 instantaneous frequency is also visible at the beginning of the overall impulsive load, highlighting again the 850 importance of this initial part of the load.



851

Figure 25 Detailed example result of the field data analysis. The identified incident wave force (left axis) together with
 a zoom on the posterior distribution for the maximum value and the Hilbert-Huang spectrum (right axis).

The first, double peak, impulsive component lasts for about 0.06 s (slightly before 14:28:39) that in turn 854 855 makes the nature of the load nearly dynamic with respect to the behaviour of the structure (i.e. the ratio 856 between the impulsive loading length and the natural period is larger than 0.25 (Chen et al., 2019; 857 Oumeraci and Kortenhaus, 1994)). This aspect is particularly relevant for the accurate modelling of the 858 response of the structure under wave action. Indeed, if the first mode would have been the most significant 859 one, the structural analysis could have been carried out according to the impulse theory presented by Chen 860 et al. (2019), whereas, in this situation, the time-varying nature of the impulsive wave load must be taken into account. Proceeding along the reconstructed incident wave loading, a third less intense peak is present 861 862 and highlighted by the sudden increase of the instantaneous frequency at about 14:28:39.5. The similarity 863 between this third peak and the identified one in the laboratory result is clear. However, a slightly different 864 time sequence, i.e. time lag between the main impulsive component and the third peak, is also evident and 865 likely to be due to the uncertain condition at the base of the lighthouse and the induced effects on the 866 wave breaking process.

867 Up to this point in the analysis, the adopted methodology has virtually assumed the application of the 868 incident wave force at the battery level, i.e. 32.6 m above the first full course of the lighthouse or 34.7 m 869 above the chart datum. Evidently, the wave action could not be directly applied to such a high level. One 870 last step is therefore required to translate the application point from the battery room to a more realistic 871 lower application point, and accordingly re-scale the wave force intensity. This final step can be achieved by 872 knowing the modal ordinates at different elevations of the structure. Within the proposed analysis, the 873 battery room modal ordinate was always kept equal to 1. Hence, in order to reconstruct the force at a 874 lower level, the reconstructed force time series should be divided by the modal ordinate (in this case 875 related to the second mode) corresponding to the estimated application point. However, since no direct 876 measurements of the incident individual wave height are available for Wolf Rock lighthouse, a preliminary 877 estimation of the wave force application point is carried out through the available methods in the 878 literature. The statistical distribution proposed by Battjes and Groenendijk (2000) is adopted to describe 879 the individual wave heights at the toe of the lighthouse (Figure 26 upper panel), while the application point

is calculated under the assumption of uniform vertical pressure distribution exerted along the upper 46%

881 (i.e. curling factor equal to 0.46) of the asymmetric wave crest described by means of Hansen (1990)

882 method (Figure 26 middle panel).



883 884

Figure 26 Upper panel: Battjes and Groenendijk distribution at the toe of Wolf Rock lighthouse for the analysed wave
 state; middle panel: wave force application point; lower panel: corresponding modal ordinate (left axis) and wave force
 scale factor, i.e. modal ordinate inverse (right axis)

887 Once the application point is known, the modal ordinate value is also known and can be used as the scale 888 factor for the intensity of the reconstructed force (Figure 26 lower panel). From Figure 23 the analysed impact is the 2nd highest in 3 hours (i.e. 13:00 to 16:00) characterised by almost uniform H_s around 7.15 m. 889 890 Hence, considering the mean period (T_m) associated with the underlying Jonswap spectrum, 812 events can 891 be estimated and accordingly an exceedance probability of 0.21% for the second-highest event can be 892 calculated. Figure 27 shows the final results of the overall inverse force reconstruction process. Due to the 893 lack of direct measurement of the incident waves, the results about which wave had generated the 894 recorded shaking are still affected by some uncertainties, however, they lay the foundation for a process-895 based assessment of the structure, where no empirical formulae are required to describe the incident wave 896 loading.



Figure 27 Example results of the rescaled incident wave force H_{0.21%}

900 6. Discussion and conclusion

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901 This work intends to make progress in the application of the inverse method to reconstruct wave forces 902 exerted on marine structures, providing a sound framework for a large number of field and laboratory 903 applications. The presented methodology is based on linear theory and therefore assumes the elastic 904 behaviour of the investigated structure, an aspect that should first be checked when the method is applied 905 to field measurements under extreme wave actions. The paper provides a comprehensive presentation of 906 the method by addressing three different applications: a theoretical one, where the impulsive load is 907 reconstructed from the response of a theoretical single degree of freedom system; a laboratory application, 908 where the force exerted by a broken wave is identified from the force measured on a vertical cylinder upon 909 a shoal; and a field application, where a wave force exerted on Wolf Rock lighthouse is described from the accelerations measured during the Hurricane Ophelia. In the following, the main aspects of the three 910 applications are discussed and some conclusions gathered. 911

912 The theoretical example shows, in a simplified and controlled case, the main issues related to the inverse 913 process. The effect due to the (inevitable) presence of noise within the real signal is highlighted and 914 analysed in detail. The methodology is applied and the results compared with the Tikhonov regularisation 915 and the truncated Fourier transform. Although the truncated Fourier transform is rather time-efficient (the 916 computational time is way smaller than the other methods) and effective to reconstruct the unknown force, the method requires the subjective selection of the threshold to overcome the sensitivity of the 917 918 results to small changes in the input records (noise) and the results show loss of resolution and some 919 spurious oscillations. The Tikhonov method is effective for the reconstruction of the essential features of 920 the impulsive input signal, the truncated singular values show their influence through the introduction of spurious oscillations and loss of resolution in the final solution. On the other hand, the Bayesian 921 922 methodology allows a detailed reconstruction of the input signal. The improvements due to the 923 introduction of process-based informative prior distributions are evident on both the final solution and the 924 narrow posterior distribution; indeed the sharp nature of the impulsive wave loading is reconstructed without the presence of substantial spurious oscillations. The theoretical example is also available asadditional material in the form of MATLAB[®] procedures.

927 For the laboratory data application, the use of an impact hammer aimed to define the experimental force 928 IRF is presented. The related ill-conditioning issues are tackled through the combined use of the least-929 squares method and QR decomposition. The identified experimental IRF is then applied to five regular 930 waves. The main features of the load due to the broken waves are captured in detail by the method, 931 allowing an accurate time-varying description. The load is characterised by an initial impulsive component 932 constituted by two consecutive peaks and a delayed one. The first peak is mainly due to the impact of the 933 first aerated front, while the second is due to the sudden deceleration of the falling water mass at the base 934 of the structures. The third peak has a smoother shape, is less intense and is longer than the first ones. It is 935 due to the impact of the remaining water mass carried by the individual wave. Both wave force and 936 overturning moment are reconstructed with a good level of accuracy, allowing the identification of the 937 point of application of the force. The application point time series is partially affected by the remaining 938 small spurious oscillations in the final solution. The effect is particularly evident for low force intensity. In this condition, the resulting time series is overcome by the spurious oscillations making part of the 939 940 application point results unreliable. However, the application points for the impulsive loading conditions 941 are properly captured. The goodness of the final result is further corroborated by the comparison between 942 the force, overturning moment and force application point with the detected cylinder runup. Although the 943 overall analysis provides trustful results some uncertainties remain on the effects of the structure 944 compliance and its movements during the interaction with the water mass, however, they are hardly 945 quantifiable and assumed to be negligible.

946 The application of the Bayesian method to the field vibration data is slightly more complex. An accurate 947 dynamic characterisation of the structure is required in order to identify the main dynamic parameters and 948 mode shapes. The data is initially pre-processed to indirectly identify the direction of the incident wave 949 generating the recorded shaking. The identified wave direction agrees with the direction coming from the 950 hindcast model, validating the adopted analysis procedure. For Wolf Rock lighthouse only the second 951 identified mode seems to respond to the impulsive wave loading. Based on this finding the deconvolution 952 process is based on the theoretical IRF of the same mode. The final result allows the identification of the 953 time-varying nature of the wave load. The same features identified for the laboratory results are also 954 detected for the field data. Three peaks characterise the reconstructed wave loading and can be argued 955 that they are generated by same physical phenomena observed in the laboratory experiments. The field 956 data do not allow the identification of the overturning moment or the direct measurement of the incident 957 wave; accordingly also the wave force application point is not directly described. To overcome this lack of 958 information a statistical description of the possible incident waves is performed in order to estimate the 959 constant application point of the force and then rescale the intensity of the reconstructed force via the 960 inverse of the modal ordinate. According to the second natural mode, the lower the wave force application 961 point, the larger should have been the force intensity. From the recorded vibrations a preliminary 962 estimation of the probability of exceedance of the analysed event is inferred equally to 0.21%, accordingly 963 the generating individual wave and force application point are calculated.

Overall, the proposed methodology allows the reconstruction of the wave force directly from structural dynamic measurements, laying the foundation to analyse unclear physical phenomena such as breaking and broken wave loading on rigid structures. The method can be extended to multiple degrees of freedom as well as to structures that respond to the wave loading with a combination of multiple natural modes. Here, it has been applied to a laboratory cylinder or to an offshore rock lighthouse; however, we expect that the same procedure should be effective also for more common marine structures such as caissons, 970 crown-walls, wind turbine monopile and offshore platforms. For future field applications, we strongly
971 advise the planning of a measurement campaign where the simultaneous record of the structural vibration
972 and individual incident wave heights are considered.

973

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1108 Appendix A: Laboratory unit-impulse response function

1109 A theoretical unit-impulse response function (IRF) can be calculated as in eq.(17):

$$IRF = \frac{IFFT\left(\frac{FFT(System output)}{FFT(System impulsive input)}\right)}{e^{t_1}}$$

 $= \frac{1}{\int_0^{t_1} System \ impulsive \ input \ dt}$

(17)

1110 where t_1 is the length of the available record, FFT is the fast Fourier Transform and IFFT is its inverse. 1111 Moreover, it must be noted that the ratio in the argument of the IFFT is just the time domain deconvolution 1112 between the system output and input that can be resolved by the matrices operation presented in eq.(16). 1113 In the proposed laboratory application the overall signal presented in Figure 15 is divided in three parts of

- the same length equal to 2.06 s that are later used to calculate three IRFs that in turn are averaged to obtain the final IRF used in the inverse method.
- 1116 In the specific case of the laboratory force measurements the IRF is calculate as in eq.(18):

$$IRF_{F_Y} = \frac{IFFT\left(\frac{FFT\left(F_{output_{(t)}}\right)}{FFT\left(F_{input_{(t)}}\right)}\right)}{\int_0^{t_1}F_{input_{(t)}} dt}$$
(18)

1117 where $F_{output_{(t)}}$ is the force time series as recorded by the force transducer and $F_{input_{(t)}}$ is the applied 1118 force as recorded by the impact hammer. If the same is applied to the calculation of the moment IRF the 1119 product of the force and its application point should be considered as in eq.(19):

$$IRF_{M_{X}} = \frac{IFFT\left(\frac{FFT\left(F_{output_{(t)}} \cdot b_{(t)}\right)}{FFT\left(F_{input_{(t)}} \cdot b_{(t)}\right)}\right)}{\int_{0}^{t_{1}}F_{input_{(t)}} \cdot b_{(t)} dt}$$
(19)

1120 where $b_{(t)}$ is the time series of the application point of the applied force via the impact hammer, but also 1121 the application point comprising the moment measured by the moment transducer. $b_{(t)}$ is the same in both 1122 numerator and denominator of the IFFT argument, so the value can be cancelled out and therefore 1123 considered negligible for the calculation of the moment IRF. Obviously, the normalising integral should be 1124 redefined as in eq.(18), however, its result is a scalar that is only needed to normalise the IRF and therefore 1125 it only affects the amplitude of the IRF oscillations whereas the other features remain unchanged.

1126



Figure 28 Laboratory time domain (upper panel) and frequency domain (lower panel) comparison between the force and moment IRF calculated according eq.(18) and eq.(19) respectively. The assumed constant application point is equal

1130 to 0.525 m from the origin of the reference system shown in Figure 11.

1131 Figure 28 shows the comparison of the two IRFs calculated by explicitly taking into account both impact 1132 hammer test response for the force and the moment under the assumption of a distance between the 1133 reference system origin and the impact hammer application point equal to 0.525 m. This distance was not 1134 measured during the impact hammer tests. Indeed, despite the fact that we roughly applied the impact 1135 hammer around the area affected by the wave action, we could not precisely measure the position and had 1136 to estimate it afterwards, introducing an additional uncertainty in the model. Both upper and lower panels 1137 show a high-frequency component for the force IRF that is not present for the moment IRF, approximately 1138 around 500 Hz as highlighted in the spectrum (lower panel). This high-frequency component can only be 1139 due to the internal transducer set-up, as both the force and moment have been measured by the same 1140 integrated transducer. In light of this difference in the frequency contents and the uncertainty due to an 1141 erroneous impact hammer application point, we had to make a choice about what could be considered 1142 negligible. The choice was to proceed only with the force IRF for better control we could have on the final 1143 solution and the reasonable theoretical background provided above.

1144

1145 Appendix B: Prior distribution sensitivity analysis

Due to the limited prior process-based knowledge on the wave event generating the shaking of Wolf Rock 1146 lighthouse on 16th October 2017 almost uninformative uniform prior distributions have been applied for 1147 1148 the proposed analysis. However, to investigate the effect of the different prior distributions parameters, a 1149 sensitivity analysis on the final results has been performed. Being the impulsive load component, the most 1150 interesting part from a structural point of view, we focused the sensitivity analysis on three features 1151 describing this component, i.e. the maximum force, the time duration and its impulse quantity. The wave slamming component is defined as the reconstructed wave load time series between the zero-down and -1152 1153 up crossing points nearest to the maximum reconstructed force, while its underlying impulse is defined by 1154 the integral of the force-time series between these two points, Figure 29.



1155 1156

1157



1158 The sensitivity analysis focused on two main parameters. The standard deviation (std) for the prior normal 1159 distribution, expressed in term of percentage of the maximum absolute value of the recorded inertial force

(Figure 24), with values between 10% and 600%. While the second parameter is the correlation time lag, 1160 that has been varied from 0.026 s to a maximum of 0.78 s. The results for both parameters are presented in 1161 1162 Figure 30, where the right column shows the results related to the prior distribution std and the left column 1163 the results related to the correlation time lag. It is evident that, except for small values of the std the selection of different parameters do not largely affect the final result. Indeed, for std values larger than 2 1164 times the maximum recorded inertial force no evident variation in the final result can be detected, while 1165 also the correlation time lag is not a critical parameter being quite weak the trend within the results. 1166 1167 Therefore, we adopted middle values for both the parameters, keeping the prior std equal to 4 times the 1168 maximum recorded inertial force and the correlation time lag around 0.41s also according to the 1169 preliminary estimation of the impulsive component.



1170 1171

Figure 30 Effects of the prior distribution on the reconstructed impulsive load component

<u>Antonini et al.</u>: A Bayesian inverse dynamic approach for impulsive wave loading reconstruction: Theory, Laboratory and Field application

Highlights

- A process-based Bayesian inverse method is developed to provide an innovative framework for the analysis and reconstruction of the impulsive wave force from the dynamic response of the investigated structure. The MATLAB[®] procedures chain is released with the paper.
- The inevitable dynamic response of a laboratory setup under impulsive wave loading is successfully removed from the measured wave force and overturning moment in order to describe the main, time evolving, features of the real loading.
- The, time varying, application point of the wave force is properly identified via the ratio between the wave induced overturning moment and force, the results are in good agreement with the detected runup showing the goodness of the proposed methodology.
- Through the application of the developed methodology to the measured accelerations on Wolf Rock lighthouse during the Hurricane Ophelia, a real scale impulsive wave force is reconstructed.
- The results of the methodology applied to both the laboratory and field data show an extraordinary agreement, the characteristic three peaks of the broken wave loading are properly identified on both databases.

Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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