Impact of lysogeny on bacteria with a focus on lactic acid bacteria

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ABSTRACT

Lysogeny is a widespread occurrence in bacteria and represents a highly co-evolved adaptive state between bacteriophages and their hosts. Different lysogenic conversion phenomena have been described for various bacterial species. This review outlines recent progress on the

15 molecular analysis of bacteriophage lysogenic conversion, immunity systems, temperate phageencoded phage resistance, as well as transduction. Since phage-host interactions in lactic acid bacteria (LAB) are commercially relevant and have received a lot of scientific attention in recent years, a special focus will be given to the impact of lysogeny in LAB.

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1. INTRODUCTION TO LYSOGENY

Following infection of their host temperate bacteriophages have to make an important decision with respect to the particular pathway they will follow. One option is to grow lytically during which the host cell is completely reprogrammed to facilitate multiplication of the infecting phage. This ultimately results in cell lysis and release of progeny phages. Exceptions to this are the filamentous phages, which are extruded into the surrounding media without causing cell lysis. In contrast, and representing the alternative choice to the lytic option, some phages enter the so-called lysogenic pathway to essentially become dormant. Lysogeny, which was discovered

- 15 independently by Bail and Border [1925], occurs when (1) a phage genome integrates either at a specific site (e.g., λ) [Ptashne, 1992] or at a random site (e.g., Mu) [Howe, 1987] into the host chromosome to form a prophage, which replicates as part of this chromosome, or when (2) the phage genome is maintained in the form of a plasmid as one of the host's replicons (e.g., P1) [Yarmolinski and Sternberg, 1988]. A cell, which carries a temperate bacteriophage, is referred to as a lysogen, while a cell carrying multiple prophages is called polylysogenic [Birge, 2000;
- 20 as a Tysogen, while a cell carrying multiple prophages is called polylysogenic [Birge, 2000, Chopin *et al.*, 2001; Casjens, 2003]. Thus, a lysogenic bacterium or lysogen habors and transmits the genetic property to produce bacteriophages [Lwoff, 1953].

The lysogenic state is the result of a highly co-evolved adaptive process between bacteriophages and their hosts in nature. Some of the evolutionary factors that favor lysogeny over the lytic pathway can be summarized as follows: (i) self-extinction of virulent phage-host systems; (ii) advantages to the host, such as superinfection immunity, phage exclusion, and antibiotic resistance; (iii) general increase in cellular fitness; and (iv) phage propagation at low host cell densities [Paul & Kellogg, 2000]. It is therefore not surprising that lysogeny is widespread in nature. Classically, two criteria are required for the identification of lysogeny: (i) the lysogen can be cured of its prophage; (ii) the cured derivatives can be relysogenized by the same phage [Lwoff, 1953]. However, lysogeny should be distinguished from pseudolysogeny, which is a phenomenon where a bacterial strain has a chronic phage infection and where the viral DNA is not integrated into any of the host's replicons. Pseudolysogeny is also called "carrier state" and usually occurs when either the phage-host system is a mixture of sensitive and resistant cells, or a mixture of prophage-containing cells and virulent phages [Paul & Kellogg, 2000].

Lysogeny occurs extensively in a wide variety of genera and species, such as *Bacillus cereus*[IvÅnovics et al., 1974], *Bacillus subtilis* [Osburne and Sonenshein, 1976], *Bordetella* [Holzmayer et al., 1988], *Bukholderia cepacia* complex [Langley et al., 2003], *Klebsiella pneumoniae* [Satta et al., 1978], *Lactococcus* [Jarvis, 1989], *Lactobacillus* [Stetter, 1977], *Leuconostoc oenos* [Arendt et al., 1991], *Pasteurella* [Lawton and Molnar, 1972],

Propionibacterium freudenreichii [Gautier et al., 1999]. Pseudomonas aeruginisa [Vaca-Pacheco

- *et al.*, 1999], *Mycoplasma arthritidis* [Voelker and Dybvig, 1998], *Rhizobium trifolii* [Barnet and Vincent, 1970], *Salmonella typhimurium* [Mirold *et al.*, 1999], *Selenomonas ruminantium* [Cheong and Brooker, 1998], *Staphylococcus aureus* [Żabicka *et al.*, 1993], *Streptococcus thermophilus* [Josephsen and Neve, 1998], group A Streptococci [Johnson *et al.*, 1980], *Shigella flexneri* [Kėtyi, 1974], Streptomycetes [Greene and Goldberg., 1985], *Vibrio cholerae* [Faruque
- *et al.*, 1998; Waldor and Mekalanos, 1996], *Vibrio mimicus* [Faruque *et al.*, 1999], *Xanthomonas campestris* pv. *citri* [Cheng *et al.*, 1999], and *Yersinia enterocolitica* [Tsubokura *et al.*, 1982], Surprisingly, only a small number of reviews are available on the prevalence of lysogeny [Lwoff, 1953; Bertani, 1958; Davidson *et al.*, 1990; Bertani, 2004].
- In addition to the traditional identification of lysogeny prohages have also been identified 20 through bacterial genome analysis. Prophages can be present in varying levels of completeness, such as inducible prophages, defective prophages that appear to have suffered from deletions, insertions, and rearrangements, and prophage remnants that have lost most of their genome. Recent microbial and prophage genomics revealed that prophages can constitute as much as 10-20% of a bacterium's genome, while the majority of sequenced bacterial genomes do appear to
- 25 possess bacteriophage-derived DNA (Casjens, 2003). In fact, prophage-containing genomes are more the rule than the exception in bacteria. It is also common for bacterial chromosomes to contain multiple prophages, which may even constitute a sizable part of the total bacterial DNA. For instance, the food pathogen *E. coli* O157:H7 strain Sakai contains as many as 18 predicted prophage-derived elements, which amount to 16% of its total genome content. Many prophages
- 30 appear to be defective, non-inducible and in a state of mutational decay [Casjens, 2003; Canchaya et al., 2003 & 2004]. However, many genes in defective prophages remain functional and confer properties to the host or represent DNA reservoirs for virulent phage recombination [Labrie &

Moineau, 2007]. This review will focus on the impact of lysogeny on bacteria, with an emphasis on lactic acid bacteria (LAB).

2. LYSOGENIC CONVERSION

5 2.1. Different lysogenic conversion phenomena

In a lysogenic strain, certain prophage-derived genes may contribute to the phenotype of the cell, being unrelated to the phage immunity system. Such a phenomenon, which is brought about through lysogenization by a normal temperate phage, is called lysogenic conversion or phage conversion [Madigan *et al.*, 2000].

- Different lysogenic conversion phenomena have been described for various bacterial species. These phenomena include production of toxins or other virulence factors [Table 1: Miao & Miller, 1999], and modification of phage receptors at the cell surface of lysogens (Table 2). Toxins or other virulence factors encoded by temperate bacteriophages may broaden the host range of lysogens and thus increase their fitness in a particular environmental niche by promoting
- 15 evasion of host immunity systems [Brüssow *et al.*, 2004]. For example, certain *Streptococcus pyogenes* strains benefit from host-mediated induction of a toxin-encoding temperate phage, which results in its conversion from a Tox⁻ microorganism into a Tox⁺ variant [Broudy & Fischetti, 2003]. In some Gram-negative bacteria, the O antigen, a component of the bacterial lipopolysaccharide (LPS) present on the outer membrane of the cell, can be modified by
- 20 prophages. Such serotype conversion by temperate phages is a very important virulenceenhancing mechanism for many pathogens. Such conversions may also provide a safeguard, additional to that of cytoplasmic immunity, by preventing the adsorption of other phages to the host cells if the altered cell envelope component is required for the infection process.
- Other documented phage conversions include production of antibiotics [Martinez-Molina &
 Olivares, 1979; Lawton & Molnar, 1972], production of lipoproteins interacting with phage receptors [Vostrov *et al.*, 1996; Rybcin, 1984], production of phospholipase A [Ivånovics *et al.*, 1974], and the appearance of specific outer membrane proteins [Verhoef *et al.*, 1987; Schnaitman *et al.*, 1975; Pacheco *et al.*, 1997]. Moreover, Bor and Lom are representatives of yet another example of lysogenic conversion, by providing a phage-encoded protection from host immune
- 30 defences [Barondess & Beckwith, 1995; Pacheco *et al.*, 1997]. Interestingly, the temperate bacteriophage Gifsy-1-encoded protein GogB was reported to act as a modular lysogenic factor

displaying autonomous expression and type III secretion with respect to other Gifsy phageencoded factors [Coombes *et al.*, 2005].

As opposed to providing benefits to the host, lysogenic conversion can also lead to loss of properties in lysogens [Canchaya *et al.*, 2002; Maurelli *et al.*, 1998; Lee and Iandolo, 1986;

5 Mason and Allen, 1975]. Well characterized cases are the lipase- and the β-toxin-negative phenotype due to the integration of prophage L54a and phi13, respectively into the *S. aureus* genome [Coleman *et al.*, 1991]. In this context it should be noted that tRNA genes represent prominebt genetic targets for prophage integration. However, many phage attachment sites carry the necessary DNA sequences to reconstitute a functional tRNA after prophage integration into the tRNA gene, which would suggest that loss of tRNA genes results in reduced fitness of the lysogen. Nevertheless, not all prophage integration events reconstitute a functional tRNA gene

[Ventura *et al.*, 2003].

Host	Toxin or other	Phage	Reference
11000	virulence factors	designation	iterenee
Clostridium	Botulinum toxin C1	uesignution	Inoue and Iida, 1971
botulinum	and D		
Corynebacterium	Diphtheria toxin	ß-phage	Groman, 1984
diphtheriae	- 'P'''''''''''''''''''''''''''''''''''	- F8-	
E. coli	Shiga-like toxin-1	H19A	Strockbine et al., 1986;
	and -II	-	Sjogren et al.,1994
E. coli	Bor	λ	Barondess & Beckwith, 1995
E. coli	Lom	λ	Pacheo et al., 1997
Pseudomonas	Cytotoxin	ΦCTX	Hayashi <i>et al.</i> , 1993
aeruginosa			
Streptococcus	Pyrogenic exotoxin	T12,	Johnson et al., 1986; Yu &
pyogenes	A and C	3GL16	Ferretti, 1991
S. pyogenes	Erythrogenic	CS112	Goshorn & Schlievert, 1989
	exotoxin C		
Staphylococcus	Enterotoxin A and	Ф42, ФА1,	Coleman et al., 1989
aureus	staphylokinase	ΦΑ3, Φ13	
S. aureus	Leucocidin		Van der Vijver et al., 1972
S. aureus	Exfoliative toxin A	φ-ZM-1	Yoshizawa et al. 2000
Salmonella	SopE	SopEΦ	Mirold et al., 1999
typhimurium	-	-	
S. typhimurium	SodC	Gifsy-2	Figueroa-Bossi & Bossi,
			1999
Vibrio cholerae,	Cholera toxin (CT)	СТХФ	Waldor & Mekalanos, 1996;
Vibrio mimicus			Faruque et al., 1999
Vibrio cholerae	Toxin-coregulated	VPIΦ	Karaolis et al., 1999
	pilus and CTX Φ		
	receptor		

Table 1. Examples of toxins or other virulence factors encodedby temperate bacteriophage

Host	Modifications of phage	Phage	Reference
	receptors	designation	
Klebsiella	Receptor for coliphage T7	AP3	Pruzzo et al., 1980
pneumoniae			
Pseudomonas	O-antigen	FIZ15	Vaca-Pachcco et al.,
aeruginosa			1999
P. aeruginosa	Acetylated fucosamine	D3	Kuzio & Kropinski,
	residue and modified		1983
	binding between		
	trisaccharide repeating		
	units of O-antigen		
Rhizobium	O-antigen	Φ7, Φ7cr, Φ8	Barnet & Vincent,
trifolii			1970
Salmonella	O-antigen with ß-	\mathbb{C}^{15}	Losick, 1969 ¹⁰
anatum	galactosyl linkage		
S. typhimurium	O-acetylation of the	ФАЗ, Фа4	Wollin et al., 1987
V 1	rhamnosyl residue of O-		
	antigen		
Shigella flexneri	Glucosylated O-antigen	ФІ, ФРЕ5,	Kétyi, 1974
		Φf7,8	

Table 2. Modifications of phage receptors by lysogenic conversion

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2.2. Lysogeny and lysogenic conversion in lactic acid bacteria (LAB)

20 Most investigations of lysogeny in LAB involved screening strains for lysis due to phage induction following treatment with UV or mitomycin C, even though spontaneous release of temperate phages is also common [Baldwin & McKay, 1987; Christiansen *et al.*, 1994; Husson-Kao *et al.*, 2000].

25 2.2.1. The extent of lysogeny in LAB

The occurrence of lysogeny among *Lactococcus lactis* was first demonstrated by Reiter in 1949 [Reiter, 1949]. It was later shown that lysogeny is in fact widespread in this species. More than 300 strains of *L. lactic* subsp. *lactis* and *cremoris* have been reported to be lysogenic [Davidson *et al.*, 1990; Jarvis, 1989] and some are even polylysogenic [Jarvis *et al.*, 1992].

30 Chopin *et al.* [2001] described the genetic organization of six prophages present in the genome of *L. lactis* IL1403. The three larger prophages (36-42 kb) belong to the so-called P335 group of temperate phages, whereas the three smaller ones (13-15 kb) were proposed to be satellites, relying on helper phage(s) for multiplication because they lack genes required for phage

morphogenesis and lysis of the host cell. In a similar study by Ventura *et al.* [2007] it was recently shown that *L. lactis* subsp. *cremoris* strains MG1363 and SK112 harbor six and five prophage-like elements, respectively, all of which could be assigned to the P335 group of temperate phages. Among these 11 prophages four possible satellite phages were proposed, although they could also represent remnant prophages.

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Lysogeny in the genus *Lactobacillus* was first described by Coetzee & de Klerk [1962]. Later, several authors reported that the frequency of lysogeny ranged from 20% to 81% in the *Lactobacillus* strains tested [deKlerk & Hugo, 1970; Yokokura *et al.* 1974; Tohyama *et al.*, 1972; Stetter, 1977]. This difference in frequence is likely explained by the diversity in this genus, which contains at least 106 validly recognized species [Felis and Dellaglio, 2007]. Nontheless, lysogeny appears to be common among lactobacilli, and this notion was further supported by a recent genome-based prophage analysis of three different *Lactobacillus* species, where it was also shown that a *Lactobacillus salivarius* strain contains multiple prophages [Ventura *et al.*, 2006].

- In contrast to lactococci and lactobacilli, lysogeny appears to be rare among the LAB species *S. thermophilus* [Le Marrec *et al.*, 1997; Josephsen & Neve, 1998]. However, three temperate *S. thermophilus* phages have been investigated at the molecular level, ΦO1205 [Stanley *et al.*, 1997], TP-J34 [Neve *et al.*, 1998] and ΦSfi21 [Desiere *et al.*, 1998], whose genome sequence is elther fully (ΦO1205 & ΦSfi21) or partially (TP-J34) available.
- Arendt *et al.* [1991] reported a lysogeny frequency of 63% among 30 *Leuconostoc oenos*(now *Oenococcus oeni*) strains investigated. More recently, Poblet-Icart [1998] described that 45% of 167 *O. oeni* strains were inducible with mitomycin C. These data indicates that lysogeny is also widespread in this species. To date, no published data on lysogeny is available for other LAB spicies.

25 2.2.2. Lysogenic conversion in LAB

Lysogenic conversion by temperate phage has only been reported for two lysogenic LAB strains, i.e., *Lactobacillus delbrueckii* subsp. *bulgaricus* LT4 [Cluzel *et al.*, 1987] and *S. thermophilus* J34 [Neve *et al.*, 1998 & 2003; Sun *et al.*, 2002]. The first strain harbours a prophage designated mv4. This phage confers three distinctive phenotypes on lysogenized cells:

(i) a superinfection immunity phenomenon against phage mv4 and other phages related to mv4,
 (ii) a modification of the phage sensitivity against virulent phages, (iii) a modification of the colony morphology on agar plates. *S. thermophilus* J34 is lysogenic for a temperate phage

designated TP-J34, which mediates a conversion phenotype as streptococcal lysogenized cells grow homogeneously, while prophage-cured derivatives have a sedimenting/clumping phenotype [Neve et al., 2003; Sun et al., 2002 & 2006].

5 **3. IMMUNITY AND OTHER PHAGE-ENCODED PHAGE EXCLUSION SYSTEMS**

3.1. Superinfection immunity systems of bacteriophages

In the lysogenic state, prophages synthesize repressors and often additional regulators, which block the lytic pathway while also making the lysogen immune to superinfection by phage that employ homologous regulators. This phenomenon is referred to as superinfection immunity [Roberts et al., 2007]. This phage immunity system operates either through the binding of repressor proteins or through RNA-RNA interactions, or both. Repressor proteins prevent transcription initiation at key promoters, which are required for lytic gene expression. RNA-RNA interactions modulate translation of certain mRNA through base-pairing with their corresponding ribosomal binding sites, thereby preventing efficient translation initiation. RNA-RNA interactions may also end the transcription prematurely through the formation of terminator-like structures. So far, several types of phage-encoded superinfection immunity systems have been reported and some of them will be discussed below.

Some phages possess only one immunity region. In this type, immunity is elicited by a repressor protein, which can bind to an operator, thereby preventing the transcriptional initiation 20 at a promoter controlling the expression of lytic functions. This repressor also neutralizes the lytic pathway of homologous phages infecting a lysogen (this homology specifically pertains to the DNA-binding region of repressor; homologous phages that encode non-homologous repressors will not be subject to immunity through this mechanism). This simple model seems to predominantly operate in phages lambda [Ptashne, 1992], Mu, P2 [Bertani & Six, 1988] and L5 of Mycobacterium spp. [Brown et al., 1997].

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A second more complex immunity is based on two additional components. First an antirepressor blocks the expression of the repressor described above, and an additional repressor called anti-immunity repressor inhibits expression of the antirepressor. Such a system is found in phages of P1, P22 [Shearwin et al., 1998], 186 [Lamont et al., 1989], and 105 [Dhaese et al.,

30 1985]. For instance, phage P1 contains a bipartite immunity system, consisting of two repressors specified by different immunity determinants. The *c1* repressor gene, located within the *imm*C region, maintains the lysogenic state by directly repressing the transcription of key lytic genes,

while the *c4* locus, positioned within the *imm*I region, prevents the expression of the antirepressor, which is encoded by the *ant* gene located to the right of *c4*. The *c4* locus specifies a small RNA that prevents *ant* expression by eliciting premature transcription termination of *ant*, thereby sustaining lysogeny maintenance [Biere *et al.*, 1992]. The *c4* mRNA may also convey

- 5 immunity by preventing expression of a homologous antirepressor gene from a superinfecting phage [Sternberg & Hoess, 1983]. The immunity system of *Salmonella typhimurium* phage P22 also consists of two immunity regions: the *imm*C region, which contains the *c2* repressor gene, and the *imm*I region, which harbors the *mnt* repressor gene. The c2-repressor plays a primary role in the P22 immunity system while the mnt-repressor appears to play an auxiliary role in preventing the expression of the *ant* gene, whose product, the antirepressor, interferes with the synthesis or activity of the c2-repressor [Levin, 1972]. Phage 186 codes for a similar repressor antirepressor system. However, the anti-immunity repressor of 186 is not phage-encoded but is the host *lexA* gene product [Lamont *et al.*, 1989].
- The genetic switch of the *Rhizobium meloloti* temperate phage 16-3 has been mapped to three distinct regions: the *immC*, *immX*, and *averT* regions. The *immC* region codes for a typical repressor flanked by operators. The *immX* region encompasses two parts: X_{U/L} and X_V. The X_{U/L} part contains two overlapping genes, X_U and X_L, which code for proteins pXU and pXL, respectively. Activation of either X_U or X_L gene inactivates the repressor function specified by the *immX* region. The X_V part contains a target for X_{U/L} repression action. So far little is known about the function of the third region *averT* [Csiszovszki *et al.*, 2003].

Another superinfection immunity type is represented by *E. coli* phage P4 [Lindqvist *et al.*, 1993]. This immunity system is distinguished from the above model in that (a) the P4 immunity factor is not a protein but a short, stable RNA (cI RNA) containing *seqB*; (b) the expression of the prophage replication operon is prevented by premature transcription termination rather than by
repression of transcription initiation [Forti *et al.*, 1999]; (c) transcription termination is controlled via an RNA-RNA interaction between the CI RNA and two complementary target sequences *seqA* and *seqC*, located upstream and downstream of *cI*; and (d) the cI RNA is produced by processing of the same transcript it controls [Pizza *et al.*, 1996]. Phage P4, which was originally isolated from *E. coli* K-235, does not contain the genetic material to code for its capsid and tail

30 proteins: these functions need to be provided by a so-called helper phage, such as P2. When phage P4 infects a sensitive *E. coli* host harboring the helper phage P2, it may enter either the lysogenic or the lytic pathway. However, in the absence of the helper phage, phage P4 may exist

either as a silent prophage integrated into the chromosome of the host cells, or as a multicopy plasmid. The retronphage phi R73 also uses an immunity mechanism similar to that of P4 [Sabbattini *et al.*, 1996]. The anti-immunity system of *E.coli* phage-plasmid N15 is also structurally and functionally similar to the immunity system of phage P4 [Ravin *et al.*, 1999] and

- 5 anti-immunity system of bacteriophage P1 [Scott *et al.*, 1978]. The bacteriophage sfV of *Shigella flexneri* [Allison *et al.*, 2002] contains a newly identified superinfection immunity region. This region not only produces a "typical" cI RNA but also encompasses *orf*77, which is located immediately downstream of the transcription termination region and inhibits the establishment of cI RNA-mediated immunity [Roberts *et al.*, 2007].
- 10 Bacteriophage HK022 protects lysogens from superinfection through the production of a sequence-specific RNA-binding protein that prematurely terminates nascent transcripts of infecting phage [Weisberg *et al.*, 1999]. This represents an RNA-based mechanism that terminates early transcription of bacteriophage genes. Besides the four superinfection immunity types summarized above, Cheng *et al.* [1999] proposed yet another superinfection immunity
- 15 model found in bacteriophage cf, which is a temperate filamentous single-stranded DNA phage isolated from *Xanthomonas campestris* pv. *citri* [Dai *et al.*, 1980]. The superinfection immunity of phage cf is shown to be mediated by a complex regulatory system that involves two counter-transcribed regulatory RNAs (cM1 and cM2) and a predicted repressor protein (ORF165). In this model, two hypothetical mechanisms were proposed to explain the control of the lytic-lysogenic switch. First, cM1 RNA could act as an enhancer of transcription of the major cf genes while the
- predicted repressor protein could direct its lysogenic effect through interactions with either cf DNA or cM1 and cM2 RNAs.

3.2. Other phage-encoded phage resistance mechanisms derived from bacteriophages

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In the lysogenic state, it is not uncommon for prophage to specify additional functions which, by mechanisms unrelated to superinfection immunity, also prevent development of superinfecting phages. These prophage-encoded phage resistance systems do not play a key role in maintaining the lysogenic state and generally are not specific for homologous phage. For the purpose of this review they are summarized here as superinfection exclusion, restriction-modification, lysogenic

30 conversion, and abortive infection even though conflicting definitions on their mode of action have appeared in the literature. Their conceptual and mechanistic differences are outlined in the following sections. Lytic phages, which encode proteins to provide host cells with phage resistance (lytic conversion), have also been described [Decker *et al.*, 1994; Lu & Henning, 1989]. Table 3 lists gram-negative bacteriophage-encoded proteins specifying phage resistance.

5 **3.2.1. Superinfection exclusion**

Superinfection exclusion is defined here as a resistance system that blocks the DNA injection process of a host cell-adsorbed (superinfecting) phage. To date, two temperate phage-encoded proteins (Table 3), represented by Sim and SieA, have been reported to confer phage resistance to gram-negative lysogens by this mechanism. Furthermore, the Glo protein encoded by the

- 10 temperate *Vibrio cholerae* bacteriophage K139 was described to participate in phage exclusion at an early step infection [Nesper *et al.*, 1999]. In addition, two proteins of the virulent phage T4, named Imm and Sp, were also demonstrated to exclude other phages by direct or indirect inhibition of DNA injection. The Imm protein is produced within 1 to 2 min after infection of *E*. *coli* cells, blocks DNA transfer across the plasma membrane and partially inhibits the release of
- 15 DNA from a superinfecting virion.

A *cor* orthologue from lambdoid phage mEp167 (cor_{mEP167}) accounts for the exclusion of FhuA-dependent lambdoid phages. This exclusion mechanism also appears to aperating at the level of phage DNA entry, as the phage adsorption, the production of phage particles after phage DNA transfection or the spontaneous induction of lysogens were shown to be unaffected in Cor⁺

20 cells [Uc-Mass *et al.*, 2004].

3.2.2. Restriction-modification (R-M system)

Restriction and Modification systems degrade superinfecting phage DNA. In a recent article Black and co-authors described the newly discovered GmrSD system [Bair *et al.*, 2007]. In this system the *E. coli* isolate CT596 excludes infection by the Myoviridae T4*ip1*⁻ phage that lacks the encapsidated IPI* protein normally injected into the host with phage DNA. Two proteins (GmrS and GmrsD) that are encoded by a cryptic prophage DNA form the enzyme GmrSD and degrade the superinfecting T4 phage lacking the encapsidated IPI* protein. More interestingly, this exclusion can be specifically overcome by the T4 IPI* protein.

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3.2.3. Lysogenic conversion

As indicated above, lysogenic conversion can prevent adsorption of superinfecting phage to the host cell by modifying phage receptors [Kuzio & Kropinski, 1983; Wollin et al., 1987] or by interacting with phage receptors [Vostrov et al., 1996; Rybcin, 1984]. The mechanism of glycosyltransferase-mediated lysogenic/antigenic conversion has been well studied in Shigella 5 Flexneri [Adams, 2001; Allison and Verma, 2000]. The bacteriophage-encoded factors involved in O-antigen modification in S. flexneri are O-acetyltransferses or glycosyltransferases. During lysogeny, the phage Sf6 expresses an O-acetyltransferase responsible for the conversion of unmodified serotype to serotype 3b. Other bacteriophages like Sf1, SfII, SfV, SfX, and the assumed cryptic SfIV express glycosyltransferases responsible for the conversion of the 10 unmodified serotype Y to serotype 1a, 2a, 5a, X, and 4a, respectively [Markine-Goriaynoff et al., 2004]. The biological functions of serotype-converting glycosyltransferases encoded by proophages are diverse. Because they modify the O-antigen polysaccharide chains during lysogeny, and because these structures constitute the phage receptor; this mechanism provides to the infected bacteria an immunity against superinfection by other related phages [Vander Byl &

15 Kropinski, 2000; Allison et al., 2002].

3.2.4. Lytic conversion

Virulent phages have also been shown to encode proteins that provide phage resistance to host cells [Decker *et al.*, 1994; Lu & Henning, 1989]. A lipoprotein (LIp) which is encoded by
lytic phage T5, blocks the phage receptor FhuA, and, thus, prevents phage T5 adsorption [Decker *et al.*, 1994]. The mechanism is thought to limit inactivation of progeny phages by cell wall fragments from the lysed cells.

Table 3. Gram-negative bacteriophage-encoded genes participating in phage resistance

Bacteriophage	Gene	Molecular weight	Phage resistanse mechanism	Cellular location	Source
<i>E. coli</i> phage P1	sim (orfl)	9.3	Superinfection exclusion	Periplasm	Kliem & Dreiseikelmann, 1989
<i>E. coli</i> phage P1	sim (orf2)	29.5	Superinfection exclusion	Periplasm	Kliem & ⁵ Dreiseikelmann, 1989; Maillou & Dreiseikelmann, 1990
<i>E. coli</i> phage P2	old	65.4	Abortive infection (degradation of foreign DNA)	Unknown	Lindahl <i>et al.</i> , 1970; Myung & Calendar, 1994
<i>E. coli</i> phage λ	rexB	16.0	Abortive infection	Unknown	Weigel et al, 1973
<i>E. coli</i> phage e14	lit	34	Abortive infection (inhibition of translation)	Inner membrane	Bingham <i>et al.,</i> 2000; Kao & 0 Snyder, 1988
<i>E. coli</i> phage T4 (virulent)	imm	9.3	Superinfection exclusion	Inner membrane	Lu & Henning, 1989
<i>E. coli</i> phage T4 (virulent)	sp	11.0	Superinfection exclusion	Inner membrane	Lu & Henning, 1994; Obringer <i>et</i> <i>al.</i> , 1988
<i>E. coli</i> phage Φ80	cor	10.4	Lysogenic conversion (adsorption inhibiting)	Unknown	Vostrov <i>et al.</i> , 1996; Matsumoto <i>et al.</i> , 1985
<i>E. coli phage</i> mEP	<i>COr</i> _{<i>mEP167</i>}	Unkonown	Superinfection exclusion	Unknown	Uc-Mass <i>et al.</i> , 2004
Salmonella typhimurium phage P22	sieA	18.8	Superinfection exclusion	Inner membrane	Hofer et al., 1995
Salmonella typhimurium phage P22	sie B	22.4	Abortive infection	Membrane	Ranade & Poteete, 1993
Vibrio cholerae phage K139	glo	13.6	Superinfection exclusion or lysogenic conversion	Periplasm	Nesper <i>et al.</i> , 1999 20

25 **3.2.5.** Abortive infection

Abortive infection results in death of infected host cells and the parallel disruption of phage development via different mechanisms [Snyder, 1995; Chopin *et al.*, 2005].

In addition to *sieA*, the temperate phage P22 of *Salmonella typhimurium* specifies another exclusion system, *sieB*, which aborts lytic development of some superinfecting phages [Susskind

et al., 1974; Ranade & Poteete, 1993]. The gene *sieB* encodes a 22.4 kDa protein, which is expected to be located in the membrane according to the deduced amino acid sequence. This gene is also conserved in phage lambda. In addition, *rex* of phage lambda and *old* of P2 have physiological similarities to the *sieB*-encoded system, and in all cases interference is accompanied by an abrupt cessation of DNA, protein, and RNA synthesis, causing death of the infected cell [Groman & Rabin, 1980]. However, the Rex defense system acts through a two-component mechanism. The RexA protein activates the formation of ion channels and the depolarization of the cytoplasmic membrane by RexB, a protein with four transmembrane domains. The Rex system has a large spectrum of activity as it aborts lytic growth of phages T1, T4, T5, and T7 [Duckworth *et al.*, 1981; Parma *et al.*, 1992; Schinedling *et al.*, 1987].

The Lit (Late Inhibitor of T4) protein is a protease encoded by the defective prophage e14 in *E. coli* K-12. Following T4 infection of a Lit-containing cell, the translation elongation factor (EF-TU) is specifically cleaved by an activated Lit, resulting in the inhibition of translation [Bergsland *et al.*, 1900; Bingham *et al.*, 2000; Georgiou *et al.*, 1998; Yu and Snyder, 1994].

15 An interesting abortive infection mechanism was identified from the investigation of a phage T4-encoded inhibitor of DNA restriction [for a review, see Kaufmann, 2000]. Indeed, some *E. coli* strains carry the *prr* locus which prevents the infection of phage T4 [Chapman *et al.*, 1988; Levitz et al., 1900; Meidler *et al.*, 1999]. The prr element carries a gene (*prrC*) that encodes a latent anticodon nuclease, specific for the host lysine tRNA. After T4 infection, the ribonuclease

20 enzyme is activated (by the T4 product stp) and cleaves the tRNA^{Lys} in the wobble position of the anticodon loop and thereby blocks the translation and the phage development.

3.3. Immunity systems and other phage-encoded phage resistance mechanisms of LAB phages

25 **3.3.1. Immunity systems**

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In contrast to bacteriophages of gram-negative bacteria, very little is known about the immunity systems of LAB bacteriophages. This is partially attributed to the fact that the genetics and molecular biology of LAB bacteriophages lags behind that of models of Gram-negative bacteriophages. Nevertheless, several phage genes conferring immunity to LAB against its infecting phages have been characterized. Among these, the repressor-encoding gene (*cI*) of *Lactobacillus casei* temperate phage A2 [Garcia *et al.*, 1999; Ladero *et al.*, 1998] is well investigated. The 224-residue repressor of the phage A2 possesses DNA binding and RecA-

mediated autocleavage motifs, is expressed during lysogeny, and confers superinfection immunity to the host. The gene product CI (25.3 kDa) has been purified, and it binds specially to a 153-bp DNA fragment that contains two divergent promoters mediating transcription from cI and a putative *cro*, respectively. Furthermore, this protein can selectively interact with three

- 5 operator sites. These data indicate that the CI protein of phage A2 regulates the lytic and lysogenic pathway in a way similar to that of the CI protein of *E. coli* phage lambda. Another well-characterized repressor gene (*rad*) is from *Lactobacillus gasseri* temperate phage Φadh [Engel *et al.*, 1998]. The gene product Rad (12.1 kDa) functions as a repressor of *rad* and *tec* transcription, and confers immunity against superinfecting phage Φadh.
- In *L. lactis*, the repressor-encoding gene *orf4* and putative *cro*-like gene (*orf5*) of lactococcal phage TP901-1 were demonstrated to be the components of the genetic switch [Madsen *et al.*, 1999]. ORF4 (180 amino acids) can repress early phage promoters P_R and P_L and confers immunity to the *L. lactis* host strain against TP901-1. ORF5 is an anti-repressor as it is able to counteract repression of the lytic promoter P_L by ORF4. Interestingly, CI/ORF4 does undergo oligomerization and, despite lacking the RecA-dependent autoproteolytic site, TP901-1 is inducible by mitomycin C [Johansen *et al.*, 2003].

The regulatory region of the temperate *L. lactis* bacteriophage r1t encompasses the two divergently oriented genes *rro*, which is encoding the phage repressor, and *tec* (topologically equivalent of *cro*). Both genes, of which the transcription start sites have been mapped, are preceded by consensus -35 and -10 promoter sequences. The regulatory region contains three 21 bp direct repeats with internal dyad symmetry which act as operators. A *lacZ* gene was translationally fused with an open reading frame following *tec*. Expression of the *lacZ* fusion could be induced 70-fold by the addition of mitomycin C at a concentration, which promotes the switch of r1t from the lysogenic to the lytic life cycle [Nauta *et al.*, 1996]. In a subsequent work thermolabile repressor mutants of *rro* were constructed for the controlled production of proteins in *L. lactis* [Nauta *et al.*, 1997].

The DNA-binding pattern appears to be conserved among repressors of lactococcal and streptococcal phages. This binding pattern can be exemplified by the repressor CI_{2009} of temperate lactococcal bacteriophage Tuc2009 [van de Guchte *et al.*, 1999], which binds to three inverted repeats, two within the intergenic region and one within the *cro₂₀₀₉* gene [Kenny *et al.*, 2006]. Binding sites for various repressors of LAB bacteriophages have been shown to vary in

number and position [Blatny *et al.*, 2001; Johansen *et al.*, 2003; Nauta *et al*, 1996; Boyce *et al.*, 1995; Bruttin *et al.*, 2002; Kakikawa *et al.*, 2000; Kenny *et al.*, 2006].

In most temperate LAB phages, a CI vs. Cro-type genetic switch seems to be in operation where these two proteins compete for binding to the operators, some of whom overlap crucial promoter sequences. However, exceptions to this genetic switch mechanism exist. The two regulatory proteins of phage Sfi21 interact directly, while the switch region of the *Lactobacillus gasseri* temperate phage Φadh deviates from the analogous region of other temperate LAB phages because of the presence of convergent promoters [Engel *et al.*, 1998].

The temperate *S. thermophilus* phage Sfi21 and TP-J34 and some other LAB phages contain,
in addition to putative *cI*-like and *cro*-like genes, a putative antirepressor-encoding gene showing significant homology to the antirepressor gene of phage P1. Therefore, these phages might code for a common immunity system, which bears similarity to those of phage P1 and P22 [Sternberg & Hoess, 1983].

In conclusion, it seems that many bacteriophages of gram-positive and gram-negative bacteria share similar immunity systems that represent components of their genetic switch. This similarity is probably due to the evolutionary success of this regulatory switch, which appears to have been acquired or retained by various temperate bacteriophage lineages, being facilitated by their simple and modular genetic lay-out.

20 **3.3.2.** Other phage-encoded phage resistance mechanisms

In *Lactococcus lactis*, many naturally occurring phage resistance mechanisms have been identified in wild-type strains [Allison & Klaenhammer, 1998]. These mechanisms are often plasmid-encoded and can be classified into four categories, (i) interference with phage adsorption, (ii) prevention of phage DNA injection, (iii) restriction and modification (R/M) systems which degrade incoming DNA molecules, (iv) abortive infection, encompassing a range of mechanisms, any of which may disturb phage development at any time after phage DNA injection. In this section, we will focus on phage-encoded phage-resistance mechanisms found in LAB.

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Phage	Gene	Mol Mass	Phage-resistance	Cellular	Reference
Thage	Gene		C		Reference
		(kDa)	mechanism	location	
S. thermophilus	ltp	15.8	Superinfection	Cytoplasmic	Sun et al. 2006
phage TP-J34	_		exclusion	membrane	
S. thermophilus	orf203	21.9	unknown	unknown	Bruttin et al. 1997
phage Sfi21					
L. lactis phage	sie2009	18.7	Superinfection	Cytoplasmic	McGrath et al. 2002
Tuc2009			exclusion	membrane	10
L. lactis prophage	sieIL409	18.2	Superinfection	Cytoplasmic	McGrath et al. 2002
			exclusion	membrane	
L. lactis prophage	sieF/2A	20.5	unknown	Cytoplasmic	McGrath et al. 2002
				membrane	
L. lactis prophage	SieF/2B	17.2	unknown	unknown	McGrath et al. 2002

5 Table 4. Lactic acid bacteriophage-encoded phage-resistance genes

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Several genes located between the repressor and integrase genes in the lysogenic module of the temperate LAB phage were also recently reported to mediate phage resistance (Table 4). The *ltp* gene of temperate *Streptococcus thermophilus* phage TP-J34 was shown to mediate phage resistance in both *S. thermophilus* and *L. lactis* [Sun *et al.*, 2002 & 2006]. The *ltp* gene codes for

a lipoprotein and is located between the repressor and integrase genes in the lysogenic module of phage TP-J34. It was demonstrated that *ltp* was expressed during lysogeny and that the gene product (Ltp) was located in the cytoplasmic membrane of the lysogenic strain *S. thermophilus* J34. Expression of *ltp* leaves phage adsorption unaffected, but interferes with phage injection and, consequently, phage replication. Disruption of *ltp* in the prophage of strain J34 caused a delayed
cell lysis phenotype upon induction with mitomycin C. However, a clear interpretation of this effect is complicated by a possible polar effect of the *ltp* disruption on the intergrase-encoding gene.

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The *sie*₂₀₀₉ gene, which is situated between the genes encoding the repressor and integrase, on the lysogeny module of the temperate lactococcal bacteriophage Tuc2009, was also shown to mediate a phage resistance phenotype in *L. lactis* against a number of bacteriophages [McGrath *et al.*, 2002]. The Sie₂₀₀₉ protein is membrane-bound and its expression does not affect phage adsorption, transfection and plasmid transformation, but interferes with plasmid transduction and phage replication. Taken together, these results indicate that the observed phage resistance results

from DNA injection blocking. By a polymerase chain reaction (PCR)-based strategy, several additional, but mostly unrelated *L. lactis* prophage genes (i.e., sie_{IL409} and $sie_{F7/2A}$) were also identified and shown to mediate a phage-resistance phenotype similar to that conferred by sie_{2009} .

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A phage gene located between *int* and *cI-like* in the lysogenic module of temperate *S*. *thermophilus* phage Φ Sfi21 has also been reported to confer significant phage resistance to heterologous virulent phages when cloned onto a plasmid [Bruttin *et al.*, 1997].

The discovery of different phage-encoded bacteriophage resistance systems in different LAB species indicate that such phage-encoded systems are widespread as proposed by van Sinderen and his colleagues [McGrath *et al.*, 2002]. Even though these phage resistance-conferring genes do not share sequence homology they do share the same topology in the lysogenic module of respective phages. Another common feature of these genes appears to be that they all encode membrane proteins, which may be a requirement for their common mode of action in disrupting phage DNA entry, as demonstrated by the Ltp protein [Sun *et al.* 2006]. Analysis of prophage sequences has revealed that many of them possess genes, situated between the integrase and repressor-encoding genes. For instance, two genes encoding lipoproteins were detected within the lysogeny module of two *Lactobacillus* prophages [Ventura *et al.*, 2004a, 2004b]. Further charaterization of such genes will be needed to determine their contribution, if any, towards

20 4. TRANSDUCTION

4.1. Overview of transduction

defending their host from superinfecting phages.

Phage-mediated transduction, first described in 1952 for *S. typhimurium* and the temperate bacteriophage P22 [Zinder and Lederberg, 1952], is one of three naturally occurring mechanisms, along with conjugation and transformation, whereby DNA is transferred from one bacterium to

- another (i.e., horizontal gene transfer). Transduction of host genes by phages occurs in two ways. In the case of generalized transduction random fragments of DNA are transferred by either a temperate or virulent bacterophage, resulting in a low transduction frequency of any genetic material. On the other hand, specialized transduction occurs only with temperate phages, thereby a specific portion of the host genome is accidentally excised together with prophage.
 Bacteriophages P22 and λ [Birge, 2000] are two classic examples of generalized and specialized
- 30 Bacteriophages P22 and λ [Birge, 2000] are two classic examples of generalized and specialized transduction, respectively. Both types of transduction appear to result from mistakes made by the enzymatic systems involved in excising and packaging phage DNA. Generalized transducing

phages commonly encapsulate their DNA by the headful mechanism, generating permuted DNA molecules. If the enzymes responsible for packaging accidentally use bacterial DNA instead of phage DNA, generalized transduction occurs. In the case of specialized transduction, the enzymes responsible for prophage excision cut out a piece of DNA that does not belong to prophage, resulting in a DNA molecule containing both viral and bacterial DNA. Therefore, specialized

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resulting in a DNA molecule containing both viral and bacterial DNA. Therefore, specialized transducing particles are usually defective in one or more functions.

4.2. The extent of temperate phage-mediated transduction and its impacts on bacteria

Temperate phage-mediated transduction has been described in various species such as *Bacillus subtilus* [Marrero *et al.*, 1984; Deichelbohrer, 1985], *Lactobacillus gasseri* [Raya and Klaenhammer, 1992], *L. lactis* [Birkeland and Holo, 1993; Klaenhammer and McKay, 1976], *Listeria monocytogenes* [Hodgson, 2000], *Pseudomonas Aeruginosa* [Blahova *et al.*, 1997], *Salmonella typhimurium* [Liagostera *et al.*, 1986; Schicklmaier and Schmieger, 1995], *Streptomyces* spp. [Mchenney and Baltz, 1988] and *Yersinia enterocolitica* [Hertwig *et al.*, 1999].

To date, the most detailed study on the frequency of temperate transducing phages was performed by Schicklmaier and Schmieger [1995] using *S. typhimurium*. From 85 natural isolates of the *S. typhimurium* complex, 65 strains (76.5%) released 71 different temperate phages. Forty-three (93.5%) of 46 tested phages were able to transduce the chromosomal markers *his*⁺ and *trp*⁺,

20 as well as the plasmid pBR325. Later, Schicklmaier *et al.* [1998] showed that 99% of the investigated *Salmonella* phages were capable of generalized transduction of chromosomal host markers and plasmids.

Hertwig *et al.* [1999] studied temperate phage-mediated gene transfer in *Yersinia*. The transduction experiments were performed with a temperate phage and small plasmids isolated
from a pathogenic *Y. enterocolitica* strains. A transduction frequency of 10⁻⁵ to 10⁻⁷ transductants/PFU was achieved under laboratory conditions.

In a natural microbial community, transduction of *Pseudomas aeruginosa* plasmid Rm149 by the generalized transducing bacteriophage Φ DS1 was shown to occur during a 9-day incubation of environmental test chambers in a freshwater reservoir [Saye *et al.*, 1987]. Temperate phagemediated transductions between different species [Zahrt *et al.*, 1994] or genera [Neal *et al.*, 1993]

have also been reported.

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Through generalized transduction, temperate phages can package and transmit any chromosomal locus among bacterial cells. From a medical perspective, transmission of chromosomally encoded virulence genes by bacteriophage has been described. For instance, staphylococcal phage 80α can at high frequency transduce the *Staphylococcus aureus* pathogenicity island SapI1, which contains the gene for toxic shock syndrome toxin (*tst*) [Ruzin et al., 2001]. In Corvnebacterium diphtheriae, it has been suggested that the tox gene, which encodes diphtheria toxin, was acquired by an ancestral form of phage ß, through transduction [Holmes 2000], because this gene was localized to one end of the integrated phage genome adjacent to the *attP* site. Transductions of antibiotic resistance by temperate bacteriophages have also been reported [Blahova et al., 1997 & 1999].

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On the other hand, transduction of plasmids by temperate phage can be exploited as efficient gene transfer system especially for species which are poorly transformable. For example, plasmid pSA-COS1 which carries the cohesive end region from temperate lactococcal bacteriophage Φ LC3 was shown to be packaged *in vivo* by Φ LC3, allowing transfer into host strain *L. lactis*

subsp. cremoris NCDO 1201 with a transduction frequency between 10⁻⁴ and 10⁻³ transductants 15 per PFU [Birkeland and Holo, 1993]. A plasmid containing the pac site of phage P22 was also efficiently transduced by phage P22 [Schmidt and Schmieger, 1984]. Phage hybrids constructed by Yamamoto *et al.* [1985], which carry all the late genes of coliphage Φ 80 and most of the Salmonella phage P22 early region including the *immC* and *immI* bipartite immunity loci, could transfer the *argF* and *proA* markers at high frequency (21% for *argF* and 12% for *proA*).

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From the above reports and considering that some lytic phages are also spontaneously derived from prophages, it can be assumed that the potential for prophage-mediated gene transfer (i.e., transduction) is much higher than expected. Morever, transduction as a means of gene exchange during evolution and especially as a mechanism for the spread of plasmids in bacterial

25 populations is likely to happen much more frequently.

4.3. Temperate phage-mediated transduction in LAB

Among the LAB temperate phage-mediated transduction has been demonstrated in lactococci and Lactobacillus. McKay et al. [1973] demonstrated the transduction of the genetic properties for lactose utilization (lac) by UV-induced lysogenic phage from wild-type L. lactis subsp. lactis

C2 to a Lac⁻ derivative [McKay et al., 1973] as well as the intermittent co-transduction of the prt 30 (protease-encoding gene) marker with *lac* [McKay and Baldwin, 1974; Klaenhammer and McKay, 1976]. It was later shown that both the *lac* and *prt* loci were plasmid-linked [Molskness

et al., 1974; McKay *et al.*, 1976]. The ability of temperate phage BK5-T from *L. lactis* subsp. *cremoris* BK5 to transduce both plasmid and chromosomal markers has also been demonstrated [Davidson *et al.*, 1990].

Although lysogenic bacteriophages of *Lactobacillus* species have been described, there have
been very few reports of transduction in this genus. Temperate phage PLS-1 could transduce auxotropic markers between *Lactobacillus salivarius* strains [Tohyama *et al.*, 1971]. Raya *et al.* [1989] reported the transfer of vector plasmids in *Lb. gasseri* by temperate phage Φadh. Luchansky *et al.* [1989] described that plasmid pGK12 was transduced from phage Φadh lysogen into a recipient strain of *L. acidophilus* ADH at an average frequency of 3.4 x 10⁻⁸
transductants/PFU. In a subsequent study, the transduction frequency of plasmid pGK12 could be increased by 10²-10⁵-fold by inserting restriction fragments of bacteriophage into this vector [Raya and Klaenhammer, 1992].

Transduction between different LAB species has recently been reported [Ammann *et al.*, 2008], supporting the hypothesis that some *S. thermophilus* and *L. lactis* bacteriophages have

15 evolved by direct exchange of genetic modules.

5. CONCLUSION

It has become clear that lysogenic bacteriophages can provide their hosts with a number of phenotypic attributes, ranging from increased virulence to enhanced resistance against a diverse 20 phage population. This is likely to be one of the main evolutionary drivers for the abundance of prophages in bacterial genomes, in addition to their contribution to horizontal transfer. However, we are likely to have only scratched the surface with regards to the identification of such fitness enhancers. It has been suggested that temperate phages reserve certain sections of their genome for such functions, specifically between the genes encoding the repressor and the integrase as 25 well as between the lysis module and the attachment site (Desiere et al., 2001). Examination of these variable genomic regions would indicate that the vast majority of lysogenic phages encode at least one function that could contribute to increased fitness of the host. The challenge for future research in this area would be the unravelling of these functions and the investigation of the molecular details as to the mechanisms by which lysogens have improved their chances of 30 survival.

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