

Table of Contents

The Research Laboratory of Electronics	1
PART I Solid State Physics, Electronics and Optics	
Section 1 Materials and Fabrication	
Chapter 1 Heterostructures for High-Performance Devices	7
<i>Professor Clifton J. Fonstad, Jr.</i>	
• 1.1 Introduction	7
• 1.2 High-Temperature Stability of GaAs Integrated Circuits	7
• 1.3 High Peak-to-Valley Current Ratio Resonant-Tunneling Diodes on GaAs Substrates	8
• 1.4 Monolithic Integration of Resonant-Tunneling Diodes on GaAs Integrated Circuits	9
1.5 Phosphide-Based Optical Emitters for Monolithic Integration with GaAs MESFETS	10
1.6 Monolithic Integration of LEDs and VLSI GaAs MESFET Circuits	12
1.7 Development of an Epi-on-Electronics OEIC Technology	13
1.8 Integrated Optics Circuits Based on Commercial GaAs Integrated Circuits	15
1.9 Growth of Distributed Bragg Reflector and Multiple Quantum Well Heterostructures at Reduced Temperature	17
1.10 Reactive Ion Etching of InGaAlAs and InGaAsP Heterostructures	18
1.11 Tunable Semiconductor Lasers	19
1.12 Application of the Spectral Index Method to Laser Diode Design	21
1.13 Normal Incidence Quantum Well Infrared Photodetector	22
1.14 Fourteen-Band $k \cdot p$ Analysis of Intersubband Transitions in Conduction Band Quantum Wells	24
1.15 Study of Intersubband Transitions in Ultra-Narrow Quantum Wells	25
1.16 In-plane and Tilted Field Magneto-Tunneling Spectroscopy in Double InGaAs/InAlAs Quantum Well Structures on [001] and [111]B InP Substrates	25
1.17 High-Frequency/High-Speed Characterization of Optoelectronic Devices and Integrated Circuits	26
1.18 In-Situ Supersonic Beam Etching of III-V Heterostructures	27
1.19 Publications	27
Chapter 2 Physics of InAlAs/InGaAs Heterostructure Field-Effect Transistors	29
<i>Professor Jesús A. del Alamo</i>	
• 2.1 Introduction	29
• 2.2 An Integratable S-passivation Process for the InAlAs/InGaAs System	30
• 2.3 S-passivated InAlAs/InGaAs MODFETs	30
• 2.4 Publications	33
Chapter 3 Gas Source Molecular Beam Epitaxy of Compound Semiconductors	35
<i>Professor Leslie A. Kolodziejki</i>	
• 3.1 Introduction	35
• 3.2 Gas Source Molecular Beam Epitaxy of ZnSe, ZnSe:Cl and ZnSe:N	36
3.3 Novel Epitaxial III-V Buffer Layers for Wide Bandgap II-VI Visible Sources	40
• 3.4 Heterovalent Interfaces Composed of II-VI/III-V Semiconductors	42

Table of Contents

3.5	(In,Ga)(As,P)/InP Semiconductor Waveguide Design for Synchronously Side-Coupled Bragg Resonators	43
3.6	Phosphide-Based Optical Emitters for Monolithic Integration with GaAs MESFETs	46
3.7	Photonic Bandgap Structures	47
• 3.8	Heteroepitaxy of GaAs onto Corrugated Surfaces of Si	49
Section 2	Quantum-Effect Devices	
Chapter 1	Statistical Mechanics of Quantum Dots	53
	<i>Professor Boris L. Altshuler</i>	
• 1.1	Project Description	53
• 1.2	Publications	54
Chapter 2	Artificial Atoms	55
	<i>Professor Marc A. Kastner</i>	
• 2.1	Goals and Objectives	55
• 2.2	Quantization of Energy and Charge	56
• 2.3	Spectroscopy of Artificial Atoms: the Three Energy Scales	57
• 2.4	Criterion for Single-Electron Effects	59
• 2.5	Crossover from Single to Multilevel Transport	61
• 2.6	Ground State of the Artificial Atom in Strong Magnetic Fields	62
• 2.7	Development of Process for Si Single Electron Transistors	65
• 2.8	Publications	66
Chapter 3	Coulomb Blockade in a Quantum Dot	67
	<i>Professor Patrick A. Lee</i>	
• 3.1	Project Description	67
• 3.2	Publications	68
Chapter 4	Superconducting and Quantum-Effect Devices	69
	<i>Professor Terry P. Orlando</i>	
4.1	Simulations of Vortices in Arrays of Josephson Junctions	69
4.2	Superconducting Transmission Lines	70
4.3	Discrete Superconducting Vortex Flow Transistors	70
4.4	Fiske Modes in One-Dimensional Parallel Josephson-junction Arrays	71
4.5	Novel Mesoscopic Superconducting Devices	71
4.6	Single Charging Effects and Tunneling in Quantum Dot Nanostructures	72
4.7	One-dimensional Parallel Josephson-junction Arrays as a Tool for Diagnostics	72
4.8	Nonlinear Dynamics of Discrete Josephson Rings	72
4.9	Discrete Underdamped Vortex Flow Devices	73
4.10	Publications	73
Chapter 5	Nanostructures Technology, Research, and Applications	75
	<i>Professor Henry I. Smith</i>	
• 5.1	NanoStructures Laboratory	75
• 5.2	Scanning Electron Beam Lithography	75
• 5.3	Spatial-Phase-Locked Electron-Beam Lithography	76
• 5.4	X-Ray Nanolithography	77
• 5.5	Improved Mask Technology for X-Ray Lithography	78
• 5.6	A High-Precision Mask Alignment System	81

• 5.7	Interferometric Lithography	83
• 5.8	Ion-Beam Lithography	85
• 5.9	High-Performance Self-aligned Sub-100 nm MOSFETs Using X-ray Lithography	85
5.10	Fabrication of T-gate Devices using X-ray Lithography	89
• 5.11	Studies of Coulomb Charging Effects and Tunneling in Semiconductor Nanostructures	90
• 5.12	Superconductor/Semiconductor Interface of V_3Si and Si	92
• 5.13	Laterally-Coupled Distributed-Feedback Lasers Fabricated by X-Ray Lithography	93
• 5.14	Combined Spatial-Phase-Locked Electron-Beam, X-ray, and Optical Lithography for Channel-Dropping Filters	95
5.15	High-Dispersion, High-Efficiency Transmission Gratings for Astrophysical X-ray Spectroscopy	99
• 5.16	Submicrometer-Period Transmission Gratings for X-ray and Atom-Beam Spectroscopy and Interferometry	100
• 5.17	GaAs Epitaxy on Sawtooth-Patterned Silicon	100
• 5.18	Publications	101
Chapter 6	Single-Electron Spectroscopy	103
	<i>Professor Raymond Ashoori</i>	
• 6.1	Goals and Objectives	103
• 6.2	Traps Created by Disorder and Impurities in Semiconductor Structures	104
• 6.3	Artificial Atoms	104
• 6.4	Spatially Resolved Charge Sensing	105
• 6.5	Single-Electron Transistors for Spectroscopy	105
• 6.6	Publications	105
Section 3	Optics and Devices	
Chapter 1	Optics and Quantum Electronics	109
	<i>Professor Hermann A. Haus, Professor Erich P. Ippen, Professor James G. Fujimoto, Professor Peter L. Hagelstein, Dr. Brett E. Bouma, Dr. Joseph A. Izatt</i>	
• 1.1	Fiber Ring Lasers	109
1.2	Fiber Storage Ring	111
1.3	Long-Distance Fiber Communications	111
1.4	Squeezing	112
1.5	Optical Channel Dropping Filters	113
• 1.6	Overdriven Additive Pulse Modelocking	114
• 1.7	A Broadband-Tunable Femtosecond Source for $1.55 \mu\text{m}$ Diagnostics	115
• 1.8	Ultrafast Nonlinearities in Active Semiconductors	116
• 1.9	Nonlinear Interactions of Continuous and Pulsed Light in Optical Fibers	117
• 1.10	Intensity Autocorrelation of Light Sources for Fiber Optical Gyroscopes	119
• 1.11	Wavelength Shifting by Four-Wave Mixing in Passive Semiconductor Waveguides	119
• 1.12	Ultrashort Pulse Generation in Solid State Lasers	120
• 1.13	Ultrafast Phenomena in Materials and Devices	122
1.14	Laser Medicine	125
1.15	Optical Coherence Tomography Technology	125
1.16	EUV Laser Studies	130
1.17	Collisional and Recombination Schemes at Longer Wavelength	134
1.18	Toward a Novel End-Pumped EUV Laser	136
1.19	Photon Operators and Photon Configuration-Space Equations	137

Table of Contents

1.20	Neutron Hopping	139
1.21	Possible Phonon Laser Driven by Exothermic Desorption	140
Chapter 2	Optical Propagation and Communication	143
	<i>Professor Jeffrey H. Shapiro, Dr. Robert H. Rediker, Dr. Ngai C. Wong</i>	
2.1	Introduction	143
2.2	Nonlinear and Quantum Optics	143
2.3	Multiresolution Laser Radar Range Profiling	145
2.4	Optical Frequency Division and Synthesis	146
Chapter 3	High-Frequency (>100 GHz) and High-Speed (<1 ps) Electronic Devices	149
	<i>Professor Qing Hu</i>	
3.1	Introduction	149
3.2	Micromachined Millimeter-wave Devices	150
3.3	Far-infrared and Picosecond Time-resolved Transport Studies of Quantum-effect Devices	152
3.4	Intersubband Transitions in Coupled Double Quantum-well (DQW) Structures	158
3.5	Publications	163
Section 4	Surfaces and Interfaces	
Chapter 1	Synchrotron X-Ray Studies of Surface Disorder	167
	<i>Professor Robert J. Birgeneau</i>	
• 1.1	Introduction	167
• 1.2	Stability of Vicinal Si(111) Surfaces Under Metal Surface Studies	167
• 1.3	Publications	169
Chapter 2	Semiconductor Surface Studies	171
	<i>Professor John D. Joannopoulos</i>	
• 2.1	Introduction	171
• 2.2	Chemisorption	171
• 2.3	Cross-sectional Scanning Tunneling Microscopy	174
• 2.4	Publications	176
Chapter 3	Step Structures and Epitaxy on Semiconductor Surfaces	177
	<i>Professor Simon G.J. Mochrie</i>	
• 3.1	Introduction	177
• 3.2	Phase Behavior of Stepped Si(113) Surfaces	178
• 3.3	Faceting Kinetics of Stepped Si(113) Surfaces: Self-Assembled Nano-Scale Gratings	180
• 3.4	Publications	181
PART II	Applied Physics	
Section 1	Atomic, Molecular and Optical Physics	
Chapter 1	Quantum Optics and Photonics	187
	<i>Professor Shaoul Ezekiel</i>	

1.1	Distributed Fiberoptic Sensor for Quench Detection in Superconducting Tokamak Magnets	187
1.2	Measurement of Liquid Helium Flow in Superconducting Tokamak Magnets	188
1.3	Efficient, Low-Intensity Optical Phase Conjugation using Coherent Population Trapping in Sodium	190
1.4	Highly Selective Nonspatial-Filters Using Porous Matrix Based Thick Holograms	192
1.5	Cache Memory using Optically Induced Spin Echo	194
1.6	Doppler Cooling in Traveling Wave Velocity Selective Coherent Population Trapping	196
1.7	Near-perfect Squeezing in a Folded Three-Level System	198
Chapter 2	Basic Atomic Physics	201
	<i>Professor Daniel Kleppner, Professor David E. Pritchard</i>	
• 2.1	Studies in Quantum Chaos: High Resolution Periodic Orbit Spectroscopy	201
• 2.2	Determination of the Rydberg Frequency	205
• 2.3	Precision Mass Spectrometry of Ions	208
• 2.4	Atom Interferometry	211
• 2.5	Cooling and Trapping Neutral Atoms	215
Section 2	Plasma Physics	
Chapter 1	Plasma Dynamics	223
	<i>Professor George Bekefi, Professor Abraham Bers, Professor Bruno Coppi, Professor Jonathan S. Wurtele, Dr. Stefano Migliuolo, Dr. Abhay K. Ram, Dr. Linda E. Sugiyama</i>	
1.1	Relativistic Electron Beams	223
1.2	Plasma Wave Interactions - RF Heating and Current Generation	229
1.3	Physics of Thermonuclear Plasmas	237
Section 3	Electromagnetics	
Chapter 1	Electromagnetic Wave Theory and Applications	245
	<i>Professor Jin Au Kong, Dr. Kung Hao Ding, Dr. Robert T. Shin, Dr. Y. Eric Yang</i>	
1.1	Remote Sensing of Earth Terrain	245
• 1.2	Electromagnetic Waves in Multilayer Media	246
1.3	Simulation of Electromagnetic Wave Scattering	247
1.4	Publications	247
Section 4	Radio Astronomy	
Chapter 1	Radio Astronomy	251
	<i>Professor Bernard F. Burke, Professor David H. Staelin, Professor Jacqueline N. Hewitt, Dr. Philip W. Rosenkranz</i>	
1.1	Extragalactic Radio Source Studies	251
1.2	Studies of Gravitational Lenses	252
1.3	The Detection of Astronomical Radio Transients	254
1.4	Algorithms for Advanced Microwave Sounding Unit Operational Use	255
1.5	Earth Observing System: Advanced Microwave Sounding Unit	255
1.6	High-Resolution Passive Microwave Imaging of Atmospheric Structure	256
1.7	Reduction of Variation	256

PART III Systems and Signals

Section 1 Computer-Aided Design

Chapter 1 Custom Integrated Circuits 263

*Professor Jonathan Allen, Professor John L. Wyatt, Jr., Professor Jacob White,
Professor Srinivas Devadas*

1.1 Custom Integrated Circuits 263

1.2 Analog VLSI Systems for Integrated Image Acquisition and Early Vision Processing 266

1.3 Parallel Algorithms for Device Simulation 273

1.4 Numerical Simulation of Short Channel MOS Devices 274

1.5 Coupled Simulation Algorithms for Microelectromechanical CAD (MEMCAD) 274

1.6 Numerical Techniques for Simulating Josephson Junction Arrays 275

1.7 Efficient 3-D Interconnect Analysis 276

1.8 Adaptive Gridding Techniques for Multipole-Accelerated Solution of Integral Equations 278

1.9 Circuit Simulation Algorithms 278

1.10 Techniques for High-Performance Low-Power Embedded System Design 280

Chapter 2 Computer-Integrated Fabrication System Structure 285

Professor Donald E. Troxel

2.1 Introduction 285

2.2 CAFE Applications 285

2.3 Organization of Data and Algorithms for Factory Design and Control 286

2.4 Statistical Process Control Charts for the Computer-Aided Fabrication Environment 286

2.5 Run-by-Run Control: Interfaces, Implementations, and Integration 286

2.6 Hardware Setup for a Remote Microscope 288

2.7 First-Order Model for Remote Fabrication 288

2.8 Estimating the Lead Time Distribution of Priority Lots in a Semiconductor Factory 289

2.9 Factory Display Software 289

2.10 Message Passing Tools for Software Integration 289

2.11 CAFE at MIT Lincoln Laboratory 290

2.12 Error Handling Within CAFE 291

2.13 Semiconductor Manufacturing Process Flow Representation 291

2.14 Computer-Aided Technology Design 293

2.15 Publications 295

Section 2 Digital Signal Processing

Chapter 1 Digital Signal Processing Research Program 299

*Professor Alan V. Oppenheim, Professor Arthur B. Baggeroer,
Professor Gregory W. Wornell*

1.1 Introduction 299

1.2 Single Mode Excitation in the Shallow Water Acoustic Channel 300

1.3 Self-Synchronization of Chaotic Systems: Analysis, Synthesis, and Applications 300

1.4 Algebraic and Probabilistic Structure in Fault-Tolerant Computation 301

1.5 Signal Processing Applications of Chaotic Dynamical Systems 301

1.6 Wavelet-Based Representation and Algorithms for Generalized Fractal Signals 302

1.7 Approximate Signal Processing 302

1.8 CDMA for Digital Storage 303

1.9 Estimation and Detection of a Class of Chaotic Signals 303

1.10 Real Time Active Noise Cancellation 303

1.11 State and Parameter Estimation with Chaotic Systems 304

1.12 Model Based Analysis of Music 305

1.13 Nonlinear Models for Signal Processing 305

1.14 Environmental Robustness in Automatic Speech Recognition 305

1.15	Active Noise Cancellation	306
1.16	Oceanographic Signal Processing	306
Chapter 2	Advanced Telecommunications and Signal Processing Program	309
	<i>Professor Jae S. Lim</i>	
2.1	Introduction	309
2.2	ATRP Facilities	309
2.3	Signal Representations for Very-low-bit-rate Video Compression	310
2.4	Constant Quality Video Coding	310
2.5	Signal Representations in Audio Compression	311
2.6	Pre-Echo etection and Reduction	312
2.7	Video Source Coding for High-Definition Television	312
2.8	Transmission of HDTV Signals in a Terrestrial Broadcast Environment	313
2.9	Position-Dependent Encoding	313
2.10	MPEG Compression	313
2.11	HDTV Transmission Format Conversion and the HDTV Migration Path	314
2.12	Removing Degradations in Image Sequences	314
2.13	Speech Enhancement	314
Chapter 3	Combined Source and Channel Coding for High-Definition Television	317
	<i>Professor William F. Schreiber</i>	
3.1	Project Description	317
3.2	Technology	318
3.3	Current Status	318
3.4	Future Plans	318
3.5	Publications	319
PART IV	Bioelectronics	
Section 1	Genetic Analysis	
Chapter 1	Genosenor Technology Development	325
	<i>Dr. Mark Hollis, Dr. Daniel J. Ehrlich, Dr. Dennis D. Rathman</i>	
1.1	Introduction	325
1.2	Development of Genosensor Arrays for DNA Decoding	325
1.3	Microdetection Technology for Automated DNA Sequencing	328
PART V	Language, Speech and Hearing	
Section 1	Speech Communication	
Chapter 1	Speech Communication	333
	<i>Professor Kenneth N. Stevens, Dr. Joseph S. Perkell, Dr. Stefanie Shattuck-Hufnagel</i>	
1.1	Studies of Normal Speech Production	334
1.2	Speech Research Relating to Special Populations	336
1.3	Speech Production Planning and Prosody	340
1.4	Studies of the Acoustics, Perception, and Modeling of Speech Sounds	342
1.5	Models for Lexical Representation and Lexical Access	344
1.6	Development of Computer and Data Processing Facilities	344
1.7	Publications	345
Section 2	Sensory Communication	
Chapter 1	Sensory Communication	349

Table of Contents

*Professor Louis D. Braid, Nathaniel I. Durlach, Dr. William M. Rabinowitz,
Dr. Charlotte M. Reed, Dr. Mandayam A. Srinivasan, Dr. Thomas E.v. Wiegand,
Dr. David Zeltzer, Dr. Patrick M. Zurek*

1.1	Research on Hearing, Hearing Aids, and Tactile Communication of Speech	349
1.2	Haptics Research	360
1.3	Virtual Environment Technology for Training	372
1.4	Research on Improved Sonar Displays: A Human/Machine Processing System	376
1.5	Development of Inertial Head-Tracking Technology	378

Section 3 Auditory Physiology

Chapter 1 Signal Transmission in the Auditory System 383

Professor Lawrence S. Frishkopf, Professor Nelson Y.S. Kiang, Professor William T. Peake, Professor William M. Siebert, Professor Thomas F. Weiss, Dr. Bertrand Delgutte, Dr. Donald K. Eddington, Dr. Dennis M. Freeman, Dr. John J. Guinan, Jr., Dr. John J. Rosowski

1.1	Introduction	383
1.2	Signal Transmission through the External and Middle Ear	383
1.3	Cochlear Mechanisms	385
1.4	Stimulus Coding in the Auditory Nerve and Cochlear Nucleus	387
1.5	Interactions of Middle-Ear Muscles and Olivocochlear Efferents	390
1.6	Cochlear Efferent System	390
1.7	Cochlear Implants	392

Section 4 Linguistics

Chapter 1 Linguistics 397

Professor Noam Chomsky, Professor Morris Halle

1.1	Introduction	397
1.2	Abstracts of Doctoral Dissertations	397

APPENDICES

Appendix A RLE Publications and Papers Presented 401

A.1	Meeting Papers	401
A.2	Journal Articles	412
A.3	Books/Chapters in Books	421
A.4	RLE Publications	422
A.5	RLE Theses	423

Appendix B Current RLE Personnel 427

Appendix C Milestones 433

C.1	New Faculty and Staff	433
C.2	Promotions	433
C.3	Appointments	433
C.4	Awards and Honors	433

Appendix D RLE Research Support Index 435

Project Staff and Subject Index 439