

Data-driven Estimation of SM Backgrounds for SUSY Searches at the LHC

Takayuki Yamazaki (University of Tokyo)

on behalf of the ATLAS Collaborations & CMS Collaborations

Outline

[1] Introduction

[2] Data-driven Estimation

 (1) One Lepton Mode

 (2) No Lepton Mode

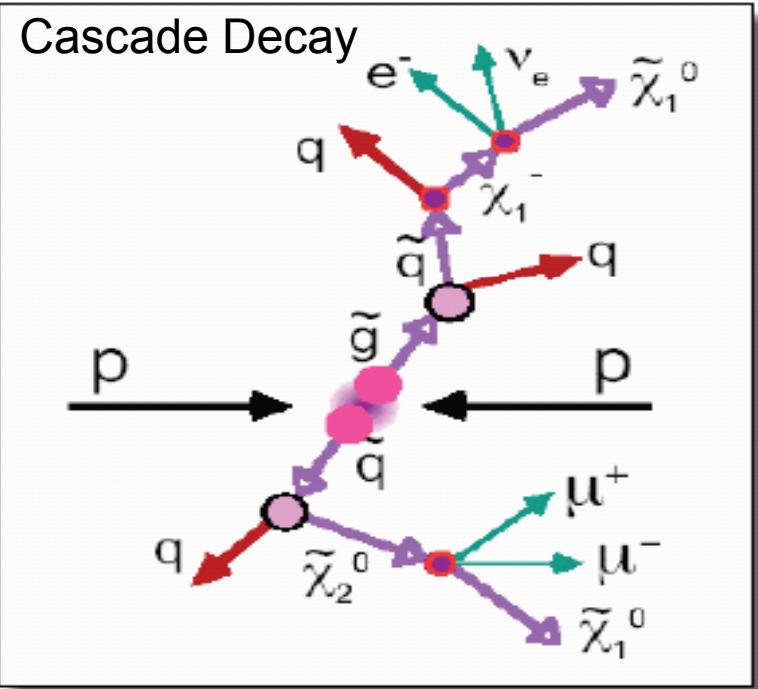
[3] If SUSY exists ...

[4] Summary

[1] Introduction

SUSY Particle at the LHC

Gluinos/squarks are produced via strong interactions



R-parity conservation is assumed.

$$R = (-1)^{3(B-L)+2s}$$

→ LSP is stable.

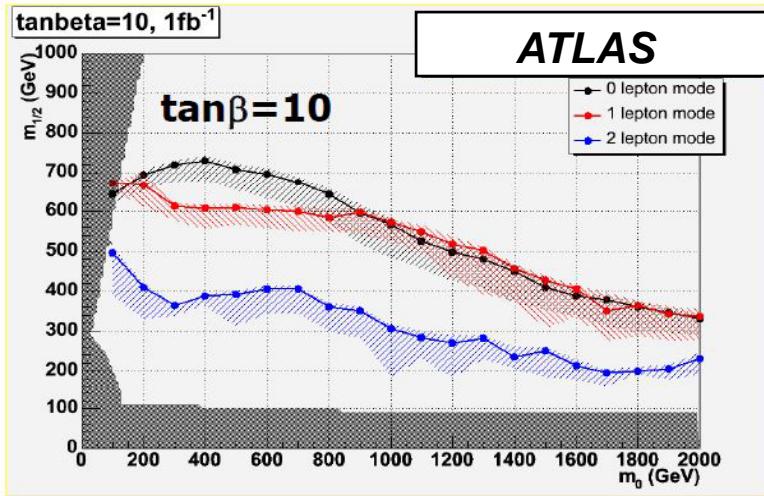
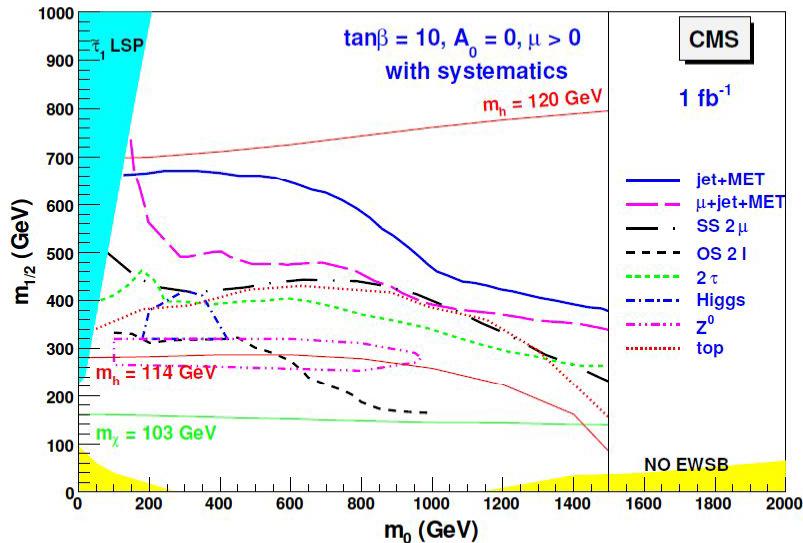
Event Topology

Large missing E_T
High P_T multi-jet
(leptons)

Promising search mode

- No lepton mode
- One lepton mode
- Opposite-sign dilepton mode
- Same-sign dilepton mode

Discovery Potential



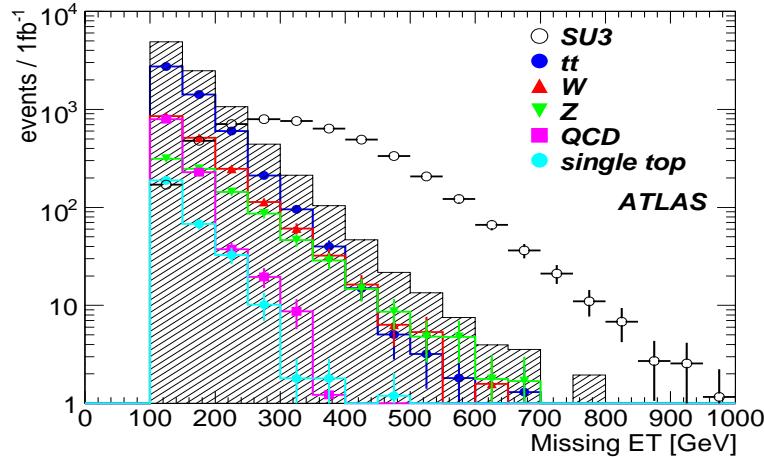
$\sim 1 \text{ TeV}$ in 1 fb^{-1}

3TeV in much integrated luminosity

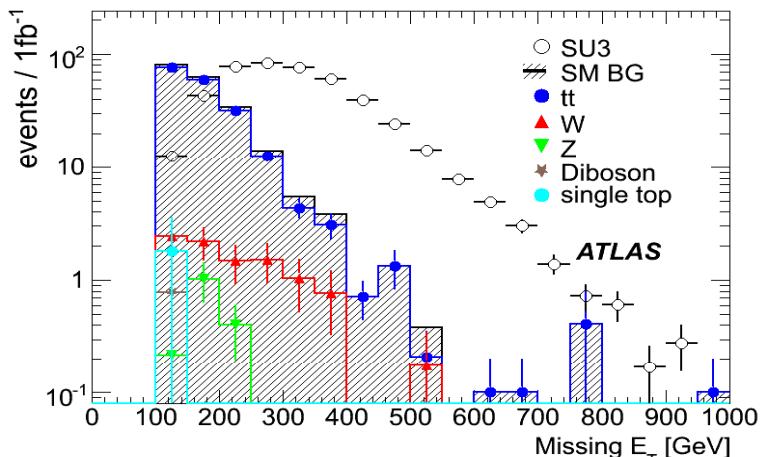
Discovery of SUSY is promising at the LHC.

[2] Data-driven Estimation

No Lepton Mode



One Lepton Mode



If SUSY exists, clear excess can be observed @ 1fb^{-1} .

Since SUSY signal is observed as an excess from SM background in missing E_T distribution, deep understanding of SM background is essential for the SUSY searches and **SM background should be estimated from the data** in the early stage of collision.

“SU3 point” : $m_0 = 100\text{GeV}$, $m_{1/2} = 300\text{GeV}$

gluino mass $\sim 700\text{GeV}$, squark mass $\sim 650\text{GeV}$

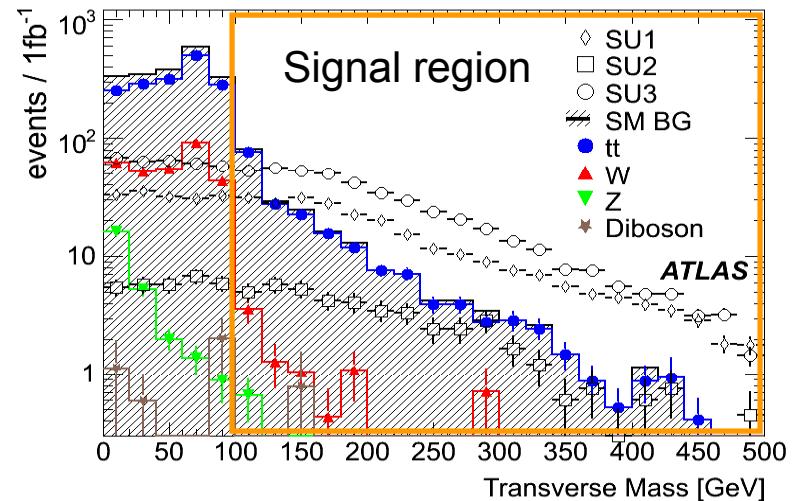
QCD = light flavor, bb , cc

(1) One Lepton Mode

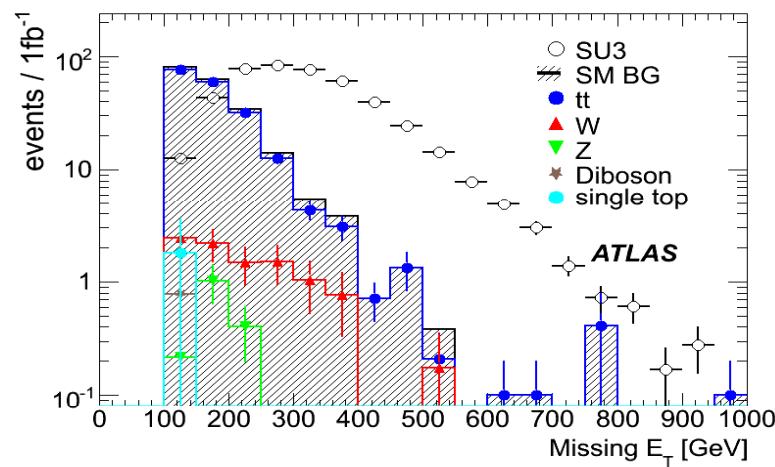
Selection Cuts

Selection Cuts

missing $E_T > 100\text{GeV}$
 missing $E_T > 0.2M_{\text{eff}}$
 at least 4 jets with $P_T > 50\text{GeV}$
 at least 1 jet with $P_T > 100\text{GeV}$
 Transverse Sphericity $S_T > 0.2$
 one lepton with $P_T > 20\text{GeV}$
 $M_T(\text{lepton}, \not{E}_T) > 100\text{GeV}$



$M_T(\text{lepton}, \not{E}_T) > 100\text{GeV}$ is required to reduce $t\bar{t}+\text{jets}$ and $W^\pm+\text{jets}$.



Data-driven

- To estimate the SM BG from data, “control sample” is used.

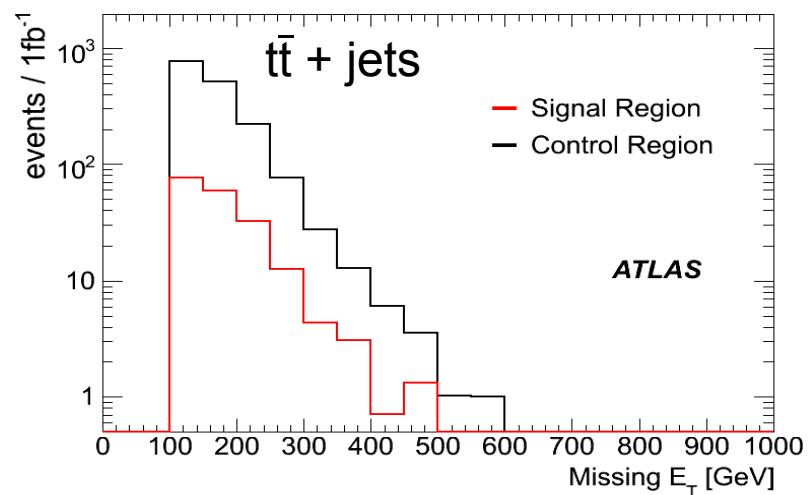
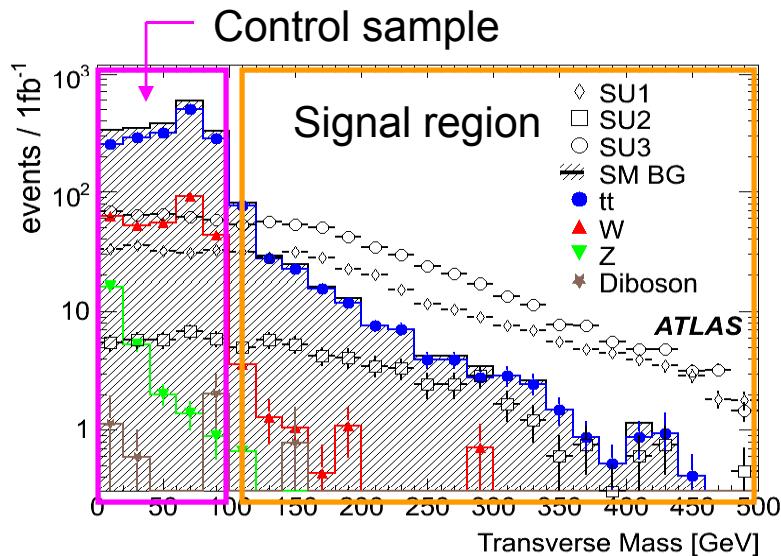
Control Sample

obtained from data
similar to SM BG in shape
sufficient statistics
small SUSY contamination

As a control sample for one lepton mode , $MT < 100\text{GeV}$ events are selected. (The other conditions are the same as the selection cuts.)

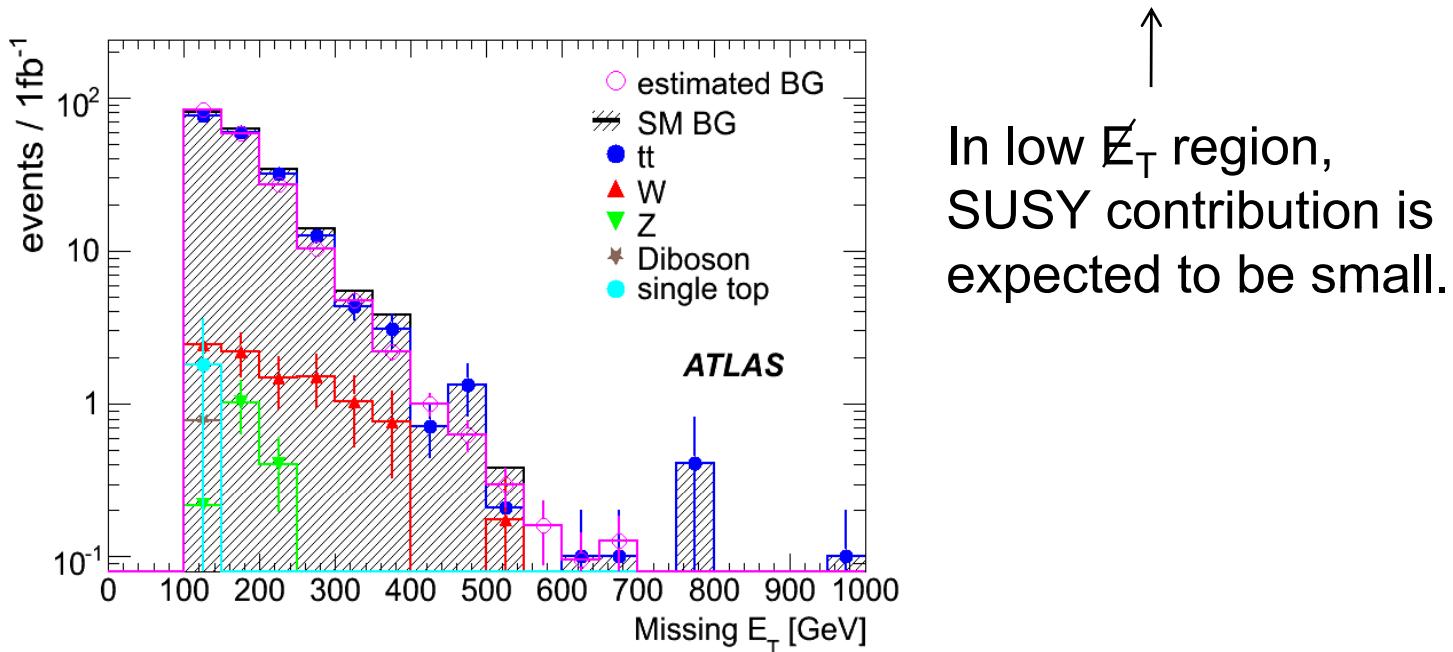
$t\bar{t}+\text{jets}$ and $W^\pm+\text{jets}$ dominant one lepton control sample is obtained.

Missing E_T distribution is independent of MT.



Result

The normalization factor is obtained with the event numbers of the signal region and the control sample in $\cancel{E}_T = 100\text{-}200\text{GeV}$.



In low \cancel{E}_T region,
SUSY contribution is
expected to be small.

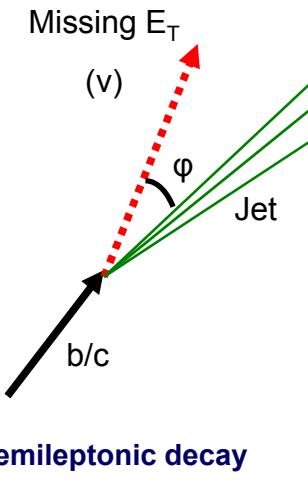
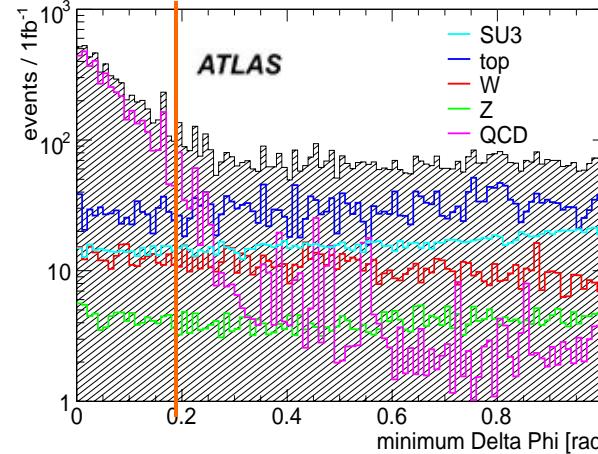
| | $\text{mET} > 100\text{GeV}$ | $\text{mET} > 300\text{GeV}$ |
|------------------------|------------------------------|------------------------------|
| True BG | 203 ± 6 | 12.4 ± 1.6 |
| Estimated BG | 190 ± 8 | 9.4 ± 0.7 |
| Ratio (Estimated/True) | 0.93 ± 0.05 | 0.76 ± 0.11 |

(2) No Lepton Mode

Selection Cuts

Selection Cuts

missing $E_T > 100\text{GeV}$
 missing $E_T > 0.2M_{\text{eff}}$
 at least 4 jets with $P_T > 50\text{GeV}$
 at least 1 jet with $P_T > 100\text{GeV}$
 Transverse Sphericity $S_T > 0.2$
 no lepton with $P_T > 20\text{GeV}$
 $\Delta\phi(E_T - \text{jet } i) > 0.2 \ (i = 1, 2, 3)$



semileptonic decay

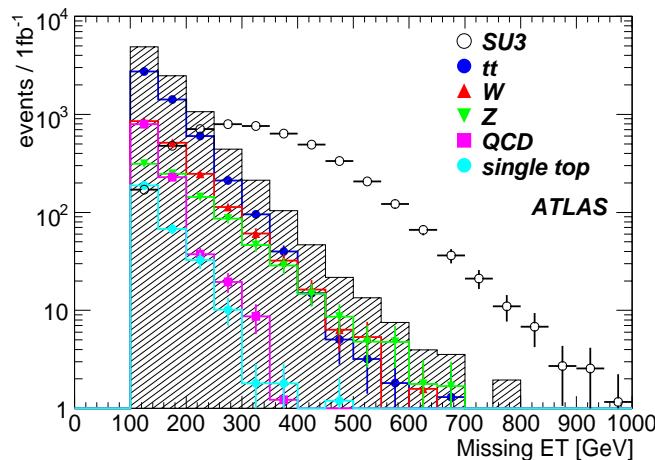
φ is expected to be small

The reasons of QCD BG are

1. neutrinos emitted from semileptonic decays of b/c (real missing E_T)
2. mis-measurement of jet energies (fake missing E_T)

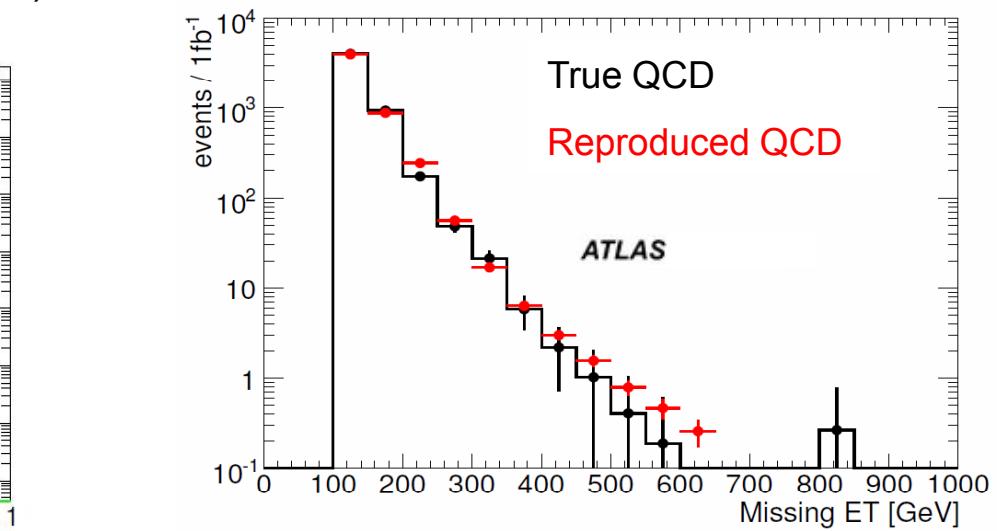
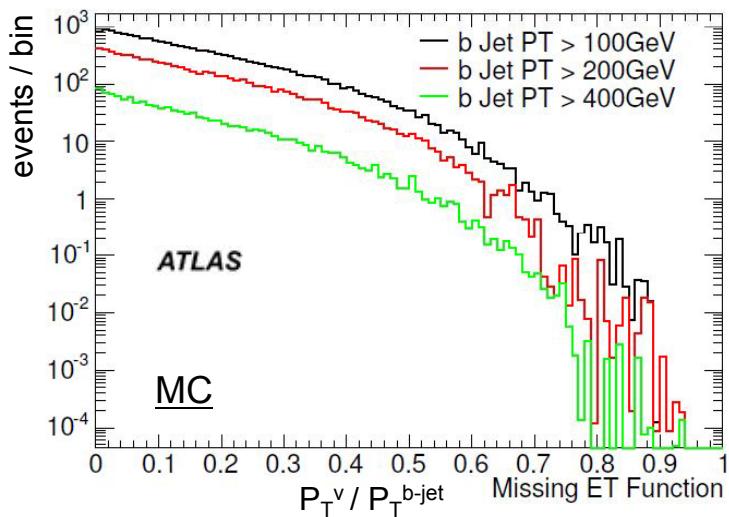
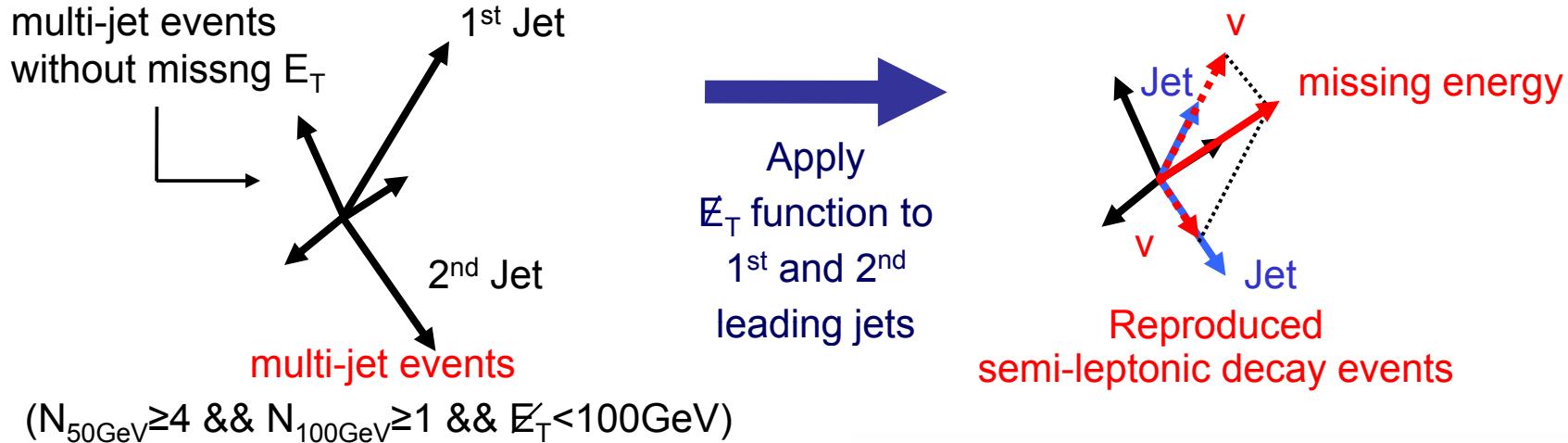
In both cases, the direction of E_T is approximately pointing to the jet direction.

→ $\Delta\phi(E_T - \text{jet } i) > 0.2$ cut reduces QCD BG by $\sim 80\%$.



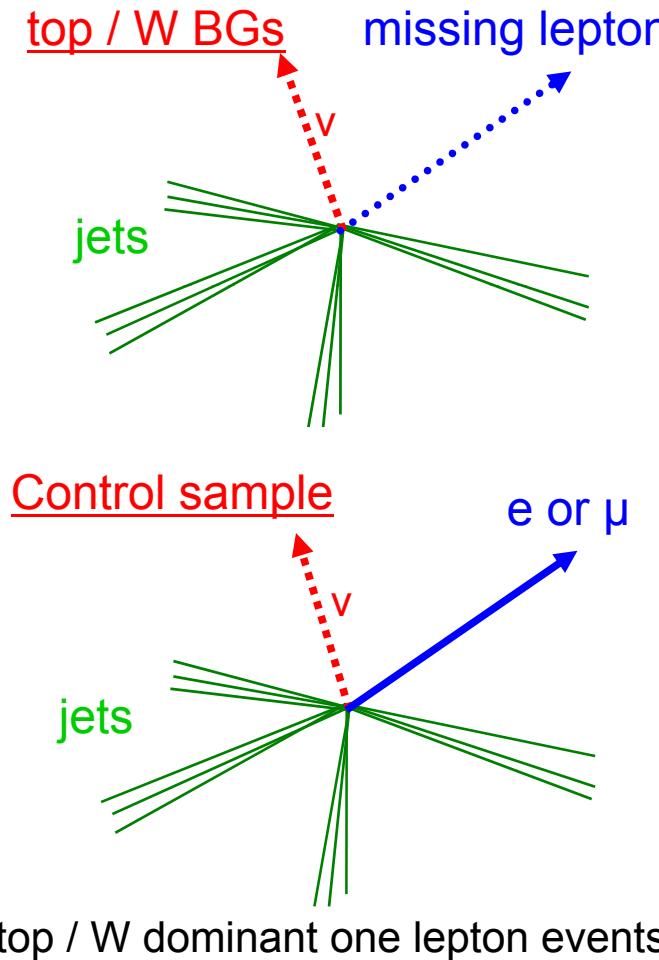
Data-driven (QCD BG)

- QCD BG can be estimated from multi-jet events without missing E_T .



Data-driven (top / W BG)

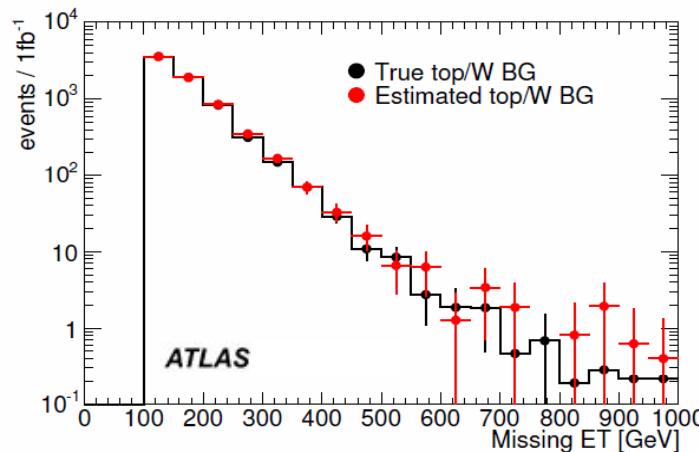
- top / W processes contribute to the background in the no lepton mode when the lepton emitted in the $W^\pm \rightarrow l\nu$ process is not identified.



← The main reasons of missing lepton are

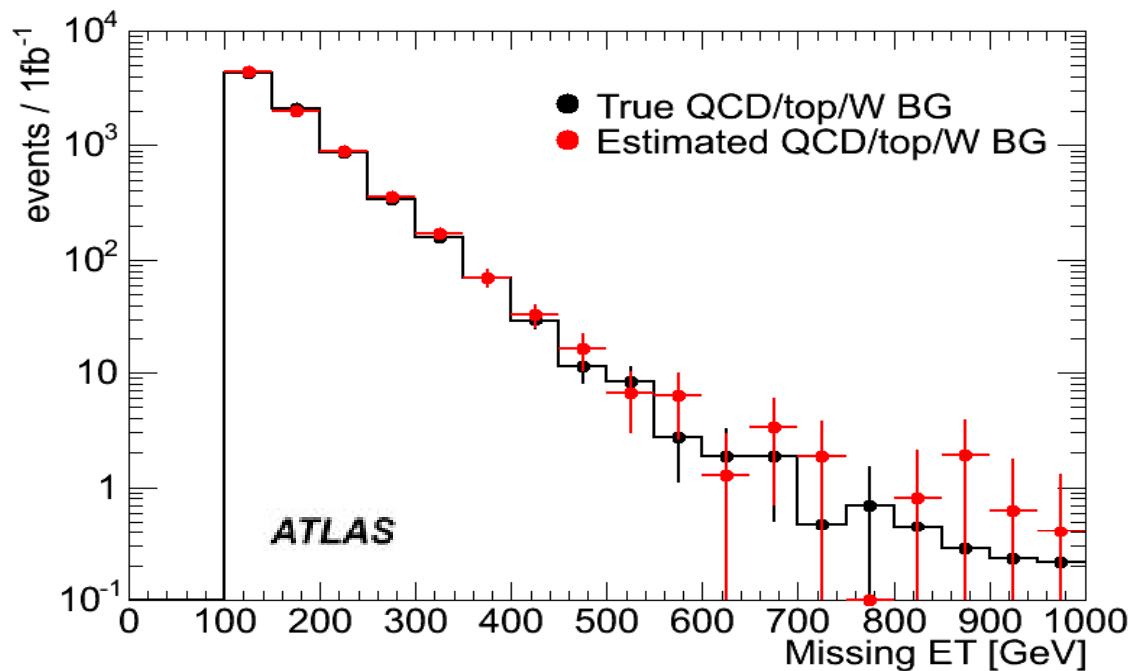
1. tau->hadrons
2. out of acceptance ($P_T < 20\text{GeV}$)

Since the kinematics of the control sample and that of top / W BGs are almost the same except for the existence of lepton, top / W BGs can be estimated with the control sample.



Result (QCD / top / W)

The normalization factor can be obtained from data, but its detail is in the backup slides.

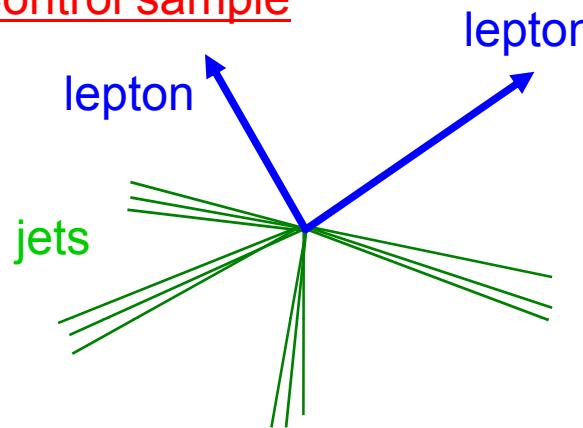


| | mET > 100GeV | mET > 300GeV |
|------------------------|-----------------|-----------------|
| True QCD/top/W | 8077 ± 90 | 300 ± 17 |
| Estimated QCD/top/W | 8158 ± 273 | 327 ± 28 |
| Ratio (Estimated/True) | 1.01 ± 0.04 | 1.09 ± 0.11 |

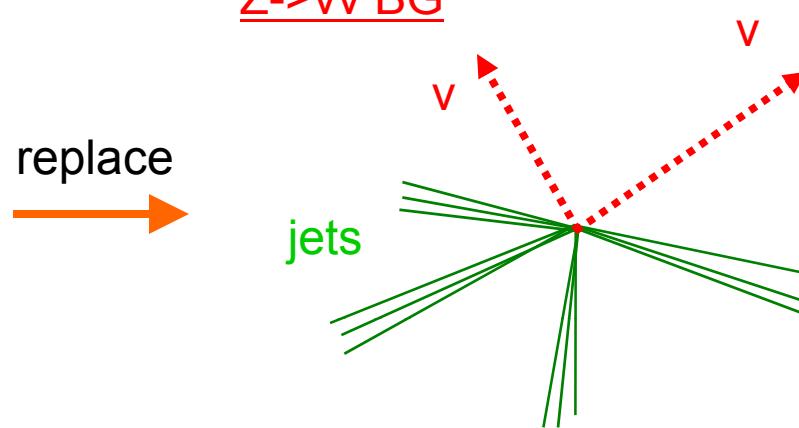
Data-driven (Z BG)

- Z ($\rightarrow vv$) BG in the no lepton mode can also be estimated.

Control sample



$Z \rightarrow vv$ BG



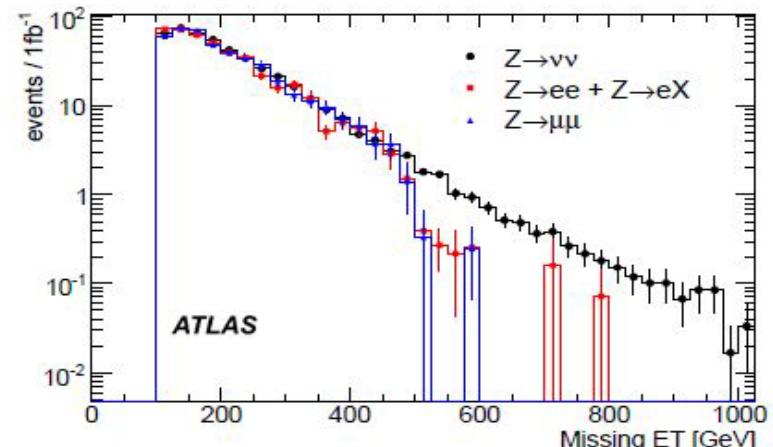
Replace Method

Two opposite-sign same flavor leptons with $P_T > 20\text{GeV}$

$E_T < 30\text{GeV}$

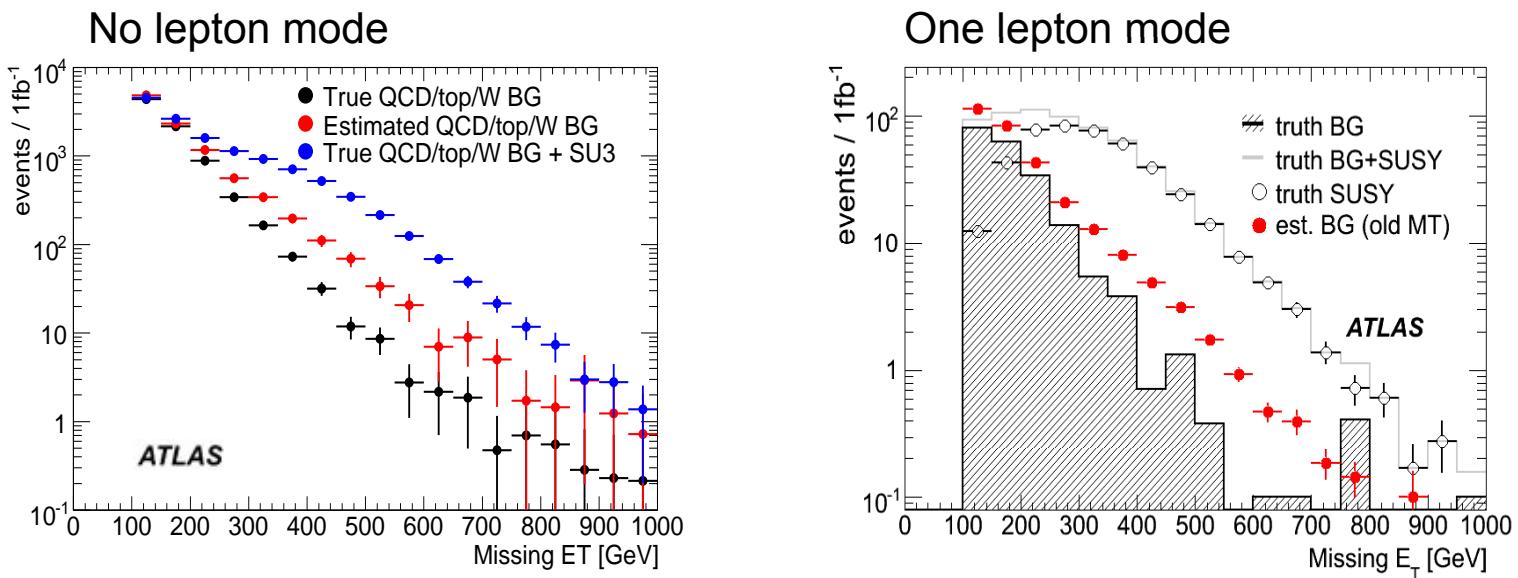
$M_Z - 10\text{GeV} < M_{ll} < M_Z + 10\text{GeV}$

The standard no lepton SUSY cuts are applied after replacing ll with vv .



→ uncertainty $\sim 15\%$

[3] If SUSY Exists ...



| | $\cancel{E}_T > 100\text{GeV}$ | $\cancel{E}_T > 300\text{GeV}$ |
|-----------------|--------------------------------|--------------------------------|
| True BGs | 8077+/-90 | 300+/-17 |
| Estimated BGs | 9726+/-312 | 804+/-52 |
| True BGs + SUSY | 12925+/-114 | 3000+/-55 |

| | $\cancel{E}_T > 100\text{GeV}$ | $\cancel{E}_T > 300\text{GeV}$ |
|-----------------|--------------------------------|--------------------------------|
| True BGs | 203+/-6 | 12.4+/-1.6 |
| Estimated BGs | 296+/-10 | 33.3+/-1.4 |
| True BGs + SUSY | 653+/-8 | 245+/-4 |

If SUSY exists, SM backgrounds will be overestimated because of SUSY contamination in the control samples and its contribution to normalization region ($\cancel{E}_T=100-200\text{GeV}$), but **SUSY can be discovered @ 1fb^{-1}** since the excess of SUSY signal is much larger.

[4] Summary

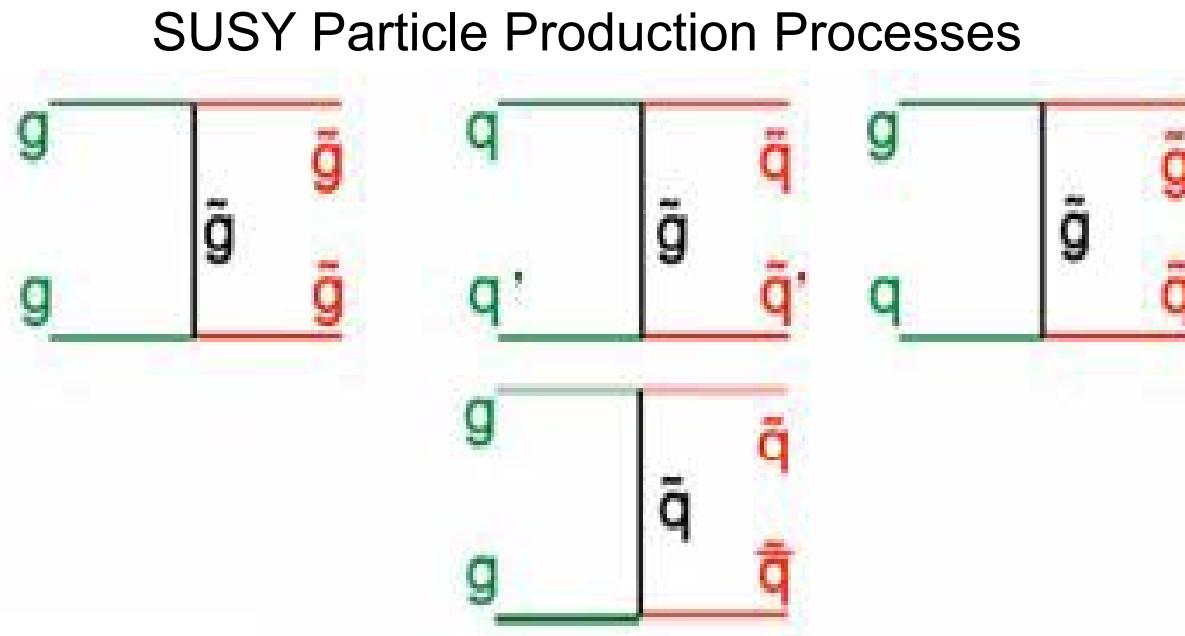
- $\sim 1\text{TeV}$ SUSY can be discovered in 1fb^{-1} at the LHC
- If SUSY exists, clear excess can be observed in E_T distribution.
- For the SUSY searches, SM background should be estimated from real data.
- By data-driven estimations, SM background can be estimated.
- If SUSY exists, SM background will be overestimated because of the SUSY contamination, but the overestimation is much smaller than the excess of the SUSY signal, and clear excess can be observed.

Backup

SUSY Particle at the LHC

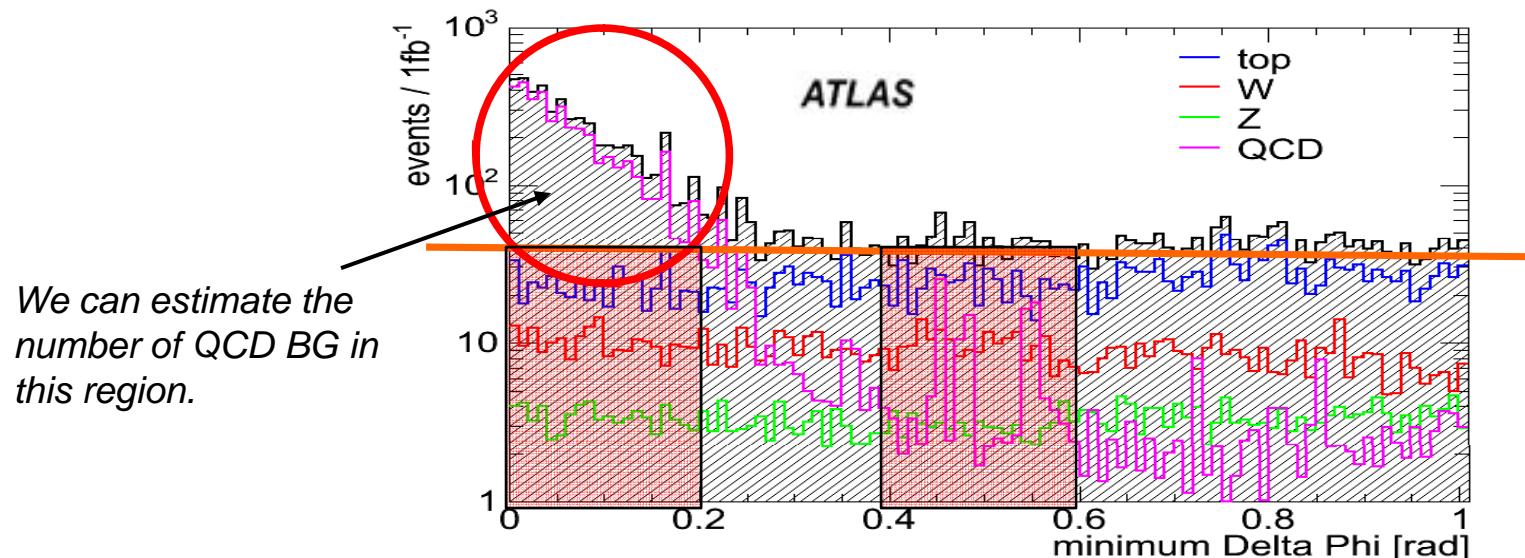
LHC : proton-proton collider

Squarks and gluinos are produced via strong interactions.



Normalization of QCD BG

QCD BG is concentrated in $\min \Delta\Phi=0.0-0.2$. On the other hand, top, W, and Z BGs are *flat*. So, we can estimate the number of top, W, and Z BGs in $\min \Delta\varphi=0.0-0.2$ from all BGs in $\min \Delta\Phi=0.4-0.6$ region. By subtracting the estimated number of top, W and Z BGs from the number of all BGs, the number of QCD BGs in $\min \Delta\Phi=0.0-0.2$ can be obtained.



- We chose $\min \Delta\varphi=0.4 \sim 0.6$ to avoid QCD tail effect.
- The number of QCD BG after $\Delta\varphi$ cut is obtained from the following equation.

$$\frac{\# \text{ of QCD BG} (\min \Delta\varphi = 0.0 - 0.2)}{\# \text{ of QCD BG} (\min \Delta\varphi = 0.0 - 0.2)} \times [\# \text{ of All BG} (\min \Delta\varphi = 0.0 - 0.2) - \# \text{ of All BG} (\min \Delta\varphi = 0.4 - 0.6)]$$

We use MC information only to obtain this factor.

Normalization of top and W BG

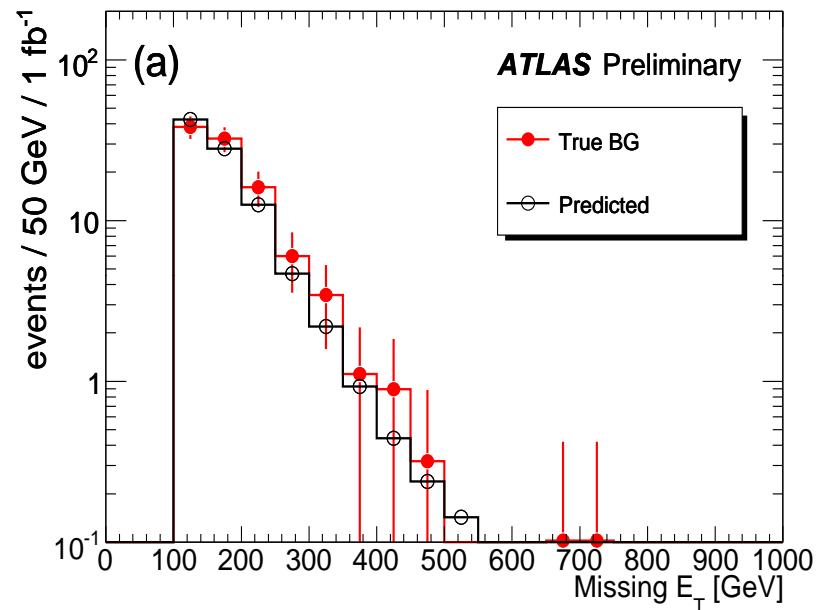
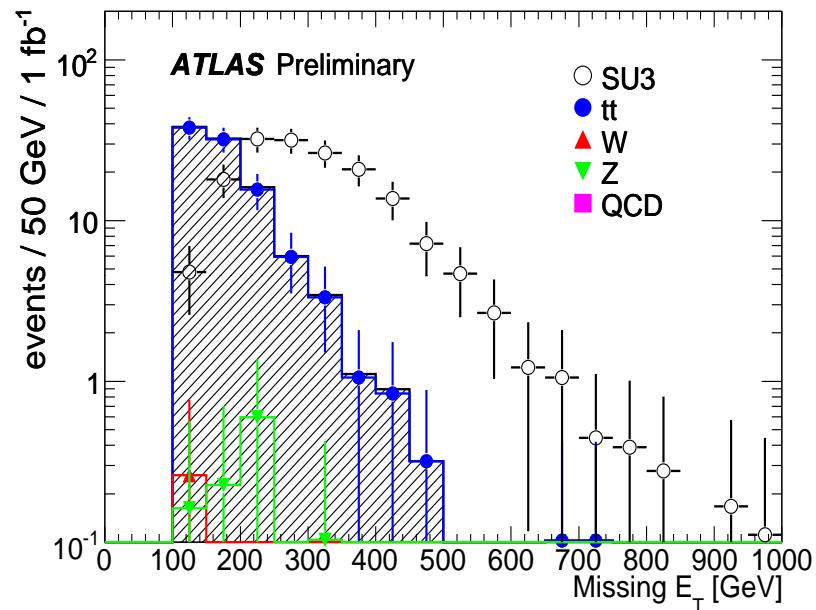
- We can normalize the control sample using the number of the BG events in $mET=100-200\text{GeV}$. This number is obtained by the following equation.

$$N(\text{top and W BG}) = N(\text{All BG}) - N(\text{QCD BG}) - N(\text{Z BG})$$

- The number of QCD BG can be obtained from the equation in the last page.
- The number of Z BG can be obtained with “MC method” or “Replace method” and its error is about 20% @ 1fb^{-1} .
- When SUSY exists, $N(\text{top and W BG})$ is overestimated since SUSY signal contributes to $N(\text{All BG})$.

OS Dilepton Mode

In a similar way, the BG in the OS dilepton mode can be estimated from data.



| | mET > 100GeV | mET > 300GeV |
|--------------|--------------|--------------|
| True BG | 98.8+/-9.9 | 6.0+/-2.5 |
| Estimated BG | 92.1+/-10.7 | 4.2+/-0.5 |

Systematic Errors

- No lepton mode -

| | difference |
|------------------------------|------------|
| jet & mET energy scale | < 5% |
| lepton energy scale | < 5% |
| lepton efficiency | < 5% |
| generator (ALPGEN->MC@NLO) | < 5% |
| ALPGEN generathion parameter | < 5% |

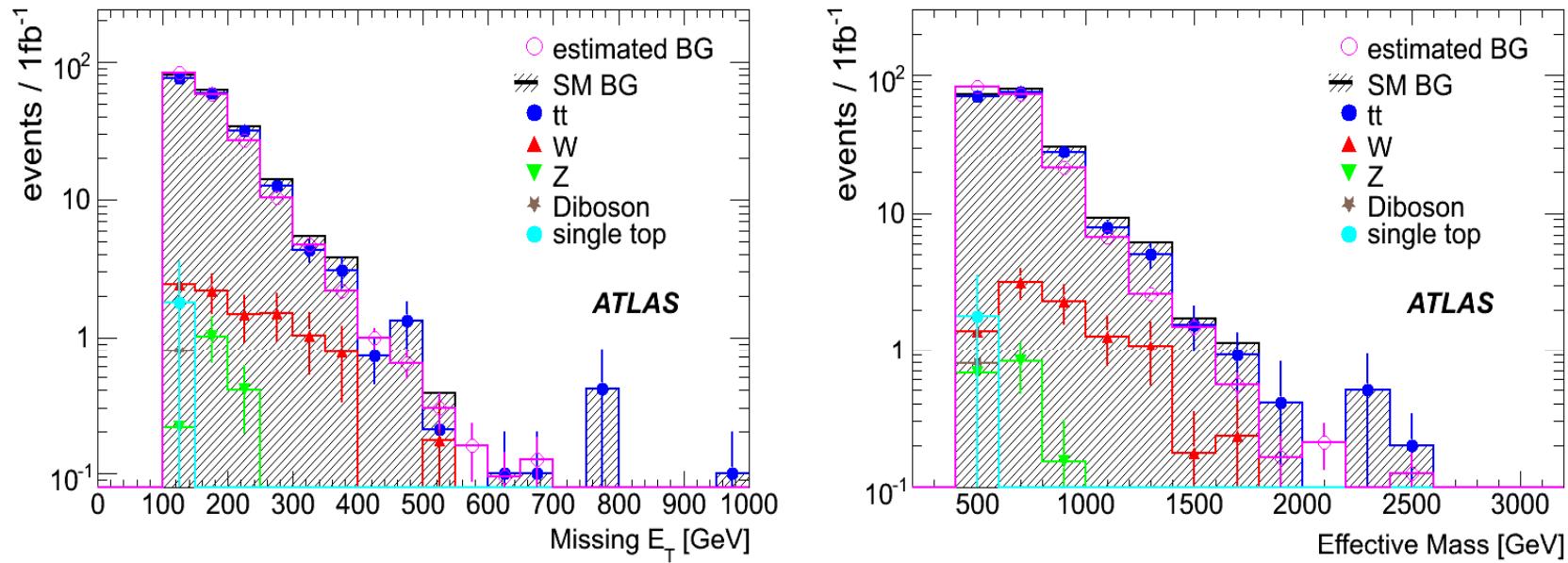
Data-driven estimation is stable against various systematic uncertainties.

Systematic Error

- OS dilepton mode -

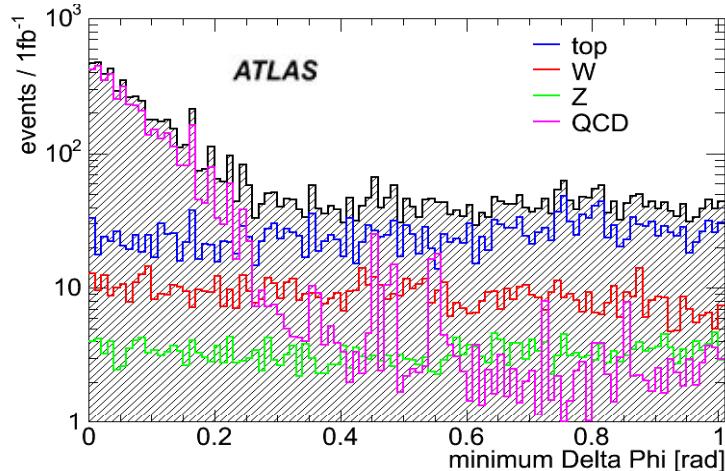
| | difference |
|-----------------------------|------------|
| jet & mET energy scale | < 5% |
| lepton efficiency | < 5% |
| generator (ALPGEN->MC@NLO) | < 5% |
| ALPGEN generation parameter | < 5% |

One Lepton Mode



| | mET > 100GeV | mET > 300GeV |
|------------------------|--------------|--------------|
| True BG | 203+/-6 | 12.4+/-1.6 |
| Estimated BG | 190+/-8 | 9.4+/-0.7 |
| Ratio (Estimated/True) | 0.93+/-0.05 | 0.76+/-0.11 |

No Lepton Mode



min $\Delta\Phi$ @ mET=100~200GeV

| | Total | 0.0~0.2 | 0.2~0.4 | 0.4~0.6 |
|--------|-------|---------|---------|---------|
| All BG | 11826 | 4720 | 1002 | 838 |
| QCD BG | 5025 | 4002 | 289 | 129 |

Truth QCD ($\text{min } \Delta\Phi < 0.2$) = 4002 ± 63

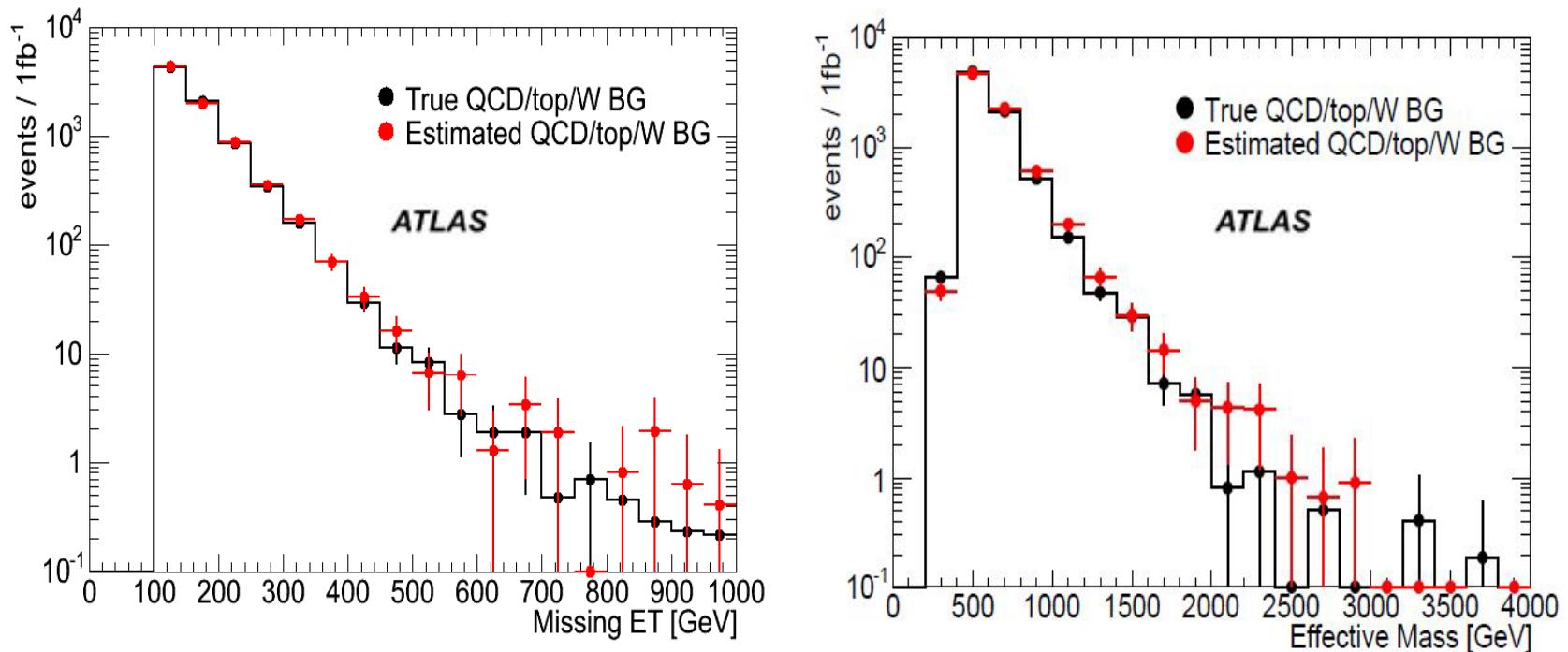
Estimated QCD ($\text{min } \Delta\Phi < 0.2$) = 3881 ± 75

$$\frac{\# \text{ of QCD BG } (\text{min } \Delta\phi > 0.2)}{\# \text{ of QCD BG } (\text{min } \Delta\phi < 0.2)} = 0.256 \pm 0.009$$

Numbers @ min $\Delta\Phi > 0.2$ && mET=100~200GeV

| | All | QCD | Z | top/W |
|------------|---------------|---------------|---------------|----------------|
| Truth | 7106 ± 84 | 1023 ± 32 | 560 ± 24 | 5523 ± 74 |
| Estimation | - | 992 ± 40 | 560 ± 112 | 5554 ± 146 |

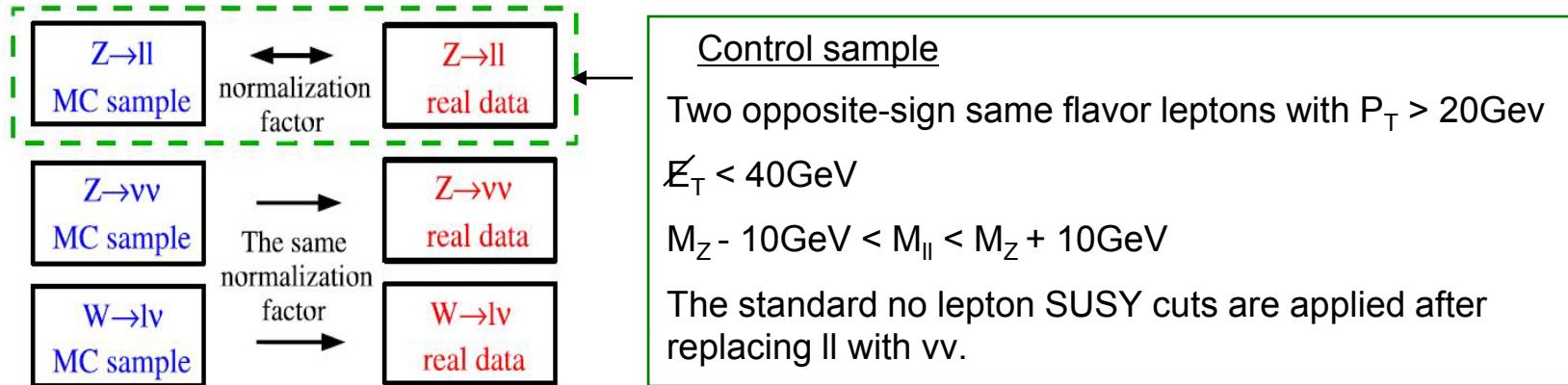
No Lepton Mode



| | $\text{mET} > 100\text{GeV}$ | $\text{mET} > 300\text{GeV}$ |
|------------------------|------------------------------|------------------------------|
| True QCD/top/W | 8077 ± 90 | 300 ± 17 |
| Estimated QCD/top/W | 8158 ± 273 | 327 ± 28 |
| Ratio (Estimated/True) | 1.01 ± 0.04 | 1.09 ± 0.11 |

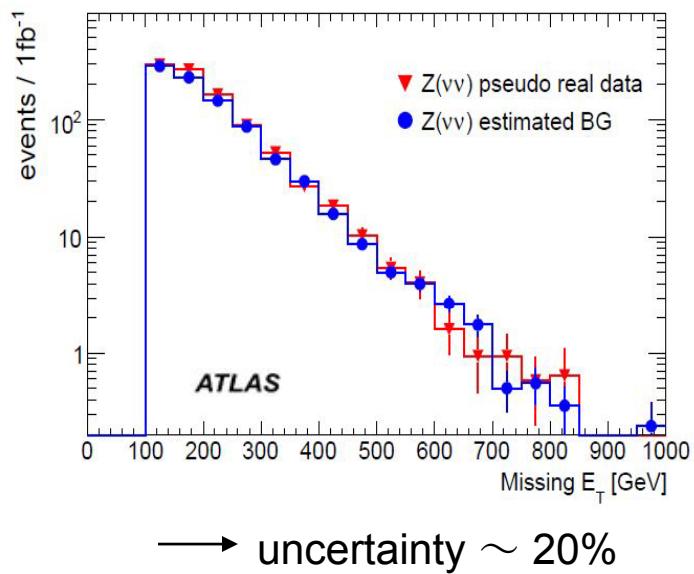
MC Method (No Lepton Z BG)

- $Z \rightarrow vv$ BG in the no lepton mode can also be estimated.



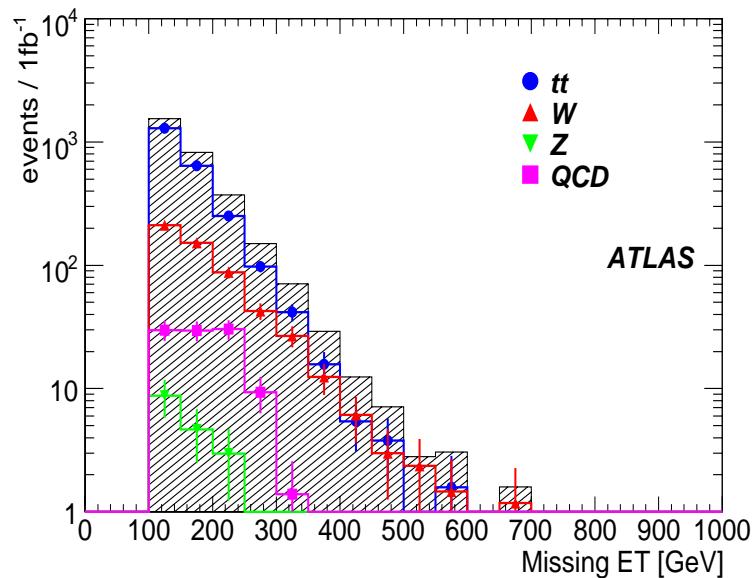
The normalization factor is obtained with the event numbers of the MC sample and the control sample.

Since $Z \rightarrow ll$ events are statistically limited, we rely on the MC sample ($Z \rightarrow vv$) for the shape of $Z \rightarrow vv$ BG distributions and just obtain the normalization factor with the event numbers of the MC sample ($Z \rightarrow ll$) and the control sample ($Z \rightarrow ll$).

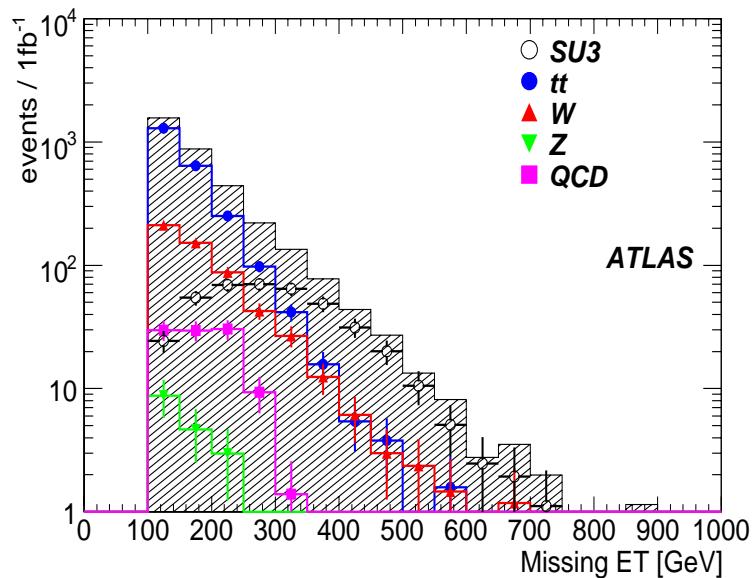


Control Sample with SUSY

Without SUSY

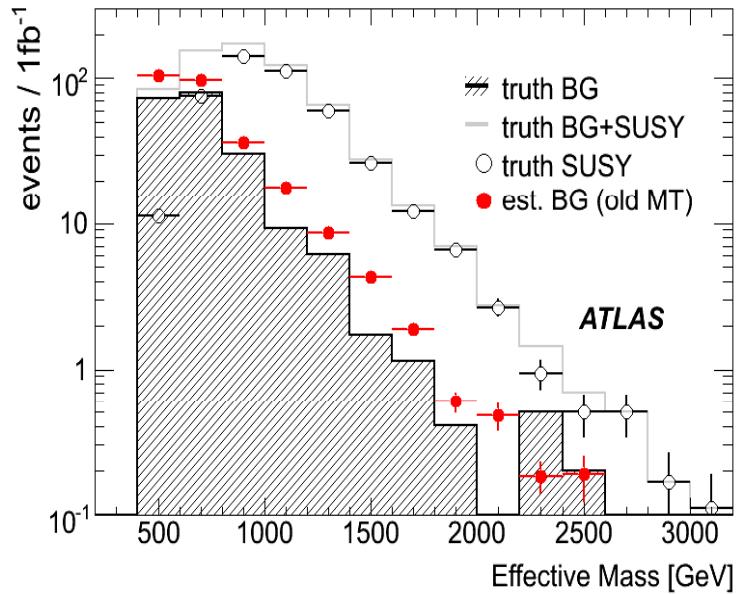
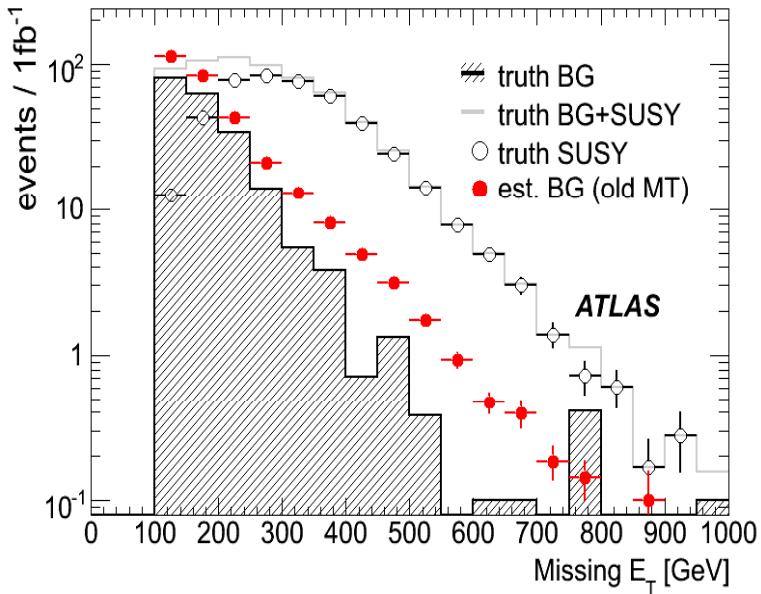


With SUSY



- Because of SUSY contamination, the control sample becomes harder.

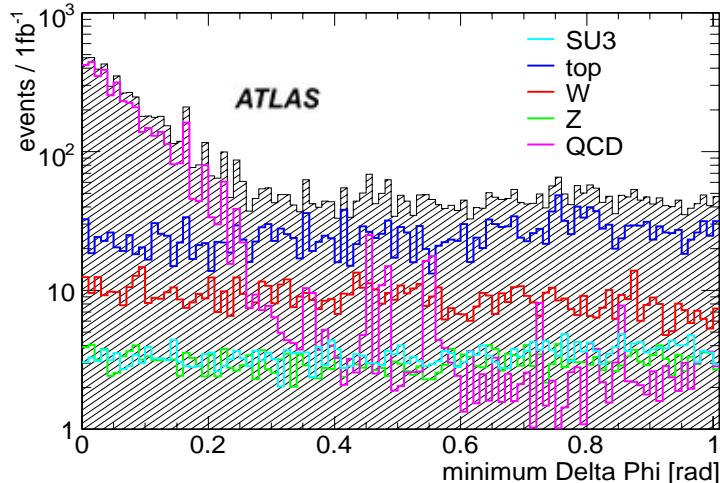
One Lepton Mode



| | mET > 100GeV | mET > 300GeV |
|-----------------------|---------------|-----------------|
| True QCD/top/W | $203^{+/-6}$ | $12.4^{+/-1.6}$ |
| Estimated QCD/top/W | $296^{+/-10}$ | $33.3^{+/-1.4}$ |
| True QCD/top/W + SUSY | $653^{+/-8}$ | $245^{+/-4}$ |

-> We can discover SU3 @ 1fb^{-1} .

No Lepton Mode



min ΔΦ @ mET=100~200GeV

| | Total | 0.0~0.2 | 0.2~0.4 | 0.4~0.6 |
|---------------|-------|---------|---------|---------|
| SUSY + All BG | 12540 | 4785 | 1068 | 903 |
| QCD BG | 5025 | 4002 | 289 | 129 |

Truth QCD (min ΔΦ < 0.2) = 4002+/-63

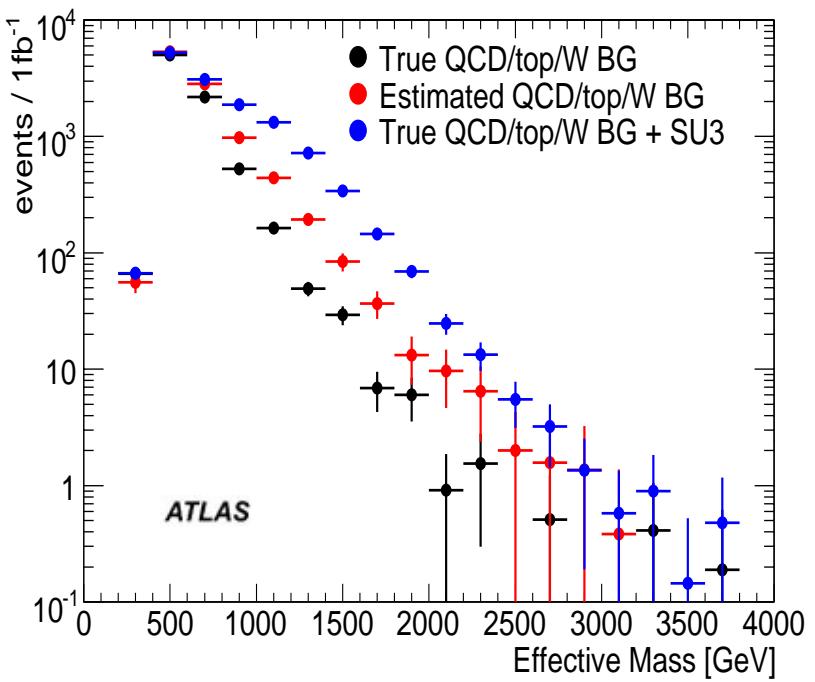
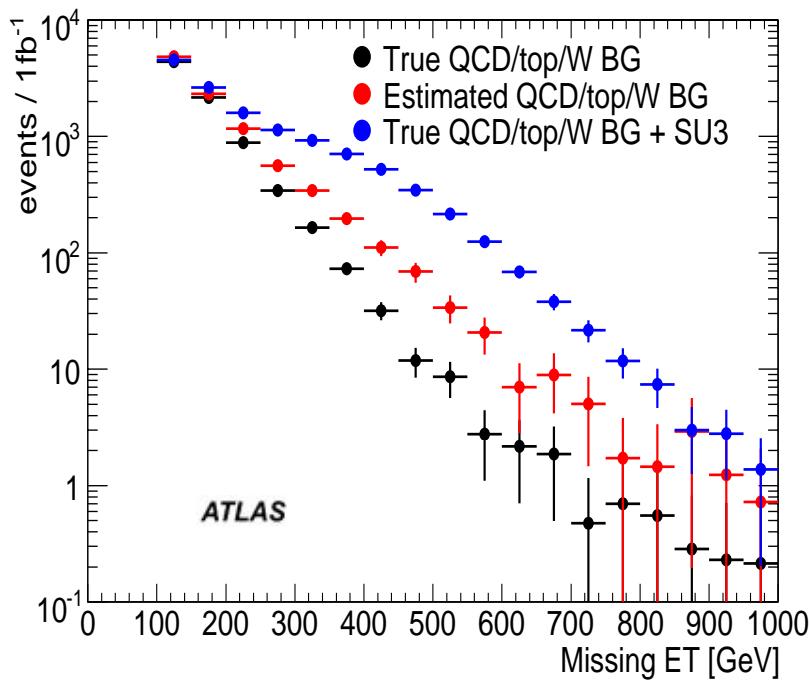
Estimated QCD (min ΔΦ < 0.2) = 3881+/-75

$$\frac{\# \text{ of QCD BG } (\min \Delta\phi > 0.2)}{\# \text{ of QCD BG } (\min \Delta\phi < 0.2)} = 0.256 \pm 0.009$$

Numbers @ min ΔΦ > 0.2 && mET=100~200GeV

| | All | QCD | Z | top/W | SUSY |
|------------|-----------|-----------|-----------|------------|----------|
| Truth | 7755+/-88 | 1023+/-32 | 560+/-24 | 5523+/-74 | 649+/-25 |
| Estimation | - | 992+/-40 | 560+/-112 | 6203+/-148 | (0) |

No Lepton Mode



| | $\text{mET} > 100\text{GeV}$ | $\text{mET} > 300\text{GeV}$ |
|-----------------------|------------------------------|------------------------------|
| True QCD/top/W | 8077 ± 90 | 300 ± 17 |
| Estimated QCD/top/W | 9726 ± 312 | 804 ± 52 |
| True QCD/top/W + SUSY | 12925 ± 114 | 3000 ± 55 |

-> We can discover SU3 @ 1fb^{-1} .

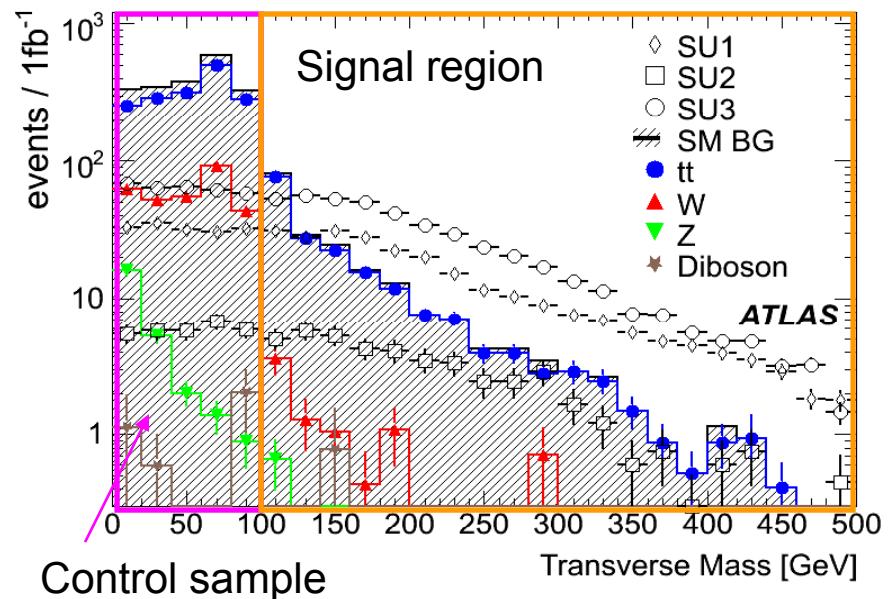
Improved MT Method

Improved MT Method

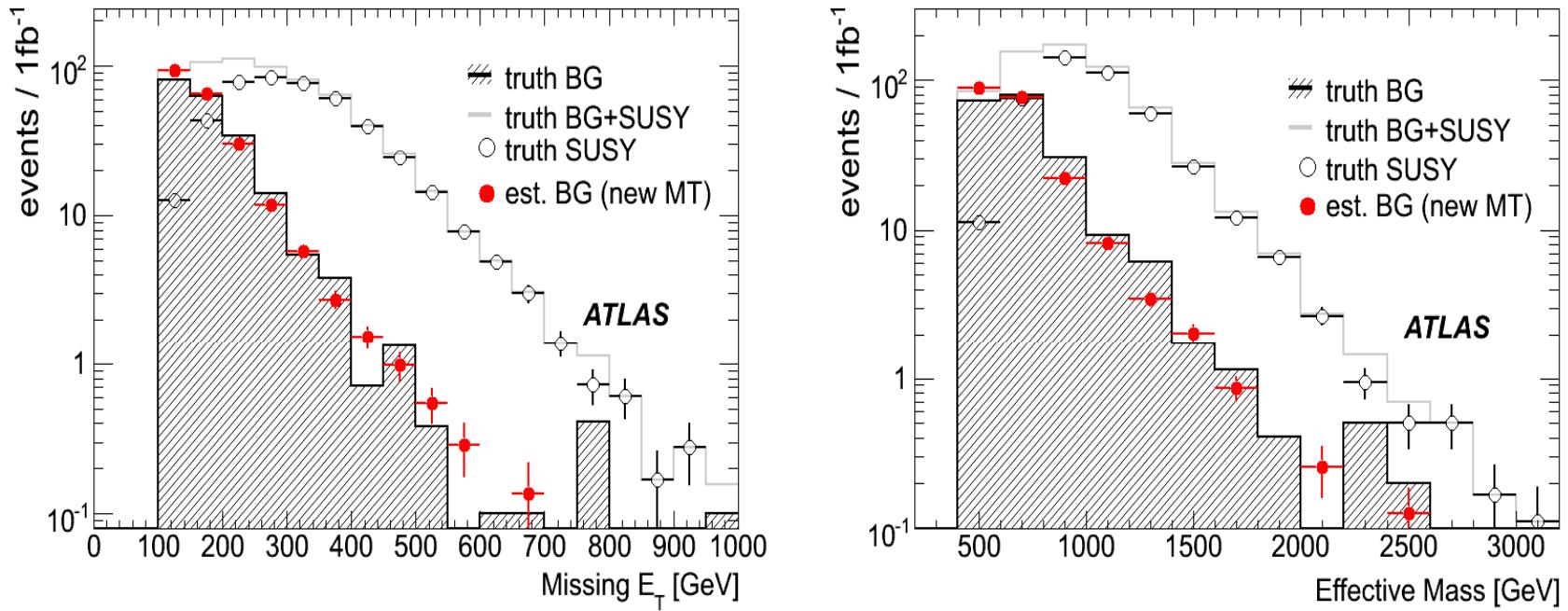
- To subtract SUSY contamination from the control sample $M_T < 100\text{GeV}$, signal region $M_T > 100\text{GeV}$ times 0.6 is subtracted from the control sample.

$$\frac{\# \text{ of SUSY signal in the control sample } M_T < 100\text{GeV}}{\# \text{ of SUSY signal in the signal region } M_T > 100\text{GeV}} \leftarrow \text{MC information}$$

- To reduce SUSY contribution in the normalization region, we use the number of events in $E_T = 100-150\text{GeV}$.

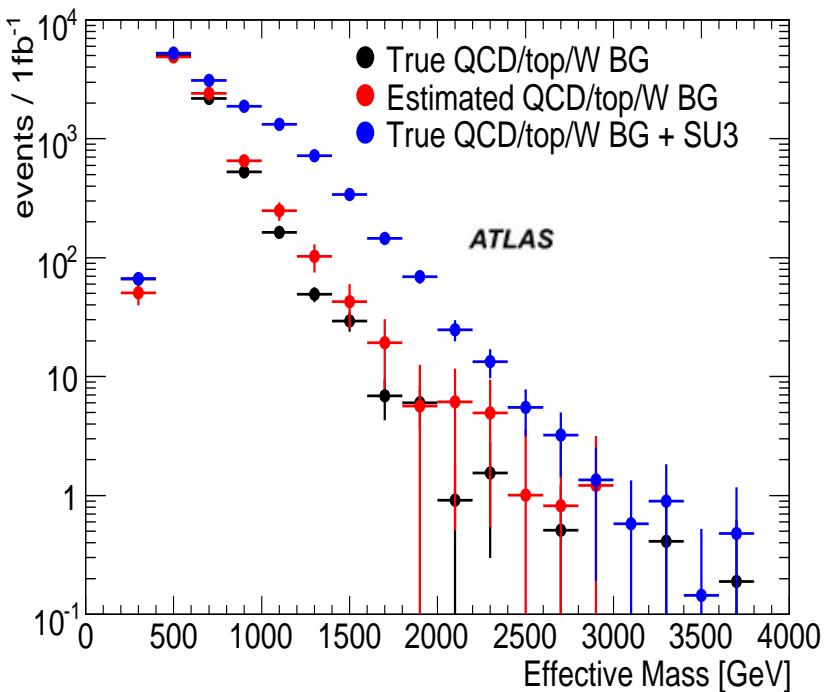
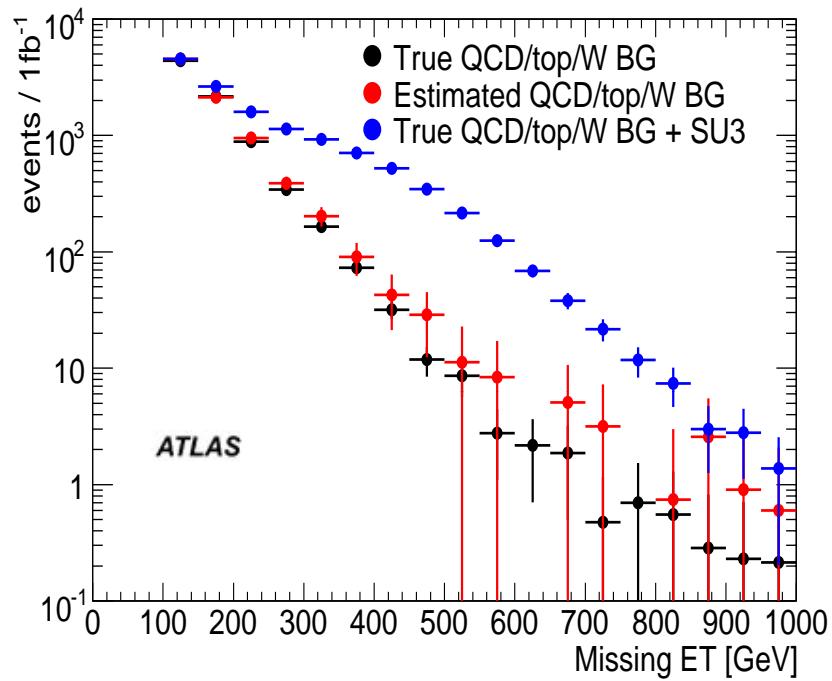


One Lepton Mode – Improved MT-



| | $\cancel{E}_T > 100\text{ GeV}$ | $\cancel{E}_T > 300\text{ GeV}$ |
|-----------------|---------------------------------|---------------------------------|
| True BGs | $203^{+/-6}$ | $12.4^{+/-1.6}$ |
| Estimated BGs | $212^{+/-11}$ | $12.3^{+/-1.0}$ |
| True BGs + SUSY | $653^{+/-8}$ | $245^{+/-4}$ |

No Lepton Mode - Improved MT -



| | $\text{mET} > 100\text{GeV}$ | $\text{mET} > 300\text{GeV}$ |
|-----------------------|------------------------------|------------------------------|
| True QCD/top/W | 8077 ± 90 | 300 ± 17 |
| Estimated QCD/top/W | 8419 ± 405 | 397 ± 67 |
| True QCD/top/W + SUSY | 12925 ± 114 | 3000 ± 55 |