

Marine Sedimentary Organic Matter: Delineation of Marine and Terrestrial Sources through Radiocarbon Dating; and the Role of Organic Sulfur in Early Petroleum Generation

by

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Introduction

This thesis details two years of research conducted with the guidance and support of three advisors: Dr. J. K. Whelan, Dr. J. S. Seewald and Dr. T. I. Eglinton. Each of the three chapters represents a different, self-contained research project. All of the projects are related to the organic geochemistry of marine sediments, however, this is a fairly encompassing area of study. Chapters 1 and 2 stem from the same experimental study -- the use of hydrous-pyrolysis to investigate mechanisms leading to the production of petroleum-related products during kerogen maturation. Chapter 3, on the other hand, utilizes a recently developed technique of isolating and AMS- ^{14}C dating individual compounds from complex sedimentary organic mixtures.

The samples used in each investigation came from all over the world. The first two chapters utilize ancient marine sediment samples obtained from an outcrop in California (Chpts. 1 and 2) and from a well in Alabama (Chpt. 2). In contrast, recent marine sediment samples were obtained from the Arabian and Black Seas for the third chapter. Several preparative and analytical methods are common to all three studies. Nevertheless, each employ techniques totally unique from one another and from previous investigations. In Chapter 1, for example, X-ray absorption spectroscopy (XANES) is used to determine the speciation of organic sulfur present in kerogen, bitumen, and bulk sediment samples. While Chapter 3 represents the first study in which the ^{14}C ages of individual, known hydrocarbon biomarkers are determined after isolation by Preparative Capillary Gas Chromatography (PCGC). The insights gained by these investigations are discussed in detail in the following chapters. The common thread between the three chapters is that the source of organic matter, the rate at which it is delivered to marine sediments and the depositional environment, all set the stage for kerogen formation and eventual petroleum generation.

This work would have been nearly impossible, and definitely not as enjoyable without the help of my advisors, numerous research associates, fellow students and most of all, my wife Claudia.

CHAPTER 1

Transformations in Organic Sulfur Speciation During Maturation of Monterey shale: Constraints from Laboratory Experiments

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Introduction

A series of hydrous pyrolysis experiments were conducted at temperatures ranging from 125 to 360°C at 350 bars pressure to examine variations in sulfur speciation during thermal maturation of Monterey shale. The total sediment, kerogen and bitumen from each experiment in addition to unheated representatives were analyzed via X-ray absorption spectroscopy, pyrolysis-gas chromatography, ¹³C NMR spectrometry, elemental analysis, thin-layer chromatography and reflected light microscopy.

Based on these measurements, it was possible to recognize three distinct temperature regimes, within which the type and amount of sulfur in the analyzed fractions underwent transformations: (i) between 150 and 225°C, a significant proportion of kerogen-bound sulfur is lost probably due to the collapse of polysulfide bridges; (ii) between 225 and 275°C, cleavage of -S-S- and -S-C- linkages within the kerogen is believed to occur, resulting in substantial production of polar sulfur-rich bitumen; (iii) above 275°C total bitumen yields as well as the proportion of bitumen sulfur decrease, while C-C bond scission leads to increased yields of saturated and aromatic hydrocarbons.

The results from this study clearly and quantitatively establish a link between organically-bound sulfur, and more specifically, organic polysulfides, and the low-temperature evolution of soluble petroleum-like products (bitumen) from sulfur-rich source rocks. There have been numerous investigations of the effects of heteroatoms (atoms other than carbon and hydrogen) in kerogen on the timing and thermal stress required for petroleum generation (Tissot, 1984; Tannenbaum and Aizenshtat, 1984; Lewan, 1985). In particular, the role of sulfur during low temperature petroleum generation has received a great deal of attention (Gransch and Posthuma, 1974; Orr, 1986; Lewan, 1985; Hunt *et al.*, 1991; Baskin and Peters, 1992; Patience *et al.*, 1992). These studies typically attributed early petroleum generation from sulfur-rich kerogens to the inherent weakness of sulfur-sulfur and sulfur-carbon bonds relative to carbon-carbon bonds (Lovering and Laidler, 1960). Studies of the role of sulfur in the sedimentary formation of kerogen (Sinninghe Damsté *et al.*, 1989a,b; Orr and Sinninghe Damsté, 1990) suggest that sulfur linkages must be ubiquitous in sulfur-rich Type II-S kerogens

((S_{org}/C) > 0.04; (Orr, 1986)). These inferences implicating sulfur in maturation, however, are based largely on indirect and circumstantial evidence and the connection between the chemistry of sulfur-rich kerogens and the physical processes leading to petroleum generation remain unclear (Claxton *et al.*, 1993).

The goal of this study was to gain further insight into the chemical changes involving sulfur during source rock maturation. In contrast to previous studies, emphasis was placed on direct assessment of the changes in sulfur species in the organic matter itself. A series of hydrous-pyrolysis experiments were conducted with sulfur-rich Monterey shale at temperatures ranging from 125 to 360°C to provide constraints on the speciation of sulfur in kerogen and generated products as a function of temperature. Although, temperatures higher than those found in natural settings are employed during hydrous-pyrolysis (in order to enhance reaction rates and allow organic transformations to be observed on a laboratory time scale), these experiments have proven an effective means to simulate the generation of hydrocarbons in the laboratory. Previous studies have shown that the pyrolysis-products are similar to products of geological maturation (Lewan, 1985; 1993). In addition, the processes leading to the generation and expulsion of oil during hydrous-pyrolysis experiments appear to be the same as those occurring in natural petroleum formation (Lewan, 1993).

An analytical scheme was designed to facilitate a mass-balance approach involving gases, bitumen, altered sediments and kerogens that allowed a detailed characterization of the fate of organic sulfur during thermal maturation. Analyses were conducted using X-ray absorption (XANES) spectroscopy, pyrolysis-gas chromatography (Py-GC), solid-state ¹³C nuclear magnetic resonance (NMR) spectrometry, thin-layer chromatography with flame ionization detection (TLC-FID), elemental analyses and reflected light microscopy. This blend of analyses enabled the determination of gross and molecular-level transformations in sulfur speciation, as well as the manner by which they lead to the early conversion of kerogen to oil.

Methods

Sample Description and Preparation

A thermally immature (Bituminite reflectance = 0.25%) consolidated sediment sample from the Miocene Monterey Fm. (ML91-17) was obtained from an outcrop at Naples Beach, CA. The sample was removed from a 10 cm interval at the base of Unit 315, approximately 9 m below the lowest phosphorite horizon (M.D. Lewan, personal communication). The sample comprised a lenticularly laminated claystone and visually appeared fresh (i.e. unweathered) and blocky. The surface of the sample was scraped prior to disc-mill pulverization to expose pristine material and remove possible contaminants.

Figure 1 illustrates the experimental scheme used in this study. Our primary goal was to examine the fate of kerogen-bound sulfur during artificial maturation. Consequently, after pulverization, the sediment sample was sequentially extracted by sonic disruption using $\text{CH}_3\text{OH}/\text{CHCl}_3$ to remove indigenous bitumen. Sedimentary carbonate was removed from the sample by treating with 10% HCl at 40°C for 2 hrs. For each experiment 1.0 g of solvent-extracted, carbonate-free sediment was used as the starting material except for the experiments at 225 and 275°C, in which carbonate was not removed from the starting material. The sediment sample was loaded into a 20 mL (30.5 x 0.9 cm i.d.) pipe-bomb, sealed and placed into a 62 x 12.0 cm i.d. furnace. The air in the pipe-bomb was evacuated and the pipe-bomb was partially filled with argon-purged distilled water to ensure that the sediment was in contact with water during heating. Once at the experimental temperature, the pipe-bomb was filled completely with distilled water and pressurized to 350 bars so that only a single liquid phase was present (i.e., no head-space). Temperature was monitored ($\pm 2^\circ\text{C}$) with a thermocouple at each end of the pipe-bomb to ensure there were no thermal gradients. All experiments were 168 hrs in duration.

During an experiment, fluid samples were withdrawn from the pipe-bomb through a 10 μm filter into glass gas-tight syringes after approximately 24, 72 and 168 hrs and analyzed for the gas concentrations of dissolved gases. Pressure was maintained during the sampling process by pumping argon-purged distilled water into one end of the pipe-

bomb while the fluid sample was removed from the other. The sampling process was performed rapidly (1 to 2 min) to avoid dilution of the fluid samples with the freshly injected water. At the end of the experiment the pipe-bomb was cooled and all liquid contents were removed by sequentially pumping 30 mL of CH₃OH and CH₂Cl₂ through the pipe-bomb. Subsequently, the pipe-bomb was opened and the solid residue was removed by rinsing with additional solvent. The combined CH₃OH/CH₂Cl₂ extract was centrifuged and the liquid products decanted. After drying at 40°C in air, the solid residue was sequentially extracted by sonic disruption in CH₃OH/CH₂Cl₂ to ensure complete recovery of the generated bitumen. This extract was combined with the pipe-bomb rinses, back-extracted with distilled H₂O, dried over anhydrous Na₂SO₄ and filtered (0.45 μm) to remove residual suspended material yielding the generated bitumen. A split of the altered sediment (~350 mg) was demineralized through HCl and HF acid digestions at room temperature (Eglinton and Douglas, 1988) to obtain a kerogen isolate. An aliquot (~50 mg) of the kerogen isolate was treated with CrCl₂ and concentrated HCl to remove inorganic sulfur (Canfield *et al.*, 1986; Acholla and Orr, 1993).

Gas Analysis

Dissolved CO₂ and light (C₁-C₄) hydrocarbons in the fluid samples from all experiments were analyzed using a purge and trap apparatus interfaced to a gas chromatograph. Dissolved H₂S concentrations were monitored to obtain an estimate of the amount of sulfur, both inorganic and organic, removed from the system as H₂S during sediment maturation. The absolute concentration of dissolved H₂S was determined gravimetrically by precipitation as Ag₂S in a 3 wt% AgNO₃ solution.

Elemental Analysis

Elemental analyses were performed at the termination of each experiment in order to monitor fluctuations in the distribution of sulfur and carbon among the various fractions resulting from maturation of the Monterey shale. Weight percent C, H, N and S were determined for all fractions including those obtained from unheated, solvent-

extracted Monterey shale. Elemental analyses were conducted using a Leco 932 elemental analyzer.

X-Ray Absorption Spectroscopy (XANES)

X-ray Absorption Near Edge Structure (XANES) Spectroscopy was performed at the National Synchrotron Light Source at Brookhaven National Laboratory on Beamline X-19A (Eglinton *et al.*, 1994; Vairavamurthy *et al.*, 1994). XANES was conducted on the unheated and altered sediments, bitumen, and CrCl₂-treated kerogens to observe thermally-induced changes in sulfur speciation within and between the various fractions. Solid samples were prepared as boric acid pellets, whereas liquid samples were taken to near dryness and adsorbed onto pre-combusted GF/F filters under a N₂ atmosphere, heat-sealed in air-tight pouches and kept frozen prior to analysis. Solid and liquid samples were analyzed while mounted in a He-purged sample chamber. XANES spectra of all fractions were acquired from 2465 to 2900 eV using a Lytle fluorescence detector. A monochromator step function was chosen to provide a resolution of 0.5 eV at the near-edge region.

Quantitative deconvolution of the XANES spectra was accomplished using a computer algorithm developed by Waldo *et al.* (1991). After corrections to account for background and self-absorption, the proportions of different sulfur species were calculated by fitting the normalized spectra (least-squares procedure) with up to six reference-compound spectra. For this study, FeS₂, elemental sulfur, cysteic acid, sodium sulfate, benzyl sulfide, dibenzyl trisulfide, dibenzothiophene and dibenzylsulfoxide were used as reference compounds. The accuracy of these results are estimated to be $\pm 10\%$. Optimal curve-fitting of the XANES spectra from analyses of altered sediments and kerogens prior to CrCl₂ treatment was difficult due to the presence of inorganic sulfur compounds (pyrite). Consequently, sulfur speciation determinations are reported only for the bitumen and CrCl₂-treated kerogen.

Analytical Pyrolysis (Py-GC)

Analytical pyrolysis was conducted to determine the relative distribution of volatile thiophenic and hydrocarbon pyrolysis-products from the kerogen and pyrite-free kerogen samples from the unheated and matured Monterey Shale. Pyrolysis-Gas Chromatography was performed using a FOM-3LX Curie-point pyrolysis unit (controlled by a Horizon RF generator) interfaced to a Hewlett-Packard 5890 Series II GC (Eglinton *et al.*, 1994). Kerogen samples were loaded onto Fe/Ni wires with a Curie temperature of 610°C. Samples were pyrolyzed for 5 s and the pyrolysis interface temperature was set at 200°C. Helium was used as the carrier gas. Separation of the pyrolysis products was achieved on a Restek R_{TX-1} column (50 m x 0.32 mm i.d.; film thickness 0.5 µm) using a temperature program from 30°C (5 min initial time) to 320°C (15 min final time) at a rate of 3°C min⁻¹. The GC effluent was split and simultaneously monitored by a flame ionization detector (FID) and a sulfur-selective flame photometric detector (FPD). Flash pyrolysis of the kerogen and pyrite-free kerogen fractions yielded near-identical results, indicating that the CrCl₂-treatment did not affect the relative distribution of GC-amenable (i.e. volatile) products analyzed.

Nuclear Magnetic Resonance (NMR) Spectrometry

Solid-state ¹³C NMR spectrometry was used to determine changes in the carbon structure of the altered sediments, kerogens and CrCl₂-treated kerogens that occurred as a result of thermal maturation. Solid-state ¹³C NMR measurements were made using the technique of cross polarization (CP) with magic-angle spinning (MAS) at a carbon frequency of 25 MHz using a ceramic probe and a 7.5 mm o.d. zirconia pencil rotor. As a consequence of the small amounts of sample material available for the NMR measurements (~20 mg for the kerogens and ~100 mg for the altered sediments), data were collected over periods of 15 to 18 hrs (54,000 and 64,800 transients). A pulse delay of 1 s, a contact time of 1 ms, a 5.0 µs pulse width and a sweep width of 16 kHz were used to acquire data. Sample spinning speeds were ~4.5 kHz. A 50 Hz exponential multiplier was applied to the free induction decay of each ¹³C spectrum before integration.

The NMR spectra were integrated between 90 and 260 ppm for the "aromatic" region and -40 to 90 ppm for the "aliphatic" region. The spinning rates were sufficiently high so that contributions to the aliphatic integrals from high field aromatic carbon spinning sidebands were negligible and were not included in the aliphatic carbon integrals. The carbon aromaticity values can contain contributions from carbonyl (~210 ppm) and carboxyl carbons (~180 ppm), if present.

Bituminite Reflectance

Microscopic examination of the initial (unheated) sediment sample revealed that the majority of organic matter was comprised of an amorphous groundmass termed "bituminite" (Teichmuller, 1986), with only trace amounts of recognizable vitrinite. Bituminite, which is believed to derive from degraded algal and bacterial matter, is thus considered to be a major kerogen constituent. For this reason, and because vitrinite is so scarce, direct measurements of reflectance were made on this bituminite in order to assess the extent of thermal alteration.

Thin-layer Chromatography

Quantification of the saturated, aromatic and polar compound classes in the bitumen was performed by thin-layer chromatography with flame ionization detection (TLC-FID) according to the methods of Karlsen and Larter (1991) using an Iatroscan TH-10 Mark III instrument. 1.5-2.0 μL of each sample (dissolved in CH_2Cl_2) was applied to silica rods which were sequentially developed in C_6H_{14} (10 cm), C_7H_8 (5 cm) and a 95:5 solution of CH_2Cl_2 : CH_3OH (2 cm). A standard mixture comprised of *n*-eicosane, dibenzothiophene and 2,6-dimethoxyphenol was used for calibration of response factors for aliphatic, aromatic and polar compounds, respectively.

Results

Gaseous Phases

Dissolved H₂S concentrations were measured during five of the hydrous-pyrolysis experiments (Table 1). During these experiments the concentration of dissolved H₂S was likely controlled by the solubility of iron sulfides such as pyrite and/or pyrrhotite owing to the rapid precipitation/dissolution kinetics for these phases under hydrothermal conditions (Seewald and Seyfried, 1990). Pyrite was abundant in the unheated sediment and persisted along with newly formed pyrrhotite in the thermally altered sediments. At temperatures below 225°C dissolved H₂S concentrations were too low to be determined gravimetrically, but H₂S was detectable by odor in all experiments. Sources of dissolved H₂S during the experiments include diagenetic pyrite and organic sulfur. It is not possible to directly determine the relative contributions from these two sources based on dissolved concentrations alone. However, at 350 and 360°C 12.7 and 18.8 mg H₂S/g rock was released to solution respectively. These amounts exceed the amount of sulfur present as diagenetic pyrite in the initial sediment (10.5 mg/g sediment), and thereby provide direct evidence for the release of organically bound sulfur to solution. Because dissolved sulfide is cycled through the fluid into sulfide minerals, the calculated contributions of organic sulfur to solution represent an absolute minimum.

Generated Bitumen

The total bitumen extract, saturated, aromatic and polar compound yields from each experiment and the indigeneous material removed from the sample prior to heating, are listed in Table 1 and illustrated in Figure 2. The initial bitumen content of the unheated sediment was approximately 11 mg/g rock. We estimate an extraction efficiency of >70% in removing this bitumen from the starting material, and hence any contribution from indigeneous bitumen to extractable yields after the experiments is likely to be minor. In all cases, polar compounds comprised the majority of each bitumen fraction and, as a result, the total extract largely mirrored polar compound yields. The yield of polars increased with temperature to a maximum in the 275°C experiment (62.0 mg/g rock), above which concentrations decreased. The yield of saturate and aromatic

compounds were similar to each other with maxima in the experiments at temperatures above 275°C.

The results of the elemental analyses (C, H, N and S) of the bitumen are listed in Table 2. Above 250°C the atomic ratio of hydrogen to carbon (H/C) decreased while the atomic ratio of nitrogen to carbon (N/C) remained relatively constant. Above 150°C the bitumen initially exhibited an increase in the sulfur to carbon (S/C) ratio with temperature which reached a maximum at 250°C followed by a decrease (Fig. 3).

Normalized (K-edge) XANES spectra of the bitumen are illustrated in Figure 4. Reduced sulfur species (sulfides) are represented by peaks that occur towards the left side of each spectra, whereas peaks for more oxidized species (sulfoxides) are found at higher energies. Fitting of the XANES spectra reveal that organic sulfides and polysulfides were the primary sulfur forms contained in the bitumen and typically accounted for over 50% of the sulfur present (Fig. 5). The remaining sulfur was in the form of thiophenes and sulfoxides. Thiophenes and sulfoxides exhibited relatively little change with temperature, while organic sulfides and polysulfides increased significantly from 3% to a maximum of 8% of the bitumen at 275°C. Above this temperature the weight percent of sulfides and polysulfides in the bitumen decreased.

Solid Phases

In the altered sediments, weight percent organic carbon, nitrogen and sulfur as well as the S/C atomic ratio, decreased with increasing temperature (Table 2). In the kerogens the H/C atomic ratio decreased at higher temperatures (>250°C) while the N/C atomic ratio remained fairly constant. In contrast to the bitumen, the S/C ratio of the kerogen initially decreased with increasing temperature (Fig. 3). This decrease was first apparent in kerogens from experiments above 175°C, with the S/C ratio changing from ~0.05 to <0.02 in kerogen from experiments above 300°C. Between 325 and 360°C, the S/C ratio remained constant.

Bituminite reflectance measurements of the altered sediments are listed in Table 3 and illustrated in Figure 6. The reflectance value of the bituminite present in the altered sediment were low (0.25%), relative to vitrinite of the same sample (0.39%), due to the

hydrogen-rich nature of the former (Robert, 1988; Lo, 1993). Maturation of the altered sediments, however, was clearly indicated by increased reflectance with temperature, especially above 275°C (Fig. 6), and R_o reached a maximum of 0.95% in the 360°C altered sediment samples.

Results from CP/MAS ^{13}C NMR measurements of the altered sediments and kerogens are listed in Table 3 and illustrated in Figure 6. ^{13}C NMR spectra of the unheated and artificially matured kerogens are shown in Figure 7. The major band of the right portion of each spectrum (0-60 ppm) represents carbon in aliphatic structures including structures with sulfide bonds. The major band to the left of each spectrum represents carbon in aromatic (90-160 ppm) structures (including thiophenes), and in any carboxylate (~180 ppm) and carbonyl (~210 ppm) structures that may be present. Very little variation was observed in the ^{13}C NMR results from the altered sediment, kerogen and CrCl_2 -treated kerogen from the same experiments (Table 3, Fig. 6). Comparison of the ^{13}C NMR spectra from each experiment, however, clearly show a preferential loss of aliphatic carbons relative to aromatic carbons with increasing temperature (Fig. 7). Examination of Figure 6 reveals an exponential relationship between temperature and aromaticity with a major steepening in the curve above 275°C.

Partial FID and FPD chromatograms from flash pyrolysis (610°C) of the unheated, 250, 300 and 360°C kerogens are shown in Figure 8. FPD peak assignments were made by comparison of relative retention times to earlier studies (Eglinton *et al.*, 1992; 1994), and are listed in Table 4 with the inferred carbon skeletons of the bound precursors (Sinninghe Damsté *et al.*, 1989a). The major peaks in the FID chromatograms are due to *n*-alkanes and *n*-alkenes, alkylbenzenes and thiophenes (Fig. 8). The Py-GC results indicate a preferential loss of aromatic and especially thiophenic compounds relative to *n*-hydrocarbons with increasing temperature. The generation potential for *n*-alkanes and *n*-alkenes remains high until approximately 300°C suggesting that C-C cracking has not occurred to a significant extent below this temperature. Above 325°C essentially only gaseous products (CH_4 , C_2H_6 , C_2H_4 , H_2S) were detected in the flash pyrolyzates.

Estimates of the relative abundance of volatile thiophenic versus hydrocarbon pyrolysis products were made from the ratio of FID peak areas for 2-methylthiophene (2MT) to the sum of toluene (Tol) and *n*-C₇ alkene (C₇H₁₄) (Fig. 9). The 2MT/(Tol+C₇H₁₄) ratio decreased slightly with increased temperature up to 250°C (Fig. 9). At temperatures greater than 250°C, the ratio decreased sharply and approached zero above 325°C. Although kerogens from the experiments below 250°C exhibited very little change in the 2MT/(Tol+C₇H₁₄) ratio, the S/C ratio decreased significantly (from 0.051 to 0.032) relative to the unheated sample (Fig. 9). Conversely, a sharper decrease in the 2MT/(Tol+C₇H₁₄) ratio above 250°C coincided with only a moderate change in the S/C ratio (Fig. 9).

The predominant sulfur-containing pyrolysis products in all FPD chromatograms were H₂S and low molecular weight thiophenes (Fig. 8). H₂S was likely derived from the thermal decomposition of pyrite, present in the kerogen, as well as from the cleavage of organically-bound thiols, aliphatic sulfides (thiolanes, thianes) or sulfide bridges (Eglinton *et al.*, 1994). Volatile thiophenic pyrolysis products were observed in the kerogens from all the experimental temperatures except for the 350 and 360°C experiments (Fig. 8). At temperatures <300°C no marked changes in the internal distribution of thiophenic products were observed. At 300°C and above, however, the relative contribution of 2-methylthiophene, 2,5-dimethylthiophene, 2-ethyl-5-methylthiophene and 2-methyl-5-propylthiophene decreased more dramatically than other thiophenic products (Fig. 8).

Normalized (K-edge) XANES spectra of the CrCl₂-treated kerogens are illustrated in Figure 4. With increased temperature a sharpening in the shape of the major peak occurred, as well as a slight (~ 1 eV) shift towards higher energy. This shift is detectable at 175°C and clearly evident by 225°C (Fig. 4). The percentages of the major forms of sulfur (normalized to %S) in the kerogen determined by fitting of the XANES spectra are illustrated in Figure 5. The primary sulfur forms present in the unheated kerogen were organic sulfides and polysulfides. Together these two species account for ~50% of the sulfur while, thiophenes and sulfoxides comprised the majority of the remaining sulfur. Systematic changes in sulfur speciation as a function of temperature are clearly evident

(Fig. 5). Similar to the bitumen, thiophenes and sulfoxides present in the kerogens remained relatively constant with increased temperature. In contrast, organic sulfides and polysulfides decreased with temperature from 4.7 to 0.4% in the unheated and 360°C pyrite-free kerogens, respectively (Fig. 5) with two marked decreases occurring above 175°C and 250°C. Above 250°C the relative proportion of thiophenic sulfur exceeded that present as organic sulfides.

Discussion

Compositional Characteristics of Unheated Monterey Shale Kerogen

The values obtained from bituminite reflectance ($\%R_o = 0.25$), solid-state CP/MAS ^{13}C NMR spectroscopy (aromaticity = 0.34) and Rock-Eval ($T_{\text{max}} = 386^\circ\text{C}$) measurements all indicate that the unheated Monterey kerogen was relatively immature. In addition, with an atomic S_{org}/C ratio of 0.051 the sulfur-rich Monterey kerogen (Wt. %S = 9.45) can be classified as "Type II-S" (Orr, 1986).

The homologous series of *n*-alkanes and *n*-alkenes present in the partial Py-GC-FID chromatogram of the unheated Monterey kerogen sample is typical of a wide range of oil-prone, immature kerogens (Eglinton *et al.*, 1990a). The distinguishing feature of Monterey shale and other sulfur-rich kerogens, however, is the high relative abundance of thiophenes released during pyrolysis (Eglinton *et al.*, 1990a; 1992). The thiophenic pyrolysis products detected by FPD can provide direct information regarding the chemical nature of macromolecularly bound sulfur (Sinninghe Damsté, 1989a; Eglinton *et al.*, 1992). When pyrolysis is conducted using wires with a Curie temperature of 610°C, S-S, C-S and C-C bonds are cleaved and sulfur-bound or sulfur-containing moieties present in the kerogen will generate a variety of products through β -cleavage, γ -hydrogen rearrangement and by γ -cleavage (Sinninghe Damsté, 1989a). Based on the substitution patterns of the thiophenes and benzothiophenes yielded upon pyrolysis, carbon skeletons of different sulfur-containing moieties originally present in the kerogen can be distinguished (Sinninghe Damsté, 1989a). Similar to previous studies of Monterey shale and other immature Type II-S kerogens (Eglinton *et al.*, 1992; 1994), a significant

proportion of the sulfur-containing pyrolysis products from the unheated Monterey kerogen are derived from isoprenoid and/or steroidal carbon skeletons (Fig. 8, Table 4).

Complementary information regarding the sulfur-containing structures present in the kerogen can be obtained through X-ray absorption (XANES) spectroscopy. In addition, XANES spectroscopy allows all forms of sulfur present in the sample to be "seen", whereas pyrolysis only liberates volatile species. In contrast to the flash-pyrolysis approach, XANES spectroscopy reveals the electronic environment of the sulfur atom and does not provide information on the configuration of carbon atoms, other than those in close proximity to sulfur. The XANES data reveal that organic sulfides and polysulfides, thiophenes and sulfoxides comprise 4.7, 2.3 and 0.9 weight percent of the unheated, CrCl₂-treated kerogen, respectively (Fig. 5). Thus, sulfides and polysulfides account for >50% of the total sulfur in the unheated kerogen. The low percentage of sulfoxides indicates that oxidation of sulfur during sample manipulation was minor. K-edge XANES spectra do not allow for the discrimination between cyclic (thiolanes, thianes) and acyclic sulfides. By assuming, however, that the relative proportion of cyclic and acyclic sulfides is approximately equivalent, the ratio of thiophenes (a cyclic form of sulfur) to total sulfides can be used as an estimate of the extent of *intra-* versus *inter-*molecular S-bonding (Eglinton *et al.*, 1994). Based on this premise, XANES spectroscopic analysis of the unheated Monterey kerogen would indicate that sulfur cross-linking is extensive and that there are abundant, potentially weak S-linkages where thermally-induced cleavage might occur.

Transformations During Laboratory Maturation

In order to constrain sources and sinks of sulfur as a function of temperature, we have calculated the fraction of the total sedimentary sulfur present in three major phases: kerogen, bitumen and total inorganic sulfur (Fig. 10). The latter pool is calculated by difference assuming a closed system and an initial total sedimentary S content (3.86%; Table 2) and is predominantly composed of H₂S, pyrite and pyrrhotite. For comparison, the distribution of carbon associated with gaseous species (C₁-C₃ hydrocarbons, CO₂), bitumen and kerogen are also shown in Figure 10. Plotting the data in this way shows

several interesting trends which reveal systematic transformations in the concentrations and speciation of sulfur in each of the phases analyzed. These transformations also reveal the interplay between each phase during the maturation process.

In the unheated sediment the dominant portion (ca. 75%) of the sedimentary S is associated with kerogen, pyritic sulfur representing the remainder. Essentially no sulfur is associated with indigenous bitumen since extractable material was removed from the immature starting material (it is also assumed there is initially a negligible amount of adsorbed H₂S). This condition prevails at temperatures up to 150°C, above which the proportion of kerogen-bound sulfur decreases markedly from 3.0 to 1.8% at 225°C. Only a minor increase in solvent extractable sulfur was observed over this temperature interval, and accordingly the loss of kerogen sulfur is balanced by the production of inorganic sulfur. Above 250°C a further decrease in kerogen sulfur is apparent, and this is accompanied by an increase in bitumen sulfur, which peaked at 275°C. This maximum also corresponds with the temperature of maximum bitumen generation (Fig. 2) and, in particular, polar compound evolution. Above 275°C, S in both bitumen and kerogen decreases resulting in an increase in inorganic sulfur. The total decrease of kerogen sulfur from the unheated sample to the 360°C residue was approximately a factor of 4 (i.e. 2.8 to 0.7%).

Based on this mass balance information, and the compositional transformations observed, we can construct an overview of the likely fate of organically-bound sulfur during laboratory maturation of the Monterey shale. The earliest transformations occur at very low ($\leq 175^\circ\text{C}$) temperatures (in terms of artificial maturation experiments). This change has not been studied in detail previously, since it occurs well below the temperature at which the primary generation of hydrocarbon-like products takes place during laboratory heating experiments (Lewan, 1985; Baskin and Peters, 1992). The decrease in kerogen sulfur implies that a substantial degree of internal rearrangement takes place within the kerogen macromolecular network, even under mild thermal stress. These rearrangements do not result in significant amounts of soluble (petroleum-like) products, but H₂S is generated. We postulate that the H₂S is primarily an elimination product from polysulfide bridges within the kerogen. Cleavage of bridges containing 2 or

more S-atoms would yield H₂S and the bridge may subsequently reform, the result being negligible release of soluble organic products.

Alternatively, sulfide bridges could be broken, yielding H₂S, but insufficient bridges are broken to release soluble, carbon-containing moieties from the kerogen. Whatever the case, the net result is a loss of sulfur from the kerogen with no concomitant carbon loss. Based on the XANES analyses it is clear that the sulfur is removed from the kerogen during these "low temperature" experiments primarily as sulfides or polysulfides (Fig. 5). This is also evident from the pyrolysis-based thiophene ratios, which show no decrease over this temperature interval (Fig. 9). Both these observations are consistent with (poly)sulfide bridges as the reactive sulfur species. Unfortunately K-edge XANES does not allow unequivocal distinction of mono-sulfide from polysulfide species so this inference cannot be verified quantitatively. Nevertheless, qualitative support for the low-temperature reactivity of polysulfides is apparent in the normalized (K-edge) XANES spectra of the kerogens (Fig. 4). Above 175°C there is a ~1 eV shift in the maximum for the lowest energy peak towards higher energy. Because organic polysulfides exhibit slightly lower K-edge energies than corresponding mono-sulfides this shift suggests preferential removal of polysulfides over mono-sulfides at lower temperatures.

Between 225°C and 300°C a significant proportion of the kerogen is converted to polar-rich bitumen (Fig. 2). Polar compounds (resins and asphaltenes) are considered to be large, soluble macromolecules with a strong genetic link to kerogen (i.e. soluble kerogen moieties) (Sinninghe Damsté and de Leeuw, 1990). The bitumen generated at 275°C is enriched in sulfur compared to both lower and higher temperature soluble products and, based on the XANES data, is dominated by (poly)sulfide sulfur. We interpret these data to imply that the bitumen derived from these experiments is predominantly the result of cleavage of sulfide links in the kerogen, liberating lower molecular weight (and therefore soluble) kerogen sub-fragments which retain abundant sulfide linkages within their infrastructure. Since sulfur in the newly generated bitumen cannot account for all of the sulfur lost from the kerogen, continued H₂S formation at these temperatures is also implied.

At higher temperatures (>300°C) bitumen formation via cleavage of sulfur links gives way to hydrocarbon generation through C-C bond scission. Presumably hydrocarbons are generated from both the kerogen and bitumen. These conditions result in soluble products which contain increasing proportions of aliphatic and aromatic hydrocarbons (including aromatized sulfur compounds) with maximum yields observed at 325°C for the former and 360°C for the latter (Fig. 2). The temperature regime between 275 and 360°C is also where the most marked changes in the carbon structure of the kerogen occurred, as indicated by bituminite reflectance and NMR spectrometry, which suggest increasing aromaticity. Also of note is the dramatic reduction in abundance of (poly)sulfide sulfur in the kerogen (Fig. 5). In terms of relative proportions, thiophenic sulfur exceeds that of sulfidic sulfur above 250°C in the kerogen.

The distribution of carbon in the various pools resulting from the artificial maturation of Monterey shale (Fig. 10) is consistent with the above interpretation of the fate of organic sulfur during maturation. It is expected that the relative concentration of organic carbon associated with the kerogen C would decrease as bitumen and gaseous products are generated. Although this held true for experiments at 250°C and above, an increase in kerogen C over that of the unheated sample was observed at 125-175°C. This was likely due to the low-temperature dissolution of non-carbon containing minerals originally present in the Monterey shale (e.g. refractory phosphates). Overall, kerogen C decreased from approximately 21 to 15% in the unheated and 360°C samples, respectively (Fig. 10), while gaseous organic carbon, in particular CH₄ and CO₂, increased with increasing temperature. Very little (<1%) organic carbon was associated with the bitumen C until 250°C, with a maximum in bitumen C at 275°C (Fig. 10). This is consistent with the thermally induced cleavage of sulfide links in the kerogen freeing soluble, carbon-containing structures to the bitumen. At higher temperatures C-C bonds in these soluble structures are likely broken leading to hydrocarbon and ultimately gas generation.

The generation of petroleum-like products as a function of temperature during the experiments presented here is consistent with previous experimental studies on sulfur-rich kerogens. The formation of expelled oil has been modeled as a two step process

involving the decomposition of generated bitumen to form a compositionally distinct oil phase (Lewan, 1985; Baskin and Peters, 1992). Although we cannot distinguish between generated bitumen and expelled oil in our experiments, compositional variations in the total extractable bitumen support such a model. Bitumen, as defined by Lewan (1993), is rich in polar compounds relative to expelled oil, which contains substantially greater proportions of saturate and aromatic hydrocarbons. During our experiments we observed a clear offset between peak generation of polar compounds at (275°C) and aliphatic and aromatic compounds (325-360°C), consistent with early bitumen generation which in turn decomposes to produce a more oil-like substance. These temperatures for peak bitumen and inferred oil formation are significantly lower than those from hydrous-pyrolysis (72 hrs) of the relatively sulfur-poor Woodford shale (330 and 350°C, respectively) and the Phosphoria shale (300 and 350°C), characterized by an intermediate organic sulfur content (Lewan, 1985). Baskin and Peters (1992) conducted hydrous-pyrolysis experiments for 72 hrs utilizing the Monterey shale and observed peak bitumen and oil formation at 280 and 330°C, respectively. These temperatures are almost identical to those observed during this study, the difference in heating time notwithstanding. Taken collectively, the experimental results provide strong evidence for the early generation of bitumen and oil from sulfur-rich kerogens, relative to sulfur-poor kerogen, owing to the preferential cleavage of weak sulfur linkages.

Interpreting the above results within the context of geological maturation of sulfur-rich source rocks such as the Monterey Fm., we can make several inferences. The first concerns the general phenomenon of low temperature petroleum generation. We have observed relatively low temperatures (experimentally-speaking) for maximum bitumen generation (275°C) during our experiments. This maximum reflects the liberation (or formation) of sulfur-rich high molecular weight heterocompounds (polar compounds) which clearly indicates the transfer of a significant proportion of sulfur from insoluble kerogen to soluble bitumen. Even before this event, however, partial elimination of sulfur from the kerogen takes place under mild thermal stress (175°C), and there is every reason to believe that this also occurs in the natural system within a late diagenetic/early maturation time-frame. For both of these phases of sulfur removal from

the kerogen, geochemical evidence suggests that the sulfur species responsible are sulfide linkages. Initially, polysulfide bridges may collapse, liberating H₂S, but little or no bitumen (Fig. 10). These bridges are likely to be the most thermally labile.

Subsequently, C-S bonds in mono-sulfide bridges may undergo scission in concert with S-S bond cleavage, releasing polar-rich bitumen. Thus (poly)sulfide linkages are the species which display the greatest reactivity at lower temperatures and likely play a key role in dictating the evolution of petroleum-like products. At higher temperatures, reactions dominated by sulfur bond-breakage give way to those involving carbon-carbon bond scission (Fig. 10). Here we envisage that sulfur plays a subordinate role owing to the fact that most of the labile sulfur is already eliminated from the kerogen at lower temperatures and condensed cyclic forms of sulfur, which are thermodynamically more stable and do not participate significantly in hydrocarbon generation, are more prevalent.

An interesting observation stemming from the present study is the non-linear relationship between the Py-GC based thiophene ratio and S_{org}/C ratio as a function of maturation temperature. A near linear relationship has previously been observed between these parameters for immature kerogens (Sinninghe Damsté and de Leeuw, 1990). Eglinton *et al.* (Eglinton *et al.*, 1994) also observed this relationship for unconsolidated organic sulfur-rich sediments from the Peru margin, noting that the relation held despite the fact that XANES analysis indicated only a small proportion of the sulfur was thiophenic. These authors postulated that the ratio must reflect total sulfur because of a constancy in the relative abundance of these species over the diagenetic interval studied. Indeed, for Peru margin kerogens, XANES-based speciation was remarkably constant, with sulfides dominating throughout. Like the Peru kerogens, the kerogens from the unheated Monterey shale showed a similar dominance of sulfides, but unlike the former there was a significant shift in the relative proportions of sulfur species as a function of temperature. Consequently, at lower temperatures (<250°C), the thiophene ratio remained constant while the S/C ratio decreased (Fig. 9). This reflects removal of S from polysulfide bridges (as H₂S), while sulfur more intimately associated with carbon structures remains intact. At higher temperatures, the thiophene ratio shows a more precipitous reduction compared to the S/C ratio, where removal of thiophenic species

becomes prevalent. The result of these thermally disparate events is a "dog-leg" relationship between the thiophene ratio and the S/C ratio. Although systematic decreases in the thiophene ratio as a function of maturity have been previously observed (Eglinton *et al.*, 1990b), this more complex relationship with respect to the S/C ratio has not been reported. It is clear, therefore, that caution should be exercised when using the Py-GC approach for estimating organic sulfur contents of kerogens spanning a wide maturity range.

Conclusions

Hydrous-pyrolysis experiments, when combined with an analytical scheme involving XANES spectroscopy, Py-GC, CP/MAS ^{13}C NMR spectrometry, elemental analysis, TLC-FID and selected light microscopy, represents an effective means to determine the speciation of sulfur during maturation of the Monterey shale. The following conclusions regarding gross and molecular-level transformations in sulfur speciation and the manner by which they lead to the early conversion of kerogen to bitumen and oil are made:

1. The high sulfur content of Monterey kerogen leads to relatively low temperature (polar) bitumen (275°C) and (saturate and aromatic hydrocarbons) oil (325-360°C) generation as compared to sulfur-poor kerogens during experiments.
2. XANES spectroscopic analyses indicate that sulfides and polysulfides are the major forms of organic sulfur initially present in the Monterey Shale kerogen. Removal of these sulfur species from the kerogen begins at very low temperatures (150°C), with qualitative evidence indicating preferential elimination of polysulfides. The relative amount of organic sulfides and polysulfides increases in the bitumen fraction to a maximum at 275°C, whereas the relative proportion of total thiophenes in both the kerogen and bitumen fractions show comparatively little change with temperature. The early generation of bitumen is attributed to the inherent weakness of sulfur linkages within kerogen-bound sulfides and, in particular, polysulfides.

3. The fate of organic sulfur during laboratory thermal maturation of Monterey kerogen can be described in terms of three temperature regimes: (a) 150-225°C: (Poly)sulfide bridges collapse, leading to the formation of H₂S. (b) 225-275°C: Scission of sufficient sulfide linkages resulting in the release of soluble sulfur-rich fragments (bitumen) from the kerogen and continued H₂S production. (c) >275°C: Cleavage of S-S and C-S bonds gives way to C-C bond scission and the generation of saturated and aromatic hydrocarbons. At higher temperatures (360°C) sulfur may no longer be mechanistically important as most of the labile forms have been removed.

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Table 1. Total extract, saturated, aromatic and polar compound yields. Concentrations of dissolved CO₂ + C₁-C₃ (light hydrocarbon) and H₂S.

Temperature (°C)	Total (mg/g rock)	Saturates (mg/g rock)	Aromatics (mg/g rock)	Polars (mg/g rock)	CO ₂ + C ₁ -C ₃ * (mg/g rock)	H ₂ S* (mg/g rock)
Unheated	10.7	0.0	0.0	10.7	n.d.	n.d.
125	1.23	0.0	0.0	1.23	6.01	n.d.
150	1.72	0.0	0.0	1.72	8.68	n.d.
175	7.26	0.58	0.0	6.68	13.3	n.d.
200	22.4	0.11	0.27	22.0	20.7	n.d.
225	10.5	0.64	0.87	9.01	n.d.	n.d.
250	36.9	0.54	2.08	34.2	29.8	6.9
275	68.4	2.69	3.70	62.0	n.d.	n.d.
300	29.7	1.60	1.45	26.6	47.9	8.4
325	29.2	3.25	4.39	21.5	50.3	9.3
350	22.7	1.87	3.26	17.5	69.3	12.7
360	33.1	1.21	4.81	27.1	77.4	18.8

n.d. not determined.

* calculated from the concentrations of these species dissolved in the aqueous phase.

Table 2. Elemental Analysis of bulk sediments, CrCl₂-treated kerogens and solvent (bitumen) extracts

Temp. (°C)	Bulk Sediment				CrCl ₂ -treated Kerogen					Bitumen				
	%OC	%N	%S	S/C	%OC	%H	%N	%S	S/C	%OC	%H	%N	%S	S/C
Unheated	20.58	1.24	3.86	0.070	60.44	6.52	3.22	8.25	0.051	68.86	8.34	2.05	7.25	0.039
125	22.60	1.36	3.67	0.061	59.83	6.41	3.06	7.83	0.049	56.40	5.67	2.44	5.12	0.034
150	23.54	1.42	3.67	0.058	59.57	6.86	2.91	7.50	0.047	67.68	7.02	2.23	6.92	0.038
175	22.39	1.34	3.50	0.059	60.79	6.22	3.11	7.39	0.046	69.62	8.39	1.56	8.30	0.045
200	22.62	1.23	3.02	0.050	64.56	6.45	3.14	6.92	0.040	n.d.	n.d.	n.d.	n.d.	n.d.
225*	18.64	1.08	2.64	0.053	62.88	6.28	3.24	6.04	0.036	55.35	4.69	1.76	6.79	0.046
250	20.32	1.21	3.06	0.056	60.81	5.99	2.93	5.21	0.032	68.66	7.59	1.68	10.8	0.059
275*	15.58	0.94	2.36	0.057	69.13	5.74	3.60	4.49	0.024	71.98	8.25	2.27	10.4	0.054
300	18.31	1.12	2.24	0.046	72.55	5.66	3.70	3.91	0.020	55.95	6.72	1.35	6.61	0.044
325	16.71	0.97	2.21	0.050	75.26	5.03	3.95	3.40	0.017	72.55	7.92	1.62	9.64	0.050
350	15.08	0.90	1.81	0.045	65.22	4.39	3.54	2.94	0.017	n.d.	n.d.	n.d.	n.d.	n.d.
360	14.58	0.98	2.44	0.063	74.79	4.23	4.01	3.48	0.017	76.48	6.86	2.06	7.61	0.037

* Sediment samples were not decarbonated prior to hydrous-pyrolysis.

n.d. not determined.

Table 3. NMR results of bulk sediments, isolated kerogens and CrCl₂-treated kerogens and "bituminite" reflectance of bulk sediments

Temperature (°C)	Aromaticity*			%R ₀
	Bulk Sediment	Kerogen	CrCl ₂ -treated Kerogen	Bulk Sediment
Unheated	0.35	0.34	n.d.	0.25
125	0.32	0.35	0.32	0.24
150	0.36	0.32	0.33	0.28
175	0.41	0.37	0.36	0.31
200	n.d.	n.d.	n.d.	0.30
225	0.44	n.d.	n.d.	0.29
250	0.45	0.49	0.49	0.36
275	0.57	n.d.	n.d.	0.33
300	0.64	0.59	0.63	0.42
325	0.67	n.d.	n.d.	0.59
350	n.d.	n.d.	n.d.	0.85
360	0.88	0.83	0.83	0.95

* may include any contributions from carboxylate and carbonyl carbons if present.
n.d. not determined.

Table 4. Peak identifications for FPD chromatograms

Peak	Compound	Origin*	Peak	Compound	Origin*
1	Hydrogen sulfide	?	16	2-ethyl-4-methylthiophene	B
2	Thiophene	?	17	2,3,5-trimethylthiophene	I,B
3	2-Methylthiophene	L	18	2-methyl-5-ethenylthiophene	L
4	3-Methylthiophene	I	19	2,3,4-trimethylthiophene	B,S
5	Thiolane	?	20	3-isopropyl-2-methylthiophene	S
6	Methyldihydrothiophene	L	21	2-methyl-5-propylthiophene	L
7	2-methylthiolane	L		+ 2,5-diethylthiophene	L
8	2-ethylthiolane	L	22	5-ethyl-2,3-dimethylthiophene	B
9	2,5-dimethylthiophene	L	23	Unknown	S?
10	2,4-dimethylthiophene	B,S	24	2-butyl-5-methylthiophene	L
11	2-ethenylthiophene	I	25	2-ethyl-5-butylthiophene	L
12	2,3-dimethylthiophene	I,B	26	2-methyl-5-pentylthiophene	L
13	2-ethylthiolane	L	27	2-methylbenzo[β]thiophene	L
14	2-propylthiophene	L	28	4-methylbenzo[β]thiophene	L
15	2-ethyl-5-methylthiophene	L		+ 3-methylbenzo[β]thiophene	B

* Inferred carbon skeleton of bound precursor: L=linear, B=branched, I=isoprenoid, S=steroid side chain.

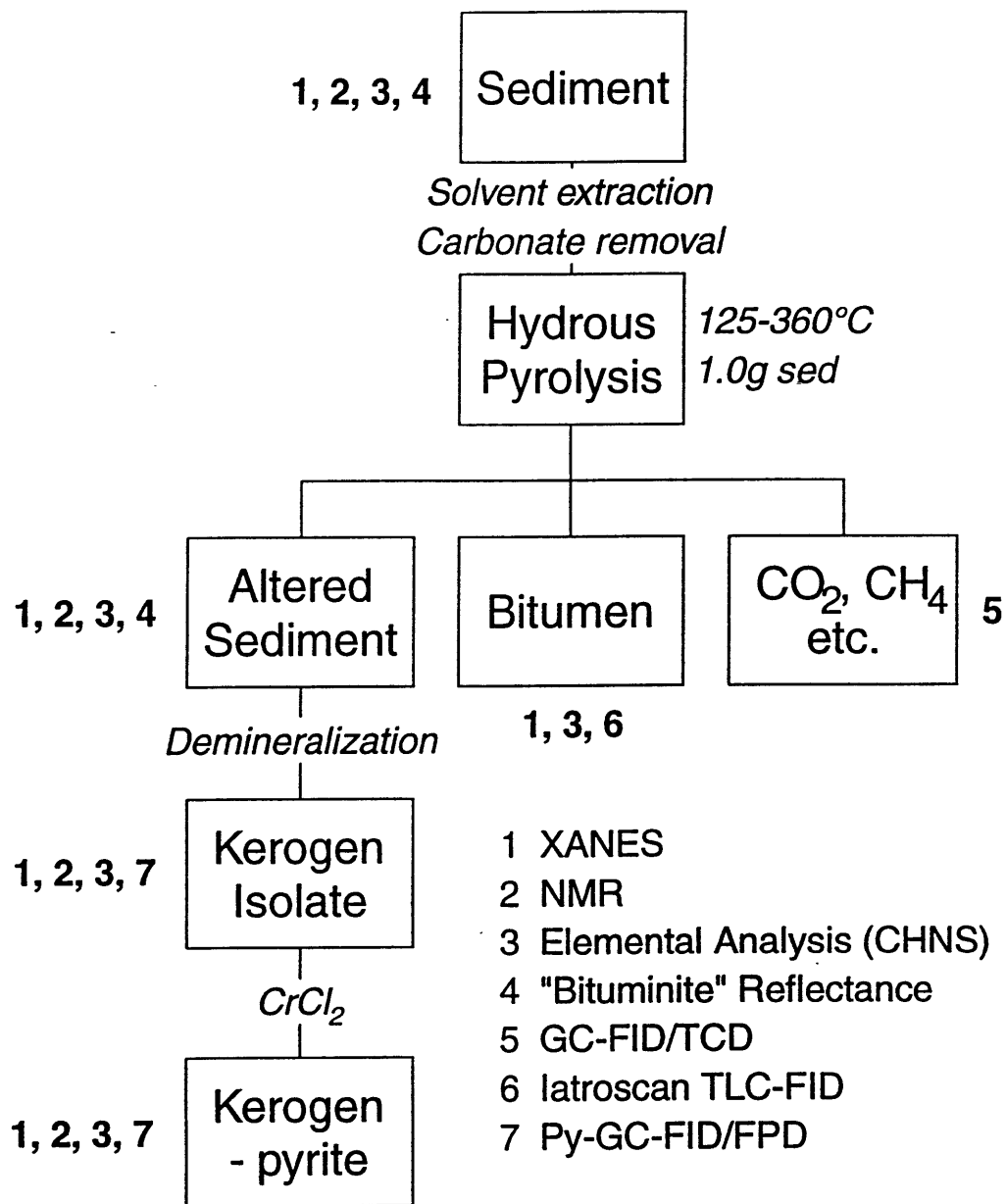


Figure 1. Outline of analytical scheme for separation and characterization of hydrous-pyrolysis products.

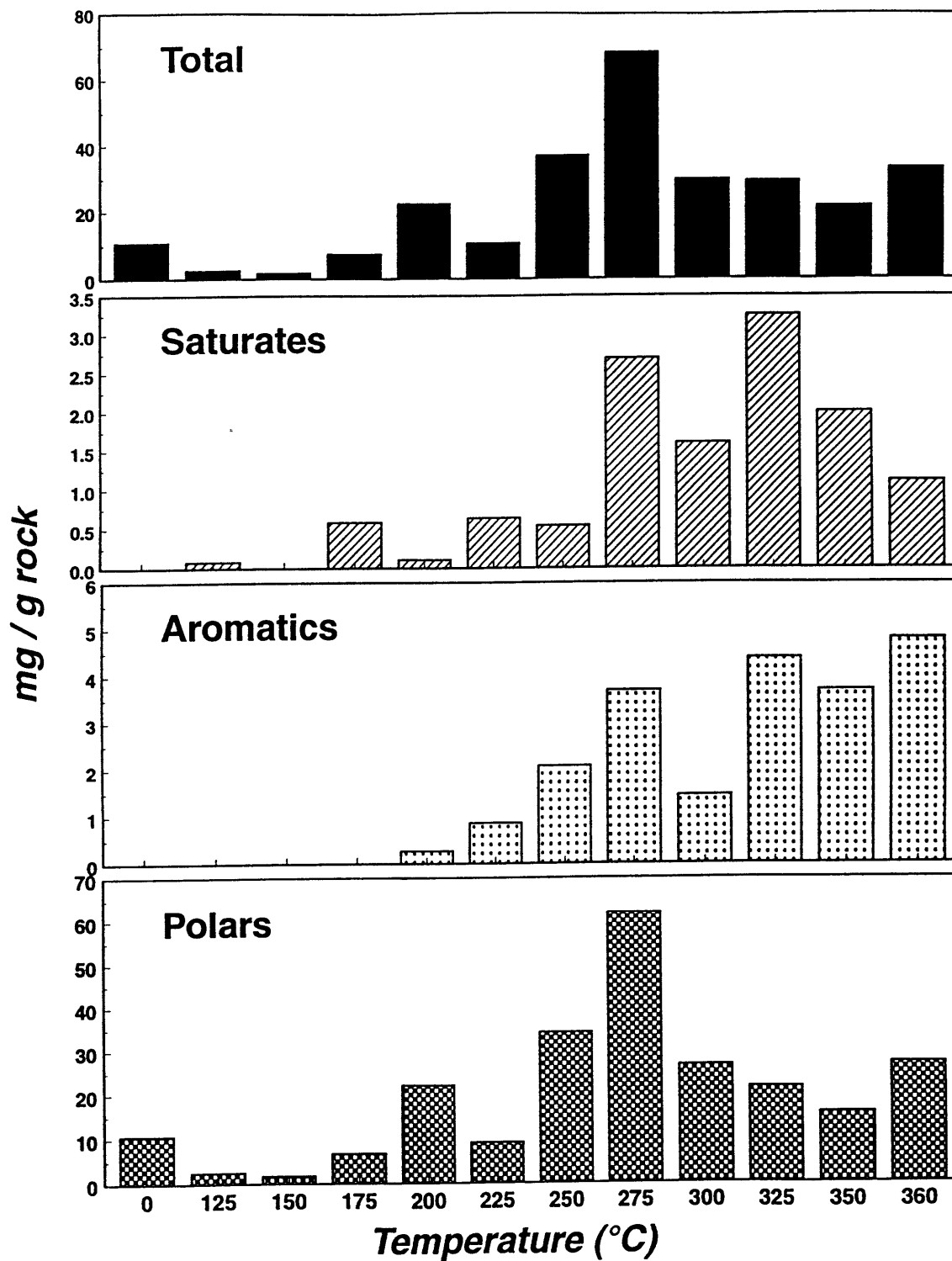


Figure 2. Bar charts showing yields of total extract, saturated, aromatic and polar compound classes for the soluble (bitumen) products from each hydrothermal-pyrolysis experiment.

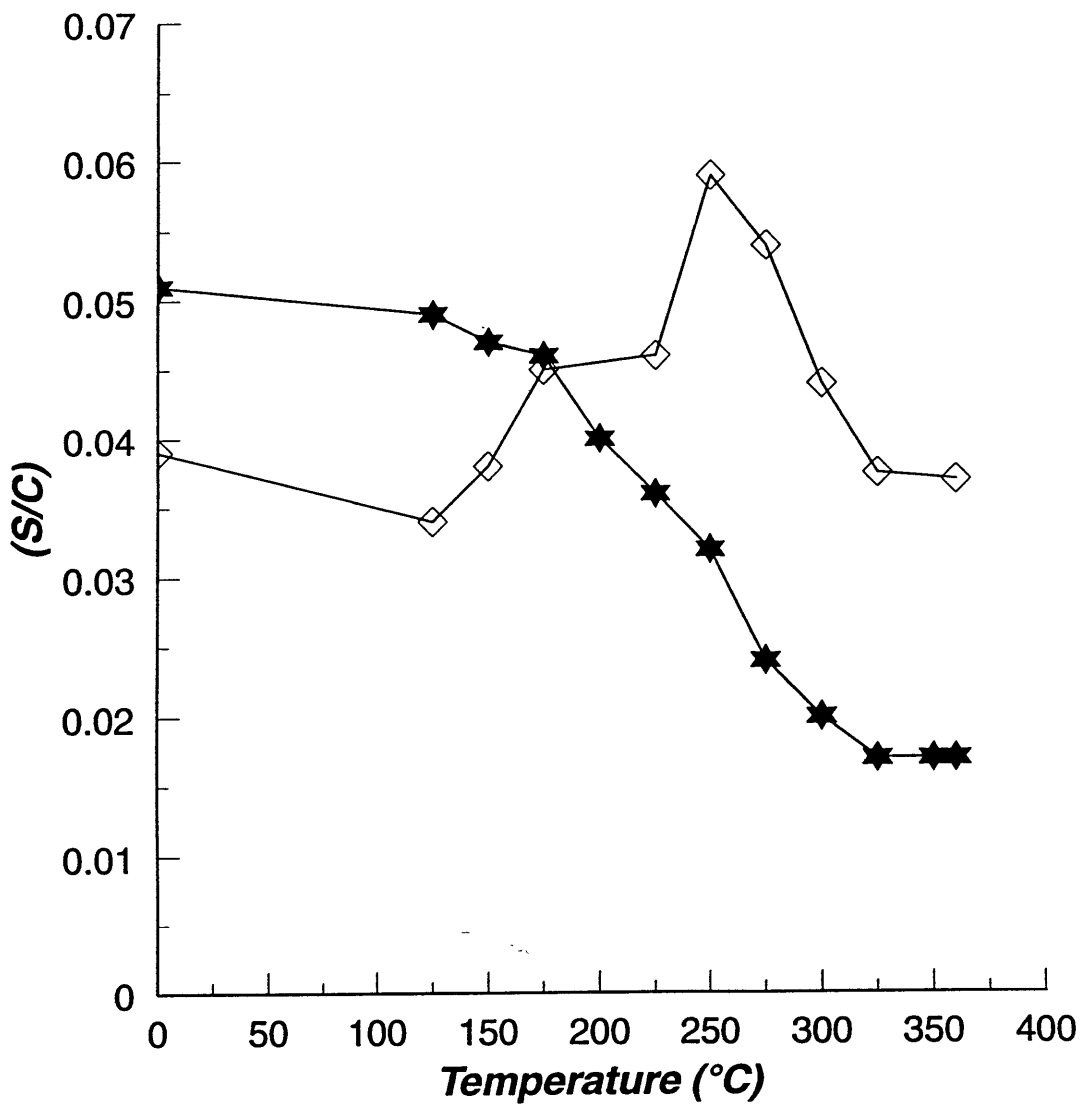


Figure 3. Variation in the atomic S/C ratio of the bitumen (open diamonds) and CrCl₂-treated kerogens (closed stars) with temperature.

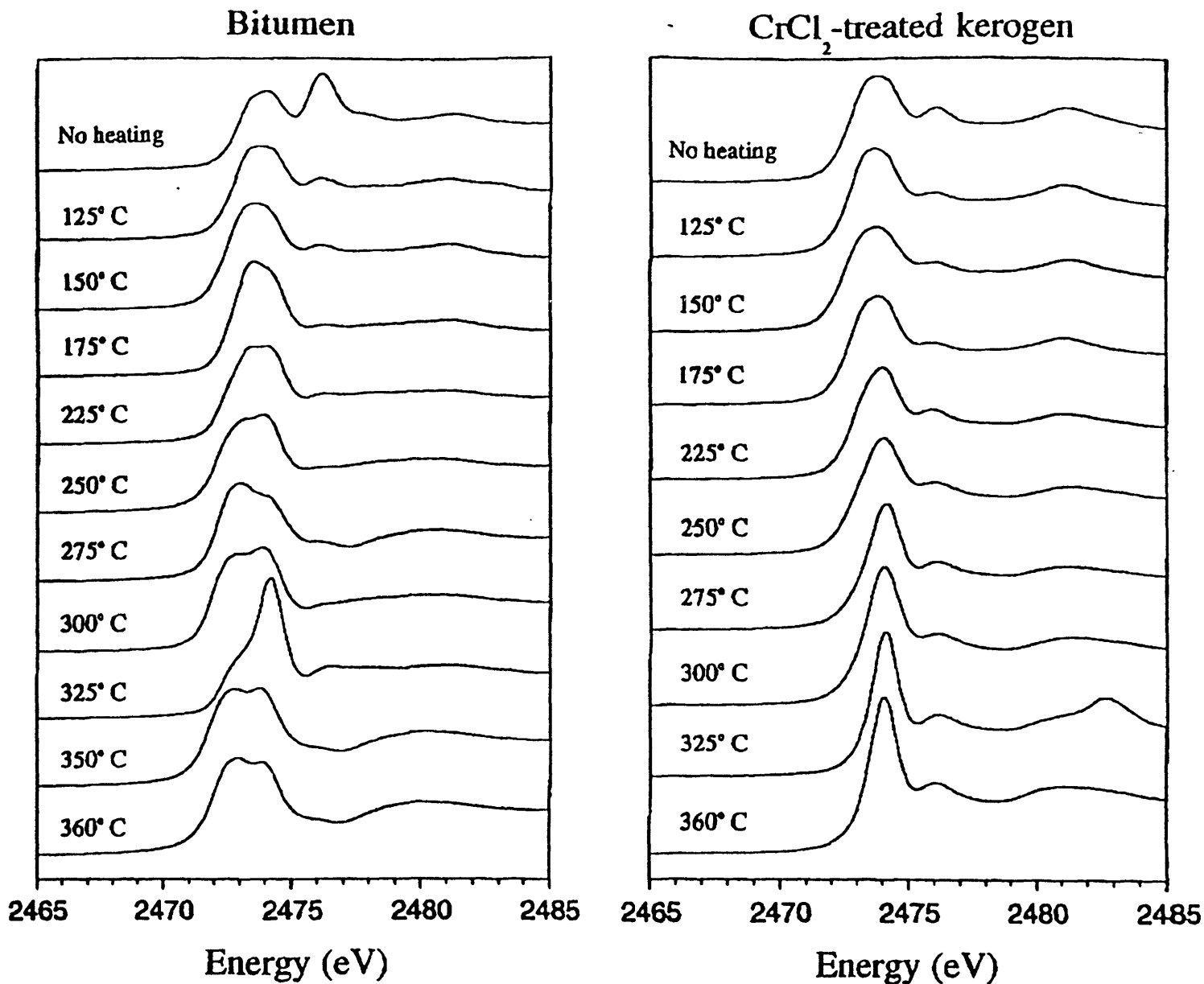


Figure 4. Normalized XANES spectra of (a) bitumen from the unheated, 125°C, 150°C, 175°C, 225°C, 250°C, 275°C, 300°C, 325°C, 350°C and 360°C hydrous-pyrolysis experiments, and (b) CrCl₂-treated kerogens from the unheated, 125°C, 150°C, 175°C, 225°C, 250°C, 275°C, 300°C, 325°C and 360°C experiments.

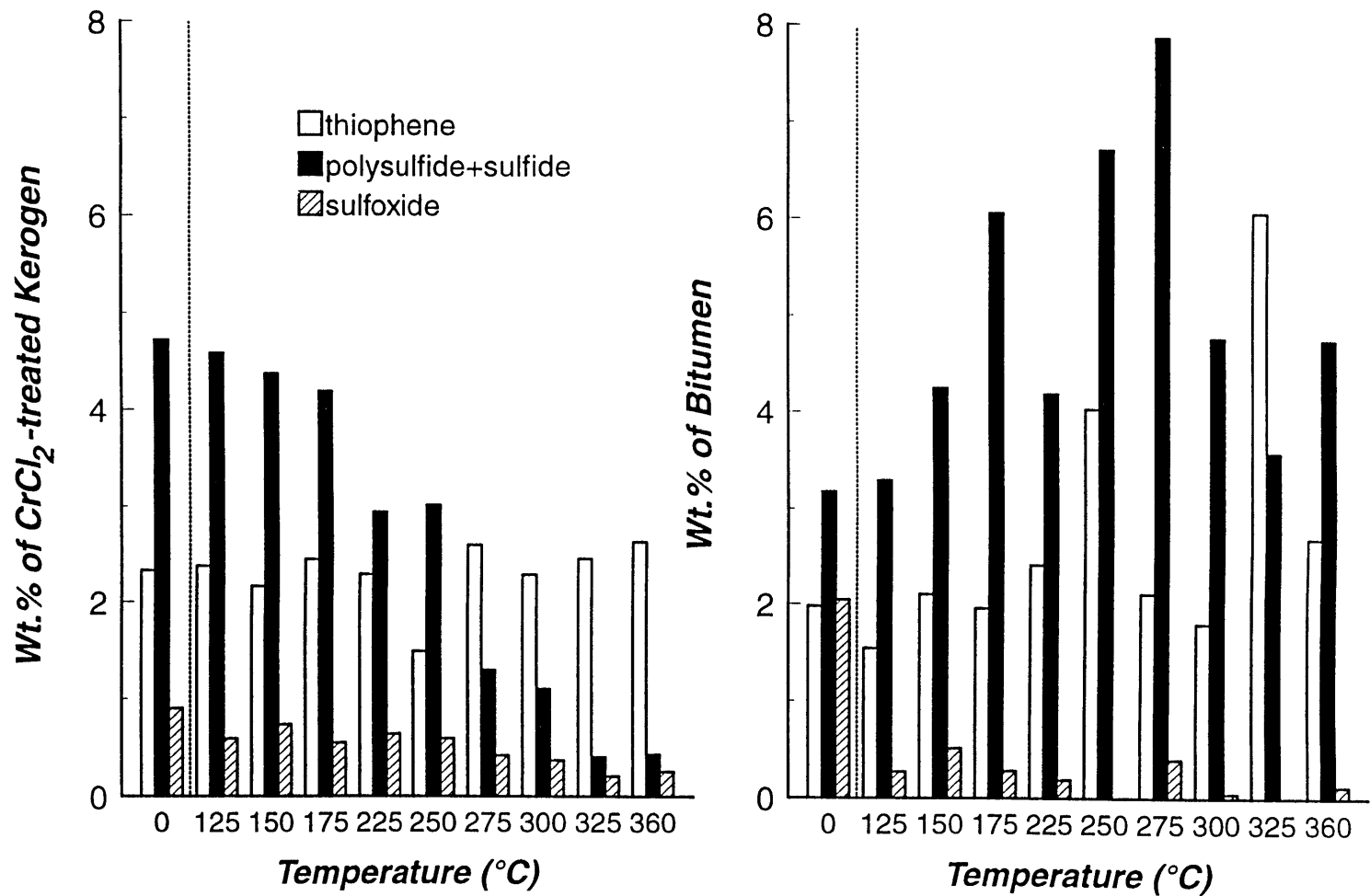


Figure 5. XANES-based sulfur speciation in the (a) CrCl₂-treated kerogen and (b) bitumen fractions.

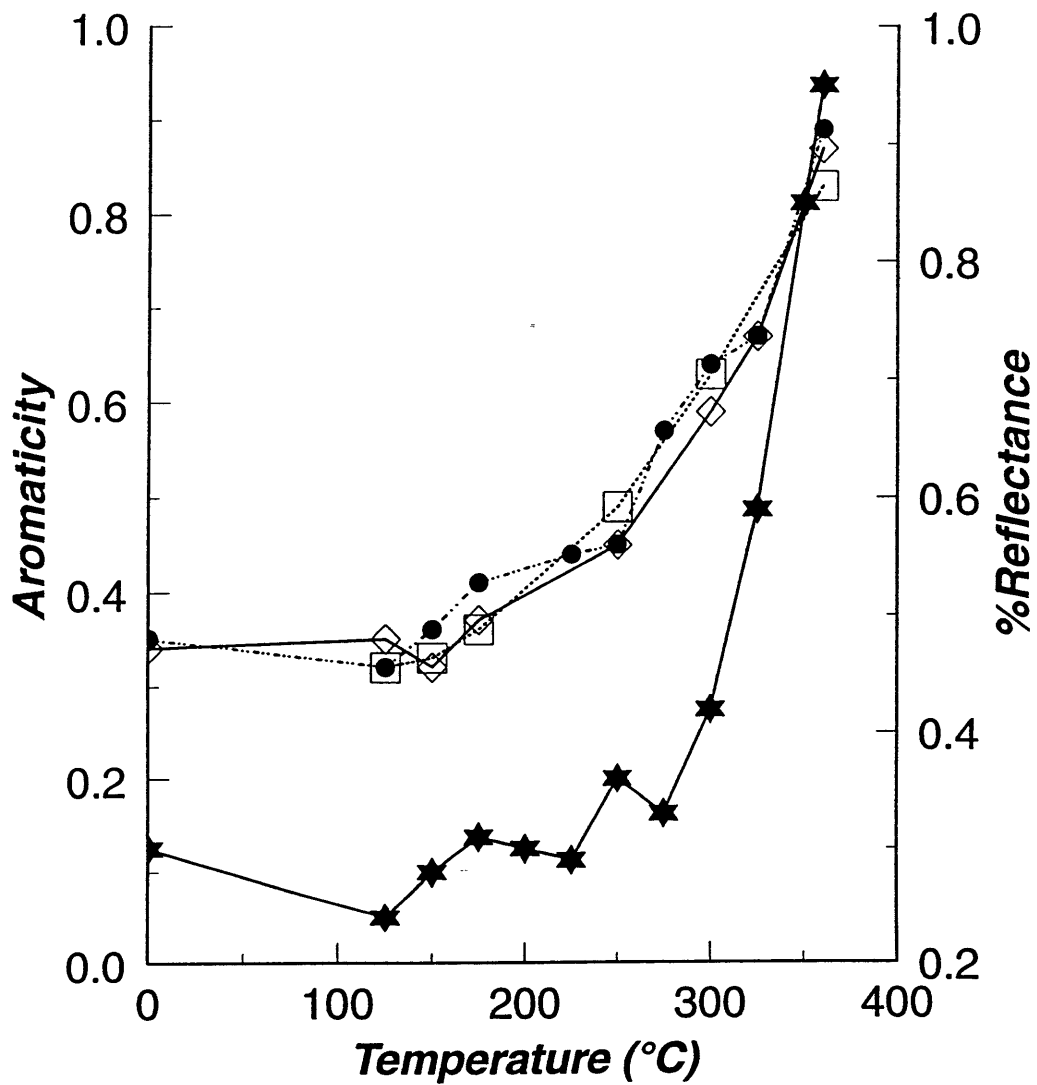


Figure 6. Variation in aromaticity (may include any contributions from carboxylate carbons at ~175 ppm) of kerogen, CrCl₂-treated kerogen and altered sediment fractions as well as variation in reflectance of altered sediments with temperature. Symbols represent: (Aromaticity) open diamonds kerogens, open squares pyrite-free kerogen, closed circles altered sediments; (%R₀) closed stars altered sediments.

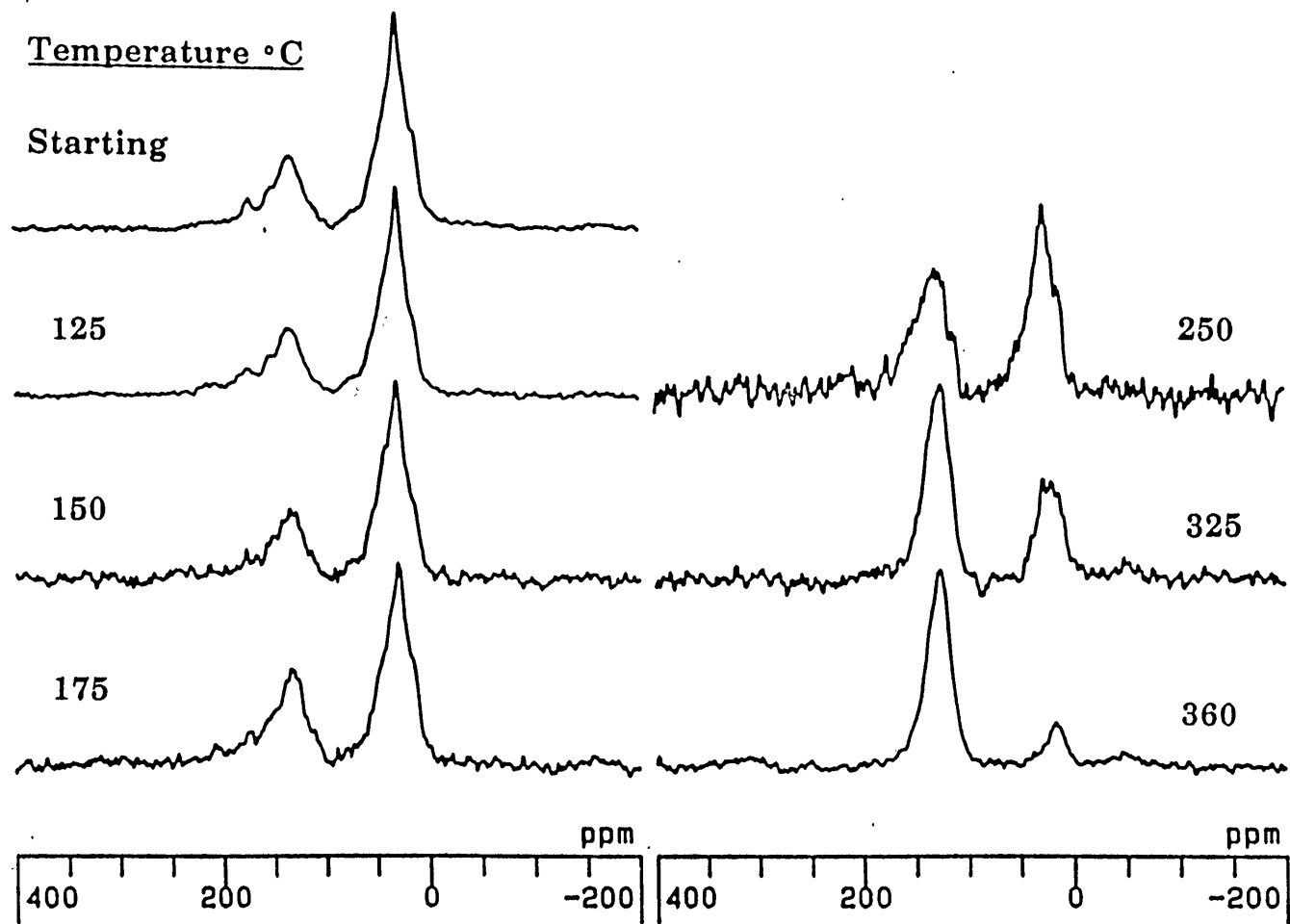


Figure 7. Solid-state ^{13}C CP-MAS NMR spectra of the kerogen isolates from the unheated, 125°C, 150°C, 175°C, 250°C, 325°C and 360°C experiments.

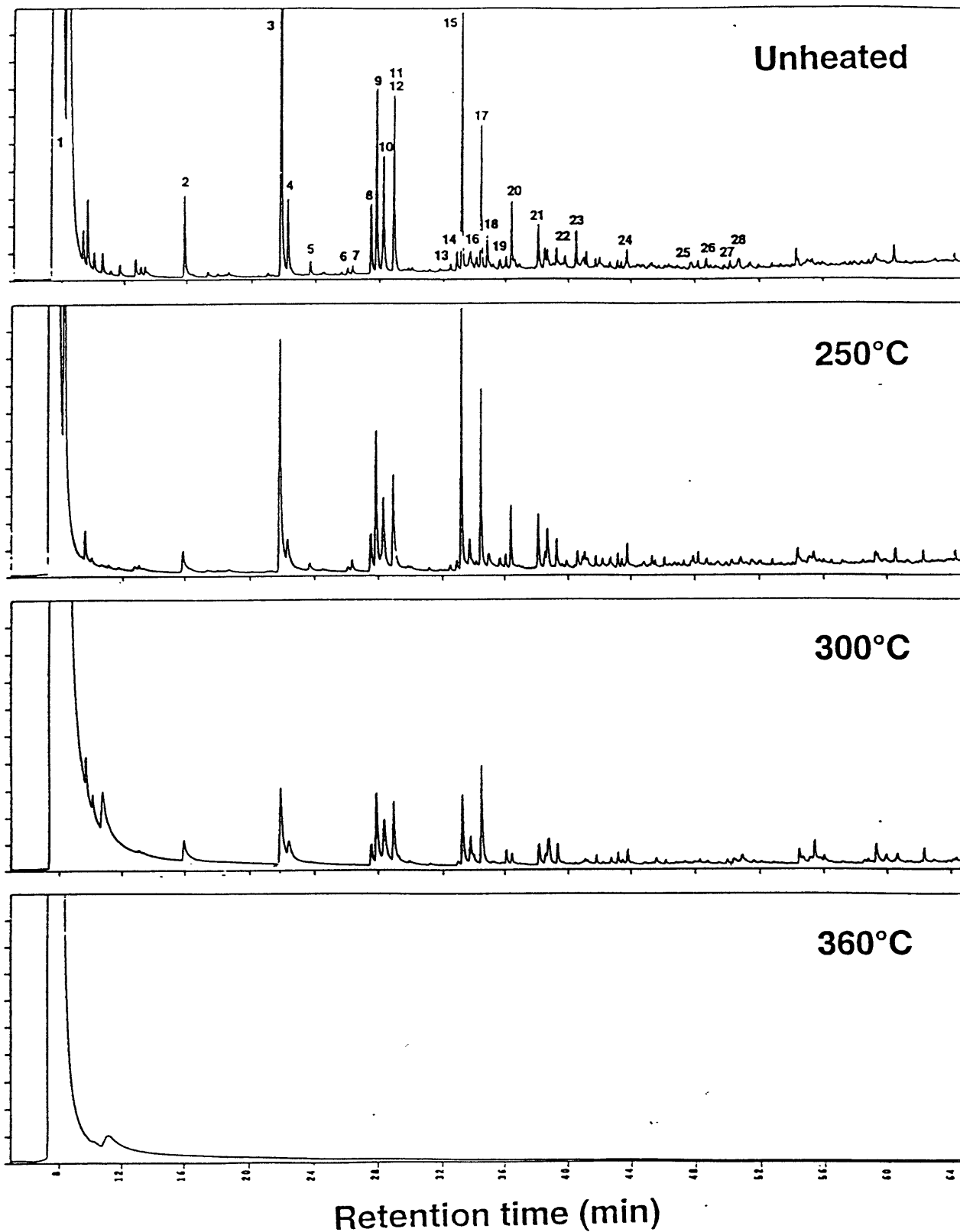


Figure 8. (a) Partial FID chromatograms from Py-GC of the kerogen isolates from the unheated, 250°C, 300°C and 360°C hydrous-pyrolysis experiments. Numbers represent *n*-hydrocarbon homologs, symbols denote: closed circles alkylbenzenes, open circles thiophenes.

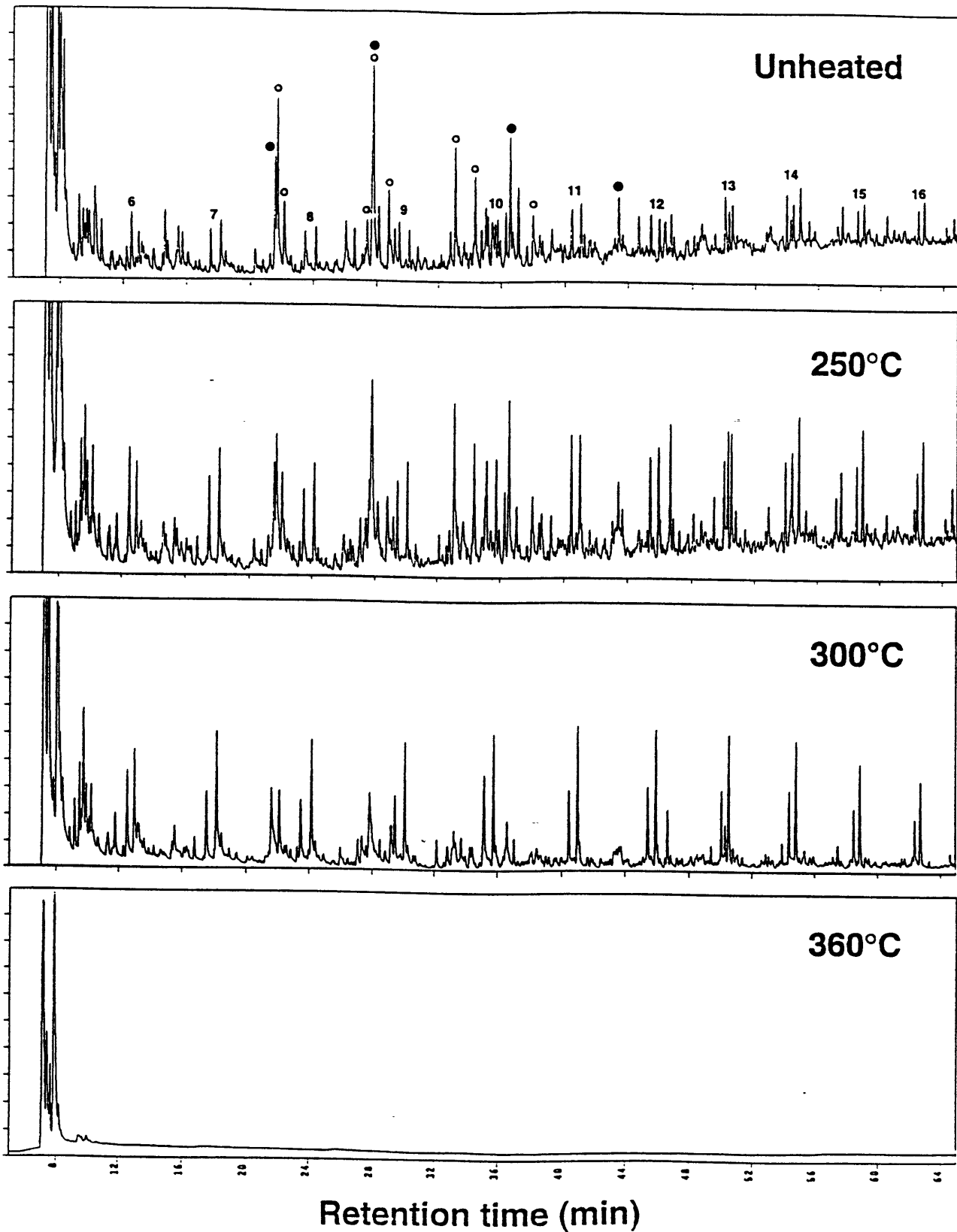


Figure 8. (b) Partial FPD chromatograms from Py-GC of the kerogen isolates from the unheated, 250°C, 300°C and 360°C hydrous-pyrolysis experiments. Peak assignments are listed in Table 4.

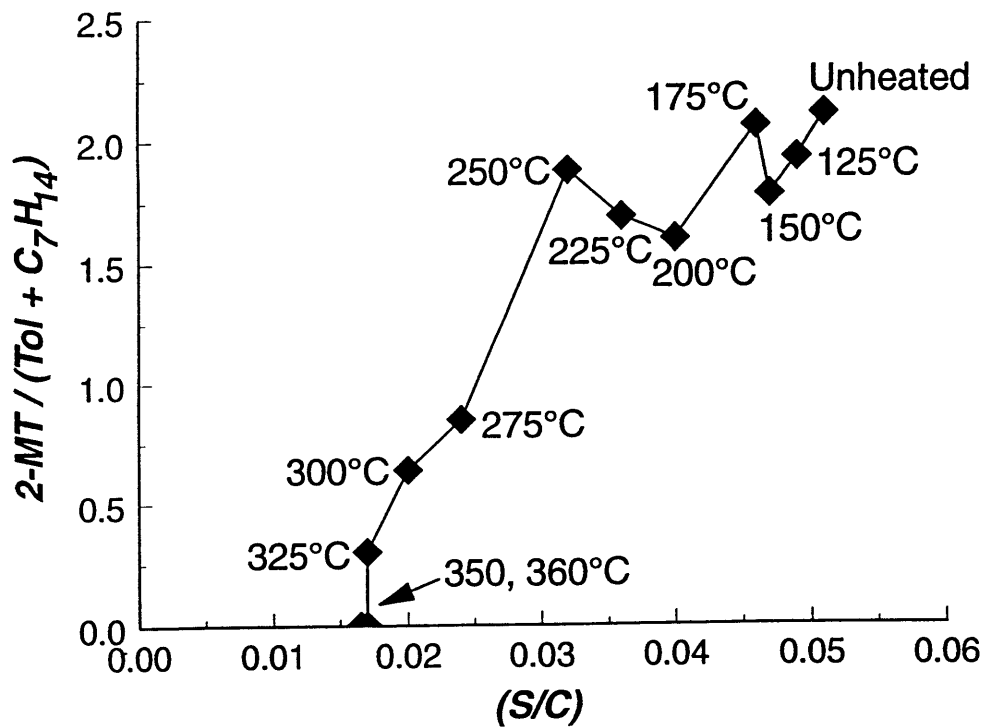
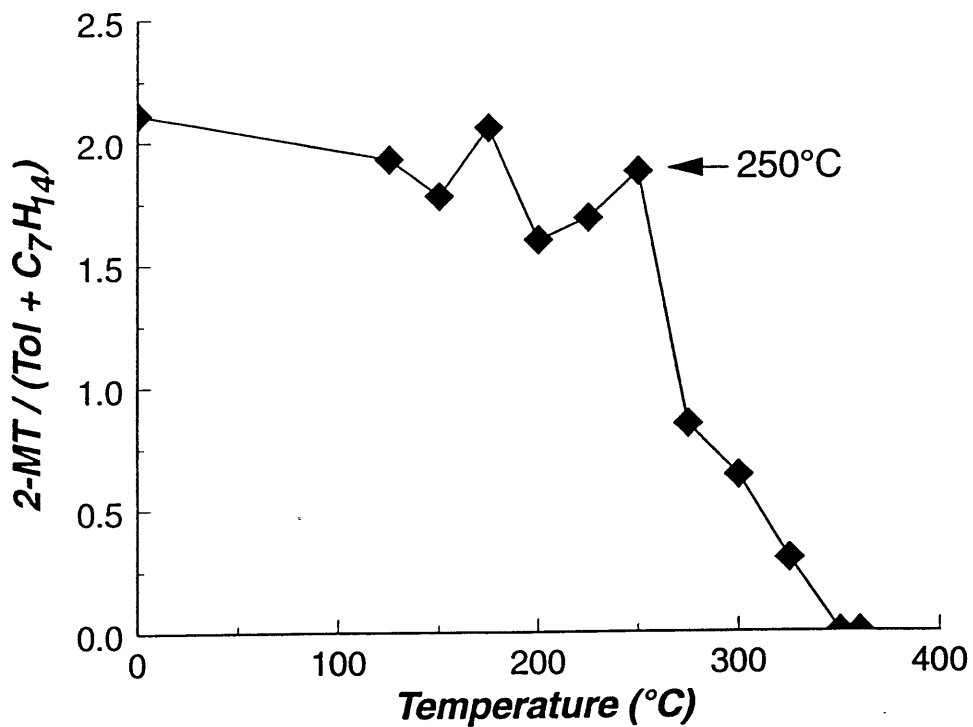


Figure 9. Assessment of the relative abundance of thiophenes in Py-GC (FID) traces of CrCl₂-treated kerogens from each hydrous-pyrolysis experiment (expressed as the ratio of [2-methylthiophene/(toluene+C₇H₁₄)] with (a) temperature and (b) atomic S/C ratio.

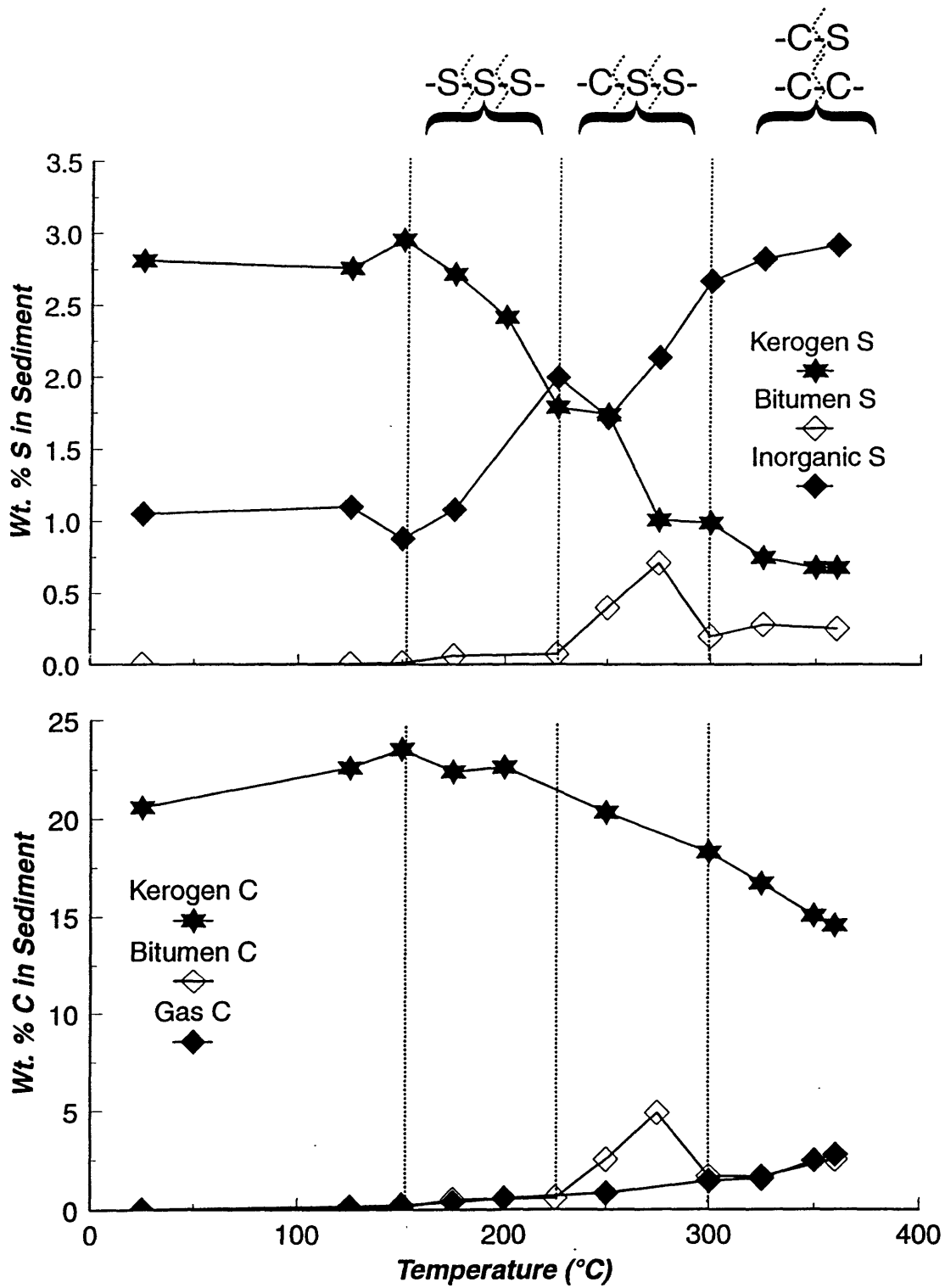


Figure 10. Variation in total sedimentary (a) sulfur and (b) carbon with temperature.

CHAPTER 2

Chemical Mechanisms of Hydrocarbon and Non-hydrocarbon Gas Generation During Laboratory Hydrous-pyrolysis of Monterey and Smackover shales

Introduction

The desire of the oil industry to maximize the yield of petroleum products obtained from drilling operations has provided sufficient economic incentive to study petroleum generation and expulsion. Unfortunately, sedimentary basins present a complex environment for researchers interested in modeling the extent and timing of oil and gas generation. Time and temperature are important factors controlling petroleum generation yet it is difficult to accurately determine the extent of thermal stress and resulting organic transformations that a source rock has undergone. Consequently, the mechanisms which lead to oil generation and expulsion are obscured in natural settings.

Artificial maturation experiments conducted under both dry conditions (Harwood, 1977; Tissot *et al.*, 1987) and in the presence of water (Lewan, 1985, 1992; Tannenbaum and Kaplan, 1985; Seewald, 1994) have helped to reveal the physical and chemical processes responsible for hydrocarbon generation. Although elevated temperatures are necessary during laboratory studies to compensate for vastly disparate time scales (hours vs. millions of years), hydrous-pyrolysis experiments have yielded petroleum products which are very similar to those observed in sedimentary basins (Lewan, 1985; 1992). Furthermore, the chemical mechanisms leading to the generation of oil during hydrous-pyrolysis experiments appear to be the same as those occurring in natural petroleum formations (Lewan, 1992). Thus, experimental simulations have enabled researchers to develop chemical kinetic models of petroleum generation and expulsion in sedimentary basins (Lewan, 1985; Horsfield *et al.*, 1992; Sweeney *et al.*, 1995).

The amounts and distribution of light hydrocarbons (C₁-C₄), and other gases such as CO₂, H₂S and H₂, can provide further insight into the organic transformations occurring during source rock maturation. It has been proposed that these gas products may play an important role in the migration of oil over time scales on the order of years

(Price *et al.*, 1983; Whelan *et al.*, 1994). Only recently, however, have researchers been able to duplicate the composition of natural gas during laboratory pyrolysis (Mango *et al.*, 1994). Attempts to accurately monitor the generation of gaseous products have been hampered by the lack of an ideal experimental apparatus. For example, in studies utilizing a Parr-type hydrous-pyrolysis system (Lewan, 1985), both liquid and vapor phases are present making it difficult to accurately analyze gas samples while at experimental conditions. It is only possible to measure gas concentrations once the experiment has been cooled. The quenching process, however, may initiate chemical reactions which alter the concentration and distribution of generated hydrocarbons. Open pyrolysis systems, on the other hand, allow for continuous measurement of released hydrocarbons. Secondary reactions involving the cracking of oil to gas do not take place, however, because the reaction chamber is continuously swept with carrier gas, removing volatile products (Ungerer, 1990). Furthermore, the lack of water, low pressures and extremely high temperatures (>450°C) involved are not characteristic of natural environments (Ungerer, 1990). To circumvent these problems a hydrous-pyrolysis system with no head space and only a single liquid phase present was constructed. This experimental set-up allows dissolved gas concentrations and organic acid anions to be sampled while at experimental temperature and pressure. Thus, fluid chemistry can be monitored as a function of time during an individual experiment.

The primary goal of this study was to determine the chemical processes which regulate the rate and extent of chemical reactions responsible for the generation of gaseous products. In order to compare the effects of initial kerogen composition on gas generation, samples of Monterey and Smackover shales were used. A series of hydrous-pyrolysis experiments were conducted for 170 hrs at temperatures ranging from 125 to 360°C. The dissolved concentrations of C₁-C₄ hydrocarbons, CO₂, H₂S and H₂ were monitored during each heating experiment. In addition, elemental analyses of the bulk sediment and kerogen isolates were carried out at the end of each experiment. The results from these measurements have enabled us to further constrain the mechanisms leading to gas generation during source rock maturation.

Methods

Sample Description and Preparation

The Monterey shale sample is a thermally immature (Bituminite reflectance = 0.25%), organic rich (TOC = 20.6 wt.%) consolidated sediment from the Miocene, Monterey Fm. (Sample # ML91-17, provided by M.D. Lewan). The sample was obtained from an outcrop at Naples Beach, CA where it was removed from a 10 cm interval at the base of Unit 315, approximately 9 m below the lowest phosphorite horizon. The sample comprised a lenticularly laminated claystone and visually appeared fresh (i.e. unweathered) and blocky. The Monterey shale kerogen is comprised primarily of sulfur-rich marine organic matter (Orr, 1986). Due to its high sulfur content (wt.% S = 8.25) and petrographic features the Monterey shale kerogen is classified as a type II-S kerogen (Orr, 1986).

The Smackover shale is a consolidated sediment sample from the Jurassic, Upper Smackover Fm. It was obtained from core cuttings retrieved at 3260 m depth from the Amerada Scotch Well #1 in Clark County, Al. The sample is relatively organic lean (TOC = 0.917 wt.%) contains very little sulfur and has a vitrinite reflectance value of 0.52% R_o . The Smackover shale kerogen is primarily terrestrial in origin and petrographic evidence indicates that the sample is a type III kerogen.

In this study we were interested in the chemical processes leading to gas generation. Complications due to the physical processes associated with the expulsion of gas were minimized by pulverizing the samples in a disc mill to <125 μm after scraping to expose pristine material. After pulverization, the ground sediment was solvent extracted to remove indigenous hydrocarbons. The Monterey shale sample was sequentially extracted by sonic disruption for 9 min in CH_2Cl_2 , a 1:1 mixture of $\text{CH}_2\text{Cl}_2/\text{CH}_3\text{OH}$ and CH_3OH . The Smackover sample was soxhlet-extracted for 48 hrs using a 93:7 $\text{CH}_2\text{Cl}_2/\text{CH}_3\text{OH}$ mixture. With the exception of the 225 and 275°C heating experiments, both the Monterey and Smackover shales were treated with 10% HCl at 40°C for 2 hrs to remove sedimentary carbonate.

Hydrous-pyrolysis Experiments

1.0 g of solvent-extracted, carbonate-free sediment was used as starting material for each experiment except MS9 in which 0.5 g was used. The sediment sample was loaded into a 20 mL, 316 stainless-steel pipe-bomb, sealed and placed into a horizontal 62 x 12.0 cm i.d. furnace (Fig. 1). The air in the pipe-bomb was evacuated and the pipe-bomb was partially filled with argon-purged distilled water to ensure that the sediment was in contact with water during heating. After the desired experimental temperature was reached (~30 min), the pipe-bomb was filled completely with distilled water and pressurized to 350 bars so that only a single liquid phase was present (i.e., no head-space). Temperature was monitored ($\pm 2^\circ\text{C}$) with a thermocouple at each end of the pipe-bomb to ensure there were no thermal gradients. A wide range in hydrous-pyrolysis temperatures (125-360°C) was chosen in order to clearly define the amount of thermal stress necessary for significant gas generation. All experiments were approximately 170 hrs in duration.

During an experiment, fluid samples were withdrawn from the pipe-bomb through a 10 μm stainless-steel mesh filter into glass gas-tight syringes after approximately 24, 72 and 170 hrs. Pressure was maintained during the sampling process by pumping argon-purged distilled water into one end of the pipe-bomb while the fluid sample was removed from the other. During sampling, an initial 0.5 mL of fluid was removed to clean the stainless-steel lines and valve. Duplicate fluid samples were then removed to measure dissolved hydrocarbon and CO_2 concentrations, followed by individual fluid samples for H_2S , H_2 and organic acid anion measurements. The sampling process was performed rapidly (1 to 2 min) to avoid dilution of fluid samples with the freshly injected water.

At the end of the experiment the pipe-bomb was cooled and all liquid contents were removed by sequentially pumping 30 mL of CH_3OH and CH_2Cl_2 through the pipe-bomb. The pipe-bomb was opened and the solid residue was removed by rinsing with additional solvent. The combined $\text{CH}_3\text{OH}/\text{CH}_2\text{Cl}_2$ extract was centrifuged and the liquid products decanted. After drying at 40°C in air, the solid residue was sequentially extracted by sonic disruption in $\text{CH}_3\text{OH}/\text{CH}_2\text{Cl}_2$ to ensure complete removal of generated bitumen. After extraction, a split of the altered sediment (~350 mg) was demineralized

through HCl and HF acid digestions at room temperature (Eglinton and Douglas, 1988) to obtain a kerogen isolate. An aliquot (~50 mg) of the kerogen isolate was treated with CrCl₂ and concentrated HCl to remove inorganic sulfur (Acholla and Orr, 1993).

Analytical Procedures

Dissolved CO₂ and C₁-C₄ hydrocarbons were analyzed using a purge and trap apparatus interfaced to a Hewlett Packard 5890 Series II gas chromatograph (GC), fitted with a flame ionization and a thermal conductivity detector in series. Fluid samples were injected into the purge cell which was filled with 1 mL of 25% H₃PO₄ and purged for 20 min. This ensured complete evolution of dissolved CO₂. Evolved gases were trapped cryogenically in a chill loop packed with *n*-octane/porasil C and then injected the GC. Chromatographic separation was accomplished using either a porapak-Q packed column or a poraplot-Q megabore capillary column. Authentic gas standards (Scotty[®] II Analyzed Gases) were used to create a three level calibration curve for each hydrocarbon and CO₂. Analytical uncertainties for these species are estimated to be <5%.

Dissolved H₂ was quantitatively removed from the fluid samples by partitioning into a N₂ headspace. The concentration of extracted H₂ was then determined using a Shimadzu GC-8A gas chromatograph equipped with a 5Å molecular sieve packed column and a thermal conductivity detector. A 1.01% H₂ gas standard (Scotty[®] II Analyzed Gases) was used to prepare a two level calibration. Dissolved H₂S concentrations were determined gravimetricly. Dissolved H₂S was purged from the fluid samples after acidification with 1 mL of 25% H₃PO₄ and precipitated as Ag₂S in a 3 wt.% AgNO₃ solution. Estimated uncertainties for both H₂ and H₂S measurements are less than 5%.

Dissolved organic acid anion concentrations were determined using a Dionex DX-300 ion chromatograph fitted with an AS11 column and a conductivity detector. Fluid samples removed from the pipe-bomb were preserved in a 200 ppm HgCl₂ solution, prior to analysis. Authentic standards (PolyScience Analytical Standards) were used to prepare a three level calibration curve for all organic acid anions reported.

The absolute amounts of generated products were calculated using the following equation:

$$\mu\text{mol / g TOC} = [(V_{\text{pb}} / V_{\text{H}_2\text{O}}) \times (C) / (M)] \times [100 / (\text{wt.}\% \text{ TOC})],$$

where V_{pb} is the pipe-bomb volume in mL, $V_{\text{H}_2\text{O}}$ is the specific volume of the water at the experimental temperature and pressure in mL/g, C is the dissolved gas concentration in mmol/kg fluid, and M is the mass of the starting sediment in g. The equation of state of Haar *et al.* (1980) was used to calculate the specific volume of pure water.

Elemental analyses (C, H, N, S) were performed at the termination of each maturation experiment on the bulk sediment and kerogen fractions. Weight percent O was also determined for the kerogen isolates. Elemental analyses were conducted using either a Leco 932 or Fisons EA1108 elemental analyzer. Total inorganic carbonate (TIC) was determined using a UIC Inc. Coulometrics CO₂ Coulometer while total organic carbon (TOC) was determined by difference.

Results

Gaseous Phases

The absolute amounts and aqueous concentrations of all gases analyzed are listed in Tables 1 and 2. As shown in Figure 2 and 3, the extent of CO₂ and light hydrocarbon generation was highly dependent on temperature and time. In all experiments, CO₂ was the predominant gas generated and at 360°C accounted for approximately 8.5 and 9.2% of the organic carbon initially present in the Monterey and Smackover shales, respectively. In addition, maturation of both shale samples led to the production of substantial amounts of CO₂ at temperatures as low as 125°C (Tables 1, 2). In the Monterey heating experiments, measured CO₂ exhibited a steady increase with increasing temperature up to 325°C. Duplicate experiments, MS5 and MS13, conducted at 325°C, however, yielded CO₂ values which were almost identical to the experiment at 300°C (Fig. 2). These depressed CO₂ values were likely due to the precipitation of a carbonate containing mineral such as CaCO₃ during the experiment. In experiments where calcite was not

removed prior to heating (225 and 275°C) decreased production of CO₂ was also observed (Table 1). This may be due to sedimentary calcite buffering fluid composition at low dissolved CO₂ concentrations. Regardless, due to the possibility of calcite precipitation, the CO₂ values measured during all experiments must be viewed as minimums. Duplicate heating experiments utilizing the Monterey shale (MS6 and MS9) were conducted at 360°C (Table 1, Fig. 2). Even though only half the amount of starting material was used in experiment MS9, the results from both experiments are in excellent agreement (Table 1, Fig. 2).

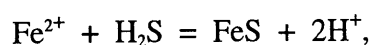
In contrast to the Monterey experiments, heating of the Smackover shale at temperatures between 150 and 325°C resulted in only a minor increase in CO₂ production (Fig. 3). However, at 360°C the Smackover sample generated approximately the same amount of CO₂ as the Monterey shale when normalized to organic carbon content (Tables 2 and 3). The large difference between the CO₂ values measured at 325 and 360°C (62.5 vs. 337 mg/g TOC) may reflect calcite precipitation at 325°C. Consistent with this interpretation are higher CO₂ values measured during the experiment conducted at 250°C as compared to 325°C.

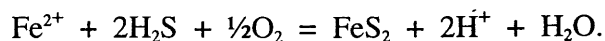
Measurable amounts of methane were generated from the heating of Monterey and Smackover shales at all temperatures (Tables 1 and 2). The amount of CH₄ produced from maturation of the Monterey shale was an order of magnitude greater than any other hydrocarbon analyzed (Table 2). CH₄ generation from the Monterey shale increased with temperature, particularly between 325 and 350°C, where 11.1 and 25.9 mg CH₄/g TOC were generated respectively (Fig. 2).

Comparable trends were observed in the CH₄ results from the Smackover experiments (Fig. 3). However, at 360°C the Smackover shale produced only one third the amount of CH₄ generated from the Monterey sample (12.4 vs. 31.4 mg/g TOC). CH₄ was the dominant hydrocarbon produced at all temperatures, with the exception of the second sample obtained during the 125°C heating experiment in which propane was greater (Table 2). In addition, the Smackover shale produced over six times as much CH₄ at 360°C compared to 325°C (12.4 vs. 1.89 mg/g TOC).

The combined amounts of ethylene, ethane, propylene, propane, and *n*- and *iso*-butane produced during heating of Monterey and Smackover shales are illustrated in Figures 2 and 3. The Monterey shale generated greater amounts of C₂-C₄ hydrocarbons at all experimental temperatures than did the Smackover sample (Figs. 2 and 3). During the Monterey experiments, the production of C₂-C₄ hydrocarbons increased dramatically between 325 and 350°C, similar to CH₄. Likewise, the amount of C₂-C₄ hydrocarbons generated from the Smackover shale at 360°C was roughly 5 times that produced at 325°C (Fig. 3). At temperatures of 200°C and above, alkanes were generated from both samples in greater amounts than alkenes (Tables 1 and 2). Furthermore, the difference between the amount of alkanes and alkenes produced became more pronounced with elevated temperature (Tables 1 and 2). During both the Monterey and Smackover experiments, the concentration of alkenes generally decreased with time at a given temperature (Tables 1 and 2), suggesting that they are unstable once formed. The concentrations of *n*- and *iso*-butane produced from the Smackover shale were typically below detection (Table 2). In experiments with Monterey shale at 300°C and above, *n*-butane was generated in preference to *iso*-butane (Table 1).

H₂S was detected by odor from the fluid samples obtained during all Monterey shale heating experiments. Gravimetric determinations, however, were only possible in experiments conducted at ≥250°C (Table 1). H₂S was also detected in the Smackover shale fluid samples at 325 and 360°C, yet concentrations were too low to be quantified. The amount of dissolved H₂S measured during the Monterey experiments increased with increasing temperature reaching 12.7 and 18.8 mg/g rock at 350 and 360°C, respectively. These values exceed the amount of sulfur present as diagenetic pyrite in the initial sediment (10.5 mg/g sediment) indicating that organic sulfur initially present in the Monterey shale, the only other source of dissolved H₂S, was released to solution (Nelson *et al.*, 1995). Once in solution, dissolution and precipitation of metal sulfides, such as pyrite (FeS₂) and pyrrhotite (FeS), likely controlled the concentration of dissolved H₂S according to the following reactions:





Furthermore, the kinetics of these reactions are quite rapid under hydrothermal conditions (Seewald and Seyfried, 1990). Therefore, it is important to note that the H₂S values given in Table 1 represent absolute minimums.

Dissolved H₂ concentrations were measured in the final fluid samples from the 200, 300, 325 (MS5 & MS13) and 350°C Monterey shale experiments (Table 1). Dissolved H₂ concentrations were not measured during hydrous-pyrolysis of the Smackover shale. With the exception of the MS5-325°C Monterey fluid sample, the concentration of dissolved H₂ increased with increasing temperature. Experiment MS5-325°C was the first carried out in a new pipe-bomb that was not oxidized by heating in the presence of water before use. Thus, the elevated H₂ concentration observed is most likely due to oxidation of the stainless-steel walls during the experiment. In contrast, the MS13-325°C experiment was the ninth heating experiment utilizing the same pipe-bomb. Accordingly, a much lower dissolved H₂ concentration was observed, 0.57 vs. 1.82 mmolal for MS13-325°C and MS5-325°C, respectively.

Organic Acids

The absolute amounts of all organic acid anions analyzed are listed in Tables 3 and 4. It is likely, however, that the measured concentrations were less than what was generated during the experiments, since they represent both acid production and destruction. Continued reaction at constant temperature in the relatively high temperature experiments (>225°C) may have resulted in decreasing acid concentrations, possibly due to decarboxylation reactions (Kharaka *et al.*, 1983; Palmer and Drummond, 1986). During the Monterey hydrous-pyrolysis experiments, acetate and propionate were generated in the greatest amounts (Table 3). The observed concentrations of both acids increased with temperature and with time. Significantly lower concentrations of formate and oxalate were observed and were characterized by decreasing abundance with increasing temperature. The amount of succinate measured increased with temperature up to 300°C, after which concentrations decreased. The abundance of valerate also increased with temperature, with concentrations typically below detection at temperatures

less than 225°C (Table 3). Analysis of fluid samples obtained from experiment MS8 at 250°C yielded values much lower than the other experiments (Table 3). It is possible that these samples were not spiked with sufficient HgCl₂, which could have resulted in bacterial degradation of generated acids.

Formate and acetate were the only organic acids observed in solution during all hydrous-pyrolysis experiments with the Smackover shale (Table 4). Similar to the Monterey experiments, formate was present in lesser amounts than acetate and formate abundance decreased with temperature. The greatest amount of acetate was measured in the initial fluid sample obtained at 360°C (23.8 mg/g TOC). However, no trend in acetate production was observed with increasing temperature (Table 4).

Solid Phases

Table 5 lists the results of the elemental analyses of the Monterey and Smackover shales and the Monterey kerogen isolates, before and after heating. The initial Monterey bulk sediment yielded a lower wt.% TOC value (20.6 wt.%) than was determined for the bulk sediment samples after hydrous-pyrolysis at 125 to 200°C (Table 5). This is likely due to the low-temperature dissolution of non-carbon containing minerals originally present in the Monterey shale, such as phosphates. Above 200°C, wt.% TOC exhibited a steady decrease with increasing temperature as expected during hydrocarbon generation. The TOC values of the bulk sediments from experiments MS1 and MS2 (225 and 275°C) were relatively low since they were not acid-treated prior to heating. The percentages of N and S in the Monterey bulk sediment samples also decreased with increasing temperature.

Elemental analysis of the Monterey kerogen isolates reveals the transformations which occurred in the organic matter itself during maturation (Table 5). Wt.% TOC increased with temperature up to 325°C while both wt.% H and O decreased. Above 325°C, the TOC values of the kerogen decreased while wt.% O increased. Wt.% S steadily decreased with increasing temperature from a value of 8.25 wt.% in the unheated kerogen to 2.73 wt.% at 360°C.

No systematic changes with increasing temperature were observed in either wt.% TOC or N of the Smackover bulk sediments (Table 5). During hydrous-pyrolysis of the Smackover shale, the sediment is the only source of carbon available for hydrocarbon generation. The amounts of C-containing compounds generated, however, correspond to approximately 0.1 wt.% C which is not detectable within the error of the elemental analysis.

Discussion

Petroleum generation in natural settings is traditionally described in terms of the evolution of kerogen as it is exposed to higher temperatures during burial (e.g. Tissot and Welte, 1984). In Figure 4, Tissot and Welte (1984) present a general scheme for the evolution of various kerogen types based on changes in their atomic ratios, H/C and O/C. As burial progresses, heterotomic bonds present in the kerogen are destroyed and functional groups eliminated, producing CO₂ and H₂O. Principal oil formation occurs after temperatures have elevated to the point that ether and C-C bonds are cleaved in both the kerogen and bitumen. At higher temperatures, progressively more C-C bonds are broken primarily producing methane. In natural settings, the temperature range in which oil generation has been observed is 50-150°C (Tissot and Welte, 1984) while the window for natural gas formation is 150-220°C (Quigley and Mackenzie, 1988).

The results from this study agree in many ways with the observations made by Tissot and Welte (1984). To illustrate these similarities, the variations in H/C and O/C of the Monterey kerogen during hydrous-pyrolysis are shown in Figure 5. In the early stages of maturation (unheated-250°C) kerogen H/C decreased by less than 9% while O/C dropped by over 20%. This is consistent with the elimination of carboxyl, carbonyl and methoxyl functional groups from the kerogen to produce CO₂, H₂O and organic acids. As seen in Figure 2, substantial amounts of CO₂ were generated at 125-250°C. At temperatures between 275 and 325°C, the depletion of hydrogen and oxygen from the kerogen was more extensive (Fig. 5) corresponding to an increase in the production of CO₂ and in particular, light hydrocarbons (Fig. 2). In addition, Nelson *et al.* (1995)

determined that peak bitumen formation from the kerogen occurred at 275°C. At 360°C, the removal of hydrogen from the kerogen continued, although its oxygen content increased (Fig. 5). Light hydrocarbon production increased dramatically at this temperature (Fig. 2) consistent with the decrease in H/C. In addition, light hydrocarbons were likely produced by the cracking of oil to gas as indicated by the declining saturate content of the bitumen (Nelson *et al.*, 1995). Tissot and Welte (1984) concluded that CO₂ generation occurs only at lower temperatures. Results from this study, however, clearly indicate that extensive CO₂ production occurs over a wide range of hydrous-pyrolysis temperatures (Fig. 2) and is clearly not just an early maturation event.

Most hydrous-pyrolysis experiments do not produce the same distribution of C₁-C₄ hydrocarbons observed in natural gas which is typically 80 weight % CH₄ (Mango *et al.*, 1994). Two theories have recently been postulated to explain this discrepancy. Mango *et al.* (1994) argue that the formation of natural gas is not simply a function of the thermal decomposition of organic matter but is aided by catalytic processes. From heating experiments combining hydrogen, *n*-alkenes and Monterey shale they obtained evidence of a catalytic pathway to natural gas. Mango *et al.* (1994) suggest that transition metals present in carbonaceous sedimentary rocks mediate the reaction between hydrogen and *n*-alkenes to produce light hydrocarbons.

In contrast, Price and Schoell (1995) maintain that hydrocarbon compositions measured in sedimentary basins are a function of fractionation within the reservoir and during migration from the source rock. Price and Schoell (1995) analyzed gas samples coproduced with oils directly from the Bakken shales where the local geology has prevented significant hydrocarbon migration. They discovered that weight % CH₄ in the gas samples obtained, varied from approximately 29-49%. Although these results agreed with previous pyrolysis studies using the Bakken shale, the methane content is much lower than the range cited by Mango *et al.* (1994). Consequently, Price and Schoell (1995) argued that typical (i.e. 80 wt.% CH₄) hydrocarbon distributions result from physical processes occurring after hydrocarbon generation such as the preferential condensation of the C₂-C₄ gases in oil and buoyancy differences within the reservoir.

The molecular composition of natural gas was duplicated during several of the hydrous-pyrolysis experiments conducted with the Monterey shale presented here. As seen in Figure 6a, CH₄ represents 80 to 90 wt.% of the C₁-C₄ hydrocarbons generated at temperatures from 125 to 250°C. These temperatures bracket those employed by Mango *et al.* (1994), 190-220°C, and include the window for natural gas generation, 150-220°C (Quigley and Mackenzie, 1988). These results appear consistent with those of Mango *et al.* (1994), however, it is questionable whether transition metals are responsible since the Monterey shale contains an abundance of sulfur which can readily quench metal activity through formation of metal sulfides. It is possible that reactions between sulfur and transition metals could prevent the catalysis of hydrogen and *n*-alkenes. Fractionation effects described by Price and Schoell (1995) could not have influenced the results of this study since a single liquid phase was maintained during our hydrous-pyrolysis experiments and there was no migration. In the case of the Monterey shale mechanisms associated with the production of gaseous products must be responsible for generating C₁-C₄ hydrocarbons in the same distribution as observed in sedimentary basins.

Temperature and time obviously play a role in determining the final composition of generated light hydrocarbons. Hydrous-pyrolysis of Monterey shale conducted at temperatures greater than 250°C resulted in a dramatic decrease in the relative amount of CH₄ generated (Fig. 6a). In addition to temperature, weight % CH₄ decreased with time during each experiment (Fig. 6b). Nelson *et al.* (1995) established a link between organically-bound sulfur and the low temperature evolution of bitumen from Monterey shale. They argue that inherently weak polysulfide and S-C bonds present in the Monterey kerogen and generated bitumen lowers the thermal stress required for petroleum formation. The presence of sulfur had its greatest effect at temperatures below 300°C, while at higher temperatures the cleavage of C-C bonds became the primary mechanism of kerogen and bitumen decomposition (Nelson *et al.*, 1995). At the point where sulfur no longer appears to be mechanistically important (T > 300°C) weight % CH₄ deviates from what is observed in natural settings (Fig. 6a). Thus, it is possible that the sulfur-rich nature of the Monterey shale may be responsible for generating C₁-C₄

hydrocarbons at 125-250°C in the same distribution as seen in nature. However, it is not clear what precursors in the kerogen would generate short-chain hydrocarbons.

Hydrous-pyrolysis of the sulfur poor, Smackover shale did not produce C₁-C₄ hydrocarbons with a 'natural' distribution. Weight % CH₄ never accounted for more than 70% of the total C₁-C₄ hydrocarbons generated from the Smackover shale (Figs. 7a and 7b). In contrast to the Monterey shale, wt.% CH₄ increased with increasing temperature (Fig. 7a) and time (Fig. 7b) in hydrous-pyrolysis of Smackover shale.

Recently, Tomic *et al.* (1995) conducted artificial maturation experiments with Monterey shale kerogen using an anhydrous closed system at temperatures ranging from 300 to 500°C. Due to the lack of water and other experimental differences, comparisons between their results and those from this study must be made with caution. Nevertheless, a number of similarities exist between the two studies. In addition, the higher temperature range employed by Tomic *et al.* (1995) allows the description of hydrocarbon generation from the Monterey shale to be extrapolated to higher maturities. In many cases the difference in experimental designs resulted in the production of disparate amounts of gases. For example, at 325°C and 48 hrs., Tomic *et al.* (1995), measured the following concentrations: H₂S = ~72 mg/g C; CO₂ = ~57 mg/g C; C₂-C₄ = ~32 mg/g C; and CH₄ = ~12 mg/g C. The hydrocarbon measurements are comparable to those observed during the 325°C experiments from this study (Table 1). However, Tomic *et al.* (1995) observed approximately 10 times more H₂S and over 70% less CO₂ than we measured. Both of these discrepancies are likely due to the presence of water in our study. First, as mentioned earlier, the dissolved H₂S concentrations analyzed represent minimums due to precipitation of metal sulfides. Second, water may act as an additional oxygen source for CO₂ generation as hydrogen demand grows due to the increased production of saturated hydrocarbons with elevated temperature (Lewan, 1992).

Although the absolute amounts may differ, trends in the production of hydrocarbons and CO₂ observed during this study agree with those obtained by Tomic *et al.* (1995). Tomic *et al.* (1995) observed that long-chain hydrocarbons (C₁₄₊), the major products during early kerogen cracking, decreased rapidly from 550 mg/g C at 300°C to close to zero at 500°C. As a result, they concluded that primary cracking of the Monterey

kerogen to produce bitumen must take place at temperatures below 300°C. The results of the experiments presented here indicate maximum bitumen generation at 275°C (Nelson *et al.*, 1995) consistent with the results of Tomic *et al.* (1995). In this study, the generation of CO₂, H₂S and hydrocarbons increased with temperature with the greatest values observed at our highest temperature, 360°C. Tomic *et al.* (1995) found that the production of C₂-C₄ hydrocarbons continues to increase above 360°C and peaks at approximately 440°C followed by a rapid decline. In contrast, no decrease in CH₄ generation was observed, and the generation of both CO₂ and H₂S leveled off at temperatures higher than 440°C (Tomic *et al.*, 1995). The continued increase in CH₄ production is expected as secondary cracking of generated hydrocarbons predominates at higher temperatures (Tissot and Welte, 1984). It is possible, however, that CO₂ generation may also have continued at temperatures above 440°C if water had been present.

The production of organic acids in sedimentary basins has been suggested to enhance porosity due to the dissolution of carbonates and aluminosilicates (Carothers and Kharaka, 1978; Schmidt and McDonald, 1979). The organic acids are likely generated from the oxidation of kerogen in the late stages of thermal maturation (Eglinton *et al.*, 1987). Several studies utilizing hydrous-pyrolysis have shown that significant quantities of organic acids are produced during kerogen maturation (Kawamura *et al.*, 1986; Eglinton *et al.*, 1987; Lewan, 1993). Eglinton *et al.* (1993) observed that the amounts and types of acid generated varied as a function of kerogen type, maturity and mineralogy. Measurements of organic acid concentrations during the present study agree with this observation. Both the amounts and types of organic acids measured during hydrous-pyrolysis of the Smackover shale were generally less than those analyzed during the Monterey shale experiments (Tables 3 and 4). In addition to the differences in kerogen type (type III versus type II-S), the greater maturity of the Smackover kerogen as compared to the Monterey kerogen likely accounts for the differences in organic acid generation observed. Unfortunately, it is difficult to assess the affect the production of organic acids had on the stability of minerals present in both shale samples.

Kinetic Modeling

The production of light hydrocarbons and CO₂ was monitored as both a function of temperature and time, allowing kinetic modeling of gas generation from the Monterey and Smackover shales. Several studies have utilized a variety of chemical kinetic models to describe the thermal maturation of kerogen to produce oil and gas (Lewan, 1985; Ungerer, 1990; Braun and Burnham, 1990; Hunt *et al.*, 1991; Horsfield *et al.*, 1992; Sweeney *et al.*, 1995). These models are typically based on first order reaction kinetics and the Arrhenius equation. However, the number of chemical reactions employed to describe hydrocarbon generation varies considerably. For this study, the computer code KINETICS (Braun and Burnham, 1990) was utilized to analyze the gas data. KINETICS uses a discrete distribution model to fit an average frequency factor (A_0) and the relative fractions associated with a given activation energy (E_a) for 25 parallel, first order reactions (Braun and Burnham, 1990). To obtain relative fractions of gases generated, the absolute amount of each gas measured at a specific temperature was normalized to the amount produced at 360°C. A 2 kcal/mole spacing was used between consecutive values of E_a for all calculations.

The modeling results for CO₂ generation from the Monterey shale are shown in Figure 8. Unfortunately, because CO₂ generation from the Smackover shale did not increase continuously with temperature it was not possible to accurately model using KINETICS. The Monterey shale CO₂ data yielded a wide range of activation energies from 40-66 kcal/mole and a pre-exponential factor of $2.95 \times 10^{17} \text{ s}^{-1}$ (Fig. 8). No more than 30% of potential CO₂ production from the Monterey shale is associated with any single activation energy which suggests that a diverse set of chemical reactions are responsible for the generation of CO₂.

In contrast to the CO₂ modeling results, CH₄ generation took place over a relatively narrow range of activation energies for both the Monterey and Smackover shale samples (Fig. 9). Primarily all of the potential CH₄ generation from the Smackover shale is associated with an activation energy equal to 72 kcal/mole. However, lower energy chemical reactions ($E_a \leq 70$ kcal/mole) were responsible for CH₄ production from the Monterey shale. Kinetic modeling of the generation of total C₁-C₄ hydrocarbons from the

Monterey shale was also conducted, however, it was not possible for the Smackover shale since the total hydrocarbons did not increase continuously with increasing temperature (Table 2). Production of total C₁-C₄ hydrocarbons from the Monterey shale occurred between 40 and 62 kcal/mole with approximately 47% at E_a equal to 62 kcal/mole (Fig. 10). This may represent the cracking of generated bitumen to produce light hydrocarbons.

The results from kinetic modeling of CH₄ production from both the Monterey and Smackover shale samples were used to predict hydrocarbon generation in a hypothetical sedimentary basin shown in Figure 11. A geothermal gradient of 25°C/km and a subsidence rate of 2 km/My were used for this model. After 3 My, at a temperature of 170°C, close to 20% of the CH₄ generation from the Monterey shale has taken place. In contrast, the Smackover shale has produced very little at this point (Fig. 11). Around 3.5 My (~200°C), the generation of CH₄ from both shales increases dramatically, with the two generation curves converging at 4 My and approximately 220°C. The differences in the gas generation curves between the Monterey and Smackover shales illustrate the effect kerogen composition and maturity have on the extent and timing of hydrocarbon generation. The generation of a significant fraction of CH₄ from the Monterey shale at such an early point in its thermal history (Fig. 11) is likely due to the abundance of polysulfide bonds in the Monterey kerogen (Nelson *et al.*, 1995). The lower thermal stress necessary to break polysulfide bonds translates to the early production of CH₄ in the hypothetical basin (Fig. 11). Early CH₄ may already have been produced by the Smackover shale due to its higher maturity compared to the Monterey shale. The convergence of the generation curves for each shale sample at greater depths in the basin suggests that the higher temperatures are sufficient to induce the cleavage of C-C bonds in both the Monterey and Smackover kerogens and generated bitumen.

The kinetic modeling results of CO₂ production during hydrous-pyrolysis of Monterey shale were used to predict CO₂ generation in a hypothetical basin, similar to above (Fig. 12). As Tissot and Welte (1984) predict, a significant portion of CO₂ generation occurred early on in the burial history of the Monterey shale. At greater depths within the basin, however, extensive CO₂ production takes place (Fig. 12).

Similar to model predictions of CH₄ generation, the CO₂ generation curve levels out at 220°C. Thus, these model results provide further evidence that the generation of CO₂ is not limited to lower temperatures, as suggested by Tissot and Welte (1984).

Horsfield *et al.* (1992) modeled the cracking of an immature crude oil to gas using closed system anhydrous-pyrolysis of a medium gravity oil from the Norwegian North Sea Central Graben. They determined that the production of C₁-C₄ hydrocarbons from the oil occurred over a relatively narrow range of activation energies, 66-70 kcal/mol with a pre-exponential factor of $1.1 \times 10^{16} \text{ s}^{-1}$ (Horsfield *et al.*, 1992). These results are in close agreement with the modeling of total hydrocarbon production from the Monterey shale (Fig. 10). During our experiments, the majority of gas production occurred at temperatures higher than maximum bitumen generation (275°C). This suggests that in our study gas production was a two stage process: the decomposition of kerogen to produce bitumen and the cracking of bitumen to gas. Thus, the similarity between the kinetic modeling results of this study and those of Horsfield *et al.* (1992) would imply that the production of bitumen is a relatively rapid, low-temperature process. Consequently, the generation of gas from the cracking of bitumen likely governs the overall rate of gas production.

Summary

Hydrous-pyrolysis experiments utilizing the Monterey and Smackover shales were conducted at temperatures ranging from 125-360°C for 170 hrs in duration. The generation of CO₂, H₂S, H₂, light hydrocarbons (C₁-C₄) and organic acid anions was monitored as a function of time during all experiments. In addition, changes in the elemental composition of the Monterey kerogen were determined at the end of each experiment. The production of non-hydrocarbon and hydrocarbon gases from both shale samples increased with elevated temperature. The carbon normalized amount of CO₂ measured at 360°C was similar for both the Monterey and Smackover shales. However, over four times as much total C₁-C₄ hydrocarbons were produced from the Monterey versus Smackover shale. Weight % CH₄, normalized to total C₁-C₄ hydrocarbons,

decreased with increasing temperature and time during hydrous-pyrolysis of Monterey shale. Kinetic models of CH₄ generation yielded lower activation energies for the Monterey shale compared to the Smackover shale. The differences in the timing and extent of gas generation between the shales analyzed was attributed to the variation in kerogen type and initial maturity. The carbon- and sulfur-rich Monterey kerogen generated volatile products and organic acids in greater concentrations at lower temperatures than did the relatively organic-lean and more mature Smackover shale. The presence of abundant polysulfide linkages in the Monterey kerogen and their inherent weakness compared to C-C bonds is likely responsible for the early, low-temperature generation of volatile products and organic acids.

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Table 1. Absolute amounts and aqueous concentrations of selected volatile species produced during hydrous pyrolysis of carbonate-free Monterey Shale (20.6 wt. % TOC).

Expt.	Time h	Temp. °C	CO ₂ mg/g TOC	CH ₄ mg/g TOC	C ₂ H ₄ µg/g TOC	C ₂ H ₆ µg/g TOC	C ₃ H ₆ µg/g TOC	C ₃ H ₈ µg/g TOC	<i>n</i> -C ₄ H ₁₀ µg/g TOC	<i>i</i> -C ₄ H ₁₀ µg/g TOC	H ₂ S µg/g rock	H ₂ mmolal
MS4	50.9	125	16.1	0.0022	0.243	0.146	0.485	0.097	bd	bd	-	-
	173.2	125	29.2	0.0058	0.194	0.437	0.631	0.194	bd	bd	-	-
MS10	26.3	151	31.1	0.0098	0.680	0.874	2.18	0.388	bd	bd	-	-
	71.0	150	38.3	0.0170	0.583	1.60	1.80	0.680	bd	bd	-	-
	167.3	150	42.1	0.0248	0.583	2.38	1.46	1.12	bd	bd	-	-
MS3	24.4	174	40.6	0.0297	1.41	2.09	2.82	1.12	0.194	0.194	-	-
	72.4	174	53.9	0.0583	1.80	4.27	3.35	2.43	0.388	0.291	-	-
	167.6	174	64.6	0.0937	2.18	6.41	4.17	3.79	0.728	2.14	-	-
MS14	51.4	196	95.6	0.225	5.39	16.3	8.69	10.1	bd	bd	-	-
	169.3	196	100	0.366	5.73	28.5	10.8	18.9	bd	bd	-	0.0056
MS1 [†]	21.3	225	88.8	0.374	23.8	35.8	37.1	21.5	4.51	3.93	-	-
	71.7	224	98.1	0.529	20.6	55.8	36.6	33.3	7.38	8.30	-	-
	167.3	224	105	0.718	20.3	82.0	33.6	50.0	12.0	13.0	-	-
MS8	23.3	247	111	0.893	40.3	104	43.0	63.1	bd	bd	3.80	-
	69.9	248	128	1.26	40.0	185	51.9	112	bd	bd	-	-
	165.3	248	143	1.50	36.8	263	52.4	156	bd	bd	6.86	-
MS2 [†]	22.5	275	91.7	1.35	66.5	332	87.9	412	62.1	57.8	-	-
	70.3	274	117	2.25	48.0	704	85.4	874	172	170	-	-
	164.8	274	125	2.90	42.0	1063	144	777	340	301	-	-
MS11	24.3	300	182	3.42	110	1539	216	869	675	485	8.06	-
	71.9	300	203	5.19	82.5	2811	220	1684	1218	840	7.94	-
	168.3	299	219	6.50	78.2	4087	213	2558	1733	1092	8.38	0.43
MS5	24.1	323	167	5.29	154	3141	314	-	1228	850	-	-
	70.8	324	171	6.94	53.9	5340	-	-	2131	1194	-	-
	168.0	324	217	10.0	68.4	8447	733	-	3689	1728	-	1.82
MS13	26.7	323	191	7.28	123	4811	299	3087	1981	1117	7.62	-
	70.8	324	196	8.59	93.7	6311	295	4102	2573	1413	7.86	-
	168.8	322	219	11.1	78.2	8204	277	5388	3320	1777	9.26	0.57
MS12	24.7	350	228	15.1	154	10631	568	6408	3888	2432	13.5	-
	74.9	350	260	21.4	101	15291	650	9515	5631	3301	14.4	-
	145.0	350	280	25.9	87.4	18155	390	11505	6748	3811	12.7	0.77
MS6	24.4	359	-	16.2	25.2	10728	447	6553	4218	2660	-	-
	71.8	360	-	25.7	63.6	17087	412	11068	7136	4209	-	-
	172.3	360	300	30.4	52.9	20534	360	13932	8350	4845	-	-
MS9	23.2	359	253	17.4	293	11699	942	6942	4903	2927	13.9	-
	72.2	359	285	24.7	206	16699	791	-	7282	4058	14.2	-
	169.0	358	312	31.4	181	20631	718	10971	8981	4762	18.8	-

[†] Sedimentary carbonate was not removed from the initial sediment

-: not analyzed

bd: below detection

Table 2. Absolute amounts and aqueous concentrations of selected volatile species produced during hydrous-pyrolysis of carbonate-free Smackover Shale (0.92 wt. % TOC).

Expt.	Time h	Temp. °C	CO ₂ mg/g TOC	CH ₄ mg/g TOC	C ₂ H ₄ µg/g TOC	C ₂ H ₆ µg/g TOC	C ₃ H ₆ µg/g TOC	C ₃ H ₈ µg/g TOC	<i>n</i> -C ₄ H ₁₀ µg/g TOC	<i>i</i> -C ₄ H ₁₀ µg/g TOC
SM2	51.0	125	5.02	0.0046	0.763	0.872	0.436	3.71	bd	bd
	171.3	125	9.92	0.0055	0.545	1.31	0.545	6.65	bd	bd
SM6	26.5	151	27.5	0.0095	bd	8.07	bd	8.62	bd	bd
	71.2	150	30.9	0.0100	bd	7.74	bd	8.29	bd	bd
	167.2	150	35.7	0.0115	bd	8.51	bd	9.60	bd	bd
SM1	24.3	174	23.6	0.0093	1.85	1.64	1.85	4.14	3.60	0.763
	72.3	174	30.9	0.0115	2.29	2.18	2.29	4.47	4.47	bd
	167.4	174	34.7	0.0123	2.07	2.07	2.40	4.58	4.80	bd
SM4	23.6	247	46.3	0.0662	9.41	25.8	7.31	27.9	bd	bd
	70.1	248	54.1	0.157	12.8	41.7	7.20	32.1	bd	bd
	165.4	248	63.5	0.252	16.6	81.4	8.18	47.7	bd	bd
SM3	25.4	323	43.3	0.815	132	353	140	282	bd	bd
	69.7	324	48.5	1.46	169	725	184	497	bd	bd
	170.8	324	62.5	1.89	111	607	150	409	bd	bd
SM5	23.4	359	219	6.66	254	2388	678	1625	bd	bd
	72.3	359	278	9.69	141	3555	471	-	bd	bd
	168.8	358	337	12.4	113	4231	338	658	1265	379

-: not analyzed

bd: below detection

Table 3. Absolute amounts of organic acid anions during hydrous pyrolysis of Monterey Shale.

Expt.	Time (h)	Temp. (°C)	Formate	Acetate	Propionate	Valerate	Oxalate	Succinate
			(mg / g TOC)					
MS4	50.9	125	2.49	2.63	0.143	bd	2.94	0.262
	173.2	125	2.53	2.87	0.185	0.679	2.79	0.271
MS10	26.3	151	2.00	2.91	0.209	bd	4.65	0.438
	71.0	150	1.81	3.70	0.263	bd	4.83	0.496
	167.3	150	1.52	8.23	1.69	0.136	3.10	1.04
MS3	24.4	174	1.52	4.11	0.271	0.924	2.26	0.452
	72.4	174	1.98	4.15	0.360	bd	1.73	0.476
	167.6	174	1.96	5.54	0.479	bd	1.03	0.549
MS14	51.4	196	bd	6.23	0.719	bd	0.699	bd
	169.3	196	bd	7.37	0.879	bd	0.809	bd
MS1 [†]	21.3	225	4.06	8.40	0.903	bd	0.265	0.831
	71.7	224	1.62	10.4	1.26	bd	bd	bd
	167.3	224	0.876	11.3	1.41	bd	bd	0.934
MS8	23.3	247	bd	0.162	0.029	bd	bd	0.066
	69.9	248	0.007	0.296	0.123	bd	0.036	0.087
	165.3	248	bd	0.164	0.074	bd	bd	0.077
MS2 [†]	22.5	275	0.227	20.6	3.26	0.271	bd	4.57
	70.3	274	0.732	23.5	3.93	bd	bd	1.74
	164.8	274	0.639	26.1	4.86	0.106	bd	1.58
MS11	24.3	300	0.417	12.5	3.72	0.269	0.105	1.41
	71.9	300	0.467	20.0	4.74	0.414	bd	1.35
	168.3	299	0.200	13.3	2.70	bd	bd	0.330
MS5	24.1	323	0.926	26.4	6.56	0.483	0.111	1.110
	70.8	324	0.896	25.2	6.62	0.539	bd	0.655
	168.0	324	0.965	30.2	8.32	0.546	bd	bd
MS13	26.7	323	bd	16.8	4.72	0.524	0.524	bd
	70.8	324	bd	19.2	5.54	0.602	0.294	bd
	168.8	322	bd	21.1	6.08	0.529	bd	bd
MS12	24.7	350	bd	25.7	6.90	0.716	0.368	bd
	74.9	350	bd	28.0	7.64	0.781	bd	bd
	145.0	350	bd	28.6	7.73	0.611	bd	bd
MS9	23.2	359	0.825	22.0	6.54	0.614	0.055	0.174
	72.2	359	1.03	28.1	8.06	0.789	bd	bd
	169.0	358	0.278	12.6	bd	bd	bd	bd

[†] Sedimentary carbonate was not removed from the initial sediment

bd: below detection

Table 4. Absolute amounts of organic acid anions during hydrous pyrolysis of Smackover Shale.

Expt.	Time (h)	Temp. (°C)	Formate	Acetate	Propionate	Valerate	Oxalate	Succinate
			(mg / g TOC)					
SM2	51.0	125	2.09	9.24	bd	bd	bd	bd
	171.3	125	11.3	6.23	bd	bd	bd	bd
SM6	26.5	151	2.76	7.44	bd	bd	3.78	bd
	71.2	150	2.91	6.66	bd	bd	4.84	bd
	167.2	150	2.63	6.18	bd	bd	4.84	bd
SM1	24.3	174	2.97	12.1	bd	bd	1.94	bd
	72.3	174	8.97	63.4	bd	bd	bd	bd
	167.4	174	3.66	8.32	bd	bd	bd	bd
SM4	23.6	247	0.99	6.98	bd	bd	bd	bd
	70.1	248	1.14	9.83	bd	bd	bd	bd
	165.4	248	0.65	8.98	bd	bd	bd	bd
SM3	25.4	323	0.43	4.44	bd	bd	bd	bd
	69.7	324	0.68	5.29	bd	bd	bd	bd
	170.8	324	bd	bd	bd	bd	bd	bd
SM5	23.4	359	0.57	23.8	bd	bd	bd	bd
	72.3	359	0.28	8.43	bd	bd	bd	bd
	168.8	358	0.34	9.02	bd	bd	bd	bd

bd: below detection

Table 5. Elemental composition of bulk sediments and kerogens from hydrous pyrolysis experiments.

Experiment	Sample Type	Temp. (°C)	TOC	H	N	S	O
				(weight %)			
Init. Monterey	Bulk Sed.	25	20.6	-	1.24	3.86	-
MS4	"	125	22.6	-	1.36	3.67	-
MS10	"	150	23.5	-	1.42	3.67	-
MS3	"	175	22.4	-	1.34	3.50	-
MS14	"	200	22.6	-	1.23	3.02	-
MS1	"	225	18.6	-	1.08	2.64	-
MS8	"	250	20.3	-	1.21	3.06	-
MS2	"	275	15.6	-	0.94	2.36	-
MS11	"	300	18.3	-	1.12	2.24	-
MS5	"	325	-	-	-	-	-
MS13	"	325	16.7	-	0.97	2.21	-
MS12	"	350	15.1	-	0.90	1.81	-
MS6	"	360	14.1	-	0.94	1.87	-
MS9	"	360	15.3	-	1.04	1.33	-
Init. Monterey	Kerogen	25	60.4	6.52	3.22	8.25	17.5
MS4	"	125	59.8	6.41	3.06	7.83	17.5
MS10	"	150	59.6	6.86	2.91	7.50	16.3
MS3	"	175	60.8	6.22	3.11	7.39	17.1
MS14	"	200	64.6	6.45	3.14	6.92	14.6
MS1	"	225	62.9	6.28	3.24	6.04	15.5
MS8	"	250	60.8	5.99	2.93	5.21	14.0
MS2	"	275	69.1	5.74	3.60	4.49	11.7
MS11	"	300	72.6	5.66	3.70	3.91	8.9
MS5	"	325	-	-	-	-	-
MS13	"	325	75.3	5.03	3.95	3.40	8.5
MS12	"	350	65.2	4.39	3.54	2.94	-
MS6	"	360	64.8	3.98	3.67	2.73	10.3
MS9	"	360	-	-	-	-	11.1
Init. Smackover	Bulk Sed.	25	0.92	-	0.037	-	-
SM2	"	125	0.90	-	0.067	-	-
SM6	"	150	1.67	-	0.086	-	-
SM1	"	175	0.94	-	0.062	-	-
SM4	"	250	1.16	-	0.066	-	-
SM3	"	325	0.79	-	0.059	-	-
SM5	"	360	0.95	-	0.069	-	-

-: not analyzed

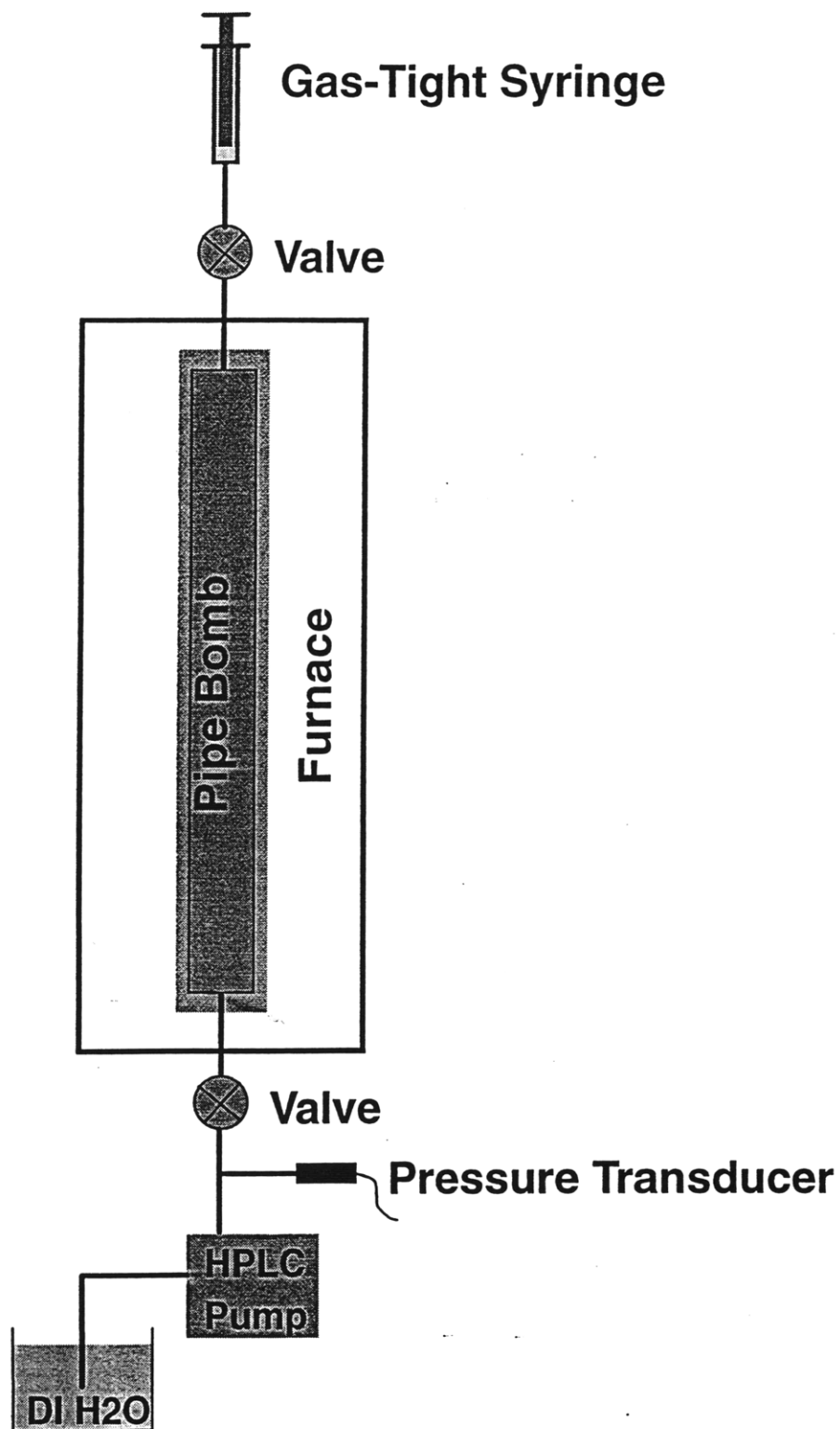


Figure 1. Hydrous-pyrolysis reaction vessel, furnace, sampling valves, HPLC pump and pressure monitor.

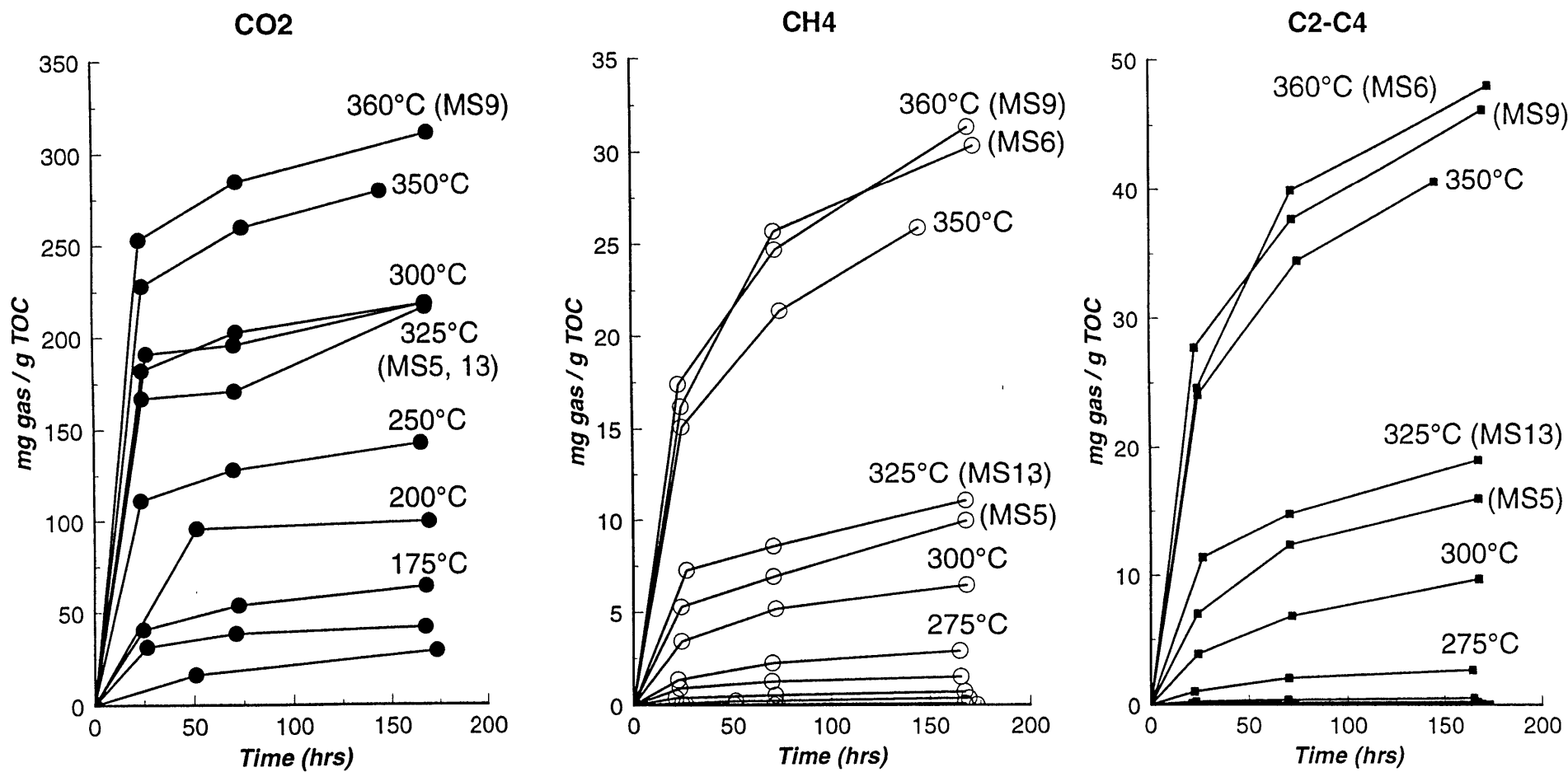


Figure 2. Gas generation curves for (a) CO₂, (b) CH₄ and (c) C₂-C₄ hydrocarbons from hydrous-pyrolysis of Monterey shale.

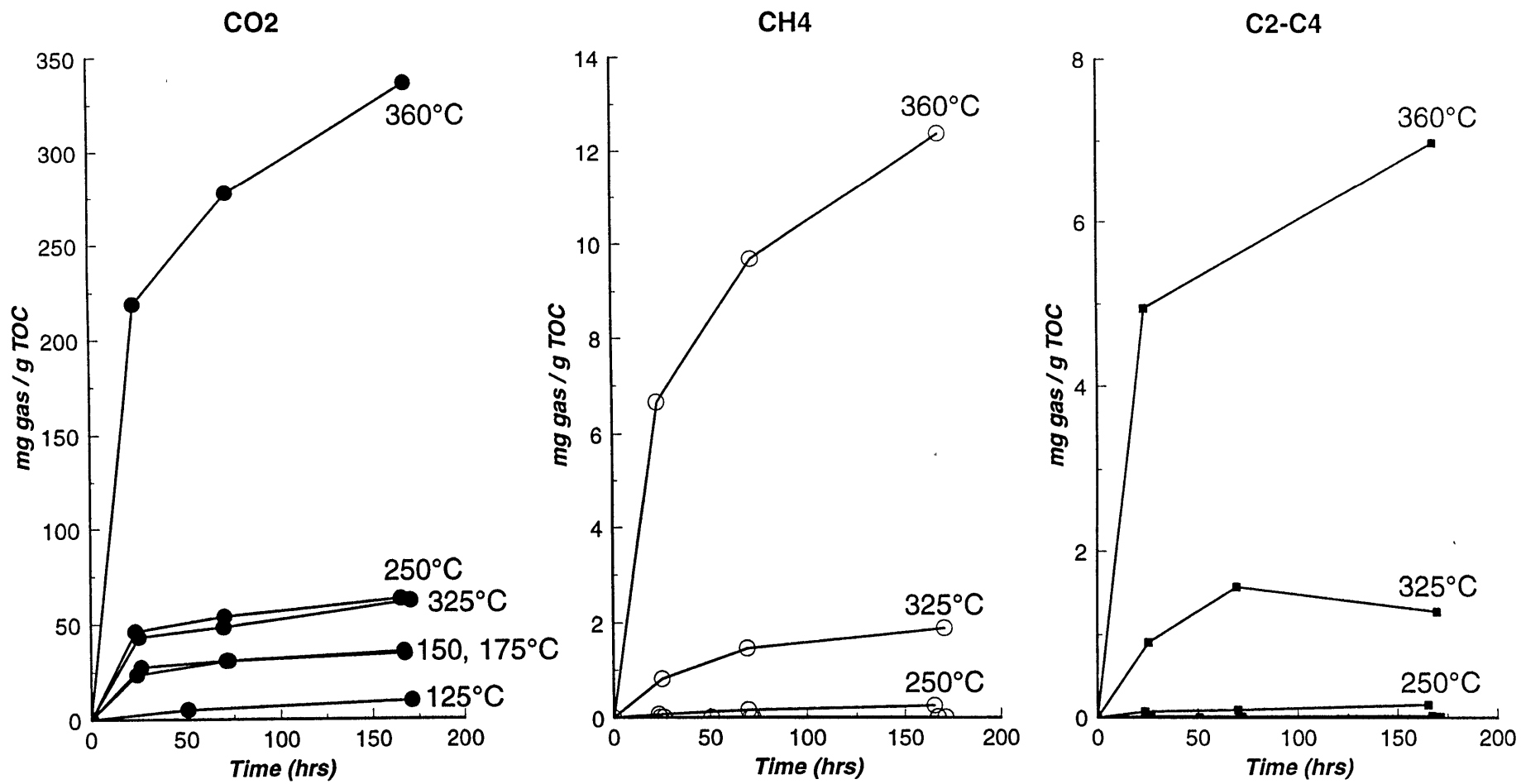
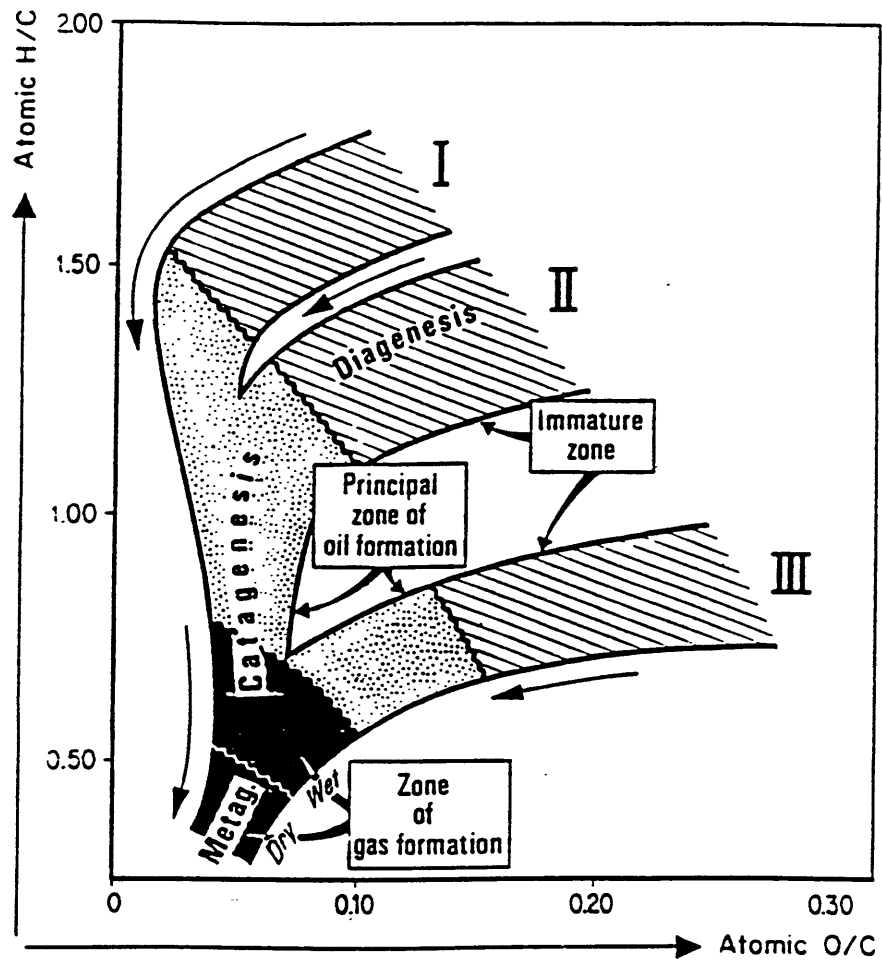


Figure 3. Gas generation curves for (a) CO₂, (b) CH₄ and (c) C₂-C₄ hydrocarbons from hydrous-pyrolysis of Smackover shale.



Principal products of kerogen evolution




-  $\text{CO}_2, \text{H}_2\text{O}$
-  Oil
-  Gas

Figure 4. Van Krevelen plot modeling kerogen maturation and the generation of liquid and volatile products, from Tissot and Welte (1984).

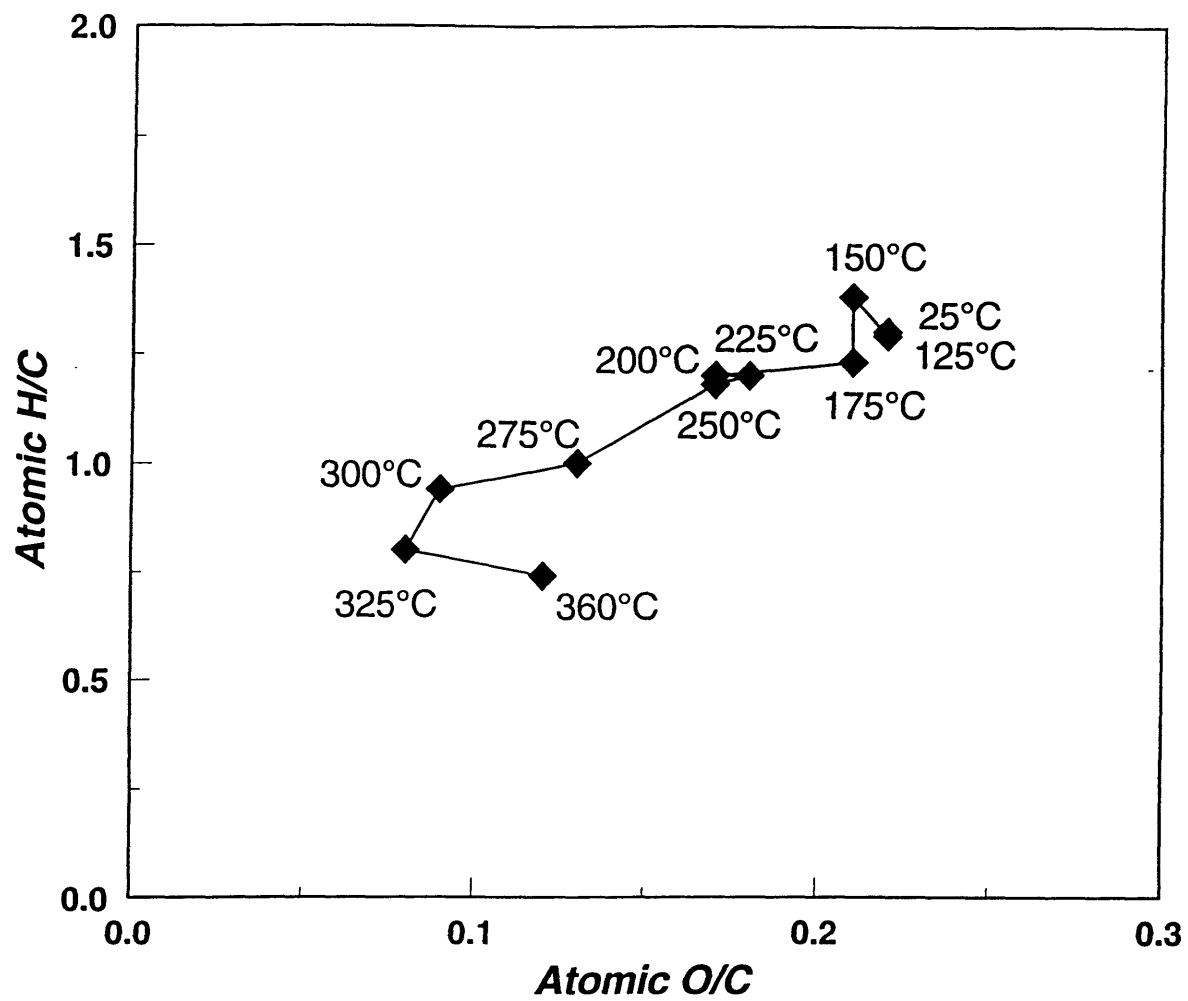


Figure 5. Van Krevlen plot of Monterey shale kerogens after hydrous-pyrolysis at the temperature shown next to each data point.

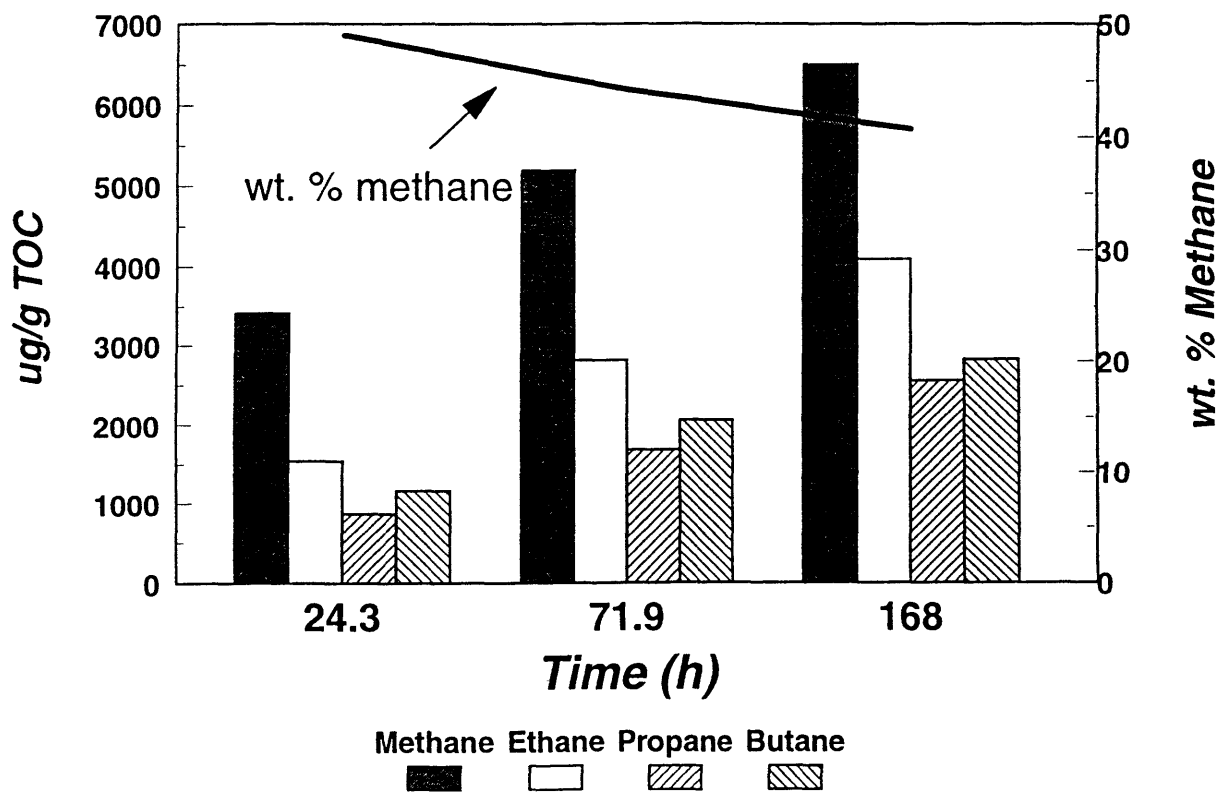
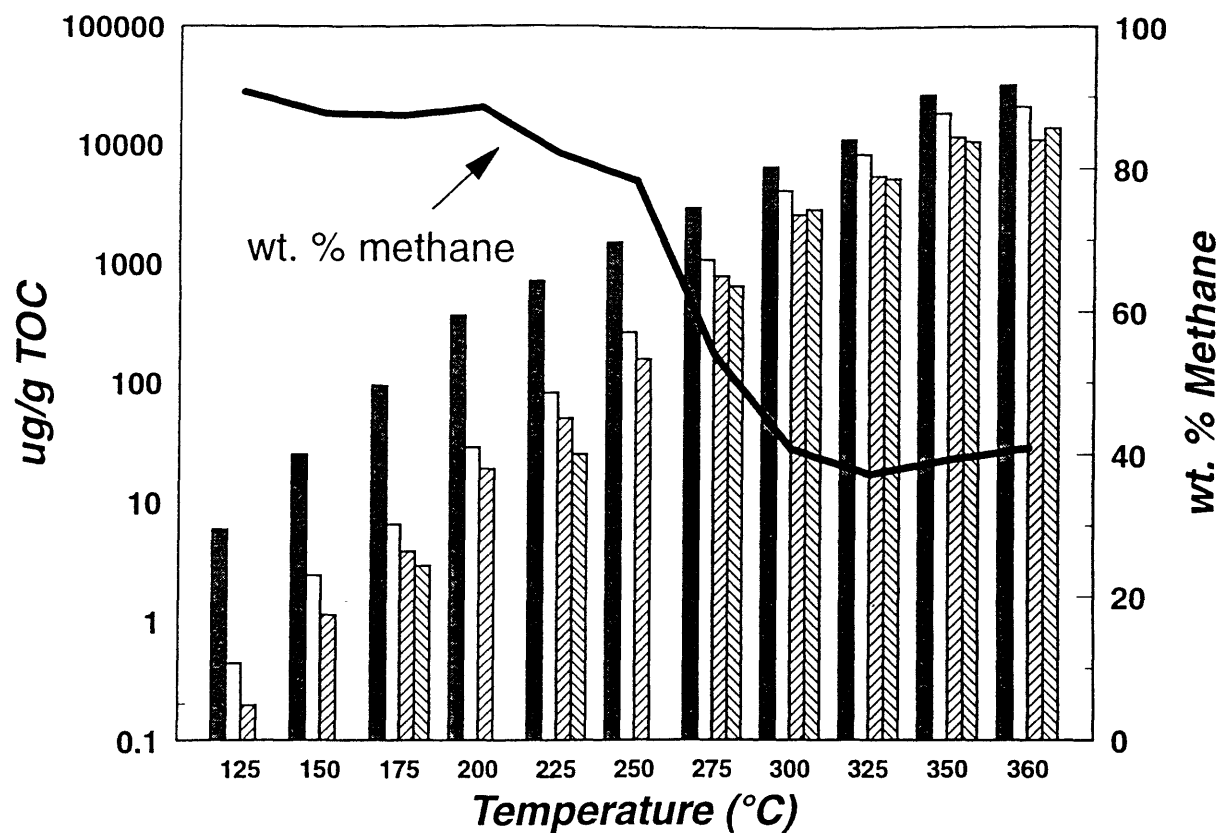


Figure 6. (a) Log amounts of CH_4 , C_2H_6 , C_3H_8 , and *iso- + n-C*₄*H*₁₀ hydrocarbons generated from hydrous-pyrolysis of Monterey shale at all experimental temperatures versus wt.% CH_4 . (b) Amounts of CH_4 , C_2H_6 , C_3H_8 , and *iso- + n-C*₄*H*₁₀ hydrocarbons generated during the 300 $^{\circ}\text{C}$ Monterey shale hydrous-pyrolysis experiment versus wt.% CH_4 .

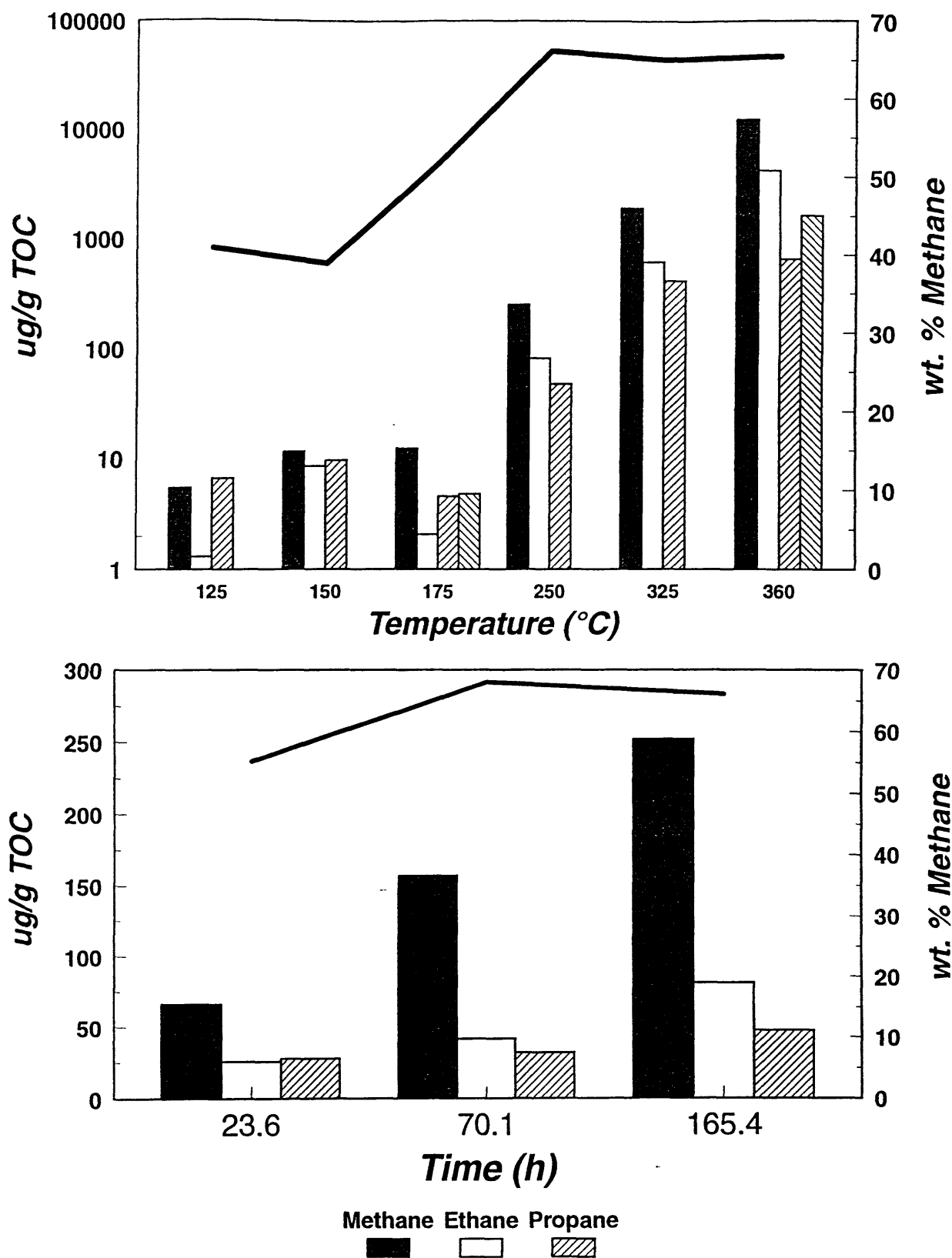


Figure 7. (a) Log amounts of CH_4 , C_2H_6 , C_3H_8 , and *iso- + n-* C_4H_{10} hydrocarbons generated from hydrous-pyrolysis of Smackover shale at all experimental temperatures versus wt.% CH_4 . (b) Amounts of CH_4 , C_2H_6 , C_3H_8 , and *iso- + n-* C_4H_{10} hydrocarbons generated during the 250°C Smackover shale hydrous-pyrolysis experiment versus wt.% CH_4 .

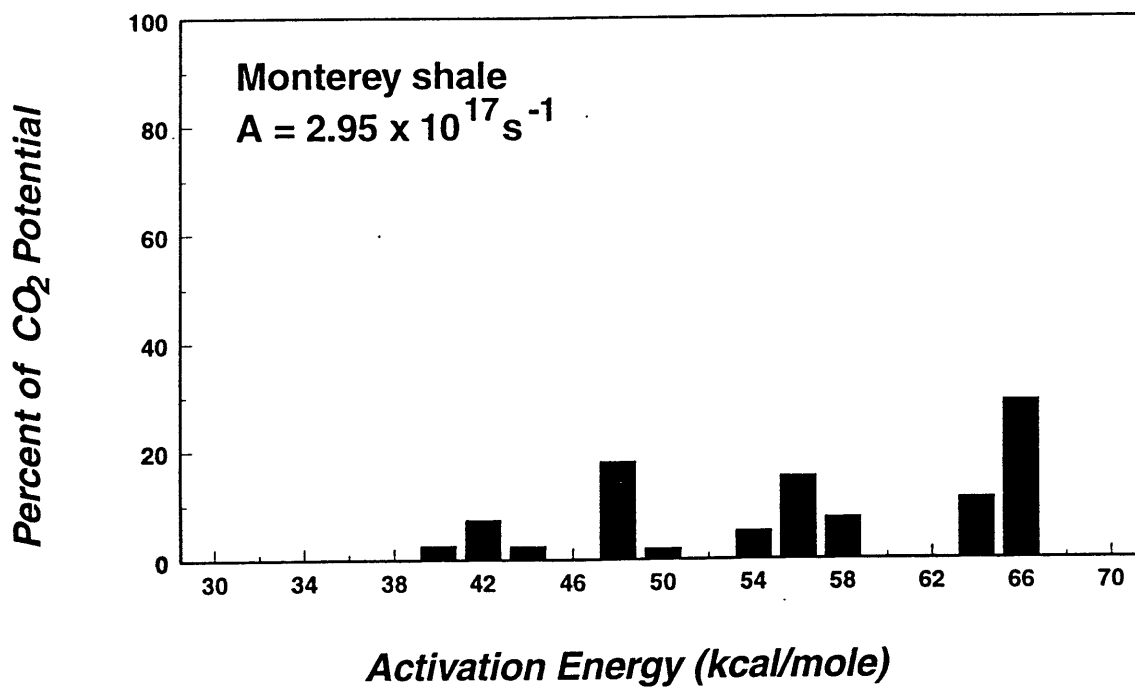


Figure 8. Distribution of activation energies and the pre-exponential factor for CO₂ generated during hydrous-pyrolysis of Monterey shale. The computer code KINETICS (Braun and Burnham, 1990) was used to calculate these values.

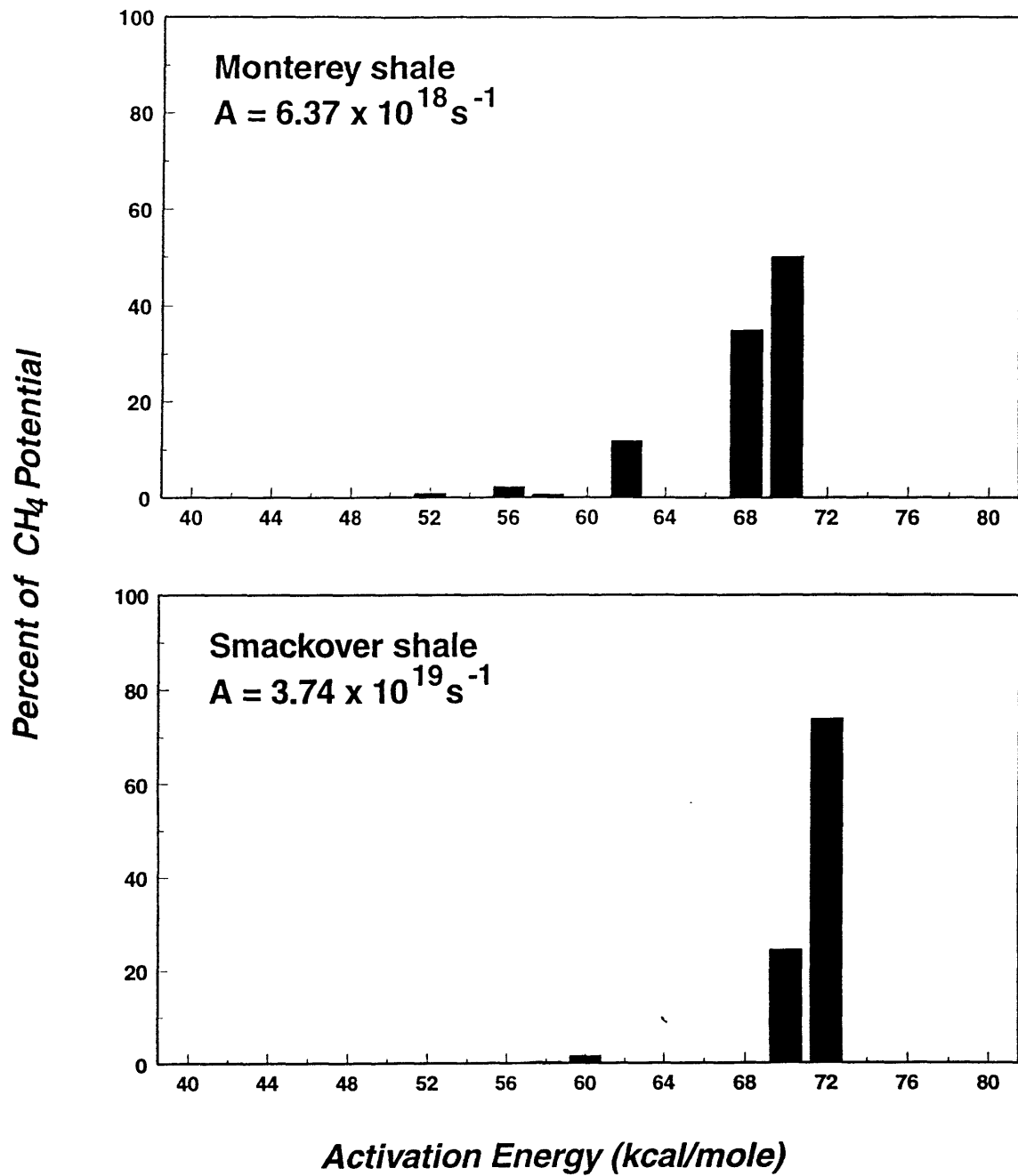


Figure 9. Distribution of activation energies and the pre-exponential factors for CH₄ generated during hydrous-pyrolysis of Monterey and Smackover shales. The computer code KINETICS (Braun and Burnham, 1990) was used to calculate these values.

Percent of C₁-C₄ Hydrocarbon Potential

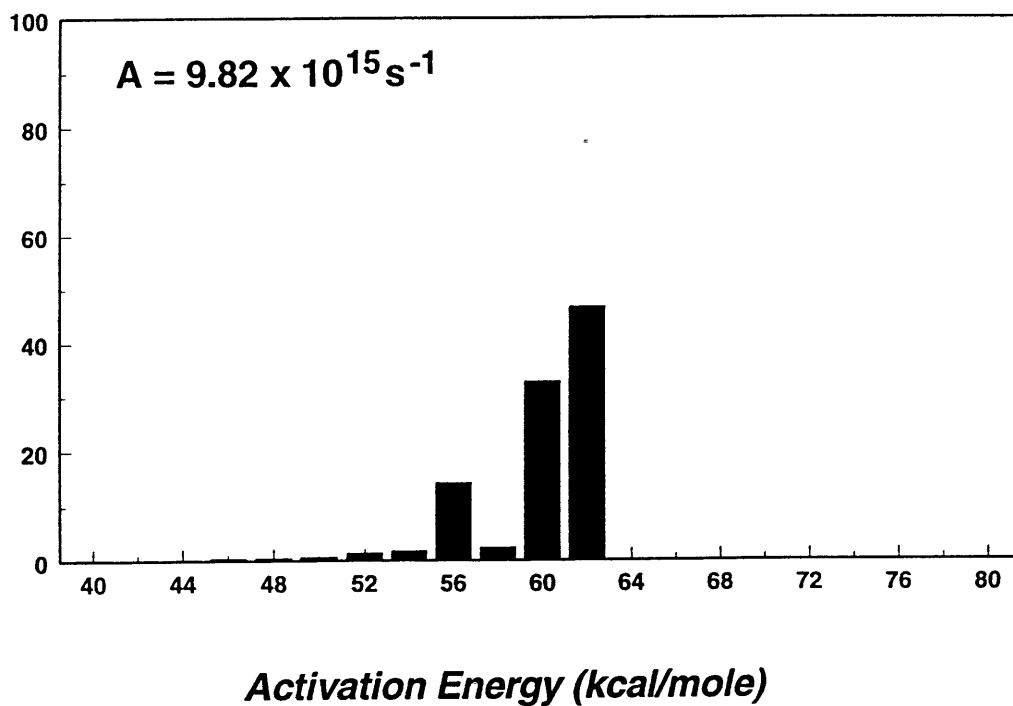


Figure 10. Distribution of activation energies and the pre-exponential factor for total C₁-C₄ hydrocarbons generated during hydrous-pyrolysis of Monterey shale. The computer code KINETICS (Braun and Burnham, 1990) was used to calculate these values.

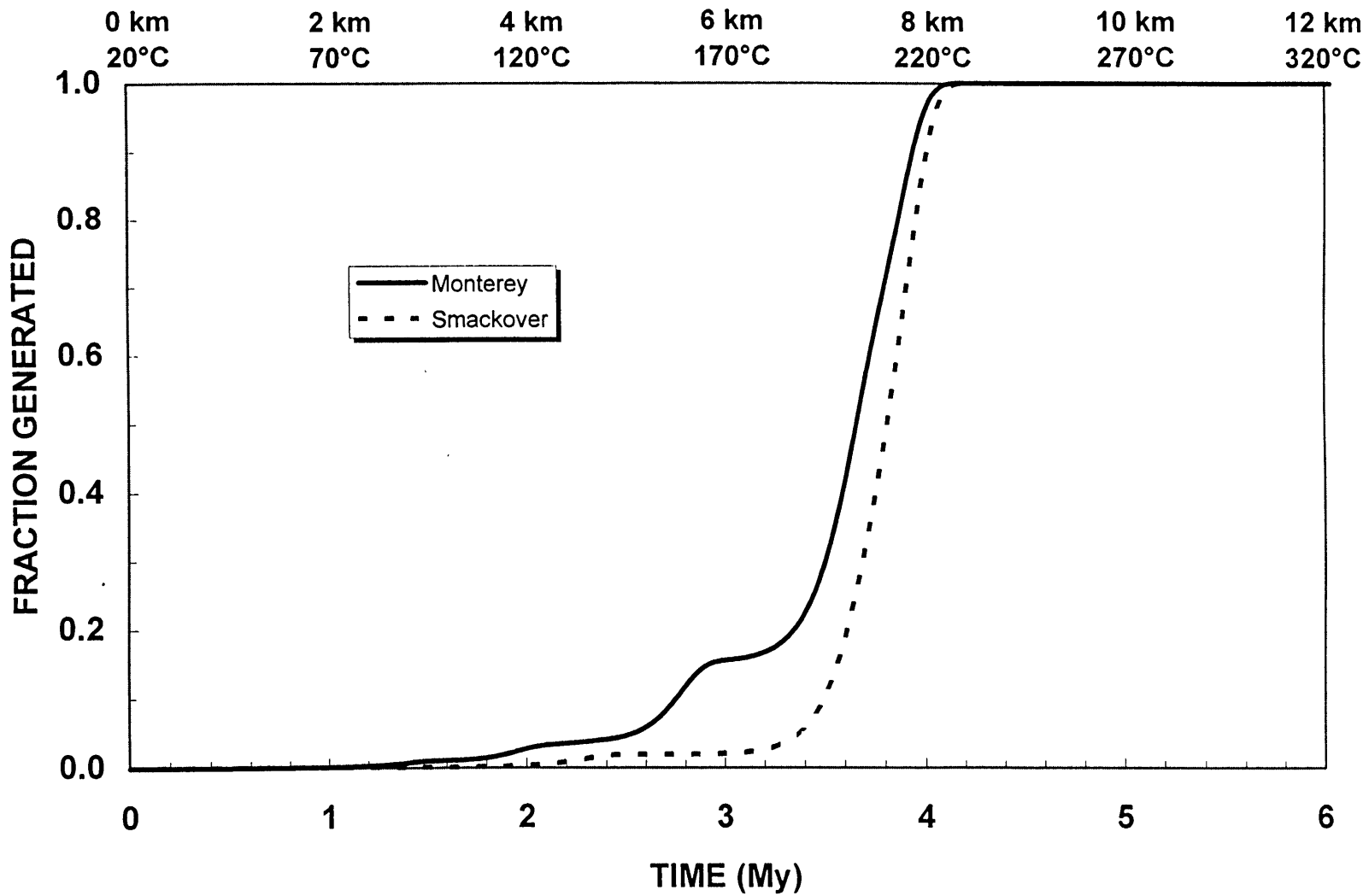


Figure 11. CH₄ generation curves for Monterey and Smackover shales in a hypothetical sedimentary basin. The basin was generated using the computer code KINETICS (Braun and Burnham, 1990).

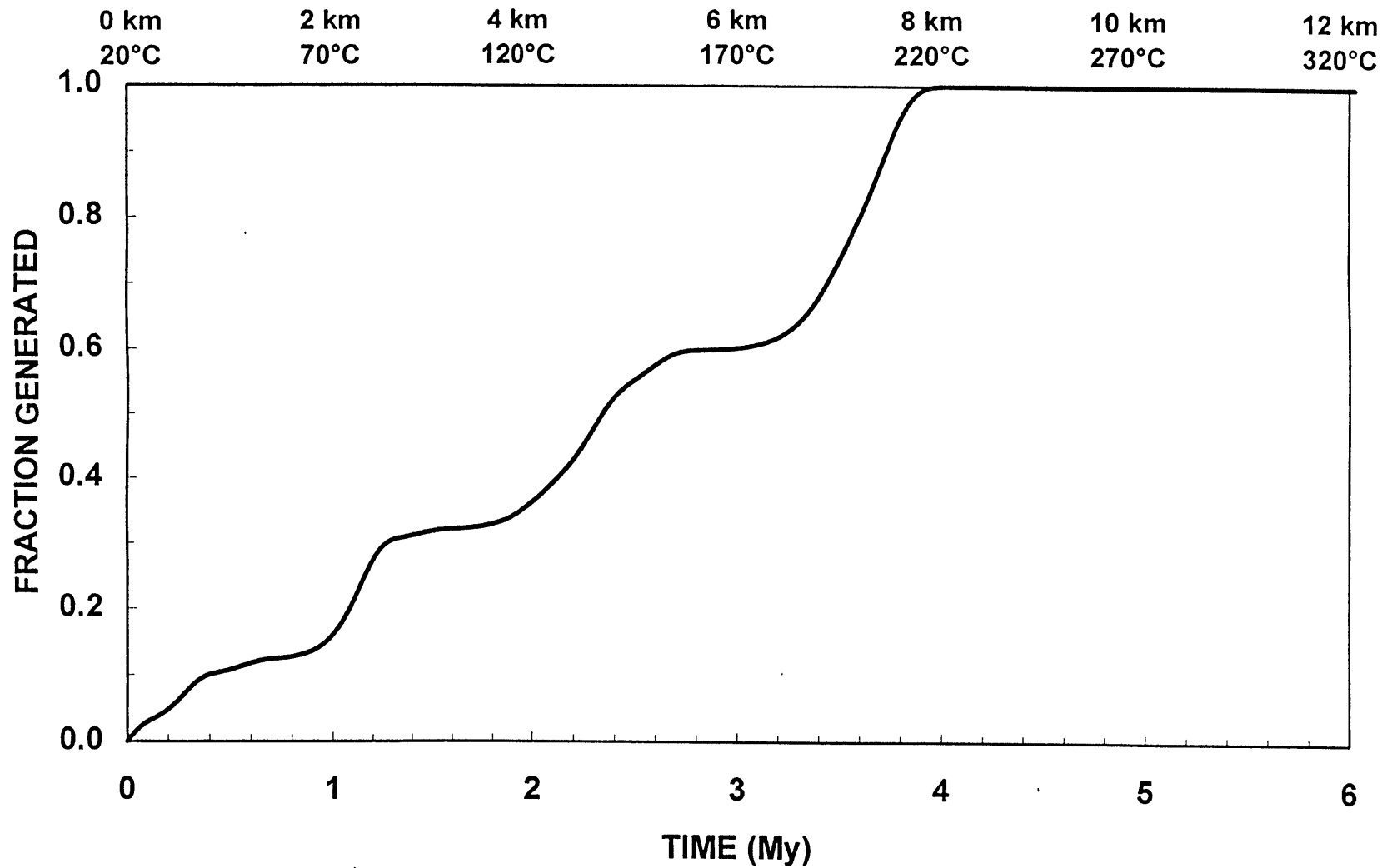


Figure 12. CO₂ generation curve for Monterey shale in a hypothetical sedimentary basin. The basin was generated using the computer code KINETICS (Braun and Burnham, 1990).

CHAPTER 3

AMS-¹⁴C Dating of Individual Compounds Isolated from Recent Marine Sediments

Introduction

The burial of organic carbon in marine sediments and subsequent formation of kerogen are important pathways in the global carbon cycle and are critical in maintaining present-day atmospheric oxygen concentrations (Berner, 1989; Hedges, 1992). The burial of newly formed organic carbon acts as a source of molecular oxygen which must have a compensatory sink, otherwise atmospheric oxygen concentrations would increase over time to levels 150% greater than at present (Berner 1989, Hedges, 1992). Fortunately, oxygen is consumed by the weathering of kerogen exposed in uplifted continental sedimentary rocks (Berner, 1989). This source and sink of oxygen are believed to be in balance and may act as a negative feedback control for oxygen production (Berner, 1989).

Marine sediments are the primary repository for organic carbon through the formation of sedimentary rocks over geologic time. Sedimentary rocks contain over 99% of the total organic carbon on Earth (Hedges, 1992). Greater than 90% of the organic carbon contained in this reservoir is in the form of kerogen (Hedges, 1992). Kerogens are highly cross-linked, oxygen-poor, organic polymers insoluble in common organic solvents and non-oxidizing acids such as HCl and HF (Durand, 1980). The mechanisms leading to the formation of kerogen are poorly understood, however, two general processes are typically cited. In the first, kerogen is formed through the enzymatic depolymerization of sedimentary macromolecules, such as polysaccharides and proteins, followed by condensation of the resulting oligomers and monomers with other organic compounds, such as low molecular-weight lipids (Durand, 1980; Tissot and Welte, 1984; de Leeuw and Largeau, 1993). Conversely, Tegelaar *et al.* (1989) proposed that kerogens are actually physical mixtures of selectively preserved refractory biomolecules which have undergone little or no alteration by biodegradation. Thus, the formation of kerogen is highly dependent on the type of organic matter which is deposited at the sediment-water interface and the extent to which it is preserved during burial.

The remineralization of kerogen through continental weathering has long been believed to be essentially complete--a critical assumption made in models of the biogeochemical carbon cycle (Berner, 1989). Several researchers, however, have discovered ^{14}C -poor organic matter in modern marine sediments (Sackett *et al.*, 1974; Benoit *et al.*, 1979; Barrick and Hedges, 1981; Rowland and Maxwell, 1984). This would indicate that some portion of organic matter which is buried, uplifted and weathered is not completely remineralized and may even have been recycled more than once, if not several times. The result of incomplete remineralization would be the deposition of partially degraded kerogen in all carbon reservoirs (Hedges, 1992). Thus, a significant portion of organic matter in sediments which is difficult to identify may be humic substances and reactive molecules formed from the oxidative degradation of kerogen (Hatcher and Spiker, 1988; Hedges, 1992). It is therefore possible that sedimentation rates based on ^{14}C dating of bulk sediment total organic carbon (TOC) may be skewed by the presence of recycled kerogen.

The presence of recycled kerogen and its degradative products in modern marine sediments would greatly alter present views of the global carbon cycle. Knowledge of organic carbon preservation in marine sediments is crucial to understanding the global carbon cycle as it stands today and how it will change with continued anthropogenic perturbations. In addition, controls on organic carbon burial and preservation must be understood in order to interpret the sedimentary record in terms of paleoproductivity and paleocirculation. Numerous studies have been conducted with this in mind (Calvert *et al.*, 1987; Calvert *et al.*, 1991; Cowie and Hedges, 1992; Lee, 1992; Sun *et al.*, 1993). Due to the recalcitrant nature of kerogen, however, these studies were unable to directly access the relative importance of these refractory compounds. Nevertheless, several researchers eluded to the potential significance of kerogen as a control of carbon preservation in oxic/anoxic marine sediments (Cowie and Hedges, 1992a; Lee, 1992).

This study employed Preparative Capillary Gas Chromatography (PCGC) to determine the relative contributions of marine biomass (primary production), modern terrestrial and recycled sedimentary organic matter co-deposited in marine sediments. Recently developed by Eglinton *et al.* (1996), PCGC has enabled us to isolate pure,

individual biomarkers from complex mixtures of sedimentary organic matter. Compounds were isolated in sufficient quantity to obtain ^{14}C ages through accelerator mass spectrometry (AMS). For this study, recent marine sediments were obtained from the Black Sea and Arabian Sea. Biomarkers obtained from these sediments prove conclusively that organic matter co-deposited in marine settings represent a vast range in radiocarbon ages. Thus, attributing a single ^{14}C age to the bulk sediment masks the internal isotopic heterogeneity which is crucial to fully understanding the global biogeochemical carbon cycle.

Methods

Sample Description and Preparation

Sediment samples were obtained from the Arabian Sea and the Black Sea (Figs. 1a and 1b). The Arabian Sea sediment core 6BC was collected 10/1/94 from 17°48.721' N, 57°30.293' E using a box core during leg TN041 of the R.V. Thomas G. Thompson. The core was collected from 747 m water depth within the oxygen minimum zone. Once aboard, the core was sectioned at 2 cm intervals, placed in Scotchpak-brand® bags, heat-sealed and frozen within 6 hrs of collection. The core appeared homogenous and consisted of a soft, wet foraminiferal sand. Tube worm burrows were located throughout the core and several mollusks were alive on the surface of the core. H_2S was detected by odor at 20 cm and below. The Black Sea sediment core BC4 was obtained 5/14-28/1988 from station 2 located in the West-Central basin (42°51' N, 31°57'E) during leg 134-9 of the R.V. Knorr Black Sea Expedition. The core was obtained from 2129 m water depth and sampled at 0.5 cm horizons.

Solvent Extraction

After thawing the cores, approximately 5 g of sediment from each interval was removed, dried at $<40^\circ\text{C}$ and reweighed to determine the dry weight/wet weight ratio. A fraction of the dried sediment was removed for elemental analysis while approximately 100 mg was repeatedly treated with 2 N HCl, sonicated and oven dried (50°C) until no carbonate remained. The acid-hydrolyzed sediments were used for coulometric analysis,

elemental analysis and $\delta^{13}\text{C}$ and $\Delta^{14}\text{C}$ determinations. The remaining wet sediment from the 2-4 cm interval of the Arabian Sea core 6BC was centrifuged to remove pore water. The moist sediment (approximately 1031 g dry) was transferred to pre-extracted cellulose thimbles and soxhlet-extracted with 100% CH_3OH for 48 hrs then 100% CH_2Cl_2 for 48 hrs. The CH_3OH (dark gold/brown) and CH_2Cl_2 (dark green) extracts were roto-evaporated to approximately 300 mL and back-extracted with 125 mL of KMnO_4 -distilled H_2O and CH_2Cl_2 . The lower organic phases were combined, roto-evaporated to approximately 300 mL and allowed to sit for 24 hrs with anhydrous Na_2SO_4 . The extract was then filtered (0.45 μm teflon filters) and transferred to 500 mL volumetric flask.

The wet sediment from each 0.5 cm interval from 4-7 cm of Black Sea core BC4 was sonic-extracted sequentially with CH_3OH and increasing concentrations of CH_2Cl_2 . The solvent extracts from each 0.5 cm interval were combined (to provide sufficient material for compound isolation and AMS- ^{14}C analysis), back-extracted, treated with anhydrous Na_2SO_4 and filtered similar to above.

Aliquots (~0.1%) of the total lipid extracts (TLE) from core 6BC (2-4 cm) and core BC4 (4-7 cm) were dried under N_2 and transesterified. Transesterification was accomplished by heating the samples at 70°C for 10 hrs in the presence of a 95:5 mixture of CH_3OH and sub-boiled HCl under a N_2 atmosphere. Once cooled the mixture was back-extracted with KMnO_4 -distilled H_2O and C_6H_{14} . The upper phase was pipetted off and passed over Na_2SO_4 to remove water. Derivatization of alcohols was achieved by drying the transesterified fraction, adding equal amounts of pyridine and BSTFA (Regisil®) and heating at 60°C for 15 min. Fractions of both crude TLE removed prior to transesterification and derivitization for $\Delta^{14}\text{C}$ determinations.

Column Chromatography

The majority of the total lipid extracts were roto-evaporated until they were viscous then dried onto several grams of 70 mesh quartz sand under a N_2 stream. Flash chromatography was carried out using a 45.7 x 5.0 cm i.d. column packed with 250 mL of a flash-chromatography SiO_2 gel slurry in C_6H_{14} . Once the dried extract / sand mixture was transferred to the top of the column, separation was accomplished according to the following elution scheme for the Black Sea TLE:

<u>Fraction #</u>	<u>Solvent(s)</u>	<u>Compounds</u>
1	100% C ₆ H ₁₄	Aliphatic hydrocarbons
2	50% C ₆ H ₅ CH ₃ / C ₆ H ₁₄	Aromatic hydrocarbons
3	10% C ₂ H ₅ CO ₂ CH ₃ / C ₆ H ₁₄	Ketones
4	20% C ₂ H ₅ CO ₂ CH ₃ / C ₆ H ₁₄	Alcohols
5	100% C ₂ H ₅ CO ₂ CH ₃	Polars
6	100% CH ₃ OH	Polars

For the Arabian Sea TLE, fractions 2, 3 and 4 were eluted concurrently using 100% CH₂Cl₂ while fractions 5 and 6 were eluted with 100% CH₃OH.

Elemental sulfur was removed from the Arabian Sea fraction #1 by passing the sample through a column packed with activated Cu granules. As the sample was passed through the column the Cu turned from shiny to black indicating the presence of S. Elemental sulfur was not present in the Black Sea fraction #1. Saturated hydrocarbons in fraction #1 from both samples were separated from unsaturated compounds using a AgNO₃-SiO₂ column. The column (45.7 x 1.9 cm) was packed dry with 50 mL flash-chromatography SiO₂ gel after which 100 mL of a saturated AgNO₃ solution (3:1 C₂H₃OH / KMnO₄-distilled H₂O) was slowly (1 mL / min) passed over the SiO₂ gel. The column was rinsed with CH₃COCH₃ and dried with N₂. After adding C₆H₁₄ the aliphatic hydrocarbon fractions were added. The saturated hydrocarbons were eluted with 350 mL of C₆H₁₄ followed by elution of the unsaturated fraction with 350 mL of C₂H₅OC₂H₅. The column was wrapped in Al-foil during the entire process to prevent photo-oxidation of the AgNO₃. The saturated hydrocarbons were roto-evaporated to less than 10 mL while the unsaturated compounds were taken to dryness. Both were then dissolved in C₆H₁₄.

For this study, compounds were isolated from the saturated and unsaturated hydrocarbon fractions from both the Arabian Sea and Black Sea. Individual compounds were also isolated from the Black Sea fraction #4 (alcohols), however, Δ¹⁴C determinations have not been made yet. All hydrocarbon fractions were dissolved in C₆H₁₄ while the alcohol fraction was dissolved in CH₂Cl₂ during preparative capillary gas chromatography.

Preparative Capillary Gas Chromatography (PCGC)

The following is a brief description of the preparative capillary gas chromatograph (PCGC). For a more thorough overview of the PCGC system see Eglinton *et al.* (1996). The PCGC device (Fig. 2) consists of a Hewlett Packard® 5890 Series II gas chromatograph equipped with a flame ionization detector (FID), an HP 7673 autoinjector, electronic pressure control and a Gerstel® Cooled Injection System (CIS). The GC is fitted with a 60 m megabore (0.53 mm i.d.) fused silica capillary column coated with a cross-bonded methylsilicone phase (R_{TX-1}, Restec®; film thickness 0.5 µm). The GC column leads through a heated (~315°C) transfer line to a Gerstel Preparative Switching Device (PSD). The PSD consists of an 8-port zero-dead-volume valve, in a heated oven (~310°C), which connects to seven J&W® deactivated fused silica capillary columns (18 cm x 0.32 mm i.d.) which are, in turn, connected to 200 µL glass U-tube traps (6 sample traps and one waste trap). The traps are cooled (-10°C) by a Neslab® RTE-140 circulating cooler.

For this study it is necessary to isolate individual compounds of sufficient purity and quantity for AMS-¹⁴C measurements. In order to accomplish this in a reasonable time span (1 trapping sequence takes ~7 days) relatively large (10 µL) injections are made using the HP 7673 autoinjector. In order to eliminate as much solvent prior to transferring the sample to the chromatographic column, the CIS utilizes a temperature program independent from that of the GC. The CIS temperature program used for the present study was from 40°C (initial hold time, 0.15 min) to 330°C (final hold time, 8 min) at a rate of 12°C s⁻¹. Once the sample is separated chromatographically, the eluting compounds pass through a zero-dead-volume splitter arranged to send <1% of the flow to the FID and >99% to the preparative switching device (PSD).

The chromatographic traces generated from the FID are used to determine retention times for the individual compounds of interest. These retention times are then used to program the PSD. The 8-port zero-dead-volume valve of the PSD is back-pressure controlled by solenoid valves located after the traps which minimizes the potential for contamination. Up to 50 time windows can be entered for each trap and opening/closing times are precise to within 0.6 sec. Due to the reproducibility of retention

times afforded by microprocessor control of the autoinjector, CIS and GC, trapping windows can be defined within narrow tolerances. During this study the opening and closing times programmed for an individual trap were typically 0.4-0.6 min apart.

Graphite Target Preparation and AMS-¹⁴C Analysis

Once the compounds had been isolated each U-tube was detached and rinsed with 1 mL of CH₂Cl₂ to transfer the samples to quartz combustion tubes (20 cm x 9 mm o.d.). Once transferred, an aliquot (50 μL) was removed for HRGC and GC-MS and spiked with 50 μL of a *n*-C₃₂ internal standard for quantification. The solvent present in the combustion tube was then removed under a stream of high purity N₂. CuO (~2 mg / μg C) was added to the combustion tube and any residual solvent was removed by evacuating the tube to 10⁻⁶ Torr in a dry-ice/isopropanol bath. The bath was replaced by liquid N₂ and the tube was flamed sealed while under vacuum. The isolated compounds were converted to CO₂ by heating at 850°C for 5 hrs. Once cool, the CO₂ sample was introduced to a vacuum line, purified and quantified. The CO₂ sample was converted to graphite according to the procedures outlined by McNichol *et al.* (1992). Briefly, the CO₂ sample was heated at 600°C for 5 hrs in the presence of a Co catalyst (1.0-1.5 mg) and H₂ (2.5 x sample pressure). H₂O produced during the reaction was trapped in a liquid N₂ bath. Once cool the graphite samples were stored in a desiccator until they were pressed into Al cartridges to form targets for AMS.

AMS-¹⁴C analyses of the isolated compounds were conducted at the National Ocean Sciences AMS (NOSAMS) facility here at WHOI and at the Lawrence Livermore National Laboratory's (LLNL) Center for AMS in Livermore, CA. CO₂ samples generated at NOSAMS were also analyzed for stable C isotopic compositions (δ¹³C) on a VG® Prism mass spectrometer.

High Resolution Capillary GC (HRGC) and GC-MS

High resolution capillary GC was used after PCGC to determine the purity and yields of isolated compounds. The HRGC consists of a Hewlett Packard® 5890 Series II GC fitted with an HP 7673 autoinjector, electronic pressure control and a FID.

Separation was achieved on a J&W® DB-1 column (60 m x 0.32 mm, film thickness 0.25 µm). Data were acquired and integrated using Chromperfect® software. GC-MS analysis were performed using a similarly equipped HP 5890, interfaced to a VG® Autospec-Q mass spectrometer. Spectra were scanned over the range 50-600 amu with a cycle time of 1 sec. Electron impact ionization (EI) at 50 eV was used for all analyses.

Bulk Sediment Isotopic and Elemental Analyses

Stable carbon isotopic measurements ($\delta^{13}\text{C}$) of the acid-hydrolyzed bulk sediment samples were made at the Marine Biological Laboratory (MBL) using a Finnigan® Delta-S mass spectrometer and at the NOSAMS facility using a VG® Prism mass spectrometer. Solid samples were combusted on-line followed by conventional isotope ratio-mass spectrometry (Fry *et al.*, 1992a). The sediment samples were rinsed with distilled H_2O and centrifuged prior to being analyzed at the NOSAMS facility. As shown in Table 1, the $\delta^{13}\text{C}$ results of the water-rinsed samples were on average 1.2 ‰ lighter than those that were not rinsed prior to analysis. It is possible that this discrepancy is due to the removal of the most labile organic fractions, such as acid-hydrolyzable proteins, during water-rinsing and centrifugation. The $\delta^{13}\text{C}$ values measured at the NOSAMS facility were used to calculate the $\Delta^{14}\text{C}$ values of the bulk sediment samples. However, the discrepancy between $\delta^{13}\text{C}$ values could alter the $\Delta^{14}\text{C}$ determinations by no more than 0.5 ‰, which is well within the $\Delta^{14}\text{C}_{\text{TOC}}$ error given in Table 1. For clarity, only the $\delta^{13}\text{C}$ values measured at the NOSAMS facility will be discussed further. ^{14}C determinations of the bulk sediment samples were made at WHOI NOSAMS facility in the same manner as described above for isolated compounds. Elemental analyses (TC, H, N) of the bulk sediments were conducted on a Perkin Elmer® 2400 CHN Elemental Analyzer. Measurements of total inorganic carbonate (TIC) were made using a UIC® Inc. Coulometrics CO_2 Coulometer and total organic carbon (TOC) was determined by difference.

Results and Discussion

Bulk Sediment Composition

The elemental and isotopic composition of the bulk sediment samples are listed in Table 1. The organic carbon content of the Arabian Sea core 6BC ranged from 4.20 to 6.66 wt.% in the 8-10 and 2-4 cm intervals, respectively. The 4-7 cm section from the Black Sea core BC4 exhibited a slightly wider variance in organic carbon content, ranging from 3.94 to 7.66 wt.%. The organic rich nature of both cores is representative of the depositional environments present at both locations. In the Arabian Sea, primary production increases dramatically during the summer months due to the monsoon-driven upwelling of nutrients (Paropkari *et al.*, 1992). The Black Sea also experiences seasonal blooms in marine primary productivity (Karl and Knauer, 1991) in addition to high concentrations of terrigenous material from the Danube and Dnepr rivers (Simoneit, 1977). Several studies have shown that the amount of organic carbon delivered to the sediments is the principal control on the accumulation of organic matter in sediments (Calvert, 1987; Pedersen and Calvert, 1990; Calvert and Pedersen 1992). As a result, recent sediments from both the Oman margin and the Black Sea exhibit relatively high organic carbon contents (Calvert *et al.*, 1991; Paropkari *et al.*, 1992; Pedersen *et al.*, 1992).

The stable carbon isotopic compositions of the Arabian and Black Sea cores illustrate differences in both the sources of sedimentary organic matter and the carbonate systems at each site (Table 1). $\delta^{13}\text{C}$ measurements of core 6BC vary from -21.4 to -21.7 ‰, which are within the range cited for marine organic matter (-19 to -23 ‰, Deines, 1980). In contrast, the $\delta^{13}\text{C}$ values of the Black Sea sediment samples range from -25.0 to -25.2 ‰. These lighter $\delta^{13}\text{C}$ values are attributable to both a greater contribution of terrestrial organic matter (-24 to -32 ‰, Sackett and Thompson, 1963) and to an isotopically lighter DIC pool in the Black Sea compared to the Arabian Sea. The anoxic conditions present in the Black Sea lead to elevated total CO_2 values due to organic matter degradation through sulfate reduction (Goyet *et al.*, 1991). As CO_2 concentrations increase with depth (Goyet *et al.*, 1991) the ^{13}C content declines (Kroopnick, 1985; Fry *et al.*, 1992b; Freeman and Wakeham, 1992). Thus, the $\delta^{13}\text{C}$ values of photoautotrophic

organisms become isotopically lighter as do the heterotrophic organisms feeding on the phytoplankton. As a result, marine organic matter delivered to the sediments in the Black Sea is isotopically lighter than in the Arabian Sea.

The atomic ratio of carbon to nitrogen (C/N)_a provides further evidence of differing organic matter sources in the Arabian and Black Sea (Table 1). Terrestrial organic matter is depleted in nitrogen relative to marine organic matter (Emerson and Hedges, 1988). Thus, inputs of terrigenous material to the sediments will result in elevated (C/N)_a ratios. Higher (C/N)_a ratios were observed in the Black Sea samples ((C/N)_a = 13.2 and 14.8) as compared to those from the Arabian Sea ((C/N)_a = 8.6 to 11.7), indicating a relatively stronger terrestrial signal in the Black Sea core.

The $\Delta^{14}C_{TOC}$ values of Arabian Sea core 6BC increase with depth from -84.0 ± 3.1 ‰ (0-2 cm) to -136 ± 2.5 ‰ (8-10 cm) correspond to ages of 665 and 1130 ± 25 yrs, respectively (Table 1). Similarly, the $\Delta^{14}C_{TOC}$ values of Black Sea core BC4 ranged from -91.9 ± 2.6 to -115 ± 2.9 ‰, which equate to ages of 735 to 940 ± 25 yrs. However, the short depth intervals analyzed (0.5 cm) in core BC4 resulted in more variability with increasing depth (Table 1). Total lipid extracts were obtained from the 2-4 cm interval of Arabian Sea core 6BC and the entire 4-7 cm section of Black Sea core BC4 (Figs. 3a and 4). The average ^{14}C ages of these two intervals were 890 and 871 yrs, respectively. In contrast, the ^{14}C age of the total saturated hydrocarbon fraction from the Black Sea was 1310 ± 150 yrs (Table 2).

Isolated Compounds

Trapping efficiency

In total, twenty-four hydrocarbons were isolated from the Arabian and Black Sea cores utilizing the PCGC device (Table 2). The yields of isolated compounds, as determined by HRGC, ranged from approximately 6 to 176 $\mu\text{g C}$ (Table 2). PCGC has been shown to be capable of isolating pure, individual compounds from complex mixtures in quantities sufficient for AMS- ^{14}C dating (Eglinton *et al.*, 1996). During this study all hydrocarbons were trapped cleanly and in sufficient amounts for radiocarbon analysis, with the exception of compounds P4-P6 which were combined to provide

enough material for ^{14}C determinations (Table 2). An example of trapping efficiency is illustrated in Figure 5 which shows the HRGC traces of a series of *n*-alkanes isolated from the Black Sea (4-7 cm) saturated hydrocarbon fraction. Five, odd-numbered alkanes (*n*- C_{23} to *n*- C_{31}) were isolated from the total mixture (top trace). The absence of peaks other than those of the isolated compound and recovery standard shows just how cleanly the compounds were trapped (Fig. 5). By removing the desired compounds cleanly and completely from the total mixture, isotopic fractionation effects are minimized, allowing for the most accurate age determination for each compound.

There are three potential sources of error when preparing isolated compounds for AMS- ^{14}C analysis. The first is the presence of residual solvent (CH_2Cl_2) in the combustion tube after evaporation and evacuation. If present, the residual solvent would contribute to an older ^{14}C age since it is certainly derived from ^{14}C -depleted petroleum products. The second possible source of error would be the loss of some of the isolated compound during evacuation. This would likely result in isotopic fractionation since the lighter C isotopes would be preferentially removed. Fractionation could also occur during compound isolation if only a portion of the peak is trapped. However, Eglinton *et al.* (1996) has shown that such fractionation is easily prevented by closely monitoring peak retention times during a trapping sequence.

The extent to which the first two errors may have affected the $\Delta^{14}\text{C}$ analysis can be estimated by comparing compound yields determined from HRGC peak areas versus yields of CO_2 generated by combustion of isolated compounds (Fig. 6). In Figure 6 the presence of residual solvent or loss of sample would cause the isolated compound to not plot along the 1:1 line. With the exception of sample M6, most samples tend to lie slightly above the 1:1 line with only a few instances of samples lying below. The ^{14}C -age of sample M6, however, is in close agreement with other, structurally similar, isolated compounds which lie closer to the 1:1 line. In addition, sample M6 yielded one of the younger ages determined for compounds isolated from the Arabian Sea (Table 2) indicating that residual solvent was not present. This suggests that the C yields estimated from either HRGC or generated CO_2 for sample M6 is in error. The discrepancy between the two estimates can be attributed to two factors. The first is the difficulty associated

with determining HRGC yields relative to an internal standard (*n*-C₃₂ alkane) due to differing response factors for different compound types. The second is that the error in the pressure transducer used to determine CO₂ yields, increases with decreasing sample size.

Radiocarbon measurements

In addition to the 24 isolated hydrocarbons, the total lipid extracts (TLE) from both cores and the saturated hydrocarbon fraction from the Black Sea core were AMS-¹⁴C dated. $\Delta^{14}\text{C}$ values were calculated using an assumed $\delta^{13}\text{C}$ value of $-25\text{‰}_{\text{(PDB)}}$ in cases where the actual $\delta^{13}\text{C}$ value of the isolated compound was not measured (Table 2). Within the error of the AMS-¹⁴C measurements, the $\Delta^{14}\text{C}$ values of the total lipid extracts (Table 2) are indistinguishable from the respective $\Delta^{14}\text{C}_{\text{TOC}}$ determinations for each sediment interval (Table 1). The isolated hydrocarbons, however, exhibited a wide range in $\Delta^{14}\text{C}$ values and corresponding ¹⁴C ages (Table 2, Figs. 7 and 8). The individual compounds isolated from the 2-4 cm interval of Arabian Sea core 6BC ranged in age from 100 to 10,480 yrs for a C_{25:3} highly branched isoprenoid and a *n*-C₂₃ alkane, respectively (Fig. 7). In contrast, compounds isolated from the 4-7 cm section of Black Sea core BC4 varied in age from 550 ± 100 yrs for a *n*-C₃₁ alkane to 1380 ± 170 yrs for a *n*-C₂₅ alkane (Table 2, Fig. 8).

The span in ages of individual hydrocarbons clearly indicate that several different sources are contributing organic matter to the sediments in both the Arabian and Black Sea. The contribution of marine organic matter to the sediments is evident from the presence of a number of plankton- and bacterially-derived hydrocarbons (Table 2). In the Arabian Sea core, several highly branched isoprenoids (HBI) were isolated as well as two sterols. The HBI are diatom-derived (Volkman *et al.*, 1994) whereas the sterols originate from higher planktonic organisms in general (ten Haven and Rullkotter, 1991). Although both are marine in origin, the ¹⁴C ages of the HBI and sterols are approximately 100-300 yrs and 600-700 yrs, respectively (Table 2, Fig. 7). One possible explanation for this difference is that the diatoms that produced the HBI may have existed in floating communities in contact with atmospheric CO₂. Due to the slow equilibration of CO₂ between the atmosphere and ocean, dissolved CO₂ has a ¹⁴C age approximately 400 yrs

older than atmospheric CO₂ (Broecker and Peng, 1984). Thus, the 'reservoir effect' results in relatively older ¹⁴C ages observed for the plankton-derived sterols which integrate all photoautotrophic plankton.

Several hopanoids and *n*-alkanes were also isolated from the Arabian Sea core (Table 2). The hopanoids may have originated from cyanobacteria within the water column, benthic or pelagic heterotrophic bacteria, or from terrestrial plants. The long chain *n*-alkanes, on the other hand, are derived from higher land plants (Eglinton and Hamilton, 1967). The hopanoids range in age from 990-1230 yrs whereas the *n*-alkanes are 7200-10480 yrs old. The ¹⁴C ages of the hopanoids are similar, yet slightly older than those of the sterols (Table 2). This suggests that the hopanoids are derived from benthic or pelagic heterotrophic bacteria.

The average ¹⁴C age of the *n*-alkanes is an order of magnitude greater than both the marine-derived sterols and HBI. Yet, the bulk ¹⁴C_{TOC} age of the 2-4 cm interval is only 890 ±30 yrs. This suggests that terrigenous material represents a minor source of organic matter to these sediments. A conclusion supported by the (C/N)_a and δ¹³C values determined for Arabian Sea core 6BC. A closer examination of the HRGC chromatogram of the total saturated hydrocarbons, however, reveals an even distribution of *n*-alkanes at higher molecular weights (Fig. 3b). The distribution is similar to that seen in GC traces of petroleum (e.g. Green River Fm. petroleum, Eglinton et al., 1996). It is possible that petroleum seeps exist in the Arabian Sea sediments which would explain the extremely old ¹⁴C ages observed for the long chain *n*-alkanes (Table 2, Fig. 7).

Fewer compounds were isolated from the 4-7 cm section of the Black Sea core, however, they include hydrocarbons from both marine and terrestrial sources (Table 2). Three marine-derived hydrocarbons, C₃₇-C₃₉ alkenes, were isolated separately by PCGC and then combined to provide sufficient material for AMS-¹⁴C analysis. The C₃₇-C₃₉ alkenes, which were likely produced by the marine coccolithophore *Emiliania huxleyi* (Volkman et al., 1980), yielded a ¹⁴C age of 960 ±100 yrs (Table 2). In contrast, five, odd C numbered *n*-alkanes (*n*-C₂₃₋₃₁), varied in age from 550 ±100 yrs to 1380 ±170 yrs (Table 2). The *n*-alkanes, however, fall into two distinct age groups; a younger group which includes the *n*-C₂₉ and *n*-C₃₁ alkanes (550-670 yrs) and an older group including the *n*-C₂₃.

C_{27} alkanes (1180-1380 yrs) (Fig. 8). The difference in ages suggests that the *n*-alkanes originate from two distinct sources. The *n*- C_{29} and *n*- C_{31} alkanes are definitely derived from higher plants (Eglinton and Hamilton, 1967), whereas the *n*- C_{23} , *n*- C_{25} and possibly the *n*- C_{27} alkanes may be bacterially-derived. Assuming that the radiocarbon age of the C_{37} - C_{39} alkenes is approximately 400 yrs too old due to the 'reservoir effect', the marine hydrocarbons are of roughly the same age as the terrestrially-derived *n*- C_{29} and *n*- C_{31} alkanes. The average ^{14}C age of the bulk sediment for the 4-7 cm section is 871 yrs indicating that the organic matter delivered to this core is primarily marine in origin.

In both the Arabian and Black Sea cores organic matter originating from different sources is being deposited contemporaneously. Individual compounds isolated from these sediments, however, exhibit a broad range in radiocarbon ages. Thus, bulk sediment ^{14}C ages, which have been frequently used to determine sedimentation rates (e.g. Ross and Degens, 1974; Calvert, 1987; Jones and Gagnon, 1994), carbon accumulation rates (Calvert *et al.*, 1987; 1991) and ultimately global C reservoir times (Bernier, 1989; Hedges, 1992), represent gross averages which mask the true depositional history of the environment under study. For example, an increase in the relative contribution of either marine or terrestrial organic matter delivered to the sediments could, respectively, increase or decrease bulk TOC-based sedimentation rates. Furthermore, the extremely old radiocarbon ages of the *n*-alkanes isolated from the Arabian Sea indicate that either: 1) there is an ancient C pool present (petroleum seeps), 2) terrestrial organic matter experiences a number of chemical and physical processes prior to deposition, or 3) recycled carbon is being supplied from the continents. The effect each of these possibilities could have on bulk sediment radiocarbon chronologies argues for a new method of determining sedimentation rates. PCGC provides the means to isolate and AMS- ^{14}C date a marine-derived lipid which is not affected by the above difficulties and for which the 'reservoir effect' can be determined. Using the ^{14}C age of the individual lipid to determine C accumulation rates would undoubtedly lead to a re-evaluation of C residence times.

Summary

Total lipid extracts (TLE) were obtained from the 2-4 cm horizon of Arabian Sea core 6BC and from the 4-7 cm section from Black Sea core BC4. AMS- ^{14}C measurements were made on the bulk sediment TOC and TLE from both cores as well as the saturated hydrocarbon fraction from the Black Sea core. Twenty-four individual hydrocarbons were isolated from the TLE using Preparative Capillary Gas Chromatography (PCGC) and radiocarbon dated. The ^{14}C ages of the bulk sediment TOC of each core were quite similar to one another and to the respective TLE. The radiocarbon ages of the individual compounds, however, ranged in age from 100 to 10,480 yrs. By isolating known biomarkers it was possible to determine the ^{14}C ages of terrestrial and marine organic matter sources. From these results it is apparent that most of the isotopic heterogeneity present in recent marine sediments is lost when only the bulk sediment TOC is radiocarbon dated. In future studies the ^{14}C age of individual, marine-derived lipids should be determined to obtain more accurate sedimentation rates, C accumulation rates and ultimately C reservoir times.

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Table 1. Elemental and isotopic composition of bulk sediment samples.

Sample Location	Depth (cm)	TOC (Wt. %)	TN (Wt. %)	(C/N) _a	$\delta^{13}\text{C}_{\text{TOC}}$ (‰) ¹	$\delta^{13}\text{C}_{\text{TOC}}$ (‰) ²	$\Delta^{14}\text{C}_{\text{TOC}}$ (‰)	$\Delta^{14}\text{C}_{\text{TOC}}$ error	¹⁴ C Age (yrs) ³	¹⁴ C Age error
Arabian Sea 6BC	0 - 2	6.40	0.64	11.7	-20.6	-21.7	-84.0	±3.1	665	±25
	2 - 4	6.66	0.74	10.5	-20.6	-21.7	-109	±3.3	890	±30
	4 - 6	6.48	0.73	10.4	-20.5	-21.5	-108	±3.1	880	±25
	6 - 8	6.58	0.72	10.7	-20.4	-21.4	-118	±2.8	965	±25
	8 - 10	4.20	0.57	8.60	-20.4	-21.4	-136	±2.5	1130	±25
Black Sea BC4	4.0 - 4.5	4.64	0.41	13.2	-24.2	n.d.	-103	±2.8	830	±25
	4.5 - 5.0	4.68	0.39	14.0	-23.6	-25.0	-114	±3.0	930	±25
	5.0 - 5.5	3.94	0.32	14.4	-23.6	-25.2	-115	±2.9	940	±25
	5.5 - 6.0	5.95	0.47	14.8	-24.0	-25.1	-91.9	±2.6	735	±25
	6.0 - 6.5	6.24	0.51	14.3	-24.0	-25.2	-106	±3.2	865	±30
	6.5 - 7.0	7.66	0.61	14.7	-24.3	n.d.	-113	±2.8	925	±25

n.d.: Not determined.

(C/N)_a: Atomic ratio of carbon to nitrogen.

1: Analysis conducted at MBL.

2: Analysis conducted at NOSAMS.

3: Radiocarbon age reported using Libby half-life of 5568 years, and according to the convention of Stuiver and Polach (1977) and Stuiver (1980).

Table 2. Isotopic composition of isolated compounds.

Compound/ Fraction	PCGC I.D.	AMS Facility	GC Yield ($\mu\text{g C}$) ¹	CO ₂ Yield ($\mu\text{g C}$)	$\delta^{13}\text{C}$ (‰)	$\Delta^{14}\text{C}$ (‰)	$\Delta^{14}\text{C}$ error ²	¹⁴ C Age (yrs) ³	¹⁴ C Age error
Arabian Sea core 6BC, 2-4 cm									
TLE	-	LLNL	n.d.	770	n.d.	-99.9	±6.3	800	±60
C25:4 HBI	N1	LLNL	47	35	n.d.	-38.2	±15.0	270	±130
C25:3 HBI	M1	NOSAMS	103	118	-19.97	-17.8		100	
C25:2 HBI	M2	NOSAMS	125	123	-20.16	-25.5		170	
C30 sterene	N4	LLNL	176	149	n.d.	-74.6	±7.1	580	±70
C30 sterene	N3	LLNL	83	77	n.d.	-84.7	±8.2	670	±80
C27:1 sterene	M3	NOSAMS	65	124	-24.90	-89.0		710	
C30 hopene	N5	LLNL	6	60	n.d.	-128.5	±8.7	1060	±90
C30 hopene	N6	LLNL	39	49	n.d.	-146.6	±9.4	1230	±90
C27:3 hopene	M4	NOSAMS	48	94	n.d.	-134.2		1120	
Unk. alkene	M5	NOSAMS	12	86	n.d.	-96.4		780	
C30:1 hopene	M6	NOSAMS	6	156	-23.48	-120.5		990	
<i>n</i> -C ₂₃ alkane	L1	NOSAMS	13	56	n.d.	-730.2		10480	
<i>n</i> -C ₂₇ alkane	L2	NOSAMS	80	105	-26.90	-678.0		9070	
C27 hopane	L3	NOSAMS	114	131	-25.76	-594.0		7200	
<i>n</i> -C ₂₉ alkane	L4	NOSAMS	131	147	-25.87	-596.1		7250	
Fernene	L6	NOSAMS	97	134	-24.24	-603.9		7400	
Black Sea core BC4, 4-7 cm									
TLE (i)	-	LLNL	n.d.	167	n.d.	-110.6	±7.2	900	±70
TLE (ii)	-	LLNL	n.d.	207	n.d.	-115.9	±5.9	950	±60
Saturated HC	-	LLNL	n.d.	37	n.d.	-154.8	±15.2	1310	±150
<i>n</i> -C ₂₃ alkane	O1	LLNL	48	26	n.d.	-158.0	±12.7	1340	±130
<i>n</i> -C ₂₅ alkane	O2	LLNL	18	16	n.d.	-162.3	±17.1	1380	±170
<i>n</i> -C ₂₇ alkane	O4	LLNL	36	47	n.d.	-141.2	±9.4	1180	±90
<i>n</i> -C ₂₉ alkane	O5	LLNL	29	60	n.d.	-84.5	±9.3	670	±90
<i>n</i> -C ₃₁ alkane	O6	LLNL	61	70	n.d.	-70.7	±10.6	550	±100
C ₃₇₋₃₉ alkenes	P4-P6	LLNL	3	70	n.d.	-116.9	±10.0	960	±100

n.d.: Not determined.

1: Isolated compound yield determined from HRGC.

2: The error determinations for several $\Delta^{14}\text{C}$ and ¹⁴C Age values were not completed in time to be included. Once completed they will be available from the author.

3: Radiocarbon age reported using Libby half-life of 5568 years, and according to the convention of Stuiver and Polach (1977) and Stuiver (1980).

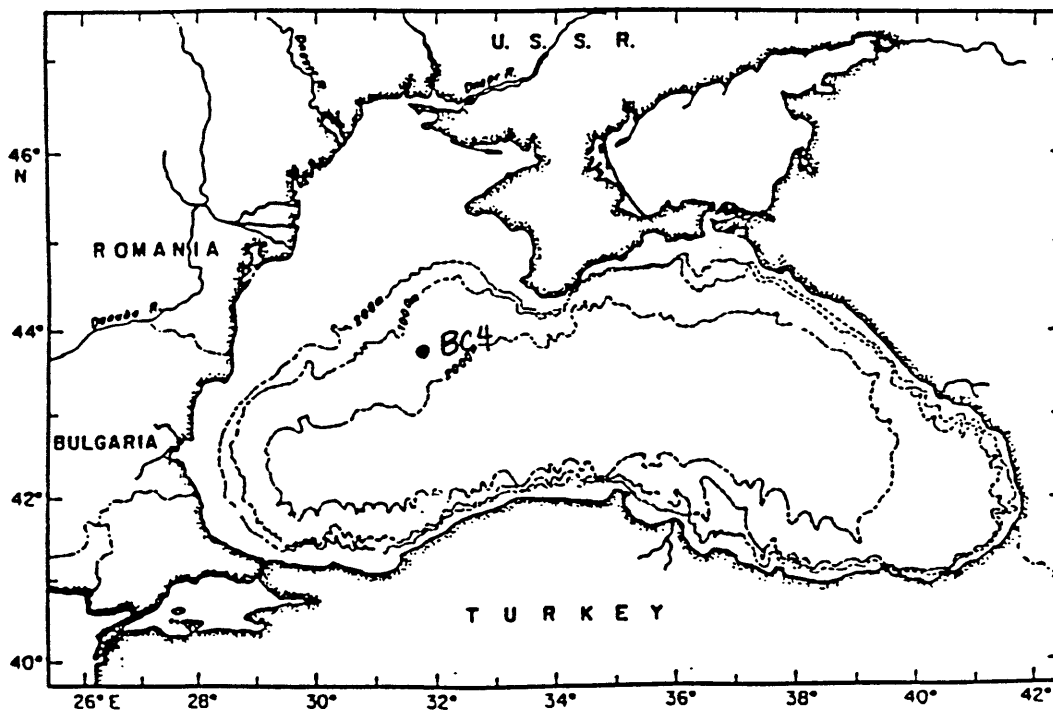
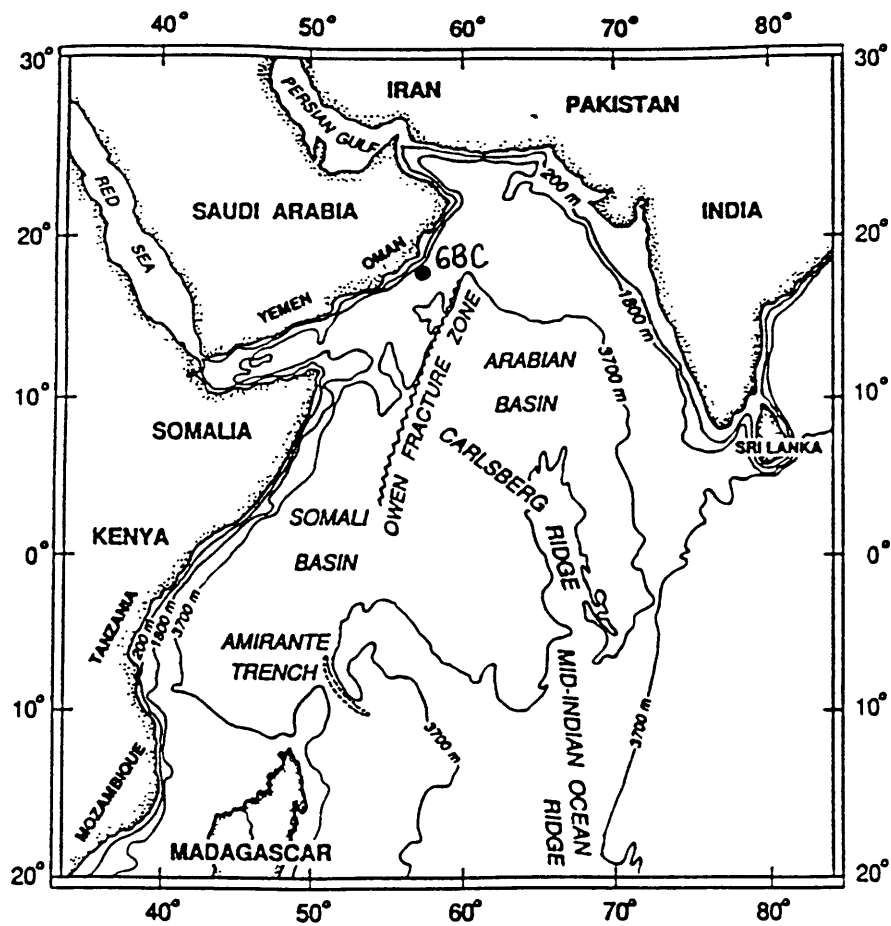


Figure 1. Location of (a) box core 68C in the Arabian Sea and (b) box core BC4 in the Black Sea.

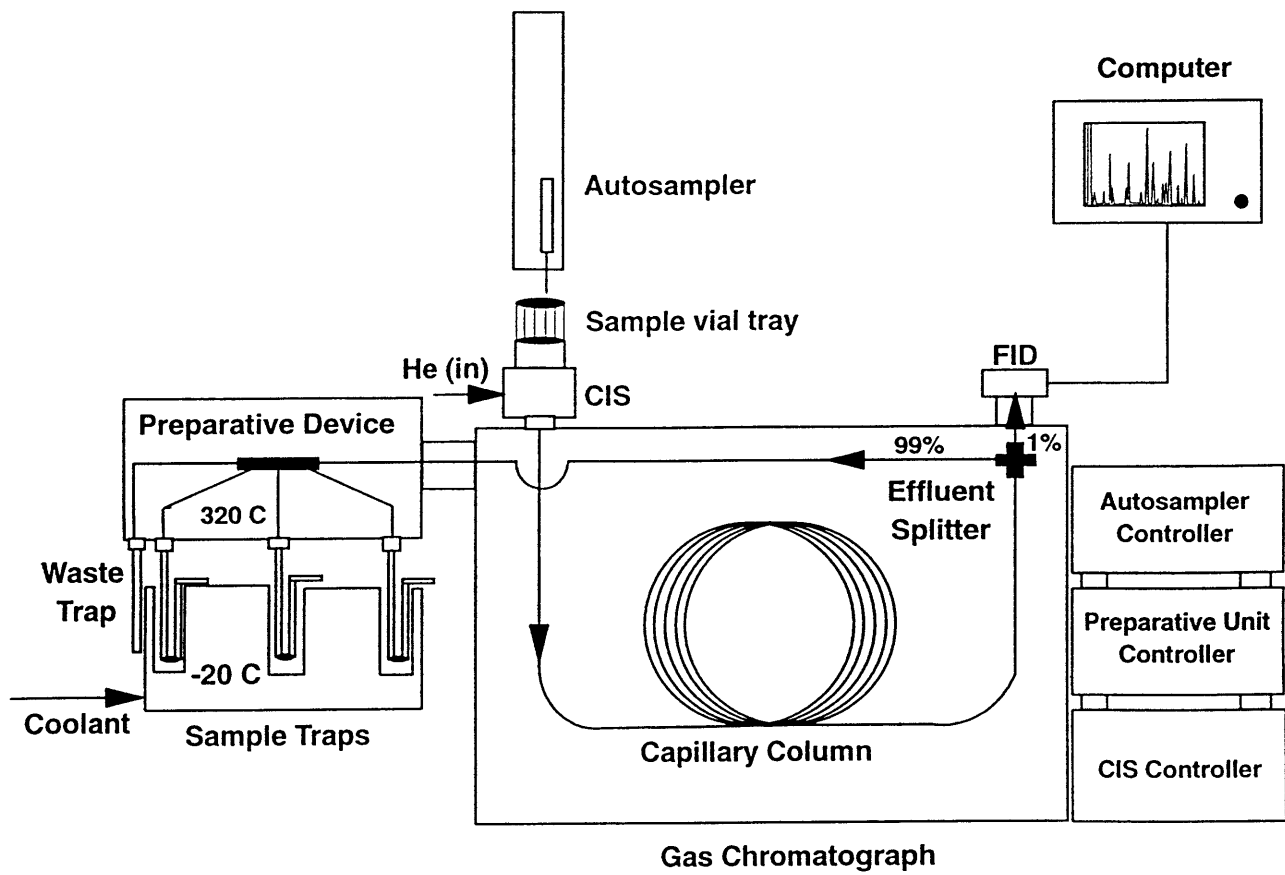


Figure 2. Diagram of the PCGC instrument.

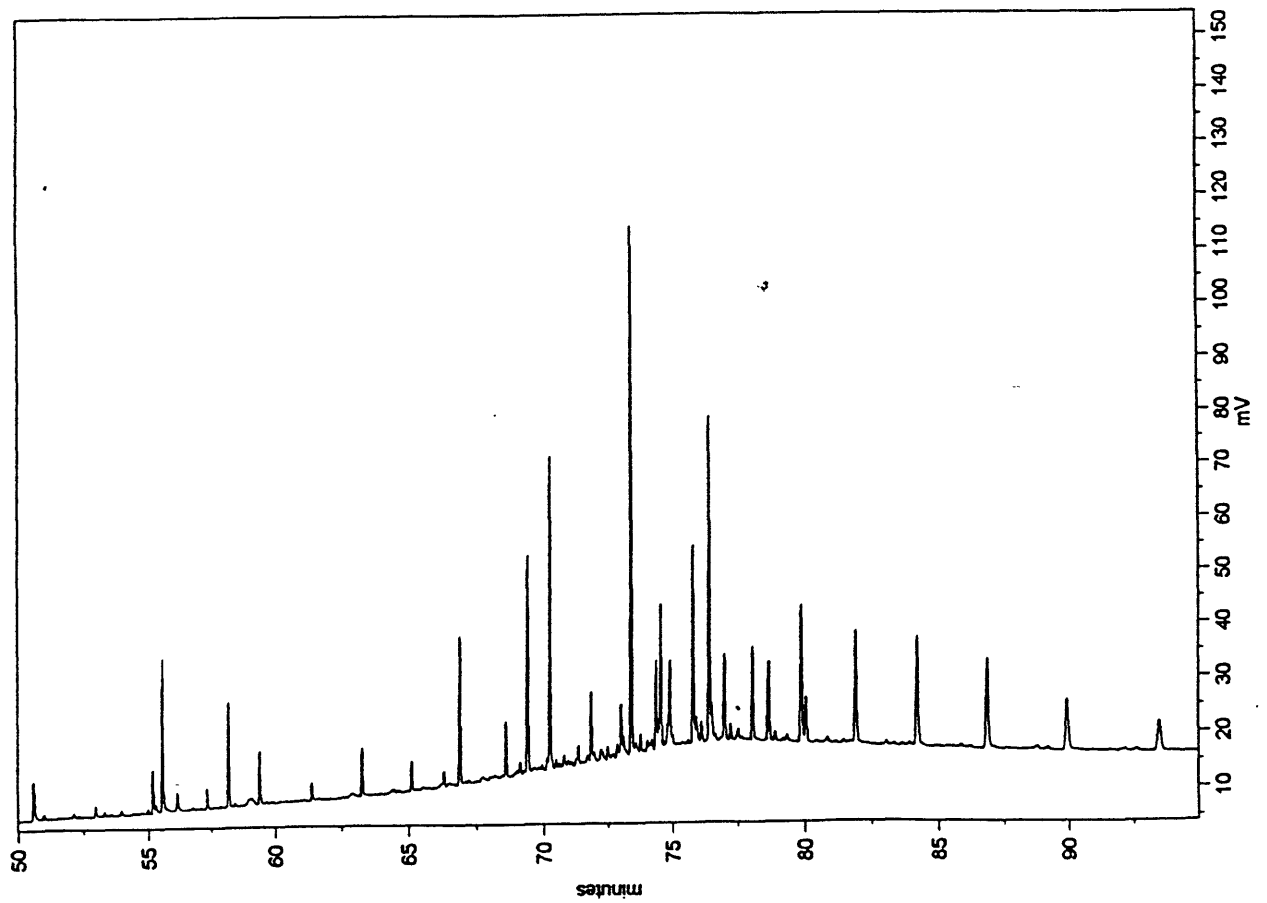
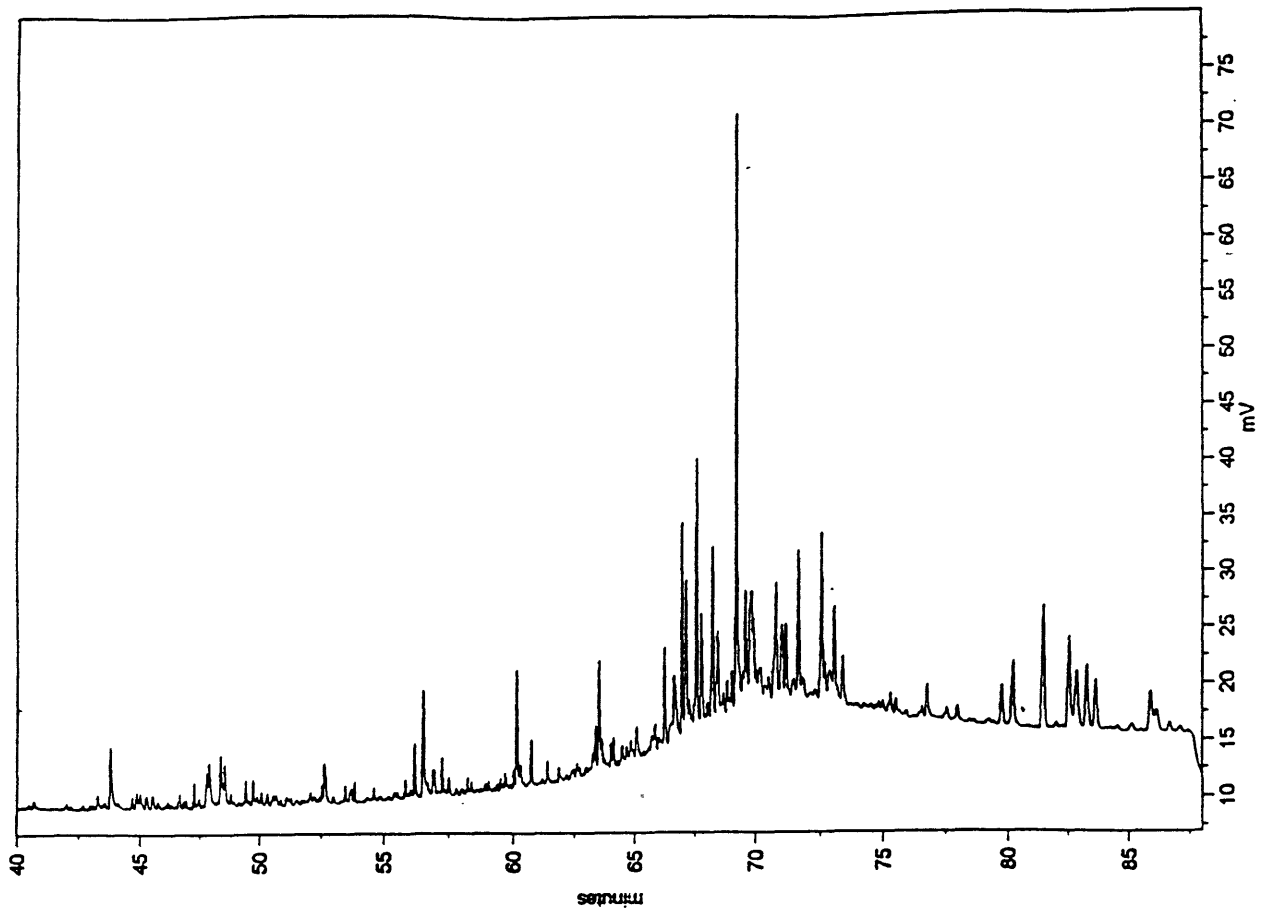


Figure 3. HRGC traces of (a) the TLE and (b) saturated hydrocarbon fraction from Arabian Sea core 6BC.

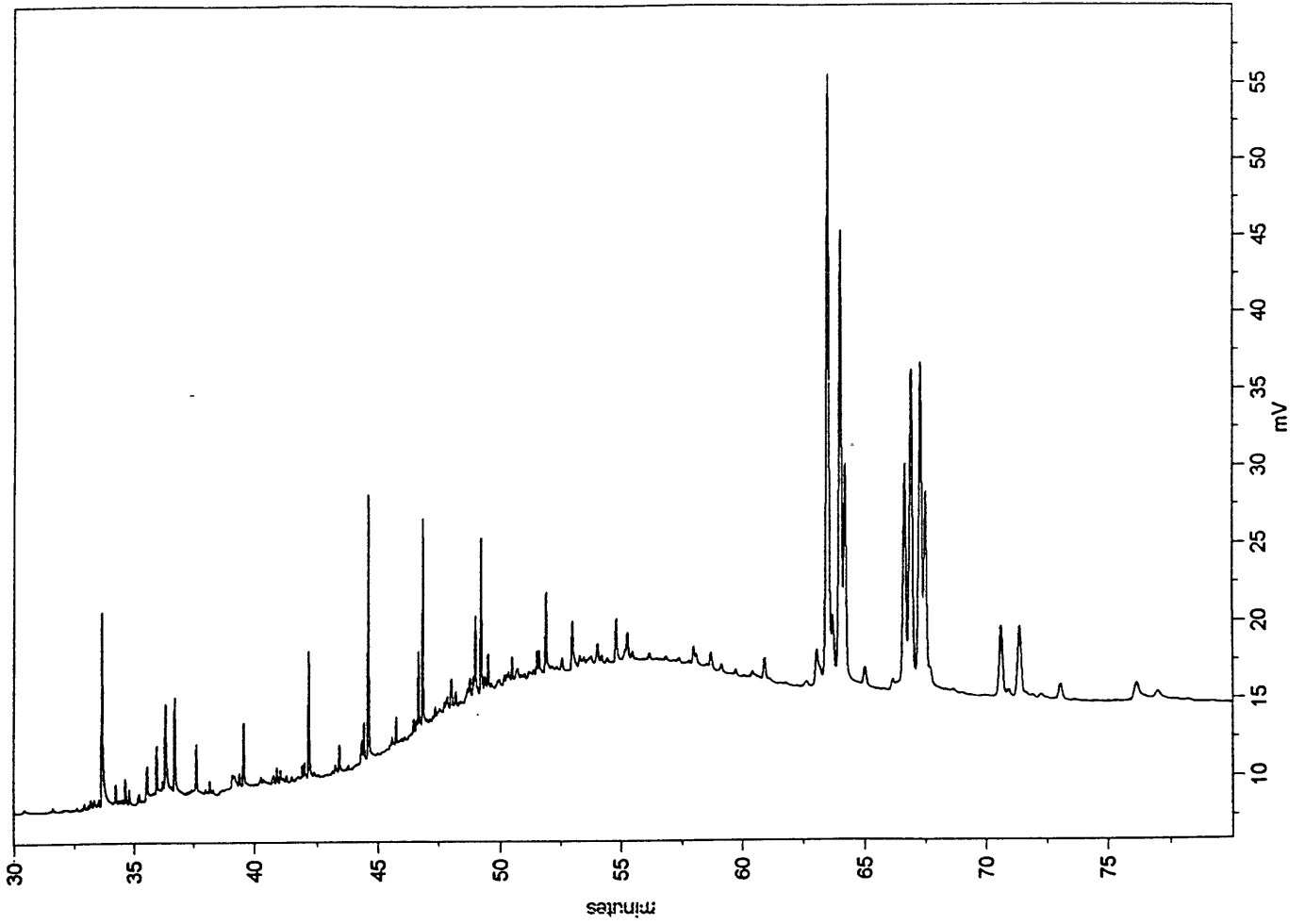


Figure 4. HRGC trace of the TLE from Black Sea core BC4.

Black Sea (BC4, 4-7 cm) Saturated Hydrocarbons

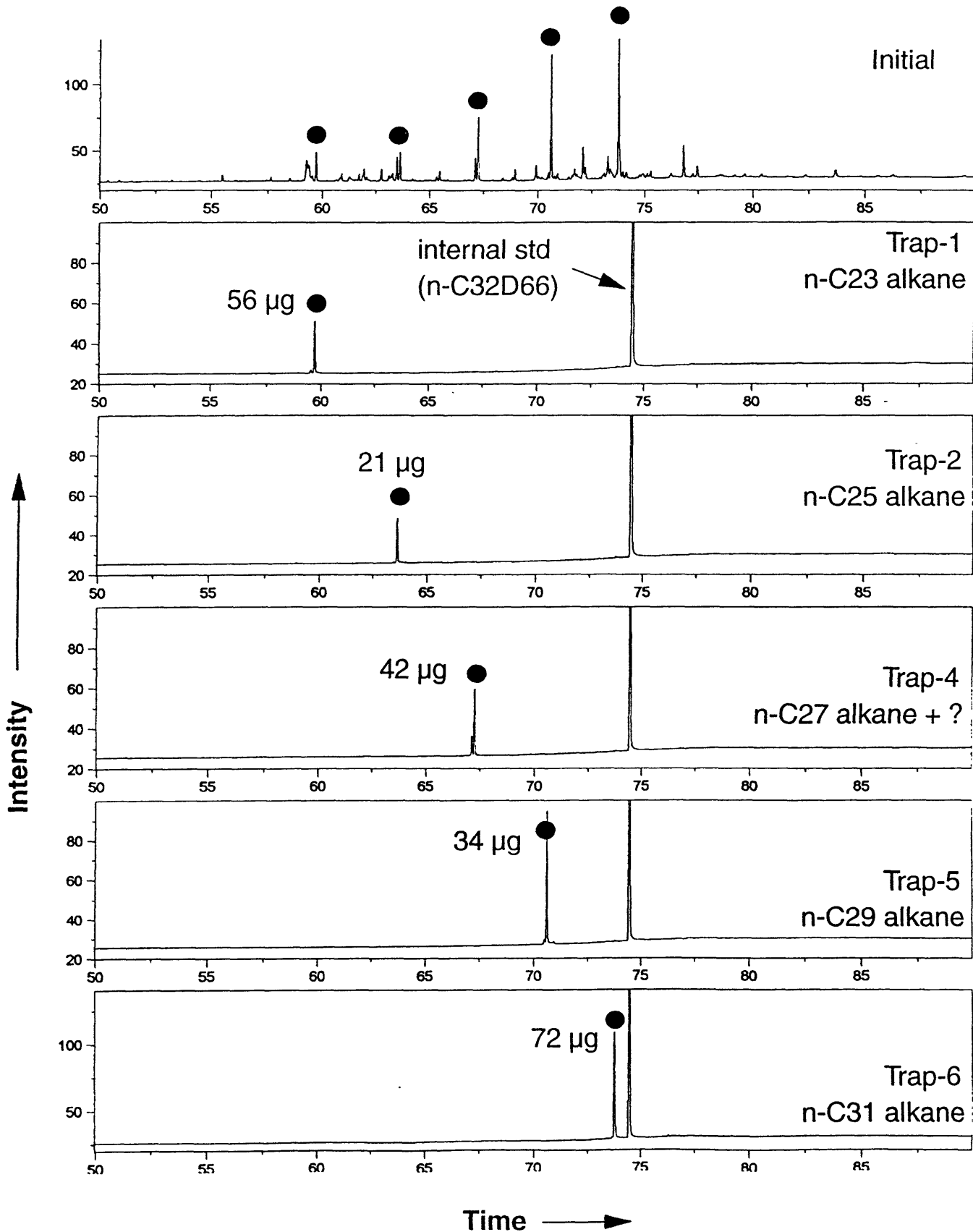


Figure 5. HRGC traces of saturated hydrocarbons trapped from the Black Sea core BC4, 4-7 cm interval. The traces represent chromatograms for aliquots of the product in each trap.

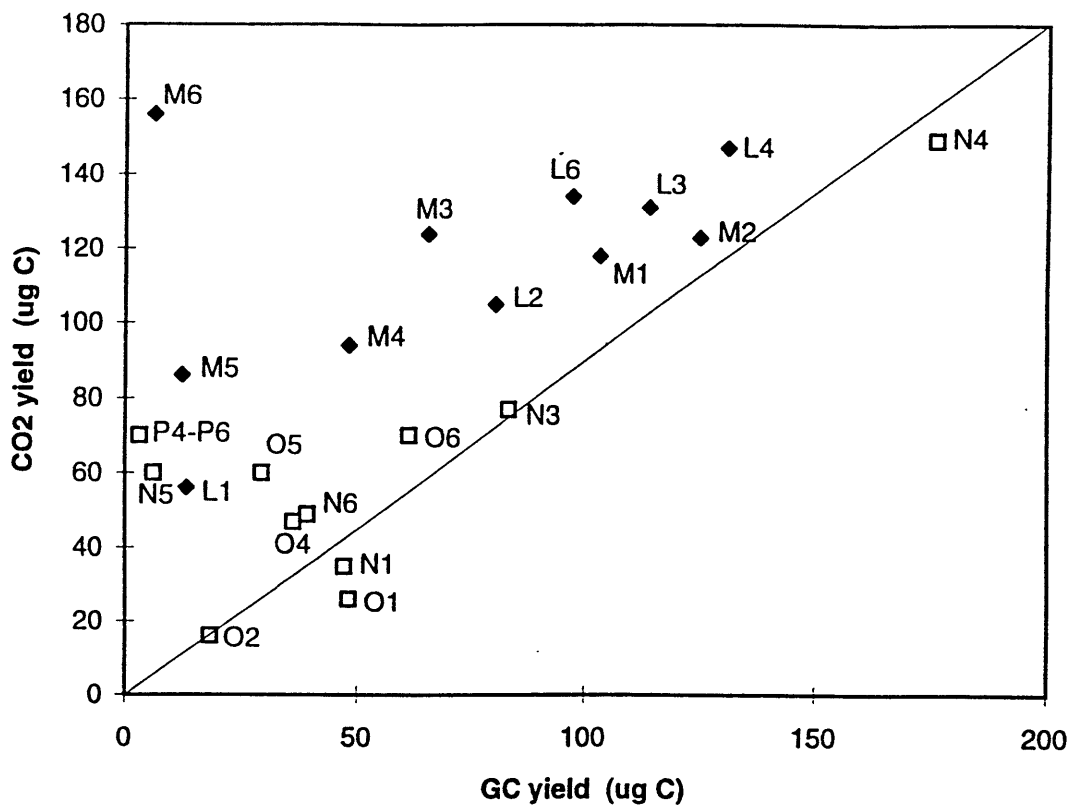


Figure 6. Plot of compound yields (as $\mu\text{g C}$) from PCGC estimated by HRGC (peak area) versus combustion (manometric measurement of CO_2). Closed diamonds represent samples analyzed at NOSAMS; open squares represent samples analyzed at LLNL. The solid line represents the 1:1 curve. The PCGC I.D. is shown next to each symbol and listed in Table 2.

Arabian Sea (6BC, 2-4 cm)

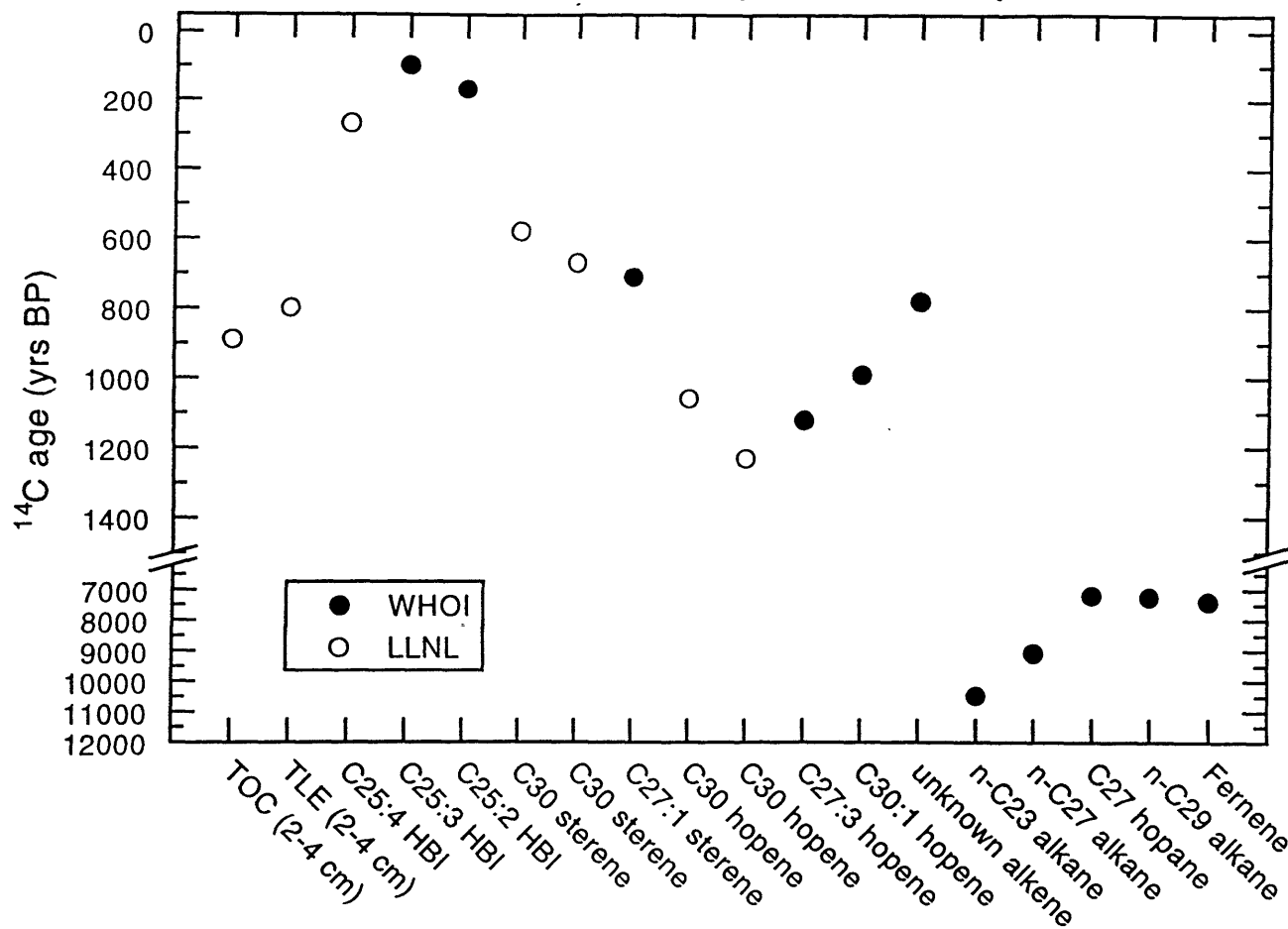


Figure 7. Plot of ¹⁴C ages for all compounds isolated from Arabian Sea core 6BC. Open circles represent samples analyzed at LLNL; closed circles represent samples analyzed at NOSAMS.

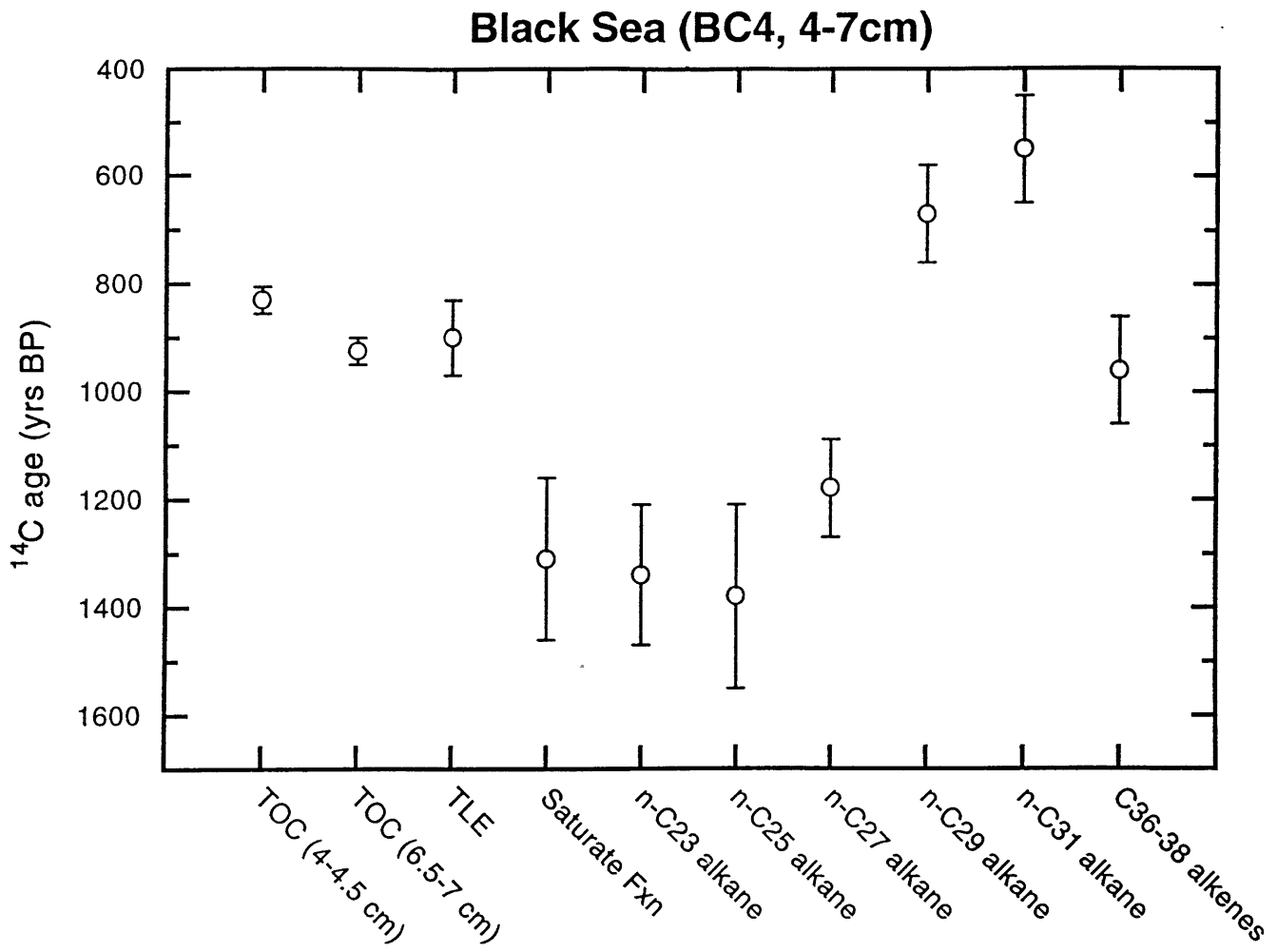


Figure 8. Plot of ^{14}C ages for all compounds isolated from Black Sea core BC4. Open circles represent samples analyzed at LLNL; closed circles represent samples analyzed at NOSAMS.