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1	The double-edged sword of CRISPR-Cas systems
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14	Abstract
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16	A recent paper gives the details on how specific small RNAs can program a protein to cleave
17	an undesired piece of DNA and to provide immunity to a microbial cell.
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Highlights

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The number of microbes on Earth has been estimated at 10³⁰ [1] and their viruses are believed to outnumber them by at least 10-fold. Consequently, viruses of microbes are considered the most abundant and diversified biological entities on our planet [2].

To cope with this never-ending threat, microorganisms have developed a wide range of defense mechanisms [3]. Among them, CRISPR-Cas system is the new kid on the block as its silencing role was reported only five years ago [4]. An outburst of articles, meetings, and reviews has since followed, arguably making it one of the hottest topics in microbiology.

28 CRISPR (clustered regulatory interspaced short palindromic repeats) loci are found in 29 approximately 45% of sequenced bacterial genomes as well as 90% of archaeal ones and 30 one genome can contain multiple CRISPR loci. Variable short regions, called spacers, 31 separate each of the short repeat. The spacers are mainly homologous to viral or plasmid 32 sequences. CRISPR-associated (cas) genes are often located adjacent to the CRISPR locus 33 [5]. The diversity and specificity of the *cas* operons has led to the identification of signature 34 cas genes and to a polythetic classification scheme for CRISPR-Cas systems (types I to III, 35 with several subtypes) [6].

Notwithstanding their particularities, CRISPR-Cas systems operate through three general steps to provide immunity. In the adaptation stage, some cells will respond to the invasion of a phage or a plasmid by adding a new repeat-spacer unit into the CRISPR array, mostly polarized at the 5' end. Strikingly, the spacer sequence comes from the invading nucleic acid while the newly added repeat derives from another repeat of the array. The mechanistic details on how this adaptation/immunization occurs are still unknown but some Cas
proteins are involved. The unique spacer content is now considered a sign of past
challenges and can serve as a marker for strain typing.

In the second step, non-coding small CRISPR RNAs (crRNAs) are generated. A long precursor CRISPR RNA is first produced from an A-T-rich Leader/promoter region, which is then processed within the repeats and matured into crRNAs. Several Cas proteins participate into the biogenesis of crRNAs. Finally, in the interference stage, the crRNAs-Cas proteins complex will bind to the invading nucleic acid target and cleave it, providing a defense system to the host microbe [5]. Therefore, CRISPR-Cas systems are RNA-based adaptive microbial immune systems that target nucleic acids intruders.

51 Type II systems have been heavily studied partly because they offer practical applications 52 in the dairy industry to generate phage-resistant *Streptococcus thermophilus* strains [4] and partly because the main functional steps have been experimentally confirmed. The repeats 53 54 are generally 36 bp long while the spacers are 30 bp. In addition to its content and 55 architecture, type II systems also differ from other types in the biogenesis of crRNAs. 56 Indeed, another set of small non-coding RNAs (~100 nucleotides [nt]) that are partially 57 complementary to the type II CRISPR repeat, are produced from a region outside but close 58 to the CRISPR locus. These small RNAs are called tracrRNA, for *trans*-activating CRISPR 59 RNA. These tracrRNAs hybridize to the repeat within the long precursor CRISPR RNA and 60 the RNA duplex is processed by the non-CRISPR RNase III to generate mature crRNAs (~42 61 nt), with ~ 20 nt matching the spacer and ~ 22 nt matching the repeat [7].

62 Cas9, a large type-II signature protein, was shown to be the only Cas protein involved in this

biogenesis process [7]. Cas9 was also shown to be somehow essential for the cleavage of
phage or plasmid dsDNA target during the interference stage in *S. thermophilus* [8, 9].

65 Enters the recent stimulating paper of linek *et al.* [10] that shed light on the mechanistic 66 role of Cas9 in the interference stage of the CRISPR type II systems. Cas9 contains at least two nuclease domains, a RuvC-like domain near the amino terminus and the HNH (or McrA-67 68 like) nuclease domain in the middle of the protein. Using *in vitro* assays and a purified Cas9 69 from *Streptococcus pyogenes*, the authors showed that each domain is involved in the 70 cleavage of one strand of the dsDNA target. The RuvC-like domain cleaves the 71 noncomplementary strand while the HNH domain acts on the complementary strand. 72 Evidences were also provided that Cas9 is a multiple-turnover enzyme that can cleave both 73 linearized and supercoiled plasmids. Overall, the cleavage rate of Cas9 was comparable to 74 the ones observed for restriction endonucleases.

Remarkably, two RNA molecules, tracrRNA and crRNA, the latter having a sequence complementary to the DNA target, are absolutely required for target DNA binding and cleavage by Cas9 endonuclease. Therefore, Cas9 and the small non-coding tracrRNA (both specific to type II systems) are involved in the maturation of crRNAs (biogenesis of crRNA stage) as well as in the cleavage of the target dsDNA (interference stage). Moreover, the authors also showed through *in vitro* studies with Cas9 orthologs, that target cleavage was microbial species specific, suggesting a co-evolution of Cas9, tracrRNA, and the repeats.

Using a plasmid or a short linear dsDNA as an *in vitro* target, the authors determined that the cleavage produced blunt ends, three base pairs upstream of a short motif called PAM (protospacer <u>a</u>djacent <u>m</u>otif). A protospacer is defined as the nucleotide sequence found in the invading nucleic acid that is matching the spacer in the CRISPR array. The PAM is flanking the protospacer and is thus only found in the invading sequence, thereby playing a role in distinguishing self (spacer, host) from non-self (protospacer, foreign) [11]. Interestingly, this cleavage site perfectly matched the one observed *in vivo* in phageinfected or plasmid-containing *S. thermophilus* strains [8, 12].

Of note, using a short linear substrate, Jinek *et al.* [10] reveal that both strands are cleaved
in different ways. While the complementary strand to crRNA is cleaved precisely three
bases upstream of the PAM, the non-complementary strand could be cleaved elsewhere and
needed further 3'-5' trimming to reach the same position.

94 Using binding assays, Jinek *et al.* [10] also suggested that Cas9 recognized PAM sequences 95 as a prerequisite for target DNA binding and possibly strand separation to allow R-loop 96 formation (a structure in which crRNA molecule would hybridize with one strand of a 97 dsDNA target, leaving the other strand unpaired). Indeed, binding affinity was enhanced 98 with a perfect matched PAM.

99 Taken altogether, Cas9-tracrRNA-crRNA complexes would include base-pairing between 22 100 nt of the mature crRNA with the tracrRNA through matching repeat portion, leaving 20 nt of 101 the crRNA available for target DNA binding. In fact, it was shown that only 13 bp between 102 the crRNA and the protospacer were required for efficient target cleavage. The 13 bp 103 adjacent to the PAM, could be seen as a seed region defining requirements for Cas9 binding 104 to the target. As for the rest of the tracrRNA, it would be available to interact with Cas9 or to 105 form other RNA structure or bind to other partners. Structural work on this complex should 106 help refine the model.

107 Although already outstanding in bridging gaps in our understanding of CRISPR-Cas systems, 108 this fascinating story does not end here. The authors investigated the possibility of using 109 this dual-RNA system to program Cas9 to specifically cleave any desired DNA molecules. 110 Minimal requirements to have an efficient single chimeric RNA molecule mimicking the 111 dual RNA structure were defined and led to site-specific DNA cleavage by Cas9. In fact, 112 several different chimeric guide RNAs were engineered and used to cleave a plasmid 113 containing the specific target and a PAM. These findings coupled to the previous 114 observations that CRISPR-Cas systems can be functionally transferred from one organism 115 to another [9] open up exciting possibilities for gene targeting and genome editing of 116 microbes and even higher organisms [14].

117 Jinek et al. represents another exciting chapter of the ever-growing story of CRISPR-Cas 118 systems. Knowledge gaps are being filled at a stunning speed, its mode of action is 119 becoming clearer, and novel stimulating biotechnological applications keep emerging. The 120 next major challenge certainly lies in better understanding the adaptation stage, which has 121 been difficult to study due to, among others, the low frequency of cells acquiring novel 122 repeat-spacer units. The diversity of the CRISPR-Cas systems also undoubtedly reserve 123 additional surprises in the forthcoming years and should keep interested readers looking 124 forward to the next installment.

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