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5	Inflammatory Bowel Disease Patient Perceptions of Diagnostic and Monitoring
6	Tests and Procedures
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<u>Abstract</u>

<u>Background</u>: Inflammatory Bowel Disease (IBD) with its high incidence and prevalence
rates in Canada generates a heavy burden of tests and procedures. The purpose of this study
is to gain a better understanding of the transfer of information from physician to patient, as
well as the patient understanding and perceptions about the tests and procedures that are
ordered to them in the context of IBD diagnosis and monitoring.

<u>Methods</u>: An online questionnaire was completed by 210 IBD patients in Canada.
Information on the five most-often used tests or procedures in IBD diagnosis/monitoring
was collected. These include: general blood test, colonoscopy, colon biopsy, medical
imaging and stool testing.

<u>Results</u>: The general blood test is both the most ordered and most refused tool. It is also the one with which patients are the least comfortable, the one that generates the least concern and the one about which physicians provide the least information. The stool test is the test/procedure with which patients are the most comfortable. Procedures raise more concerns among patients and physicians provide more information about why they are needed, their impact and the risks they present. Very little information is provided to patients about the risks of having false positives or negative tests.

18 <u>Conclusions</u>: This study provides an initial understanding of patient perceptions, the 19 transfer of information from a physician to a patient and a patient's understanding of the 20 tests and procedures that will be required to treat IBD throughout what is a lifelong disease. 21 The present study takes a first step in better understanding the acceptance of the test or 22 procedure by IBD patients, which is essential for them to adhere to the monitoring process.

1 KEY WORDS: Monitoring, diagnostic, inflammatory bowel disease, tests, procedures.

2

3 1. BACKGROUND

Inflammatory bowel disease (IBD) includes ulcerative colitis (UC) and Crohn's disease 4 5 (CD). These are chronic inflammatory illnesses of the gastrointestinal tract of unknown 6 etiology. There is no cure, and the purpose of treatment is to control the symptoms and 7 maintain remission (1, 2). Canada ranks among the countries with the highest prevalence 8 and incidence rates of IBD in the world (3). Furthermore, Canada has one of the highest 9 IBD incidence rates among the under 16 age group and this rate increasing, especially 10 among children under 5 years of age (4). One out of every 150 Canadians is afflicted with 11 IBD (5). This burden generates a significant economic weight. In 2012, IBD-related costs were estimated at \$2.8 billion, of which \$1.2 were direct costs (hospitalization, medication 12 and medical visits) (1). 13

Diseases for which diagnosis, monitoring, and surveillance are appropriate are those that 14 15 significantly impact a person's quality of life, are fatal, and are sufficiently widespread to 16 justify investments in conditions for which early detection is beneficial and for which treatment exists (6, 7). IBD meets all of these criteria. The management of IBD patients 17 requires assessment both at the time of diagnosis and throughout the illness to determine 18 19 the activity and severity of the inflammatory lesions, disease location, progression and 20 complications (8). In chronic illness it has been reported that, due to time constraints on 21 the part of the physician, patients do not receive the care they require and, as a result, their 22 illness remains unmonitored (9, 10). On the other hand, it has also been reported that 23 patients undergo too many tests and procedures. Some authors (11, 12) have put forward the suggestion that this overuse of tests and procedures can be explained by the fact that
physicians receive a bonus for each test requested or that they do more than less out of fear
of lawsuits, which can lead to false positives or overdiagnoses.

4 IBD diagnosis and monitoring are mainly based on an in-depth physical examination coupled with the patient's medical history and various tests and procedures that include 5 6 blood tests, stool tests, endoscopy with or without biopsy and medical imaging (13). The 7 clinical signs of UC include urgency, tenesmus, bloody diarrhea or abdominal pain. Signs 8 of CD are more variable and dependent on the extent and the location of the gastrointestinal 9 disease and on whether or not there are complications such as intestinal strictures, intestinal or perianal fistulas or abscessess (14). Periodic measures including office visits, laboratory 10 11 tests and procedures are part of the monitoring process that helps manage chronic illnesses (15). Assessment of IBD activity is mainly carried out through symptom reporting, 12 laboratory testing and endoscopy. As example, a fecal calprotectin test can be used to 13 monitor disease activity. In addition, for CD radiologic imaging plays an important role in 14 assessment of disease activity. (16). The ideal test must be safe, simple, inexpensive, 15 acceptable to the public and must also be reproducible, sensitive and specific (6, 16). IBD 16 17 patients are subject to a large quantity of medical care, tests and procedures (17-20).

The various tests and procedures to diagnose and monitor IBD all have specific goals. Blood tests are used to screen for IBD and assess a patient's state of health (18). Repeated measures of certain biomarkers such as calprotectin or lactoferrin in the stool are part of the clinical IBD management procedures (17, 21). These biomarkers allow for quick and non-invasive monitoring of inflammation (22, 23). Endoscopy plays an integral role in the diagnosis and management of IBD patients. An endoscopic examination allows physicians 1 to distinguish CD from UC and also provides information on disease extent and severity (24). Patients with IBD, are at higher risk of developing colorectal cancer (CRC), which is 2 monitored through endoscopy with biopsies (25, 26). Medical imaging including 3 ultrasound, CT Scan and Magnetic Resonance Imaging are performed in IBD patients as a 4 5 diagnostic tool and to determine the extent of the damage to the intestines, monitor the 6 illness' activity and assess for complications (19, 27). Certain tests, such as a colonoscopy or blood tests, are not appreciated by patients and generate anxiety (28-32). It is therefore 7 important that patients receive and understand the information about the risks and benefits 8 9 of the various tests and procedures (33, 34).

Despite improvements in the available treatment options, IBD continues to have a negative 10 11 impact on the quality of life of patients (35). Many studies have focused on the impact of 12 IBD on quality of life (36, 37), the need for information (38), strategies to adapt (39) and shared decision making (40). Despite the funding and resources invested in the diagnosis 13 and monitoring of IBD, few studies have focused on these activities. To the best of our 14 knowledge, no studies have been conducted on the perception of patients toward diagnostic 15 16 tests and monitoring specifically for IBD patients. Even less information is available on 17 patient understanding of and compliance with the tests and procedures that are requested by their physicians. Yet, the literature shows that understanding how a chronic condition 18 19 influences patients and their ability to adhere to health care recommendations is essential, 20 especially as part of a patient-centered approach (41). Questions to be posed include: What are the percentages of orders for tests and/or procedures? What percentages of patients 21 22 refuse these tests/procedures and for what reasons? What information is given to the patient about these tests? What is the patient's understanding of these tests? Do these tests generateany concerns for the patient?

The purpose of this study is to gain a better understanding of the transfer of information from physician to patient, as well as patient understanding and perceptions of the tests and procedures that are ordered by their doctor in the specific context of IBD diagnosis and monitoring.

In a patient-centered approach, it is essential to gain a better understanding of patient perceptions of the diagnostic and monitoring tests and procedures used (42) in the context of the chronic illnesses that are IBD. This study therefore aims to take a first step in this regard. With an increased awareness of the problems associated with the tests and procedures, physicians can prevent these negative perceptions and adapt their exchange of information with their patient, which will in turn soften the impact of the tests and procedures on the quality of life of their patients.

14 2. METHODS

15 The current study was part of a larger research program aimed at translating genetic 16 discoveries into a personalized approach for the treatment of inflammatory bowel diseases (48) for which an online questionnaire was specifically developed. One of the sections of 17 this questionnaire was designed to better understand the concerns raised by the 18 tests/procedures among IBD patients, the transfer of information from the physician to 19 patients and the patients' understanding of these tests/procedures, as well as the rate of 20 21 prescriptions, the reasons patients refuse to undergo such tests/procedures and the 22 sociodemographic profile of the participants (Additional file 1- Online Questionnaire).

1 The survey was posted on the website of Crohn's and Colitis Canada (CCC), an association that has 933 patient members, and which made it possible to reach patients in a manner 2 that respected their privacy. Patients could access the survey through the CCC website over 3 a 5-month period. Five reminders were posted on the CCC website, newsletter and social 4 5 media via existing CCC platforms in an attempt to reach the largest possible final sample 6 size. In total, 210 adult participants were reached across 10 different Canadian provinces and/or territories, for a response rate of 22.5%, which is within the range previously 7 reported in similar studies (43-45). The vast majority of the 210 respondents answered all 8 9 of the questions.

The questionnaire was built in five sections to collect information on the five most used 10 11 tests and procedures for the diagnosis and monitoring of IBD: general blood test, stool test, 12 colonoscopy, colon biopsy and medical imaging. For each section, patients were asked to 13 answer 20 questions to assess their acceptance or refusal of a given test/procedure, the reasons for the refusal, their concerns, the level of comfort with undergoing the test (confort 14 is defined by: the patient feel relaxed and wellbeing toward those tests, have no negative 15 16 perception and perceive them relatively free from pain), their understanding, and the 17 information provided by their physician in connection with every test or procedure under study. Respondents indicated their agreement or disagreement with a given statement on a 18 19 Likert scale (46). This type of scale was chosen as it makes it possible to measure complex 20 attitudes or individual perceptions. An even-numbered scale (6) was used, as it eliminates the respondents' tendency to choose the middle answer, known as central tendency (47). 21 22 The online questionnaire was first "pre-tested" by 14 IBD treatment experts and then by 17 patients in a gastroenterology clinic in order to check their understanding of each 23

question. Minor changes in the wording were subsequently made to complete the final
questionnaire. All participants signed the information and consent form before completing
the questionnaire. The questionnaires were completed anonymously; the respondents
cannot be tracked. The information and consent form was approved by the ethics
committee (approval number 2013-041 / 27-09-2013).

6 This study presents the analysis of the responses obtained regarding the patients' laboratory
7 tests and procedures. SPSS statistical software was used for calculations. Pearson's chi8 square test (χ2) was use to evaluate the correlation between educational and understanding
9 level. The Likert scale was grouped into low (1-2), medium (3-4) and high (5-6) response
10 categories for effects to emerge more clearly.

11 3. RESULTS

12 3.1 Prescriptions and refusal to undergo tests or procedures

The sociodemographic profile of the entire population under study (n=210) is presented in 13 Table 1. More women (171) than men (39) participated to the web survey. Among the 14 patients who anwered the questionnaire, there were more patients having Crohn's disease 15 (145) than ulcerative colitis (65), more patients in the age range 18 - 34 (82) than in the 16 age range of 35 - 44 (68) and the less represented age range was 45 and over (56). The 17 educational level of patients who answered the questionnaire were grouped in high school 18 19 (34), professional or college (85) or university diploma (87). The distribution of the 20 province or territory where were living the patients were living is also presented in Table 1. Ontario was the province from which the highest number of patients participated in the 21 22 web questionnaire while Nunavut was the least represented territory.

Sociodemog	raphic characterisitcs	n
Caralan	Men	39
Gender	Women	171
Tune of IDD	UC	65
Type of IBD	CD	145
	18-34	82
Age	35-44	68
	45 and over	56
	High school	34
Educational level	Professional or college	85
	University diploma	87
	Alberta	15
	British-Colombia	14
	Manitoba	3
	New-Brunswick	7
Province of residence	Nova-Scotia	13
among Canada	Nunavut	1
	Ontario	84
	Quebec	61
	Saskatchewan	5
	Newfoundland and Labrador	7

1 Table 1 Sociodemographic profil of the entire population under study (n=210).

2 IBD: Inflammatory bowel disease; UC: Ulcerative colitis; CD: Crohn's disease

1 With regard to diagnosis or monitoring, the incidence of tests and procedures requested for the entire population under study (n=210) and the number of tests and procedures that were 2 refused by these patients are presented in Table 2. The most-often ordered test/procedure 3 was a blood test (96.7% of patients), followed by a colonoscopy (93.3%), a colon biopsy 4 5 (81.4%), a stool test (67.1%) and the least-often requested procedure was medical imaging 6 (58.1%). Some patients decided to refuse to undergo these tests/procedures. The rate of refusal is similar for the majority of the tests/procedures (2 to 5 refusals), but is significantly 7 higher for the blood test, which was rejected by 74 patients. Therefore, although a blood 8 9 test is the most-often ordered test, it is also the one that is the most often refused.

10 Table 2 Number of Tests/Procedures Requested and Refused by IBD Patients (n=210).

	Test/procedure requested n %		Refused the	test/procedure
			n	%
General blood test	203	96.7	74	36.5
Colonoscopy	196	93.3	2	1.0
Colon biopsy	171	81.4	2	1.2
Medical imaging	imaging 122		5	4.1
Stool test	141	67.1	4	2.8

11 IBD: Inflammatory bowel disease

The reasons why patients refused to undergo the tests and procedures are presented in Table 3. Time, pain, costs, potential risks, side effects, fear of results, test too revealing, and confidentiality were reported by patients as reasons for refusal. None of the suggested reasons explained why 4 patients had refused the stool test and why 5 patients had refused

¹²

1 the medical imaging. On the other hand, a colonoscopy was refused by 2 patients, at least one of whom had refused the procedure for several of the reasons suggested: time, pain, 2 potential risks, side effects, fear of results, test too revealing and confidentiality. The colon 3 4 biopsy was also refused by 2 patients, at least one of whom refused the test because of the 5 potential risks. The blood test, which was refused by 74 patients with the reasons reported in the questionnaire only partly explained the reasons for the refusal. Sixty-five patients 6 replied that the reason they had refused the test was not listed in the questionnaire. Other 7 patients refused the blood test for the following reasons suggested in the questionnaire: 8 time, pain, costs, potential risks, side effects, fear of results, test too revealing and 9 confidentiality. 10

		C 1			
	blood test $(n = 74)$	Colonoscopy (n = 2)	biopsy $(n = 2)$	imaging (n = 5)	Stool test (n = 4)
Not at all for this reason	67	1	2	5	4
Partially for this reason	3	0	0	0	0
Refused for this reason	4	1	0	0	0
Not at all for this reason	68	1	2	5	4
Partially for this reason	1	0	0	0	0
Refused for this reason	2	1	0	0	0
Not at all for this reason	66	2	2	5	3
Partially for this reason	5	0	0	0	0
Refused for this reason	1	0	0	0	0
Not at all for this reason	69	1	1	5	4
	Partially for this reason Refused for this reason Not at all for this reason Partially for this reason Refused for this reason Not at all for this reason Partially for this reason Refused for this reason	Not at all for this reason67Partially for this reason3Refused for this reason4Not at all for this reason68Partially for this reason1Refused for this reason2Not at all for this reason66Partially for this reason5Refused for this reason1	(n = 74)Not at all for this reason671Partially for this reason30Refused for this reason41Not at all for this reason681Partially for this reason10Refused for this reason21Not at all for this reason662Partially for this reason50Refused for this reason10	(n = 74) $(n = 2)$ Not at all for this reason 67 12Partially for this reason300Refused for this reason410Not at all for this reason 68 12Partially for this reason100Refused for this reason210Not at all for this reason210Not at all for this reason 66 22Partially for this reason 5 00Refused for this reason100	(n = 74) $(n = 2)$ $(n = 5)$ Not at all for this reason67125Partially for this reason3000Refused for this reason4100Not at all for this reason68125Partially for this reason1000Refused for this reason2100Not at all for this reason2100Not at all for this reason66225Partially for this reason5000Refused for this reason1000

11 Table 3 Reasons for a Patient to Refuse to Undergo the Requested Test/Procedure

		0	0	0	0
Refused for this reason	1	1	1	0	0
Not at all for this reason	69	1	1	5	4
artially for this reason	2	0	0	0	0
Refused for this reason	1	1	1	0	0
Not at all for this reason	65	1	2	5	4
artially for this reason	3	0	0	0	0
defused for this reason	3	1	0	0	0
Not at all for this reason	68	1	1	5	3
artially for this reason	1	1	0	0	1
efused for this reason	2	0	0	0	0
Not at all for this reason	70	1	2	5	4
artially for this reason	0	1	0	0	0
efused for this reason	1	0	0	0	0
	Not at all for this reason Partially for this reason Refused for this reason Not at all for this reason Partially for this reason Refused for this reason Partially for this reason Partially for this reason Refused for this reason	Not at all for this reason69Partially for this reason2Partially for this reason1Not at all for this reason65Partially for this reason3Partially for this reason3Partially for this reason68Partially for this reason1Partially for this reason1Partially for this reason2Partially for this reason2Partially for this reason2Partially for this reason2Partially for this reason70Partially for this reason0	Note the function of the funct	Note for this reason6911Partially for this reason200Partially for this reason111Partially for this reason6512Partially for this reason300Partially for this reason310Partially for this reason310Partially for this reason6811Partially for this reason110Partially for this reason200Partially for this reason200Partially for this reason7012Partially for this reason7010Partially for this reason7010Partially for this reason7010	Note of the transference111Not at all for this reason69115Partially for this reason2000Refused for this reason1110Not at all for this reason65125Partially for this reason3000Refused for this reason3100Refused for this reason3100Refused for this reason68115Partially for this reason1100Refused for this reason2000Refused for this reason1100Refused for this reason1100Refused for this reason2000Refused for this reason2000Refused for this reason70125Partially for this reason0100

2 3.2 Patient perceptions of the impact of the tests and procedures

1

3 The level of comfort with the test or procedure was determined by the patients. Level of comfort referring to feeling relaxed and wellbeing toward those tests, have no negative 4 5 perception and perceive them relatively free from pain. Although initially rated from 1 to 6 6 on the Likert scale, the level of comfort was grouped into three levels to more easily highlight the trends: low (rating of 1-2), medium (rating of 3-4) and high (rating of 5-6) 7 8 (see Table 4). Comfort level was lowest for the blood test, where 78.9% of patients reported 9 a low level of comfort, whereas 9.8% of patients felt comfortable with this test. The second lowest level of comfort was for the colonoscopy, for which 40.8% of patients expressed a 10 low level of comfort and 24.8% appeared to be comfortable with this procedure. Among 11

the tests/procedures included in our study, patients were most comfortable with the stool test, where only 12.2% expressed a low level of comfort and 61.4% expressed a high level of comfort with this test. Patients also appeared to be comfortable with the medical imaging and the colon biopsy, with percentages of high levels of comfort that were similar to those reported for the stool test: 60.8% and 54.1% respective.

6 Patients also assessed their levels of concern during their specialists' presentation of the 7 results of the tests/procedures (see Table 4). Level of concern was lowest for the results of 8 the blood test, where 19.5% of patients reported a high level of concern. For the 9 colonoscopy, 59.7% of the patients reported a high level of concern upon receiving the 10 results. The patients were also concerned about the results of the colon biopsy and the 11 medical imaging, but to a lesser degree, with 39.4% and 38% respectively reporting a high 12 level of concern.

Patients then assessed the impact of these test/procedure results on their concerns about their illness (Table 4). The colonoscopy generated the greatest increase in concern about the illness (64.3%). Next were the medical imaging results (52.1%), followed by the colon biopsy (48%) and the stool analysis (37%). The blood test had the least impact on patient concerns about their illness (only 17.8% of respondents reported an increase in their concerns).

19 Table 4 Impact of Test/Procedure on Patient Level of Comfort and Concerns

		General C	colonoscopy	Colon	Medical	Stool test
		blood test		biopsy	imaging	
Level of comfort with this test	Low	78.9	40.8	18.3	12.5	12.2

	Medium	11.3	34.7	27.6	26.7	26.4
	High	9.8	24.5	54.1	60.8	61.4
Level of concern when results	Low	35.4	10.2	19.4	18.2	28.5
are presented	Medium	45.1	30.1	41.2	43.8	42.3
	High	19.5	59.7	39.4	38.0	29.2
Results increase the concerns	Yes	17.8	64.3	48	52.1	37.7
about the disease	No	52.4	35.7	52	47.9	62.3
	Results stable	29.8				

2 3.3 Patient understanding of the tests and procedures

1

The patients were asked whether their specialist had explained the reason why the 3 test/procedure had been requested (Table 5). A total of 95.5% of patients had received 4 5 explanations as to why the colonoscopy had been requested; 87.9% for the medical 6 imaging; 83.2% for the colon biopsy; 78.7% for the blood test; and 73.2% for the stool test. 7 The patients were also asked about their understanding of the reason why the test/procedure 8 was requested. The highest level of understanding of the reason why the test/procedure was 9 needed was for the colonoscopy (86.9%), followed by the stool test (76.1%), the medical imaging scan (75%), the colon biopsy (71.1%) and, lastly, the blood test (63.5%). Pearson's 10 chi-square test (χ^2) was performed to evaluate the correlation between educational level 11 and the level of understanding of the reason why the test/procedure was requested. For 12 13 every tests and procedures, no significant correlation between educational level and understanding was found. Patients then reported their level of understanding of the 14 potential treatments in connection with the test/procedure they had undergone. The highest 15 16 level of understanding was reported for the colonoscopy with only 59.4%, followed by the stool test (53.9%), the colon biopsy (48.3%), the blood test (47.8%) and the medical
imaging scan (45.1%). For this question, the level of understanding was not very high, as
all were reported below 60%.

		General	Colonoscopy	Colon	Medical	Stool test
		blood test		biopsy	imaging	
Did the physician explain why the	nis test Yes	78.7	95.5	83.2	87.9	73.2
was requested?	No	21.3	4.5	16.8	12.1	26.8
What is your level of understanding of Low		10	0.5	7.0	8.1	6.3
the reason why the test/procedure was Medium		26.5	12.6	21.9	16.9	17.6
requested?	High	63.5	86.9	71.1	75.0	76.1
What is your level of understand	ing of Low	12.5	8.1	13.4	15.4	12.1
the potential treatments?	Medium	39.8	32.5	38.3	39.5	34.0
	High	47.8	59.4	48.3	45.1	53.9

4 Table 5 Patient Understanding of the Tests and/or Procedures

5

Table 5 presents the percentage of patients whose physician had explained why the 6 7 test/procedure was requested. For all tests and procedures, other than the stool test, there is 8 a decrease in the percentage of patients who had a high understanding of why the test was 9 requested from those who had received the information from their physician. Therefore, 10 even though a certain percentage of patients received explanations as to why the 11 tests/procedures were requested there was therefore a loss of understanding. This loss of understanding was even more pronounced when patients were asked about their level of 12 13 understanding of the potential treatments. For example, 78.7% of patients received explanations as to why the blood test was requested. Among these patients, only 63.5% 14

1 had a high understanding as to why it was requested, and only 47.8% of the patients 2 understood the potential treatments in connection with the tests/procedure. This was the case for all tests/procedures other than the stool test. Although 73.2% of patients received 3 explanations of the reason this test was requested, 76.1% of patients had a high 4 5 understanding of why it was requested, and 53.9% of patients understood the potential 6 treatments. Therefore, for the stool test, the information appears more intuitive. However, 7 for the other tests/procedures, although the information was given to the patients, it does 8 not appear to have been fully understood.

9 3.4 Transfer of information about the tests and procedures

Patients were asked if the specialist had informed them of the impact the results of the 10 11 tests/procedures would have on treatment options; the possibility of false positives or negatives; any potential risks; and the level of invasiveness (for procedures only) (see Table 12 6). Patients were therefore asked whether the physician had informed them of the impact 13 of the results of the test/procedure on treatment options. Most patients reported having 14 received this information about the colonoscopy (83.2%), followed by the stool test 15 (73.2%), the colon biopsy (72.7%) and the medical imaging (72.6%), the latter three being 16 very similar. Patients reported having received the least information about the impact of 17 the results of blood tests on treatment (59.9%). Information about the possibility of false 18 19 positives or negatives was the least shared with patients. A total of 40% of patients were informed about possible false positives or negatives for the stool test, which is the highest 20 21 percentage, closely followed by the medical imaging scan (36.6%), the colon biopsy 22 (34.7%) and the colonoscopy (32.3%). Only 22.3% of patients were informed about possible false positive or negative results of blood tests. 23

1 Patients were also asked whether they had received information about the potential risks of the test/procedure. Information about potential risks was most often given to patients 2 3 about the colonoscopy (73.2%), followed by the colon biopsy (68.4%), the medical 4 imaging scan (60.5%) and the stool test (47.5%). Patients were the least informed about 5 the potential risks of blood tests. Lastly, patients were asked if they had been informed 6 about the level of invasiveness of the procedure. A total of 75.6% of patients had been informed of the level of invasiveness of the colonoscopy, and the medical imaging scan 7 was the procedure about which the smallest percentage of patients received this 8 9 information (63.4%).

Did the IBD specialist inform		General	Colonoscopy	Colon	Medical	Stool test
you:		blood test		biopsy	imaging	
Of the impact that each result	Yes	59.9	83.2	72.7	72.6	73.2
may have on the treatment?	No	40.1	16.8	27.3	27.4	26.8
That there may be a false	Yes	22.3	32.3	34.7	36.6	40
positive, false negative?	No	77.7	67.7	65.3	63.4	60
Of the potential risks?	Yes	23.4	73.2	68.4	60.5	47.5
	No	76.6	26.8	31.6	39.5	52.5
On the level of invasiveness?	Yes		75.6	71.1	63.4	
	No	NA	24.4	28.9	36.6	NA

10 Table 6 Information Provided by the Physician Concerning the Test/Procedure

11 IBD: Inflammatory bowel disease

12 4. DISCUSSION

The present study aims to gain a better understanding of the concerns generated by testsand procedures; the transfer of information from the physician to the patient; the patients'

understanding of these tests/procedures; as well as the rate of prescription and the reasons
 that lead patients to refuse to undergo these tests/procedures.

3 With respect to the percentages of patients who had received the information from their 4 physician, respectively for each test/procedure, there is a decrease in the percentage of patients with a high level of understanding of the reasons why the tests/procedures were 5 6 requested. Thus, from the number of patients who had received explanations of the request 7 for a test, fewer patients reported understanding as to why the test was requested, other 8 than for the stool test. The stool test may be messy and most inconvenient because the 9 patient often has to return to the clinic with the specimen. But if the patient understands the value in the stool test to rule out infection or to assess for inflammation, then this may 10 11 explain why the patient has a natural high understanding of this test. No correlation was 12 found between educational level of patients and their understading of the reason why the 13 test was requested. These results are consistent with the literature, since knowledge acquisition is a complex cognitive process that involves learning, communication and 14 reasoning (2). It has been shown that information comprehension is strictly rational and 15 16 based on the quality of the transfer of information (48). Asking patients whether they 17 understand why the test/procedure was requested makes it possible to check whether they have a rational understanding of the information. 18

19 This loss of understanding is all the more pronounced when patients were asked to assess 20 their level of understanding of the potential treatments, respectively for each 21 test/procedure. The link between undergoing a test/procedure and the impact on the 22 potential treatment affects the patient on a personal level and implies that he or she must 23 decide whether or not to undergo the test/procedure, the results of which will have a

1 repercussion on the potential treatments. The integration of concepts involving technical medical information, health risks and probabilities, which are difficult concepts to process 2 for patients not accustomed to this type of discourse, can be overwhelming, especially 3 when fear comes into play. When patients are faced with complex information that involves 4 5 making a decision, their ability to apply their values and principles may be hindered by 6 their emotions or cognitive interference (12). In such cases, the processing of information and the related decisions are based on more than a rational understanding of the information 7 about the risks, benefits and uncertainty, as the decisions must align with the patient's fears, 8 9 preferences and values. As has been previously observed, the quality of the transfer of information appears to have a direct effect on patient involvement, without being strongly 10 mediated by the rational understanding of the information (48). Thus, understanding why 11 a test/procedure is requested is rational, but understanding the link with the potential 12 treatment calls into play a degree of emotion and cognitive interference that alters the way 13 14 the patient processes the information, which may translate into a loss of understanding.

In this study, it has been shown that the stool test was the test/procedure for which the risk 15 16 of false positives or negatives was most often explained, with only 40% of patients 17 receiving this information. Yet, this risk is real for each of the tests/procedures. A good test may come up normal with patients with the illness (false negatives), and may also come up 18 19 positive with patients who are not ill (false positives). A potential danger of monitoring is 20 getting false positives and the related consequences such as morbidity, unnecessary additional diagnostic tests, invasive procedures and exposure to radiation (6). On the other 21 22 hand, a lack of sensitivity and specificity can result in complications being missed, resulting in patient decline that could otherwise have been avoided. These are the reasons 23

why physicians should take greater care to properly explain the risks of obtaining false
 positives or negatives to patients on the basis of the tests and procedures the patient will
 have to undergo.

4 Among the five tests and procedures used to diagnose and monitor IBD, the general blood test is the most ordered, but also the most refused by patients. This is the test/procedure 5 6 with which patients are the least comfortable. The blood test is also the test that generates 7 the least concern about the results, and the results of which generate the least concern about 8 the illness. The blood and stool tests are the tests/procedures for which explanations about 9 their necessity are provided the least often. The use of blood tests is very common, 10 especially for patients with chronic illnesses, but this test is linked to a fear of needles, 11 which can have serious consequences leading to non-adherence and avoidance of health 12 care (30). The severe form of this fear is a phobia that is characterized by an intense and 13 irrational fear of blood, needles, medical care and injuries (28). A fear of needles affects between 14% and 38% of the adult population, whereas the prevalence of the phobia lies 14 in the 3% to 4.5% range (31). The findings of the study presented here are consistent with 15 16 these data. A total of 36% of patients (74 patients) refused to undergo a blood test. Among 17 them, only 9 refused for reasons such as time, pain, costs, potential risks, side effects, fear of results, test too revealing or confidentiality. Thus, the remaining patients refused the 18 19 blood test for reasons other than those suggested in the questionnaire. It is therefore 20 possible that the fear of needles and blood be the main reason for refusing to undergo the 21 blood test (30). It is also possible that general blood test have been already performed 22 before the patient was referred to the gastroenterologist specialist, explaining that the patient refuse to do it again. The frequency of the blood testing which was requested may 23

explain patients' low level of comfort and compliance. Six patients mentioned cost as a
reason for refusal. Since these tests are mostly covered by the public health system in
Canada, future studies could further explore the costs to patients for blood testing.
Additional studies would be required to better understand the reasons for refusing the blood
test as part of the IBD monitoring process.

6 For its part, the stool test ranks among the least ordered test/procedure and few patients 7 refuse this test. This is the test with which patients feel the most comfortable. As with the 8 blood test, concern about the results was low and the results did not generate too many 9 concerns about the illness. Various laboratory tests are used in screening patients with 10 suspected IBD. These tests make it possible to identify IBD patients who are relapsing or 11 at risk of relapse (22). In the past, laboratory markers were underestimated due to their 12 low specificity. Given that endoscopic assessment is invasive and requires significant resources, identifying biomarkers of an illness' activity becomes an attractive alternative 13 14 (23). Fecal biomarkers can serve as surrogate markers of gut inflammation. (21). For example, fecal calprotectin has become a clinical standard to assess IBD activity, predict 15 16 relapse and monitor response to treatment (16). A study conducted among adolescents has 17 revealed that, on one hand, they tend not to report all of their symptoms and, on the other hand, they are not embarrassed by the idea of collecting their stool (17). Thus, the stool 18 19 test is appropriate and well received by this patient group. Although the study presented 20 here was conducted with an adult population, the findings are consistent with those of the 21 study conducted with adolescents. It indeed appears that the stool test was the test with 22 which patients were the most comfortable and, even though it is the least-often explained by physicians, patients had a very good understanding of the need to undergo this test. 23

1 Colonoscopy, colon biopsy and medical imaging are procedures that are longer to perform and more invasive than a blood or stool test). Generally speaking, procedures raise more 2 concerns about the results, the results increase concerns about the illness and physicians 3 explain the reasons why they are needed to patients more frequently. A colonoscopy is 4 5 often requested and very seldom refused. This is the procedure with which patients are the 6 least comfortable. It is also the one that generates the most concerns about the results and whose results raise the most concerns about the illness. This perhaps explains why, of all 7 the monitoring tools available, this is the procedure for which physicians most often 8 9 provide explanations of why it is needed, the impact it will have on treatments, the inherent invasive nature of the procedure and the inherent potential risks. A colonoscopy is the gold 10 11 standard procedure to assess disease activity but it is invasive and expensive and long to perform (22). For patients, this procedure is demanding, as it requires motivation, planning 12 and preparation (dietary restrictions and the need to take purgatives) (41). In one study, 13 14 70% of respondents indicated they had not received enough information about the procedure, which decreased their comfort during the procedure (29). A study on patient 15 perceptions of the colonoscopy has shown a lack of knowledge about anatomy, the 16 17 procedure and the reason for undergoing a colonoscopy (33). These findings could explain why physicians more often explain to patients why this procedure is needed, as well as the 18 19 impact the results will have on treatment and the potential risks associated with this 20 procedure.

A biopsy during the colonoscopy is often orderded and very seldom refused. This is the procedure for which the reasons why it is needed are the least frequently explained to patients by their physicians and of which the presentation of the results generates the least

1 concern about the illness. Patients nevertheless reported a high level of comfort with this procedure. However, patients with longer duration of disease usually are very concerned 2 about the results of the biopsy because they are at higher risk of cancer. The goal of 3 surveillance with colonosocopy is to reduce mortality and morbidity associated with 4 5 colorectal cancer (CRC) by detecting asymptomatic cancers and premalignant lesions (24). 6 Ananthakrishnan, Cagan (26) have shown that the rate of CRC among IBD patients who had recently undergone a colonoscopy (within the previous 36 months) was lower and the 7 mortality rate was lower for patients diagnosed with a CRC, which underscores the 8 9 importance of adherence to surveillance colonoscopy, as was observed in this present study. Despite the risk of developing CRC, a colon biopsy remains the procedure for which 10 patients receive explanations of why it is needed the least often and for which their 11 understanding of why the procedure is needed is the lowest. 12

Among all of the procedures presented in this study, medical imaging is the least-often 13 14 requested. It is more commonly refused than colonoscopy, colon biopsy, and stool test. This is the procedure for which patient level of comfort is the highest and for which the 15 16 potential risks and the level of invasiveness are the least-often explained to patients. The 17 additional information provided by medical imaging could change therapeutic decisions and have an impact on the clinical course of the illness, particularly in CD where it is most 18 19 often ordered. (27). Medical imaging techniques such as computerized tomography (CT) 20 and magnetic resonance imaging (MRI) are increasingly used in the assessment of IBD 21 (14). A CT scan is an excellent imaging method for IBD, but the radiation doses are 22 considerably higher than with other imaging methods. Given the chronic nature of IBD, patients are at risk of being exposed to an accumulation of potentially harmful ionizing 23

1 radiation throughout their lifetime of medical follow-up, thereby increasing the risk of cancer among a population already at risk. Magnetic resonance imaging and small intestine 2 contrast enhanced ultrasonography therefore emerge as radiation-free alternatives that 3 provide results that compare to those of a CT scan in terms of accuracy (19). No imaging 4 5 technique is perfect, but each method plays a potential role in the assessment of IBD. Each 6 method has its share of risks and benefits, and various aspects such as costs, exposure to radiation, the need for anesthesia and image quality must also be considered (27). One 7 study has shown that patients with aggressive lymphoma experienced high levels of 8 9 anxiety during the period in which they had to undergo routine medical imaging scans to monitor their illness (32). A systematic review of literature has been conducted to better 10 understand the experience of patients as they undergo medical imaging procedures (34). It 11 has been shown that patients frequently have a negative experience that could stem directly 12 from certain aspects of the procedure related to the production of high-quality images, such 13 14 as: MRI noise; exposure to magnetism or radiation; holding one's breath; the use of a contrast medium; and intestinal distention. As these elements help produce high-quality 15 images, it is important that the reasons for these negative aspects be explained to patients. 16 17 Yet, in the findings presented here, this is the procedure about which the fewest number of patients had received information about potential risks and the degree of invasiveness, and 18 19 about which the patient level of comfort was highest.

This study may present certain limitations that could potentially limit the interpretation of the results. First, by virtue of confidentiality restrictions, participant selection was conducted through a questionnaire on the CCC website, which may represent a more motivated and engaged group of respondents. A higher response rate would have provided

1 a larger sample size for broader application of study results. Second, the questions used to determine the reasons patients refused to undergo a test/procedure did not provide any 2 clarifications as to the causes of the refusal, especially for the blood tests. An additional 3 study should be conducted to shed light on the reasons that lead patients to refuse the 4 5 test/procedure. With the latest advances in genomics, medical imaging and regenerative 6 medicine, more precise diagnoses and personalized treatments have now become current fields of research (49). Thus, the development of genetic tests that could result in better 7 diagnosis and patient response monitoring may lead to an improvement of their living 8 9 conditions.

10 5. CONCLUSIONS

In a patient-centered care approach, it is essential to gain a better understanding of patient 11 12 perceptions of diagnostic and monitoring tests and procedures. Acceptance of the test or 13 procedure by patients is essential for them to adhere to the monitoring process. Furthermore, if the anxiety generated by the test/procedure is too great or if the risks 14 15 outweigh the benefits, the patient is at risk of refusing the test or procedure. The present study thus takes a first step in this direction and therefore provides findings that are useful 16 to physicians. First, it has become clear that there is problem with the blood test. This test 17 appears to be trivialized by physicians as few explanations are given to patients, who 18 actually refuse this test in great numbers. The level of patient understanding of this test is 19 20 in fact not very high. By being aware of this problem, physicians are in a better position to 21 prevent patient refusal by modifying their practice, by providing more information about 22 this test and by discussing it with their patients in order to understand their reluctance to 23 undergo this test. In addition, since patients are very comfortable with the stool test, which

1 offers a non-invasive and very revealing monitoring solution, physicians can turn to this test with patients who refuse the blood test. As procedures generate much concern among 2 patients, physicians must take the time to properly explain not only the reasons for these 3 procedures, but also the potential risks and the level of invasiveness of the ordered 4 5 procedure. This study also clearly shows that the risks of having a false positive or negative 6 are not sufficiently communicated to patients. It is therefore important that physicians transfer this information to their patients as part of their practice. Lastly, the theoretical 7 contribution of this study is based on the dissociation between a strictly rational 8 9 understanding of information and the transfer of this information to the patient's medical decision-making process that generates cognitive limitations and emotional factors, which 10 in turn distorts the manner in which the information is processed by the patient. Thus, 11 despite the transfer of information from physician to patient, the latter loses part of this 12 information when the time has come to shift into action and/or make a decision, as the 13 14 emotions, values and fears alter the course of the patient's processing of the information.

15

16 List of abbreviations

17 CCC: Crohn's and Colitis Canada

- 18 CD: Crohn's disease
- 19 CRC: Colorectal cancer
- 20 CT: Computerized tomography
- 21 IBD: Inflammatory bowel disease
- 22 UC: Ulcerative colitis

1 **DECLARATIONS**

- 2 Ethical Approval and Consent to Participate
- The Institutional Ethics Committee of Université Laval approved the study (2013-041 R2/23-09-2015). Informed written consent was obtained from all participants before they
 began the web survey.

6 **Consent for publication**

7 Not applicable

8 Availability of data and material

9 The data used to support the findings of this study are restricted by the ethics committee in
10 order to protect patient privacy. Data are available from the corresponding author for
11 researchers who meet the criteria for access to confidential data.

12 **Competing interests**

The authors declares that there is no conflict of interest regarding the publication of thispaper.

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10 Author's contributions

Conceptualised, conceived, and planned the research project: SV, AB, RK, LV, BWG,
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- 27

28 ADDITIONAL FILE

29 File name

31

32 **Title of data**

- 33 Online Questionnaire: Inflammatory Bowel Disease Patient Perceptions of Diagnostic and
- 34 Monitoring Tests and Procedures.

³⁰ Additional file 1

1 Description of data

This online questionnaire was designed to better understand the concerns raised by the tests/procedures among IBD patients, the transfer of information from the physician to patients and the patients' understanding of these tests/procedures, as well as the rate of prescriptions, the reasons patients refuse to undergo such tests/procedures and the sociodemographic profile of the participants (Additional file 1- Online Questionnaire).