1	Genetic risk prediction of the plasma triglyceride response to independent
2	supplementations with eicosapentaenoic and docosahexaenoic acids: The
3	ComparED Study.
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32 Abstract

33 **Background.** We previously built a genetic risk score (GRS) highly predictive of the 34 plasma triglyceride (TG) response to an omega-3 fatty acid (n-3 FA) supplementation from marine source. The objective of the present study was to test the potential of this 35 GRS to predict the plasma TG responsiveness to supplementation with either 36 37 eicosapentaenoic (EPA) or docosahexaenoic (DHA) acids in the Comparing EPA to DHA (ComparED) Study. 38 39 Methods. The ComparED Study is a double-blind, controlled, crossover trial, with 40 participants randomized to three supplemented phases of 10 weeks each: 1) 2.7 g/d of 41 DHA, 2) 2.7 g/d of EPA and 3) 3 g/d of corn oil (control), separated by 9-week washouts. 42 The 31 SNPs used to build the previous GRS were genotyped in 122 participants of the ComparED Study using TaqMan technology. The GRS for each participant was 43 44 computed by summing the number of risk alleles. Ordinal and binary logistic models, adjusted for age, sex and body mass index, were used to calculate the ability of the GRS 45 to predict TG responsiveness. 46

47 Results. The GRS predicted TG responsiveness to EPA supplementation (p = 0.006) and
48 a trend was observed for DHA supplementation (p=0.08). The exclusion of participants
49 with neutral TG responsiveness clarified the association patterns and the predictive
50 capability of the GRS (EPA, p=0.0003, DHA p=0.01).

51 Conclusion. Results of the present study suggest that the constructed GRS is a good
52 predictor of the plasma TG response to supplementation with either DHA or EPA.

- 53 Keywords. Genetic Risk Score, Plasma Triglyceride Levels, Omega-3 Fatty Acids, EPA,
- 54 DHA, Nutrigenetics.
- 55 The study protocol was registered at ClinicalTrials.gov (NCT01810003) on March 4th
- 56 2013, https://clinicaltrials.gov/ct2/show/record/NCT01810003.

57 Introduction

58	Supplements of omega-3 fatty acids (n-3 FA) from marine sources, namely
59	eicosapentaenoic and docosahexaenoic acids (EPA and DHA), can be used as an
60	effective, safe and accessible treatment option for hypertriglyceridemia (1-5). However,
61	an important inter-individual variability in the plasma triglyceride (TG) response to n-3
62	FA supplements have been reported, with 29 to 31% of participants increasing TG levels
63	following an n-3 FA supplementation at pharmacological doses (6-8). This important
64	heterogeneity in the plasma TG response to an n-3 FA supplementation has been shown
65	to be attributable, at least partly, to genetic variations (9). Considering that TG levels are
66	modulated by a wide variety of factors, and that the physiopathology of
67	hypertriglyceridemia is also quite complex, genetic factors implicated in the regulation of
68	TG levels and the TG response to an n-3 FA supplementation may be various and
69	abundant as well (10-13).
70	Genetic risk scores (GRS), or polygenic risk scores, have the advantage of pooling the
71	additive effect of many unrelated genetic variations on one trait (14, 15). Even though
72	they are most commonly used to predict clinical phenotypes (15, 16), we recently
72	demonstrated their english ility to predict the approximate strategies (15, 16), we recently
/3	demonstrated their applicability to predict the response to nutritional interventions (1/-
74	19). In the Fatty Acid Sensor (FAS) Study, we built a GRS that is highly predictive of the
75	plasma TG response to an n-3 FA supplementation (1.9–2.2 g of EPA and 1.1 g of DHA)
76	in a sample of French Canadians from the province of Quebec (Canada) (18). This GRS
77	explained 49.7% of the variance of the TG response (18). However, the contribution of
78	genetic variants to the plasma TG response following a supplementation of either EPA or
79	DHA has never been investigated. Moreover, the TG lowering effect has been shown to

80	be more important with DHA than with EPA (8, 20), thus emphasizing the importance to
81	better understand the underlying mechanisms, including effects of genetics.
82	The independent effects of EPA and DHA were recently investigated in the Comparing
83	EPA to DHA (ComparED) Study, a double-blind, randomized, crossover, controlled trial
84	that aimed to compare the effects of EPA and DHA on inflammatory markers and plasma
85	lipids (21). The aim of the present study was to further validate the robustness of the GRS
86	previously constructed in the FAS Study in an independent study, and to assess its
87	potential to predict the plasma TG response to either EPA or DHA supplementations.

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90 Materials and methods

91 *Study design and diets*

92 The study design has been previously described (21). Briefly, the ComparED Study is a double-blind, randomized, controlled, crossover intervention of three treatment phases: 1) 93 94 2.7g/day of EPA; 2) 2.7g/day of DHA; and 3) 3 g/day of corn oil as control (0g of neither 95 EPA nor DHA). Treatments had a median duration of 10 weeks with 9-week washouts 96 between each treatment. Supplements were provided by Douglas Laboratories as re-97 esterified triglycerides. Throughout the study, participants were asked to maintain a 98 stable body weight, physical activity, alcohol consumption (max. 2 servings/day), natural 99 health products and vitamin supplements consumption. Alcohol consumption and 100 physical activity were however forbidden 4 days prior to each blood sampling. Participants were also asked to exclude food rich in n-3 FA, such as fatty fish and fish oil 101 102 supplements, among others, from their diet. Compliance was ensured by the counting of 103 returned unused supplement capsules. Allocation to treatments was concealed to both study coordinators and participants. 104

105 *Population*

A total of 154 participants were randomized and participated in the study. Participants were recruited at the Institute of Nutrition and Functional Foods (Quebec, Canada) using announcements in newspapers, radio and electronic newsletters (21). Subjects had to be between 18 and 70 years old and to have a stable body weight for at least three months prior to the randomization. To be eligible to the study, participants had to have abdominal obesity (waist circumference \geq 80 cm for women and \geq 94 cm for men) and to present subclinical inflammation defined as plasma CRP levels between 1 and 10 mg/l,

113 exclusively. Women using contraceptive agents were eligible. All participants signed an

informed consent form at the beginning of the study approved by the local ethics

115 committees. The study protocol was registered at ClinicalTrials.gov (NCT01810003) on

116 March 4th 2013.

117 Anthropometric measurements and blood samples

118 Anthropometric parameters were measured at screening, before and after each treatment

119 phase following standardized procedures (21). Blood samples were collected after a 12h

- 120 overnight fast at screening, before and after each treatment phase.
- 121 SNP selection and genotyping

122 The 31 SNPs used in the construction of the GRS in the FAS Study were selected (18).

123 Details of SNP selection have previously been published (18). Briefly, SNPs were

identified as significantly associated to the TG responsiveness following an n-3 FA

supplementation in a previous genome-wide association study ($P < 1x10^{-5}$) and in a

subsequent fine-mapping study (18, 19).

127 SNPs were genotyped in 123 participants of the ComparED Study using TaqMan

technology. The GenElute Gel Extraction Kit (Sigma-Aldrich Co., St. Louis, MO) was

129 first used to extract genomic DNA (gDNA) from blood samples. Validated primers were

130 mixed with 2.5 µL of OpenArray Genotyper Master Mix (Life Technologies, Carlsbad,

131 CA) and 2.5 μ L of each gDNA (40 ng/ μ L) in a 384-well plate. The mix was loaded onto

132 genotyping plates with the QuantStudioTM 12K Flex OpenArray® AccuFillTM System

133 (Life Technologies). Genotyping was performed using the QuantStudioTM 12K Flex Real-

- 134 Time PCR System (Life Technologies). Results were analyzed in TaqMan Genotyper
- 135 v1.3 (Life Technologies). SNPs that could not be genotyped were replaced by SNPs in
- 136 linkage disequilibrium (LD). Seven SNPs taken from the GRS of the FAS Study, namely
- 137 rs6966968, rs78943417, rs1216346, rs6933462, rs79624996, rs184945470 and
- rs10009535, were unavailable for genotyping and were respectively replaced by the
- 139 following SNPs in LD: rs6951762 (LD 73%), rs10224945 (LD 100%), rs28437435 (LD
- 140 91%), rs2050017 (LD 100%), rs11025436 (LD 97%), rs1216349 (LD 96%) and
- rs13137813 (LD 100%). LD between SNPs was assessed using the web-based application
- 142 LDlink (22).
- 143 Statistical analysis and genetic risk score
- 144 Given that the study is based on a crossover design, post-treatment values following EPA
- and DHA supplementations were compared to the post-control phase in SAS statistical

software v9.4. Changes in plasma TG levels in response to DHA and EPA

supplementations (Δ TG) were calculated using mean post-intervention TG levels minus

- 148 mean TG levels after the control phase. The control phase had no effect on mean TG
- 149 levels. Each participant therefore had two ΔTG , one for the DHA supplementation and
- 150 one for the EPA supplementation.
- 151 Participants were classified into subgroups of TG responsiveness according to their ΔTG
- 152 following DHA and EPA supplementations. The intra-individual variation of plasma TG
- 153 levels was taken into account to classify participants into subgroups of TG
- responsiveness (8). The mean intra-individual variation of plasma TG levels was ± 0.25



169 OR >1, the minor allele had a value of +1, and when the OR <1, the minor allele had a

value of -1. Major alleles had a value of 0. In SAS v9.4, ordinal and binary logistic

171 models, adjusted for age, sex and body mass index (BMI) were used to assess the ability

172 of the GRS to classify participants into subgroups of TG response. Significance was set at

173 P < 0.05.

174 **Results**

175 Detailed characteristics of participants were previously reported (8, 21). From the 154 176 participants who were randomized in the ComparED Study, 122 completed all treatment phases and had available TG data and genotypes. Characteristics of participants pre- and 177 post-supplementations are shown in **Table 1**. As determined by the inclusion criteria, 178 participants had abdominal obesity and slightly elevated plasma CRP levels (between 1-179 180 10 mg/l). Before the supplementation, participants had mean plasma TG levels in the normal range (<1.7 mmol/l). TG levels were significantly reduced by the EPA and DHA 181 182 supplementations (13.3% and 18.9% respectively, P < 0.0001), but more so with DHA (P<0.05 between treatments) (21). 183 184 Genotyped SNPs are listed in Table 2. One SNP, rs28473103, was not in HWE in this sample and was therefore excluded from statistical analyses, leaving a total of 30 SNPs 185 186 for GRS construction. Four SNPs had a significantly different MAF between the FAS and the ComparED Study. Seven SNPs (rs61569932, rs1990554, rs114348423, rs75007521, 187 rs117114492, rs143662727 and rs76015249) had a MAF < 5% in the ComparED Study. 188 189 SNPs showing significant differences in allele frequency between subgroups of TG responsiveness are the following: R^+ vs NR: rs12702829 (EPA, MAF=0.39 among R^+ 190 191 and 0.22 among NR, p=0.009); NR vs R⁻: rs117114492 (DHA, MAF=0 among NR and 0.11 among R^- , p=0.0002) and rs1990554 (DHA, MAF=0 among NR and 0.06 among R^- , 192

193 p=0.01); R⁺ vs R⁻: rs12702829 (DHA, MAF=0.31 among R⁺ and 0.56 among R⁻, p=0.04;

and EPA, MAF=0.22 among R^+ and 0.5 among R^- , p=0.007), rs117114492 (DHA,

195 MAF=0.02 among R⁺ and 0.11 among R⁻, p=0.04).

196	The ability of the genetic risk model to classify participants in the right subgroup of TG
197	responsiveness (NR, R^+ or R^-) was first assessed by ordinal logistic regression, adjusted
198	for age, sex and BMI. Predicted and observed classifications of participants in subgroups
199	are presented in Figure 1. The GRS was associated with TG responsiveness for EPA
200	supplementation (odds ratio (OR) =1.2, p=0.006), and a trend was observed for DHA
201	supplementation (OR=1.2, p=0.08). The probability of being classified in the R^+
202	subgroup increased with lower GRS values, as opposed to the probability of being
203	classified in the R ⁻ or NR subgroups (Figure 1A). Taking NR participants out of the
204	calculation, and using a binary logistic model instead of an ordinal logistic model,
205	resulted in a significant association between the GRS and subgroup of TG responsiveness
206	for both EPA and DHA supplementations (OR=2.3, p=0.003; and OR=2.4, p=0.01,
207	respectively) (Figure 1B). In other words, increasing GRS values enhanced the risk of
208	belonging to the R ⁻ group.

209 Discussion

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210 The primary aim of the present study was to test the applicability of a GRS of the plasma 211 TG response to an n-3 FA supplementation previously built in the FAS Study, in the 212 ComparED Study. In contrast to the FAS Study, in which participants were supplemented with fish oil containing both DHA and EPA, participants of the ComparED Study were 213 supplemented with either DHA or EPA separately in a crossover study design. 214 215 As shown in **Figure 1**, the GRS proved to be effective to classify subjects into the right 216 subgroup of TG response (when NR are excluded). However, when all groups of responders were included in the genetic risk model, the association pattern was clearer for 217 218 the EPA supplementation than for the DHA supplementation. As expected, excluding 219 participants with TG response within the normal variation range resulted in a manifest clarification of the association pattern with the GRS for both supplementations. Similar 220 221 observations were reported in a previous study by our research group, in which a GRS of 222 the plasma TG response to an n-3 FA supplementation at pharmacological doses was computed in a population of Mexicans (17). In that study, we observed an increasing 223 224 proportion of TG variance explained by the GRS as participants with the lowest magnitude of TG response were removed from the genetic risk calculation, until the 225 contribution of the GRS reached 29.1% of the TG variance. 226 Despite demonstrating a good predictive capacity, results of the present study are not as 227

229 expected in the original cohort than in the replication cohort, several factors may have

strong as those reported in the FAS Study. Even though a better prediction is usually

weakened the genetic risk model in the present study, and therefore influenced itspredictive capacity.

Firstly, seven of the 31 SNPs originally included in the GRS had a MAF < 5% in the

233 ComparED Study. This may also explain why association studies were rather

inconclusive with few SNPs showing significantly different allele frequency between

subgroups of TG responsiveness.

236 Secondly, the final sample of SNPs included in the genetic risk model was not optimal.

237 Several SNPs included in the original genetic risk model had to be replaced with SNPs in

LD with selected SNPs. In the final SNP selection, differences in allele frequency

distribution between the FAS and the ComparED populations were observed for four

240 SNPs, two of them being replacement SNPs. Finally, one SNP was removed from the

241 model for not respecting the HWE, as aforementioned.

242 Thirdly, it is possible that the genetic risk model offers a better prediction when DHA and 243 EPA supplements are taken together rather than separately, perhaps because of a better 244 efficacy of DHA and EPA supplements taken simultaneously in a fish oil extract than 245 supplements of isolated FA. In other words, a supplementation of a food extract, as used 246 in the FAS Study (supplements containing DHA and EPA in whole fish oil extract), might trigger stronger gene-diet interactions than a supplementation of isolated FA 247 (supplements containing either DHA or EPA), as used in the ComparED Study. It has 248 249 been previously reported in the literature that the effect of isolated nutrients in supplementation is sometimes different or less effective than the effect of whole food 250

containing the said nutrients (24-27). More studies investigating the contributive effect of

252	generie variants on the neterogeneity of the metabolic response to a natificial
253	intervention should focus on genetic interactions with whole food over isolated nutrients.
254	Fourthly, the statistical power may have been insufficient. It was previously calculated
255	that a sample size of 150 participants provided 92% statistical power to detect a 10%
256	difference in plasma TG levels between treatments at p=0.01 (8). The present analyses
257	are based on 122 participants. Moreover, after assignment to subgroups defined on the
258	basis of TG responsiveness some subgroups had a low number of participants, especially
259	the R^- subgroup, which included 9 and 12 R^- to DHA and EPA, respectively.
260	In the present study, the genetic risk model predicted the TG responsiveness to DHA and
260	EPA. The similarity in the genetic risk prediction to EPA and DHA is coherent with
262	observations previously reported in the ComparED Study in which the magnitudes of TG
263	lowering of DHA and EPA supplementations were similar among responders (8).
264	Differences in the response of epigenetic markers likely to impact gene expression levels
265	have not been investigated either in previous studies. Vors et al. observed no difference
266	between EPA and DHA supplementations on the expression of 11 inflammatory genes in
267	blood cells in the ComparED Study (28). Allaire et al. observed no difference between
268	DHA and EPA on gene expression of HMG-CoA reductase, LDL-R, SREBP1c and
269	SREBP2 in blood cells (8). Some differential effects of DHA in comparison to EPA have
270	nonetheless been observed on various cardiometabolic risk markers (8, 21, 29, 30). These
271	overall observations may suggest that interactions of DHA and EPA with genetic variants
272	possibly impacting gene expression levels are likely to be very similar. A difference in
273	the predictive capacity of the genetic risk model could have been observed between DHA

252 genetic variants on the heterogeneity of the metabolic response to a nutritional

- and EPA if they differentially affected gene expression of TG-related genes, but further
- 275 research is necessary to test this hypothesis.

276 Conclusion

- 277 In conclusion, the GRS successfully predicted the plasma TG response to an n-3 FA
- supplementation of either DHA or EPA, particularly in individuals with most extreme TG
- 279 responsiveness.

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283 **Declarations**

284 *Ethics approval and consent to participate*

- All participants signed an informed consent form at the beginning of the study approved
- by the local ethics committees. The study protocol was registered at ClinicalTrials.gov
- 287 (NCT01810003). The Ethics Committee on Research Involving Human Subjects of Laval
- 288 University approved this project (2012-143/17-10-2012).
- 289 *Consent for publication*
- 290 Written informed consent was obtained from all subjects for the publication of this report.

291 Availability of data and materials

- 292 Datasets used in this study are available from the corresponding author on reasonable293 request.
- 294 Funding and competing interests
- Financial support for this study trial was provided by a grant from the Canadian Institutes
- for Health Research (CIHR, MOP-123494) (BL, PC). Douglas Laboratories provided the
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- 305 Chair in Genomics Applied to Nutrition and Metabolic Health.
- 306 *Authors's contributions*
- 307 BVM wrote the manuscript and conducted statistical and SNP analyses. JA, FG and
- 308 JDTM contributed to statistical analyses. PC, BL and MCV designed the research. PC
- 309 was responsible of the medical follow-up. All authors revised the manuscript. BVM and
- 310 MCV have primary responsibility for the final content.

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	EPA supplementation		DHA supplementation			
	Pre ^a	Post ^a	Р	Pre ^a	Post ^a	Р
Age	53.5 ± 14.7	-	-	53.5 ± 14.7	-	-
Waist circumference (cm)	100.5 ± 10.8	100.6 ± 10.5	0.77	100.5 ± 10.8	100.3 ± 11.1	0.57
Body mass index (kg/m ²)	29.3 ± 4.2	29.3 ± 4.2	0.99	29.3 ± 4.2	29.3 ± 4.3	0.94
Triglycerides (mmol/L)	1.43 ± 0.71	1.24 ± 0.55	< 0.0001	1.43 ± 0.71	1.16 ± 0.51	< 0.0001
C-reactive protein (mg/L) ^b	3.87 ± 4.31	3.54 ± 3.05	0.33	3.87 ± 4.31	3.29 ± 2.85	0.10

Table 1. Characteristics of participants pre- and post-supplementations (n = 122)

^a Mean value ± standard deviation.
^b One participant with unavailable CRP levels was excluded (n = 121).

Minor allele frequency						
Genotyped SNP	ComparED Study	FAS Study	Chi-squared	Р		
rs10009109	0.44	0.45	0.02	0.88		
rs13137813 ^a	0.50	0.45	1.55	0.21		
rs114348423	0.008	0.02	1.52	0.22		
rs117114492	0.02	0.02	0.05	0.83		
rs28437435 ^a	0.16	0.35	25.65	4.1x10 ⁻⁰⁷		
rs12702829	0.34	0.43	4.0	0.046		
rs143662727	0.05	0.02	3.02	0.08		
rs16869663	0.07	0.07	0.08	0.77		
rs1837523	0.24	0.26	0.25	0.62		
rs1216349 ^a	0.31	0.11	34.25	4.9x10 ⁻⁰⁹		
rs1850875	0.46	0.42	0.91	0.34		
rs1990554	0.01	0.01	0.03	0.87		
rs210962	0.20	0.25	1.79	0.18		
rs28473103 ^b	0.34	0.36	0.24	0.63		
rs28673635	0.16	0.15	0.10	0.75		
rs293180	0.11	0.10	0.003	0.96		
rs61569932	0.004	0.01	0.80	0.37		
rs61790364	0.24	0.18	2.76	0.10		
rs62270407	0.30	0.28	0.28	0.59		
rs6463808	0.14	0.18	1.19	0.27		
rs2050017 ^a	0.15	0.15	0.02	0.90		
rs6951762 ^a	0.14	0.16	0.23	0.63		
rs72560788	0.08	0.09	0.09	0.76		
rs72974149	0.08	0.09	0.38	0.54		
rs73241936	0.20	0.15	1.98	0.16		
rs75007521	0.02	0.029	0.89	0.34		
rs76015249	0.01	0.011	0.03	0.87		
rs7639707	0.06	0.043	0.58	0.45		
rs78786240	0.08	0.03	8.07	0.005		
rs10224945 ^a	0.11	0.10	0.35	0.55		
rs11025436 ^a	0.19	0.13	3.07	0.08		

Table 2. Minor allele frequency comparison of genotyped SNPs between the ComparED and the FAS Study.

^a Replacement SNP in linkage disequilibrium with a SNP of the genetic risk score constructed in the FAS Study. ^b SNP not in Hardy-Weinberg Equilibrium.

Figure 1. Predicted probability of classification into each subgroup of triglyceride responsiveness following EPA or DHA supplementation. The predicted probability of classification into each of the subgroups of triglyceride responsiveness (R⁺/NR/R⁻) according to the genetic risk score is represented by curves. Predicted probabilities were assessed by ordinal (A) and binary (B) logistic regression, adjusted for age, sex and body mass index. The observed density distribution of participants according to their genetic risk score is illustrated by horizontal violin plots, which are independent of the y axis. **A**. All the participants are included in the genetic risk model: 1) responders (R⁺), 2) nonresponders (NR) and 3) negative responders (R⁻). **B**. Only R⁺ (upper violin) and R⁻ (lower violin) participants are included in the genetic risk model (NR participants are excluded). The 95% confidence intervals are highlighted in blue.

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