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## Highlights

### **Seismic anisotropy of the Canadian High Arctic: evidence from shear-wave splitting**

Jean-Michel Dubé, Fiona A. Darbyshire, Mitch V. Liddell, Randell Stephenson, Gordon Oakey

- New shear wave splitting measurements across the Canadian High Arctic
- Preservation of deformational structures throughout the subcontinental lithosphere
- Distinct 2-layered anisotropy beneath the central High Arctic

# Seismic anisotropy of the Canadian High Arctic: evidence from shear-wave splitting

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## Abstract

The Canadian High Arctic preserves a long and complex tectonic history, including craton formation, multiple periods of orogenesis, extension and basin formation, and the development of a passive continental margin. We investigate the possible preservation of deformational structures throughout the High Arctic subcontinental lithosphere using measurements of seismic anisotropy from shear wave splitting at 11 seismograph stations across the region, including a N–S transect along Ellesmere Island. The majority of measurements indicate a fast-polarisation orientation that parallels tectonic trends and boundaries, suggesting that lithospheric deformation is the dominant source of seismic anisotropy in the High Arctic; however, a sub-lithospheric contribution cannot be ruled out. Beneath Resolute in the central Canadian Arctic, distinct back-azimuthal variations in splitting pa-

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rameters can be explained by two anisotropic layers. The upper layer is oriented E–W and correlates with tectonic trends and the inferred lithospheric deformation history of the region. The lower layer has a  $\sim$ NNE–SSW orientation and may arise from present-day convective mantle flow beneath locally-thinned continental lithosphere. In addition to inferences of anisotropic structure beneath the Canadian High Arctic, measurements from the far north of our study region suggest the presence of an anisotropic zone in the lowermost mantle beneath northwest Alaska.

*Keywords:* Seismic anisotropy, Shear wave splitting, Canadian High Arctic, Lithospheric deformation

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## 1. Introduction

The Canadian Arctic is a geologically complex region with a tectonic history spanning over 2 billion years from the Archean to the Cenozoic. It forms a significant part of the margin of the as-yet poorly understood Arctic  
5 Ocean, and has been affected by numerous episodes of orogenesis, rifting and basin formation (e.g. Pease et al., 2014). In this paper we explore the potential roles of lithospheric deformation and present-day sublithospheric (convective) mantle flow in the tectonic evolution of the Canadian High Arctic. Such processes typically create fabrics that display different seismic velocities  
10 depending on the direction of wave propagation. We study these anisotropic structures using observations of shear wave splitting.

### 1.1. Geological Setting

The oldest rocks in the Canadian High Arctic study area (Figure 1) are those of the Archean-Proterozoic Laurentian proto-continent, now ex-

15 posed in the Greenland-Canadian Shield. These crystalline basement rocks  
are overlain by Mesoproterozoic clastic sediments in the southern part of  
the study area as well as younger Arctic Platform sediments. Later, up  
to 8 km of sediments were deposited on the northern Laurentian passive  
margin between the Neoproterozoic and Devonian, collectively known as  
20 the Franklinian Basin (Figure 1). The Franklinian Basin comprises the  
Franklinian Shelf and deeper-water Hazen Trough successions (e.g. Oakey  
and Chalmers, 2012; Piepjohn and von Gosen, 2018; Stephenson et al., 2018,  
and references therein).

The Franklinian successions, exposed only on Ellesmere Island in the  
25 study area, have been strongly affected by deformation during the Ellesme-  
rian Orogeny, as has the Pearya Terrane, which is generally considered to be  
an extraneous continental fragment of non-Laurentian origin, accreted north-  
ern Laurentian margin during the orogeny (e.g. Trettin et al., 1991; Piepjohn  
and von Gosen, 2018; c.f. Hadlari et al., 2014). Ellesmerian orogenesis termi-  
30 nated by the latest Devonian - earliest Carboniferous and created a 400 km  
wide and >3000 km long fold-and-thrust belt along the Arctic margin of  
North America. It is mostly covered in the study area by the sediments of  
the younger Sverdrup Basin although the Devonian-aged foreland basin of  
the Ellesmerian Orogeny is exposed to the southwest of the Sverdrup Basin.

35 The Sverdrup Basin developed over much of the Canadian Arctic Archipelago  
from the Carboniferous to the Paleogene, with a maximum sedimentary  
thickness estimated to be ~13 km (Embry and Beauchamp, 2008). Strata  
in the eastern part of the Sverdrup Basin, especially on Axel Heiberg and  
Ellesmere Islands, as well as some of the older Pearyan and Franklinian strata

40 on Ellesmere Island, were compressionally and transpressionally deformed during the intraplate Eurekan Orogeny in the Paleogene. This deformation was caused by relative motions between Greenland and the NE Canadian Arctic during the early opening of the North Atlantic and Eurasian Arctic oceans (e.g., Piepjohn and von Gosen, 2018).

45 *1.2. Previous geophysical studies*

Various seismic methods have been used to study the crustal architecture of the Canadian High Arctic region, including sedimentary basin thickness and the thickness of the crystalline crust. Surface wave studies (e.g. Brune and Dorman, 1963; Wickens and Pec, 1968; Wickens, 1971) provided information on path-averaged structures across the region, whereas active-source 50 refraction-reflection studies provided detailed information along a number of 2D profiles across Baffin Bay, Nares Strait, the Sverdrup Basin and the Arctic continental margin (e.g. Buchbinder, 1963; Keen et al., 1972; Jackson et al., 1977; Forsyth et al., 1979; Asudeh et al., 1989; Argyle and Forsyth, 1994; Forsyth et al., 1994, 1998; Reid and Jackson, 1997; Jackson and Reid, 1994; 55 Jackson et al., 1998; Funck et al., 2006, 2011). They found crustal thicknesses ranging from 22–30 km close to the continental margins to 34–42 km further inland in the Arctic. Receiver function studies by Darbyshire (2003); Dahl-Jensen et al. (2003) gave Moho depth estimates from 25–32 km at the northern tip of Ellesmere Island to 33–37 km elsewhere in the High Arctic. 60 Schiffer et al. (2016) analysed receiver functions along a north-south profile on Ellesmere Island, finding a large range of Moho depths from 29–32 km at the edge of the Sverdrup Basin to 45–48 km beneath parts of the Central Ellesmere Domain.

65 Crustal architecture has also been studied using gravity modelling, notably for the region of Ellesmere Island and the NE Arctic (e.g. Oakey and Stephenson, 2008; Schiffer and Stephenson, 2018; Stephenson et al., 2018). Moho depths are generally in close agreement to those constrained by seismic imaging (both refraction and receiver function), and such studies provide  
70 important complementary information to measure crustal structure on a regional scale.

Upper-mantle seismic velocity structure and lithospheric thickness have been studied through surface-wave and full-waveform analysis at regional, continental and global scale (e.g. Darbyshire, 2005; Bedle and van der Lee,  
75 2009; Yuan et al., 2011; Schaeffer and Lebedev, 2014; Lebedev et al., 2018). High shear wave velocities, consistent with cratonic lithosphere, extend beneath most of the Canadian High Arctic to at least 200 km depth with the exception of Baffin Bay, where the lithosphere has been thinned by rifting, and the central Sverdrup Basin region, where the lithospheric thickness is  
80 closer to  $\sim 150$  km.

Seismic anisotropy beneath the Canadian High Arctic has been studied through SKS splitting measurements for the sparse network of Canadian permanent seismic stations (Helffrich et al., 1994; Bostock and Cassidy, 1995; Silver, 1996; Barruol et al., 1997; Evans et al., 2006, e.g.). Further information about upper-mantle anisotropy can be gained from global surface wave  
85 tomography (e.g. Becker et al., 2012; Debayle and Ricard, 2013; Yuan and Beghein, 2013; Schaeffer et al., 2016); however the lateral resolution of the models is generally low and there is little agreement between them for the northernmost latitudes.

90 While aeromagnetic and gravity maps can provide useful information on  
tectonic boundaries and large-scale crustal structure, correlation between the  
anomaly patterns and those of lithospheric-scale seismic anisotropy is uncer-  
tain. However, in the case of stable continental lithosphere, Curie depths  
(the maximum depth of remnant rock magnetism, controlled by tempera-  
95 ture) may extend into the upper lithospheric mantle, and correspondence  
between seismic anisotropy patterns and potential-field data has been used  
to argue for crust-mantle tectonic coupling (e.g. Bokelmann and Wüstefeld,  
2009). Bouguer gravity anomalies in central and northern Ellesmere Island  
parallel the main tectonic trends with a roughly NE-SW orientation (Oakey  
100 and Stephenson, 2008; Gaina et al., 2014; Petrov et al., 2016). Magnetic  
anomaly trends have been mapped in detail across much of the Canadian  
Arctic; however the data set is incomplete, notably for the northern Ellesmere  
Island region.

### *1.3. Seismic anisotropy and shear wave splitting*

105 Core-refracted shear waves are often used to measure seismic anisotropy  
because the P-to-S conversions at the core-mantle boundary erase all source-  
side anisotropy, and the S phase is thus initially radially polarised when it  
exits the core on the receiver side (e.g. Savage, 1999). When the shear wave  
encounters an anisotropic medium - generally presumed to lie in the upper  
110 mantle - it will split, creating two orthogonally-polarised quasi-shear waves.  
The quasi-shear wave orientated along the fast-polarisation orientation  $\phi$  of  
anisotropy travels faster in the medium than the other phase, which is orien-  
tated perpendicular to the anisotropy, creating a delay time  $\delta t$  between the  
two phases which is measurable at the receiver. The presence of anisotropy



115 and the resulting shear wave splitting results in energy on the tangential-  
component seismogram, together with an elliptical particle motion arising  
from the delay time between the quasi-shear phases.

The two measured parameters,  $\phi$  and  $\delta t$ , provide valuable information  
about past and present geodynamics. The fast-polarisation orientation is  
120 most typically related to lithospheric deformation, which may give rise to  
aligned structural and/or mineralogical fabrics, to the direction of sublitho-  
spheric convective mantle flow, or to a combination of these sources. Delay  
time is controlled by both the thickness of the anisotropic layer and the  
strength of its anisotropy.

## 125 **2. Data acquisition**

Our data set consists of 11 broadband seismic stations distributed across  
the Canadian High Arctic (Figure 1, Table 1). 5 are permanent or long-term  
installations with recording periods between 7 and 29 years, affiliated to  
the Global Seismograph Network (GSN) or Canadian National Seismograph  
130 Network (CNSN). In addition, we use data recorded between 2010 and 2012  
by 6 temporary stations of the Ellesmere Island Lithospheric Experiment  
(ELLITE; Stephenson et al. (2013); Schiffer et al. (2016)). The method used  
to measure seismic anisotropy uses core-refracted shear waves (SKS, SKKS  
and PKS, hereafter referred to generally as XKS); in order to acquire the  
135 relevant data we initially searched for earthquakes of magnitude  $\geq 6.0$  at  
epicentral distances of  $\geq 88^\circ$  from the stations (or, in the case of the more  
closely-spaced ELLITE stations, from the central point of the network, close  
to station IBFE). The data were bandpass filtered between 0.04 and 0.3 Hz to

enhance the signal-to-noise ratio of the XKS phases, and careful visual quality  
140 control was used to select the highest-quality data for further analysis. Data  
quality is defined by a combination of high signal to noise ratio, a stable  
waveform free of artefacts, and clear XKS phases that are well separated  
in time from each other and from direct S or Sdiff arrivals. Following data  
quality control, we checked the seismograms for their specific XKS content,  
145 i.e. the occurrence of PKS, SKSac/df and SKKS phases, as each phase occurs  
at a characteristic epicentral distance range. While some seismograms only  
had one phase of sufficient quality for splitting measurements, others had  
two, e.g. SKS/SKKS or PKS/SKKS, and were separated accordingly.

### 3. Methodology

#### 150 3.1. Shear wave splitting measurements

We measured the shear wave splitting parameters using a variation of  
the approach of Silver and Chan (1991). This method uses a grid search  
over physically-plausible values of  $\phi$  and  $\delta t$  to find the combination that best  
minimises the second eigenvalue of the particle motion matrix in the chosen  
155 analysis window, equivalent to linearising the particle motion. To do this,  
the horizontal components are rotated and one component is time-shifted  
in the analysis window, until the waveforms match. We use the analysis  
method of Teanby et al. (2004) in which measurements are made over a  
number of different analysis windows - in our case, 100 - followed by a cluster  
160 analysis which finds the most stable splitting parameters, analyses errors and  
estimates the source polarisation using the eigenvalues of the particle-motion  
matrix.

Shear wave splitting measurements can generally be described by two categories (Figure 2). A ‘split’ shows energy on the tangential component, an elliptical particle motion, and a well-defined  $\phi$  and  $\delta t$  measurement resulting from the analysis. In contrast, a ‘null’ is characterised by an initial particle motion that is already linear, and there is no energy on the tangential component. In the case of a null, the value of  $\phi$  has a  $90^\circ$  ambiguity and the value of  $\delta t$  is undefined. Null results can potentially arise from three possible situations: the passage of the shear wave through an azimuthally-isotropic medium, the cancelling of multiple layers of anisotropy beneath the station, or an earthquake backazimuth parallel or perpendicular to the fast-polarisation orientation of anisotropy.

We checked the results for significant difference between event backazimuth and estimated source polarisation. Backazimuth is the angular direction between the source and the receiver, while the source polarisation is the actual direction from which the incoming earthquake energy was observed. These directions are typically close together, as the shear wave is radially polarised when it exits the core. Possible explanations for differences between source polarisation and backazimuth include polarisation filter artefacts (e.g. Hammond et al., 2005), complex deep mantle anisotropy (e.g. Restivo and Helffrich, 2006), a mixing of phases that arrive at nearly the same time, or sensor misalignment (e.g. Walpole et al., 2014). Anomalies may also come from small-scale anisotropic structures in the mantle that could bend the XKS wave and thus change the apparent arrival direction (e.g. Jenkins et al., 2017). Our data set does not exhibit consistent anomalies at any particular station, which would indicate sensor misalignment, nor any systematic

correlations with particular backazimuth ranges, which might allow some constraint on the causes of the anomalies. We therefore believe it better to  
190 remove measurements exhibiting such anomalies (a small subset) from our data set. Following Walpole et al. (2014), we removed any measurement with a backazimuth - source polarisation anomaly greater than  $15^\circ$ .

For the long-term (GSN and CNSN) stations with a large number of measurements, we stacked the splitting results for each station in order to  
195 make an initial estimation of the dominant fast-polarisation orientation of anisotropy at each station prior to more detailed analyses. The stacking procedure is based on the method of Restivo and Helffrich (1999) which is a modification of that of Wolfe and Silver (1998). The entire misfit distribution over the  $\phi$ - $\delta t$  grid search for each measurement is summed for the ensemble  
200 of measurements, allowing both splits and nulls to be incorporated into the stack. The stack is also weighted for signal-to-noise ratio and scaled according to how well-sampled a given backazimuth range is.

### *3.2. Modelling for multiple anisotropic layers*

We carry out further modelling of our observed data, using a first-order  
205 class archetype to guide the procedure. The three most basic possible models are a single anisotropic layer, two anisotropic layers, and a single dipping layer. Each of these predicts a distinctive pattern of backazimuthal variation in splitting parameters. A single layer will not vary as a function of backazimuth, a dipping anisotropic layer will vary smoothly with roughly  
210  $360^\circ$  periodicity, and a two-layer model will include sharp jumps and have a roughly  $90^\circ$  periodicity (Figure 3; Silver and Savage, 1994). While these models are simplified, it is important to note that sharp jumps in splitting

parameters are not possible for dipping or single layers, and their observation is therefore diagnostic of a multi-layer anisotropic system.

215 The chosen model class should be the simplest possible that can explain the observations. In many data sets, even when results exhibit some systematic variations in splitting parameters, the backazimuthal coverage is insufficient to interpret anything other than a single, horizontal anisotropic layer with a single pair of splitting parameters representing the effective anisotropy  
220 of the medium beneath the station.

Where splitting parameters suggest a pattern consistent with that predicted for a two-layered anisotropy regime (e.g. Savage, 1999), we used the MSAT toolkit of Walker and Wookey (2012) to search for a combination of two anisotropic layers that could explain the backazimuthal variations.

225 Modelling proceeded via a grid search of possible anisotropic orientations from  $0^\circ$  to  $180^\circ$ , advancing by  $5^\circ$ , in an upper and lower layer. Grid search results were calculated for both  $\phi$  and  $\delta t$ . Layer thickness and fractional alignment of olivine can affect the magnitude of delay time, but this was not included because our intention is to minimize degrees of freedom in the model,  
230 and those parameters do not affect the backazimuthal pattern of splitting parameters, which is more diagnostic. Similar modelling of multiple and dipping layer models has been performed successfully in this region previously by Liddell et al. (2017). The search proceeds by creating a synthetic model for a given combination of parameters and computing an RMS misfit between  
235 these synthetic data and the observations for station RES. The RMS was calculated using equation 1.

$$RMS = \sqrt{\frac{1}{n}(x_1^2 + x_2^2 \dots x_n^2)} \quad (1)$$

for  $n$  observed data points and  $x$  difference between each data point and the modelled curve. Nulls are not included in this calculation because they have no fixed single  $\phi$  value and  $\delta t$  is undefined. Thus they cannot be directly compared to the model response. We performed a parallel analysis whereby null measurements were converted into equivalent split measurements with  $\phi$  defined as perpendicular to the backazimuth (this aligned closer to the true observations) and large errors on  $\delta t$  values such that they did not contribute to fits. Including nulls in this way made almost no difference to the model result, so we feel it is better to limit our models and analysis only to splits. We included an error estimate of  $\pm 5^\circ$  for the backazimuth of the observed data when calculating RMS misfit with the modelled results. After computing an RMS value for each combination in the grid search, the model parameters that produce the lowest RMS can be picked out. The absolute value of the RMS misfit is less important in this case than the existence of a clear global minimum region in the grid, so the values were normalized to 1.

## 4. Results

### 4.1. Shear wave splitting measurements

Figures 4 and 5a show the full set of results for our data set. A limited number of measurements is available for the ELLITE stations due to their short recording time, and station PINU, which was noisier than the other long-term installations, also provided a limited set. The longest-running

stations, ALE and RES, yielded 48 and 92 high-quality measurements respectively, allowing for more detailed analysis not only of the dominant fast-  
260 polarisation orientation but of its backazimuthal variation.

The majority of splits for stations ALE, EUNU, PINU and MBC cluster relatively closely around a dominant fast-polarisation orientation: NE–SW, N–S, E–W and ENE–WSW respectively. In contrast, we observe significant variation in fast-polarisation orientation at station RES, with a mean  
265  $\sim$ NW–SE fast-polarisation orientation. In Figure 5b, splits are stacked for the long-term stations. In the stacked results (Table 2), we observe a consistent fast-polarisation orientation for ALE, EUNU, MBC and PINU with that inferred from the individual result sets; however the dominant fast-polarisation orientation for RES is closer to WNW–ESE than the NW–SE  
270 mean inferred visually from the individual results.

Delay times for individual splits average  $\sim$ 0.66 seconds for the ELLITE stations, with individual values ranging from 0.33 to 0.98 seconds. Mean values for the long-term stations are consistently higher (0.9–1.1 s), though with more internal variability due to the larger data sets and better azimuthal  
275 coverage.

From north to south, the ELLITE stations exhibit varying splitting parameters. WHI has a NNE fast-polarisation orientation for the single measured split, with a cluster of nulls in the N and E backazimuth quadrants. MCF has a single null measurement with a NNW–SSE (or WSW–ENE) orientation. TQF splits vary between NNW–SSE and NE–SW in fast-polarisation  
280 orientation, depending on event backazimuth, suggesting that a more complex structure than a single horizontal anisotropic layer is needed to explain

the measurements; however the sparse data set does not allow us to discriminate between multiple layers versus a single dipping layer. The fast-  
285 polarisation orientations at IBFE are broadly similar to those at EUNU, with a similarly large delay time. At CNF, two splits are measured, from western backazimuths, showing a NE–SW fast-polarisation orientation, and nulls are measured in the NW and SE quadrants. AXF has two clear splits, with E–W fast-polarisation orientations.

290 For stations ALE and RES, the number of individual measurements is high enough to allow a more detailed inspection of backazimuthal variation. Figure 6 shows the variation of  $\phi$  and  $\delta t$  as a function of earthquake backazimuth. Although the azimuthal coverage is by no means complete, being restricted to a limited southern cluster plus a wider W to NNE swath, we  
295 observe significant variation in splitting parameters with backazimuth. This observation suggests that the initial stacked single-layer estimate does not reflect the true anisotropic structure beneath these stations, and that at least two different anisotropic layers are instead contributing to the shear wave splitting measurements.

300 The azimuthal distribution of splitting measurements at these two stations is also visualised by maps (Figure 7) in which each measurement is plotted at the piercing point of the ray at 200 km depth, using a standard global reference model (IASP91; Kennett and Engdahl (1991)) to calculate an approximate ray geometry. The ray trajectories and corresponding pierc-  
305 ing points are determined using the TauP Toolkit of Crotwell et al. (1999).

ALE shows a high degree of consistency between results for earthquakes at similar azimuth and ray parameter; all southern events give splits of  $\delta t$



>1 s with a consistent E–W orientation. Smaller-magnitude splits are found to the north of the station and nulls dominate in the NE quadrant. In the  
310 WNW and NW, we observe a clear discrepancy between splitting parameters at larger incidence angles and those at smaller incidence angles. The larger incidence angles are associated with SKKS arrivals, and exhibit delay times of  $\sim 0.7$ – $1.2$  s, whereas the smaller incidence angles, associated with SKS arrivals, exhibit either null characteristics or splits with small delay times  
315 that are close to null in character.

The results for RES are more complicated, with both azimuth and ray parameter appearing to play a role. Delay times in the NW quadrant are consistently >1 s with a NW–SE fast-polarisation orientation. There are two distinct clusters of nulls in the SSE and WNW quadrants, a subset of  
320 splits in the SSE for the most distant earthquakes (piercing points closest to the station) and small ( $\delta t < 1$  s) splits to the WSW with more variable fast-polarisation orientations.

#### *4.2. Multiple anisotropic layers at station RES*

The results of the MSAT search for combinations of two anisotropic lay-  
325 ers beneath station RES are shown in Figure 8. Each cell is coloured by the normalized RMS misfit value. The fast-polarisation orientation grid search result is, to first order, divided in half; the best fitting models have a generally SE/NW oriented layer above a NE/SW layer. There is also a clear region with the lowest RMS values in the upper left portion of the grid.  
330 The delay time grid search result has no clear region of lowest misfit, and cannot constrain any preferred model. The lowest RMS error found by the MSAT grid-search algorithm suggests an upper layer with a fast-polarisation

orientation of  $105^\circ$  and lower layer with fast-polarisation orientation of  $30^\circ$ .  
 However, a better visual fit to the data is achieved by changing the anisotropy  
 335 orientations slightly to  $90^\circ$  and  $30^\circ$  for the upper and lower layers, respec-  
 tively (Figure 9). This model was found by perturbing the minimum-RMS  
 model to determine its sensitivity to small changes in layer fast-polarisation  
 orientations. The “visual fit” model still lies within the low-RMS zone in-  
 dicated by the black outline in Figure 8. This discrepancy of  $15^\circ$  likely lies  
 340 both within the errors inherent in the original splitting measurements and in  
 the simplifying assumptions made in the modelling process. The significant  
 misfit discrepancy between the grid search minimum and the better visual fit  
 lies in the highly-variable fast-polarisation orientations for the western back-  
 azimuths ( $\sim 250\text{--}270^\circ$ ). At this backazimuth there are mathematically two  
 345 different “correct” fast-polarisation orientations at the top and bottom of the  
 sharp jump:  $\sim 170^\circ$  and  $\sim 90^\circ$ . Any observed split will naturally be more or  
 less influenced by one or the other end of that system due to small pertur-  
 bations away from the ideal synthetic scenario. We therefore suggest that,  
 while the grid search minimum model has the lowest RMS misfit, the “visual  
 350 fit” model might better reflect reality. This model provides a possible expla-  
 nation for the large spread in fast-polarisation orientations over such a small  
 backazimuth window ( $\sim 250\text{--}270^\circ$ ). The two models differ by only  $15^\circ$  in  
 upper-layer fast-polarisation orientation, and both positively identify a two-  
 layered system as the best model to explain the data. It is also interesting  
 355 to note the close alignment of the diamonds representing null measurements  
 in the synthetic model near  $160^\circ$  and  $270^\circ\text{--}300^\circ$  backazimuth (Figure 9). As  
 discussed in Section 3.1, null measurements can be due to the backazimuth

of the incoming earthquake aligning either parallel or perpendicular to the effective anisotropic fast-polarisation orientation of the medium. The wave  
360 may split multiple times, but constitutes a single observation that includes information about the cumulative effect of all layers of the Earth beneath the station. It is by observing patterns in the backazimuthal variation of splitting parameters that we can investigate whether there are multiple layers of anisotropy. It seems most likely that sources from these backazimuths  
365 happen to arrive perpendicular to the direction they would otherwise report as the fast-polarisation orientation, simply by chance. A roughly E–W oriented anisotropy in the upper layer and NNE/NE–SSW/SW orientation in the lower layer appears to provide an adequate explanation for the measurements at station RES.

## 370 5. Discussion

Arctic Canada has a long history of orogenesis, rifting and basin formation, but is at present considered a stable continental platform. Seismic anisotropy across the region is therefore most likely to arise from “fossil” fabrics preserved in the lithosphere following large-scale deformation, structural  
375 alignments of tectonic boundaries, shearing at the base of the lithosphere associated with plate motion, present-day sublithospheric mantle convective flow, or some combination of these factors. Below we examine the possible causes of Arctic seismic anisotropy in the context of our splitting measurements.

380 5.1. *Potential sources of seismic anisotropy*

- *Crustal contributions.* Previous studies of seismic anisotropy (e.g. Barrool and Mainprice, 1993; Silver, 1996) suggest that the maximum contribution of continental crust to the shear wave delay time is  $\sim 0.3\text{--}0.5$  s. We therefore compare the delay times obtained in this study to ascertain whether an anisotropic crust is sufficient to explain our observations, or whether a mantle component is necessary.
- *Lithospheric deformation and tectonic boundaries.* It is possible to attribute lithospheric anisotropy to “fossil” deformation arising from past strain, which acts to align intrinsically anisotropic minerals such as olivine. One way to infer such fabric is to compare the fast-polarisation orientations of shear wave splitting measurements with surface tectonic boundaries which may indicate zones of lithospheric deformation such as rifting, shear and orogenesis. Such a comparison makes the assumption that deformation is vertically coherent between the crust and the lithospheric mantle (e.g. Silver and Chan, 1988, 1991). The hypothesis has previously been used to explore the link between XKS splitting observations and structures such as major orogenic belts, e.g. the Appalachian and Trans-Hudson orogens in North America (e.g. Bastow et al., 2011; Long et al., 2016).
- *Plate motion and basal shear.* Shear wave splitting fast-polarisation orientations are often compared to “absolute” plate motion (APM), which is thought to give rise to basal drag from interaction between the moving plate and the sublithospheric mantle. However, these com-

parisons should be made with a certain degree of caution, because the  
405 APM directions inferred from the NNR (No Net Rotation, e.g. Argus  
et al., 2010) and HS (Pacific hotspot, e.g. Gripp and Gordon, 2002) can  
often be significantly different. In addition, the development of basal  
drag fabric is thought to be affected by plate velocity (e.g. Debayle and  
Ricard, 2013), with slow-moving plates unable to generate a basal drag  
410 fabric strong enough to create significant anisotropy.

- *Active mantle convective flow.* Strain associated with mantle flow is  
generally thought to cause the alignment of olivine  $a$  axes in the flow di-  
rection, and the resulting crystallographic-preferred orientation (CPO)  
will thus give rise to a significant anisotropic fabric (e.g. Zhang and  
415 Karato, 1995; Bystricky et al., 2000; Tommasi et al., 2000; Kaminski  
and Ribe, 2002). In general, the olivine CPO will be rotated towards  
the infinite strain axis associated with active mantle convective flow in  
the asthenosphere and below due to simple shear (e.g. Conrad et al.,  
2007).
- *Lower-mantle anisotropy.* The lowermost mantle and D'' layer have  
420 been shown to be anisotropic, though this phenomenon is not ubiqui-  
tous (e.g. Nowacki et al., 2011, and references therein). Lower mantle  
anisotropy may be visible in shear wave splitting as a systematic dis-  
crepancy in splitting parameters ( $\phi$  and  $\delta t$ , or splits versus nulls) be-  
425 tween SKS and SKKS arrivals coming from events in the same region.  
These arrivals have very similar paths and Fresnel zones in the upper  
mantle, but sample the lowermost mantle significantly differently due

to their ray paths.

A challenge when considering the relative contributions of lithospheric  
430 and sublithospheric anisotropy is the lack of direct depth constraint inher-  
ent to XKS measurements. For each individual measurement, the observed  
splitting parameters represent the integration of the entire path from core  
to receiver. We can, however, indirectly infer relative depth by considering  
the effect of lateral heterogeneity in anisotropy on the measurements made  
435 at closely-spaced stations, using the width and overlap of the XKS Fresnel  
zones (e.g. Alsina and Snieder, 1995). Sublithospheric mantle flow is ex-  
pected to vary laterally on a scale that would lead to very gradual variations  
in splitting parameters between closely-spaced stations, whereas the smaller  
scale of lateral heterogeneity in lithospheric anisotropy could result in signif-  
440 icant lateral variation of splitting parameters at these stations (e.g. Savage,  
1999; Bastow et al., 2007, 2011; Liddell et al., 2017). We can therefore make  
a preliminary estimation of the relative depth of anisotropic heterogeneities  
beneath Ellesmere Island by considering the similarities and differences be-  
tween the splitting parameters measured at ALE, the ELLITE stations, and  
445 EUNU.

### *5.2. Thickness of anisotropic layers*

Based on the ensemble of delay times for the stations in our study region,  
we can estimate to first order the corresponding thickness of the anisotropic  
layer (assuming, for simplicity, a single layer that gives rise to the effective  
450 anisotropy measured). The maximum delay times of individual events are  
of the order 1.5 s. This would suggest a thickness of  $\sim 170\text{--}350$  km, based

on the relationship  $L \simeq \delta t \bar{V}_s / dV_s$  (Helfrich, 1995), if we assume an average anisotropy strength of 2–4% (e.g. Savage, 1999; Ben-Ismaïl et al., 2001). For a delay time of 0.5 s, estimated layer thicknesses using the same parameters  
455 lie in the range  $\sim 60$ – $115$  km. Recent tomography models (Schaeffer and Lebedev, 2014; Lebedev et al., 2018) suggest that the lithosphere underlying our study region is of the order  $\sim 150$ – $250$  km thick, with the exception of the area below station RES, where shear wave velocity profiles suggest a thickness of  $\leq 150$  km.

460 Based on layer thickness considerations alone, an anisotropic contribution uniquely from the lithosphere or the sublithospheric mantle could be plausible for the smaller delay times. However, for the majority of stations across the study area, the larger observed delay times suggest that contributions from both sources would be required to explain the inferred layer thicknesses.

### 465 5.3. *Tectonic boundaries in the Canadian High Arctic*

The variability of the ELLITE splitting measurements over short spatial scales (Figure 5), together with the backazimuthal variation apparent at stations ALE and RES (Figure 6), suggest that lithospheric fabric plays a strong role in the development of seismic anisotropy for the High Arctic  
470 region. For most of the stations analysed, many of the delay times are too large to be attributed only to the crust. Mean splits at different stations vary from 0.55 to 1.25 s, with the smallest values suggestive of either weak anisotropy or a relatively thin anisotropic layer where the crust could be a significant contributor. Dominant fast-polarisation orientations at many of the  
475 Ellesmere Island stations, as well as Baffin Island station PINU, parallel the main tectonic trends visible at the surface. Lithospheric structural trends

are more difficult to identify at MBC due to the deposits of continental-shelf sediments on the northern half of Prince Patrick Island. Station WHI in northern Ellesmere Island lies within the Pearya terrane, and its single  
480 split precludes a reliable comparison with surface tectonics. Station RES, on southern Cornwallis Island, lies at the intersection of several surface-tectonic features, including the N–S Boothia trends and E–W striking fold belts. The Sverdrup Basin lies to the north, and large-scale tectonics associated with its formation may have produced lithospheric deformation beyond the basin  
485 margins, but this is uncertain. RES exhibits complex splitting parameters including evidence for layered anisotropy, and will be discussed in more detail in Section 5.5.

#### 5.4. *Plate motion versus mantle flow*

In the Canadian High Arctic, direct comparison between fast-polarisation  
490 orientations and “absolute” plate motion (APM) is complicated by the significant difference between the plate motion directions in the NNR and HS reference frames, which can reach over  $60^\circ$ . A subset of stations (Figure 5) exhibits fast-polarisation orientations approximately parallel to NNR-APM and others appear subparallel to HS-APM, but there is no region-wide correlation.  
495 In addition, the slow APM speed ( $\sim 17\text{--}23$  mm/y) of the North American plate in this region lies well below the threshold of 40 mm/yr proposed by Debayle and Ricard (2013) for the development of basal drag fabric related to APM, and we therefore discount this phenomenon as a significant contribution to our observations.

500 In order to assess the possible contributions from active mantle convective flow, we compare the ensemble of fast-polarisation orientations across



our study region with the horizontal components of the mantle flow field predicted by Forte et al. (2015) from a global seismic-geodynamic tomography model (Figure 10). The mantle flow predictions are made for two different radial viscosity profiles: V1 (Mitrovica and Forte, 2004) has a 100 km thick high-viscosity lithospheric layer whereas for V2 (Forte et al., 2010) the high-viscosity layer is 200 km thick, similar to that of average cratonic lithosphere. We note that, although flow directions vary across the region, the flow field varies more smoothly than the variations in anisotropy, even for the large station spacings outside Ellesmere Island. We observe a few possible local-scale correlations between the mantle convective flow directions and fast-polarisation orientations (e.g. V1 with the PINU stack and the principal splits at ALE); however the deviations across much of our study region are large. Our observations suggest that, even though it may contribute to the anisotropy measured in the High Arctic, mantle convective flow is unlikely to be the dominant factor.

### 5.5. *Complex anisotropy*

The shear wave splitting measurements at EUNU, MBC and PINU are consistent with a simple interpretation of a single layer of anisotropy with a horizontal axis, as fast-polarisation orientations do not vary significantly with backazimuth (Figure 4). Stations AXF, CNF, IBFE, MCF and WHI are ambiguous, as the sparse nature of the data set does not allow us to distinguish between a simple anisotropy versus a more complicated pattern. In contrast, more complex anisotropy is inferred for stations ALE, RES and TQF. In the case of ALE, much of the variation is linked to SKS/SKKS discrepancy, and is described in more detail below. However, we also note that

this station lies very close to a set of NW–SE trending tectonic boundaries in northern Ellesmere Island (Figure 5b). It is possible that the XKS waves may sample different lithospheric blocks according to event backazimuth, and  
530 this may contribute to the variations in splitting parameters. Station TQF exhibits distinct and significant backazimuthal variation, suggesting that either a dipping layer or multiple layers would be necessary to characterise the anisotropy beneath this station. Due to the limited data set, we cannot distinguish unambiguously between these two classes. The fast-polarisation  
535 orientation appears to vary smoothly between  $180^\circ$  and  $340^\circ$  backazimuth, similar to a dipping-layer prediction (Figure 3); however a two-layered model cannot be ruled out.

Backazimuthal variations in splitting at station RES are modelled as arising from two layers of anisotropy, with an upper-layer fast-polarisation ori-  
540 entation of  $90\text{--}105^\circ$  and a lower layer of  $\sim 30^\circ$ . We compare the upper layer orientation with tectonic trends, assuming vertically-coherent lithospheric deformation; however these trends are difficult to decipher since the tectonic boundaries of the crystalline basement are obscured by Devonian—Cretaceous sedimentary basin sequences. Magnetic anomaly strikes may pro-  
545 vide some information if such anomalies represent tectonic boundaries that persist through the crust and into the mantle lithosphere. Magnetic anomaly data are sparse in this region; however some recent maps (Gaina et al., 2011) show broadly E-W trending anomalies close to RES, cross-cutting the N–S trending Boothia structures.

550 While horizontal compressive stress may play a role in upper-crustal anisotropy, the resulting delay times would be too small to explain our

observations and models for the upper layer of anisotropy at station RES. Near-surface anisotropy arising from such stress is of order  $\sim 10\%$ , decreasing rapidly with depth (e.g. Boness and Zoback, 2006, and references therein).  
555 A 5 km thick layer with 10% anisotropy would only contribute 0.15 s to the delay time, for example, whereas Figure 6 shows that the average delay time at RES is  $\sim 1$  s. A more likely candidate remains mineral alignment in the lower crust and upper mantle, associated with the deformational history of the region. The High Arctic has a complex tectonic history with multiple  
560 phases of orogeny and extension (e.g. Piepjohn et al., 2016), which could give rise to a series of structural and mineralogical alignments preserved as anisotropic fabric in the lithosphere.

Mantle flow models (e.g. Forte et al., 2015) suggest a roughly NE-SW to ENE-WSW direction for mantle convective flow beneath the region, in  
565 contrast to the NNE/NE–SSW/SW orientation of the lower layer of splitting. However, such models assume a uniform lithospheric thickness at a global scale. Local thinning of the lithosphere as suggested by tomographic models (Schaeffer and Lebedev, 2014; Lebedev et al., 2018) may act to deflect mantle flow at a local to regional scale, therefore sublithospheric mantle convective  
570 flow remains a possible interpretation of the lower layer. The fast-polarisation orientation is also consistent with the orientation of azimuthal anisotropy at depths of  $\sim 150$ – $330$  km in the tomographic model of Schaeffer et al. (2016), for which the isotropic component (Schaeffer and Lebedev, 2013) images the local lithospheric thinning beneath the region surrounding RES.

575 *5.6. Contributions from lower-mantle anisotropy*

At station ALE, there is clear evidence for a systematic discrepancy between SKS and SKKS splitting characteristics for events arriving from the backazimuth range  $\sim 290\text{--}310^\circ$ . An earlier shear wave splitting study by Niu and Perez (2004), using a global data set, also noted SKS/SKKS discrepancies at station ALE from a set of 3 measurements made at the same WNW–  
580 NW backazimuth range. The earthquakes from which these measurements were made are located in the Tonga-Fiji subduction system. We calculated the piercing points for SKS and SKKS phases ascending through the lowermost mantle at a depth of 2800 km, using the TauP Toolkit (Crotwell  
585 et al., 1999), and found that the two sets of piercing points were separated by  $\sim 1400$  km laterally, with the SKS piercing points located beneath the Canada Basin of the Arctic Ocean and the SKKS piercing points located beneath northern Alaska and northwesternmost Russia (Supplementary Material, Figure S3). Alaska has previously been noted as a zone of lowermost-  
590 mantle anisotropy using a variety of techniques including shear wave splitting and differential travel times for S, Sdiff and ScS phases (Restivo and Helffrich, 2006; Nowacki et al., 2011, and references therein).

## 6. Conclusions

We have used a combination of data from long-term / permanent and  
595 short-term seismograph installations to study the seismic anisotropy beneath the Canadian High Arctic. In general, the majority of the shear wave splitting measurements exhibit fast-polarisation orientations parallel or subparallel to the major tectonic trends and boundaries visible in surface geology and

potential-field data. This, in addition to the significant variation in fast-  
600 polarisation orientation between some closely-spaced stations, suggests that  
the dominant source of the anisotropy is related to lithospheric structural  
alignments, i.e. a “fossil” deformation signature. The lithosphere of the  
High Arctic region is sufficiently thick to explain most, if not all, of the XKS  
delay times, assuming a plausible anisotropic strength for olivine-dominated  
605 lattice-preferred orientation. However, partial correlation with the modelled  
directions of present-day sublithospheric flow indicates that this flow cannot  
be ruled out as a secondary source of anisotropy.

Station RES, in the central Canadian Arctic, exhibits significant variation  
in splitting parameters as a function of event backazimuth. The pattern of  
610 variation can be explained by a simple model of two horizontal anisotropic  
layers. The upper layer has a roughly E–W fast-polarisation orientation (90–  
105°) whereas the lower layer is orientated approximately NNE–SSW ( $\sim 30^\circ$ ).  
Although tectonic trends are difficult to decipher in this region, magnetic  
anomaly data suggest the presence of E–W trending structures, and models  
615 of geopotential stress at lithospheric depths show a similar orientation. The  
lower layer is subparallel to inferred sublithospheric mantle flow and may  
represent a region where the lithosphere is sufficiently thin to allow such flow  
to make a contribution to the observed splitting measurements.

A systematic discrepancy is observed between SKS and SKKS measure-  
620 ments from the NW quadrant at station ALE (northern Ellesmere Island).  
The most likely source of this anomaly, based on analysis of source-to-station  
ray paths, is a zone of anisotropy in the lowermost mantle beneath north-  
western Alaska and the Chukchi Sea.

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### Data Availability

640 Data from the ELLITE stations and some of the long-term stations (Scripps Inst. Oceanography, 1986; Stephenson et al., 2013) are available through the IRIS Data Management Center; the remaining Canadian data are available through the Canadian National Data Centre, Natural Resources Canada (Geological Survey of Canada, 1989). Details are given via the following URLs: (1) [www.fdsn.org/networks/detail/1E.2010/](http://www.fdsn.org/networks/detail/1E.2010/) (ELLITE), (2)  
645 [www.fdsn.org/networks/detail/CN/](http://www.fdsn.org/networks/detail/CN/) (Canadian National Seismograph Network), (3) [www.fdsn.org/networks/detail/II/](http://www.fdsn.org/networks/detail/II/) (Global Seismograph Network).

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Table 1: Seismograph stations used in this study. GSN: Global Seismic Network, CNSN: Canadian National Seismograph Network, ELLITE: Ellesmere Island Lithospheric Experiment.

| Affiliation | Station | Latitude | Longitude | Operation       |
|-------------|---------|----------|-----------|-----------------|
| GSN         | ALE     | 82.50    | -62.35    | 02/1990-present |
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| CNSN        | MBC     | 76.32    | -119.36   | 08/1992-06/1997 |
| CNSN        | PINU    | 72.70    | -77.98    | 09/2000-12/2007 |
| CNSN        | RES     | 74.69    | -94.90    | 02/1992-present |
| ELLITE      | AXF     | 78.88    | -75.78    | 06/2010-06/2011 |
| ELLITE      | CNF     | 79.66    | -80.78    | 06/2010-08/2012 |
| ELLITE      | IBFE    | 80.61    | -79.58    | 06/2010-08/2012 |
| ELLITE      | MCF     | 82.65    | -75.04    | 06/2010-08/2012 |
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Table 2: Stacked splits for the 5 long-term GSN/CNSN stations. Previous results from Helffrich et al. (1994); Bostock and Cassidy (1995); Silver (1996); Barruol et al. (1997); Evans et al. (2006).  $\phi$ : Fast-polarisation orientation;  $\delta t$ : Delay time.

| Station | $\phi$ ( $^{\circ}$ ) | $\delta t$ (s)  | No. measurements | Previous results ( $\phi, \delta t$ ) |
|---------|-----------------------|-----------------|------------------|---------------------------------------|
| ALE     | $83 \pm 0.75$         | $0.98 \pm 0.03$ | 48               | $61-82^{\circ}, 0.91-1.25$            |
| EUNU    | $-4 \pm 1.75$         | $0.68 \pm 0.08$ | 22               | -                                     |
| MBC     | $63 \pm 2.25$         | $0.73 \pm 0.10$ | 14               | $43^{\circ}, 0.85$                    |
| PINU    | $-84 \pm 1.50$        | $0.80 \pm 0.04$ | 7                | -                                     |
| RES     | $-74 \pm 0.75$        | $0.58 \pm 0.01$ | 92               | $-60^{\circ}, 0.95-1.64$              |

## Figures

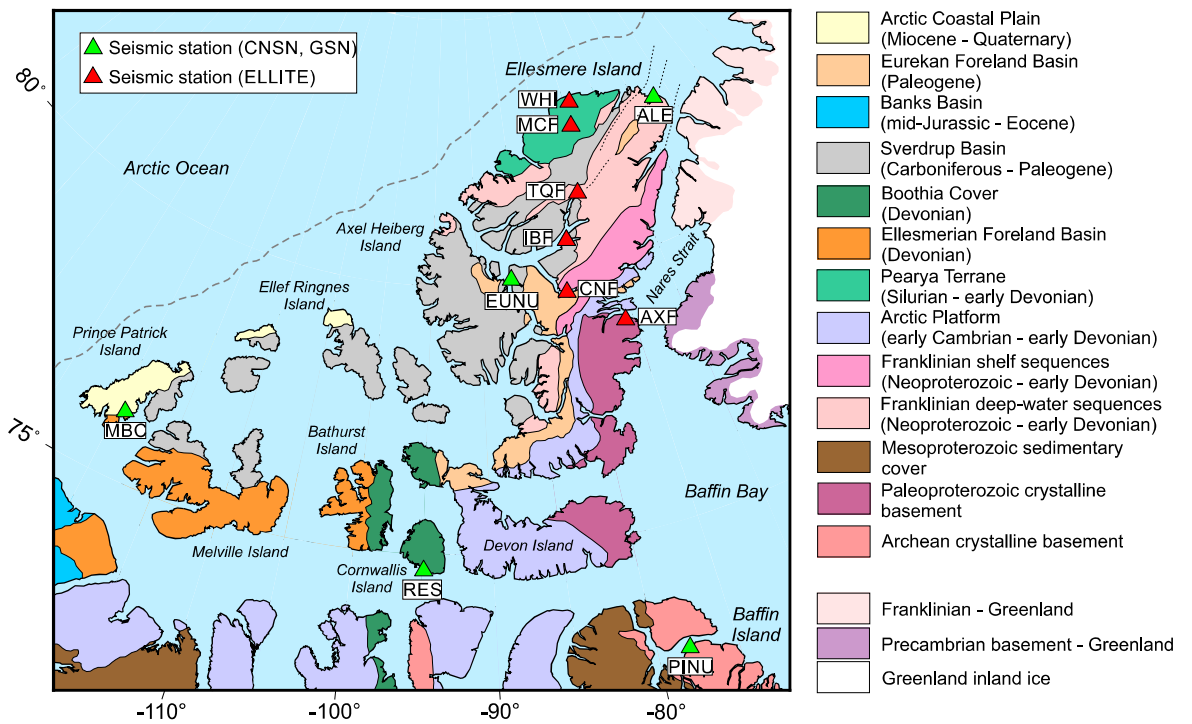


Figure 1: Geological-tectonic map of the Canadian High Arctic, simplified from Oakey and Stephenson (2008); Harrison et al. (2011); St-Onge et al. (2015), showing seismic stations used in this study. The dashed grey line marks the approximate location of the continental shelf edge.

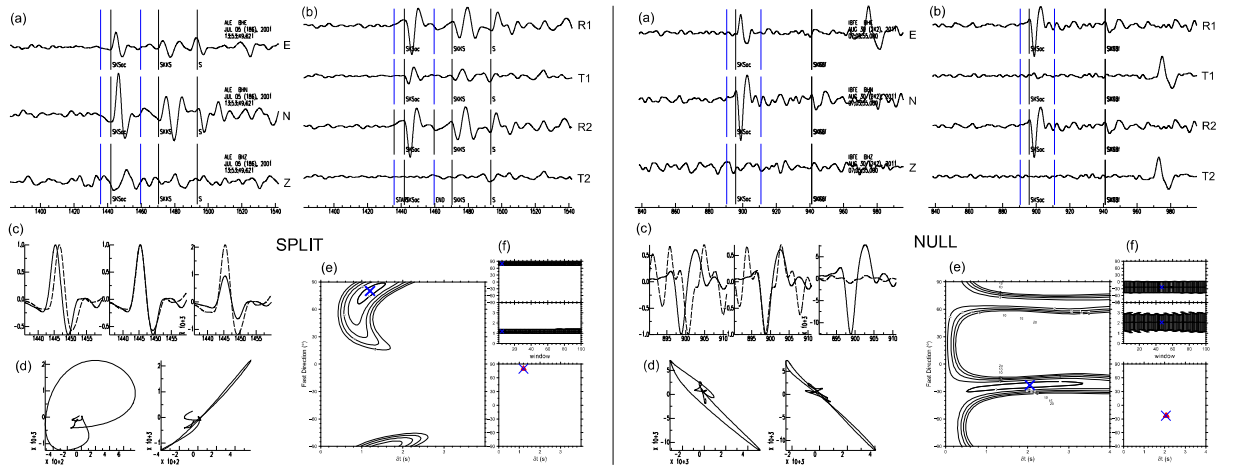


Figure 2: Examples of shear-wave splitting measurements. Left: a ‘split’, Right: a ‘null’.

(a) Original 3-component seismogram with shear phases marked; the blue lines show the limits of the analysis window. (b) Radial and tangential components before (R1, T1) and after (R2, T2) correction for splitting. (c) Zoom into the analysis window. The first panel shows the fast and slow waveforms after rotation but before correction for  $\delta t$ , the second shows the corrected aligned waveforms scaled to each waveform’s maximum amplitude and the third shows the same waveforms with absolute amplitude. (d) Particle-motion plot before (left) and after (right) correction. (e) Contour plot showing the optimal combination of  $\phi$  and  $\delta t$ . (f) Results of individual measurements from each of the 100 windows analysed.



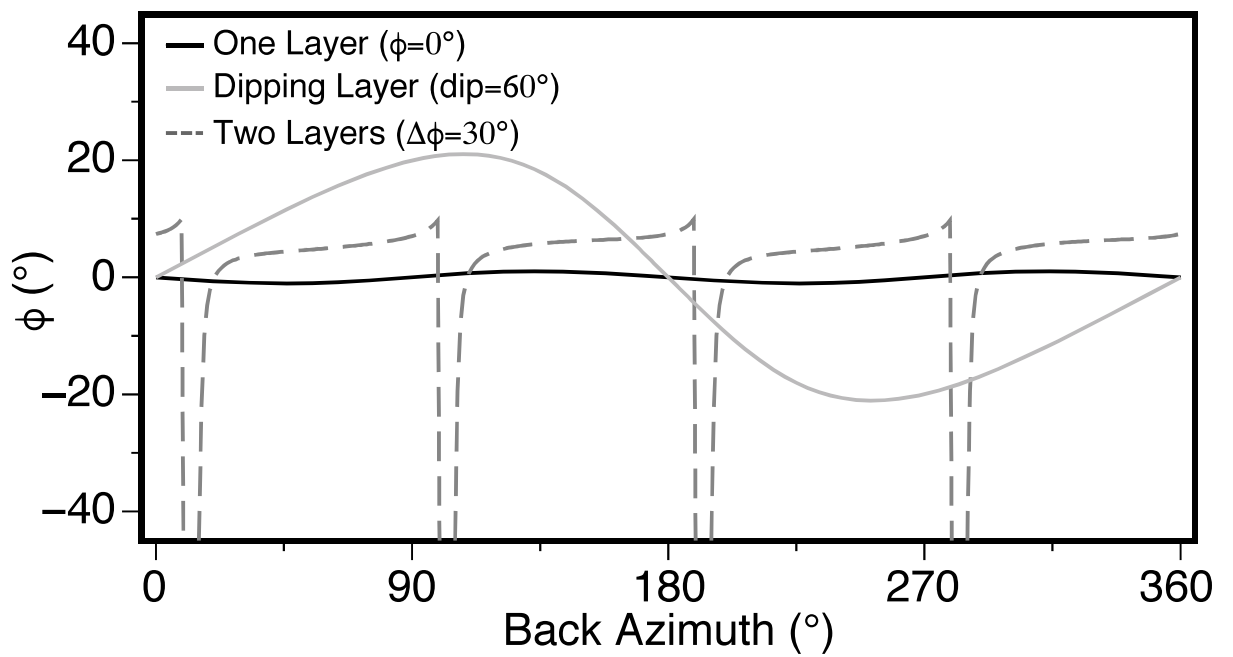


Figure 3: Synthetic  $\phi$  responses for three basic classes of anisotropy.  $\Delta\phi$  indicates difference in fast-polarisation orientation between layers. Layer thickness and alignment fraction of olivine a-axis can change delay time, but does not affect the backazimuth patterns. Modified from Liddell et al. (2017).

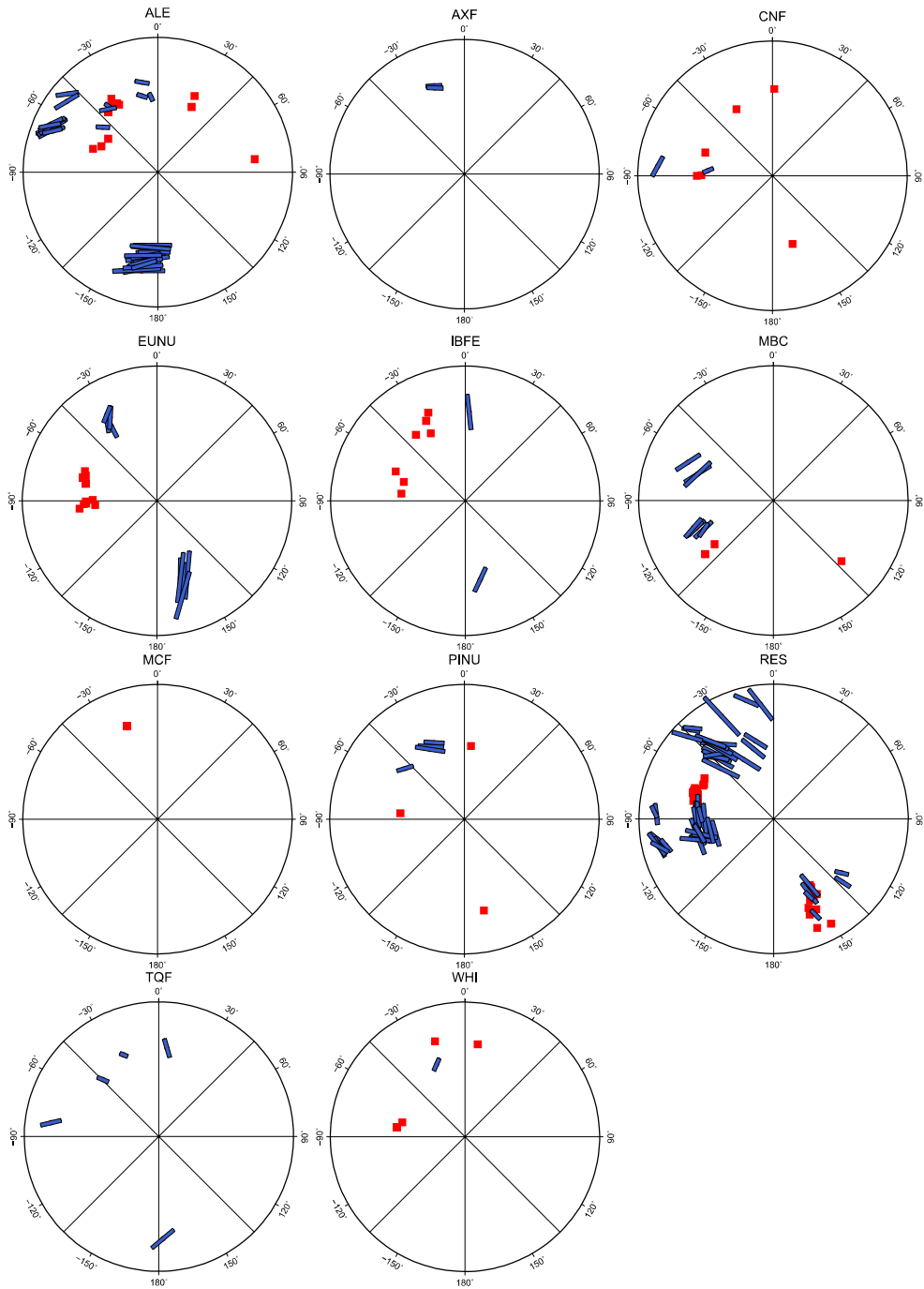


Figure 4: Polar plots showing splits (blue bars) and nulls (red squares) for each of the stations analysed. The backazimuth of the incident earthquake is plotted clockwise from North ( $0^\circ$ ) and the radius is proportional to the incident angle of the incoming XKS wave.

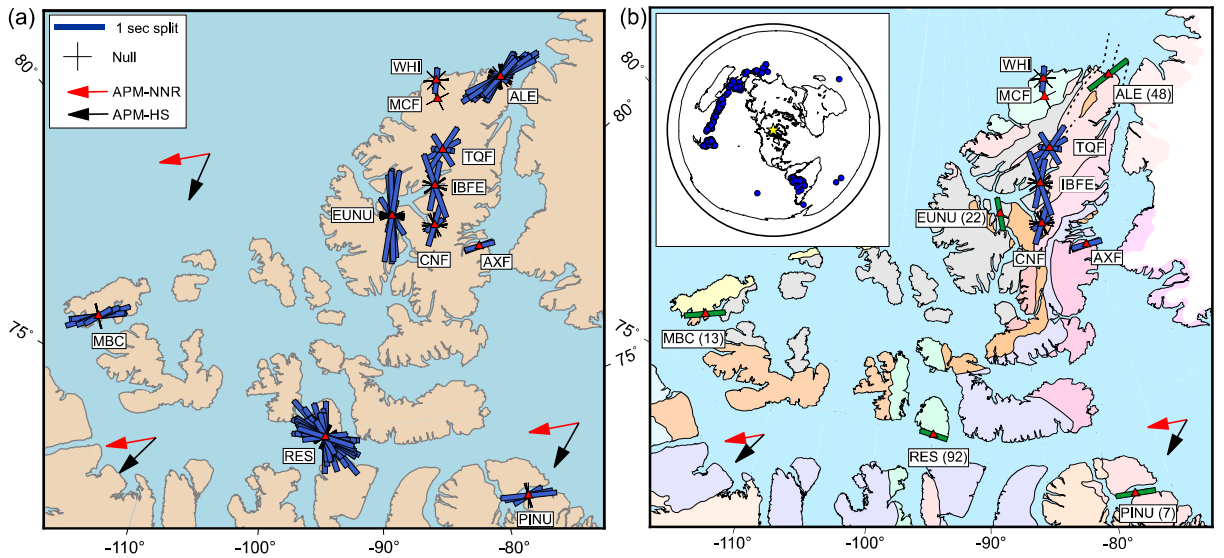


Figure 5: Compilation of shear wave splitting measurements on a map of the High Arctic. (a) Individual measurements; blue bars denote high-quality splits and black crosses denote high-quality nulls. (b) Composite of individual measurements at ELLITE stations (blue bars, crosses) and stacked splits at CNSN/GSN stations (green bars); the number of measurements per stack is given in parentheses. Inset: earthquakes (blue circles) used in this study; the yellow star marks the centre of the study area. APM: absolute plate motion. NNR: no-net-rotation reference frame (e.g. Argus et al., 2010); HS: Pacific hotspot reference frame (e.g Gripp and Gordon, 2002).

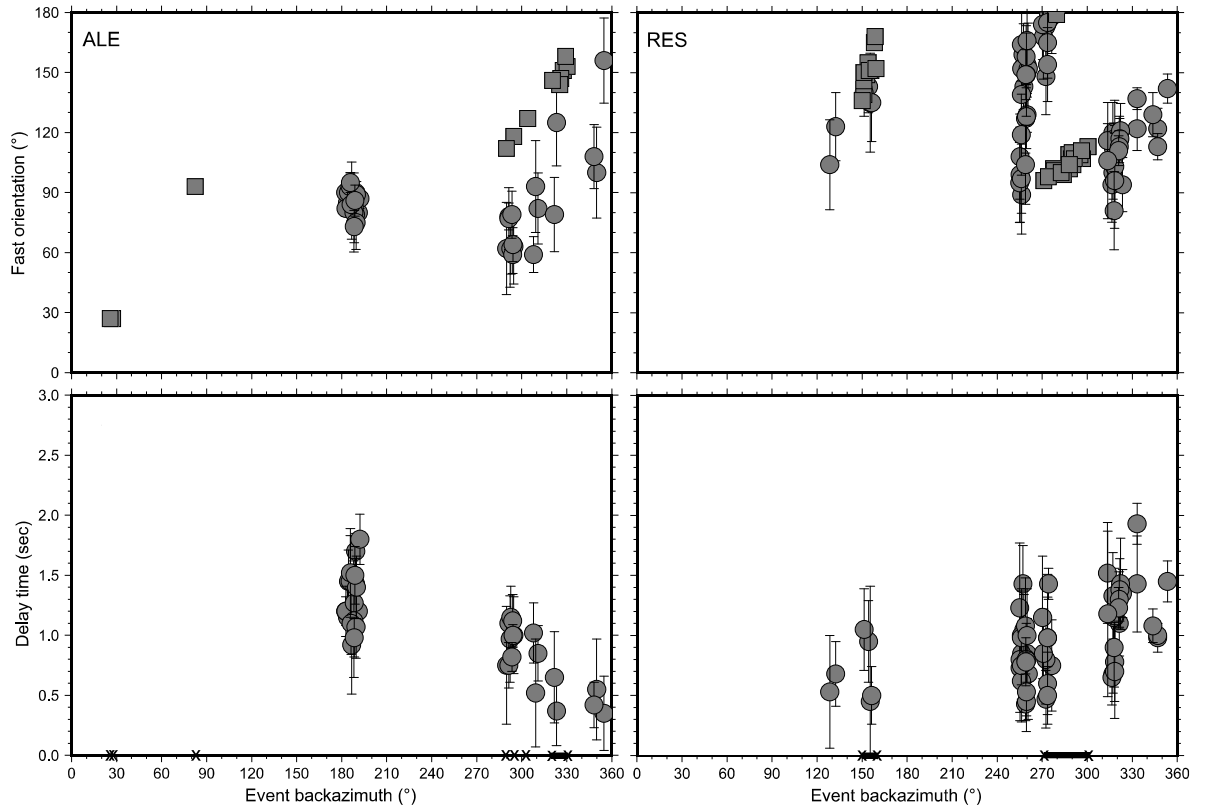


Figure 6: Backazimuthal variation of splitting measurements at stations ALE and RES. Splits are shown in both graphs as circles with error bars; nulls are shown as squares in the fast-polarisation orientation graph. Delay time is undefined for a null measurement; the positions of the nulls are indicated at zero delay time by an 'X'. For ease of plotting, all fast-polarisation orientations are expressed in the 0–180° range.

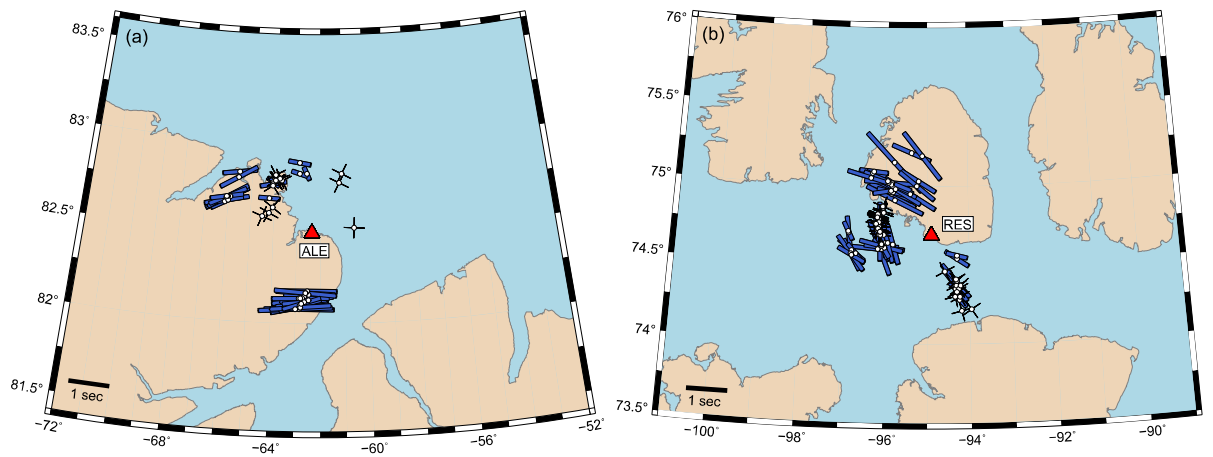


Figure 7: Spatial variation of splitting measurements at stations ALE and RES, shown as bars (splits) and crosses (nulls) at coordinates corresponding to the ray's piercing point at 200 km depth beneath the station.

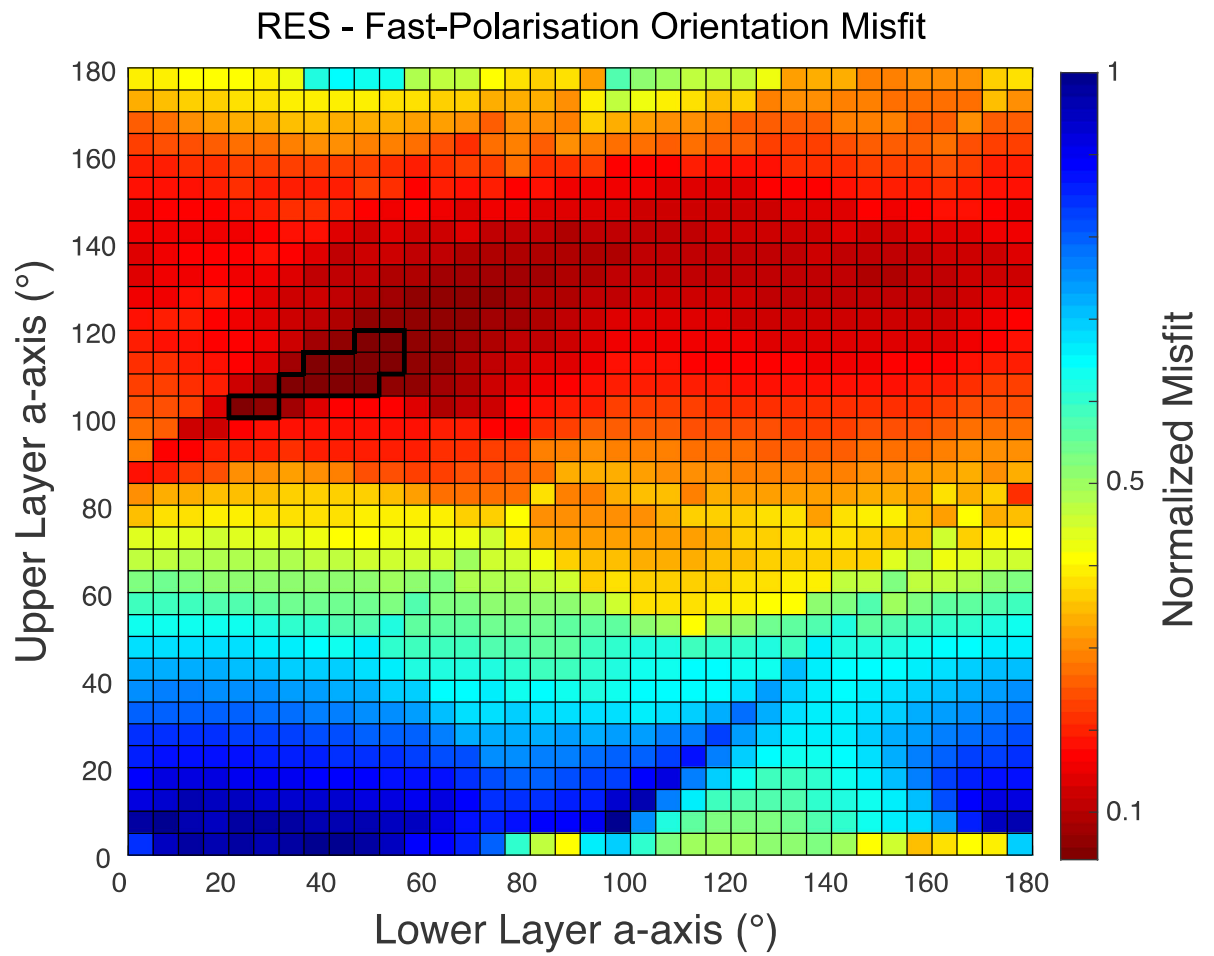


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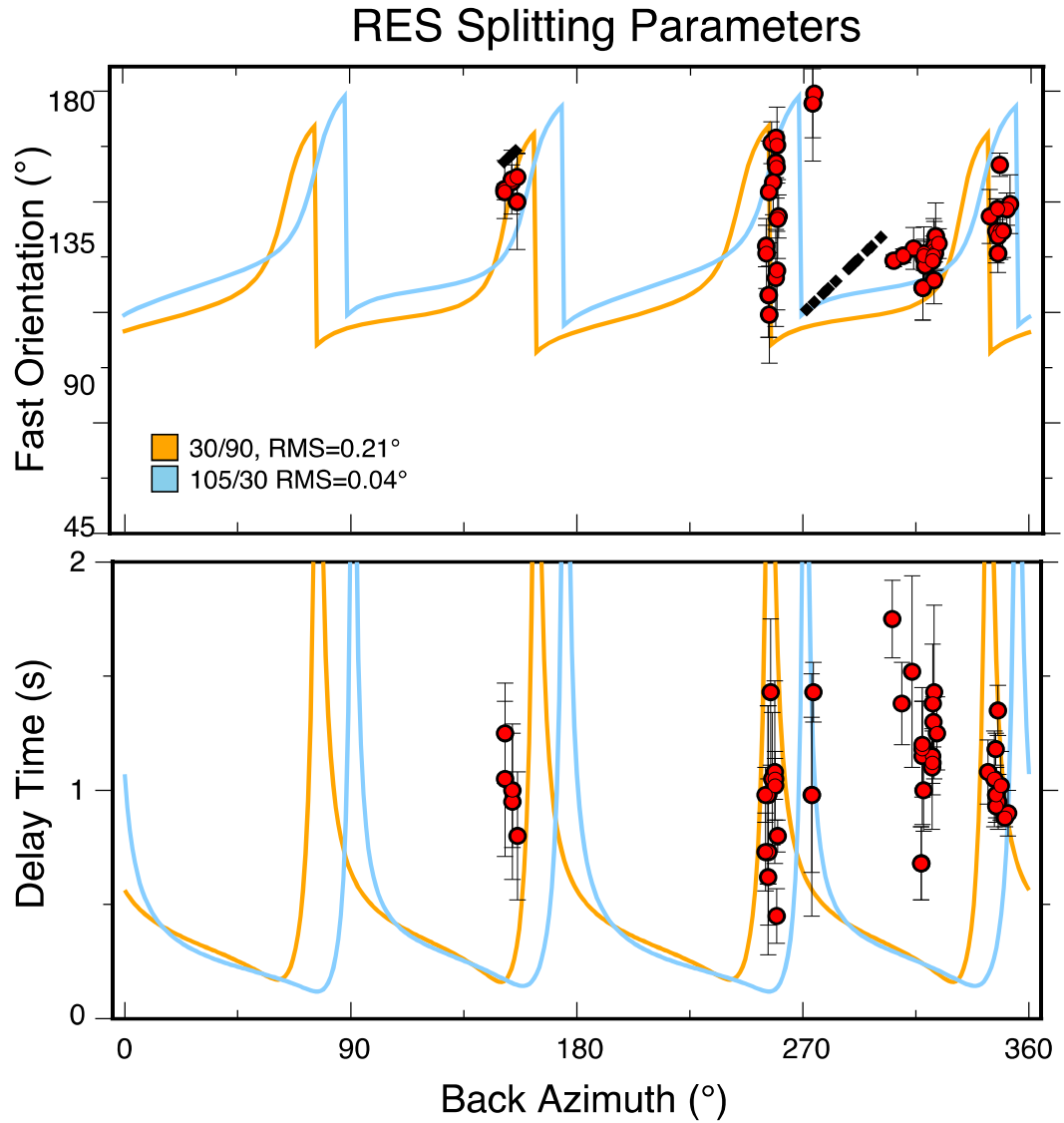


Figure 9: Best-fitting models for two-layered anisotropy at station RES. Diamonds indicate null measurements and are placed as if backazimuth is parallel to equivalent fast-polarisation orientation. Note that the data have error bars in both X and Y, but those in X are too small to be visible compared to the size of the plotted data point.

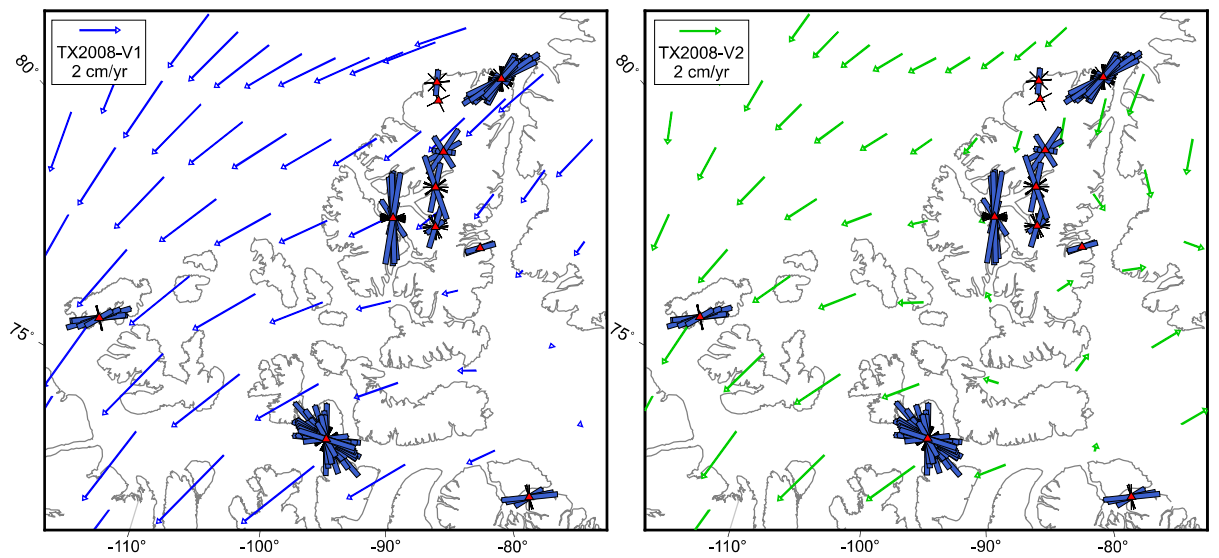


Figure 10: Splitting results from Figure 5 superimposed on two models of the sublithospheric mantle flow field, based on two radial viscosity profiles V1 and V2.



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**Table02**[Click here to download Table: Table02.pdf](#)

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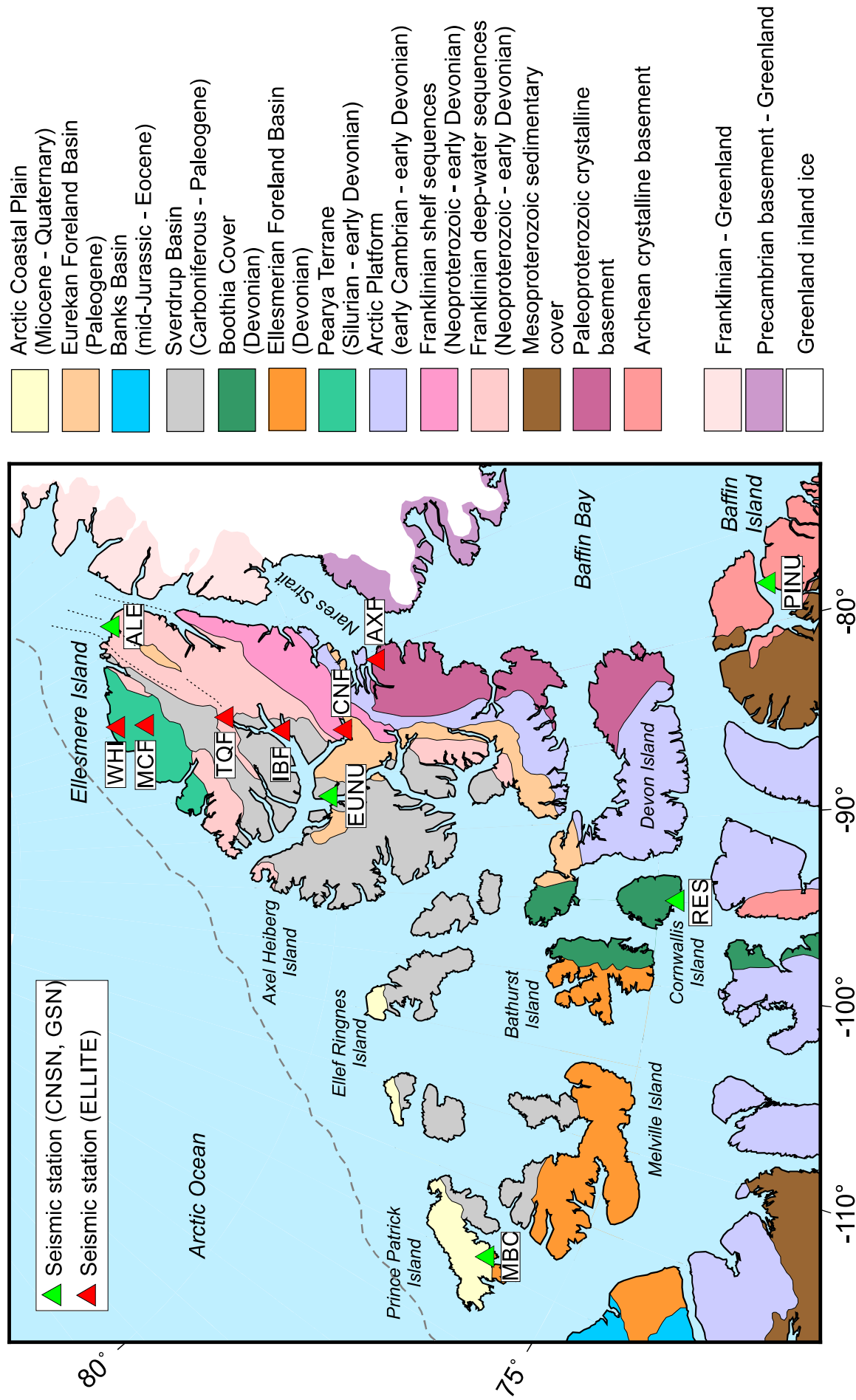


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Figure 02

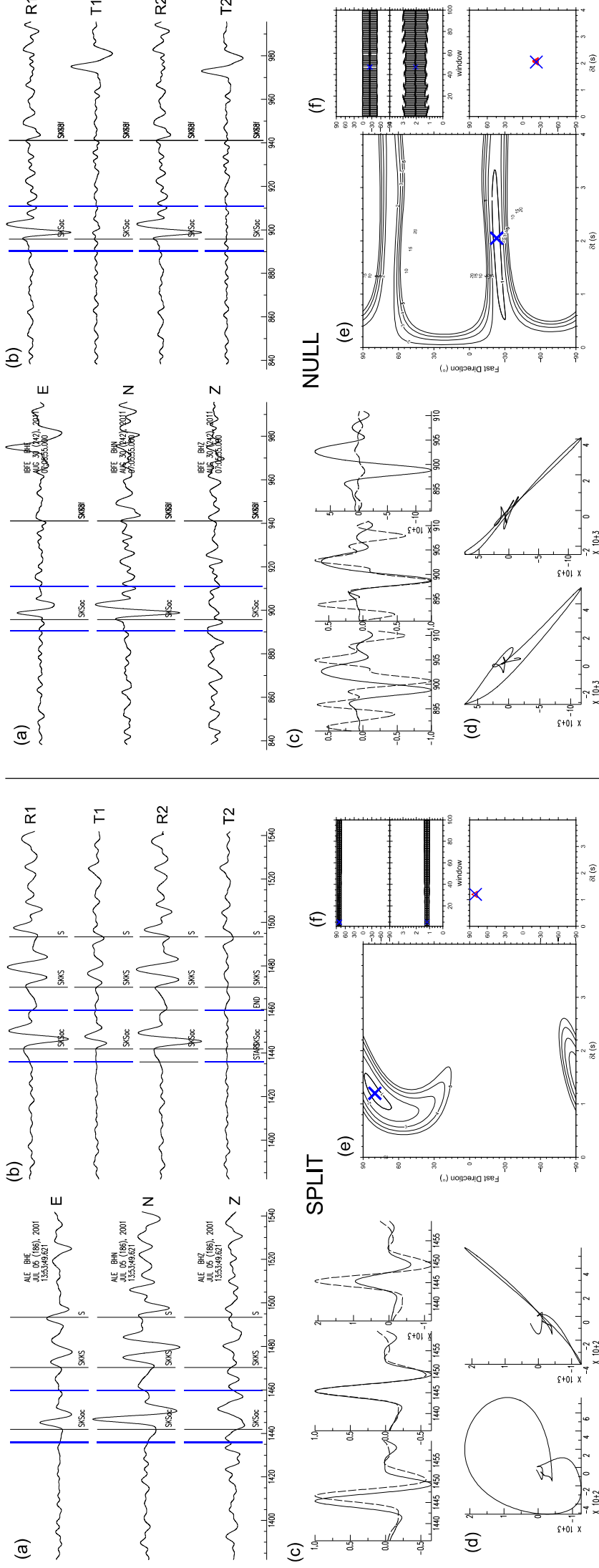


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Figure 03

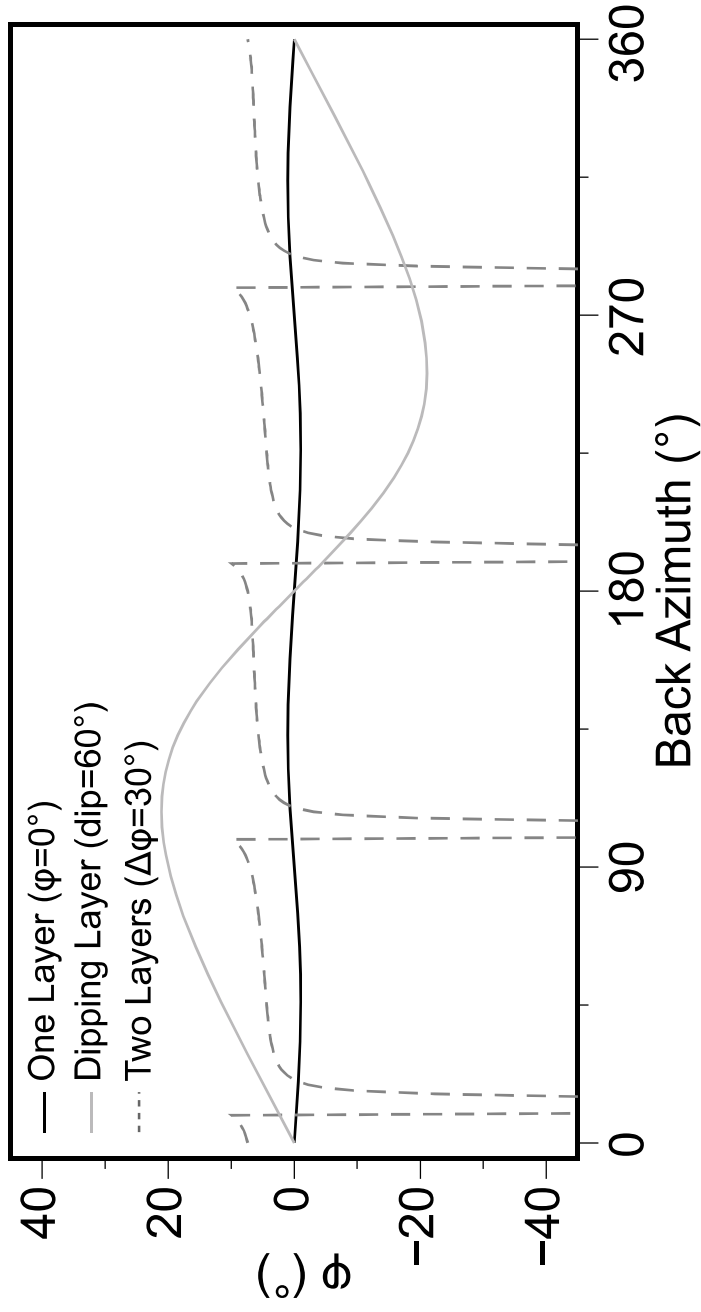


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Figure 04

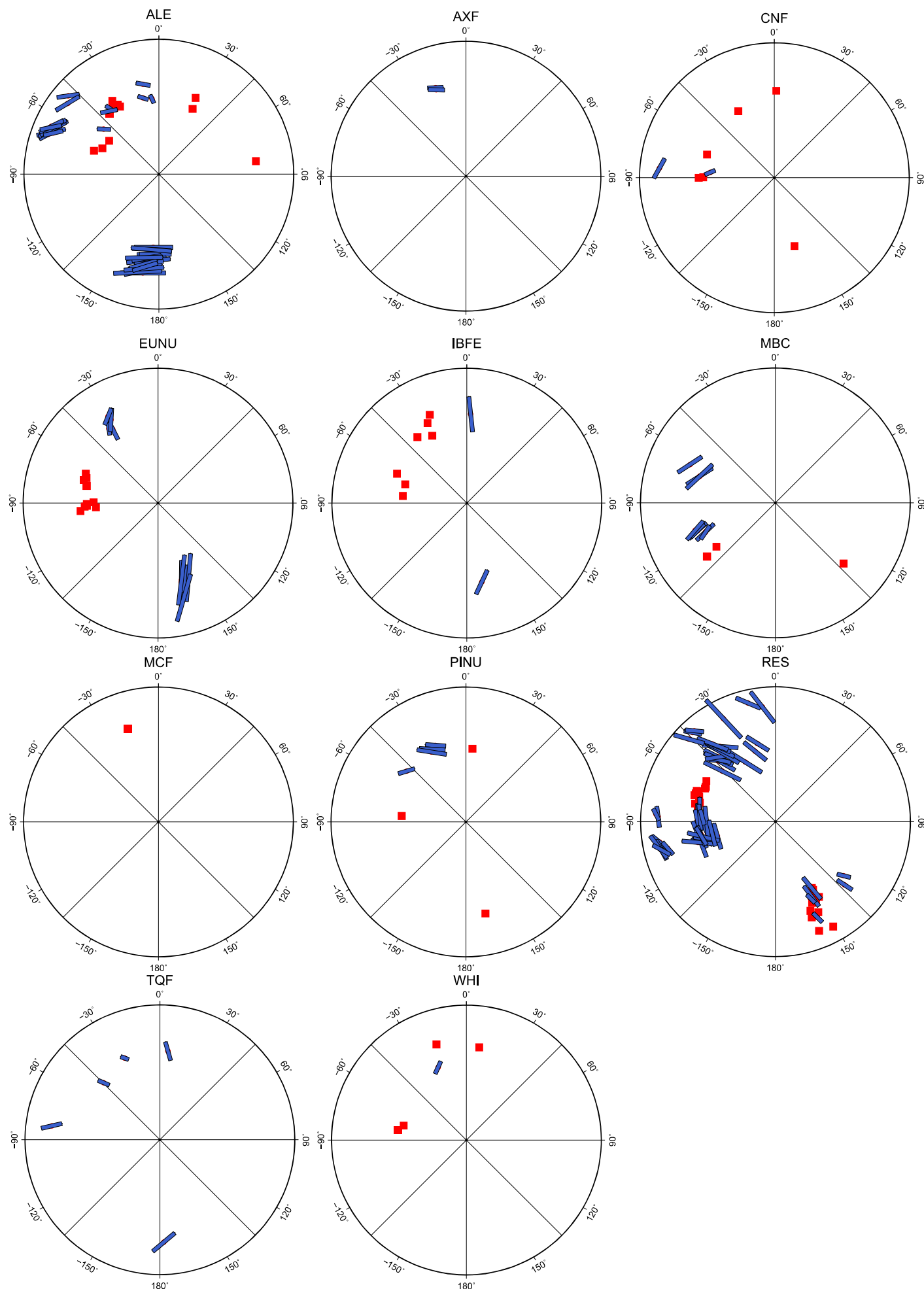


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Figure 05

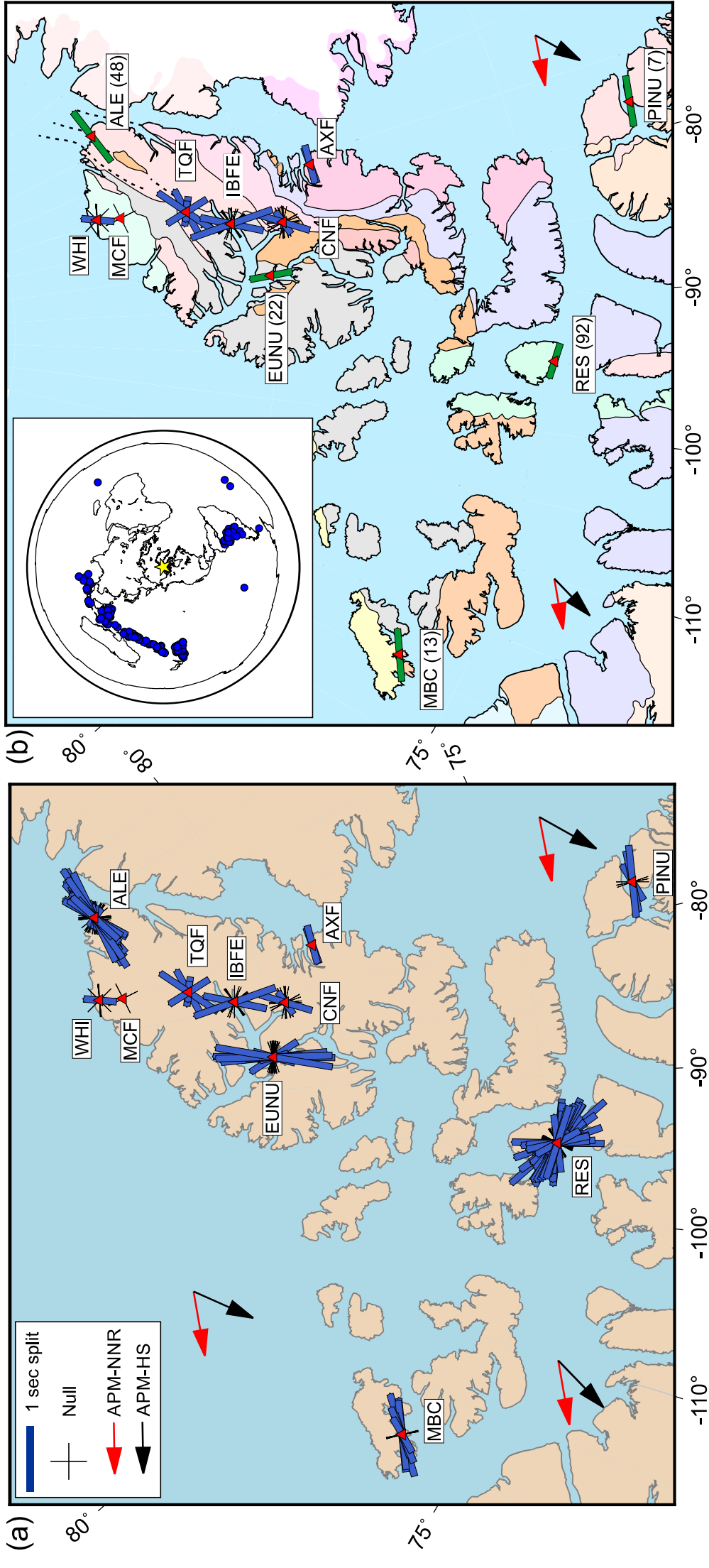


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Figure 06

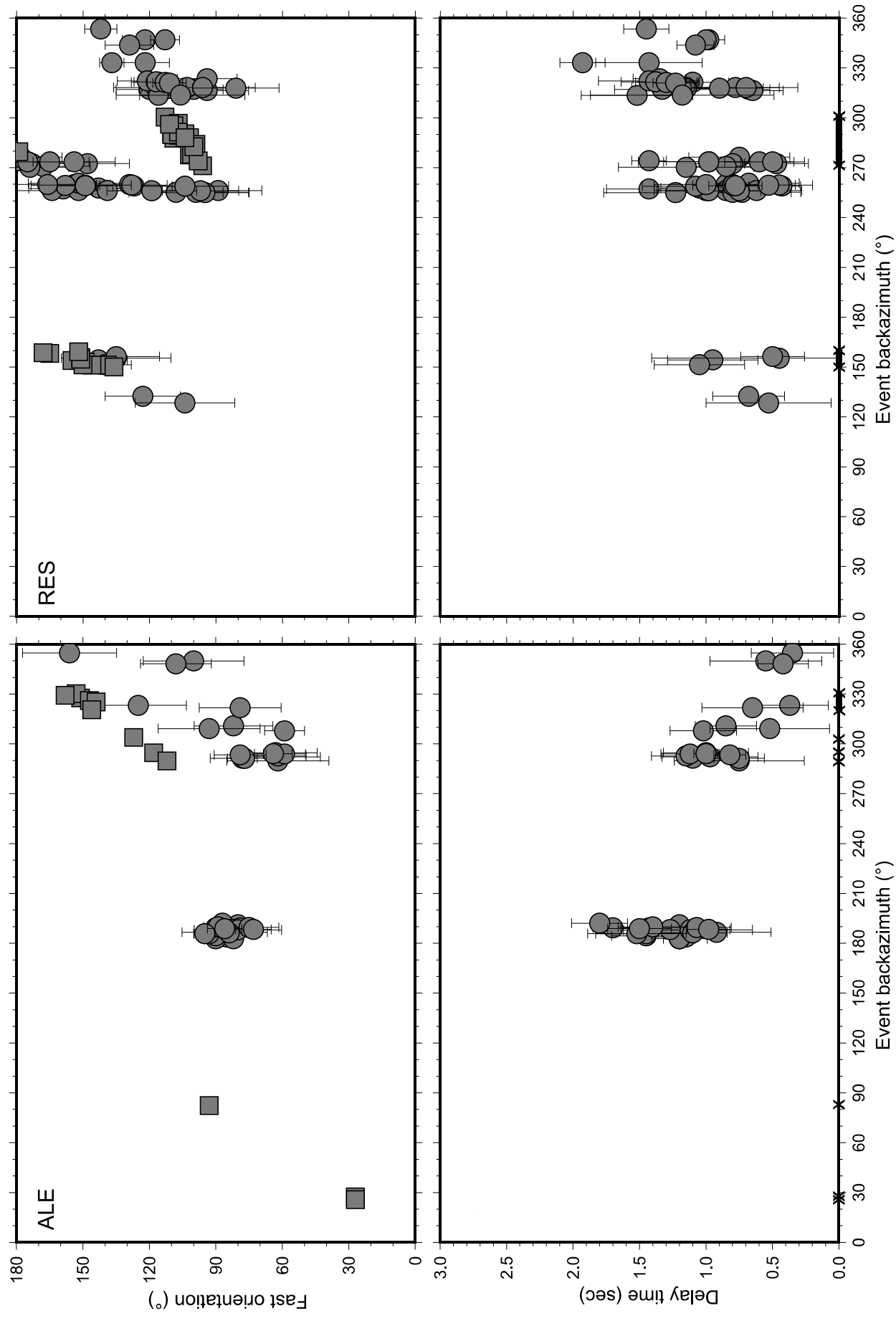


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Figure 07

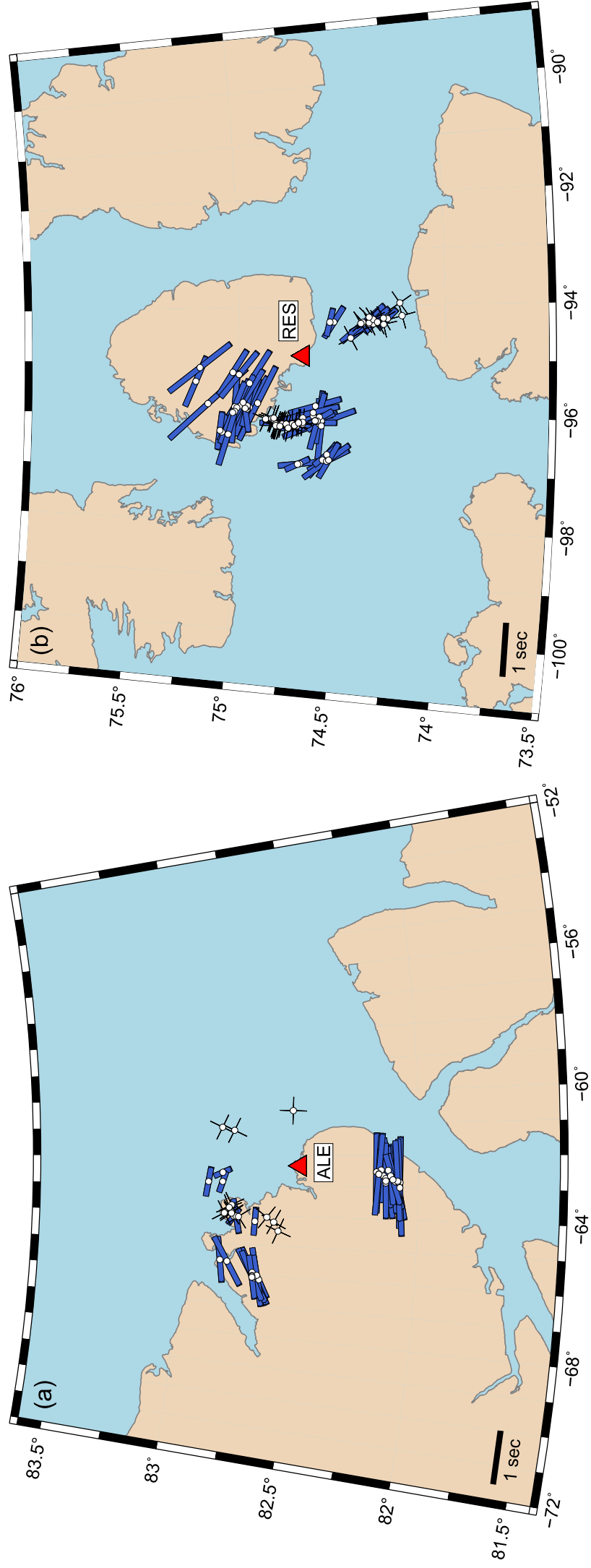


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Figure 08

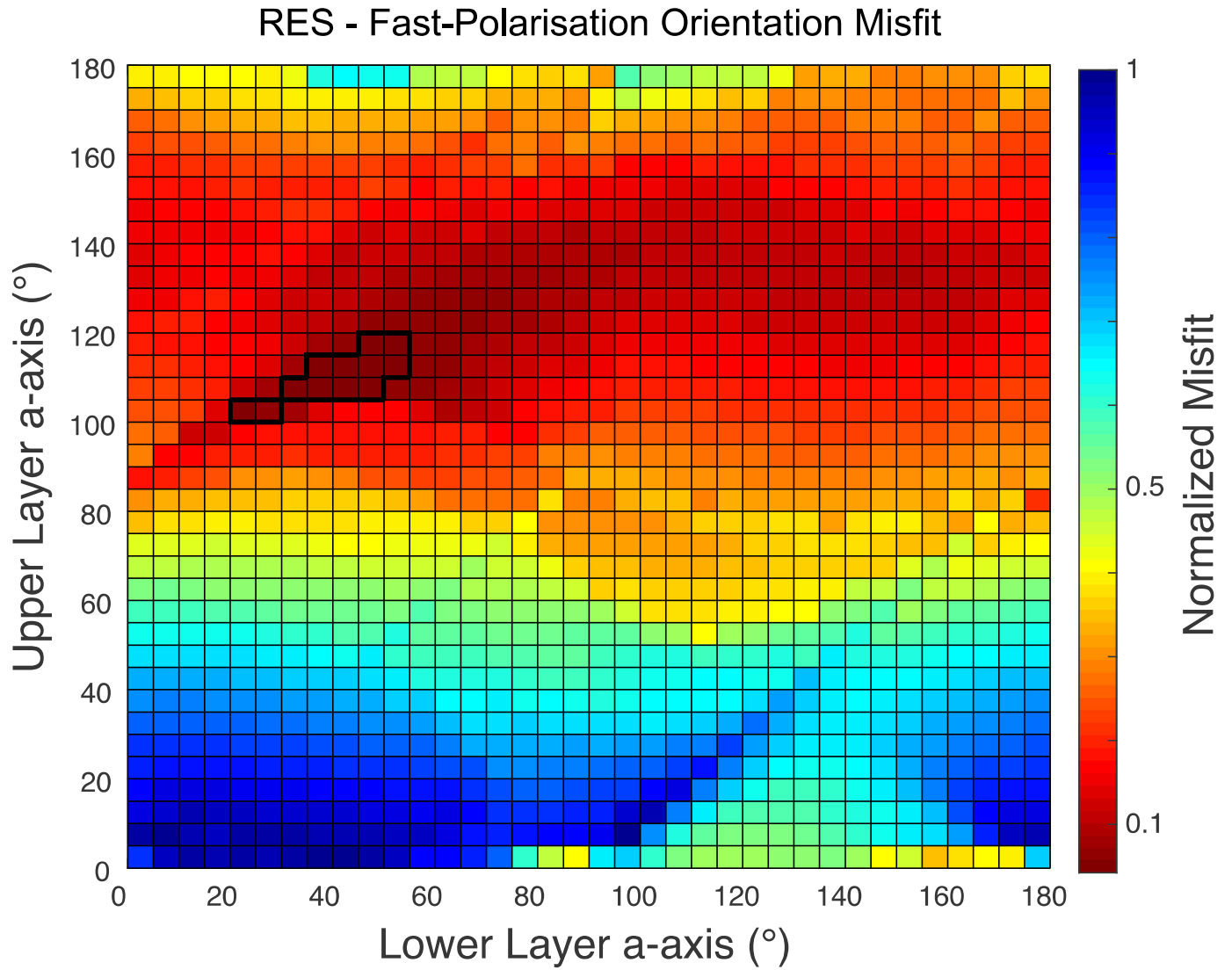


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Figure 09

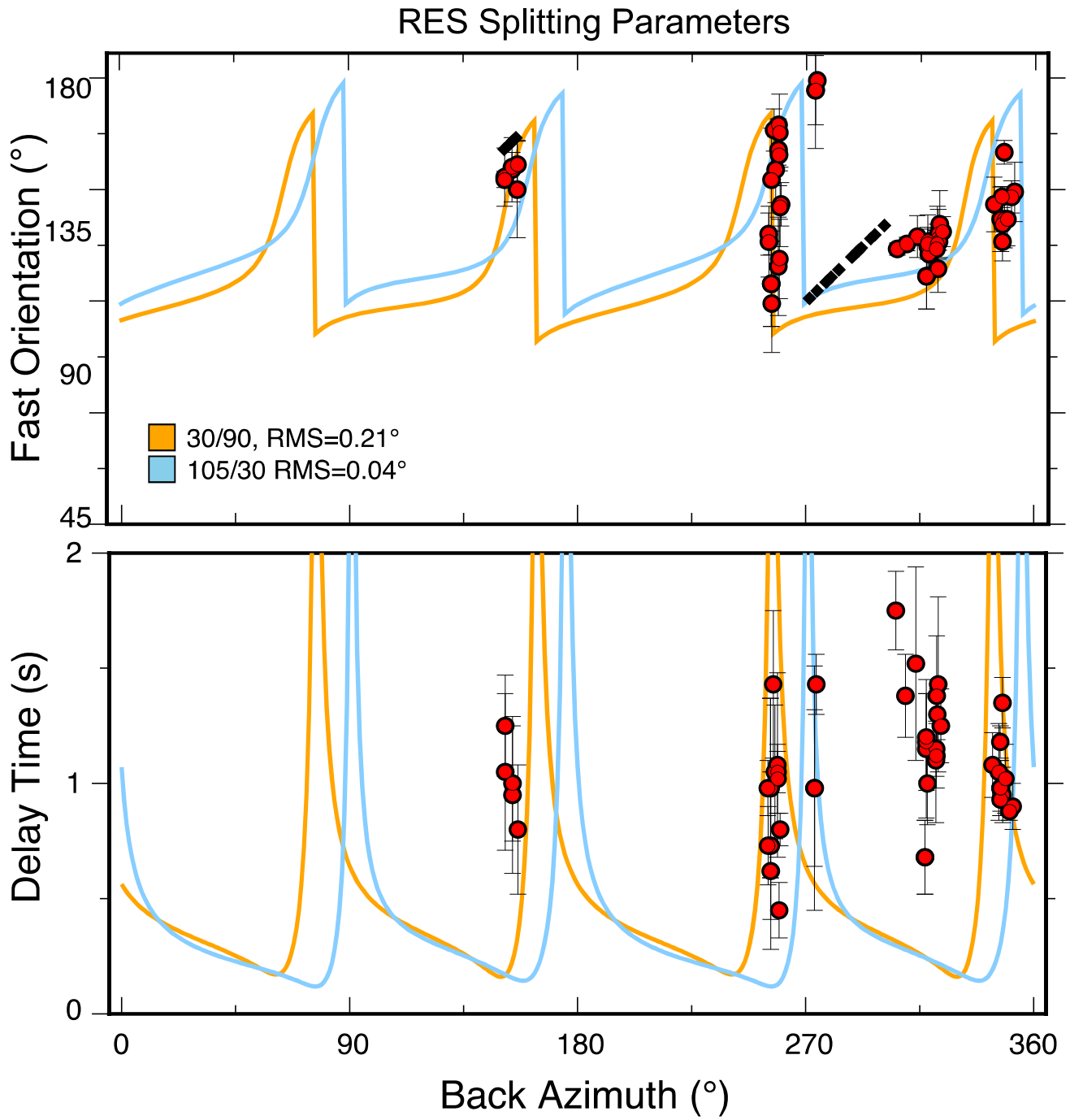


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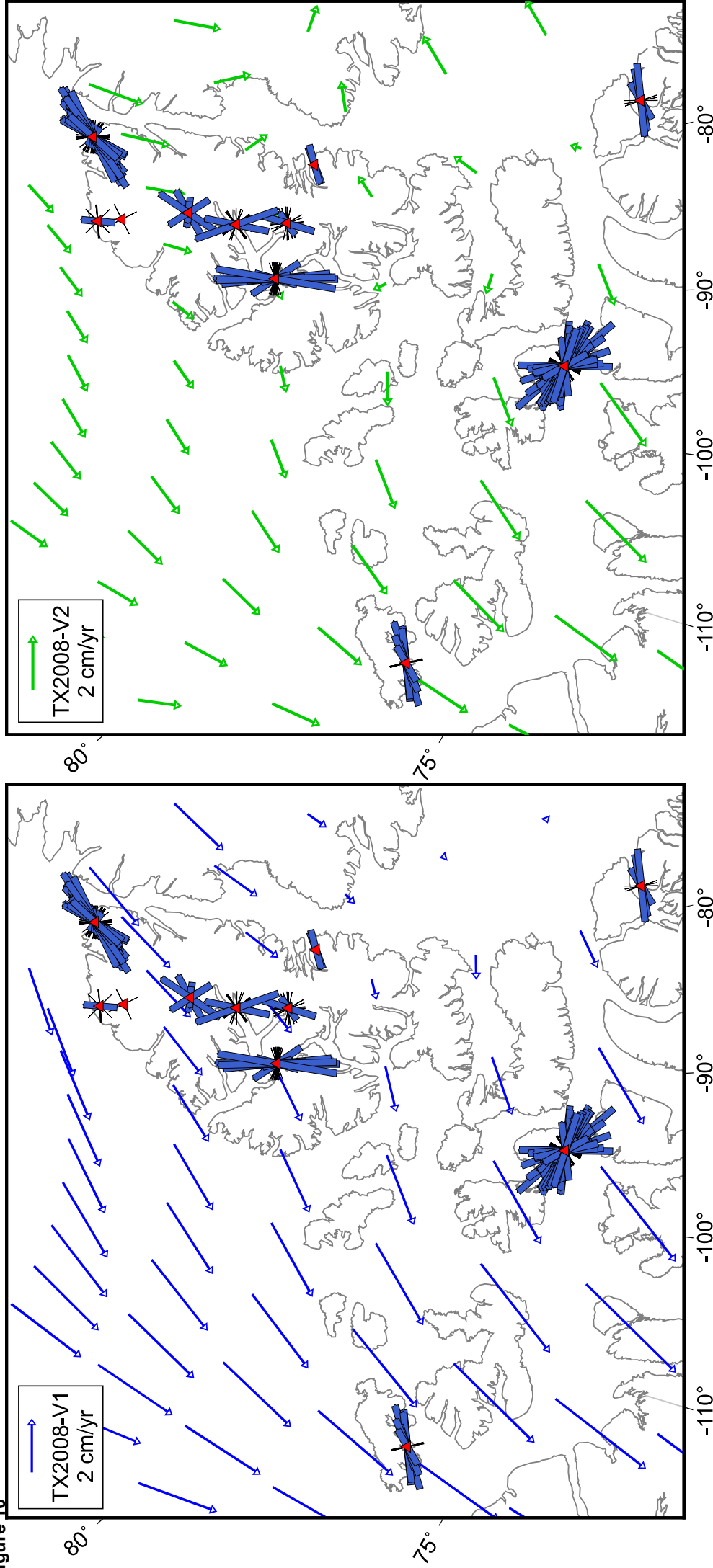


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