MIT Joint Program on the Science and Policy of Global Change



Does Model Sensitivity to Changes in CO₂ Provide a Measure of Sensitivity to the Forcing of Different Nature?

Andrei P. Sokolov

Report No. 119 March 2005 The MIT Joint Program on the Science and Policy of Global Change is an organization for research, independent policy analysis, and public education in global environmental change. It seeks to provide leadership in understanding scientific, economic, and ecological aspects of this difficult issue, and combining them into policy assessments that serve the needs of ongoing national and international discussions. To this end, the Program brings together an interdisciplinary group from two established research centers at MIT: the Center for Global Change Science (CGCS) and the Center for Energy and Environmental Policy Research (CEEPR). These two centers bridge many key areas of the needed intellectual work, and additional essential areas are covered by other MIT departments, by collaboration with the Ecosystems Center of the Marine Biology Laboratory (MBL) at Woods Hole, and by short- and long-term visitors to the Program. The Program involves sponsorship and active participation by industry, government, and non-profit organizations.

To inform processes of policy development and implementation, climate change research needs to focus on improving the prediction of those variables that are most relevant to economic, social, and environmental effects. In turn, the greenhouse gas and atmospheric aerosol assumptions underlying climate analysis need to be related to the economic, technological, and political forces that drive emissions, and to the results of international agreements and mitigation. Further, assessments of possible societal and ecosystem impacts, and analysis of mitigation strategies, need to be based on realistic evaluation of the uncertainties of climate science.

This report is one of a series intended to communicate research results and improve public understanding of climate issues, thereby contributing to informed debate about the climate issue, the uncertainties, and the economic and social implications of policy alternatives. Titles in the Report Series to date are listed on the inside back cover.

Henry D. Jacoby and Ronald G. Prinn, *Program Co-Directors*

 Postal Address: Joint Program on the Science and Policy of Global Change 77 Massachusetts Avenue MIT E40-428 Cambridge MA 02139-4307 (USA)
 Location: One Amherst Street, Cambridge Building E40, Room 428 Massachusetts Institute of Technology
 Access: Phone: (617) 253-7492 Fax: (617) 253-9845 E-mail: globalchange@mit.edu Web site: http://MIT.EDU/globalchange/

For more information, please contact the Joint Program Office

🛞 Printed on recycled paper

Does Model Sensitivity to Changes in CO₂ Provide a Measure of Sensitivity to the Forcing of Different Nature?

Andrei P. Sokolov

Abstract

Simulation of both the climate of the 20th century and of possible future climate change requires taking into account numerous forcings of different nature. Climate sensitivities of existing general circulation models, defined as the equilibrium surface warming due to increase in atmospheric CO_2 concentrations, vary over a rather wide range. A large number of simulations with the MIT climate model of intermediate complexity with forcings of different nature have been carried out to study to what extent sensitivity to changes in CO_2 concentration represent sensitivities to other forcings. Sensitivity of the MIT model can be changed by changing the strength of the cloud feedback.

Simulations with the versions of the model with different sensitivities show that the sensitivity to changes in CO_2 concentration provides a reasonably good measure of the model sensitivity to other forcings with similar vertical stratifications. However the range of models' responses to the forcings leading to the cooling of the surface is narrower than the range of models' responses to the forcings leading to warming. This is explained by the cloud feedback being less efficient in the case of increasing sea ice extent and snow cover. The range of models' responses to the forcings with different vertical structure, such as increase in black carbon concentration, is also smaller than that for changes in CO_2 concentration.

Contents

1. Introduction	1
2. Model description	2
3. Model response to different forcings, dependency on vertical structure of the forcing	
4. Changing sensitivity of the MIT model	9
5. Model sensitivity to CO ₂ increase as a measure of model sensitivity to other forcings	11
6. Conclusions	
7. References	

1. INTRODUCTION

The MIT 2D climate model has been used in a number of climate change related studies in recent years. Forest *et al.* (2002) used the model to obtain a probability distribution for climate sensitivity consistent with the climate record for the 20th century. This distribution then has been used by Webster *et al.* (2003) for studying uncertainty in future climate change. In both cases, a number of different forcings were considered. In simulations performed by Forest *et al.* (2002), the model was forced by changes in CO_2 , sulfate aerosol and ozone. In an ongoing study (Forest *et al.*, 2005) changes in solar constant, volcanic aerosol and vegetation cover are also included. In projections of future climate, changes in different greenhouse gases, ozone, sulfate aerosol and black carbon are taken into account. Climate sensitivities of different versions of the MIT climate model were, however, defined based exclusively on changes in CO_2 concentration. Therefore, it is important to evaluate to what extent model sensitivity to changes in CO_2 characterizes sensitivity to other forcings.

The dependency of the climate system response to external forcing on the nature of the forcing has been a subject of a number of recent studies (*e.g.*, Forster *et al.*, 2000; Cook and Highwood, 2004; Hansen *et al.*, 1997; Ramaswamy and Chen, 1997). It was shown that the change in surface air temperature, Δ Ts, in response to changes in atmospheric CO₂ concentration, solar constant or

surface albedo (and some others) is proportional to the adjusted radiative forcing at the tropopause, Fa, regardless of the nature of the forcing, or:

 $\Delta Ts = \lambda Fa$,

(1)

where λ is a climate sensitivity. This is not, however, the case for forcings with significantly different vertical structures such as forcings associated with changes in the concentration of ozone or absorbing aerosols (*e.g.*, Hansen *et al.*, 1997). This poses the question of whether model sensitivity to changes in CO₂ concentration characterizes model sensitivities to different forcings, especially to forcings of the second kind.

A number of the equilibrium climate change simulations with versions of the MIT model with different climate sensitivities for a variety of forcings have been performed for this study. A brief description of the model is given in Section 2. Dependence of the model response on the vertical stratification of forcing is discussed in Section 3. In Section 4 results of the simulations with the versions of the model with different sensitivities are discussed. Conclusions are given in Section 5.

2. MODEL DESCRIPTION

The MIT 2D atmospheric model (Sokolov and Stone, 1998) is a zonally averaged statisticaldynamical model developed from the GISS GCM Model II (Hansen *et al.*, 1983). The model includes parameterizations of all the main atmospheric physical processes as well as parameterizations of heat, moisture and momentum transports by eddies. The version used in this study has a latitudinal resolution of 7.8 degrees and 9 vertical layers. Each cell can contain up to four different surface types: land, land ice, ice-free ocean and ocean ice. The model calculates surface temperature, surface and radiative fluxes, and their derivatives with respect to surface temperature separately for different surface types.

A zonally averaged mixed layer model was used as an ocean component in the previous version of the MIT climate model. In the version used in this study, the atmospheric model is coupled to a mixed layer ocean model with a horizontal resolution of 7.8° in latitude and 10° in longitude. The mixed layer depth is prescribed based on observations as a function of time and location.

The heat flux felt by the ocean model at the point (i, j) is calculated as:

$$F_{H}(i,j) = F_{HZ}(j) + \frac{\partial F_{HZ}}{\partial T}(j)(Ts(i,j) - TsZ(j)), \qquad (2)$$

where $F_{HZ}(j)$ and $\frac{\partial F_{HZ}}{\partial T}(j)$ are zonally averaged heat flux and its derivative with respect to surface temperature; $T_S(i, j)$ and $T_{SZ}(j)$ are the surface temperature and its zonal mean. The mixed layer model also uses parameterized vertically averaged horizontal oceanic heat transport, the so-called Q-flux. This flux has been calculated from the simulation in which sea surface temperature and sea ice distribution were relaxed to their present-day climatology.

As was shown by Sokolov and Stone (1998), the MIT climate model simulates reasonably well the zonally averaged features of the present-day atmospheric circulation. Both equilibrium and transient responses to an increase in CO_2 concentration produced by the model are similar, in terms of global averaged values and zonal distributions, to the responses obtained in simulations with the 3D general circulation models (GCMs).

3. MODEL RESPONSE TO DIFFERENT FORCINGS, DEPENDENCY ON VERTICAL STRUCTURE OF THE FORCING

A number of 150 year duration equilibrium simulations with the MIT climate model with different forcing have been carried out (**Table 1**). During the last 20 years of the simulations, data required for the radiation calculation have been saved and then used to calculate changes in radiation fluxes and climate feedbacks associated with changes in different climate variables, namely surface temperature, lapse rate, water vapor, cloud cover and surface albedo. Feedbacks were calculated following procedure proposed by Wetherald and Manabe (1988).

To evaluate the model response to changes in black carbon (BC) concentration, an equilibrium climate change simulation (10BC) has been carried out, using changes in black carbon loading simulated by the MIT climate-chemistry model (Wang *et al.*, 1998). Projected changes in BC are rather small and so are forcings associated with these changes. To obtain a statistically significant response changes in BC loading were multiplied by 10. Such an increase in BC leads to a positive forcing of 2.4 W/m² at the tropopause and strong negative forcing of -4.0 W/m² at the surface (**Figure 1**). In spite of such a strong cooling at the surface, surface temperature actually increases by 1.76K in this simulation. This is explained by the vertical distribution of the BC forcing.

As was shown by Hansen *et al.* (1997), the effectiveness of forcing with respect to surface warming depends on the altitude at which the forcing is applied. They carried out simulations applying a forcing of 4 W/m^2 at each layer of the model and at the surface. Results of those

Simulation	Type of forcing	Forcing at the tropopaus (W/m ²)	Forcing at the surface (W/m ²)		λ K/(W/m²)
2xCO2	Doubled-CO ₂ concentration	3.76	0.8	2.18	0.58
0.5xCO2	Halved-CO ₂ concentration	-3.76	-0.56	-2.14	0.57
2%S0	2% increase in solar constant	4.72	3.56	2.28	0.48
-2%S0	2% decrease in solar constant	-4.72	-3.56	-2.22	0.47
ALB	Increase in surface albedo	-3.39	-3.85	-1.54	0.45
STRAER	Increase in stratospheric aerosol concentration	-3.92	-4.08	-1.87	0.48
10BC	Change in black carbon simulated by the MIT climate-chemistry model multiplied by 10	2.36	-4.44	1.76	0.75
LWBC	Fixed longwave forcing with vertical structure of the global and annual mean black carbon forcing	2.36	-4.44	1.76	0.74
LWBCL3	Fixed longwave forcing with the same changes at the top of the atmosphere (TOA) and at the surface as in LWBC, but with "absorbing layer" shifted to 800 hPa	2.36	-4.44	1.23	0.52
LWBCL6	Fixed longwave forcing with the same changes at the TOA and at the surface as in LWBC, but with "absorbing layer" shifted to 320 hPa	2.36	-4.44	0.64	0.27

Table 1. Design parameters for the MIT climate model simulations used in this study.

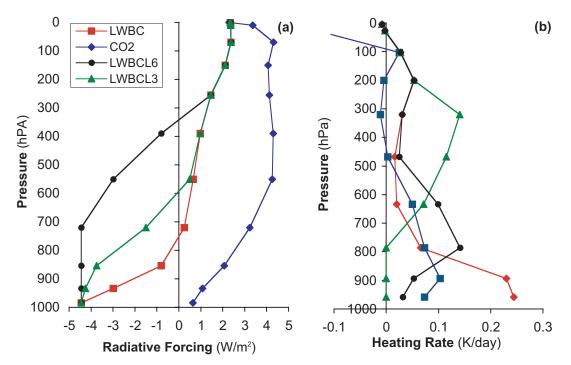


Figure 1. Vertical distribution of (a) radiative fluxes and (b) heating rates in simulations with doubled CO₂ and simulations with "black-carbon-like" ("BC-like") forcing.

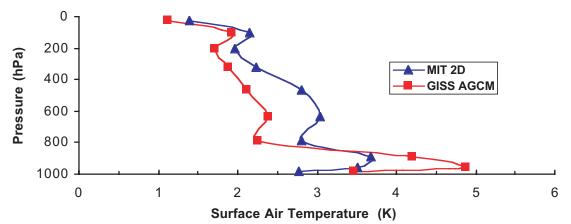


Figure 2. Dependence of surface air temperature increase on the altitude at which forcing was applied, as simulated by the MIT 2D model (triangles), and the GISS AGCM (squares).

simulations are shown in **Figure 2** together with the results of analogous simulations with the MIT 2D model. Both models show a similar dependency, but the GISS model is noticeably more sensitive to the forcings applied in the low troposphere and at the surface. The two top layers (8 and 9) and a part of layer 7 are located in the stratosphere and, as indicated by Hansen *et al.* (1977), when the forcing is applied in those layers an adjusted forcing on the tropopause is significantly smaller than the applied forcing. Therefore, only results for simulations with forcings in the 6 lowest layers are discussed below. The two feedbacks that show the largest differences are lapse rate feedback and cloud feedback (**Table 2**). The lapse rate feedback is negative in all simulations. It is weakest for the forcing in layer two and becomes much stronger when the forcing is applied in the top layers. These differences to a large extent are offset by

L	Height (hPa)	LR	Q	LR+Q	CL	ALB	L+Q+C+A
0	984	-0.34229	1.50329	1.16100	-0.13143	0.35204	1.38161
1	958	-0.27586	1.48009	1.20423	0.23215	0.30544	1.74183
2	894	-0.14481	1.44507	1.30026	0.37037	0.28929	1.95993
3	786	-0.29161	1.48904	1.19743	-0.08282	0.32935	1.44397
4	633	-0.42274	1.55814	1.13540	-0.14018	0.33824	1.33346
5	468	-0.61640	1.70413	1.08773	-0.28229	0.34565	1.15109
6	320	-0.97663	2.00795	1.03132	-0.72512	0.37552	0.68172

Table 2. Strengths of different feedbacks in simulations with 4 W/m² forcing applied at different heights (lapse rate, LR; water vapor, Q; clouds, CL; surface albedo, ALB).

changes in the water vapor feedback, but the sum of these two feedbacks is still 30% larger for the forcing in the second layer than for the forcing in layer 6. The cloud feedback is positive when the forcing is applied in the two lowest layers and also becomes strongly negative when the forcing is applied in the top layers.

Cloud cover decreases in all simulations. Surface warming leads to the decrease in high clouds. The decrease in high clouds, due to their relatively small albedo and large difference between temperature of the cloud top and surface temperature, leads to the decrease in the net radiative flux at the top of the atmosphere (TOA) and to the negative cloud feedback. Warming of a particular model layer causes an additional decrease in cloud cover in that layer. Thus, a decrease of low clouds, caused by forcing at the second layer, leads to positive cloud feedback.

The feedbacks shown in Table 1 and later in the paper are calculated as changes in the radiation balance at the tropopause associated with changes in a particular climate variable, such as clouds or water vapor, divided by the change in surface air temperature caused by the particular forcing. These were calculated following the procedure proposed by Wetherald and Manabe (1988). We note that in some studies (*e.g.*, Hansen *et al.*, 1984; Schlesinger and Mitchell, 1987) feedbacks are normalized by surface air temperature feedback.

Hansen *et al.* (1997) refer to cloud feedback associated with absorbing aerosol as the "semi-direct" aerosol effect. Changes related to the tropospheric warming can be separated from changes caused by surface warming in a number of ways. For example, by performing parallel simulations with fixed surface temperature (Cook and Highwood, 2004). It also can be done from the results shown above assuming that changes caused by warming of a particular atmospheric layer and changes caused by surface warming are additive and that the latter are proportional to surface temperature increase.

In **Table 3** the first three columns show changes in surface temperature and radiation fluxes at the tropopause due to changes in lapse rate and water vapor (together) and clouds. "Semi-direct" forcings, shown in columns four and five, are calculated in the following way:

$$F_{X}^{L} = H_{X}^{L} - \frac{H_{X}^{0}}{\Delta T_{S}^{0}} \Delta T_{S}^{L}$$

$$\tag{3}$$

where H_x^L is the change in radiation flux due to the change in variable X (CLD or LR+Q) in the simulation with forcing applied at a layer L and ΔT_s^L is the change in surface air temperature

ΔTs	\mathbf{H}_{LR+Q}	H _{CLD}	F _{LR+Q}	F _{CLD}	F _{SMD}	F _{COR}	λ_{cor}
2.08	2.26584	-0.3253	0	0	0	4	0.52
2.77	3.11389	0.58923	0.0964	1.02244	1.11884	5.11884	0.54
3.02	3.67666	1.04652	0.38683	1.51883	1.90567	5.90567	0.51
2.24	2.52665	-0.27084	0.08651	0.07948	0.166	4.166	0.54
2.02	2.16239	-0.35792	-0.03809	-0.042	-0.08009	3.91991	0.52
1.92	2.01108	-0.63741	-0.08046	-0.33713	-0.4176	3.5824	0.54
1.55	1.59897	-1.23355	-0.08952	-0.99114	-1.08066	2.91934	0.53

Table 3. Changes in radiation fluxes at the tropopause due to changes in clouds and lapse rate and water vapor and their fractions not related to surface warming.

in the same simulation. The "corrected" forcing is calculated as a sum of adjusted and total "semi-direct" forcings and the model sensitivity, λ , is calculated from Equation 1. As can be seen, sensitivity defined in such a way shows practically no dependency on forcing and is very close to values obtained in simulations with changes in CO₂, solar constant or stratospheric aerosol load.

The change in radiation fluxes caused by an increase in the loading of black carbon leads to warming concentrated in the two lowest model layers with a maximum around 950 hPa (see Figure 1b). This warming causes a positive feedback that is strong enough to overcome direct cooling at the surface. As was shown by Hansen *et al.* (1997) and Cook and Highwood (2004), the climate impact of the increase in BC concentration strongly depends on the vertical distribution of black carbon.

To evaluate a dependency of the MIT model response to the vertical stratification of the "black-carbon-like" ("BC-like") forcing, three simulations with long wave (LW) forcing have been carried out. In the first simulation (LWBC), LW forcing with the same vertical distribution as an annual mean global mean forcing due to changes in BC has been used. Despite differences in the nature as well as in spatial and temporal patterns of the forcing between simulations 10BC and LWBC, global mean annual responses are very similar in these simulations. Forcings applied in the other two "BC-like" simulations (LWBCL3 and LWBCL6) have the same change at the tropopause and at the surface but the "absorbing layer" is shifted up (see Figure 1). As a result the maximum changes in the heating are concentrated at about 800 and 320 hPa. These forcings lead to a noticeably smaller surface warming, namely 1.23K and 0.64K instead of 1.76K.

The decrease in low clouds in the LWBC simulation (**Figure 3**), while smaller in magnitude than the decrease in high clouds, is nevertheless strong enough to produce positive cloud feedback (**Table 4**). The change in air temperature in the LWBC simulation (Figure 3b), while smaller than in the simulation with forcing applied directly at the surface (SRF), has a similar shape throughout most of the troposphere. In the other two simulations, especially in LWBCL6 simulation, the warming increases faster with height. Differences in the vertical structure of the forcing also affect changes in the hydrological cycle. Changes in both relative humidity and heating due to moist convection show a strong dependence on the vertical structure of the forcing (**Figure 4**). Those differences are reflected in the strengths of different feedbacks (Table 3). Such strong negative lapse rate and cloud feedbacks in a simulation with the forcing concentrated in the upper troposphere lead to the total feedback being negative.

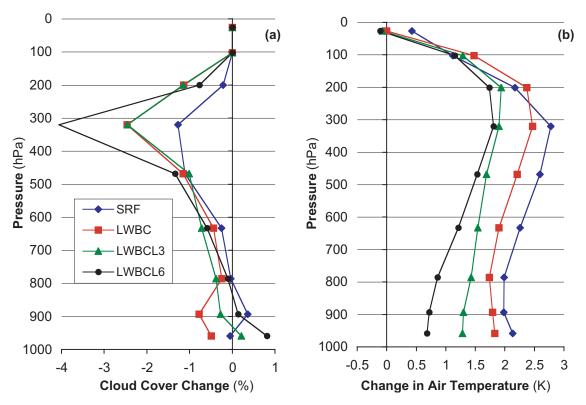


Figure 3. Changes in (a) clouds and (b) air temperature in simulations with surface and "BC-like" forcings.

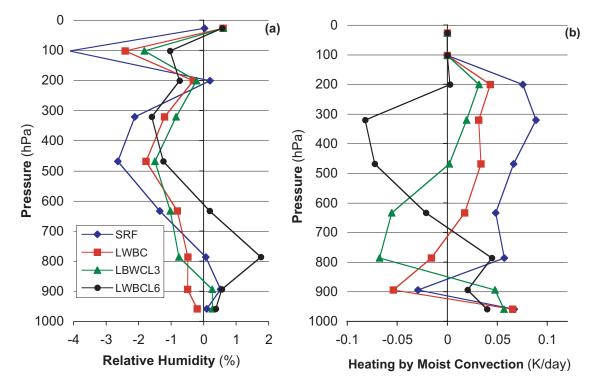


Figure 4. Changes in (a) relative humidity and (b) heating rate due to moist convection in simulations with surface and "BC-like" forcings.

Table 4. Strengths of different feedbacks i	simulations with SRF and "BC-like" forcings.
---	--

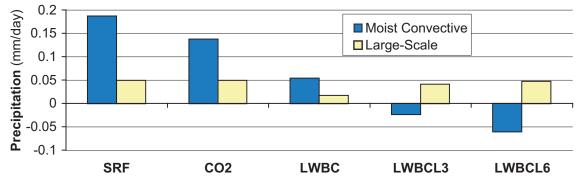
Forcing	LR	Q	LR+Q	CL	ALB	L+Q+C+A
LWBC	-0.405	1.630	1.225	0.361	0.269	1.855
LWBCL3	-0.719	1.789	1.070	-0.134	0.294	1.230
LWBCL6	-2.253	1.827	0.574	-1.254	0.325	-0.356

Table 5. The same as Table 3, but for simulations LWBC, LWBCL3 and LWBCL6.

ΔTs	\mathbf{H}_{LR+Q}	H _{CLD}	\mathbf{F}_{LR+Q}	F _{CLD}	F _{SMD}	F _{COR}	λ_{cor}
1.78	2.48891	0.54926	0.55181	0.912128	1.463938	3.833938	0.464275
1.23	1.74422	-0.27575	0.405662	-0.025	0.380658	2.750658	0.447166
0.71	0.99252	-1.03182	0.219856	-0.88708	-0.66722	1.702776	0.416966

"Semi-direct" forcings in the last three simulations are shown in **Table 5**. As can be seen from the table, if the components of the cloud feedback and the combined lapse rate and water vapor feedbacks that are not related to surface warming are treated as forcing, Equation 1 provides a good estimate of model sensitivity.

Comparison of the changes in precipitation show that while large-scale precipitation increases in all simulation, convective precipitation decreases in both LWBCL3 and especially in LWBCL6 (**Figure 5**). Changes in precipitation not related to increases in surface temperature were calculated using an equation similar to Equation 3. These changes are negative for all forcings (**Table 6**). Results for simulations with doubled CO_2 are consistent with the findings of Sugi and Yoshimura (2004), who showed that precipitation decreases in simulations with increased CO_2 concentration and fixed sea surface temperature.



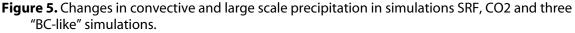


Table 6. Change in total precipitation and the fraction not related to surface warming.

Forcing	Ts (K)	Pr (mm/day)	dPr/Prc (%)	Pr (mm/day)	dPr/Prc (%)
SRF	2.08	0.236	8.26	0	0
CO2	2.18	0.187	6.54	-0.065	-2.28
LWBC	1.78	0.072	2.52	-0.133	-4.66
LWBCL3	1.23	0.018	0.63	-0.124	-4.34
LWBCL6	0.71	-0.013	-0.46	-0.095	-3.34

4. CHANGING SENSITIVITY OF THE MIT MODEL

The sensitivity of the MIT climate model is varied by changing the strength of the cloud feedback (Hansen *et al.*, 1993; Sokolov and Stone, 1998). Namely, the cloud fractions used in the radiation calculation are calculated as:

$$\mathbf{C} = \mathbf{C}^0 \cdot (1.0 + \mathbf{k} \cdot \Delta \mathbf{T}_{\rm srf}),\tag{3}$$

where C^0 is the cloud cover calculated by the model, and ΔT_{srf} is the difference in global mean surface air temperature from its value in a control climate simulation. By changing the parameter k, different sensitivities are obtained. For example, with k equal to 0.04 and -0.03, the sensitivities to the CO₂ doubling are 1.4 and 4.1K, respectively. The natural sensitivity of the model (that is, for k = 0) is 2.2K.

Use of Equation 3, however, leads to the simultaneous increase/decrease in both high and low clouds, which, as mentioned above, have effects of opposite sign on climate sensitivity. As such, if Equation 3 with k = -0.03 is applied to low and middle clouds only, the model sensitivity increases up to 5.0K. On the other hand, if only high clouds are changed then the sensitivity decreases to 1.9K. And finally, if k = -0.03 is used for low and middle clouds and k = 0.03 for high clouds, the sensitivity of the model becomes 6.9K.

Changing high and low clouds in opposite directions allows for obtaining the same sensitivity with smaller values of k compared to using the same value of k for all clouds. As such, for sensitivity of 4.1K the value of k = -0.02, instead of -0.03, is required. It decreases the artificial changes in cloud cover in simulations with different sensitivities.

Figure 6 shows a comparison of the results from the doubled- CO_2 equilibrium simulations using the versions of the MIT model with different sensitivities with the results obtained in similar simulations with different GCMs (Meleshko *et al.*, 1999; Senior and Mitchell, 1993; Washington and Meehl, 1993; Yao and Del Genio, 1999). Results from the simulations in which the sensitivity of the MIT model was changed using the same value of parameter k for all clouds are shown by diamonds. Triangles indicate result from the simulations in which k of opposite signs were used for high and low clouds. The results of GCMs are shown by squares. Overall the latter way of varying sensitivity of the MIT model produces better agreement with GCMs and was used in the simulation discussed below.

The strengths of different feedbacks for four versions of the MIT model are shown in **Table 7**. Not surprisingly, changes in sensitivity are mainly associated with differences in cloud feedback. Changes in the lapse rate feedback to large extent are compensated by changes in the water vapor feedback. Such compensation between lapse rate and water vapor feedbacks in the doubled- CO_2 simulations is a feature shown by practically all models (*e.g.*, see Colman, 2003).

ΔTeq	LR	Q	LR+Q	CL	ALB
1.39	-0.052	1.365	1.313	-1.030	0.334
2.18	-0.195	1.488	1.293	0.068	0.258
4.50	-0.289	1.577	1.288	0.959	0.241
7.45	-0.308	1.633	1.325	1.208	0.311

Table 7. Feedbacks in doubled-CO₂ simulations with different climate sensitivities.

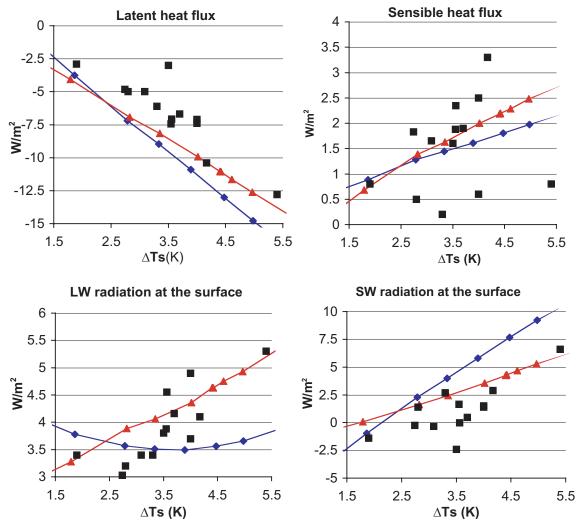
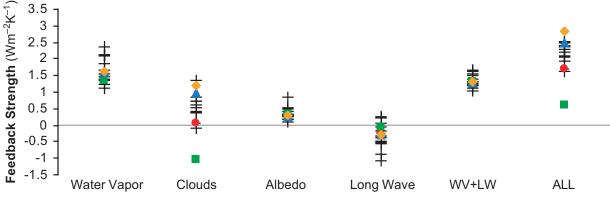


Figure 6. Changes in surface fluxes in equilibrium doubled-CO₂ simulations with different GCMs (squares) and the versions of the MIT climate model with different sensitivities. Diamonds indicate results from the versions with the same k used for all clouds, and triangles from the simulations with k of different signs used for high and low clouds. (See text for details.)



Feedback Type

Figure 7. Strengths of feedbacks in different GCMs (+) and in four version of the MIT climate model with different climate sensitivities: 1.39K (squares), 2.18K (circles), 4.5K (triangles), and 7.45K (diamonds). Data for GCMs are from Colman (2003).

In **Figure 7**, the climate feedbacks in four versions of the MIT model are compared with feedbacks in a number of GCMs. Data for different GCMs reported in Colman (2003) were used. Individual feedbacks in all four simulations, with the exception of cloud feedback in the simulation with sensitivity 1.4K, fall in the range shown by GCMs.

5. MODEL SENSITIVITY TO CO₂ INCREASE AS A MEASURE OF MODEL SENSITIVITY TO OTHER FORCINGS

Published results of the simulations with different GCMs do not provide a definitive answer as to whether models' sensitivities to increase in CO_2 concentration (S_{CO2}) reflect sensitivities to other forcings. Models' responses to changes in solar constant and surface albedo are in general consistent with their sensitivities to changes in CO₂ concentration. Comparison of simulations with changes in black carbon or ozone is complicated by differences in simulation design. As such, both Hansen et al. (1997) and Cook and Highwood (2004) performed simulations with changes in black carbon; however, magnitudes and vertical structures of those changes were different. Joshi et al. (2003) compared responses of three GCMs (UREAD, ECHAM4 and LMD) to an increase in CO₂ concentration, solar constant and upper tropospheric ozone. The magnitudes of changes were chosen such as to produce a forcing of 1 W/m^2 in all cases. For all three cases the strongest response to forcing was produced by the LMD model, and the weakest response by the UREAD model. The differences in sensitivity between models, however, depend on forcing. The ratios of surface warming simulated by the UREAD and LMD models to that simulated by the ECHAM4 for different forcing are shown in Table 8. The ratio of sensitivities to ozone is smaller than the ratio of sensitivities to CO₂ for the UREAD model, while larger for the LMD model. Overall, however, ratios for a given model differ by less than 20%.

To see how well sensitivities of the different versions of the MIT model to the CO_2 doubling reflect their sensitivities to other forcings, five additional simulations with sensitivities given in the first row of **Table 9** have been carried out for each forcing. Table 9 shows ratios of the surface air temperature (SAT) changes in those simulations to the SAT change in the simulation with a standard sensitivity for each forcing.

Sensitivity to CO_2 forcing serves as a good measure for sensitivities to the 2%S0 and SRF forcings but noticeably overestimates sensitivities to forcings causing a decrease in surface temperature (-2%S0, 0.5xCO₂, ALB and STRAER; see Table 1). As such, the ratio of the SAT changes in the STRAER simulation with $S_{CO2} = 7.45$ K is about half as large as in the corresponding 2xCO₂ simulation. High sensitivities to changes in CO₂ concentration are primarily caused by large positive shortwave cloud feedback. A significant increase in sea ice

Table 8. Ratios of surface air temperature changes in the simulations
with UREAD and LMD GCMs to those in the simulations with ECHAM4.

Forcing	UREAD/ECH	LMD/ECH
CO ₂	0.47	1.38
S0	0.38	1.30
O ₃	0.41	1.62

S _{CO2}	0.48	1.39	4.50	5.62	7.45
2xCO2	0.22	0.63	2.06	2.58	3.42
SRF	0.22	0.61	1.93	2.60	3.29
2%S0	0.21	0.6	1.96	2.39	3.39
-2%S0	0.20	0.59	1.53	1.69	1.83
0.5xCO2	0.19	0.59	1.41	1.69	1.82
ALB	0.20	0.59	1.44	1.56	1.82
STRAER	0.16	0.51	1.41	1.52	1.65
10BC	0.19	0.61	1.81	2.15	2.40
LW_BC	0.22	0.61	1.80	2.13	2.47

Table 9. Ratios of SAT changes in the simulations with low and high sensitivities to those in the simulations with standard sensitivity.

and snow cover in the last four simulations decreases the effect of changes in clouds on shortwave radiation and therefore decreases the efficiency on an additional cloud feedback. As a result the range of sensitivities to such forcing is narrower than the range of sensitivities to changes in CO_2 concentration.

Since differences in sensitivities between different versions of the MIT climate model are entirely due to differences in cloud feedback, the MIT model will exaggerate difference in sensitivities to positive and negative forcing.¹ At the same time, differences in the strength of cloud feedbacks also account for large part of differences in climate sensitivities between different GCMs (Cess *et al.*, 1990; Colman, 2003), and the interaction between cloud and surface albedo (discussed above) might be relevant for other models.

As shown in Section 3, changes in the radiation fluxes associated with changes in different climate variables, and therefore strengths of different feedbacks in "BC-like" simulations, only partially relates to the surface warming and partially to the warming at the height of the "absorbing" layer. The component of feedbacks not related to surface warming are rather close in magnitude in the simulations with different S_{CO2} (**Table 10**) making the range of the model's sensitivity to "BC-like" forcings smaller than for CO₂ forcing. Model sensitivities calculated from Equation 1 using "corrected" forcing are again close to the sensitivities in corresponding simulations with CO₂ or direct surface forcings.

Changes in precipitation not related to the surface warming (see Section 3) also show very weak dependence on S_{CO2} , as a result the total changes in precipitation depend linearly on the increase in surface temperature (**Figure 8**).

Table 10. Ratios of "corrected" forcings in the "BC-like" simulations with low and high sensitivities to those in the simulations with standard sensitivity.

S _{CO2}	0.48	1.39	4.50	5.62	7.45
LWBC	1.01	0.98	0.89	0.82	0.75
LWCBL3	0.8	1	0.87	0.79	0.75
LWBCL6	0.77	0.77	0.92	0.89	0.79

¹ As a result the MIT model might underestimate impacts of decrease in solar constant or increase in stratospheric aerosol due to volcanic eruptions. It should be kept in mind that forcings used in the above described simulations (see Table 1) are much stronger than the observed ones. For the weaker forcings, this effect will much weaker.

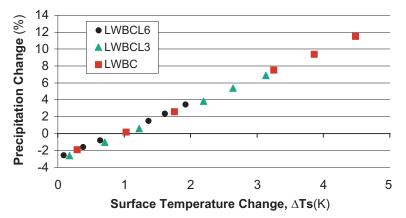


Figure 8. Changes in precipitation in "BC-like" simulations with different sensitivities.

6. CONCLUSIONS

Simulations with the MIT climate model show, similar to the findings of previous studies (*e.g.*, Hansen *et al.*, 1997; Cook and Highwood, 2004), a strong dependence of the model response on vertical structure of the imposed forcing. Heating in the lowest 1500 meters produces much stronger surface warming than an equivalent heating of the upper layers. Such dependency of surface warming on the altitude of heating is explained by differences in cloud and joint water vapor/lapse rate feedbacks. If, however, changes in radiation fluxes associated with the above surface warming are treated as a "semi-direct" forcing, then the total forcing provides a good measure for the increase in surface temperature.

Simulations with versions of the MIT model with different strengths of cloud feedback show that model sensitivity to the increase in CO_2 concentration reasonably well characterizes the model's sensitivity to other positive forcing with similar vertical structure. In the case of the forcings leading to surface cooling, an increase in the strength of cloud feedback is less efficient due to an increase in sea ice extent and snow cover, and associated with that, an increase in surface albedo. Since differences in cloud feedback are one of the main reasons for the differences in sensitivities between different GCMs, this implies that the range of the models' responses to such forcing as increase in stratospheric aerosol or decrease in solar constant might be narrower that the range of responses to CO_2 increase.

Sensitivity to changes in the CO_2 concentration is defined by the strength of climate feedbacks related to surface warming. A distinguishing feature of the simulations with "black-carbon-like" forcings is a presence of additional feedbacks related to the warming at the location of "absorbing" layer ("semi-direct" forcing). Therefore, sensitivities defined through doubled- CO_2 simulations may not provide good estimates for the sensitivities to forcing with different vertical structures. As such, the range of the MIT model responses to changes in black carbon concentration and "BC-like" forcings is also smaller than to changes in CO_2 . The latter is explained by "semi-direct" forcings having similar magnitude in the simulations with different strengths of cloud feedback. Large differences, however, occur for values of S_{CO2} outside of the range produced by existing GCMs.

Acknowledgment: I would like to thank Rob Colman for providing data on the feedbacks in different GCMs and Chris Forest for useful comments.

7. REFERENCES

- Cess RD, Potter GL, Blanchet P, Boer GJ, Del Genio AD, Deque M, Dymnikov V, Galin V, Gates WL, Ghan SJ, Kiehl JT, Lacis AA, Le Treut H, Li Z-X, Liang X-Z, McAvaney BJ, Meleshko VP, Mitchell JFB, Morcrette J-J, Randall DA, Rikus L, Roekner L, Royer JF, Schlese U, Sheinin DA, Slingo A, Sokolov AP, Taylor KE, Washington WM, Wetherald RT, Yagai I and Zhang M-H (1990) Intercomparison and interpretation of climate feedback processes in 19 atmospheric general circulation models. J. Geophysical Research 95: 16,601-16,615.
- Colman R (2003) A comparison of climate feedbacks in general circulation models. *Climate Dynamics* **20**: 865-873.
- Forest CE Stone P, Sokolov A, Allen M and Webster M (2002) Quantifying Uncertainties in Climate System Properties with the use of Recent Climate Observation. *Science*, **295**: 113-117.
- Forster P, Blackburn M, Glover R, Shine K (2000) An examination of climate sensitivity for idealized climate change experiments in an intermediate general circulation model. *Climate Dynamics* **16**: 833-849.
- Hansen J, Russel G, Rind D, Stone P, Lacis A, Lebedeff S, Ruedy R and Travis L (1983) Efficient three dimensional global models for climate studies: Models I and II. *Monthly Weather Review* 111: 609-662.
- Hansen J, G Hansen J, Lasic A, Rind D, Russel G, Stone P, Fung I, Ruedy R and Lerner J (1984) Climate sensitivity: analysis of feedback mechanisms, in: *Climate Processes and Climate Sensitivity*. AGU Geophysical Monograph Series 29, Hansen J and Takahashi T (eds.), pp. 130-163.
- Hansen J, Sato M, Ruedy R (1997) Radiative forcing and climate response. *J Geophysical Research* **102**: 6831-6864.
- Joshi M, Shine K, Ponater M, Stuber N, Sause R, Li L (2003) A comparison of climate response to different radiative forcings in three general circulation model: towards an improved metric of climate change. *Climate Dynamics* **20**: 846-854.
- Mikley LJ, Jacob DJ, Field BD, Rind D (2004) Climate response to the increase in tropospheric ozone since preindustrial times: A comparison between ozone and equivalent CO₂ forcings. *J Geophysical Research* **109**: D05106, doi:1029/2003JD003653.
- Meleshko VP, Kattsov VM, Sporyshev PV, Vavulin SV and Govorkova VA (1999) MGO climate model sensitivity to atmospheric CO₂ concentration change. *Contemporary Investigation at Main Geophysical Observatory*, Vol. 1, pp. 3-32. (In Russian).
- Ramaswamy V, Chen C-T (1997) Liner additivity of climate response for combined albedo and greenhouse perturbations. *Geophysical Research Letters* 24: 567-570.
- Senior CA, and Mitchell JFB (1993) Carbon Dioxide and Climate: The Impact of Cloud Parameterization, *J. of Climate*, **6**: 393-418.
- Schlesinger M, Mitchel J (1987) Climate model simulations of the equilibrium climatic response to increased carbon dioxide. *Review of Geophysics* **25**:760-798.
- Sokolov A, Stone P (1998) A flexible climate model for use in integrated assessments. *Climate Dynamics* **14**: 291-303.
- Sugi M, Yoshimura (2004) A mechanism of tropical precipitation changes due to CO₂ increase. *J. of Climate* **17**: 238-243.
- Washington WM and Meehl GA (1993) Greenhouse sensitivity experiments with penetrative cumulus convection and tropical cirrus albedo effects. *Climate Dynamics*, **8**: 211-223.
- Webster M, Forest C, Reilly J, Babiker M, Kicklighter D, Mayer M, Prinn R, Sarofim M, Sokolov A, Stone P, Wang C (2003) Uncertainty Analysis of Climate Change and Policy Response. *Climatic Change* 61: 295-320.
- Yao, M-S, and Del Genio AD (1999) Effects of cloud parameterization on the simulation of climate changes in the GISS GCM. *J. Climate* **12**: 761-779.

REPORT SERIES of the **MIT** Joint Program on the Science and Policy of Global Change

- 1. Uncertainty in Climate Change Policy Analysis Jacoby & Prinn December 1994
- 2. Description and Validation of the MIT Version of the GISS 2D Model Sokolov & Stone June 1995
- 3. Responses of Primary Production and Carbon Storage to Changes in Climate and Atmospheric CO₂ Concentration *Xiao et al.* Oct 1995
- 4. Application of the Probabilistic Collocation Method for an Uncertainty Analysis Webster et al. Jan. 1996
- 5. World Energy Consumption and CO₂ Emissions: 1950-2050 Schmalensee et al. April 1996
- 6. The MIT Emission Prediction and Policy Analysis (EPPA) Model Yang et al. May 1996
- 7. Integrated Global System Model for Climate Policy Analysis Prinn et al. June 1996 (superseded by No. 36)
- 8. Relative Roles of Changes in CO₂ and Climate to Equilibrium Responses of Net Primary Production and Carbon Storage *Xiao et al.* June 1996
- 9. CO₂ Emissions Limits: Economic Adjustments and the Distribution of Burdens Jacoby et al. July 1997
- 10. Modeling the Emissions of N₂O & CH₄ from the Terrestrial Biosphere to the Atmosphere Liu August 1996
- 11. Global Warming Projections: Sensitivity to Deep Ocean Mixing Sokolov & Stone September 1996
- **12**. Net Primary Production of Ecosystems in China and its Equilibrium Responses to Climate Changes *Xiao et al.* November 1996
- 13. Greenhouse Policy Architectures and Institutions Schmalensee November 1996
- 14. What Does Stabilizing Greenhouse Gas Concentrations Mean? Jacoby et al. November 1996
- 15. Economic Assessment of CO₂ Capture and Disposal Eckaus et al. December 1996
- 16. What Drives Deforestation in the Brazilian Amazon? Pfaff December 1996
- 17. A Flexible Climate Model For Use In Integrated Assessments Sokolov & Stone March 1997
- 18. Transient Climate Change and Potential Croplands of the World in the 21st Century Xiao et al. May 1997
- 19. Joint Implementation: Lessons from Title IV's Voluntary Compliance Programs Atkeson June 1997
- **20.** Parameterization of Urban Sub-grid Scale Processes in Global Atmospheric Chemistry Models *Calbo et al.* July 1997
- 21. Needed: A Realistic Strategy for Global Warming Jacoby, Prinn & Schmalensee August 1997
- 22. Same Science, Differing Policies; The Saga of Global Climate Change Skolnikoff August 1997
- 23. Uncertainty in the Oceanic Heat and Carbon Uptake & their Impact on Climate Projections Sokolov et al. September 1997
- 24. A Global Interactive Chemistry and Climate Model Wang, Prinn & Sokolov September 1997
- 25. Interactions Among Emissions, Atmospheric Chemistry and Climate Change Wang & Prinn Sept. 1997
- 26. Necessary Conditions for Stabilization Agreements Yang & Jacoby October 1997
- 27. Annex I Differentiation Proposals: Implications for Welfare, Equity and Policy Reiner & Jacoby Oct. 1997
- **28. Transient Climate Change and Net Ecosystem Production of the Terrestrial Biosphere** *Xiao et al.* November 1997
- 29. Analysis of CO₂ Emissions from Fossil Fuel in Korea: 1961–1994 Choi November 1997
- 30. Uncertainty in Future Carbon Emissions: A Preliminary Exploration Webster November 1997
- **31. Beyond Emissions Paths:** *Rethinking the Climate Impacts of Emissions Protocols Webster & Reiner* November 1997
- 32. Kyoto's Unfinished Business Jacoby, Prinn & Schmalensee June 1998
- 33. Economic Development and the Structure of the Demand for Commercial Energy Judson et al. April 1998
- 34. Combined Effects of Anthropogenic Emissions & Resultant Climatic Changes on Atmospheric OH Wang & Prinn April 1998
- 35. Impact of Emissions, Chemistry, and Climate on Atmospheric Carbon Monoxide Wang & Prinn April 1998
- **36. Integrated Global System Model for Climate Policy Assessment:** *Feedbacks and Sensitivity Studies Prinn et al.* June 1998
- 37. Quantifying the Uncertainty in Climate Predictions Webster & Sokolov July 1998
- 38. Sequential Climate Decisions Under Uncertainty: An Integrated Framework Valverde et al. Sept. 1998
- 39. Uncertainty in Atmospheric CO₂ (Ocean Carbon Cycle Model Analysis) Holian Oct. 1998 (superseded by No. 80)
- **40**. Analysis of Post-Kyoto CO₂ Emissions Trading Using Marginal Abatement Curves Ellerman & Decaux October 1998

REPORT SERIES of the **MIT** Joint Program on the Science and Policy of Global Change

- **41.** The Effects on Developing Countries of the Kyoto Protocol and CO₂ Emissions Trading Ellerman et al. November 1998
- 42. Obstacles to Global CO₂ Trading: A Familiar Problem Ellerman November 1998
- 43. The Uses and Misuses of Technology Development as a Component of Climate Policy Jacoby Nov. 1998
- 44. Primary Aluminum Production: Climate Policy, Emissions and Costs Harnisch et al. December 1998
- 45. Multi-Gas Assessment of the Kyoto Protocol Reilly et al. January 1999
- **46**. **From Science to Policy:** *The Science-Related Politics of Climate Change Policy in the U.S. Skolnikoff* January 1999
- **47**. **Constraining Uncertainties in Climate Models Using Climate Change Detection Techniques** *Forest et al.* April 1999
- 48. Adjusting to Policy Expectations in Climate Change Modeling Shackley et al. May 1999
- 49. Toward a Useful Architecture for Climate Change Negotiations Jacoby et al. May 1999
- 50. A Study of the Effects of Natural Fertility, Weather and Productive Inputs in Chinese Agriculture Eckaus & Tso July 1999
- 51. Japanese Nuclear Power and the Kyoto Agreement Babiker, Reilly & Ellerman August 1999
- 52. Interactive Chemistry and Climate Models in Global Change Studies Wang & Prinn September 1999
- 53. Developing Country Effects of Kyoto-Type Emissions Restrictions Babiker & Jacoby October 1999
- 54. Model Estimates of the Mass Balance of the Greenland and Antarctic Ice Sheets Bugnion October 1999
- 55. Changes in Sea-Level Associated with Modifications of Ice Sheets over 21st Century Bugnion Oct. 1999
- 56. The Kyoto Protocol and Developing Countries Babiker, Reilly & Jacoby October 1999
- 57. Can EPA Regulate Greenhouse Gases Before the Senate Ratifies the Kyoto Protocol? Bugnion & Reiner November 1999
- 58. Multiple Gas Control Under the Kyoto Agreement Reilly, Mayer & Harnisch March 2000
- 59. Supplementarity: An Invitation for Monopsony? Ellerman & Sue Wing April 2000
- 60. A Coupled Atmosphere-Ocean Model of Intermediate Complexity Kamenkovich et al. May 2000
- 61. Effects of Differentiating Climate Policy by Sector: A U.S. Example Babiker et al. May 2000
- 62. Constraining Climate Model Properties Using Optimal Fingerprint Detection Methods Forest et al. May 2000
- 63. Linking Local Air Pollution to Global Chemistry and Climate Mayer et al. June 2000
- 64. The Effects of Changing Consumption Patterns on the Costs of Emission Restrictions Lahiri et al. Aug. 2000
- 65. Rethinking the Kyoto Emissions Targets Babiker & Eckaus August 2000
- 66. Fair Trade and Harmonization of Climate Change Policies in Europe Viguier September 2000
- 67. The Curious Role of "Learning" in Climate Policy: Should We Wait for More Data? Webster October 2000
- 68. How to Think About Human Influence on Climate Forest, Stone & Jacoby October 2000
- 69. Tradable Permits for Greenhouse Gas Emissions: A primer with reference to Europe Ellerman Nov. 2000
- 70. Carbon Emissions and The Kyoto Commitment in the European Union Viguier et al. February 2001
- **71. The MIT Emissions Prediction and Policy Analysis Model:** *Revisions, Sensitivities and Results Babiker et al.* February 2001
- 72. Cap and Trade Policies in the Presence of Monopoly and Distortionary Taxation Fullerton & Metcalf March 2001
- 73. Uncertainty Analysis of Global Climate Change Projections Webster et al. March 2001 (superseded by No. 95)
- 74. The Welfare Costs of Hybrid Carbon Policies in the European Union Babiker et al. June 2001
- **75. Feedbacks Affecting the Response of the Thermohaline Circulation to Increasing CO**₂ *Kamenkovich et al.* July 2001
- **76.** CO₂ Abatement by Multi-fueled Electric Utilities: An Analysis Based on Japanese Data Ellerman & Tsukada July 2001
- 77. Comparing Greenhouse Gases Reilly, Babiker & Mayer July 2001
- **78.** Quantifying Uncertainties in Climate System Properties using Recent Climate Observations *Forest et al.* July 2001
- 79. Uncertainty in Emissions Projections for Climate Models Webster et al. August 2001

REPORT SERIES of the **MIT** Joint Program on the Science and Policy of Global Change

- **80.** Uncertainty in Atmospheric CO₂ Predictions from a Global Ocean Carbon Cycle Model *Holian et al.* September 2001
- 81. A Comparison of the Behavior of AO GCMs in Transient Climate Change Experiments Sokolov et al. Dec 2001
- 82. The Evolution of a Climate Regime: Kyoto to Marrakech Babiker, Jacoby & Reiner February 2002
- 83. The "Safety Valve" and Climate Policy Jacoby & Ellerman February 2002
- 84. A Modeling Study on the Climate Impacts of Black Carbon Aerosols Wang March 2002
- 85. Tax Distortions and Global Climate Policy Babiker, Metcalf & Reilly May 2002
- 86. Incentive-based Approaches for Mitigating GHG Emissions: Issues and Prospects for India Gupta June 2002
- 87. Deep-Ocean Heat Uptake in an Ocean GCM with Idealized Geometry Huang, Stone & Hill September 2002
- 88. The Deep-Ocean Heat Uptake in Transient Climate Change Huang et al. September 2002
- **89.** Representing Energy Technologies in Top-down Economic Models using Bottom-up Info *McFarland et al.* October 2002
- 90. Ozone Effects on Net Primary Production and Carbon Sequestration in the U.S. Using a Biogeochemistry Model Felzer et al. November 2002
- 91. Exclusionary Manipulation of Carbon Permit Markets: A Laboratory Test Carlén November 2002
- 92. An Issue of Permanence: Assessing the Effectiveness of Temporary Carbon Storage Herzog et al. Dec. 2002
- 93. Is International Emissions Trading Always Beneficial? Babiker et al. December 2002
- 94. Modeling Non-CO₂ Greenhouse Gas Abatement Hyman et al. December 2002
- 95. Uncertainty Analysis of Climate Change and Policy Response Webster et al. December 2002
- 96. Market Power in International Carbon Emissions Trading: A Laboratory Test Carlén January 2003
- **97. Emissions Trading to Reduce Greenhouse Gas Emissions in the U.S.:** *The McCain-Lieberman Proposal Paltsev et al.* June 2003
- 98. Russia's Role in the Kyoto Protocol Bernard et al. June 2003
- 99. Thermohaline Circulation Stability: A Box Model Study Lucarini & Stone June 2003
- 100. Absolute vs. Intensity-Based Emissions Caps Ellerman & Sue Wing July 2003
- 101. Technology Detail in a Multi-Sector CGE Model: Transport Under Climate Policy Schafer & Jacoby July 2003
- 102. Induced Technical Change and the Cost of Climate Policy Sue Wing September 2003
- 103. Past and Future Effects of Ozone on Net Primary Production and Carbon Sequestration Using a Global Biogeochemical Model Felzer et al. (revised) January 2004
- **104.** A Modeling Analysis of Methane Exchanges Between Alaskan Ecosystems and the Atmosphere *Zhuang et al.* November 2003
- 105. Analysis of Strategies of Companies under Carbon Constraint Hashimoto January 2004
- 106. Climate Prediction: The Limits of Ocean Models Stone February 2004
- **107.** Informing Climate Policy Given Incommensurable Benefits Estimates Jacoby February 2004
- **108. Methane Fluxes Between Ecosystems & Atmosphere at High Latitudes During the Past Century** *Zhuang et al.* March 2004
- **109**. Sensitivity of Climate to Diapycnal Diffusivity in the Ocean Dalan et al. May 2004
- **110**. Stabilization and Global Climate Policy Sarofim et al. July 2004
- 111. Technology and Technical Change in the MIT EPPA Model Jacoby et al. July 2004
- **112**. The Cost of Kyoto Protocol Targets: The Case of Japan Paltsev et al. July 2004
- **113.** Economic Benefits of Air Pollution Regulation in the USA: An Integrated Approach Yang et al. (revised) January 2005
- 114. The Role of Non-CO₂ Greenhouse Gases in Climate Policy: Analysis Using the MIT IGSM Reilly et al. Aug 2004
- 115. Future United States Energy Security Concerns Deutch September 2004
- **116**. Explaining Long-Run Changes in the Energy Intensity of the U.S. Economy *Sue Wing* September 2004
- **117**. Modeling the Transport Sector: The Role of Existing Fuel Taxes in Climate Policy Paltsev et al. Nov. 2004
- 118. Effects of Air Pollution Control on Climate Prinn et al. January 2005
- **119.** Does Model Sensitivity to Changes in CO₂ Provide a Measure of Sensitivity to the Forcing of Different Nature? *Sokolov* March 2005