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| 1 | Whistle communication in mammal-eating killer whales (Orcinus |
|----------|--|
| 2 | orca): further evidence for acoustic divergence between ecotypes |
| 3 | |
| 4 | Rüdiger Riesch ^{1,2,*} & Volker B. Deecke ^{3, 4} |
| 5 | |
| 6 | ¹ Department of Zoology, University of Oklahoma, 730 Van Vleet Oval, Norman, OK |
| 7 | 73019, USA |
| 8 | ² Department of Biology & W. M. Keck Center for Behavioral Biology, North Carolina |
| 9 | State University, 127 David Clark Labs, Raleigh, NC 27695-7617, USA |
| 10 | ³ Sea Mammal Research Unit, Scottish Oceans Institute, University of St. Andrews, St. |
| 11 | Andrews, Fife, KY16 8LB, Scotland UK |
| 12 | ⁴ Cetacean Research Laboratory, Vancouver Aquarium, P.O. Box 3232, Vancouver, |
| 13 | British Columbia V6B 3X8, Canada |
| 14 15 | * <u>Correspondence:</u> Rüdiger Riesch, Department of Biology, North Carolina State |
| 16 | University, 127 David Clark Labs, Raleigh, NC 27695-7617, USA; Tel.: 919–513– |
| 17 | 7552; Fax: 919–515–5327; e–mail: ruedigerriesch@web.de |
| 18 | |
| 19 | Running head: Whistles in mammal-eating killer whales |
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21 Abstract

22 Public signalling plays an important role in territorial and sexual displays in animals; 23 however, in certain situations it is advantageous to keep signalling private to prevent 24 eavesdropping by unintended receivers. In the northeastern Pacific, two populations of 25 killer whales (Orcinus orca), fish-eating 'resident' killer whales and mammal-eating 26 'transient' killer whales, share the same habitat. Previous studies have shown that 27 residents use whistles as private signals during close-range communication, where they 28 probably serve to coordinate behavioral interactions. Here, we investigated the whistling 29 behavior of mammal-eating killer whales and, based on divergent social structures and 30 social behaviors between residents and transients, we predicted to find differences in both 31 whistle usage and whistle parameters. Our results show that, like resident killer whales, transients produce both variable and stereotyped whistles. However, clear differences in 32 33 whistle parameters between ecotypes show that the whistle repertoire of mammal-eating 34 killer whales is clearly distinct from and less complex than that of fish-eating killer 35 whales. Furthermore, mammal-eating killer whales only produce whistles during 'milling 36 after kill' and 'surface-active' behaviors, but are almost completely silent during all other 37 activities. Nonetheless whistles of transient killer whales may still serve a role similar to 38 that of resident killer whales. Mammal-eating killer whales seem to be under strong 39 selection to keep their communication private from potential prey (whose hearing ranges 40 overlap with that of killer whales), and they appear to accomplish this mainly by 41 restricting vocal activity rather than by changes in whistle parameters. 42

Keywords Eavesdropping – Feeding ecology – Predation – Private signals – Public
 signals – Social networks

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45 Introduction

46

| 47 Acou | stic communication often involves several signallers and receivers in a network |
|------------|--|
| | Gregor et al. 1999). This is especially pertinent in long-range communication, where |
| | al might reach a large number of individuals, but it is also relevant at close ranges, |
| _ | e under certain circumstances signals can reach multiple receivers (Peake 2005). |
| | |
| 51 Thus, | information is sometimes passed on to unintended receivers, so-called |
| 52 eaves | droppers (McGregor et al. 1999; Dabelsteen 2005; Peake 2005), which can be |
| 53 comp | etitors (McGregor et al. 1999; Dabelsteen 2005; Peake 2005), predators searching |
| 54 for pr | rey (e.g., Zuk and Kolluru 1998; Mougeot and Bretagnolle 2000), parasitoids |
| 55 search | hing for a host (e.g., Zuk and Kolluru 1998; Wagner and Basolo 2007), or potential |
| 56 prey | (e.g., Barrett-Lennard et al. 1996; Fenton 2003). |
| 57 | For this reason it can be advantageous for senders to either facilitate or counter |
| 58 eaves | dropping by altering signal design depending on circumstances. Signals facilitating |
| 59 eaves | dropping are called public signals (Dabelsteen 2005). Especially those used as |
| 60 territo | orial or sexual displays are often designed to reach as many receivers as possible, |
| 61 and e | xamples are sounds of chorusing insects and anurans, bird songs, or acoustic |
| 62 displa | ays in mammals (e.g., Marler and Tenaza 1977; Ryan et al. 1981; Tyack 1998; Slater |
| 63 2003) |). In certain social interactions, however, it might be advantageous to use signals that |
| 64 count | er eavesdropping. This is true for situations where public signalling would incur |
| 65 risks, | for example alerting predators, parasitoids, prey, or competitors. Such acoustic |
| 66 signa | Is have been defined as private and they are usually rather quiet, comparatively high |
| | |

- 3 -

| 68 | degradation over distance (reviewed in Dabelsteen 2005). Furthermore, these kinds of |
|----|---|
| 69 | signals are characterized by a high degree of directionality aimed only at the intended |
| 70 | receiver (Dabelsteen 2005). |

72 Acoustic communication in killer whales

73

74 In the inshore waters of the northeastern Pacific, two distinct ecotypes of killer whales, 75 Orcinus orca, coexist in sympatry (Ford et al. 1998; Saulitis et al. 2000). Divergence 76 between these ecotypes seems to be primarily driven by differences in feeding ecology, 77 with 'resident' killer whales feeding exclusively on fish and 'transient' killer whales 78 foraging for mammals and the occasional seabird (Ford et al. 1998; Saulitis et al. 2000). 79 The precise taxonomic status of different killer whale ecotypes is under debate with some 80 researchers postulating separate species status (Morin et al. 2010). Like most other 81 delphinids, killer whales produce three different types of acoustic signals: echolocation 82 clicks, pulsed calls and whistles (Popper 1980; Ford 1989; Janik 2009). While 83 echolocation clicks are used primarily for navigation and prey detection, both pulsed calls 84 and whistles serve as social signals. Most social sounds in killer whales are pulsed calls, 85 which are thought to help maintain group cohesion, coordinate behaviors, and mediate 86 group recognition (Ford 1989, 1991; Miller 2002; Thomsen et al. 2002). Based on 87 spectrographic contour and signal repetitiveness, they can be classified as discrete, 88 aberrant, or variable (Ford 1989; Rehn et al. 2007). Each resident killer whale kin-group 89 (matriline) has a matriline-specific dialect, a unique set of discrete pulsed call types(Ford 90 1989, 1991) with closely related matrilines having similar dialects (Ford 1991; Barrett-

- 4 -

| 91 | Lennard 2000; Deecke et al. 2010). Furthermore, in resident killer whales, discrete pulsed |
|-----|---|
| 92 | calls seem to function as public signals, as they are high intensity signals that are audible |
| 93 | over several kilometers underwater and are predominantly used for long-range |
| 94 | communication (Ford 1989, 1991; Miller 2002, 2006; Thomsen et al. 2002). Transient |
| 95 | killer whales have a more flexible social structure with some juvenile dispersal from |
| 96 | matrilines (Baird and Whitehead 2000; Baird and Dill 1996; Ford et al. 1998; Ford and |
| 97 | Ellis 1999) and all members of a population appear to share at least some call types |
| 98 | (Deecke 2003). Transient killer whales also run the risk of alerting potential prey to their |
| 99 | presence, because all of their prey have excellent underwater hearing ability (reviews in |
| 100 | Au et al. 2000; Berta et al. 2006) and respond to transient pulsed calls with anti-predator |
| 101 | behavior (Deecke et al. 2002). Accordingly, mammal-hunting killer whales show greatly |
| 102 | reduced rates of echolocation (Guinet 1992; Barrett-Lennard et al. 1996), and usually |
| 103 | restrict calling to the time after a successful kill or periods of social interaction (Guinet |
| 104 | 1992; Deecke et al. 2005; Saulitis et al. 2005). |
| 105 | |
| 106 | Whistle communication in killer whales |

108 Killer whale whistles are highly modulated signals that show some degree of

109 directionality, and have lower sound pressure levels and higher fundamental frequencies

110 compared to pulsed calls (Ford 1989; Thomsen et al. 2001; Miller 2002; 2006; Riesch et

al. 2006, 2008). Fundamental frequencies of resident whistles range from around 2 to 17

112 kHz (Ford 1989; Thomsen et al. 2001). Samarra et al. (2010) recently described whistles

113 with frequencies of 17 - 75kHz from some North Atlantic populations, but found that

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| 114 | such ultrasonic whistles did not occur in recordings of North Pacific residents or |
|-----|--|
| 115 | transients. Although the vast majority of resident whistles seem to be variable in nature, |
| 116 | several stereotyped whistle types have been described that are often emitted in complex |
| 117 | sequences (Riesch et al. 2006, 2008). Compared to pulsed calls, killer whale whistles |
| 118 | show all the characteristics of private signals designed to limit the number of |
| 119 | eavesdroppers (Holland et al. 1998; Dabelsteen 2005; Riesch et al. 2008). However, the |
| 120 | whistling behavior of transients has not been investigated so far. |
| 121 | In the present study we conducted an in-depth analysis of whistle structure of |
| 122 | mammal-eating killer whales and analyzed the behavior context in which transient killer |
| 123 | whales engage in whistle communication. We then compared both the behavior context |
| 124 | and the whistle structure to findings in fish-eating resident killer whales. Since fish-eating |
| 125 | and mammal-eating killer whales clearly differ in their social structure and social |
| 126 | behavior, we predicted to find differences in whistle usage between the two ecotypes. |
| 127 | Because of greater costs from eavesdropping prey, we expected to find (1) that whistle |
| 128 | characteristics of mammal-eating killer whales should show even stronger shifts toward |
| 129 | those of private signals than observed in fish-eating killer whales, (2) that like pulsed |
| 130 | calls, overall whistle rates of transients should be lower than those of residents, and (3) |
| 131 | that transient killer whales should use whistles preferentially to pulsed calls in contexts |
| 132 | associated with active search for prey. |
| | |

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Material and methods 134 135 136 Analysis of whistle structure 137 138 We screened approximately 60 hrs of recordings of West Coast Transient killer whales 139 for whistles using real-time spectrographic analysis (Raven version 1.2.1, Cornell Lab of 140 Ornithology, 2005). Whistles were then classified into variable and stereotyped. 141 Stereotyped whistles had a discrete spectrographic contour and were found repeatedly in 142 12 or more recordings (see also supplementary Table S1). Variable whistles, on the other 143 hand, did not have stereotyped contours and were thus only found once and in a single 144 recording. We named stereotyped whistles alphanumerically as TW1 (transient whistle 145 type 1), TW2, and so on (see also Riesch et al. 2006, 2008). Original whistle 146 categorization was conducted by only one of the authors (R.R.), but was subsequently 147 confirmed by a test for interobserver reliability (see below). 148 For the analysis of whistle structure we measured bioacoustic parameters of all 149 whistles that had adequate signal-to-noise ratios. Using the 'selection and measurements' 150 functions in Raven, version 1.2.1, we measured start frequency, end frequency, minimum 151 frequency, maximum frequency, frequency bandwidth, dominant frequency, and whistle 152 duration. Furthermore, following the definition of Steiner (1981), we counted the number 153 of frequency modulations (i.e., changes of direction in the fundamental frequency from 154 rising to falling and vice versa). 155 Recordings came from the entire known range of West Coast Transients between

156 Monterey Bay, California and Glacier Bay, Alaska. Approximately 41 hrs of these were

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| 157 | made during dedicated focal follows conducted in 1999-2008 by one of the authors (V. |
|-----|---|
| 158 | Deecke). During focal follows, the animals were followed in small (6-7m) boats and |
| 159 | recordings were made using dippable (Offshore Acoustics) hydrophones or towable |
| 160 | hydrophone arrays (Benthos AQ-4 elements with Texas Instruments INA106 or Magrec |
| 161 | HP-02 pre-amplifiers) onto DAT (Sony TCD-D8 and Sony PCM-M1) or solid-state |
| 162 | recorders (Alesis ADAT HD-24 or Marantz PMD671). All systems used for this analysis |
| 163 | had a flat (± 3dB) frequency response from 0.1 to 20kHz. To increase sample size, |
| 164 | additional 18 hrs of transient recordings (obtained 1970-2002) were provided by fellow |
| 165 | researchers (see acknowledgements for a complete list of names). These were made using |
| 166 | a variety of recording systems, all of which had a flat frequency response from 0.1 to 12 |
| 167 | kHz, although for some systems the range of the flat response extended up to 20 kHz. |
| 168 | |
| 169 | Test of Interobserver Reliability |
| 170 | |
| 171 | We used a subset of 45 randomly chosen whistles to confirm our initial classification of |
| 172 | whistle categories, following a well-established protocol (for detail see Janik 1999; |
| 173 | Riesch et al. 2006; Rehn et al. 2007). In short, we presented spectrograms (fast Fourier |
| 174 | transformation size: 4096 samples, frame length: 512 samples, overlap between frames: |
| 175 | 75%, normalization: Hamming) of mammal-eating killer whale whistles to three |
| 176 | observers. All whistles were printed on separate 8 x 10 cm sheets, and spectrograms were |
| | |

178 were asked to divide the whistles independently by their spectrographic contour and

- 8 -

- 179 length into as many categories as appropriate to them. We then used Kappa statistic to
- 180 test for interobserver reliability (Siegel and Castellan 1988).
- 181

182 Behavior context of transient killer whale whistles

183

184 Since information on distance and behavior of recorded animals was not always available 185 for recordings contributed by other researchers, this analysis was restricted to recordings 186 made during dedicated focal follows. These were made between 1999 and 2008 in 187 Southeast Alaska and British Columbia by V. Deecke. When killer whales were 188 encountered, the identity and size of the group were confirmed by taking identification 189 photographs of all individuals for comparison with existing catalogues (Ford and Ellis 190 1999; Ellis et al. 2008). While following a group, it's behavior was noted on each 191 approach and distance to the nearest animal was estimated on each surfacing or measured 192 using laser rangefinders (Bushnell Yardage Pro 1000 or Leica Geovid 7x42 BDA) 193 whenever possible. Behavior was classified as 'travel', 'slow travel', 'milling', and 194 'surface-active' according to the group's swim speed, synchronicity of surfacing, and 195 directionality and occurrence of surface-active behaviors (slapping the surface with tail or 196 pectoral fin, breaching, etc.). Milling behavior following a confirmed predation event was 197 listed as a separate behavior category 'milling after kill'. See Deecke et al. (2005) for 198 additional detail on distance estimation and the classification of behaviors. 199 While pulsed calls of resident killer whales carry far underwater (detectable over

several kilometers: Miller 2006), whistles and transient pulsed calls are often relatively
faint (Thomsen et al. 2001; Deecke et al. 2005; Miller 2006). Hence, to minimize the

-9-

number of missed sounds, we restricted this analysis to sections of recordings where at
least one individual was within 500 m of the hydrophone. These sections were then
further separated according to behavioral category.

205 To compare levels of whistle activity between different behavior contexts, and to 206 be able to compare our findings to whistle activity in resident killer whales, we followed 207 the protocol of Thomsen et al. (2002). In a first step, we divided all selected sections into 208 discrete subsamples that were characterized by the same behavior context. We then 209 divided all samples of the same encounter from the same behavioral category into 3-min 210 sample intervals. Finally, from each pool of 3-min samples that we thus derived for each 211 encounter and each behavioral category, we now selected every other 3-min sample 212 interval for further analysis. If total recording time for a behavioural category from one 213 encounter was shorter than 6 min total, we analyzed only the central 3-min, while 214 sections shorter than 3-min were discarded. This resulted in 244 samples from 29 215 encounters. For each sample we counted the number of pulsed calls, total whistles, and 216 whistle sequences (a sequence consisted of at least two whistles that occurred within 5 s 217 of each other; sensu Riesch et al. 2008), and then calculated the number of whistles and 218 whistle sequences per animal per minute. To avoid pseudoreplication, we pooled all 219 samples from the same encounter and same behavior context, which means that all data 220 points within a behavioral category are independent, but some data points in different 221 behavioral categories stem from the same encounter. Since whistle behavior was not 222 normally distributed, we tested for differences in whistle activity with a Kruskal-Wallis 223 *H*-test, and then used Dunn's multiple comparisons to identify homogeneous subsets. 224

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225 Comparison of variable vs. stereotyped and resident vs. transient killer whale whistles226

| 227 | Prior to all multivariate analyses, whistle parameters (start frequency, end frequency, |
|-----|--|
| 228 | minimum frequency, maximum frequency, frequency range, dominant frequency, whistle |
| 229 | duration, and frequency modulations) were z-transformed to normalize the variables with |
| 230 | regards to differences in the unit of measure and in variance (Gotelli and Ellison 2004). |
| 231 | We tested for differences in bioacoustic parameters between variable and stereotyped |
| 232 | whistles of mammal-eating killer whales by means of a multivariate GLM (MANOVA) |
| 233 | with z-transformed whistle parameters as dependent variables, and whistle type |
| 234 | ('variable' vs. 'stereotyped') as fixed factor. In a similar MANOVA model, we |
| 235 | subsequently tested for differences between stereotyped transient whistle categories with |
| 236 | z-transformed whistle parameters as dependent variables, and stereotyped whistle |
| 237 | categories ('TW1', 'TW2' or 'TW3') as fixed factor. |
| 238 | To provide an intuitive metric for differences between stereotyped whistles of |
| 239 | transient killer whales, we conducted discriminant function analysis (DFA) on z- |
| 240 | transformed whistle parameters. We used a jack-knife ('leave-one-out') sampling |
| 241 | scheme as a cross-validation technique (i.e., each case is classified by the functions |
| 242 | derived from all cases other than that case). A priori probabilities were calculated based |
| 243 | on group-sizes, and these were then used to calculate the proportional-by-chance |
| 244 | accuracy by summing the squares of all prior probabilities. An overall classification |
| 245 | success for the model was provided, and the grouping variable was stereotyped whistle |
| 246 | category ('TW1', 'TW2', or 'TW3'). |
| | |

247

We extracted and reanalyzed bioacoustic parameters of northern and southern

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| 248 | resident killer whales from a previous study (Riesch et al. 2006), and tested for |
|-----|---|
| 249 | differences between stereotyped whistles of different killer whale populations by means |
| 250 | of a full-factorial multivariate GLM (MANOVA). The dependent variables were again z- |
| 251 | transformed whistle parameters, and population ('transient', 'northern resident' or |
| 252 | 'southern resident') was the fixed factor. |
| 253 | Finally, we tested for differences between stereotyped whistles from different |
| 254 | killer whale ecotypes by conducting another jack-knife DFA. A priori probabilities were |
| 255 | again calculated based on group-sizes, the grouping variable was population ('transient', |
| 256 | 'northern resident', or 'southern resident') and the dependent variables were the same as |
| 257 | for the previous DFA. |
| 258 | All statistical analyses were conducted using PASW Statistics 18.0.2 for Mac |
| 259 | (SPSS Inc. 2010), with the exception of the Kruskal-Wallis H-test and Dunn's multiple |
| 260 | comparisons, which were calculated using InStat 3.0b for Mac (GraphPad Software, Inc. |
| 261 | 2003). |
| 262 | |
| 263 | Results |
| 264 | |
| 265 | Transient killer whale whistles |
| 266 | |
| 267 | We measured bioacoustic parameters of 1,218 whistles. Most of these (897) appeared to |
| 268 | be variable in structure with no apparent similarities in spectrographic contour, while 321 |
| 269 | could be grouped into one of three discrete whistle categories: TW1, TW2, and TW3 |
| 270 | (Fig. 1). These whistles were comprised of 'chirps' with a U- to W-shaped frequency |
| | |

| 271 | contour and were often multilooped (i.e., repetitive sequences of the same whistle type; |
|-----|---|
| 272 | Fig. 1). However, all stereotyped whistles were also found as isolated whistles. Overall, |
| 273 | 42% (507 whistles) of all analyzed transient whistles were produced as isolated signals, |
| 274 | while 58 % (711 whistles) were produced as part of whistle sequences. These sequences |
| 275 | consisted of 3.48±2.13 (mean±SD) individual whistles, the interval between consecutive |
| 276 | whistles within the sequence was 0.42 ± 0.77 s, and on average 6.00 ± 3.55 animals |
| 277 | (encounters with reliable animal count: $N = 21$) were present during recordings that |
| 278 | contained whistle sequences. Average intervals between isolated whistles lasted |
| 279 | 102.33±153.16 s and on average 4.90±2.28 animals were present during recordings |
| 280 | containing isolated whistles (encounters with reliable animal count: $N = 30$). Variable and |
| 281 | stereotyped whistles differed significantly in their whistle parameters (MANOVA: $F_{8,1209}$ |
| 282 | = 27.393, $P < 0.001$): In general, variable whistles tended to be longer in duration than |
| 283 | stereotyped whistles, had slightly higher maximum frequencies, and exhibited more |
| 284 | frequency modulations (Table 1). We could not find any indication for differences in |
| 285 | whistle repertoires between different transient groups (online supplementary Table S1). |
| 286 | Instead, all three stereotyped whistles appear to be part of the same, shared repertoire. |
| 287 | The MANOVA confirmed that stereotyped whistle categories differed |
| 288 | significantly in their whistle parameters ($F_{16,622} = 19.995$, $P < 0.001$), and post-hoc |
| 289 | analysis revealed that this was due to significant differences in acoustic parameters |
| 290 | between at least two whistle types (Fisher's Least Significant Differences: all |
| 291 | comparisons $P \le 0.021$; except for TW1 vs. TW2 (end frequency, maximum frequency, |
| 292 | and frequency modulations), TW1 vs. TW3 (start frequency, minimum frequency, and |
| 293 | dominant frequency), and TW2 vs. TW3 (whistle duration), all $P > 0.120$). |
| | |

- 13 -

| 294 | The DFA classified 70.4 % of all stereotyped whistles into the correct whistle |
|-----|--|
| 295 | category (compared to the proportional-by-chance probability of 36.9 %; Fig. 2A), the |
| 296 | variable with the most discriminatory power was start frequency (<i>Wilks' lambda</i> = 0.931 , |
| 297 | $F_{2,318} = 11.829$, $P < 0.001$; see online supplementary Table S2), and individual |
| 298 | classification success was 94.2 % for TW1, 67.1 % for TW2, and 35.1 % for TW3 (Fig. |
| 299 | 2A). |
| 300 | In two different recordings, we found one whistle each that closely resembled |
| 301 | stereotyped whistles of resident killer whales in spectrographic contour, and bioacoustic |
| 302 | parameters. One resembled whistle W6 of the northern resident killer whales, the other |
| 303 | SW1 of the southern resident killer whales (Riesch et al. 2006; see online supplementary |
| 304 | Fig. S1). For both recordings, no resident killer whales were observed during the |
| 305 | recorded encounter with transients (V. Deecke, pers. observation, and J. K. B. Ford, pers. |
| 306 | communication). |

308 Test for interobserver reliability

309

The visual inspection method showed that observers overall agreed on the classification of stereotyped whistles versus variable whistles. If only stereotyped whistle types were considered independently and all variable whistles were considered as a single residual class, the degree of interobserver reliability was very high (Kappa statistic: $\kappa = 0.88$, Z=8.47, P<0.0001; Table 2). However, two observers placed two stereotyped whistles from category TW3 into a separate whistle category and one observer placed two variable

- 14 -

- 316 whistles into their own stereotyped whistle category (Table 2; online supplementary Fig.
- 317 S2).
- 318
- 319 Behavior context of transient killer whale whistles
- 320

| 321 | Most whistling occurred | during 'milling af | ter kill' (median | whistle rate: 0.14 | 4 whistles per |
|-----|-------------------------|--------------------|-------------------|--------------------|----------------|
|-----|-------------------------|--------------------|-------------------|--------------------|----------------|

- animal per min; interquartile range (IQR): 0.05-0.48) and 'surface-active' (median: 0.00
- 323 whistles per animal per min; IQR: 0.00-1.01), while transients were usually silent during
- 324 'milling' (median: 0.00 whistles per animal per min; IQR: 0.00-0.05), 'slow travel'
- 325 (median: 0.00 whistles per animal per min; IQR: 0.00-0.00), and 'travel' (median: 0.00
- 326 whistles per animal per min; IQR: 0.00-0.01). Accordingly, we found significant
- 327 differences between whistling rates across behavioral categories (Kruskal-Wallis *H*-test:
- $H_4 = 19.622$, P = 0.0006), and 'milling after kill' had significantly higher whistling rates
- 329 than 'slow travel' (Dunn's test: $Q_{11,10} = 3.486$, P < 0.001) and 'travel' ($Q_{11,17} = 3.277$, P < 0.001)
- 330 0.01). All other comparisons were not significant (Fig. 3).
- 331
- 332 Comparison of resident and transient killer whale whistles
- 333

In the GLM, 'population' had a significant influence on stereotyped whistle parameters $(F_{16,1502} = 180.096, P < 0.001)$, and post-hoc analysis revealed that this was due to significant differences in most acoustic parameters between all three populations (Fisher's Least Significant Differences: all comparisons $P \le 0.001$ except for end frequency, northern residents vs. transients, P = 0.253; minimum frequency, northern

| 339 | residents vs. southern residents, $P = 0.062$; and frequency modulations, northern vs. |
|-----|---|
| 340 | southern residents, $P = 0.072$). |

| 341 | The DFA classified 91.7 % of all stereotyped whistles into the correct group |
|-----|--|
| 342 | (compared to the proportional-by-chance probability of 45.1 %; Fig. 2B) and the |
| 343 | variables with the most discriminatory power were maximum frequency (Wilks' lambda |
| 344 | = 0.468, F = 430.555, P < 0.001) for discriminant function 1, and whistle duration |
| 345 | (<i>Wilks' lambda</i> = 0.363, $F = 665.804$, $P < 0.001$; see online supplementary Table S3) for |
| 346 | discriminant function 2. Classification success was highest for transient whistles (98.8 |
| 347 | %), second best for northern resident whistles (88.9 %) and lowest for southern resident |
| 348 | whistles (66.7 %). Furthermore, differences between ecotypes and within ecotypes |
| 349 | clearly follow a different trajectory: transient whistles differed from those of residents |
| 350 | mainly in whistle duration, end frequency and maximum frequency, while northern |
| 351 | residents differed from southern residents mainly in whistle duration and bandwidth (Fig. |
| 352 | 2B). |
| | |

354 **Discussion**

355

We investigated whistle communication in mammal-eating killer whales from the northeastern Pacific and found that similar to fish-eating resident killer whales, transients also use a combination of variable and stereotyped whistles, and have a tendency to emit whistles as whistle sequences (Riesch et al. 2006, 2008). Using three different statistical methods (MANOVA, DFA, and the test for interobserver reliability) we found evidence for pronounced differences between variable and three distinct stereotyped whistle

- 16 -

362 categories. However, all West Coast transients seem to share the same whistle repertoire, 363 and the behavior context, as well as the bioacoustic parameters of transient whistles, are 364 clearly distinct from those in resident killer whales. 365 Whistles of the type TW3 clearly exhibit the most intra-category variability (Fig. 366 1C), which led to low classification success in the DFA and to two observers dividing 367 TW3s into two distinct subcategories (online supplementary Figure S2). Based on those 368 results, one could make the argument that TW3 potentially should have been split into 369 two different subcategories, or that some whistles that we incorporated into the category 370 TW3 (the right-hand spectrogram in Fig. 1; online supplementary Figure S2) should 371 rather be classified as aberrant TW3 (i.e., are signals that are based clearly on discrete 372 whistles, but were highly modified or distorted in structure; Ford 1989). Nonetheless, it is 373 also important to keep in mind that we did not include any bioacoustic measurements in 374 our statistical analysis that incorporate whistle contour. Therefore, we decided to stay 375 with our original classification that lumps all of these whistles into the same category 376 (TW3), but suggest that future work that incorporates more in-depth contour analysis 377 (e.g., neural network analyses; Deecke and Janik 2006) could attempt to better resolve 378 this issue. However, whether or not TW3 were actually split into two different categories, 379 would not change any of our general interpretations that we will discuss in the following 380 paragraphs. 381 382

383

Transient whistles versus resident whistles

384 Stereotyped whistles of mammal-eating killer whales are clearly different from those of 385 resident fish-eating killer whales: whistles of transient killer whales generally have lower 386 dominant frequencies, narrower frequency ranges, are shorter in duration, and have fewer 387 frequency modulations (Thomsen et al. 2001; Riesch et al. 2006, 2008). Contrary to our 388 first prediction, this means that transient whistles are actually moving away from the 389 characteristics that are usually ascribed to private signals (higher frequencies, wider 390 frequency range, and greater degree of frequency modulations; Holland et al. 1998; 391 Dabelsteen 2005). We did find support for our second prediction, however, as whistle 392 rates across behavior categories were lower in transients compared to whistle rates 393 published for resident killer whales (Thomsen et al. 2002). While stereotyped whistles of 394 resident killer whales (in particular northern resident whistles) show relatively high 395 variability in several bioacoustic parameters as well as in general spectrographic contour 396 between whistle types, transient whistle types all seem to be variations of a common U-397 to W-shaped contour (see Riesch et al. 2006, 2008). Hence, in addition to having 398 repertoires of pulsed calls that are distinct from residents (Ford 1984; Deecke 2003), 399 transient killer whales also have a distinct, population-specific repertoire of whistles. 400

401 Vocal imitation/mimicry of resident whistles

402

We found two whistles that could have been imitations of stereotyped whistles of resident
killer whales. Both mimicked whistles were recorded within the range of the resident
killer whale population producing the template whistle types (i.e. the W6-like whistle
within the northern resident home range and the SW1-like whistle within the southern

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| 407 | resident home range), but killer whale whistles are relatively faint signals that have a |
|--|---|
| 408 | detection range of approximately 500 m (Thomsen et al. 2001). For this reason, we feel |
| 409 | that it is unlikely that these were produced by close-by matrilines of resident killer |
| 410 | whales. Given the complexity of killer whale whistles, it is also relatively unlikely that |
| 411 | these match resident killer whale whistle types by chance. Combined with the fact that |
| 412 | these were the only such examples in over 40 hrs of recordings this suggests that they |
| 413 | probably are not part of the normal repertoire of transient killer whales, but most likely |
| 414 | represent vocal imitation/mimicry, which has previously been described for killer whales |
| 415 | and other delpinids (Ford 1991; Janik 2009; Weiß et al. in press). However, future |
| 416 | research will have to investigate this further. |
| 417 | |
| | |
| 418 | Possible function of transient whistles |
| 418 419 | Possible function of transient whistles |
| | Possible function of transient whistles In other delphinids, stereotyped whistles often serve as individual-specific signature |
| 419 | |
| 419 420 | In other delphinids, stereotyped whistles often serve as individual-specific signature |
| 419 420 421 | In other delphinids, stereotyped whistles often serve as individual-specific signature whistles thought to facilitate group cohesion (e.g., Caldwell and Caldwell 1971; Janik |
| 419420421422 | In other delphinids, stereotyped whistles often serve as individual-specific signature whistles thought to facilitate group cohesion (e.g., Caldwell and Caldwell 1971; Janik and Slater 1998; Tyack 1998; Janik 2009). In the case of male alliances in bottlenose |
| 419 420 421 422 423 | In other delphinids, stereotyped whistles often serve as individual-specific signature whistles thought to facilitate group cohesion (e.g., Caldwell and Caldwell 1971; Janik and Slater 1998; Tyack 1998; Janik 2009). In the case of male alliances in bottlenose dolphins (<i>Tursiops truncatus</i>), signature whistles are thought to additionally encode |
| 419 420 421 422 423 424 | In other delphinids, stereotyped whistles often serve as individual-specific signature whistles thought to facilitate group cohesion (e.g., Caldwell and Caldwell 1971; Janik and Slater 1998; Tyack 1998; Janik 2009). In the case of male alliances in bottlenose dolphins (<i>Tursiops truncatus</i>), signature whistles are thought to additionally encode social affiliation, as signature whistles within an alliance become more alike over time |
| 419 420 421 422 423 424 425 | In other delphinids, stereotyped whistles often serve as individual-specific signature whistles thought to facilitate group cohesion (e.g., Caldwell and Caldwell 1971; Janik and Slater 1998; Tyack 1998; Janik 2009). In the case of male alliances in bottlenose dolphins (<i>Tursiops truncatus</i>), signature whistles are thought to additionally encode social affiliation, as signature whistles within an alliance become more alike over time (Watwood et al. 2004). However, Riesch et al. (2006) demonstrated that stereotyped |
| 419 420 421 422 423 424 425 426 | In other delphinids, stereotyped whistles often serve as individual-specific signature whistles thought to facilitate group cohesion (e.g., Caldwell and Caldwell 1971; Janik and Slater 1998; Tyack 1998; Janik 2009). In the case of male alliances in bottlenose dolphins (<i>Tursiops truncatus</i>), signature whistles are thought to additionally encode social affiliation, as signature whistles within an alliance become more alike over time (Watwood et al. 2004). However, Riesch et al. (2006) demonstrated that stereotyped whistles in resident killer whales clearly do not serve as individual signatures. The |

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430 only 3 stereotyped whistle types. Hence our results are further evidence against signature 431 whistle variation of the type described for bottlenose dolphins and other delphinids in 432 killer whales. It is, however, still possible that the observed variability between whistles 433 within the same type (Fig. 1) is a sign of individual variability. In this case, all mammal-434 eating killer whales would use the same three whistle types but each individual would 435 have its own unique version of it, as has been suggested for resident killer whale pulsed 436 calls (Nousek et al. 2006).

437 If they are not signature whistles, what then is the function of stereotyped 438 whistles? In resident killer whales, whistles are thought to be important close-range 439 signals that facilitate and coordinate social interactions (Thomsen et al. 2001, 2002; 440 Riesch et al. 2006, 2008), and consequently they are the predominant acoustic signal 441 during close-range interactions, while pulsed calls dominate all other behavior states 442 (Thomsen et al. 2002; Riesch et al. 2008). Furthermore, their physical characteristics 443 (Table 1, reanalyzed from Riesch et al. 2006, 2008) suggest that they are signals designed 444 to prevent eavesdropping by unintended receivers, which in the case of residents are most 445 likely competitors/rivals (i.e. other resident killer whales; Riesch et al. 2008). The 446 function of transient whistles, on the other hand, is more difficult to identify, because 447 rather than being the predominant acoustic signal during social interactions, transients 448 generally do not vocalize at all except during 'milling after kill' and 'surface-active' 449 behaviors (Deecke et al. 2005; this study). However, once the animals start to get vocally 450 active both pulsed call and whistle rates increase simultaneously (Deecke et al. 2005; this 451 study). This is strong evidence against our third prediction, that transients should 452 preferentially use their less conspicuous signals (whistles) during behaviors correlated

453 with active search for prey, because they are less likely to be detected than calls. Since 454 transients do not appear to use whistles as a safe means of communication to avoid 455 alerting eavesdropping prey, why do they not attempt to at least restrict conspecific 456 eavesdropping (i.e., rival transient groups)? Compared to the resident killer whale 457 communities, the transient killer whale community is spread out over a much larger 458 geographic area (ranging from southern California to southeastern Alaska; Ford and Ellis 459 1999; Ford et al. 2000) so that chance-encounters with 'rival' transient groups are much 460 less likely. Furthermore, as Deecke et al. (2005) already argued, the noise created by an 461 attack on marine mammals (e.g., sounds generated during prey handling and prey 462 vocalizations) would have already alerted potential competitors to the scene, thus further 463 decreasing the need to make whistle communication private in this particular behavior 464 context.

465 Does this mean that transient whistles serve a different function than resident 466 killer whale whistles? We can currently only speculate, as direct data are lacking. 467 However, several indirect lines of evidence suggest that resident and transient killer 468 whale whistles could share a similar function. First, food-sharing is often observed in 469 mammal-eating killer whales (Jefferson et al. 1991), and Deecke et al. (2005) proposed 470 that transient pulsed calls may be important in delineating social relationships during 471 these and similar events. If whistles served a similar function in transients and residents, 472 we would expect the whistle rate to also increase under these circumstances, which is 473 exactly what we found in the present study. Additionally, prey carcasses often show 474 evidence of intricate manipulation (e.g., porpoise carcasses are often completely stripped 475 of skin and blubber; V. Deecke, pers. observation), which is bound to require a high

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476 degree of coordination between individuals. Since whistles in fish-eating killer whales are 477 thought to coordinate behavior or social interactions (Riesch et al. 2006, 2008), whistles 478 in mammal-eating killer whales could also play an important role here. Hence, we 479 hypothesize that whistles in transient killer whales may also serve as signals that facilitate 480 and coordinate close-range interactions during surface-active behavior (similar to 481 socializing in resident killer whales) and prey handling during feeding. Future studies 482 will have to focus more on the specific function of whistles in transient killer whales to 483 unequivocally answer this question.

484 Why do transient killer whales have such a small whistle repertoire (3 stereotyped 485 whistle types with rather similar contours), when that of resident killer whales is so 486 elaborate (up to 11 stereotyped whistles of varying contour; Riesch et al. 2006, 2008)? 487 We propose two mutually not exclusive hypotheses. First, as we argued above, whistles 488 in transient killer whales may actually have the same function as pulsed calls during food 489 sharing (Deecke et al. 2005), so the actual acoustic repertoire for this behavior probably 490 encompasses the combined repertoires of pulsed calls and whistles. This decreases the 491 need for an extensive whistle repertoire. Second, transient social structure is much more 492 fluid than that of residents (Baird and Whitehead 2000; Baird and Dill 1996; Ford et al. 493 1998; Ford and Ellis 1999), and transient individuals may form temporary hunting groups 494 with others they only encounter infrequently. In this scenario a less complex whistle 495 repertoire would be of great advantage in ensuring successful cooperation and temporary 496 bonding between infrequent social companions.

497

498 Costly communication and predator-prey coevolution

- 2.2. -

500 The stereotyped whistles of resident and transient killer whales are clearly distinct, and it 501 therefore seems reasonable to believe that potential prev species would be able to tell 502 them apart as has been shown for stereotyped pulsed calls (Deecke et al. 2002). However, 503 if this is the case, how can we explain that compared to residents, transient whistle 504 parameters are shifted back towards those characteristic for public signals (Holland et al. 505 1998; Dabelsteen 2005)? 506 A shift of communication to frequencies outside of the hearing range of their prev 507 has been proposed for echolocation in bats (e.g., Fullard and Dawson 1997; but see 508 Windmill et al. 2005). However, potential killer whale prey (pinnipeds and other

509 cetaceans) all have hearing ranges overlapping and sometimes even exceeding that of

510 killer whales making a shift of communication frequency not a feasible option for

511 mammal-eating killer whales (see discussion in Deecke et al. 2005). Hence the main

512 strategy of transients to minimize detection by potential prey is to limit vocal

513 communication to certain behavioral contexts, making detection based on whistle

recognition by prey impossible during foraging, regardless of a potential receiver's

515 hearing capabilities (Barrett-Lennard et al. 1996; Deecke et al. 2005). This in turn seems

516 to have relaxed the selection on making whistles acoustically private (i.e., higher

517 frequencies and more frequency modulations). Together with the differences in social

518 structure, this could explain the observed differences in acoustic parameters between

519 resident and transient whistles.

520

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681 **Table 1** Descriptive statistics (Mean±SD) for (A) transient killer whales whistles and (B) stereotyped whistles of T (transients), NR

(northern residents), and SR (southern residents). NR and SR values were reanalyzed from Riesch et al. 2006.

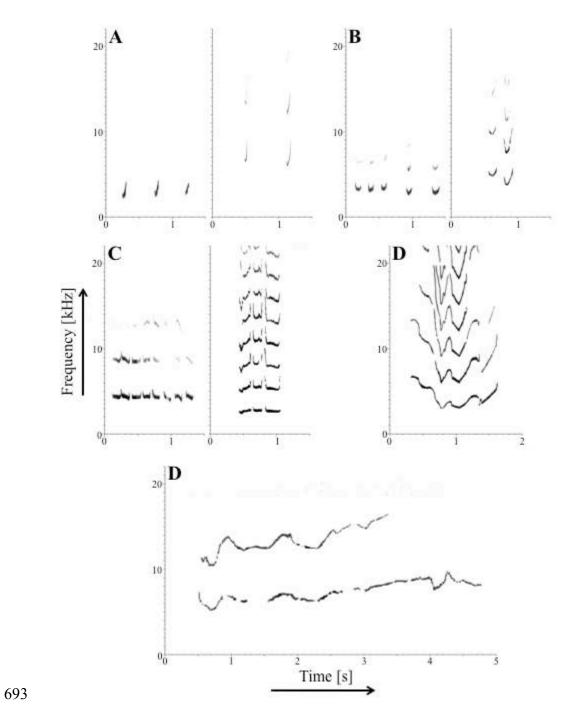
| Whistle | Ν | Start frequency [kHz] | End frequency [kHz] | Minimum frequency [kHz] | Maximum frequency [kHz] | Frequency range [kHz] | Dominant frequency [kHz] | Whistle duration [s] | Frequency modulations |
|--------------------------|------------|--------------------------|------------------------|-------------------------------|-------------------------------|-----------------------------|--------------------------------|----------------------------|-----------------------|
| (<i>a</i>) within trar | nsients | | | | | | | | |
| Variable | 897 | 4.56±1.69 | 4.85±1.92 | 3.81±1.41 | 5.49±1.99 | 1.69±1.22 | 4.90±1.98 | 0.65±0.84 | 2.8±4.4 |
| TW1 | 70 | 3.79±1.34 | 4.86±1.96 | 3.24±1.12 | 4.89±1.95 | 1.65±1.13 | 3.68±1.36 | 0.10±0.05 | 1.0±0.0 |
| TW2 | 154 | 4.58±1.30 | 4.61±1.33 | 3.64±1.13 | 4.86±1.32 | 1.22±0.53 | 4.93±2.17 | 0.13±0.04 | 1.1±0.5 |
| TW3 | 97 | 4.07±0.92 | 4.13±0.97 | 3.33±0.72 | 4.34±0.97 | 1.01±0.49 | 4.11±1.38 | 0.13±0.04 | 1.7±1.1 |
| (b) between co | ommunities | 3 | | | | | | | |
| Т | 321 | 4.25±1.25 | 4.52±1.42 | 3.46±1.03 | 4.71±1.41 | 1.25±0.73 | 4.41±1.87 | 0.12±0.04 | 1.3±0.8 |
| NR | 395 | 8.42±2.81 | 4.63±1.11 | 4.23±0.84 | 9.56±2.75 | 5.31±2.68 | 8.60±3.23 | 1.19±0.46 | 21.2±26.5 |
| SR | 45 | 5.78±1.18 | 5.35±0.83 | 4.50±0.54 | 6.80±1.19 | 2.30±0.99 | 5.98±1.63 | 4.47±2.91 | 26.8±22.6 |
| | | | | | | | | | |

Table 2 Categorization of whistles by mammal-eating killer whales according to three naïve human observers. Numbers correspond to the internal identification number of the whistle, while numbers in parentheses indicate how many of the three observers put the corresponding whistle into one type. Identification numbers of stereotyped whistles are in bold.

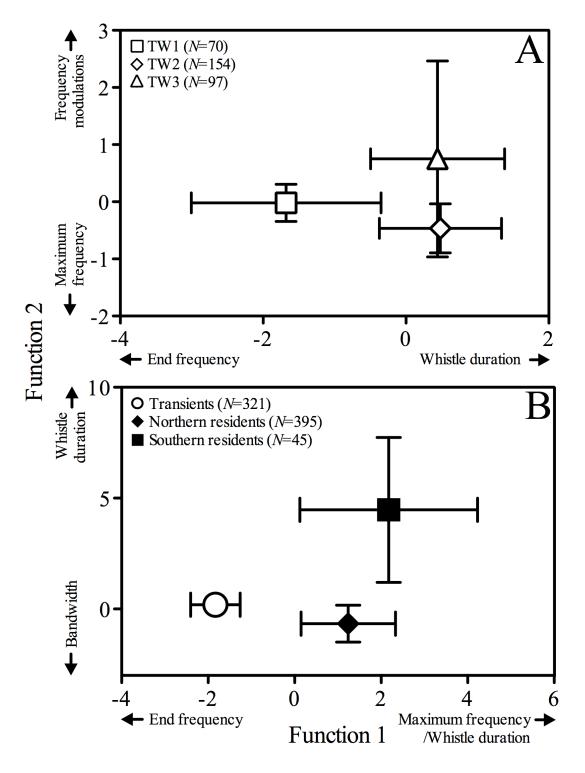
| | | | Whis | tle type | | |
|----------|--------|---------------|---------------|---------------|---------------|---------------|
| Variable | | TW1 | TW2 | TW3 | Stereotyped X | Stereotyped Y |
| 2 (3) | 25 (3) | 4 (3) | 1 (3) | 13 (3) | 23 (2) | 8 (1) |
| 3 (3) | 27 (3) | 10 (3) | 9 (3) | 16 (3) | 26 (2) | 20 (1) |
| 5 (3) | 28 (3) | 30 (3) | 19 (3) | 23 (1) | | |
| 6 (3) | 29 (3) | 39 (3) | 24 (3) | 26 (1) | | |
| 7 (3) | 31 (3) | 40 (3) | 35 (3) | 34 (3) | | |
| 8 (2) | 32 (3) | | | | | |
| 11 (3) | 33 (3) | | | | | |
| 12 (3) | 36 (3) | | | | | |
| 14 (3) | 37 (3) | | | | | |
| 15 (3) | 38 (3) | | | | | |
| 17 (3) | 41 (3) | | | | | |
| 18 (3) | 42 (3) | | | | | |
| 20 (2) | 43 (3) | | | | | |
| 21 (3) | 44 (3) | | | | | |
| 22 (3) | 45 (3) | | | | | |

689 Fig. 1 Representative spectrograms of multilooped transient whistle types (A) TW1, (B)

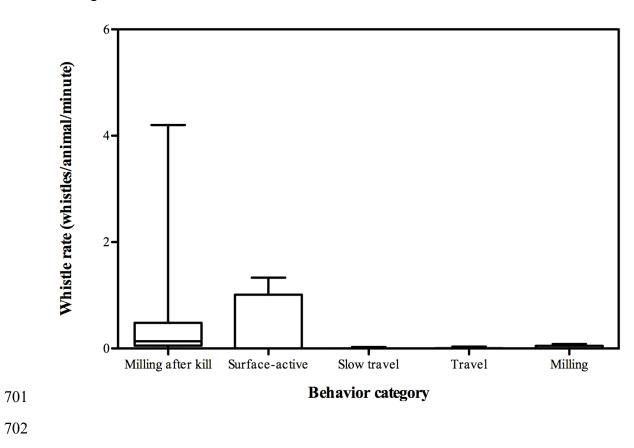
- 690 TW2, and (C) TW3, as well as (D) two variable whistles (fast Fourier transformation
- 691 size: 4096 samples, frame length: 512 samples, overlap between frames: 75%,
- 692 normalization: Hamming)



- **Fig. 2** Discriminant function analyses (Group centroids ± SDs) for separation of
- 695 stereotyped whistles of (A) transient killer whales, and (B) three different populations of
- 696 killer whales from the Pacific Northwest



- 698 Fig. 3 Rates of whistle production across behavior categories in transient killer whales.
- 699 Milling after kill: N = 12, surface-active: N = 5, slow travel: N = 11, travel: N = 18, and



700 milling: N = 5