## Contributions to the analysis of proteins

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# Contributions to the analysis of proteins 

by<br>Reza Sharifi Sedeh<br>Submitted to the Department of Mechanical Engineering on May 3, 2011, in partial fulfillment of the<br>requirements for the degree of<br>Doctor of Philosophy


#### Abstract

Proteins are essential to organisms and play a central role in almost every biological process. The analysis of the conformational dynamics and mechanics of proteins using numerical methods, such as normal mode analysis (NMA), provides insight into their functional mechanisms. However, despite the fact that much effort has been focused on improving NMA over the last few decades, the analysis of large-scale protein motions is still infeasible due to computational limitations.

In this work, first, we identify the usefulness and effectiveness of the subspace iteration (SSI) procedure, otherwise widely used in structural engineering, for the analysis of proteins. We also develop a novel technique for the selection of iteration vectors in protein NMA, which significantly increases the effectiveness of the method. The SSI procedure also lends itself naturally to efficient NMA of multiple neighboring macromolecular conformations, as demonstrated in a conformational change pathway analysis of adenylate kinase.

Next, we present a new algorithm to account for the effects of solvent-damping on slow protein conformational dynamics. The algorithm proves to be an effective approach to calculating the diffusion coefficients of proteins with various molecular weights, as well as their Langevin modes and corresponding relaxation times, as demonstrated for the small molecule crambin.

Finally, the structure of Homo sapiens fascin-1, an actin-binding protein that is present predominantly in filopodia, is examined and described in detail. Application of a sequence conservation analysis to the protein indicates highly conserved surface patches near the putative actin-binding domains of fascin. A novel conformational dynamics analysis suggests that these domains are coupled via an allosteric mechanism that may have important functional implications for F-actin bundling by fascin.


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## Introduction

Proteins are essential to organisms and play a central role in almost every biological process. Based on their functions, proteins can be divided into different classes. Structural proteins such as F-actin and microtubules are a class of proteins that are used in the cytoskeleton of cells and are responsible for the cell geometry. Another class of proteins are enzymes, which are catalysts and accelerate the chemical reactions occurring within organisms. There are also many other proteins that play roles in cell adhesion, cell cycle, cell signalling, etc.

The conformational dynamics and mechanics of proteins are of great importance to many biological functions, ranging from transcription and translation to cell division and migration. Numerical methods, such as molecular dynamics (MD) and normal mode analysis (NMA), may give insight into the mechanical properties and dynamic behavior of proteins. Unlike MD, which needs to perform time-consuming time-integrations of the full set of governing equations of motion, NMA examines only harmonic oscillations of the protein around its ground-state conformation. As a result, NMA can be employed to analyze many protein motions that are currently inaccessible to MD. For example, NMA has proven successful in analyzing the functional motions associated with large macromolecules, such as myosin [5, 6], kinesin $[5,7]$, microtubules [8], and F-actin [9].

Over the last few decades, significant effort has been directed towards further improving the computational efficiency and accuracy of NMA for analyzing the conformational dynamics and mechanics of proteins. For example, one of the main time-consuming parts of NMA, which has attracted much attention, is solving the eigenvalue problem associated with the protein model. However, in spite of all the
effort [10, 11], the all-atom NMA of many protein motions, such as conformational change pathways of large macromolecules, is still almost infeasible due to the lack of a computationally efficient and robust eigenvalue solver. Additionally, since the effects of solvent friction on proteins are generally ignored in NMA, the time scales of protein functional motions cannot be predicted correctly using eigensolutions. Also, it is expected that the normal modes of proteins are altered substantially when the effects of solvent-damping are incorporated into NMA [12].

The present work focuses on both developing a computationally efficient and robust eigenvalue solver and incorporating the solvent-damping effects into NMA. Also, here NMA along with other computational procedures, such as sequence conservation analysis, are employed to gain insight into the functional mechanism of Homo sapiens fascin-1, an F-actin crosslinking protein.

In Chapter 1, we first review briefly the standard subspace iteration (SSI) method, a widely used eigenvalue solver in engineering problems [13]. Then, we present a new algorithm to optimize the number of iteration vectors employed in the method [14]. We subsequently apply the improved method to two proteins to illustrate its use in protein NMA. A particularly important observation is that with the new variant of the SSI method CPU time scales linearly with the number of eigenpairs sought [14], as in the Lanczos method [15]. Additionally, it is demonstrated that the SSI method is well-suited to the analysis of protein conformational change pathways, where hundreds of normal mode analyses may be performed in nearby conformations [16].

In Chapter 2, we first review the Langevin mode analysis developed by Lamm and Szabo [17] to incorporate the effects of solvent-damping into the standard NMA. Then, we present a new algorithm that calculates a solvent friction matrix using the finite element method (FEM) to account for the solvent-damping effects. The algorithm proves successful in calculating the diffusion coefficients of a sphere and 10 proteins with various molecular weights, ranging from 7 kDa to 233 kDa . We subsequently couple the solvent friction matrix and the stiffness and mass matrices calculated using the FEM [18] to obtain the Langevin modes and corresponding relaxation times of
crambin, a small protein with 46 amino acids. The obtained results are then compared with those calculated using bead models [19].

In Chapter 3, we first examine the structure of Homo sapiens fascin-1 [20], an actin-binding protein that is present predominantly in filopodia. The structure reveals a novel arrangement of four tandem $\beta$-trefoil domains that form a bi-lobed structure with approximate pseudo 2-fold symmetry. We subsequently apply sequence conservation analysis to the protein to investigate its structurally and functionally important regions. The results confirm the importance of the hydrophobic core residues that stabilize the $\beta$-trefoil fold, as well as the interfacial residues that are likely to stabilize the overall fascin molecule. Additionally, sequence conservation analysis indicates highly conserved surface patches near the putative actin-binding domains of fascin. Conformational dynamics analysis also suggests these domains to be coupled via an allosteric mechanism that might have important functional implications for F-actin crosslinking by fascin.

Finally, we present our conclusions.

## Chapter 1

## The subspace iteration method in protein normal mode analysis

Normal mode analysis (NMA) plays an important role in relating the conformational dynamics of proteins to their biological function [11]. In classical NMA [21, 22], protein atomic degrees of freedom are treated explicitly in solving the generalized eigenvalue problem in a biologically relevant conformation, typically for the lowest twenty to one hundred normal modes that represent the largest conformational fluctuations of the molecule. In the analysis of conformational transitions, numerous normal mode analyses may be performed for the same protein in nearby conformations [23].

NMA provides a considerable computational advantage over molecular dynamics because of the elimination of time-integration and explicit solvent degrees of freedom. Nevertheless, significant effort has been directed towards further improving the computational efficiency of NMA to enable its application to ever-larger supramolecular complexes including viral capsids, molecular motors, and the ribosome (Ref. [16] and references therein). Particular attention has been directed to the development and application of coarse-grained protein models such as elastic network and related models $[18,24]$, whereas somewhat less attention has been paid to the development of algorithms that improve the computational efficiency of all-atom protein NMA itself. Such developments are of interest because they preserve the explicit representation of atomic degrees of freedom and their solvent-mediated interactions as modeled by
implicit solvent force-fields. The explicit representation of atomic interactions is important to model accurately a number of biological processes, including interactions between proteins and nucleic acids [25], as well as small molecules in rational drug design [26]. Additionally, the role of allosteric regulation of binding affinity and catalysis by at-a-distance mutations remains an interesting and open area of research that may require all-atom modeling to understand fully [27].

The subspace iteration method was originally developed by K. J. Bathe for the solution of frequencies and mode shapes of macroscopic structures such as buildings and bridges using finite element analysis (FEA) [28, 29]. In those applications, relatively few frequencies and corresponding mode shapes were sought, such as the lowest 10-20 eigenpairs in models containing a total of 1000-10,000 degrees of freedom. Since its development, however, the subspace iteration method has been used extensively in the FEA of considerably larger systems reaching millions of degrees of freedom, and naturally has attracted significant attention for improvements as a result (see for example Refs. [30-37]).

The subspace iteration method is a particularly attractive approach to protein NMA because the procedure (1) is designed specifically for the calculation of the lowest eigenpairs of large systems; (2) uses previously calculated eigenvectors from nearby conformations to speed up significantly the solution of eigenpairs in nearby conformations of interest; (3) is computationally robust; and (4) is amenable to parallelprocessing.

The original development of the method was based on the earlier use of the Ritz method, and relates to the works of Bauer [38] and Rutishauser [39]. Key developments for its practical use in structural engineering were the specific steps in the iteration method, the construction of the starting iteration vectors, the use of an effective number of iteration vectors, the use of error measures, and the Sturm sequence check [28]. A convergence analysis of the subspace iteration method is given in Ref. [40]. The method is also abundantly used in the solution of linearized buckling problems [13], which is applicable to calculations of the stability of the cytoskeletal polymers filamentous actin and microtubules, as well as viral capsids and other
supramolecular assemblies with mechanically related biological function [18].
An additional leading approach to NMA in the structural mechanics community is the Lanczos method [15], advanced particularly by Paige [41] and others [42]. Initially, the Lanczos method exhibited instabilities due to loss of orthogonality of the iteration vectors employed. This shortcoming, however, has been largely overcome, and when implemented properly the method is highly efficient. A particular asset of the method is that computational effort scales about linearly (neglecting the effort for the initial factorization) with the number of eigenpairs sought, a property that is not generally satisfied by the traditional subspace iteration method. An important property of both the subspace iteration and Lanczos procedures is that they solve directly for the eigenpairs sought instead of calculating intermediate matrices first, as if all eigenvalues were desired. This property contrasts with the approach of the Householder-QR method [13], for example, which becomes prohibitively expensive computationally and in memory as the size of coefficient matrices increases. At present, the Lanczos and subspace iteration methods are the two most widely used techniques for the solution of large eigenvalue problems in FEA, when coefficient matrices are of order $10,000-10,000,000$. For these reasons, any significant improvements to these methods are of great interest.

Recently, considerable effort has been directed towards using parallel processing in FEA, in shared-memory and distributed-memory processing modes. Whereas the Lanczos method can intrinsically (largely) be parallelized only in the factorization of the stiffness matrix and the forward reduction and back-substitution of the individual vectors, the subspace iteration method allows in addition the parallel solution of multiple iteration vectors which can result in a large computational benefit. However, there is also interest in improving the method in other ways, and in particular, for the solution of eigenproblems in which relatively many eigenpairs need to be calculated.

As mentioned earlier, a key step in the subspace iteration method is the establishment of effective starting iteration vectors, which implies using an optimal number of iteration vectors. The objective of the present work is to apply the subspace iteration method to the normal mode analysis of proteins, and to introduce a significant
improvement upon the original implementation regarding the choice of the number of iteration vectors. In the following sections, we first review briefly the standard subspace iteration method and discuss its inherent value for the solution of frequencies and mode shapes of proteins. We, subsequently, present a new algorithm to establish an effective number of iteration vectors, illustrating the use of this algorithm in some applications. A particularly important observation is that computational effort increases linearly with the number of eigenpairs sought in the solutions obtained with the improved subspace iteration method, as in the Lanczos method. To focus on our new development only, and to compare results obtained with the traditional and improved methods, we employ a basic implementation without parallelization of the code, running in-core on a single processor workstation. Moreover, we provide only relative solution times, which are largely independent of the machine used. Although these times thereby represent practically "machine-independent" algorithmic improvements, actual solution times will naturally depend on the specific machine employed and will decrease as computational hardware becomes more efficient.

### 1.1 Methods

### 1.1.1 The basic subspace iteration method

We consider the generalized eigenvalue problem,

$$
\begin{equation*}
\mathbf{K} \boldsymbol{\varphi}=\lambda \mathbf{M} \boldsymbol{\varphi} \tag{1.1}
\end{equation*}
$$

where $\mathbf{K}$ and $\mathbf{M}$ are symmetric matrices of order $n, \mathbf{K}$ is positive definite, and $\mathbf{M}$ is positive semidefinite. We seek the smallest $p$ eigenvalues $\lambda_{1}, \lambda_{2}, \ldots, \lambda_{p}$ and corresponding eigenvectors $\boldsymbol{\varphi}_{1}, \boldsymbol{\varphi}_{2}, \ldots, \boldsymbol{\varphi}_{p}$ with the ordering,

$$
\begin{equation*}
\lambda_{1} \leq \lambda_{2} \ldots \leq \lambda_{p} \tag{1.2}
\end{equation*}
$$

The eigenpairs $\left(\lambda_{i}, \boldsymbol{\varphi}_{i}\right)$ satisfy,

$$
\begin{equation*}
\mathbf{K} \boldsymbol{\varphi}_{i}=\lambda_{i} \mathbf{M} \boldsymbol{\varphi}_{i} ; \quad i=1, \ldots, p \tag{1.3}
\end{equation*}
$$

and

$$
\begin{align*}
\boldsymbol{\varphi}_{i}{ }^{\mathrm{T}} \mathbf{M} \boldsymbol{\varphi}_{j} & =\delta_{i j}  \tag{1.4}\\
\boldsymbol{\varphi}_{i}{ }^{\mathrm{T}} \mathbf{K} \boldsymbol{\varphi}_{j} & =\lambda_{i} \delta_{i j}
\end{align*}
$$

where $\delta_{i j}$ is the Kronecker delta. The basic equations used in the subspace iteration method are as follows [13]:

Step 1: Establish $q$ starting iteration vectors in $\mathbf{X}_{1}$
Step 2: Iterate with $k=1,2,3, \ldots$, until convergence

$$
\begin{gather*}
\mathbf{K} \overline{\mathbf{X}}_{k+1}=\mathbf{M} \mathbf{X}_{k}  \tag{1.5}\\
\mathbf{K}_{k+1}=\overline{\mathbf{X}}_{k+1}^{\mathrm{T}} \mathbf{K} \overline{\mathbf{X}}_{k+1} \\
\mathbf{M}_{k+1}=\overline{\mathbf{X}}_{k+1}^{\mathrm{T}} \mathbf{M} \overline{\mathbf{X}}_{k+1}  \tag{1.6}\\
\mathbf{K}_{k+1} \mathbf{Q}_{k+1}=\mathbf{M}_{k+1} \mathbf{Q}_{k+1} \boldsymbol{\Lambda}_{k+1}  \tag{1.7}\\
\mathbf{X}_{k+1}=\overline{\mathbf{X}}_{k+1} \mathbf{Q}_{k+1} \tag{1.8}
\end{gather*}
$$

Step 3: Perform the Sturm sequence check.
Hence, the procedure consists of three distinct solution steps. First, the $q$ starting iteration vectors in $\mathbf{X}_{1}$ are established, $q>p$, where $\mathbf{X}_{1}$ is a matrix of dimension $n \times q$. Second, iteration is performed using Eqs. 1.5-1.8, for $k=1,2, \ldots$ until the convergence tolerance below is satisfied, where $\mathbf{Q}_{k+1}$ and $\boldsymbol{\Lambda}_{k+1}$ store the eigenvectors and eigenvalues corresponding to the subspace matrices $\mathbf{K}_{k+1}$ and $\mathbf{M}_{k+1}$. Finally, the Sturm sequence check is performed.

Let $\lambda_{i}{ }^{(k)}$ be the approximation for $\lambda_{i}$ calculated in the $(k-1)^{\text {th }}$ iteration, we have convergence to an accuracy of $2 \times s$ digits in the eigenvalues when for $i=1, \ldots, p$ (see Ref. [13]),

$$
\begin{equation*}
\left[1-\frac{\left(\lambda_{i}{ }^{(k)}\right)^{2}}{\left(\mathbf{q}_{i}{ }^{(k)}\right)^{\mathrm{T}} \mathbf{q}_{i}{ }^{(k)}}\right]^{1 / 2} \leq 10^{-2 s} \tag{1.9}
\end{equation*}
$$

where $\mathbf{q}_{i}{ }^{(k)}$ is the vector in the matrix $\mathrm{Q}_{k}$ corresponding to $\lambda_{i}{ }^{(k)}$. The eigenvectors will only be accurate to $s$ digits and the theoretical convergence rate of the vectors is $\lambda_{i} / \lambda_{q+1}$. Thus, there is a higher convergence rate for a smaller eigenvalue and its corresponding eigenvector. Although these convergence rates correspond to the theoretical values [13, 40], they are usually also observed in actual computations. The Sturm sequence check is carried out to ensure that the lowest $p$ eigenpairs, that is, $\left(\lambda_{i}, \boldsymbol{\varphi}_{i}\right), i=1, \ldots, p$, have indeed been calculated [13, 28]. If the Sturm sequence check is not passed, the iteration is continued with a larger number of iteration vectors.

Considering Eqs. 1.5-1.8, it is seen that the method can be programmed efficiently for parallel computations. The factorization of the coefficient matrix and the forward reductions and back-substitutions of each individual vector can be parallelized. In addition, the solution of the $q$ vectors can be distributed to different processors and also the computation of the subspace matrices $\mathbf{K}_{k+1}$ and $\mathbf{M}_{k+1}$ can be parallelized.

An important difference between the coefficient matrices of structural FE assemblages and of proteins is that the latter have much larger bandwidths because of long-range nonbonded electrostatic, and to a lesser extent van der Waals, interactions that introduce broad coupling between protein atoms. Thus, for a given number of degrees of freedom, the factorization of the matrix and solution of the vectors in Eq. 1.5 constitute a much larger computational effort than in standard FE solutions. Although parallel processing can be very important for this reason, we do not address this computational issue further in the present work.

Using the earlier equations, it is critical to establish effective starting iteration vectors for two reasons. First, if the subspace of these vectors contains the exact eigenvectors, theory states that a single iteration will result in the exact eigenvalues and vectors sought. Here, we simply use the algorithm of Ref. [28] (also given in Ref. [13]), to construct the starting iteration vectors. In cases where better starting
vectors are known from an existing solution, such as in conformational change pathway analyses of proteins where eigensolutions may be performed numerous times for small changes in protein conformation [23], the algorithm of Ref. [13] is used only for the first eigensolution. Thereafter, the previous solution from the nearest-neighbor conformation provides the starting iteration vectors for the next eigensolution. Second, an effective value of $q$ needs to be used because the convergence rate to an eigenvector is given by $\lambda_{i} / \lambda_{q+1}$. If $q(>p)$ is small, a relatively large number of iterations are required to converge. In contrast, if $q$ is large, fewer iterations are required for convergence, but each iteration is computationally more costly. Thus, use of an optimal value of $q$ is highly desirable. Calculation of an effective value of $q$ for the frequency and mode shape solutions of proteins is addressed in the next section.

### 1.1.2 The algorithm to calculate the number of starting iteration vectors

An important observation regarding proteins is that the magnitudes of their eigenvalues increase nearly linearly with increasing wave-number [43, 44], as shown for T4-lysozyme in Fig. 1-1. This characteristic of proteins may be used to find an effective value of $q$ for the subspace iteration method.

Assume that we order the iteration vectors in $\mathbf{X}_{k}$ naturally so that they correspond to increasing eigenvalues, with the first vector corresponding to $\lambda_{1}$. Then the last iteration vector to converge is the $p^{\text {th }}$ vector in $\mathbf{X}_{k}$ and its rate of convergence is $\frac{\lambda_{p}}{\lambda_{q+1}}$. Additionally, after the $i^{\text {th }}$ iteration, the norm of the vector difference between the $p^{\text {th }}$ $\mathbf{M}$-orthonormalized eigenvector and its current approximation (the error vector $\boldsymbol{\varepsilon}$ ) is given by,

$$
\begin{equation*}
\| \varepsilon(\text { current })\left\|=\left(\frac{\lambda_{p}}{\lambda_{q+1}}\right)^{i}\right\| \varepsilon(\text { initial }) \| \tag{1.10}
\end{equation*}
$$

where $\| \varepsilon$ (initial) $\|$ is the initial error vector. To reach $s$-digits of accuracy in the eigenvector we need,


Figure 1-1 - The lowest one hundred eigenvalues $\left(\lambda_{i}\right)$ of T4-lysozyme (Protein Data Bank ID 3LZM) [1]. (The first six zero eigenvalues correspond to rigid body modes.)

$$
\begin{equation*}
\left(\frac{\lambda_{p}}{\lambda_{q+1}}\right)^{i} \| \varepsilon(\text { initial }) \| \leq 10^{-s} \tag{1.11}
\end{equation*}
$$

and, therefore, require $l$ iterations for the vector to converge, where $l$ is given by,

$$
\begin{equation*}
l=\frac{\ln \left(10^{-s} / \| \varepsilon(\text { initial }) \|\right)}{\ln \left(\lambda_{p} / \lambda_{q+1}\right)} \tag{1.12}
\end{equation*}
$$

Next, we use the fact that the eigenvalue magnitudes increase linearly and assume that for different values of $q$, the norm of the initial error vector for the $p^{\text {th }}$ iteration vector is the same. Additionally, the first six eigenvalues are zero. This implies that the K matrix is singular. To use the subspace iteration method, we perform a shift $\rho$ on the $\mathbf{K}$ matrix to have a positive definite matrix, see Ref. [13]. We use $\rho$ to be a very small value, $\rho=-1 \times 10^{-6}$. Therefore, $\frac{\lambda_{p}}{\lambda_{q+1}}$ is approximately equal to $\frac{(p-6-\rho)}{(q-5-\rho)}$. Since $\rho$ is very small, it can be neglected and $\frac{\lambda_{p}}{\lambda_{q}+1}$ is approximated as $\frac{(p-6)}{(q-5)}$. Then Eq. 1.12 gives us directly,

$$
\begin{equation*}
l=\frac{\ln \left(10^{-s} / \| \varepsilon(\text { initial }) \|\right)}{\ln ((p-6) /(q-5))} \tag{1.13}
\end{equation*}
$$

However, an operation count tells that the following number of numerical operations are needed for $l$ iterations with $q$ vectors [13],

$$
\begin{equation*}
T C C=\frac{\ln \left(10^{-s} / \| \varepsilon(\text { initial }) \|\right)}{\ln ((p-6) /(q-5))}\left(2 n m q+2 n q^{2}+3 n q\right) \tag{1.14}
\end{equation*}
$$

where TCC is the Total Cost of Computation for $l$ iterations, $n$ is the order of the $\mathbf{K}$ and $\mathbf{M}$ matrices, and $m$ is the half-bandwidth (assumed to be full) of the $\mathbf{K}$ matrix. As the column heights of $\mathbf{K}$ vary, an average or effective value for $m$ must be used [13]. Although we refer to TCC in Eq. 1.14, in reality we only have the total number of arithmetical operations. As our only purpose is to find an effective value of $q$ for each $p$, and we also know that,

$$
c=\ln \left(10^{-s} / \| \varepsilon(\text { initial }) \|\right)
$$

where $c$ is an unknown constant, we may use,

$$
\begin{equation*}
T C C=\frac{c}{\ln ((p-6) /(q-5))}\left(2 n m q+2 n q^{2}+3 n q\right) \tag{1.15}
\end{equation*}
$$

Minimizing this expression with respect to $q$ we find an approximation for the best $q$ to obtain the $p$ eigenvalues and vectors in the least amount of computational time. Because a closed-form solution does not exist, we solve for $q$ by iteration. Note that this analysis does not provide the actual computational effort required (since the constant $c$ is unknown) but only that the minimum is obtained when using the value of $q$ given by minimizing TCC in Eq. 1.15.

Fig. 1-2 shows the normalized actual solution time and TCC to calculate the lowest 100 eigenvalues with six digits of accuracy for T4-lysozyme using different numbers of iteration vectors. The iteration times are normalized by the maximum actual iteration time and, since the constant $c$ in Eq. 1.15 is unknown, TCC is scaled such that the iteration times are equal at the minimum of TCC.


Figure 1-2 - Normalized actual iteration time and normalized TCC to calculate the first one hundred eigenvalues for T4-lysozyme (Protein Data Bank ID 3LZM) [1].

As seen in Fig. 1-2, prediction of the relative computational cost of calculating the lowest eigenvalues with different numbers of iteration vectors by Eq. 1.15 is acceptable. Next we illustrate the use of the value of $q$ in the normal mode analyses of two proteins.

### 1.2 Results

### 1.2.1 Illustrative solutions

In this section we use the subspace iteration method for the calculation of the frequencies and normal modes of two proteins. In each case we use the standard subspace iteration method as published in Refs. [13, 28] including the algorithm to construct all starting iteration vectors. We use the standard value $q=\min \{2 p, p+8\}$, referred to as the traditional subspace iteration method, and this method with the value of $q$ that minimizes TCC in Eq. 1.15, referred to as the improved subspace iteration method. We intentionally do not use any other acceleration techniques, such as given for example in Ref. [30], to identify clearly the improvements achieved solely by use
of the value of $q$ derived earlier.
In each solution we employ the skyline solver of Ref. [13] for Eq. 1.5. Although we recognize that a sparse solver could lead to significantly improved solution times [45], we do not expect our fundamental observations regarding the performance of the method to be affected. We note that the solution times given always include all operations of the subspace iterations. Additionally, in an effort to present machineindependent conclusions regarding performance of the algorithms, we present normalized solution times instead of actual solution times, where normalized time is equal to actual time divided by the maximum solution time measured in each case.

## G-actin

The initial structure of ADP-bound G-actin is taken from the work of Otterbein et al. [2] (Protein Data Bank ID 1J6Z; residue numbers 4-372). The stiffness matrix of order 10,608 for this protein was computed in CHARMM version 34b1 [46] using the implicit solvation model EEF1 [47]. Steepest descent minimization followed by adopted-basis Newton-Raphson minimization is performed in the presence of successively reduced harmonic constraints on backbone atoms to achieve a final root-mean-square (RMS) energy gradient of $2 \times 10^{-4} \frac{\mathrm{kcal}}{(\operatorname{mol} \times \AA)}$ with corresponding RMS deviation between the Xray and energy-minimized structures of $1.4 \AA$ (Fig. 1-3). Computations are performed on an Intel Xeon 5120 with 1.86 GHz and 4 GB RAM in single processor mode.

Considering the eigenvalue problem, different numbers of the lowest eigenvalues with six digits of accuracy of this protein have been obtained using the traditional and improved subspace iteration methods. Fig. 1-4 provides normalized solution times versus the required number of lowest eigenvalues for G-actin, and also provides in parentheses the number of iteration vectors $q$ used in the improved subspace iteration method in each case. It is evident that a significant improvement in the subspace iteration method is achieved by use of the calculated values of $q$.

As already noted, normalized solution times in Fig. 1-4 are defined as the actual solution times divided by the maximum solution time encountered in the analysis. The maximum solution time ( 13,939 seconds clock-time) in this case is the time


Figure 1-3-G-actin-ADP. Schematic representation of the energy-minimized molecular structure analyzed with subdomains colored according to the definition of Kabsch et al. [48], Subdomain 1 is colored blue, subdomain 2 is colored red, subdomain 3 is colored green, and subdomain 4 is colored yellow. ADP is shown in van der Waals representation. Figure rendered using PyMOL [49].


Figure 1-4 - Normalized solution times versus required number of the lowest eigenvalues with six digits of accuracy for G-actin (Protein Data Bank ID 1J6Z) [2] using the traditional and improved subspace iteration methods; the value of $q$ used in each case with the improved subspace iteration method is given in parentheses.
required to compute the lowest 300 eigenpairs with the traditional subspace iteration method. This solution time is quite large for the reasons mentioned earlier.

## Pertussis toxin

The next protein examined is pertussis toxin (chains A-F). Initial coordinates are taken from the work of Stein et al. [3] (Protein Data Bank ID 1PRT). Like for G-actin, CHARMM version 34b1 [46] with the implicit solvation model EEF1 [47] is used to obtain the energy-minimized structure (Fig. 1-5) and calculate the Hessian, which has dimension of order 26,664 . Steepest descent minimization followed by adopted-basis Newton-Raphson minimization is performed in the presence of successively reduced harmonic constraints on backbone atoms to achieve a final root-mean-square (RMS) energy gradient of $3 \times 10^{-4} \frac{\mathrm{kcal}}{(\operatorname{mol} \times \AA)}$ with corresponding RMS deviation between the X-ray and energy-minimized structures of $1.6 \AA$. Computations are also performed on an Intel Xeon 5120 with 1.86 GHz and 4 GB RAM in single processor mode.

Fig. 1-6 shows the measured normalized solution times versus the required number of the lowest eigenvalues for this molecule, and also gives in parentheses the number of iteration vectors $q$ used in the improved subspace iteration method in each case. Again, significant computational savings are achieved when the improved iteration method is used.

### 1.2.2 Conformational change pathway analysis of adenylate kinase

To illustrate the benefit of employing the subspace iteration procedure to analyze conformational change pathways of proteins, we apply the procedure to the open-to-closed transition of adenylate kinase (PDBIDs 4AKE [50] and 1AKE [51] for the open and closed conformers, respectively)(Figs. 1-7-A and 1-7-B). In the absence of molecular dynamics or other all-atom trajectory, we employ the elastic-based FE model applied previously to protein NMA to generate the conformational change pathway [18]. The initial model is defined by the open conformation of the protein.


Figure 1-5 - Pertussis toxin. Schematic representation of the energy-minimized molecular structure analyzed with subdomains colored according to the definition of Stein et al. [3], S 1 is colored green, S 2 is cyan, S 3 is purple, S 4 is red, and S 5 is yellow. Figure rendered using PyMOL [49].


Figure 1-6 - Normalized solution times versus required number of the lowest eigenvalues with six digits of accuracy for one of two molecules from pertussis toxin (Protein Data Bank ID 1PRT; Chains A-F) [3] using the traditional and improved subspace iteration methods; the value of $q$ used in each case with the improved subspace iteration method is given in parentheses.

Following Ref. [18] the molecular volume is defined by the solvent excluded surface (SES) using MSMS ver. 2.6.1 [52]. This SES is then decimated to a coarsened surface using the surface simplification algorithm QSLIM [53-55], as implemented in MeshLab [56]. Finally, the decimated SES is imported into the finite element analysis program ADINA ver. 8.5 (Watertown, MA), where the molecular volume is meshed automatically using 3D four-node tetrahedral elements [18]. The protein is assumed to behave as a linear, isotropic material with homogeneous mass density of $1420 \frac{\mathrm{~kg}}{\mathrm{~m}^{3}}$, elastic Young's modulus of 4.9 GPa , and Poisson's ratio of 0.3 . The mass density is obtained from the molecular weight and molecular volume of the open conformation. The Young's modulus is obtained by fitting thermal fluctuations of $\alpha$-carbon atoms in the finite element model to those obtained using the Rotation Translation Block procedure $[57,58]$ at room temperature in CHARMM, where one block per residue and the implicit solvation model EEF1 [47] are employed (see Appendix B).

The conformational change pathway of adenylate kinase is generated according to the procedure of Tama, Miyashita, and Brooks [59]. Starting from the initial, open conformation, $\mathbf{K}$ and $\mathbf{M}$ matrices are generated for the FE model using ADINA. The traditional subspace iteration procedure is then used to calculate the first 100 eigenpairs of the model with four digits of accuracy for the eigenvalues. The FE model interpolation functions are used to interpolate the eigenvectors, $\boldsymbol{\varphi}_{i}{ }^{k}$, corresponding to the FE nodal positions to their values, $\mathrm{C}_{i}{ }^{k}$, at the positions of the $\alpha$-carbons, where $i$ and $k$ denote the number of the eigenvector and conformation, respectively. To generate the next conformation, the difference vector between the positions of the $\alpha$-carbons in the $k^{\text {th }}$ conformation and those of the closed conformation, $\Delta \mathbf{r}^{k}$, is projected onto the eigenvectors corresponding to the $\alpha$-carbons, $c_{i}{ }^{k}=\beta^{k} \Delta \mathbf{r}^{k} \cdot \mathbf{C}_{i}{ }^{k}$, where $\beta^{k}$ is a parameter between zero and one $[23,59]$ (see Appendix A). $c_{i}{ }^{k}$ is the contribution of the $i^{\text {th }}$ eigenvector to the displacement of the $\alpha$-carbons in the $k^{\text {th }}$ step. Positions of all non- $\alpha$-carbon atoms are updated using the FE displacement interpolation functions in the current conformation. This procedure is repeated until the root-means-quare-difference (RMSD) between the current positions of $\alpha$-carbons and those of the closed conformer is less than or equal to $1 \AA$. In this approach to


Figure 1-7 - Conformational change pathway of adenylate kinase. (A) Schematic representation of the open conformation of adenylate kinase (Protein Data Bank ID 4AKE [50]). (B) Schematic representation of the closed conformer of adenylate kinase (Protein Data Bank ID 1AKE [51]). (C) Schematic representation of the open-to-closed transition. The root-mean-square-difference between the positions of $\alpha$-carbons in the closed conformer and that of the red, yellow, green, violet, and blue conformations is $7.14,5.25,3.5,1.75$, and 0 $\AA$, respectively. Figures rendered using PyMOL [49].
generating the conformational change pathway, the eigenvectors of the current conformation are used as the starting vectors for the eigenvalue problem of the next conformation, excluding the first step, which is also excluded from the solution time per conformation presented below because it constitutes a small and invariant component of the total solution time in each case. An initial conformational change pathway of 1843 conformations is generated, from which subsets of $1001,101,11$, and 1 conformation are chosen with nearly constant differences in RMSD between $\alpha$-carbon positions of each successive conformation and the closed conformation (see Appendix A) (Fig. 1-7-C). Computations are performed on an Intel Xeon E5405 with 2.00 GHz and 16 GB RAM in single processor mode.

The solution time per conformation for the subspace iteration procedure decreases monotonically with increasing number of conformations employed in the conformational change pathway (Fig. 1-8). Normalized time is equal to the actual solution time divided by the maximum solution time measured in the 100 normal mode case. As an increasing number of conformations is employed, normal mode solutions from neighboring conformations become increasingly better choices for the starting normal modes of neighboring conformations, resulting in the observed decrease in solution time per conformation. This result is true whether 20 or 100 eigenvectors are solved for (Fig. 1-8), and is additionally expected to be independent of the number of degrees of freedom in the model. Although it is of interest to understand the detailed solutiontime properties of the subspace iteration procedure in conformational change pathway analysis (e.g., dependence of solution time per conformation scaling with model size, number of normal modes computed, etc.), such analysis is reserved for future work.

### 1.3 Important properties of the subspace iteration method

In evaluating the effectiveness of any numerical procedure, it is clearly valuable to make a thorough comparison with existing methods [10, 15, 21]. In the present


Figure 1-8 - Normalized actual solution time per conformation for the subspace iteration method versus the number of conformations analyzed in the conformational change pathway of adenylate kinase using 100 and 20 normal modes.
case, such comparison is unfortunately complicated by a number of factors, including the requirement that each method employs the same convergence tolerance and is implemented in the optimal manner. Even then, results would depend on whether the computation is performed in- or out-of-core, the type of parallel processing used, the degree of energy-minimization performed in the use of some methods, and so on. While such a comparison would clearly be of value, it is outside the scope of the present work. Nevertheless, we would like to point out several important properties of the subspace iteration procedure, and in particular contrast these properties with corresponding properties of the Lanczos method.

The subspace iteration procedure converges monotonically and robustly to the number of frequencies and mode shapes sought. In each subspace iteration, inverse iteration is performed on a $q$-dimensional subspace and a Rayleigh-Ritz analysis extracts the best approximations to the $p$ normal modes sought. Best here refers to minimization of the Rayleigh quotient on the subspace [13, 40]. As the $q$-dimensional subspace is rotated towards the least dominant $p$-dimensional subspace within each
iteration, the NM approximations become more accurate. If only low accuracy in the normal modes is needed, only a few subspace iterations may be required.

Solution time in the Lanczos method scales approximately linearly with the number of eigenpairs computed. The traditional subspace iteration does not typically display this scaling when many frequencies and mode shapes are calculated (e.g., $>20)$ and a single processor is employed. In the present work, however, we observed that the subspace iteration method with the improved selection of the number of iteration vectors also resulted in linear scaling of solution time with the number of normal modes sought. As expected, we additionally observed a significant decrease in computational time when the NMA was performed on multiple neighboring conformations, because the method uses normal mode solutions from neighboring conformations to accelerate subsequent solutions. This is an important property of the subspace iteration procedure that is not a property of methods that start with individual vectors, such as the Lanczos algorithm. Additional acceleration might be achieved for NMA of single conformations by exciting principally the dihedral angles to choose starting vectors that span a subspace that is closer to the required least dominant subspace than the algorithm employed here [13, 28]. In addition, acceleration techniques published previously could be implemented [30, 35].

A final important computational property of any NMA procedure is the possibility to use parallel processing (with shared and distributed memory), such as implemented for the Lanczos procedure in the publically available program ARPACK [60]. Although the calculations in the subspace iterations (Eqs. 1.5-1.8) lend themselves naturally to parallel processing, the actual benefits achievable in comparison to the Lanczos procedure, which operates sequentially on individual vectors, remain to be established. Use of a combination of the basic steps in the subspace iteration and Lanczos methods, using the best ingredients of each technique and taking into account parallel processing, would be of interest to reach a more effective method. Further investigation is required to identify the appropriate next steps to take in this research direction.

### 1.4 Concluding remarks

The objective of this chapter was to present the application of the subspace iteration method to the normal mode analysis of proteins and to provide an algorithm for the calculation of an effective number of iteration vectors. We demonstrated use of an algorithm to calculate the number of iteration vectors $q$ to find $p$ eigenpairs that improves the effectiveness of the subspace iteration method significantly for proteins. The algorithm results in computation time scaling linearly with the number of eigenpairs sought, as demonstrated for G-actin and pertussis toxin. The subspace iteration method is well suited to protein NMA because relatively small subsets of the total available normal modes are typically sought and numerous analyses may be performed for relatively similar conformations in conformational change pathway analyses [23]. In such cases, the previously calculated eigensolution provides an excellent set of initial iteration vectors for the subsequent solution, as demonstrated here for the open-to-closed conformational change of adenylate kinase. The subspace iteration method is additionally attractive because it is robust, in that it converges monotonically to the desired eigenvalue solution for any positive semidefinite stiffness matrix. This is of significant utility in all-atom protein NMA for two reasons. First, energy minimization to tight tolerance in the energy gradient is time-consuming and often challenging due to the rugged energy landscape of proteins, and second, energy minimization often distorts the protein structure such that it deviates significantly from the experimental crystal structure. For these reasons, and due to its relative computational efficiency, the robust Rotational Translational Blocks procedure [57, 58] has gained significant popularity. However, this procedure assumes single or larger blocks of residues to be rigid, in contrast with the present implementation that retains all atomic degrees of freedom. Although the significant reduction in number of degrees of freedom in the former approach renders its computational efficiency high, an interesting area of future research concerns the integration of computationally robust NMA methods with efficient reduced degree-of-freedom approaches that retain internal residue flexibility, as initially proposed in Ref. [57]. Incorporation of such
procedures into the finite element method would enable simultaneously calculations of protein mechanical response, as well as NMs.

## Chapter 2

## Finite element framework for Langevin modes of proteins

Protein motions such as conformational changes, folding/unfolding, and ligand association/dissociation generally occur in a physiological solvent, a viscous environment within cells. Hence, to analyze the true dynamic behavior of a protein, both the protein and the solvent have to be modeled simultaneously, as in all-atom, explicit-solvent molecular dynamics [61]. However, in practice, especially for the above-mentioned long-time and large length-scale motions, the time-integration of the full set of governing equations of motion performed in the molecular dynamics is infeasible. Hence, coarse-grained models have been developed to speed up the analysis of the dynamic behavior of proteins. These models can describe many protein motions which are currently inaccessible to the standard molecular dynamics. For example, protein folding and unfolding have been investigated, respectively, using lattice models [62-64] and steered molecular dynamics [65]. Also, the elastic network model (ENM), a coarsegrained normal mode analysis (NMA), has been used to analyze the conformational change pathways of proteins [7, 66-68]. Generally, the effects of solvent friction on proteins are ignored in these normal mode analyses. Consequently, the frequencies of proteins calculated from the set of the governing equations of motion in a vacuum cannot be used to predict the actual time-scales of functional protein motions in a solvent. Also, the normal modes of proteins are altered significantly when incorporating
the effects of solvent-damping into the normal mode analyses [12, 17].
The Langevin mode analysis (LMA) developed based on the Langevin dynamics formalism by Lamm and Szabo [17] can account for the effects of solvent friction on the normal modes and corresponding time-scales of proteins. In the Langevin dynamics formalism [17, 69-71], the effects of solvent friction are implicitly applied to proteins. In practice, the Langevin dynamics simulations themselves are computationally too expensive to be used for the dynamic behavior analysis of many large proteins, while the LMA can be more readily applied to the analysis of the proteins. Recently, the LMA has been used by Miller et al. to examine the dynamic behavior of myosin in a solvent [12]. They employed bead models [72-80] to incorporate solvent-damping into the ENM. In their bead model, one bead was located at the position of every $\mathrm{C}_{\alpha}$ [12]. The radii of beads were calibrated to the experimental translational and rotational diffusion coefficients of proteins to model solvent drag. The coupling of the friction matrix calculated using the bead model and the stiffness and mass matrices obtained from the ENM resulted in the Langevin modes of myosin. Due to solvent viscosity, the Langevin modes of the protein were significantly different from its normal modes computed in a vacuum. Additionally, the first Langevin modes were shown to be over-damped [12].

The bead models generally used in the LMA $[12,81]$ have some problematic aspects such as bead overlapping [82] and volume corrections for rotation [83] and viscosity [84]. Also, in these models for the calculation of the hydrodynamic interactions between pairs of atoms, it is assumed that the intervening space between the pairs is filled only with a solvent and the presence of other atoms in the space is totally ignored [81]. Additionally, although solvent friction takes place at the surface of proteins [19], the bead models used in the LMA assume that the frictional forces act at the centers of $\mathrm{C}_{\alpha}$. In contrast to the bead models [12, 81], the finite element method (FEM) can model solvent drag on the protein surface [13]. Additionally, the FEM encounters none of the above-mentioned problems of the bead models and the frictional forces acting on the surface converge to the exact solution when the finite element size is reduced to zero [13].

The solvent friction matrix used in the LMA may be computed by embedding the protein in a Stokes-fluid that is modeled using the FEM, as is commonly performed in FE fluid-solid interaction analyses $[85,86]$. A unit velocity in each of the three $\mathrm{x}_{1^{-}}$, $\mathrm{x}_{2^{-}}$, and $\mathrm{x}_{3}$-directions can be imposed on one node located on the protein surface, and the resultant forces acting on all the protein surface nodes can be calculated and substituted for the corresponding column of the friction matrix. To establish the whole friction matrix, $3 M$ separate FE fluid simulations need to be performed using the available finite element software programs, which render this approach prohibitively costly. $M$ is the number of protein surface nodes. However, since the flow around the protein surface is governed by the Stokes equations, the fluid can be modeled as a solid. Providing that the solid properties are chosen correctly, the whole friction matrix can be obtained accurately with one finite element solid simulation [13]. Hence, the computational cost is significantly reduced. The stiffness and mass matrices of the protein model can be calculated from the elastic-body approximation developed by M. Bathe [18]. Finally, the Langevin modes of the protein can be obtained using the friction, stiffness, and mass matrices from the FEM.

In this chapter, we first review the LMA developed by Lamm and Szabo [17] to incorporate the effects of solvent-damping into the standard NMA. Then, we present a new algorithm that calculates a solvent friction matrix using the FEM to account for the solvent-damping effects. The algorithm proves successful in calculating the diffusion coefficients of a sphere and 10 proteins with various molecular weights, ranging from 7 kDa to 233 kDa . We subsequently couple the solvent friction matrix and the stiffness and mass matrices calculated using the FEM [18] to obtain the Langevin modes and corresponding relaxation times of crambin, a small protein with 46 amino acids. The obtained results are then compared with those calculated using bead models [19].

### 2.1 Methods

### 2.1.1 Langevin mode analysis

Langevin mode analysis has been developed by Lamm and Szabo [17] to incorporate the effects of solvent-damping into the standard NMA [21]. The basic theory of Langevin modes is based on the Langevin dynamics formalism given below [81]:

$$
\begin{equation*}
\mathbf{M} \ddot{\mathbf{q}}+\mathbf{Z} \dot{\mathbf{q}}+V^{\prime}(\mathbf{q})=\mathbf{f}(t) \tag{2.1}
\end{equation*}
$$

where $\mathbf{M}$ is the $3 N \times 3 N$ diagonal mass matrix, $\mathbf{Z}$ is the $3 N \times 3 N$ friction matrix, $V$ is the potential energy function, $\mathbf{q}$ is the position vector, $\dot{\mathbf{q}}$ is the velocity vector, $\ddot{\mathbf{q}}$ is the acceleration vector, and $\mathbf{f}(\mathrm{t})$ is the vector of external stochastic forces as a function of time that satisfies the following conditions:

$$
\begin{align*}
& \left\langle f_{i}(t)\right\rangle=0 \\
& \left\langle f_{i}(t) \cdot f_{j}\left(t^{\prime}\right)\right\rangle=\frac{2 Z_{i j} \delta\left(t-t^{\prime}\right)}{k_{B} T} \tag{2.2}
\end{align*}
$$

Here $k_{B}$ is Boltzmann's constant, $T$ is temperature, $\delta\left(t-t^{\prime}\right)$ is the Kronecker delta, $f_{i}(t)$ is component $i$ of $\mathbf{f}(t)$ and $Z_{i j}$ is the $i j^{\text {th }}$ component of the viscous damping matrix. $N$ is the number of particles in the Langevin dynamics model.

Expanding the potential energy function in a Taylor series around a minimum $\mathbf{q}^{0}$ and neglecting the terms higher than the quadratic order, we can obtain the Langevin equations governing the linearized protein response as follows [81]:

$$
\begin{equation*}
\mathbf{M} \ddot{\mathbf{x}}+\mathbf{Z} \dot{\mathbf{x}}+\mathbf{K} \mathbf{x}=\mathbf{f}(t) \tag{2.3}
\end{equation*}
$$

where the $i j^{\text {th }}$ component of the stiffness matrix $\mathbf{K}$ is,

$$
\begin{equation*}
K_{i j}=\frac{\partial^{2} V}{\partial q_{i} \partial q_{j}}=\frac{\partial^{2} V}{\partial x_{i} \partial x_{j}} \tag{2.4}
\end{equation*}
$$

and the displacement vector $\mathbf{x}$ is,

$$
\begin{equation*}
\mathbf{x}=\left(\mathbf{q}-\mathbf{q}^{0}\right) \tag{2.5}
\end{equation*}
$$

Eq. 2.3 can be recast into the following matrix form:

$$
\begin{align*}
\binom{\dot{\mathbf{x}}}{\ddot{\mathbf{x}}} & =\left(\begin{array}{cc}
\mathbf{0} & \mathbf{I} \\
-\mathbf{M}^{-1} \mathbf{K} & -\mathbf{M}^{-1} \mathbf{Z}
\end{array}\right)\binom{\mathbf{x}}{\dot{\mathbf{x}}}+\binom{\mathbf{0}}{\mathbf{M}^{-1} \mathbf{f}(t)} \\
& =\left(\begin{array}{cc}
\mathbf{0} & \mathbf{I} \\
-\mathbf{F} & -\gamma
\end{array}\right)\binom{\mathbf{x}}{\dot{\mathbf{x}}}+\binom{\mathbf{0}}{\mathbf{R}(t)}  \tag{2.6}\\
& =\mathbf{A}\binom{\mathbf{x}}{\dot{\mathbf{x}}}+\binom{\mathbf{0}}{\mathbf{R}(t)}
\end{align*}
$$

where $\mathbf{I}$ is a $3 N \times 3 N$ identity matrix, and $\mathbf{F}, \boldsymbol{\gamma}$, and $\mathbf{R}(t)$ are, respectively, obtained from pre-multiplying the inverse of the mass matrix by the stiffness matrix, the friction matrix, and the vector of external stochastic forces.

Langevin modes and their corresponding eigenvalues can be obtained by diagonalizing the $6 N \times 6 N$ matrix $\mathbf{A}[12,17,81]$,

$$
\begin{equation*}
\mathbf{A W}=\mathbf{W} \boldsymbol{\Lambda} \tag{2.7}
\end{equation*}
$$

Here $\mathbf{W}$ is the $6 N \times 6 N$ matrix containing the Langevin modes as columns and $\boldsymbol{\Lambda}$ is the $6 N \times 6 N$ diagonal matrix of eigenvalues.

LMA can be performed in the FEM, where $N$ is the number of nodes in a protein FEM model and the stiffness, mass, and friction matrices are obtained from the FEM model.

### 2.1.2 Properties of Langevin modes

A Langevin mode consists of $6 N$ elements, of which the upper half corresponds to displacements; the lower one, to velocities. As a result, the bottom $3 N$ elements can be obtained by multiplying the corresponding eigenvalue by the top ones. The
$6 N \times 6 N$ matrix $\mathbf{W}$ can be denoted as [81],

$$
\begin{equation*}
\mathbf{W}=\binom{\mathrm{L}}{\mathrm{~L} \boldsymbol{\Lambda}} \tag{2.8}
\end{equation*}
$$

where $\mathbf{L}$ is a $3 N \times 6 N$ matrix containing the upper halves of Langevin modes.
Since $\mathbf{A}$ is non-symmetric, $L$ and $\Lambda$ are generally complex. Complex eigenvalues and their corresponding eigenvectors exist in conjugate pairs. Since the matrices $\mathbf{F}$ and $\boldsymbol{\gamma}$ are non-negative definite, the real components of the eigenvalues are nonpositive. Also, the negative of the inverse of a real component is the relaxation time corresponding to the eigenvalue [81].

Additionally, the special structure of the non-symmetric matrix $\mathbf{A}$ allows us to factor the matrix into a product of two symmetric matrices [17]:

$$
A=\left(\begin{array}{cc}
0 & I  \tag{2.9}\\
-F & -\gamma
\end{array}\right)=\left(\begin{array}{cc}
0 & \mathbf{I} \\
\mathbf{I} & -\gamma
\end{array}\right)\left(\begin{array}{cc}
-\mathbf{F} & 0 \\
0 & I
\end{array}\right)
$$

We can also analytically invert the first matrix in the right hand side of the above equation as follows:

$$
\left(\begin{array}{cc}
\mathbf{0} & \mathbf{I}  \tag{2.10}\\
\mathbf{I} & -\boldsymbol{\gamma}
\end{array}\right)^{-1}=\left(\begin{array}{ll}
\boldsymbol{\gamma} & \mathbf{I} \\
\mathbf{I} & 0
\end{array}\right)
$$

Using Eqs. 2.9 and 2.10, Eq. 2.7 can be recast into the following form [17]:

$$
\left(\begin{array}{cc}
-\mathrm{F} & 0  \tag{2.11}\\
0 & \mathrm{I}
\end{array}\right) \mathrm{W}=\left(\begin{array}{ll}
\gamma & \mathrm{I} \\
\mathrm{I} & 0
\end{array}\right) \mathrm{W} \boldsymbol{\Lambda}
$$

The above equation is a generalized eigenvalue problem, and the eigenvectors can be normalized as follows:

$$
\mathbf{W}^{\mathrm{T}}\left(\begin{array}{ll}
\gamma & \mathbf{I}  \tag{2.12}\\
\mathbf{I} & 0
\end{array}\right) \mathbf{W}=\mathbf{I}
$$

Then we can write the inverse of the matrix $\mathbf{W}$ as,

$$
\mathbf{W}^{-1}=\mathbf{W}^{\mathrm{T}}\left(\begin{array}{cc}
\gamma & \mathbf{I}  \tag{2.13}\\
\mathbf{I} & 0
\end{array}\right)
$$

### 2.1.3 Calculation of the friction matrix from the FEM

The FEM is a well-established numerical procedure that is widely used in engineering $[13,87]$ (see for example Refs. [88, 89]). The method can be used to model the protein embedded in a Stokes-fluid and consequently calculate the solvent friction matrix, where the boundary of the protein is assumed to be the solvent-excluded surface (SES). The SES of a protein (Fig. 2-1-A) is defined as the closest contact point of a $1.4 \AA$ radius solvent-probe rolled over the protein van der Waals surface [18]. We compute the SES by MSMS ver. 2.6.1 [52]. Subsequently, the surface is coarsened (Fig. 2-1-B) using the surface simplification algorithm QSLIM [53-55], as implemented in MeshLab [56]. Then the coarsened SES is imported into the finite element program ADINA ver. 8.7.1. The space from the SES to the surface of a sphere with a diameter of approximately 400 times the largest dimension of the protein is meshed with 8 -node hexahedral elements (Figs. 2-1-C and 2-1-D). The element size changes from the finest (near the SES) to the coarsest (near the sphere surface) level in eleven layers, while the adjacent layers are glued to each other and the interfacing surfaces have the same displacements.

The fluid flow around the SES is commonly modeled as an incompressible, steadystate Stokes flow [19]. Considering a stationary Cartesian reference frame ( $x_{i}, i=1,2,3$ ) and using index notation, the governing equations of the flow can be written as follows [13]:
momentum:

$$
\frac{\partial \tau_{i j}}{\partial x_{j}}+f_{i}^{B}=0
$$

constitutive:

$$
\begin{equation*}
\tau_{i j}=-p \delta_{i j}+2 \mu e_{i j} \tag{2.15}
\end{equation*}
$$



Figure 2-1 - Finite element solvent model of crambin (Protein Data Bank ID 2FD7). A shows the schematic representation of the energy-minimized molecular structure, which is colored according to its secondary structures; $\mathbf{B}$ shows the coarsened SES imported into ADINA; C shows the spherical volume mesh employed to model the solvent around the SES (for visual purposes, the size of the SES has been increased); D shows the close-up of the mesh surrounding the protein (in cross-section).
continuity: $\quad v_{i, i}=0$
where,

$$
\begin{aligned}
v_{i} & =\text { velocity of fluid flow in direction } x_{i} \\
\tau_{i j} & =\text { components of stress tensor } \\
f_{i}^{B} & =\text { components of body force vector } \\
p & =\text { pressure } \\
\delta_{i j} & =\text { Kronecker delta } \\
\mu & =\text { fluid (laminar) viscosity } \\
e_{i j} & =\text { components of velocity strain tensor }=\frac{1}{2}\left(\frac{\partial v_{i}}{\partial x_{j}}+\frac{\partial v_{j}}{\partial x_{i}}\right)
\end{aligned}
$$

The above equations (Eqs. 2.14, 2.15, and 2.16) may be used in the FE fluid analysis of the solvent model to compute the friction matrix. In that case, velocities at the nodes on the sphere surface (Fig. 2-1-C) are set to zero. Additionally for one of the nodes, zero pressure is chosen. Then, a unit velocity in each of the three $\mathrm{x}_{1}$-, $\mathrm{x}_{2^{-}}$, and $\mathrm{x}_{3}$-directions may be applied to one of the nodes located on the protein surface, while the other velocity degrees of freedom of the protein surface nodes are set to zero. Subsequently, the resultant forces at the protein surface nodes are computed and inserted into the corresponding column of the friction matrix. We need to perform $3 M$ separate FE fluid simulations using the commercial finite element software programs such as ADINA to calculate the whole friction matrix. This number of simulations render the calculation of the matrix infeasible. However, since the governing equations of motion for an incompressible, steady-state Stokes fluid flow (Eqs. 2.14, 2.15, and 2.16), under some circumstances, can be equivalent to those of an incompressible, isotropic, linear elastic solid (Eqs. 2.17 and 2.18), the flow around the SES can be modeled as the static displacement of the incompressible solid [13].
equilibrium:

$$
\begin{equation*}
\frac{\partial \tau_{i j}}{\partial x_{j}}+f_{i}^{B}=0 \tag{2.17}
\end{equation*}
$$

constitutive:

$$
\begin{equation*}
\tau_{i j}=-p \delta_{i j}+2 \mathrm{G}^{\prime}{ }_{i j} \tag{2.18}
\end{equation*}
$$

where,

$$
\begin{aligned}
\mathrm{G} & =\text { shear modulus } \\
\varepsilon^{\prime}{ }_{i j} & =\text { components of deviatoric strain tensor }=\frac{1}{2}\left(\frac{\partial u_{i}}{\partial x_{j}}+\frac{\partial u_{j}}{\partial x_{i}}\right)-\frac{1}{3} \frac{\partial u^{2}}{\partial \partial x_{i}} \delta_{i j} \\
u_{i} & =\text { displacement of solid in direction } x_{i}
\end{aligned}
$$

The prerequisites for this equivalency to hold are that Poisson's ratio of the solid has to be chosen close to 0.5 (for example, 0.4999) and its shear modulus needs to be equal to the fluid viscosity. Additionally, the velocity in the incompressible, steady-state Stokes fluid flow is equivalent to the displacement in the incompressible solid.

To compute the friction matrix using the FE solid analysis of the solvent model, except for a fraction (20\%), the nodes on the sphere surface (Fig. 2-1-C) are restrained in all three directions. This boundary condition approximately simulates zero velocity and pressure at infinity. Additionally, a unit displacement in each of the three $\mathrm{x}_{1^{-}}, \mathrm{x}_{2^{-}}$, and $x_{3}$-directions is applied to one of the nodes located on the protein surface, while the other displacement degrees of freedom of the protein surface nodes are set to zero. Subsequently, the resultant forces that are exerted on the nodes located on the SES are calculated and substituted for the corresponding column of the friction matrix. Similarly, the other columns can be calculated. Since the solid is incompressible, the displacement/pressure formulation must be used in the FEM [13]. Here 8-node displacement/pressure solid elements (8/1 elements) [13] are used in the FE solid analysis of the solvent model (Figs. 2-1-C and 2-1-D).

Unlike the calculation of the friction matrix using the FE fluid analysis of the
solvent model, the calculation of the matrix does not require $3 M$ separate FE solid simulations. Instead, the stiffness matrix of the solvent model can be decomposed once [13] and used for different boundary conditions and loadings applied to calculate the friction matrix. Hence, calculating the friction matrix using the FE solid analysis is substantially faster than calculating that of the FE fluid analysis. The friction matrix calculated here is a $3 M \times 3 M$ matrix, $\tilde{\mathbf{Z}}$, that corresponds to the nodes on the protein surface. Subsequently, the $3 N \times 3 N$ friction matrix $\mathbf{Z}$ corresponding to all the nodes in the protein model can be obtained from $\tilde{\mathbf{Z}}$, where the components of $\mathbf{Z}$ which do not correspond to the nodes on the SES are zero.

### 2.1.4 Calculation of the friction matrix from bead models

Bead models [19] can be applied for the calculation of protein diffusion coefficients [76, 77, 90, 91]. Of the available models, the best results are obtained by use of the shell model [19]. In this model, the surface of proteins is covered by small beads and diffusion coefficients are calculated using Oseen or modified-Oseen tensors [75, 92, 93]. Bead models can also be used to analyze protein dynamics in a solvent. A combination of elastic network and bead models has proven successful in calculating protein Langevin modes [12].

Here the $3 M \times 3 M$ friction matrix, $\tilde{\mathbf{Z}}$, is calculated by use of a shell-type model. In this model, equal-size beads are positioned at the nodes located on the SES (Fig. 2-$1-\mathrm{B})$ and a matrix $\mathbf{T}$ is obtained as follows [12]:

$$
\begin{align*}
\mathbf{T}_{i j} & =(6 \pi \mu \sigma)^{-1} \mathbf{I} & & \text { when } i=j \\
& =\left(8 \pi \mu \mathrm{r}_{i j}\right)^{-1}\left(\mathbf{I}+\frac{\mathbf{r}_{i j} \mathbf{r}_{i j}{ }^{\mathrm{T}}}{\mathrm{r}_{i j}{ }^{2}}+2 \frac{\sigma^{2}}{\mathrm{r}_{i j}{ }^{2}}\left(\frac{1}{3} \mathbf{I}-\frac{\mathbf{r}_{i j} \mathbf{r}_{i j}{ }^{\mathrm{T}}}{\mathrm{r}_{i j}{ }^{2}}\right)\right) & & \text { when } i \neq j \text { and } \mathrm{r}_{i j} \geq 2 \sigma \\
& =(6 \pi \mu \sigma)^{-1}\left(\left(1-\frac{9}{32} \frac{\mathrm{r}_{i j}}{\sigma}\right) \mathbf{I}+\frac{3}{32} \frac{\mathbf{r}_{i j} \mathbf{r}_{i j}{ }^{\mathrm{T}}}{\sigma \mathrm{r}_{i j}}\right) & & \text { when } i \neq j \text { and } \mathrm{r}_{i j}<2 \sigma \tag{2.19}
\end{align*}
$$

where $\sigma$ is the hydrodynamic radius of the beads, $\mathbf{r}_{i j}$ is the vector from node $i$ to node
$j$, and $\mathrm{r}_{i j}$ is its magnitude. $\tilde{\mathbf{Z}}$ is obtained by inverting $\mathbf{T}$. Subsequently, the $3 N \times 3 N$ friction matrix $\mathbf{Z}$ can be computed from $\tilde{\mathbf{Z}}$.

### 2.1.5 Calculation of diffusion coefficients from the friction matrix

A $6 \times 6$ resistance tensor, $\boldsymbol{\Xi}$, is usually defined to express the hydrodynamic resistance of an object [19]. To calculate $\boldsymbol{\Xi}$, first, we need to partition the $3 M \times 3 M$ friction matrix $\tilde{\mathbf{Z}}$ into $3 \times 3$ blocks, $\tilde{\mathbf{Z}}_{i j}$. Then $\boldsymbol{\Xi}$ can be obtained using the following equations:

$$
\begin{gather*}
\Xi_{\mathrm{tt}}=\sum_{i} \sum_{j} \tilde{\mathbf{Z}}_{i j}  \tag{2.20}\\
\boldsymbol{\Xi}_{\mathrm{tr}}=\sum_{i} \sum_{j} \mathbf{U}_{i} \tilde{\mathbf{Z}}_{i j}  \tag{2.21}\\
\boldsymbol{\Xi}_{\mathrm{rr}}=\sum_{i} \sum_{j} \mathbf{U}_{i} \tilde{\mathbf{Z}}_{i j} \mathbf{U}_{j}^{\mathrm{T}}  \tag{2.22}\\
\boldsymbol{\Xi}=\left(\begin{array}{ccc}
\Xi_{\mathrm{tt}} & \Xi_{\mathrm{tr}}^{\mathrm{T}} \\
\Xi_{\mathrm{tr}} & \Xi_{\mathrm{rr}}
\end{array}\right)  \tag{2.23}\\
\mathbf{U}_{i}=\left(\begin{array}{ccc}
0 & -z_{i} & y_{i} \\
z_{i} & 0 & -x_{i} \\
-y_{i} & x_{i} & 0
\end{array}\right) \tag{2.24}
\end{gather*}
$$

where $\boldsymbol{\Xi}_{\mathrm{tt}}, \boldsymbol{\Xi}_{\mathrm{rr}}$, and $\boldsymbol{\Xi}_{\mathrm{tr}}$ are the $3 \times 3$ blocks of $\boldsymbol{\Xi}$, which correspond to translation, rotation, and translation-rotation coupling, respectively, and $x_{i}, y_{i}$, and $z_{i}$ are the coordinates of node $i$ in the stationary Cartesian reference frame ( $x_{j}, j=1,2,3$ ).

A $6 \times 6$ diffusion matrix, $\mathbf{D}$, can be obtained from $\boldsymbol{\Xi}$ using the generalized Einstein relationship,

$$
\mathbf{D}=\left(\begin{array}{cc}
\mathrm{D}_{\mathrm{tt}} & \mathrm{D}_{\mathrm{tr}}{ }^{\mathrm{T}}  \tag{2.25}\\
\mathrm{D}_{\mathrm{tr}} & \mathrm{D}_{\mathrm{rr}}
\end{array}\right)=k_{B} T \boldsymbol{\Xi}^{-1}
$$

where $\mathbf{D}$, like $\boldsymbol{\Xi}$, has been partitioned into $3 \times 3$ blocks [19].
Translational $\left(D_{t}\right)$ and rotational $\left(D_{r}\right)$ diffusion coefficients can be computed as follows:

$$
\begin{align*}
& D_{t}=\frac{1}{3} \operatorname{Tr}\left(\mathbf{D}_{\mathrm{tt}}\right)  \tag{2.26}\\
& D_{r}=\frac{1}{3} \operatorname{Tr}\left(\mathbf{D}_{\mathrm{rr}}\right) \tag{2.27}
\end{align*}
$$

where the symbol Tr indicates the trace of a tensor. Consequently, translational $\left(f_{t}\right)$ and rotational $\left(f_{r}\right)$ friction coefficients can be obtained using the following equations [19]:

$$
\begin{align*}
& f_{t}=\frac{k_{B} T}{D_{t}}  \tag{2.28}\\
& f_{r}=\frac{k_{B} T}{D_{r}} \tag{2.29}
\end{align*}
$$

The blocks $\mathbf{D}_{\mathrm{tt}}$ and $\mathbf{D}_{\mathrm{tr}}$ depend on the origin of the Cartesian system, while $\mathbf{D}_{\mathrm{rr}}$ does not vary with the change of origin [94]. However, the diffusion matrix D has to be calculated at the center of diffusion, $D$, to best match the experimental diffusion coefficients [95], where $D$ is a location at which $\mathbf{D}_{\text {tr }}$ is symmetric [94]. To calculate the correct diffusion matrix, we can first compute the matrix at some arbitrary origin, $O$, and then transfer the origin to $D$ using $\mathbf{r}_{\mathrm{OD}}$, the position vector of $D$ with respect to $O$, and recalculate the matrix [19].

$$
\mathbf{r}_{\mathrm{OD}}=\left(\begin{array}{c}
x_{\mathrm{OD}}  \tag{2.30}\\
y_{\mathrm{OD}} \\
z_{\mathrm{OD}}
\end{array}\right)=\left(\begin{array}{ccc}
D_{\mathrm{rr}}^{y y}+D_{\mathrm{rr}}^{z z} & -D_{\mathrm{rr}}^{x y} & -D_{\mathrm{rr}}^{x z} \\
-D_{\mathrm{rr}}^{x y} & D_{\mathrm{rr}}^{x x}+D_{\mathrm{rr}}^{z z} & -D_{\mathrm{rr}}^{y z} \\
-D_{\mathrm{rr}}^{x z} & -D_{\mathrm{rr}}^{y z} & D_{\mathrm{rr}}^{x x}+D_{\mathrm{rr}}{ }^{y y}
\end{array}\right)^{-1}\left(\begin{array}{c}
D_{\mathrm{tr}}^{y z}-D_{\mathrm{tr}}^{z y} \\
D_{\mathrm{tr}}^{z x}-D_{\mathrm{tr}}^{x z} \\
D_{\mathrm{tr}}^{x y}-D_{\mathrm{tr}}^{y x}
\end{array}\right)
$$

### 2.1.6 Calculation of the stiffness and mass matrices

Recently, the FEM has proven successful in calculating the lowest normal modes of proteins [18]. Since the lowest normal modes are determined dominantly by the shape of proteins [96], the material type used in the current FE models is not of great importance for obtaining the normal modes. Hence, proteins can be modeled simply as homogeneous, isotropic, linear elastic continua [18].

To calculate the stiffness and mass matrices of a protein, first, we use the SES explained above (Fig. 2-1-B) to define the volume of the protein model. Then the volume is discretized with 3D 4-node tetrahedral elements using the finite element software program ADINA ver. 8.7.1. Since the stiffness and mass matrices need to be coupled with the friction matrix, the nodes generated on the protein surface in this model have to be the same as those of the solvent model used for calculating the friction matrix (see Section 2.1.3).

Here the mass density of the protein model is defined as the division of the molecular mass by the volume of the model, and Poisson's ratio is set to 0.3 [18]. Knowing the mass density and Poisson's ratio, we can adjust Young's modulus of the protein model to fit the FEM $\alpha$-carbon atom fluctuation results to the RTB results [18]. Given the protein volume and the material properties, the FEM can use numerical integrations to calculate the stiffness and mass matrices.

### 2.2 Results

### 2.2.1 Diffusion coefficients of a sphere with a radius of $25 \AA$ surrounded by $20^{\circ} \mathrm{C}$ water

There are analytical solutions for the diffusion coefficients of spheres [19]. Hence, to examine the accuracy of the FEM in calculating solvent friction matrices, one can compute the diffusion coefficients of a sphere from the FEM and compare the results with the analytical solutions. Also, this study can help us better model globular proteins, which have spherical shapes, within water.

Here we employ the FEM to calculate the diffusion coefficients of a $25-\AA$-radius sphere located in $20^{\circ} \mathrm{C}$ water. To this end, first, we need to compute the friction matrix using the FEM. We embed the sphere with the radius, $r_{i n}$, of $25 \AA$ in an incompressible, isotropic, linear elastic solid outer sphere with the radius $r_{\text {out }}$. As explained in Section 2.1.3, Poisson's ratio of the solid material is set to 0.4999 and its shear modulus, G, is chosen equal to $60.34 \frac{\mathrm{Da}}{(\operatorname{pec} \times \AA)}$, which is the viscosity, $\mu$, of water at $20^{\circ} \mathrm{C}$ [90]. Considering the dynamic behavior and molecular properties of proteins, dalton (Da), angstrom ( $\AA$ ), and picosecond (psec) are, respectively, chosen as the mass, length, and time units used in the FEM. The following equations define the chosen set of non-SI units based on the SI units:

$$
\begin{align*}
\mathrm{Da} & =1.6605 \times 10^{-27} \mathrm{~kg} \\
\AA & =10^{-10} \mathrm{~m}  \tag{2.31}\\
\mathrm{psec} & =10^{-12} \mathrm{sec}
\end{align*}
$$

As described before, the intervening space between the inner and outer sphere surfaces is meshed with $8 / 1$ solid elements [13]. The element size varies from the finest (near the inner sphere surface) to the coarsest (near the outer sphere surface) level in several layers, while the adjacent layers are glued to each other (Fig. 2-2).

To simulate the zero velocity and pressure at infinity, except for a fraction, $r_{\text {free }}$, the nodes located on the outer sphere surface are restrained in all three directions. Subsequently, as explained before, the friction matrix and the diffusion coefficients can be computed by applying different displacements and boundary conditions to the nodes located on the inner sphere surface. Results show that changing $r_{\text {free }}$, in the range of 0.1 to 0.9 , has almost no effect on the errors in the calculated diffusion coefficients (Fig. 2-3). Hence, from here on in the FE models, $r_{\text {free }}$ is set to 0.2.

In this chapter, the error in a calculated diffusion coefficient is defined as follows:

$$
\begin{equation*}
\text { Error }=100\left|\frac{D_{\mathrm{t} / \mathrm{r}}^{\text {exact }}-D_{\mathrm{t} / \mathrm{r}}^{\text {calc }}}{D_{\mathrm{t} / \mathrm{r}}^{\text {exact }}}\right| \tag{2.32}
\end{equation*}
$$

where $D_{\mathrm{t} / \mathrm{r}}$ exact is the exact value of the translational $(\mathrm{t})$ or rotational (r) diffusion coefficient obtained from experiments or analytical solutions, and $D_{\mathrm{t} / \mathrm{r}}{ }^{\text {calc }}$ is the cal-


Figure 2-2 - The mesh between the inner and outer sphere surfaces (in cross-section). A shows all the layers of mesh used in the FE model, while $\mathbf{B}$ and $\mathbf{C}$ show only 9 and 2 layers, respectively, surrounding the inner sphere. Different colors indicate different layers of mesh.


Figure 2-3 - Error in the calculated translational and rotational diffusion coefficients of the inner sphere versus the fraction of the nodes on the outer sphere surface that are unrestrained, $r_{\text {free }}$. Here $r_{\text {out }}$ is 400 times $r_{\text {in }}$ and the ratio of the radius of the inner sphere, $r_{i n}$, to the element size near the inner sphere surface, $h$, is chosen equal to 11.43.
culated value of the diffusion coefficient. The exact values of the translational and rotational diffusion coefficients of the sphere with the radius of $25 \AA$ in $20^{\circ} \mathrm{C}$ water obtained from analytical solutions [19] are,

$$
\begin{aligned}
D_{\mathrm{t}}^{\text {exact }} & =\frac{k_{B} T}{6 \pi \mu r_{i n}}=8.57 \times 10^{-3} \frac{\AA^{2}}{\mathrm{psec}} \\
D_{\mathrm{r}}{ }^{\text {exact }} & =\frac{k_{B} T}{8 \pi \mu r_{i n}{ }^{3}}=1.03 \times 10^{-5} \frac{1}{\mathrm{psec}}
\end{aligned}
$$

Additionally, changing the ratio of $r_{\text {out }}$ to $r_{\text {in }}$, from 5 to 400 , significantly decreases the errors in the calculated diffusion coefficients, while the errors remain almost constant for the ratios greater than 400 (Fig. 2-4). Since the ratios greater than 400 substantially increase the cost of computation without a significant decrease in the errors, we choose the ratio to be 400 for the FE simulations.

Setting $r_{\text {free }}$ to 0.2 and the ratio of $r_{\text {out }}$ to $r_{\text {in }}$ to 400 , we can check the convergence of the calculated diffusion coefficients by changing the element sizes. The element


Figure 2-4 - Error in the calculated translational and rotational diffusion coefficients of the inner sphere versus the ratio of $r_{\text {out }}$ to $r_{\text {in }}$. Here $r_{\text {free }}$ is set to 0.2 and the ratio of the radius of the inner sphere, $r_{i n}$, to the element size near the inner sphere surface, $h$, is chosen equal to 11.43 .
sizes of all the layers are defined based on the element size near the inner sphere surface, $h$. When we change the ratio of $r_{i n}$ to $h$ from 1.429 to 11.43 , the error in the translational diffusion coefficient reduces from $46.31 \%$ to $2.28 \%$, and that of the translational diffusion coefficient decreases from $29.16 \%$ to $0.26 \%$ (Fig. 2-5). Although the cost of computation for the ratio equal to 11.43 is reasonable (the number of elements used in the FE model is about 100,000 ), the errors are remarkably small. We use ratios similar to 11.43 for the FE simulations used for globular proteins.

As seen above, setting $r_{\text {free }}$ to 0.2 , the ratio of $r_{\text {out }}$ to $r_{\text {in }}$ to 400 , and the ratio of $r_{i n}$ to $h$ to 11.43, with a reasonable cost of computation, we can obtain accurate results for the diffusion coefficients of a sphere. Since globular proteins have spherical shapes, we can choose similar parameters to obtain accurate friction matrices for the proteins.


Figure 2-5 - Error in the calculated translational and rotational diffusion coefficients of the inner sphere versus the ratio of $r_{\text {in }}$ to $h$. Here $r_{\text {free }}$ is set to 0.2 and the ratio of $r_{o u t}$ to $r_{i n}$ is chosen equal to 400 .

### 2.2.2 Diffusion coefficients of proteins

We have calculated the rotational and translational diffusion coefficients of 10 different proteins (Table 2.1) using the FEM. To calculate the coefficients, as explained before, the SES of the protein is embedded in an incompressible solid sphere with a diameter of 400 times the largest dimension of the protein. The mechanical properties of the solid are the same as in the previous section. According to the results in the previous section, we mesh the whole intervening space between the SES and the sphere surface with approximately $100,0008 / 1$ elements and set $r_{\text {free }}$ to 0.2 . The diffusion coefficients are calculated for the hydration layer thicknesses of 0 and $1 \AA$ (Table 2.2). To compute the SES of a protein with a hydration layer thickness of $1 \AA$, we need to increase the original van der Waals radii of atoms by $1 \AA$, before creating the corresponding SES.

After calculating the coefficients for the two different layer thicknesses, we calibrate the hydration layer thickness of the protein to the experimental diffusion coefficients of the protein, by performing interpolations and extrapolations on the data

Table 2.1 - Experimental values of the translational and rotational diffusion coefficients of 10 different proteins. All the reported diffusion coefficients are obtained from Ref. [77], except for those of myoglobin and hemoglobin that are obtained from Ref. [12].

| Protein name | PDB ID | Structure <br> weight <br> $(\mathrm{kDa})$ | Translational <br> diffusion <br> coefficient <br> $\left(10^{-2} \times \frac{\AA^{2}}{\mathrm{psec}}\right)$ | Rotational <br> diffusion <br> coefficient <br> $\left(10^{-5} \times \frac{1}{\mathrm{psec}}\right)$ |
| :---: | :---: | :---: | :---: | :---: |
| BPTI (q) | 4 pti | 7 | 1.29 | 4.17 |
| Ribonuclease A | 1 rbx | 14 | 1.07 | - |
| Lysozyme | 1931 | 14 | 1.09 | 2.6 |
| Myoglobin | 1 dwr | 18 | 1.02 | 1.72 |
| Chymotrypsinogen | 2 cga | 26 | 0.93 | - |
| $\beta$-Lactoglobulin | 1 beb | 37 | 0.782 | 0.75 |
| Hemoglobin | 2 dn 2 | 65 | 0.69 | 0.442 |
| GPD (r) | 4 gpd | 143 | 0.5 | - |
| Adolase | 1 ado | 158 | 0.445 | - |
| Nitrogenase MoFe | 2 min | 233 | 0.4 | - |

Table 2.2 - Calculated values of the translational and rotational diffusion coefficients of 10 different proteins for the hydration layer thicknesses of 0 and $1 \AA$.

| Protein name | Translational diffusion coefficient $\left(10^{-2} \times \frac{\AA^{2}}{\mathrm{psec}}\right)$ | $\begin{gathered} \text { Rotational } \\ \text { diffusion } \\ \text { coefficient } \\ \left(10^{-5} \times \frac{1}{\text { psec }}\right) \\ \hline \end{gathered}$ | Translational diffusion coefficient $\left(10^{-2} \times \frac{\AA^{2}}{\mathrm{psec}}\right)$ | Rotational diffusion coefficient $\left(10^{-5} \times \frac{1}{\mathrm{psec}}\right)$ |
| :---: | :---: | :---: | :---: | :---: |
|  | Hydration layer thickness $=0 \AA$ |  | Hydration layer thickness $=1 \AA$ |  |
| BPTI (q) | 1.49 | 5.30 | 1.35 | 4.03 |
| Ribonuclease A | 1.17 | 2.56 | 1.08 | 2.05 |
| Lysozyme | 1.17 | 2.64 | 1.09 | 2.12 |
| Myoglobin | 1.08 | 1.87 | 1.01 | 1.52 |
| Chymotrypsinogen | 0.950 | 1.44 | 0.889 | 1.19 |
| $\beta$-Lactoglobulin | 0.829 | 0.926 | 0.768 | 0.741 |
| Hemoglobin | 0.697 | 0.524 | 0.660 | 0.448 |
| GPD (r) | 0.508 | 0.217 | 0.483 | 0.188 |
| Adolase | 0.474 | 0.170 | 0.454 | 0.151 |
| Nitrogenase MoFe | 0.446 | 0.147 | 0.429 | 0.131 |

Table 2.3-Calculated values of the optimal hydration layer thicknesses and the errors in the translational and rotational diffusion coefficients of 10 different proteins. Errors cannot be calculated for cases for which there is no experimental data reported in Table 2.1.

| Protein name | Optimal hydration <br> layer thickness $(\AA)$ | Error in the <br> calculated <br> translational <br> diffusion <br> coefficient (\%) | Error in the <br> calculated <br> rotational <br> diffusion <br> coefficient (\%) |
| :---: | :---: | :---: | :---: |
| BPTI (q) | 0.888 | 5.5 | 1.2 |
| Ribonuclease A | 1.09 | 0.1 | - |
| Lysozyme | 0.070 | 6.5 | 1 |
| Myoglobin | 0.447 | 2.2 | 1.6 |
| Chymotrypsinogen | 0.333 | 0.3 | - |
| $\beta$-Lactoglobulin | 0.954 | 1.5 | 0.4 |
| Hemoglobin | 1.08 | 4.6 | 0.5 |
| GPD (r) | 0.334 | 0.5 | - |
| Adolase | 1.44 | 0.7 | - |
| Nitrogenase MoFe | 2.69 | 1.7 | - |

given in Tables 2.1 and 2.2. Then the determined optimal thickness is added to the van der Waals radii of atoms and the diffusion coefficients are recalculated. All the experimental and calculated diffusion coefficients are given for the viscosity of water at $20^{\circ} \mathrm{C}$, except for the rotational diffusion coefficients of myoglobin and hemoglobin that are for the viscosity of 1.1 cP (equivalent to $66.24 \frac{\mathrm{Da}}{(\operatorname{psec} \times \AA)}$ ). As seen, the errors in the calculated diffusion coefficients are small (Table 2.3). Hence, the FEM can be used to obtain the friction matrix and the diffusion coefficients of proteins accurately.

### 2.2.3 Langevin modes of crambin

The initial structure of crambin, a small protein with 46 amino acids, is obtained from the work of Bang et al. [97] (Protein Data Bank ID 2FD7). In CHARMM version 35b1 [46] using the implicit solvation model EEF1 [47], steepest descent minimization followed by adopted-basis Newton-Raphson minimization is performed in the presence of successively reduced harmonic constraints on backbone atoms to achieve a final root-mean-square (RMS) energy gradient of $4 \times 10^{-4} \frac{\mathrm{kcal}}{(\operatorname{mol} \times \AA)}$ with corresponding RMS deviation between the X-ray and energy minimized structures of $1.1 \AA$ (Fig. 2-1-A).

Then the SES of the energy-minimized molecular structure is obtained, as explained before, and imported into ADINA ver. 8.7.1 (Fig. 2-1-B). The SES is embedded in an incompressible solid sphere with Poisson's ratio of 0.4999 and shear modulus of $54.31 \frac{\mathrm{Da}}{(\sec \times \AA)}$, which is 0.9 times the viscosity of water at $20^{\circ} \mathrm{C}, \mu_{20}$. As in the previous section, the diameter of the sphere is approximately 400 times the largest dimension of the protein, the whole intervening space between the SES and the sphere surface is meshed with approximately $100,0008 / 1$ elements, and $r_{\text {free }}$ is set to 0.2 . Here we set the hydration layer thickness to zero and calculate the friction matrix $\mathbf{Z}$ using the FE model. The translational and rotational diffusion coefficients calculated from the $\mathbf{Z}$ matrix are, respectively, $1.88 \times 10^{-2} \frac{\AA^{2}}{\text { psec }}$ and $8.56 \times 10^{-5} \frac{1}{\text { psec }}$. Note that for cases in which we know the experimental diffusion coefficients of a protein, we can calculate the $\mathbf{Z}$ matrix with the optimal hydration layer thickness. Otherwise, we set the thickness to zero.

For the sake of comparison, we also calculate the $\mathbf{Z}$ matrix from a shell-type model, as explained before. The hydrodynamic radius of beads located at the nodes on the SES is chosen such that the diffusion coefficients calculated from the shell model are the closest to those obtained using the FEM. For crambin, the hydrodynamic radius is found to be $0.4 \AA$. The errors in the translational and rotational diffusion coefficients calculated from the shell model in comparison with those of the FEM are, respectively, $1.7 \%$ and $0.1 \%$. Hence, both of the friction matrices, obtained using the FEM and the shell model, give almost the same diffusion coefficients.


Figure 2-6 - Root-mean-square fluctuations of $\alpha$-carbons of crambin obtained using the FEM and the RTB procedure.

To obtain the stiffness and mass matrices for crambin, we import the SES of crambin into ADINA ver. 8.7.1 and mesh the protein volume with 3D 4-node tetrahedral elements, where 2449 nodes are located in the model. The material of the protein is assumed homogeneous, isotropic, and linear elastic, where the mass density is 1525 $\frac{\mathrm{kg}}{\mathrm{m}^{3}}$ (equivalent to $0.918 \frac{\mathrm{Da}}{\AA^{3}}$ ), Young's modulus is 7.8 GPa (equivalent to $467 \frac{\mathrm{Da}}{\left(\operatorname{psec}^{2} \times \AA\right)}$ ), and Poisson's ratio is 0.3 . The mass density is obtained from the molecular weight and molecular volume of the protein. Young's modulus is obtained by fitting root-mean-square fluctuations of $\alpha$-carbon atoms in the FE model to those obtained using the Rotation Translation Block procedure $[57,58]$ at room temperature in CHARMM (Fig. 2-6), where one block per residue and the implicit solvation model EEF1 [47] are employed.

Having the stiffness and mass matrices using the FEM and the friction matrix using the FEM or the shell model, we can solve for the Langevin modes of crambin. Additionally, we can calculate the normal modes of the protein in a vacuum by use of the stiffness and mass matrices (Eq. 1.1), where the friction matrix is totally neglected. Each of the calculated Langevin modes and vacuum normal modes contain $6 N$ and $3 N$
components, respectively, where $N$ is equal to 2449 . To compare a vacuum normal mode with a critically damped or over-damped Langevin mode, first, we take the upper $3 N$ components of the Langevin mode and renormalize them. Then the dot product of the normalized vacuum normal mode and the normalized, upper half of the Langevin mode is calculated as an overlap score between the two modes [12].

The overlap score between the critically damped or over-damped Langevin mode that correlates most with a vacuum normal mode and the normal mode is the highest in comparison with all other critically damped or over-damped Langevin modes. To compare the two sets of critically damped or over-damped Langevin modes obtained by use of the two friction matrices, we can calculate their highest overlap scores with the first 10 non-zero vacuum normal modes (Table 2.4). The values of the highest overlap scores between the Langevin modes and the vacuum normal modes decrease with the vacuum normal mode number. This observation shows that only the lowest few vacuum normal modes of crambin can be characterized by individual critically damped or over-damped Langevin modes. Additionally, the two sets of the relaxation times corresponding to the first 10 vacuum normal modes obtained using the FEM and the shell model are in good agreement for vacuum normal modes $1,2,3,5,6$, and 8, while, unlike the FEM results, the relaxation times calculated using the shell model for the other vacuum normal modes are substantially small (see also Ref. [12]). The short relaxation times calculated by the shell model would be expected to be far higher, since the lowest vacuum normal modes are collective motions of the protein.

At the solvent viscosity of zero, all the Langevin modes of crambin, except for the 12 zero-eigenvalue modes corresponding to the purely translational and rotational motions of the protein, are under-damped, i.e., the imaginary parts of their eigenvalues are non-zero. However, as the solvent viscosity increases, the number of under-damped modes reduces and the Langevin modes become critically damped and then over-damped (Table 2.5). Additionally, we expect the relaxation times of the Langevin modes to increase with the solvent viscosity (Fig. 2-7). Note that none of the relaxation times of the critically damped or over-damped Langevin modes of crambin calculated here are longer than the relaxation time corresponding to the

Table 2.4 - Highest overlap scores and corresponding critically damped or over-damped Langevin modes and relaxation times for the 10 lowest non-zero vacuum normal modes of crambin. The friction matrix $\mathbf{Z}$ is calculated using the FEM and the shell model, while the stiffness and mass matrices are calculated using only the FEM. Here the solvent viscosity is 0.9 times $\mu_{20}$.

|  | The FEM |  |  |  |  | The shell model |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Vacuum <br> normal <br> mode | Highest <br> overlap <br> scores | Langevin <br> mode | Relaxation <br> time <br> (psec) |  | Highest <br> overlap | Langevin <br> mode | Relaxation <br> time <br> (psec) |  |
| 1 | 0.9066 | 29 | 13.61 |  | 0.9052 | 7 | 14.00 |  |
| 2 | 0.9598 | 31 | 11.46 |  | 0.9576 | 8 | 11.82 |  |
| 3 | 0.6885 | 33 | 9.95 |  | 0.6027 | 9 | 9.84 |  |
| 4 | 0.5194 | 54 | 4.70 |  | 0.6166 | 8775 | 0.03 |  |
| 5 | 0.5420 | 35 | 8.38 |  | 0.4891 | 10 | 8.50 |  |
| 6 | 0.5504 | 41 | 6.93 |  | 0.5078 | 12 | 7.25 |  |
| 7 | 0.3770 | 520 | 0.92 |  | 0.4905 | 3841 | 0.07 |  |
| 8 | 0.4023 | 50 | 5.00 |  | 0.4185 | 15 | 5.92 |  |
| 9 | 0.3475 | 472 | 1.02 |  | 0.5421 | 3976 | 0.06 |  |
| 10 | 0.4946 | 55 | 4.56 |  | 0.4607 | 10633 | 0.02 |  |

Table 2.5 - Number of critically damped or over-damped Langevin modes of crambin at different solvent viscosities. Here the friction matrix $\mathbf{Z}$ is calculated using only the FEM.

| Solvent viscosity $\left(\times \mu_{20}\right)$ | Number of critically damped or <br> over-damped Langevin modes |
| :---: | :---: |
| 0 | 12 |
| 0.1 | 1556 |
| 0.3 | 3530 |
| 0.5 | 4966 |
| 0.9 | 6262 |
| 1 | 6438 |

rotational diffusion coefficient. That is because all of the SES is involved in rotational diffusion while, in a Langevin mode, only part of the protein surface undergoes significant motion [12].

### 2.3 Concluding remarks

The solvent friction matrices of proteins need to be calculated and coupled with the stiffness and mass matrices of the proteins to incorporate the effects of solventdamping into protein NMA. Several methods such as the FEM and the RTB procedure have already proven successful in calculating the stiffness and mass matrices of proteins [18]. However, none of the approaches that are currently used to compute the friction matrices for the LMA of proteins $[12,81]$ are expected to capture the true solvent-damping effects on proteins, due to their problematic aspects and unrealistic approximations [82-84].

The objective of this chapter was to present an accurate algorithm for calculating the Langevin modes of proteins. To this end, we employed the well-established FEM to calculate the solvent friction matrices of proteins. The FEM is well suited to the calculation of the friction matrices because, using the Stokes equations, it almost


Figure 2-7 - Relaxation times of the critically damped or over-damped Langevin modes of crambin calculated for different solvent viscosities that heavily correlate with non-zero vacuum normal modes $1-3$ of crambin.
models the true solvent drag on the protein surface, where the friction matrix is expected to converge to the exact solution as the mesh element size goes to zero [13]. Also, since the Stokes equations are employed here, only one FE solid simulation with the commercial finite element software programs is enough to calculate the whole friction matrix of a protein, which renders the computational efficiency of the FEM, for the LMA of proteins, high.

Since there are analytical solutions for the diffusional behavior of a sphere, to check the accuracy of the algorithm, the FEM was first applied to a sphere and the solvent friction matrix of the sphere was computed. Results show that the diffusion coefficients calculated from the matrix match well with the analytical solutions. Hence, since globular proteins have spherical shapes, the FEM is also expected to be useful in calculating accurate friction matrices for proteins.

The FEM was then employed to calculate the diffusion coefficients of 10 different proteins. Here it was shown that the error between the FEM-calculated and experimental diffusion coefficients of the proteins is small.

Finally, the Langevin modes of crambin were obtained using the FEM. As expected, all of the relaxation times of the critically damped or over-damped Langevin modes of crambin calculated using the FEM were longer than the relaxation time corresponding to the rotational diffusion coefficient. Additionally, it was demonstrated that only the first few non-zero vacuum normal modes of crambin could be wellcharacterized by individual critically damped or over-damped Langevin modes of the protein.

## Chapter 3

## Structure, evolutionary <br> conservation, and conformational dynamics of Homo sapiens fascin-1, an F-actin crosslinking protein

The actin cytoskeleton of eukaryotic cells is centrally involved in a range of cellular functions including migration, endocytosis and division. In each case, the cell uses a host of accessory proteins to regulate actin dynamics spatiotemporally to achieve cellular function. In the case of migration, the leading edge of the cell consists predominantly of two types of protrusive filamentous actin (F-actin) structures: the dendritic sheetlike lamellipodium [98-102] and dynamic cortical spike-like filopodia [103-105]. Filopodia consist of compact ordered bundles of unipolar actin filaments that are nucleated at the leading edge by formins $[106,107]$ and crosslinked tightly into highly ordered bundles by the actin-binding protein fascin, which is both highly conserved evolutionarily and tissue- and cell-type-specific [104, 108]. While fascin dominates in cortical actin bundles such as filopodia, oocyte microvilli, and the den-
drites of dendritic cells, which play direct roles in cell migration, cell-matrix adhesion, and cell-cell interactions, fascin is also associated to a lesser extent with cytoplasmic actin bundles that participate in the maintenance of internal cell architecture [109]. Fascin is additionally known to be upregulated in a number of highly motile cell phenotypes including invasive cancer cells [110-114].

It is well established that the molecular size and conformational flexibility of actin crosslinking proteins are highly correlated with the morphological cytoskeletal structures with which they are associated [115-118]. For example, human filamin is a relatively large homodimer (approximately 160 nm molecular dimension) consisting of 24 tandem immunoglobulin repeats that is associated primarily with dendritic cytoskeletal networks [119], $\alpha$-actinin is a smaller ( 35 nm ) anti-parallel homodimer that is associated with both networks and bundles such as the contractile ring in dividing cells and stress fibers in adherent cells $[120,121]$, and the compact ABP fimbrin (10 nm) [122] (also called plastin) is found nearly exclusively in highly ordered unipolar actin bundles such as microvilli. The X-ray structures of several of these and related actin-crosslinking proteins have been determined. They consist of dual calponin homology actin-binding domains that are suggested to stabilize actin filaments [123-129]. Fimbrin additionally has two N-terminal calcium-binding EF-hand motifs that confer calcium regulation of its F-actin crosslinking activity in human isoforms [130, 131].

Homo sapiens fascin-1 also crosslinks actin filaments into compact unipolar bundles, but in a manner that is regulated by phosphorylation of serine 39 by protein kinase C [132], which inhibits its actin-bundling activity without affecting its localization to the filopodial tip complex [104]. H. sapiens fascin-1 is a compact, 55 kDa (493 residues) globular monomer with putative actin-binding domains that differ in primary sequence. Fascin was originally discovered in extracts from sea urchin eggs [133] and was later found in other invertebrates as well as vertebrates including Drosophilia [134], starfish sperm [135], Xenopus laevis [136], rodents [137] and humans [138]. H. sapiens fascin-1 is the original vertebrate fascin discovered, whereas retinal and testis fascins were discovered later and named fascin-2 and fascin-3, respectively [108]. Se-
quence alignment shows no similarity between fascins and other known actin-binding proteins in humans, but strong similarities within the fascin family itself [139]. Atomic models for actin-fascin bundles have been proposed on the basis of optical diffraction studies of negatively stained reconstituted material [140]. These suggest an 11 nm transverse banding pattern and uniformly polarized actin filaments organized in a hexagonal array with an interfilament distance of 11.5 nm . Assuming a single fascin monomer per crosslink, the predicted fascin-actin stoichiometry of 1:4.5 is in good agreement with experimentally measured ratios [141]. This maximal stoichiometry is a result of the helical twist of the actin filament that limits crosslinking sites between pairs of filaments to every fourth or fifth actin monomer. Fascin is additionally suggested to impart unusual mechanical stiffness to actin bundles in cells [104, 142-144] and reconstituted actin systems $[121,145,146]$ as compared with fimbrin, despite similar actin-binding affinities and bundle structure [140, 147]. Despite its importance to cell function, the molecular basis for the unique localization and actin-bundling properties of fascin are not known. Here, we examine the packing, evolutionary sequence conservation and conformational flexibility of the $2.9 \AA$ resolution crystal structure of full-length recombinant H. sapiens fascin-1 (PDB ID 1DFC). The results of normal mode analysis (NMA) of fascin suggest potential functional consequences of its distinct molecular structure and flexibility in crosslinking F-actin. Mutational and other experimental studies are needed to test these predictions.

### 3.1 Results

### 3.1.1 Overall structure

The two fascin molecules in the asymmetric unit are highly similar, with a root-mean-square deviation (RMSD) of $0.64 \AA$ for $474 \mathrm{C}_{\alpha}$ atom pair equivalences. Fascin is composed of four tandem repeat $\beta$-trefoil domains (Fig. 3-1) with pseudo 3 -fold symmetry, consisting of $12 \beta$-strands that form the barrel (B) and cap (C) regions in the sequence: BCCBBCCBBCCB (Fig. 3-2-A). The domains pack to form a distorted
tetrahedron composed of two lobes: domains F1 (residues 8-139) and F2 (residues 140-260) form the first lobe and domains F3 (residues 261-381) and F4 (residues 382493) form the second lobe. These two lobes can be superimposed with an RMSD of $2.3 \AA$ for $224 \mathrm{C}_{\alpha}$ atom pair equivalences. The two lobes are related by an approximate 2 -fold axis (rotation angle $163^{\circ}$, screw distance $8.1 \AA$ ). This axis passes between the two lobes and is approximately perpendicular to the plane of the image in Fig. 3-1-A. Despite the similarity of the two fascin lobes, the difference in relative internal orientations of their domains is $9^{\circ}$. Overall, $6676 \AA^{2}$ of accessible surface area is buried at domain-domain interfaces, with approximately one-third of this surface area buried in the interface between domains F1 and F2, one-quarter between domains F3 and $\mathrm{F} 4,18 \%$ between domains F2 and F4, $11 \%$ between domains F1 and F4 and $11 \%$ between domains F2 and F3 (Table C.1).

Within the first lobe, the $\beta$-trefoil domains F1 and F2 are related by an approximate pseudo 2-fold axis (rotation $170^{\circ}$, screw $6.2 \AA$ ) passing between these domains and lying approximately vertically in the plane of the image in Fig. 3-1-A, with the C-terminus of the first domain linked directly to the $N$-terminus of the second. Within the second lobe, the $\beta$-trefoil domains F3 and F4 are also related by an approximate 2 -fold axis (rotation $169^{\circ}$, screw $7.3 \AA$ ) passing between these domains and lying approximately vertically in the plane of the image in Fig. 3-1-A. Domains F3 and F4 in the second lobe are also packed head-to-tail like domains F1 and F2 in the first lobe. The interface between the domains in each lobe is formed by interactions between the $\beta$-barrels, with their $\beta$-hairpin triplets at the extreme ends of the lobes. This association results in an almost coaxial alignment of the pseudo 3-fold axes of the two domains within each end-capped $\beta$-barrel lobe (Figs. 3-1-C and 3-1-D), with the pseudo 3 -fold axis lying approximately perpendicularly to the inter-domain dyad.

### 3.1.2 $\beta$-Trefoil domain structure

Each $\beta$-trefoil domain consists of $12 \beta$-strands that form three structurally homologous subunits (green, red and blue in Fig. 3-2-A). These three subunits are related by a pseudo 3 -fold axis and each contains a $\beta \beta \beta$-loop- $\beta$ motif [148] that forms two pairs


Figure 3-1 - Overall structure of $H$. sapiens fascin-1. (A) View I of H. sapiens fascin-1 showing lobe 1 , which consists of $\beta$-trefoil domains F1 (residues $8-139$, yellow) and F2 (residues $140-260$, green) and lobe 2 , which consists of $\beta$-trefoil domains F3 (residues $261-381$, blue) and F4 (residues $382-493$, red). The pseudo 2 -fold axis is located between the lobes and is oriented approximately normal to the plane of the image. (B) View of fascin- 1 from the top in comparison with view I in A, obtained by a $90^{\circ}$ rotation of fascin about the X-axis in view I. The pseudo 2 -fold axis is approximately vertical in the plane of the image. (C) View of fascin- 1 along the pseudo 3 -fold axis of lobe 1 , obtained by rotation of fascin- 1 in view I by $156^{\circ}$ about the X-axis, $-119^{\circ}$ about the Y -axis, and $-156^{\circ}$ about the Z-axis. (D) View of fascin-1 along the pseudo 3-fold axis of lobe 2. This view is obtained by rotation of fascin- 1 in view I by $-150^{\circ}$ about the X-axis, $125^{\circ}$ about the Y-axis, and $-151^{\circ}$ about the Z-axis. All structural figures were generated with PyMOL [49].


Figure 3-2 - Structure and sequence analyses of the $\beta$-trefoil fold. (A) View along the internal pseudo 3 -fold axis of $\beta$-trefoil domain F2 of $H$. sapiens fascin-1, containing $12 \beta$ strands. The hairpin triplet $(\beta 2, \beta 3, \beta 6, \beta 7, \beta 10$ and $\beta 11)$ is located proximal and the sixstranded barrel (strands $\beta 1, \beta 4, \beta 5, \beta 8, \beta 9$ and $\beta 12$ ) distal. (B) Structural superposition of domains F1 (yellow), F2 (green), F3 (blue) and F4 (red) of fascin-1. (C) Structural superposition of homologous $\beta$-trefoil folds Erythrina caffra trypsin inhibitor (violet), H. sapiens interleukin- $1 \beta$ (orange), human acidic fibroblast growth factor (brown), Dictyostelium discoideum hisactophilin (black), and domain F1 (yellow) of fascin-1. (D) Structure-based sequence alignment of 59 homologous $\beta$-trefoil folds [148]: the four domains of $H$. sapiens fascin-1 (F1-F4), E. caffra trypsin inhibitor (ETI), H. sapiens interleukin-1 $\beta$ (IL-1B), human acidic fibroblast growth factor (FGF), and D. discoideum hisactophilin (H). Stars denote residues that stabilize the core of the $\beta$-trefoil fold. Green denotes hydrophobic and white denotes hydrophilic residues according to the hydrophobicity table described by Kyte et al. [149]. Secondary structure assignment is based on Ref. [147]. All multiple sequence alignment figures were generated using Jalview [150].
of antiparallel $\beta$-strands. The first and fourth $\beta$-strand of every unit coalesce to form a barrel structure (strands $\beta 1, \beta 4, \beta 5, \beta 8, \beta 9$ and $\beta 12$ ), with the strands inclined approximately $56^{\circ}$ to the barrel axis. Three additional pairs of $\beta$-strands ( $\beta 2, \beta 3$, $\beta 6, \beta 7, \beta 10$ and $\beta 11$ ) form three $\beta$-hairpins that cover the barrel from one side, with the exception of domains F1 and F4 based on the Dictionary of Protein Secondary Structure (DSSP) [151]. Structural superposition of the $\beta$-trefoil domains of fascin highlights their structural similarity (Fig. 3-2-B), with pair-wise RMSDs ranging from 1.3-2.2 $\AA$ (Table C.2).

The $\beta$-trefoil fold is present in over 40 distinct proteins deposited at present in the Protein Data Bank (PDB) [152] according to the structural classification of proteins (SCOP) database [153]. Members of the family include the mammalian cytokines interleukin-1 $\alpha$ [154] and interleukin-1 $\beta$ [155], fibroblast growth factor [156], soybean trypsin inhibitor [157], the lectin B-chains from ricin [158] and abrin [159], the galactose-specific lectin amaranthin [160], and the actin-binding protein hisactophilin [161]. The $\beta$-trefoil domains of fascin exhibit a considerably higher degree of sequence identity and structural similarity to each other than to other members of the $\beta$-trefoil family, suggesting evolution of the fascin molecule via multiple gene duplication events from an ancestral $\beta$-trefoil fold (Fig. C-1-A and Tables C. 3 and C.4) [156].

The core of the $\beta$-trefoil fold is stabilized by hydrophobic interactions between bulky hydrophobic residues contributed by each $\beta$-strand, extending nearly to the barrel axis to form a tightly packed hydrophobic core [148]. Residues that stabilize the core of the $\beta$-trefoil fold are identified from Erythrina caffra trypsin inhibitor (PDB ID 1TIE) [162], H. sapiens interleukin-1 $\beta$ (PDB ID 1I1B) [155], and Bos taurus acidic fibroblast growth factor (PDB ID 1JQZ) ${ }^{1}$ [163] [148]. Structure-based multiple sequence alignment of $59 \beta$-trefoil domains obtained from 45 distinct proteins available in the PDB demonstrates high variability at all amino acid positions other than these stabilizing hydrophobic core residues, which are highly conserved

[^0]across the $\beta$-trefoil domains analyzed (Figs. 3-2-D and C-2-A). Approximately $90 \%$ of the sequence pairs of these $\beta$-trefoil domains are $<28 \%$ identical, indicating their phylogenetic diversity (Fig. C-3-A). Residues responsible for hydrophobic packing in the $\beta$-hairpin triplet are mostly Leu, Val and Ile in all $59 \beta$-trefoil domains, where each $\beta$-hairpin donates two residues to stabilize the triplet.

The stabilizing hydrophobic core residues present in the $\beta$-trefoil fold are also highly conserved across homologous fascin molecules, although they are somewhat more variable than among different $\beta$-trefoil proteins ( $30 \%$ versus $16 \%$ mean conservation grades) (Figs. 3-3, 3-4-A, and C-2-A). The conservation grade of the corestabilizing hydrophobic residues in fascin and its homologues is reduced to $19 \%$ when residues are classified by their physicochemical properties using a seven-letter alphabet for the entropy-based conservation measure, suggesting that their hydrophobic nature is functionally more important than their specific amino acid type [164].

It is of interest to compare the single $\beta$-trefoil domain protein hisactophilin from the motile slime mold $D$. discoideum with fascin because it is also an actin-binding protein [161, 167]. Hisactophilin functions in osmoprotection of D. discoideum, enhancing the structural integrity of the cell cortex by crosslinking F-actin to the plasma membrane in a pH -dependent manner via protonation of its numerous histidine residues [168], which compose 31 out of its 118 amino acids. Interestingly, while eight analogous positions are occupied by the charged residues His, Arg and Lys in the first $\beta$-trefoil domain of fascin, seven in the second, four in the third, and three in the fourth, only three of these are His residues: the first domain has two His residues, the fourth domain has one, and the second and third domains do not have any. Additionally, the 109 residues in fascin-1 that are at analogous positions to the histidines in hisactophilin appear to be distributed randomly throughout the molecule, including 29 interfacial positions between $\beta$-trefoil domains (Fig. C-1-B). Thus, despite the structural and functional similarities of fascin to hisactophilin, regulation of its actin-binding via pH -dependent protonation of its histidine residues is unlikely to be present to the same extent as that in hisactophilin, if at all.



Figure 3-3 - Multiple sequence alignment of homologous fascins. Multiple sequence alignment of a subset of 61 homologous fascin sequences with NCBI accession codes and percentage sequence identity with H. sapiens fascin- 1 provided in parentheses: H. sapiens fascin-1 (NP_003079; 100\%), H. sapiens fascin-2 (NP_036550; 57\%), H. sapiens fascin-3 (NP_065102; 28\%), Strongylocentrotus purpuratus fascin ( $\boldsymbol{N} \mathbf{P}_{\mathbf{\prime}} 999701 ; 37 \%$ ) and D. melanogaster singed (isoform A) ( $\boldsymbol{N P}$ _727226; 41\%). Green denotes strictly conserved residues, yellow denotes strong conservation, and colors in between interpolate conservation grade linearly. A schematic of the fascin sequence is shown at bottom right. Point mutations in D. melanogaster fascin (the singed gene) that disrupt actin-bundling are S289N (S274 in H. sapiens fascin-1) (blue five-point star) and G409E (G393 in H. sapiens fascin-1) (green five-point star). S251F (P236 in H. sapiens fascin-1) (solid black circle) restores fascin function in the S289N mutant [165]. Mutation of S39 (also S39 in H. sapiens fascin-1) (red triangle) to alanine renders in vitro actin-binding by fascin-1 insensitive to regulation by phosphorylation by PKC $\alpha[132,166]$. See the text for details.


Figure 3-4 - Residues suggested to stabilize the $\beta$-trefoil cores and lobes of fascin-1. (A) Conservation grade and schematic of hydrophobic core residues (spheres) measured across homologous fascin molecules. Views are along the 3 -fold axis of the $\beta$-trefoil domain, where "top" and "bottom" refer to viewing the domain from its cap and barrel, respectively. Conservation grades vary from blue to red, denoting highly variable and conserved residue positions, respectively. (B) Ionic and hydrophobic interactions between $\beta$-trefoil domains of lobe 1. (C) Ionic and hydrophobic interactions between the $\beta$-trefoil domains of lobe 2. Hydrophobic residues that lose more than $40 \AA^{2}$ of their solvent-accessible surface upon domain-domain association and residues participating in domain-domain salt bridges are labeled in B and C .

### 3.1.3 $\beta$-Trefoils associate to form two lobes in fascin

The $\beta$-trefoil domains of fascin associate at their exposed bases to form the first (F1 and F2) and second (F3 and F4) lobes of fascin with flanking polar interactions on the solvent-exposed surface of each lobe (Figs. 3-4-B, 3-4-C, and C-2-B). There is a single ion pair, D98-R185, between domains F1 and F2 in the first lobe (Fig. 3-4-B), and another, R343-D450, between domains F3 and F4 in the second lobe (Fig. 3-4C) ${ }^{2}$. Two proline residues, P140 and P384, are highly conserved in the fascin family with respective conservation grades of $11 \%$ and $7 \%$. The first induces a bend in the linker segment between domains 1 and 2 in the first lobe, and the second does the same in the linker between domains 3 and 4 in the second lobe. In total, $27 \%$ of all interfacial residues between domains F1 and F2 and between F3 and F4 reside in the first quartile of all residues in the molecule as ranked by conservation grade, suggesting that their inter-trefoil interactions might be important to the structural stability of each lobe. A detailed analysis of the relative contributions of electrostatic and hydrophobic interactions to lobe and fold stability would be of interest, but is beyond the scope of the present work.

### 3.1.4 Lobes associate to form the full-length fascin molecule

The full-length fascin molecule is formed by association of the lobes at a skew angle of approximately $56^{\circ}$ (Fig. 3-1). The central region of fascin is stabilized by multiple polar interactions connecting all four $\beta$-trefoil domains together, where the residues H139, Q141, S259, R383 and R389 that contribute to these interactions are also highly conserved in the fascin family with respective conservation grades of $9,8,10$, 0.5 and $17 \%$ (Figs. 3-3, 3-5, and 3-6). In total, $43 \%$ of all interfacial residues between the lobes of fascin reside in the first quartile of residues in the molecule as ranked by conservation grade, also suggesting their importance to the overall structural stability of the full-length molecule.

[^1]

Figure 3-5 - Conservation grade and solvent-accessible surface burial of surface residues of the lobes of fascin-1. Interior and exterior views of the lobes of fascin- 1 show residue conservation and solvent-accessible surface burial due to lobe-lobe association. White and orange denote low ( $<1 \%$ ) and high ( $>75 \%$ ) solvent-accessible surface burial, respectively. Conservation grades vary from blue to red, denoting highly variable and conserved residue positions, respectively. Interior and exterior lobe views are related by $180^{\circ}$ rotations about the horizontal axis, where each lobe is aligned horizontally with the axis of its lowest principal moment of inertia. Point mutations affecting fascin function and residues H139, Q141, S259, R383 and R389 are indicated. Residue numbers in parentheses indicate the analogous positions in $D$. melanogaster fascin.


Figure 3-6 - Close-up view of highly conserved interfacial residues H139, Q141, S259, R383 and R389 in stick representation.

### 3.1.5 Putative actin-binding sites of fascin

In the absence of any structure of a fascin-F-actin complex, the precise actin-binding sites of fascin are not known. However, two point mutations in Drosophila melanogaster fascin (the singed gene) are known to impact bundling: G409E (equivalent to G393 in H. sapiens fascin-1) that leads to partial inactivation of fascin in vivo and S 289 N (equivalent to S 274 in H. sapiens fascin-1) that inactivates fascin almost completely, suggesting that one actin-binding domain may be in the region near S274 that is also highly conserved ( $14 \%$ mean conservation grade) and solvent exposed (Figs. 3-3 and 3-7) [165]. Interestingly, mutation of S251 to phenylalanine (equivalent to P236 in H. sapiens fascin-1) partially restores the fascin function lost in the S 289 N mutation for reasons that are not understood [165].

A second actin-binding site is thought to be in the highly conserved protein kinase $\mathrm{C} \alpha(\mathrm{PKC} \alpha)$ substrate domain consisting of residues $29-43$ in the first $\beta$-trefoil domain of fascin (Fig. 3-3). It has high sequence similarity to an actin-binding site of myristoylated alanine-rich C-kinase substrate (MARCKS) FGFKVNASASSLKKK (residues 29-43 in H. sapiens fascin-1) [108]. Mutation of S39 to alanine renders in vitro actin-binding by fascin- 1 insensitive to regulation by phosphorylation by PKC $\alpha$ [132, 166], and is therefore a constitutively active mutant, as shown also in mouse B16F1 cells [104]. There, the constitutively active mutant fascin leads to a signif-


Figure 3-7 - Residue conservation near putative actin-binding sites of fascin-1. (A) Solvent-accessible surface residue conservation grades in fascin-1. Conservation grades vary from blue to red, denoting highly variable and conserved residue positions, respectively. Point mutations affecting fascin function and residues 29 and 43 are indicated. Residue numbers in parentheses indicate the analogous positions in D. melanogaster fascin. (B) Residues near residues 29-43 (putative actin-binding site), P236 and S274 are highly conserved across homologous fascins but not across homologous $\beta$-trefoils. Residues 23, 25, 27 and 44 near residues $29-43$, residues $149,151,154-155,166,174,235$ and $251-252$ near P236, and residues 274, 283-285, 292 and $360-361$ near S274 are represented as spheres. Remaining residues are shown in cartoon representation. D166 and N284 are considered variable because the corresponding columns in the structure-based multiple sequence alignment of $\beta$-trefoil domains consist mostly of gaps ( $90 \%$ ).
icant increase in the number and length of cortical filopodia, whereas the inactive phosphomimetic fascin mutant S39E is shown to reduce filopodial frequency [104].

The majority of the 123 highly conserved residues of fascin have functional importance that is rationalized on the basis of either contribution to fold/structural stability or to actin binding, accounting for 72 residues. Examples include the putative actinbinding site between residues 29 and 43 , hydrophobic core stabilizing residues in the $\beta$-trefoil fold, interfacial residues between the lobes and, to a lesser extent, interfacial residues between $\beta$-trefoil domains within each lobe. This is supported by the distribution of conservation grades for each of these sub-sets of residues, which is biased towards high conservation when compared with all residues in the molecule (Figs. C-4 and C-5-A).

The remaining 51 highly conserved residues consist either of residues that are highly conserved both across fascin molecules and the $\beta$-trefoil fold, suggesting their functional importance to the fold but not necessarily to the specific biological function of fascin; glycines, which are likely to confer local flexibility to the molecule; and residues that are located proximal to the functional sites consisting of residues 29-43, S274, and P236, discussed above (Figs. 3-7-B and C-5 and Tables C. 5 and C.6). The fact that these latter residues are highly conserved across homologous fascins but not generally across the $\beta$-trefoil fold suggests that they may have functional importance to the molecule, such as in binding to F-actin or stabilizing the fascin fold.

### 3.1.6 Conformational dynamics

Analysis of the conformational dynamics of fascin illustrates that end-association of the $\beta$-trefoil domains confers structural integrity within each cylindrical lobe that is not present across lobes (Fig. C-6). The generalized linear correlation coefficient ( $r_{\text {LMI }}$ ) calculated for residue pairs using NMA may be used to infer the dynamical correlation between different regions of the protein (Fig. 3-8). Interestingly, correlations in the motions of domains F1 and F3 are the highest among all pairs of $\beta$-trefoil domains despite the fact that they are not in direct contact (Figs. 3-8-B and C-7 and Table 3.1). This suggests a potential allosteric coupling between these domains, which


Figure 3-8 - Dynamically correlated domains of fascin-1. (A) Average-link hierarchical clustering is used to identify residue clusters that are highly correlated on the basis of the magnitude of their generalized linear mutual information coefficient. Upper and lower triangles show inter-residue correlations based on sequence position and after clustering, respectively. High dynamical correlations are found within individual $\beta$-trefoil domains, as expected, but also between distant domains F1 and F3 (see Table 3.1). (B) View I of fascin- 1 colored according to the five clusters shown in A. Point mutations affecting fascin function and residues 29 and 43 are indicated. Residue numbers in parentheses indicate analogous positions in D. melanogaster fascin.
contain the putative actin-binding sites of the molecule. The structural origin of this long-range coupling is in the tight binding between the $\beta$-trefoil domains of fascin to form two $\beta$-barrel lobes that interact at an interfacial region that forms a hinge about which the lobes may bend (Fig. C-6). This lobe-structure is in stark contrast to the similar-sized crosslinking protein fimbrin, which consists of four calponin homology domains that each consists of an $\alpha$-helix bundle [122]. Further analysis of fimbrin is required to understand potential functional implications of the distinct architectures of these molecules. Identification of the precise actin-binding sites of fascin via mutagenesis and structural studies are needed to elucidate implications of the observed structural and dynamical properties of the molecule on its actin-crosslinking function.

### 3.2 Discussion

The crystal structure of $H$. sapiens fascin- 1 reveals that its $\beta$-trefoil domains associate via internal hydrophobic interactions and external ionic interactions at their bases to

Table 3.1 - Average generalized linear mutual information coefficient and fraction of residues that are in contact (\% in parentheses) for the five clusters in fascin-1 shown in Fig. 3-8.

|  | Yellow | Red | Cyan | Green | Blue |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Yellow | - | $0.26(11)$ | $0.29(11)$ | $0.26(31)$ | $0.21(16)$ |
| Red | - | - | $0.31(0)$ | $0.26(23)$ | $0.27(9)$ |
| Cyan | - | - | - | $0.25(0)$ | $0.27(13)$ |
| Green | - | - | - | - | $0.17(0)$ |
| Blue | - | - | - | - | - |

Clusters (1) red-cyan, (2) cyan-green and (3) green-blue have mean generalized linear correlation coefficients in the $73^{\text {rd }}, 46^{\text {th }}$, and $13^{\text {th }}$ percentiles, respectively, excluding intra-domain contributions and contributions from residues in direct contact (i.e., residues that have at least two heavy atoms within $5 \AA$ of one another [169]).
form cylindrical $\beta$-barrel lobes. NMA indicates that these interactions confer structural integrity to each cylindrical lobe that is maintained in the full-length molecule. Conservation analysis confirms the functional importance of the cores of the $\beta$-trefoil domains, which contain bulky hydrophobic residues that are highly conserved across fascins and the entire $\beta$-trefoil family of proteins, which are otherwise divergent in sequence and biological function. Indeed, the majority of highly conserved residues in fascin are suggested either to contribute to its structural stability or to binding to Factin. These residues are located in and near the putative actin-binding site between residues 29 and 43 and the previously identified functional residues S274 and P236, hydrophobic core-stabilizing residues of the $\beta$-trefoil fold, interfacial residues between the lobes and, to a lesser extent, interfacial residues between $\beta$-trefoil domains within each lobe (Figs. C-4 and C-5 and Table C.6).

Association of the cylindrical lobes of fascin at their largely polar interface results in a hinge that facilitates large-scale collective motions of each lobe, whereby the opposing putative actin-binding domains of fascin are correlated in their dynamical
motions. This distinct molecular design of fascin might have important implications on its biological function via a lever-like mechanism: action at one end of the molecule is transmitted in a lever-like fashion to the other end. Indeed, fascin binding to Factin at one end (e.g. between F1 and F4) might induce a direct conformational change at the opposing end of the molecule (between F2 and F3) that could alter its binding affinity for F -actin, resulting in cooperative binding that could explain its unique ability to form tightly packed and ordered actin bundles in vitro and in vivo. Future mutational studies guided, in part, by the results of this work, together with cryo-EM-based reconstructions of the actin-bound fascin crosslink, will eventually facilitate a detailed understanding of the molecular basis for the unique structural and mechanical properties that these ubiquitous and highly conserved actin-binding proteins confer to actin bundles.

### 3.3 Computational procedures

### 3.3.1 Sequence analysis

We used three independent procedures for computing evolutionary sequence conservation: the conservation surface mapping method (ConSurf) [170, 171], the real-valued evolutionary trace method (ET) [172], and a simple entropy-based method using a 21 letter alphabet [164]. The correlation coefficient between each of these three conservation analysis procedures is greater than $80 \%$. In the absence of any information regarding the superiority of any one approach, the grades were weighted such that each contributed equally to the final conservation grade, except for positions that were missing residues in the original PDB file, for which only the entropy 21-based grade was used. The "conservation grade" presented in Results is defined as percentage relative to all residues in the protein. For example, a residue conservation grade of $5 \%$ means that $5 \%$ of residues in the protein are at least as conserved as this residue, and $95 \%$ are less conserved. Highly conserved residues are defined as residues residing in the first quartile of residues in the molecule as ranked by conservation grade.

ConSurf was applied on the set of homologous H. sapiens fascin-1 sequences stored in the ConSurf data bank (ConSurf-DB) [171]. ConSurf-DB stores results of ConSurf analysis of all structures in the PDB [152]. Conservation grades were computed using the Rate4Site algorithm [173] on 22 homologous sequences selected by the ConSurfDB protocol for H. sapiens fascin-1 [171].

The real-valued ET procedure is a hybrid method that incorporates an entropy grade into a phylogenetic analysis of a multiple sequence alignment [172]. The phylogenetic tree was created using hierarchical clustering based on the unweighted pair group method with arithmetic mean [174]. The tree was divided into distinct subfamilies using different partitionings, where an entropy grade was calculated for each position in a subfamily-specific manner [172]. Conservation analysis was performed on H. sapiens fascin-1 (SwissProt ID Q16658) using 31 sequences extracted from the HSSP database [175].

In the third conservation analysis procedure, a simple entropy measure with a 21 letter alphabet was used. Sequences with a high degree of homology to $H$. sapiens fascin-1 were retrieved from the NCBI [4] using PSI-BLAST [176] with an E-value cutoff of $10^{-6}$ and one iteration. Sequences that differed by more than $5 \%$ in length from the query sequence were removed together with redundant sequences, resulting in 61 sequences (Table C.7). Sequences were aligned using ClustalX with default parameters [177]. The entropy of each position in the sequence alignment, $S_{i}$, was computed using the standard measure for entropy:

$$
\begin{equation*}
S_{i}=-\sum_{k=1}^{21} p_{i k} \log _{21} p_{i k} \tag{3.1}
\end{equation*}
$$

where $p_{i k}$ is the probability of occurrence of amino acid type $k$ at sequence position $i$. The structure-based sequence alignment of $\beta$-trefoil domains was done with STAMP [178].

### 3.3.2 Physical property analysis

Interfacial residues between fascin domains are identified by the change in their relative solvent accessibility upon domain-domain association [179], where relative solvent accessibility is defined as the ratio of the actual solvent accessibility of the residue to its solvent accessibility in the extended Gly-x-Gly tripeptide [180]. Interfacial residues are defined as residues that change their relative solvent accessibility by more than $1 \%$ between the dissociated and associated states [179]. Salt bridges were found using the VMD 1.8.6 salt bridge plug-in [181] with default parameters. Increasing the oxygennitrogen distance cut-off of $3.2 \AA$ (VMD default value) to $4 \AA$ was also considered [182].

Conformational dynamics of fascin were calculated using NMA [183, 184]. The crystal structures of chains $A$ and $B$ of fascin (PDB ID 1DFC) were analyzed with missing loops built using Swiss-PDB viewer [185]. All-atom energy minimization and subsequent NMA were done with CHARMM version 33a1 [46] using the implicit solvent force-field EEF1 [47]. Hydrogen atoms and amino acid side chains were first minimized sequentially with all remaining protein atoms fixed. Repeated rounds of steepest descent minimization followed by adopted basis Newton-Raphson minimization were subsequently done in the presence of successively reduced harmonic constraints applied to backbone chain atoms. The final energy-minimized structures of chains A and B had RMS energy gradients of $4 \times 10^{-4}$ and $2.5 \times 10^{-4} \frac{\mathrm{kcal}}{\operatorname{mol} \times \AA}$, respectively, with RMS coordinate differences between the crystal and energy-minimized structures of $1.9 \AA$ and $1.7 \AA$. The Block Normal Mode method [57, 58] was used with one residue per block to compute the 207 lowest frequency normal modes. The mean-square thermal fluctuation of $\alpha$-carbon atom $i$ is computed as:

$$
\begin{equation*}
\left\langle\Delta r_{i}^{2}\right\rangle=k_{B} T \sum_{k} \frac{y_{i k}^{2}}{\lambda_{k} m_{i}} \tag{3.2}
\end{equation*}
$$

where $m_{i}$ is atomic mass, $k_{B} T$ is thermal energy, $\lambda_{k}$ is the eigenvalue corresponding to normal mode $k$, and $y_{i k}{ }^{2}$ is the squared magnitude of the projections of the $k^{\text {th }}$ normal mode on the Cartesian components of the displacement vector of the $i^{\text {th }}$ atom
[21].
Correlations in atomic fluctuations were computed using the generalized linear correlation coefficient:

$$
\begin{equation*}
r_{\mathrm{LMI}}\left[\mathbf{x}_{i}, \mathbf{x}_{j}\right]=\left(1-\exp \left(-\frac{2 I_{\text {lin }}\left[\mathbf{x}_{i}, \mathbf{x}_{j}\right]}{3}\right)\right)^{1 / 2} \tag{3.3}
\end{equation*}
$$

based on the linear mutual information metric:

$$
\begin{equation*}
I_{\operatorname{lin}}\left[\mathbf{x}_{i}, \mathbf{x}_{j}\right]=\frac{1}{2}\left[\ln \operatorname{det} \mathbf{C}_{(i)}+\ln \operatorname{det} \mathbf{C}_{(j)}-\ln \operatorname{det} \mathbf{C}_{(i j)}\right] \tag{3.4}
\end{equation*}
$$

where $\mathbf{C}_{(i)}=\left\langle\mathbf{x}_{i}{ }^{\mathrm{T}} \mathbf{x}_{i}\right\rangle$ and $\mathbf{C}_{(i j)}=\left\langle\left(\mathbf{x}_{i}, \mathbf{x}_{j}\right)^{\mathrm{T}}\left(\mathbf{x}_{i}, \mathbf{x}_{j}\right)\right\rangle$ are marginal covariances of atom $i$ and the pair-covariance matrix of atoms $i$ and $j$, respectively (see Appendix C) [186]. The results were compared with the more commonly used Pearson correlation coefficient:

$$
\begin{equation*}
C_{i j}=\frac{\left\langle\mathbf{x}_{i} \cdot \mathbf{x}_{j}\right\rangle}{\left(\left\langle x_{i}{ }^{2}\right\rangle\left\langle x_{j}^{2}\right\rangle\right)^{1 / 2}} \tag{3.5}
\end{equation*}
$$

where $\mathbf{x}_{i}$ denotes displacement of atom $i$ in Fig. C-8 [187].

## Conclusions

This thesis contributes mainly to protein normal mode analysis (NMA) by both developing a computationally efficient and robust eigenvalue solver and incorporating the effects of solvent-damping into the analysis. Additionally, it examines comprehensively the structure, evolutionary sequence conservation, and conformational flexibility of Homo sapiens fascin-1 and gives insight into its functional mechanism.

In the present work, first, we presented an algorithm to optimize the number of iteration vectors used in the subspace iteration (SSI) method, a widely used eigenvalue solver in engineering problems, for protein NMA. We demonstrated that the algorithm improves substantially the effectiveness of the SSI method for proteins. With this algorithm, the computational effort scales linearly with the number of eigenpairs computed, as demonstrated for G-actin and pertussis toxin. The SSI method is well suited to the analysis of protein conformational change pathways, where numerous analyses may be performed in nearby conformations, which have relatively similar eigensolutions. In such cases, the eigensolution calculated for the previous conformation provides an excellent set of initial iteration vectors for the current solution, as demonstrated for the open-to-close conformational change of adenylate kinase.

Second, we developed an algorithm to accurately calculate the effects of solventdamping on proteins as a solvent friction matrix. In this algorithm, the whole solvent friction matrix is obtained using only one finite element solid simulation with the commercial finite element software program ADINA, which renders the algorithm significantly efficient. The finite element method (FEM) proved successful in calculating the diffusion coefficients of a sphere and 10 proteins with various molecular weights. Subsequently, we coupled the friction matrix and the stiffness and mass
matrices of crambin calculated using the FEM to obtain the Langevin modes of the protein. As expected, all the relaxation times of the critically damped or over-damped Langevin modes of crambin are longer than the one corresponding to its rotational diffusion coefficient. It was also demonstrated that only the first few non-zero vacuum normal modes of crambin can be well-characterized by individual critically damped or over-damped Langevin modes.

Finally, we examined and described the structure of $H$. sapiens fascin- 1 in detail. The structure is comprised of four tandem $\beta$-trefoil domains that associate via internal hydrophobic and external ionic interactions at their bases to form two $\beta$ barrel lobes. Sequence conservation analysis confirms that the bulky hydrophobic residues in the cores of $\beta$-trefoil domains are responsible for stabilizing the $\beta$-trefoil fold. Additionally, the interfacial residues between lobes and, to a lesser extent, the interfacial residues between $\beta$-trefoil domains within each lobe were suggested to play a central role in stabilizing the overall structure of fascin. An important observation is that conformational dynamics analysis suggests an allosteric mechanism between the putative actin-binding domains of fascin, which contain highly conserved surface patches. Hence, fascin binding to F-actin at one actin-binding domain might cause a conformational change at the other one that could alter its binding affinity for Factin, resulting in cooperative binding that could explain its unique ability to form tightly packed and ordered actin bundles in vitro and in vivo. The results of this work can guide future experimental studies to provide a detailed understanding of the molecular basis for the unique structural and mechanical properties that these ubiquitous and highly conserved actin-binding proteins confer to actin bundles.

## Appendix A

## Calculation of the conformational change pathway of adenylate kinase

The open-to-closed transition of adenylate kinase is calculated using the elastic-based finite element approach of M. Bathe [18]. For each conformation along the pathway, starting with the open conformer (PDB ID 4AKE [50]), we calculate the finite element eigenvectors, $\boldsymbol{\varphi}_{i}{ }^{k}$, where $i$ and $k$ denote the eigenvector and conformation numbers, respectively. Finite element eigenvectors for each conformation $k$ are interpolated to the $\alpha$-carbon positions of conformation $k$ using the finite element interpolation functions $h_{l}$, where $l$ denotes the element node number. The projection equations are $u_{i j}{ }^{k}=\sum_{l=1}^{q} h_{l} u_{i j l}{ }^{k}, v_{i j}{ }^{k}=\sum_{l=1}^{q} h_{l} v_{i j l}{ }^{k}$, and $w_{i j}{ }^{k}=\sum_{l=1}^{q} h_{l} w_{i j l}{ }^{k}$. Here $u_{i j}{ }^{k}, v_{i j}{ }^{k}$, and $w_{i j}{ }^{k}$ are the $x$-, $y$-, and $z$-components, respectively, of the $\alpha$-carbon eigenvectors $\mathbf{C}_{i}{ }^{k}$ corresponding to $\alpha$-carbon $j$. Also, $u_{i j l}{ }^{k}, v_{i j l}{ }^{k}$, and $w_{i j l}{ }^{k}$ denote the $x$-, $y$-, and $z$-components, respectively, of $\boldsymbol{\varphi}_{i}{ }^{k}$ corresponding to node $l$ of the finite element enclosing $\alpha$-carbon $j$ [13]. The summations are performed over the $q$ nodes of the finite element enclosing $\alpha$-carbon $j$.

To generate the $(k+1)^{\text {th }}$ conformation along the trajectory, first, the $\alpha$-carbon eigenvectors $\mathbf{C}_{i}{ }^{k}$ are multiplied by the coefficients $d_{i}{ }^{k}\left(\mathbf{C}_{i}{ }^{k \prime}=d_{i}{ }^{k} \times \mathbf{C}_{i}{ }^{k}\right)$ such that the resultant eigenvectors are normalized $\left(\left\|\mathbf{C}_{i}{ }^{k^{\prime}}\right\|=1\right.$, where prime denotes the normalized set of eigenvectors.). Since $\mathbf{C}_{i}{ }^{k}$ are obtained directly from the original $\boldsymbol{\varphi}_{i}{ }^{k}$ via the FE interpolation functions, $\boldsymbol{\varphi}_{i}{ }^{k}$ must also be scaled by $d_{i}{ }^{k}$ as $\boldsymbol{\varphi}_{i}{ }^{{ }^{\prime}}=d_{i}{ }^{k} \times \boldsymbol{\varphi}_{i}{ }^{k}$.

In calculating the conformational change pathway, we use the eigenvectors denoted by primes. However, from here on, primes are dropped for simplicity of notation. Hence, $\mathbf{C}_{i}{ }^{k}$ and $\boldsymbol{\varphi}_{i}{ }^{k}$ will be the normalized $\alpha$-carbon eigenvectors and their corresponding FE eigenvectors, respectively.

Next, the difference vector between $\alpha$-carbon positions in the $k^{\text {th }}$ conformation and those in the closed conformer (PDB ID 1AKE [4]), $\Delta \mathrm{r}^{k}$, is projected onto the eigenvectors corresponding to the $\alpha$-carbons in order to calculate the contribution of each $\alpha$-carbon eigenvector, $c_{i}^{k}$, to the displacement of the $\alpha$-carbons towards their new positions in the $(k+1)^{\mathrm{th}}$ conformation. The projection equation is $c_{i}{ }^{k}=\beta^{k} \Delta \mathbf{r}^{k} \cdot \mathbf{C}_{i}{ }^{k}$ $[23,59]$, where $\beta^{k}$ is a parameter that is chosen to be between 0 and 1 in order to maintain an approximately constant step-size ( $\triangle R M S D^{k}=$ constant). $\triangle R M S D^{k}$ is defined as the difference between the $k^{\text {th }}$ and $(k+1)^{\text {th }}$ root-mean-square differences (RMSD) $\left(\triangle R M S D^{k}=R M S D^{k}-R M S D^{k+1}\right.$ ), where $R M S D^{k}$ refers to the RMSD between the $\alpha$-carbon positions in the $k^{\text {th }}$ conformation and those in the closed conformer.

Given $c_{i}^{k}$, new finite element nodal positions can be calculated as $\mathbf{R}^{k+1}=\mathbf{R}^{k}+$ $\sum_{i=1}^{n} c_{i}{ }^{k} \boldsymbol{\varphi}_{i}{ }^{k}$, where $\mathbf{R}^{k}$ is a vector of nodal positions in the $k^{\text {th }}$ conformation and $n$ is the number of eigenvectors used in the conformational change pathway analysis. Atomic positions are subsequently computed using the FE interpolation functions.

The above procedure is used to calculate an initial conformational change pathway consisting of 1843 conformations (Fig. A-1), where a total of 2000 conformations were desired (the appropriate $\beta^{k}$ that are needed to generate a conformational change pathway consisting of 2000 conformations are unknown a priori due to the nonlinear nature of the conformational change pathway). Subsets of 1001, 101, 11, and one conformation(s) are subsequently selected from this original set such that the step-size is approximately constant along the pathway (Fig. A-2). $\triangle R M S D^{k}$ varies considerably more for the 1001-conformation pathway than for the 101- and 11-conformation pathways because of the limited number (1843) of conformations available in the original conformational change pathway (Fig. A-2).


Figure A-1 - (A) $R M S D^{k}$ and (B) $\triangle R M S D^{k}$ versus conformation number for the 1843conformation pathway.


Figure A-2 - $\triangle R M S D^{k}$ versus conformation number for the (A) 1001-, (B) 101-, and (C) 11-conformation pathways.

## Appendix B

## Calculation of the effective

 material properties of adenylate
## kinase

The local mass density of adenylate kinase, $\rho=1420 \frac{\mathrm{~kg}}{\mathrm{~m}^{3}}$, is assumed to be homogeneous and equal to its molecular weight ( 23.6 kDa ) divided by the molecular volume $\left(27,567 \AA^{3}\right)$ [52] of the energy-minimized structure of the open conformer (PDB ID 4AKE [50]). Energy minimization is performed in CHARMM version 33a1 [46] using the implicit solvation force-field EEF1 [47]. Steepest descent minimization followed by adopted-basis Newton-Raphson is performed in the presence of successively reduced harmonic constraints on all backbone atoms to achieve a final root-mean-square (RMS) energy gradient of $4 \times 10^{-4} \frac{\mathrm{kcal}}{(\operatorname{mol} \times \AA)}$ with corresponding RMSD between the X-ray and energy-minimized structures of $2.1 \AA$. Energy minimization is required by the Rotational Translational Block (RTB) procedure [57, 58], which is used as a reference to calculate the effective material properties of adenylate kinase.

An effective Young's modulus of adenylate kinase, $\mathrm{E}=4.9 \mathrm{GPa}$, is chosen such that equilibrium thermal fluctuations of $\alpha$-carbons in the finite element model are equal to those computed using the RTB procedure, and Poisson's ratio is assumed to be 0.3 [18]. Equilibrium thermal fluctuations of $\alpha$-carbons are calculated using the FEM by first computing the eigenvectors corresponding to the finite element nodes, $\boldsymbol{\varphi}_{i}$, for the
energy-minimized structure of the open conformer using the commercially available finite element software program ADINA ver. 8.5 (Watertown, MA), where $i$ denotes eigenvector number. A total of 46,902 four-node tetrahedral finite elements with linear interpolation functions are used to discretize the molecular volume of the protein. The eigenvectors corresponding to the $\alpha$-carbons, $\mathbf{C}_{i}$, of the energy-minimized structure of the open conformer are calculated, as described in Appendix A, by projecting the FE eigenvectors onto the $\alpha$-carbon positions: $u_{i j}=\sum_{l=1}^{q} h_{l} u_{i j l}, v_{i j}=\sum_{l=1}^{q} h_{l} v_{i j l}$, and $w_{i j}=\sum_{l=1}^{q} h_{l} w_{i j l}$, where $u_{i j}, v_{i j}$, and $w_{i j}$ are the $x^{-}, y^{-}$, and $z$-components, respectively, of the $\alpha$-carbon eigenvectors $\mathbf{C}_{i}$ corresponding to $\alpha$-carbon $j$; and $u_{i j l}$, $v_{i j l}$, and $w_{i j l}$ denote the $x^{-}, y^{-}$, and $z$-components, respectively, of $\boldsymbol{\varphi}_{i}$ corresponding to node $l$ of the finite element enclosing $\alpha$-carbon $j$ [13]. Here the summations are performed over the $q$ nodes of the finite element enclosing $\alpha$-carbon $j$.

The normalized vector $\mathbf{y}_{i}=\frac{\mathbf{C}_{i}}{\left\|\mathbf{C}_{i}\right\|}$ is subsequently defined such that $\mathbf{y}_{i} \cdot \mathbf{y}_{j}=\delta_{i j}$, where $\delta_{i j}$ is the Kronecker delta. Equilibrium thermal fluctuations of $\alpha$-carbon $o$ is then given by $\left\langle\Delta r_{o}{ }^{2}\right\rangle=\sum_{k}\left\langle\Delta r_{o k}{ }^{2}\right\rangle$ [18], where $\left\langle\Delta r_{o k}{ }^{2}\right\rangle=\left(\frac{k_{B} T}{m_{o} \lambda_{k}}\right) y_{o k}{ }^{2}$ is the meansquare fluctuation of $\alpha$-carbon $o$ due to mode $k, k_{B} T$ is thermal energy, and $m_{o}$ is the mass of amino acid $o$ (here amino acid $o$ is represented by one pseudo-atom at the position of $\alpha$-carbon $o$ ) [21]. Also, $y_{o k}$ is the magnitude of a vector made of the three components of $\mathbf{y}_{k}$ corresponding to $\alpha$-carbon $o$. The lowest 201 non-rigid-body normal modes are used to calculate thermal fluctuations of adenylate kinase using the RTB and FE-based approaches, where every $\alpha$-carbon atom is considered (Fig. B-1).


Figure B-1 - Root-mean-square fluctuations of $\alpha$-carbons obtained using the FEM and the RTB procedure.

## Appendix C

## Supplementary materials for <br> Chapter 3

C. 1 Supplementary figures

A
sequence identity

structural similarity






View I


F3


Figure C-1 - Analysis of structural alignments of fascin- 1 domains with other $\beta$-trefoil fold domains. (A) Sequence identity and structural similarity of domains of fascin-1 to $\beta$-trefoil domains available in the Protein Data Bank (PDB) [152] (see also Section C.3). Each data point denotes one $\beta$-trefoil domain, with fascin- 1 domains labeled. Domains of fascin-1 exhibit higher sequence identity and structural similarity to each other than they do to other $\beta$-trefoil domains. (B) General views of fascin-1 along the pseudo 3 -fold axes of the first and second lobes. Fascin-1 residues analogous to histidines in hisactophilin [161] are represented as spheres. Remaining residues are shown in transparent cartoon representation. The 31 histidines in hisactophilin have 109 analogous positions in fascin. The 109 residues are distributed randomly throughout fascin-1 (i.e., interfacial domains, solvent-exposed domains, etc.). All structural figures were generated with PyMOL [49].


Figure C-2 - Conservation of residues suggested to stabilize the $\beta$-trefoil core and solvent accessible surface burial upon $\beta$-trefoil domain-domain association within each lobe of fascin-1. (A) Conservation of hydrophobic core residues measured across $\beta$-trefoil domains available in the PDB (see also Section C.3). Views are along the pseudo 3 -fold axis of the $\beta$-trefoil domain, where "top" and "bottom" refer to viewing the domain from its cap and barrel, respectively. Conserved hydrophobic residues that stabilize the core of the domain are drawn as spheres. Remaining residues are shown in transparent cartoon representation. Red denotes highly conserved and blue denotes highly variable residue positions. Most hydrophobic residues that stabilize the $\beta$-trefoil domain cores in fascin- 1 are highly conserved over the set of $\beta$-trefoil domains present in the PDB. This observation implies that these residues are important generally to the stability of the $\beta$-trefoil domain structure. (B) Solvent accessible surface burial upon $\beta$-trefoil domain-domain association within each lobe of fascin-1. White and orange denote low $(<1 \%)$ and high ( $>75 \%$ ) solvent accessible surface burial, respectively. Interfacial residues between the $\beta$-trefoil domains of fascin- 1 within each lobe are defined as residues that have a solvent accessible surface burial that is greater than $1 \%$. Hydrophobic residues losing more than $20 \AA^{2}$ of solvent accessible surface upon domain-domain association and residues participating in domain-domain salt bridges are labeled.


Figure C-3 - Distributions of pair-wise sequence identities of $\beta$-trefoil domains and homologous fascins. Histograms and cumulative distributions of pair-wise sequence identities between (A) $59 \beta$-trefoil domains available in the PDB and (B) 61 homologous fascins given in Table C.7. Mean values ( $\mu$ ) and standard deviations $(\sigma)$ are shown. Pair-wise sequence alignments are performed using the Needleman-Wunsch global alignment algorithm [188] implemented in EMBOSS [189]. Sequence identity is calculated as the total number of identical residue pairs between the two aligned sequences divided by the length of the shorter sequence. $90 \%$ of $\beta$-trefoil domain pairs and $90 \%$ of homologous fascin pairs are less than $28 \%$ and $78 \%$ identical, respectively. This observation implies significant phylogenetic diversity among these homologous sequences.


Figure C-4 - Histograms of conservation grades across homologous fascins. Histograms of conservation grades measured across homologous fascin molecules for (A) residues 29-43, (B) hydrophobic core stabilizing residues, (C) interfacial residues between lobes, (D) interfacial residues between domains F1 and F2, (E) interfacial residues between domains F3 and F4, and (F) all fascin-1 residues. Zero denotes maximal conservation and one indicates maximal variability. Mean values ( $\mu$ ) and standard deviations ( $\sigma$ ) are shown. Residues 29-43 (a putative actin-binding site), hydrophobic core stabilizing residues, interfacial residues between lobes, and interfacial residues between domains within each lobe are expected to be conserved due to their importance to F -actin binding, $\beta$-trefoil fold stability, overall fascin- 1 stability, and structural integrity of the lobes, respectively. Residues $29-43$, hydrophobic core stabilizing residues, and interfacial residues between lobes are in general conserved, as expected.


Figure C-5 - Functional analysis of residues of fascin-1. (A) Histograms of conservation grades for all residues (AR) of fascin-1, residues with known function (RWKF) that include hydrophobic core stabilizing residues, interfacial residues and residues 29-43, and residues without known function (RW/OKF) that include all residues of fascin-1 except for hydrophobic core stabilizing residues, interfacial residues, and residues $29-43$. Mean values ( $\mu$ ) and standard deviations $(\sigma)$ are shown. The distribution of conservation grades for RWKF is biased towards high conservation. This bias is in contrast to the distribution of conservation grades for RW/OKF, which is biased towards low conservation. $59 \%$ and $41 \%$ of highly conserved residues across all homologous fascins are comprised of RWKF and RW/OKF, respectively (also see Tables C. 5 and C.6). (B) View I (see Chapter 3) of fascin-1 colored according to conservation grade measured across homologous fascins. Conservation grade varies from blue to red, denoting highly variable and highly conserved positions, respectively. Highly conserved RW/OKF are represented as spheres and remaining residues are drawn as a transparent cartoon. Residues that are highly conserved across fascins but not generally across $\beta$-trefoils include residues $23,25,27$, and 44 , which are located near residues $29-43$, a putative actin-binding site (Fig. 3-7-B and Table C.6); residues 274, $283-285,292$, and $360-361$, which are located near S274; and residues $149,151,154-155,166,174,235$, and 251, which are located near P236 (Fig. 3-7-B). These residues constitute approximately two-fifths of highly conserved RW/OKF, with another approximately one-third consisting of glycines, mostly located in loops (Table C.6).


Figure C-6 - The two lowest normal modes of fascin-1. (A) The lowest normal mode is a hinge-like motion in which the $\beta$-barrel lobes twist as rigid bodies relative to each other in a scissor-like motion. The axis of rotation indicated by the arrow is generated using DynDom [190] with the maximum partition-size that generates a hinge when analyzing two conformational substates in the direction of the normal mode under analysis. Hinge-domains are colored red and blue, and hinge-bending residues are colored green. (B) The second lowest normal mode is a hinge-like motion in which each lobe rolls along its long axis with respect to the other one as a rigid body.


Figure C-7 - Correlated dynamical motions of fascin-1. Average-link hierarchical clustering is used to identify residue clusters that are highly correlated based on the magnitude of their generalized linear mutual information coefficient. The triangle shows inter-residue correlations after clustering. View I of fascin-1 is colored according to the different clusters shown in the dendrogram. Point mutations affecting fascin1 function and residues 29 and 43 are indicated. Residue numbers in parentheses indicate the analogous positions in D. melanogaster fascin. Fascin-1 is clustered into (A) two, (B) three, and (C) four clusters. Domains F1 and F3 remain in the same cluster in each partitioning, despite the fact that the domains are not in direct physical contact. This dynamical coupling suggests a potential allosteric mechanism involving these two distant domains.


Figure C-8 - Analysis of correlation coefficients between $\mathrm{C}_{\alpha}$ atom thermal fluctuations in fascin-1. (A) Dynamical correlation matrix for conformational fluctuations of fascin-1. The upper triangle shows the generalized linear mutual information correlation coefficient and the lower triangle shows the Pearson correlation coefficient. Strong coupling between distant (see Table C.1) $\beta$-trefoil domains F1 and F3 is evident using both measures, although the correlation is more apparent in the mutual information metric. Histograms of correlation coefficients between all residue pairs (blue) and between distant inter-domain residue pairs (red) calculated using (B) mutual information and (C) Pearson correlation. Two residues are defined to be in contact if any of their two heavy atoms are within $5 \AA$ of one another [169]. Similarly, a residue pair is defined to be "distant" if the minimum distance between all of their heavy atoms is greater than $5 \AA$. Thermal fluctuations of residue pairs that are close spatially and/or in the same $\beta$-trefoil domain are generally more correlated than distant inter-domain residue pairs due to physical contact and structural integrity of the $\beta$-trefoil fold. Scatter plots between Pearson correlation coefficients $\left(C_{i j}\right)$ and generalized linear correlation coefficients ( $r_{\text {LMI }}$ ) of ( $\mathrm{D)} \mathrm{all} \mathrm{residue} \mathrm{pairs}, \mathrm{(E)} \mathrm{distant} \mathrm{inter-domain} \mathrm{residue} \mathrm{pairs} ,\mathrm{and} \mathrm{(F)}$ close and/or intra-domain residue pairs. Solid lines denote a slope of one. As seen, the generalized linear correlation coefficient is at least as large as the Pearson correlation coefficient because the latter neglects non-colinear correlated atomic motions. Warmer colors denote a higher density of points. Notwithstanding, the two metrics are highly correlated with one another, as shown.

## C. 2 Supplementary tables

Table C. 1 - Solvent-accessible surface area $\left(\AA^{2}\right)$ buried between $\beta$-trefoil domain-domain interfaces in fascin-1.

|  | F1 | F2 | F3 | F4 |
| :---: | :---: | :---: | :---: | :---: |
| F1 | - | 2,206 | 0 | 702 |
| F2 | - | - | 756 | 1,225 |
| F3 | - | - | - | 1,787 |

Table C. 2 - RMSDs between the pair-wise aligned $\beta$-trefoil domains of fascin-1 (F1-F4) given in $\AA$ for each pair of domains. The number of $\mathrm{C}_{\alpha}$ atom pair equivalences is shown in parentheses.

|  | F1 | F2 | F3 | F4 |
| :---: | :---: | :---: | :---: | :---: |
| F1 | - | 2.11 | 1.91 | 2.23 |
|  |  | $(115)$ | $(119)$ | $(110)$ |
| F2 | - | - | 1.70 | 1.79 |
|  |  |  | $(114)$ | $(104)$ |
| F3 | - | - | - | 1.32 |
|  |  |  |  | $(108)$ |

Table C. 3 - Sequence identity between domains of fascin- 1 and other $\beta$-trefoil domains available in the PDB.
\(\left.$$
\begin{array}{ccc}\hline & \begin{array}{c}\text { Mean sequence } \\
\text { identity between a } \\
\text { fascin-1 } \beta \text {-trefoil } \\
\text { domain and other } \\
\beta \text {-trefoil domains }(\%)\end{array} & \begin{array}{c}\text { Standard deviation of } \\
\text { sequence identities } \\
\text { between a fascin-1 } \\
\beta \text {-trefoil domain and } \\
\text { other } \beta \text {-trefoil } \\
\text { domains (\%) }\end{array}\end{array}
$$ \begin{array}{c}Mean sequence <br>
identity between a <br>
fascin-1 \beta -trefoil <br>
domain and other <br>

fascin-1 domains (\%)\end{array}\right]\)| F1 | 12 | 3 |
| :---: | :---: | :---: |

Table C. 4 - Structural similarity between fascin- 1 domains and other $\beta$-trefoil domains available in the PDB.

|  | Mean RMSD between <br> a fascin-1 $\beta$-trefoil <br> domain and other <br> $\beta$-trefoil domains $(\AA)$ | Standard deviation of <br> RMSDs between a <br> fascin-1 $\beta$-trefoil <br> domain and other <br> $\beta$-trefoil domains $(\AA)$ | Mean RMSD between <br> a fascin-1 $\beta$-trefoil <br> domain and other <br> fascin-1 domains $(\AA)$ |
| :---: | :---: | :---: | :---: |
| F1 | 2.31 | 0.40 | 2.08 |
| F2 | 2.26 | 0.30 | 1.87 |
| F3 | 2.20 | 0.35 | 1.64 |
| F4 | 2.28 | 0.33 | 1.78 |

Table C. 5 - Residue type, number of residues of specific residue type, fraction of residues of specific residue type (in parentheses) and residue numbers of the fifty-one highly conserved residues across homologous fascin molecules that are not included in the set of hydrophobic core stabilizing residues, interfacial residues, and residues 29-43 (see also Fig. C-5).

| Residue type | Number of residues | Residue number(s) |
| :---: | :---: | :---: |
| ALA | 6 (11.8\%) | $222,267,283,349,365$, and 480 |
| ARG | 2 (3.9\%) | 149 and 151 |
| ASN | 4 (7.8\%) | 18, 284, 351, and 360 |
| ASP | 5 (9.8\%) | $166,174,225,251$, and 412 |
| CYS | 1 (2.0\%) | 121 |
| GLN | 2 (3.9\%) | 44, and 285 |
| GLU | 4 (7.8\%) | 27, 130, 252, and 292 |
| GLY | 14 (27.5\%) | $\begin{gathered} 15,76,113,228,235,321,352,361,390,393,396,430, \\ 467, \text { and } 476 \end{gathered}$ |
| HIS | 1 (2.0\%) | 154 |
| ILE | 0 (0.0\%) | - |
| LEU | 3 (5.9\%) | 66,155, and 202 |
| LYS | 0 (0.0\%) | - |
| MET | 0 (0.0\%) | - |
| PHE | 0 (0.0\%) | - |

Continued on Next Page...

Table C. 5 - Continued

| Residue <br> type | Number of <br> residues | Residue number(s) |
| :---: | :---: | :---: |
| PRO | $0(0.0 \%)$ | - |
| SER | $3(5.9 \%)$ | 64,146, and 274 |
| THR | $1(2.0 \%)$ | 25 |
| TRP | $0(0.0 \%)$ | - |
| TYR | $5(9.8 \%)$ | $23,69,152,314$, and 469 |
| VAL | $0(0.0 \%)$ | - |

Table C. 6 - Residue type, residue number, and conservation grades across $\beta$-trefoil domains (CGTD) available in the PDB, conservation grades across homologous fascin (CGHF) molecules, fraction of corresponding column which is of type "gap" (FCCTG) in the structure-based sequence alignment of the $59 \beta$-trefoil domains available in the PDB, and potential functional reason for conservation of the fifty-one highly conserved residues across homologous fascin molecules that are not included in the set of hydrophobic core stabilizing residues, interfacial residues, and residues 29-43 (see also Fig. C-5). According to conservation grade, Asp166 and Asn284 are highly conserved across $59 \beta$-trefoil domains; however, because their corresponding columns in the structure-based sequence alignment of the $59 \beta$-trefoil domains consist mostly of gaps ( $90 \%$ ), these two residues are considered as variable across the $59 \beta$-trefoil domains and their corresponding rows in this table are colored red.

| Residue | Residue | CGTD | CGHF | FCCTG | Potential functional reason for <br> type |
| :---: | :---: | :---: | :---: | :---: | :---: |
| number | $(\%)$ | $(\%)$ | $(\%)$ | conservation |  |
| ALA | 222 | 22.8 | 11.2 | 1.7 | $\beta$-trefoil stability/function |
| ALA | 267 | 13.2 | 24.3 | 1.7 | $\beta$-trefoil stability/function |

Table C. 6 - Continued

| Residue | Residue | CGTD | CGHF | FCCTG |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| type | number | $(\%)$ | $(\%)$ | $(\%)$ | Potential functional reason for <br> conservation |
| ALA | 283 | 35.6 | 5.1 | 5.1 | fascin-1 stability/function (near |
| ALA | 349 | 21.6 | 20.9 | 1.7 | S274) |
| ALA | 365 | 19.3 | 7.7 | 3.4 | $\beta$-trefoil stability/function |

Table C. 6 - Continued

| Residue type | Residue number | CGTD <br> (\%) | CGHF <br> (\%) | FCCTG <br> (\%) | Potential functional reason for conservation |
| :---: | :---: | :---: | :---: | :---: | :---: |
| ASP | 225 | 21.8 | 22.1 | 1.7 | $\beta$-trefoil stability/function |
| ASP | 251 | 40.3 | 14.6 | 11.9 | fascin-1 stability/function (near P236) |
| ASP | 412 | 54.7 | 16.4 | 6.8 | unknown |
| CYS | 121 | 17.5 | 7.9 | 3.4 | $\beta$-trefoil stability/function |
| GLU | 27 | 100 | 4.7 | 1.7 | actin-binding (near residues 29-43) |
| GLU | 130 | 14.8 | 23.5 | 1.7 | $\beta$-trefoil stability/function |
| GLU | 252 | 15.8 | 4.9 | 1.7 | fascin-1 stability/function (near P236) |
| GLU | 292 | 48.1 | 10.3 | 5.1 | fascin-1 stability/function (near S274) |
| GLN | 44 | 47.5 | 3 | 5.1 | actin-binding (near residues 29-43) |
| GLN | 285 | 64 | 22.3 | 5.1 | fascin-1 stability/function (near S274) |
| GLY | 15 | 51.6 | 3.7 | 1.7 | flexibility |
| GLY | 76 | 73.5 | 0.8 | 13.6 | flexibility |
| GLY | 113 | 22.4 | 18.1 | 1.7 | $\beta$-trefoil stability/function |
| GLY | 228 | 55.4 | 2 | 1.7 | flexibility |

Table C. 6 - Continued

| Residue | Residue | CGTD | CGHF | FCCTG |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| type | number | $(\%)$ | $(\%)$ | $(\%)$ | Potential functional reason for |
| conservation |  |  |  |  |  |

Table C. 6 - Continued

| Residue | Residue | CGTD | CGHF | FCCTG | Potential functional reason for <br> type |
| :---: | :---: | :---: | :---: | :---: | :---: |
| number | $(\%)$ | $(\%)$ | $(\%)$ | conservation |  | | (\%) |
| :---: |

Table C. 7 - 61 sequences homologous to fascin-1 retrieved from the NCBI [4] and used for calculation of entropy grades.

1) gi|115494998|ref|NP_001070028.1| hypothetical protein LOC558271 [Danio rerio] Length=491
2) gi|130486462|ref|NP_001076338.1| hypothetical protein LOC570314 [Danio rerio] Length $=494$
3) gi| $184186129 \mid$ ref $\mid$ NP_001116988.1| fascin 2A [Danio rerio] Length $=488$

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Table C. 7 - Continued
4) gi| $183986779 \mid$ ref|NP_957064.2| fascin homolog 1-like, actin-bundling protein [Danio rerio] Length $=490$
5) gi|126334482|ref|XP_001363553.1| PREDICTED: similar to molybdenum cofactor synthesis-step 1 protein [Monodelphis domestica] Length=494
6) gi|126308638|ref|XP_001370831.1| PREDICTED: similar to retinal fascin [Monodelphis domestica]Length=491
7) gi|126340773|ref|XP_001371599.1| PREDICTED: similar to fascin 3 [Monodelphis domestica] Length $=500$
8) gi|78045491|ref|NP_001030217.1| fascin homolog 1, actin-bundling protein [Bos taurus] Length=493
9) gi $|28603742|$ ref $\mid$ NP_788806.1| fascin 2 [Bos taurus] Length $=492$
10) gi|164451463|ref|NP_001069011.2| fascin homolog 3, actin-bundling protein, testicular [Bos taurus] Length $=498$
11) gi| $88660673|\mathrm{gb}| \mathrm{ABD} 48096.1 \mid$ fascin- 1 [Xenopus tropicalis] Length $=484$
12) gi|154147674|ref|NP_001093724.1| fascin homolog 2, actin-bundling protein, retinal [Xenopus tropicalis] Length $=492$
13) gi|147900999|ref|NP_001081581.1| fascin [Xenopus laevis] Length $=484$
14) gi|50603997|gb|AAH77847.1| FSCN1 protein [Xenopus laevis] Length $=502$
15) gi|2498360|sp|Q91837.1|FASC_XENLA RecName: Full=Fascin [Xenopus laevis] Length $=483$
16) gi| $189217818 \mid$ ref|NP_001121350.1| fascin 2 [Xenopus laevis] Length $=492$

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Table C. 7 - Continued
17) gi|4507115|ref|NP_003079.1| fascin 1 [Homo sapiens] Length=493
18) gi|6912626|ref|NP_036550.1| fascin 2 isoform 1 [Homo sapiens] Length=492
19) gi $|9966791|$ ref $\mid$ NP_065102.1| fascin 3 [Homo sapiens] Length $=498$
20) gi|73958049|ref|XP_546998.2| PREDICTED: similar to Fascin (Singed-like protein) ( 55 kDa actin bundling protein) (p55) isoform 1 [Canis familiaris] Length $=477$
21) gi|57099437|ref|XP_540481.1| PREDICTED: similar to Fascin 2 (Retinal fascin) [Canis familiaris] Length $=492$
22) gi|113680348|ref|NP_032010.2| fascin homolog 1, actin bundling protein [Mus musculus] Length $=493$
23) gi|80479179|gb|AAI09357.1| Fascin homolog 2, actin-bundling protein, retinal (Strongylocentrotus purpuratus) [Mus musculus] Length=492
24) gi|31982710|ref|NP_062515.2| fascin 3 [Mus musculus] Length $=498$
25) gi|201066380|ref|NP_001094276.1| fascin [Rattus norvegicus] Length=493
26) gi|157818957|ref|NP_001100542.1| fascin homolog 2, actin-bundling protein, retinal [Rattus norvegicus] Length $=492$
27) gi|51948446|ref|NP_001004232.1| fascin homolog 3, actin-bundling protein, testicular [Rattus norvegicus] Length $=498$
28) gi|167537922|ref|XP_001750628.1| predicted protein [Monosiga brevicollis MX1] Length $=489$

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Table C. 7 - Continued
29) gi|167526499|ref|XP_001747583.1| predicted protein [Monosiga brevicollis MX1] Length=499
30) gi|47551049|ref|NP_999701.1| fascin [Strongylocentrotus purpuratus] Length $=496$
31) gi|109119060|ref|XP_001110926.1| PREDICTED: fascin 2 [Macaca mulatta] Length $=492$
32) gi|109068075|ref|XP_001089987.1| PREDICTED: fascin 3 [Масаса mulatta] Length $=498$
33) gi|156394995|ref|XP_001636897.1| predicted protein [Nematostella vectensis] Length $=488$
34) gi $|47219083| \mathrm{emb} \mid$ CAG00222.1| unnamed protein product [Tetraodon nigroviridis] Length $=475$
35) gi|47226056|emb|CAG04430.1| unnamed protein product [Tetraodon nigroviridis] Length $=490$
36) gi|226372953|ref|NP_001139772.1| fascin homolog 1, actin-bundling protein [Sus scrofa] Length $=493$
37) gi|113205632|ref|NP_001038012.1| fascin 3 [Sus scrofa] Length=498
38) gi|194218724|ref|XP_001914942.1| PREDICTED: similar to fascin (Singedlike protein) (55 kDa actin-bundling protein) (p55) [Equus caballus] Length $=478$

Continued on Next Page...

Table C. 7 - Continued
39) gi|194216553|ref|XP_001914983.1| PREDICTED: similar to retinal fascin [Equus caballus] Length=492
40) gi|149706195|ref|XP_001502608.1| PREDICTED: similar to fascin 3 [Equus caballus] Length $=498$
41) gi|198423660|ref|XP_002129293.1| PREDICTED: similar to Fascin (Singed-like protein) ( 55 kDa actin-bundling protein) (p55) [Ciona intestinalis] Length $=487$
42) gi|91089337|ref|XP_972494.1| PREDICTED: similar to fascin [Tribolium castaneum] Length=518
43) gi|195396945|ref|XP_002057089.1| GJ16540 [Drosophila virilis]

Length $=512$
44) gi|194897139|ref|XP_001978598.1| GG19678 [Drosophila erecta]

Length $=512$
45) gi|195132414|ref|XP_002010638.1| GI21600 [Drosophila mojavensis]

Length $=512$
46) gi| $157137463 \mid$ ref $\left|X P \_001664000.1\right|$ fascin $[$ Aedes aegypti] Length $=511$

| 47) gi\|195448228|ref|XP_002071566.1| GK25072 |
| :--- |
| Length $=512$ |

48) gi|195045719|ref|XP_001992025.1| GH24440 [Drosophila grimshawi] Length $=512$

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Table C. 7 - Continued
49) gi $24640473 \mid$ ref $\mid$ NP_727226.1| singed, isoform A [Drosophila melanogaster] Length $=512$
50) gi|212506942|gb|EEB11002.1| protein singed, putative [Pediculus humanus corporis] Length $=514$
51) gi|156551822|ref|XP_001604095.1| PREDICTED: similar to ENSANGP00000010187 [Nasonia vitripennis] Length $=517$
52) gi|195356008|ref|XP_002044475.1| GM11990 [Drosophila sechellia] Length $=512$
53) gi|221127727|ref|XP_002166129.1| PREDICTED: similar to fascin homolog 1-like, actin-bundling protein [Hydra magnipapillata] Length $=486$
54) gi|221113999|ref|XP_002155122.1| PREDICTED: similar to fascin 2 [Hydra magnipapillata] Length $=495$
55) gi $209571732|\mathrm{gb}|$ ACI62521.1| fascin 3 (predicted) [Oryctolagus cuniculus] Length $=498$
56) gi $|223718854| \mathrm{gb}|\mathrm{ACN} 22213.1|$ fascin 3 (predicted) [Dasypus novemcinctus] Length $=498$
57) gi|177771976|gb|ACB73265.1| fascin homolog 3, actin-bundling protein, testicular (predicted) [Rhinolophus ferrumequinum] Length=498
58) gi|169246066|gb|ACA51044.1| fascin 3 (predicted) [Callicebus moloch] Length $=498$

Continued on Next Page...

Table C. 7 - Continued
59) gi|195977113|gb|ACG63662.1| fascin 3 (predicted) [Otolemur garnettii] Length $=498$
60) gi|167427285|gb|ABZ80263.1| fascin 3 (predicted) [Callithrix jacchus] Length $=498$
61) gi|114615791|ref|XP_519352.2| PREDICTED: fascin 3 [Pan troglodytes] Length $=498$

## C. 3 Supplementary computational procedures

## C.3.1 Structural similarity and sequence identity of fascin-1 $\beta$-trefoil domains to $\beta$-trefoil domains available in the PDB

Each $\beta$-trefoil domain of fascin- 1 is structurally aligned to each of the other $58 \beta$ trefoil domains available in the PDB using STAMP [178] implemented in VMD 1.8.6 [181]. The $\beta$-trefoil domains are identified using the structural classification of proteins (SCOP) database [153]. Subsequently, sequence alignments are performed based on pair-wise structural alignments. Structural similarity and sequence identity between each fascin-1 $\beta$-trefoil domain and the other $\beta$-trefoil domains available in the PDB are calculated (Fig. C-1-A and Tables C. 3 and C.4). Structural similarity is evaluated by RMSD of positions of $\mathrm{C}_{\alpha}$ atom pair equivalences of the two $\beta$-trefoils after superposition of their structures. Sequence identity is calculated by division of the number of residue identities between two structurally aligned sequences by the shorter sequence length.

## C.3.2 Conservation analysis over all $\beta$-trefoil domains available in the PDB

The set of $59 \beta$-trefoil domains available in the PDB is structurally aligned using STAMP implemented in VMD 1.8.6. Conservation analysis is performed on the structure-based multiple sequence alignment of these $\beta$-trefoil domains. The conservation grade is the combination of three different methods: the conservation surface mapping method (ConSurf) [170, 191], the real-valued evolutionary trace method (ET) $[172,192]$, and a simple entropy-based method using a 21-letter alphabet [164]. Conservation grades from these three methods are weighted and combined such that each contributes equally to form the final grade. Highly conserved residues are defined as those residing in the first quartile of residues in the molecule as ranked by conservation grade.

## C.3.3 Calculation of marginal-covariances and pair-covariance matrix of atoms

Marginal-covariances of atom $i, \mathbf{C}_{(i)}=\left\langle\mathbf{x}_{i}{ }^{\mathrm{T}} \mathbf{x}_{i}\right\rangle$, and the pair-covariance matrix of atoms $i$ and $j, \mathbf{C}_{(i j)}=\left\langle\left(\mathbf{x}_{i}, \mathbf{x}_{j}\right)^{\mathrm{T}}\left(\mathbf{x}_{i}, \mathbf{x}_{j}\right)\right\rangle$, are calculated using atomic fluctuations computed using NMA, given by $\left\langle x_{l i} x_{o j}\right\rangle=k_{B} T \sum_{k} \frac{y_{l i k} y_{o j k}}{\lambda_{k} \sqrt{m_{i}} \sqrt{m_{j}}}$, where $k_{B} T$ is thermal energy, $m_{i}$ is the mass of atom $i, \lambda_{k}$ is eigenvalue $k$, and $y_{l i k}$ is the component of mode $k$ associated with the displacement component $l$ of atom $i, x_{l i}$.

## Bibliography

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[^0]:    ${ }^{1} H$. sapiens acidic fibroblast growth factor is used instead of B. taurus acidic fibroblast growth factor because structural coordinates for the latter are not currently available in the PDB.

[^1]:    ${ }^{2}$ These salt bridges are obtained for the oxygen-nitrogen distance cut-off of $3.2 \AA$. Increasing the cut-off to $4 \AA$ results in one (D97-R224) and two (D342-K464 and R344-D420) more salt bridges between domains F1 and F2 and F3 and F4, respectively (see Section 3.3.2)

