

## Plasma long-chain omega-3 fatty acid status and risk of recurrent early spontaneous preterm birth: a prospective observational study

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Complete List of Authors:	<p>Goodfellow, Laura; University of Liverpool, Harris-Wellbeing Research Centre; Liverpool Women's Hospital NHS Foundation Trust, Care, Angharad; University of Liverpool, Harris-Wellbeing Research Centre</p> <p>Harrold, Jane; University of Liverpool, Harris-Wellbeing Research Centre</p> <p>Sharp, Andrew; University of Liverpool, Women's and Children's Health</p> <p>Ivandic, Jelena; Liverpool Women's Hospital NHS Foundation Trust,</p> <p>Poljak, Borna; Liverpool Women's Hospital NHS Foundation Trust,</p> <p>Roberts, Devender; Liverpool Women's Hospital NHS Foundation Trust,</p> <p>Alfirevic, Ana; University of Liverpool, Harris-Wellbeing Research Centre; University of Liverpool, Department of Pharmacology and Therapeutics</p> <p>Muller-Myhsok, Bertram; University of Liverpool, Harris-Wellbeing Research Centre; Max-Planck-Gesellschaft, Department of Translational Research in Psychiatry</p> <p>Gibson, Robert; The University of Adelaide, School of Agriculture, Food and Wine</p> <p>Makrides, Maria; South Australian Health and Medical Research Institute</p> <p>Alfirevic, Zarko; University of Liverpool, Harris-Wellbeing Research Centre; Liverpool Women's Hospital NHS Foundation Trust,</p>
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15 4 Authors

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17 5 Dr Laura Goodfellow\* MD <sup>1,2</sup>

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19  
20 6 Dr Angharad Care MD PhD <sup>1,2</sup>

21  
22  
23 7 Dr Jane Harrold PhD <sup>1</sup>

24  
25  
26 8 Dr Andrew Sharp MD PhD <sup>1,2</sup>

27  
28 9 Dr Jelena Ivandic MD <sup>2</sup>

29  
30  
31 10 Dr Borna Poljak MD <sup>2</sup>

32  
33  
34 11 Dr Devender Roberts MD <sup>2</sup>

35  
36  
37 12 Professor Ana Alfirevic MD PhD <sup>1,3</sup>

38  
39  
40 13 Professor Bertram Müller-Myhsok MD PhD <sup>1,4</sup>

41  
42  
43 14 Professor Robert Gibson PhD <sup>5</sup>

44  
45  
46 15 Professor Maria Makrides PhD <sup>6</sup>

47  
48  
49 16 Professor Zarko Alfirevic MD PhD <sup>1,2</sup>

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52 17

53 18 \*Corresponding author

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55  
56 19 Dr Laura Goodfellow

57  
58 20 Address: University Department, Liverpool Women's Hospital, Crown Street, Liverpool, L8  
59  
60 21 7SS.

1  
2  
3 1 Tel: (+44) 151 7959557  
4

5  
6 2 email: [l.goodfellow@liverpool.ac.uk](mailto:l.goodfellow@liverpool.ac.uk)  
7

### 8 9 3 Affiliations 10

11  
12 4 <sup>1</sup> Harris-Wellbeing Research Centre, University of Liverpool, Liverpool, UK, member of  
13  
14 5 Liverpool Health Partners.  
15

16 6 <sup>2</sup> Liverpool Women's Hospital, Liverpool, UK, member of Liverpool Health Partners  
17

18  
19 7 <sup>3</sup> Department of Pharmacology and Therapeutics, University of Liverpool, Liverpool, UK,  
20  
21 8 member of Liverpool Health Partners.  
22

23  
24 9 <sup>4</sup> Department of Translational Research in Psychiatry, Max Planck Institute of Psychiatry,  
25  
26 10 Munich, Germany  
27

28 11 <sup>5</sup> School of Agriculture, Food and Wine, University of Adelaide, Australia  
29

30 12 <sup>6</sup> South Australian Health and Medical Research Institute, Adelaide, Australia  
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## 1 Conflict of Interest statement

2 All authors have completed the Unified Competing Interest form and declare: LG has  
3 received study support grants from Wellbeing of Women charity for this research; AC has  
4 received salary and study support grants from Wellbeing of Women charity for this research;  
5 JH, AS, JI, AA, BM-M and ZA were also members of the University of Liverpool during the  
6 grant from Wellbeing of Women; MM and RG received a Centre of Research Excellence  
7 grant from the Australian National Health and Medical Research Council for this work; MM  
8 and RG have served on the board of Trajan Nutrition within the past three years; RG holds a  
9 patent on stabilizing and analysing fatty acids; no other financial relationships with any  
10 organisations that might have an interest in the submitted work in the previous three years; no  
11 other relationships or activities that could appear to have influenced the submitted work.

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10 of results, or the writing of this manuscript.

For Peer Review

## 1 Structured abstract

### 2 Introduction

3 A 2018 Cochrane review found that omega-3 supplementation in pregnancy was associated  
4 with a risk reduction of early preterm birth of 0.58; prompting calls for universal  
5 supplementation. Recent analysis suggests the benefit may be confined to women with a low  
6 baseline omega-3 fatty acid status, however the contemporary UK pregnant omega-3 fatty  
7 acid status is largely unknown. This is particularly pertinent for women with a previous  
8 preterm birth, in whom a small relative risk reduction would have a larger reduction of  
9 absolute risk.

10 This study aimed to assess the omega-3 fatty acid status of a UK pregnant population and  
11 determine the association between the long-chain omega-3 fatty acids and recurrent  
12 spontaneous early preterm birth.

### 13 Materials and Methods

14 283 high-risk women with previous early preterm birth were recruited to the prospective  
15 observational study in Liverpool, UK. Additionally, 96 pregnant women with previous term  
16 births and birth  $\geq 39^{+0}$  weeks in the index pregnancy provided a low-risk population sample.

17 Within the high-risk group we assessed the odds ratio of recurrent early preterm birth  
18 compared to birth at  $\geq 37^{+0}$  weeks gestation according to plasma eicosapentaenoic acid plus  
19 docosahexaenoic acid (EPA+DHA) at 15-22 weeks gestation.

### 20 Results

21 Our participants had low EPA+DHA; 62% (143/229) of women with previous PTB and 69%  
22 (68/96) of the population sample had levels within the lowest two quintiles of a previously  
23 published pregnancy cohort.

24 We found no association between long-chain omega-3 status and recurrent early preterm  
25 birth (n=51). The crude odds ratio of a recurrent event was 0.91 (95% CI 0.38 to 2.15,  
26 p=0.83) for women in the lowest, compared to the highest three quintiles of EPA+DHA.

## 1 Conclusions

2 In the majority of our participants levels of long-chain omega-3 were low; within the range  
3 that may benefit from supplementation. However, levels showed no association with risk of  
4 recurrent early sPTB. This could be because our population levels were too low to show  
5 benefit in being omega-3 'replete'; or else omega-3 levels may be of lesser importance in  
6 recurrent early preterm birth.

## 8 Keywords

9 Preterm birth, omega-3, long-chain polyunsaturated fatty acids

## 11 Abbreviations

12 BMI: Body mass index

13 DHA: Docosahexaenoic acid

14 EPA: Eicosapentaenoic acid

15 IMD: Index of multiple deprivation

16 LLETZ: Large Loop Excision of Transformation Zone of cervix

17 PPRM: Preterm Prelabour Rupture of Membranes

18 sPTB: Spontaneous preterm birth

## 20 Key message

21 UK pregnant women have low omega-3, whether they have had a previous preterm birth, or  
22 not. Surprisingly levels don't relate to recurrent early preterm birth risk. Should we  
23 supplement?

## 1 MAIN TEXT

### 2 INTRODUCTION

3 Globally preterm birth is the leading cause of death in children under 5 years old.<sup>1</sup> Previous  
4 preterm birth is the strongest risk factor for subsequent preterm delivery.<sup>2</sup> A 2018 Cochrane  
5 review concluded that omega-3 supplementation was an effective strategy to prevent preterm  
6 birth, with a 42% risk reduction (from 46 to 27 per 1000 births; 95% CI, 23-56) for preterm  
7 birth less than 34 weeks.<sup>3</sup> A subsequent randomised controlled trial<sup>4</sup> with secondary analysis<sup>5</sup>  
8 suggested the benefit may be confined to women with a low baseline total long chain omega-  
9 3 fatty acid level. Worryingly, within the secondary analysis<sup>5</sup> supplementing women with  
10 higher total long chain omega-3 fatty acid status was associated with increased rates of early  
11 preterm birth.

12 The fatty acid components with the strongest evidence of preterm birth prevention are  
13 eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA),<sup>3</sup> which are collectively  
14 referred to as long-chain omega-3 fatty acids. These nutrients are predominantly obtained  
15 from oily fish and seafood and associated with a more affluent diet. The long-chain omega-3  
16 intake in pregnancy in the UK has been estimated from food frequency questionnaires as  
17 low,<sup>6,7</sup> or adequate<sup>8</sup> in three studies between 1991 and 2007.

18 Liverpool Women's Hospital has a tertiary referral preterm birth prevention clinic that serves  
19 the 4<sup>th</sup> most deprived local authority area in England (out of 343).<sup>9</sup> Based on the Cochrane  
20 review<sup>3</sup> findings we offered omega-3 supplementation to these high risk women from  
21 February 2019.<sup>10</sup> However, we were unsure whether this would offer benefit because of the  
22 unknown baseline long-chain omega-3 status in our population. Plasma levels of omega-3 in  
23 the UK pregnant population have not been assessed to our knowledge. The Danish National  
24 Birth Cohort<sup>11,12</sup> showed that women in the lowest quintile of plasma EPA+DHA (<1.42% of  
25 total fatty acids), in the second trimester, had a 2.13 times increased risk (95% CI 1.18-3.79)  
26 of sPTB under 34 weeks compared to women in quintiles 3-5. The association between  
27 omega-3 and preterm birth was not present with levels in the third quintile and above. This is  
28 consistent with Simmonds *et al*<sup>5</sup> and suggests that the main benefit of supplementation is in  
29 pregnancies with a lower baseline long-chain omega-3 status.



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3 1 Importantly there has been a recent corrigendum<sup>12</sup> to the original research within the Danish  
4 National Birth cohort<sup>11</sup> based on the effect of thawing of stored samples prior to analysis of  
5 2 long-chain omega-3 fatty acids; this was therefore addressed within our analysis too.  
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9 4 We had two objectives. Firstly, to determine the expected distribution of long-chain omega-3  
10 5 fatty acids within ‘healthy pregnancies’ in our locality; low-risk pregnant women who  
11 6 delivered at  $\geq 39$  weeks without preterm prelabour rupture of membranes (PPROM).  
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13 7 Secondly, to assess the relationship between long-chain omega-3 status and recurrent early  
14 8 (under 34<sup>+0</sup> weeks) spontaneous preterm birth (sPTB) and PPRM in our region.  
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## 19 9 **MATERIALS AND METHODS**

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22 10 Women with singleton pregnancies were enrolled at Liverpool Women’s Hospital from 1<sup>st</sup>  
23 11 April 2012 until 31<sup>st</sup> December 2017 as part of “The development of novel biomarkers for  
24 12 prediction of preterm labour in a high-risk population study”. Participants were invited to two  
25 13 visits at approximately 16 (15<sup>+1</sup>-18<sup>+6</sup> weeks) and 20 weeks gestation (19<sup>+0</sup>-23<sup>+0</sup>). For the  
26 14 purposes of this analysis the first sample available was used (single sample per participant).  
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31 15 A flowchart of selection entry from two different obstetric populations is shown in Figure 1.  
32 16 A ‘high-risk’ population consisted of women with a history of sPTB or PPRM at 16<sup>+0</sup>-33<sup>+6</sup>  
33 17 weeks gestation. Low-risk women were parous women with all previous births  $\geq 37^{+0}$  weeks  
34 18 gestation. Full details of the recruitment process, inclusion criteria and careful pregnancy  
35 19 outcome classification criteria are given in Appendix A. Participants were excluded from the  
36 20 statistical analysis if omega-3 supplements had been used in pregnancy.  
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43 21 To describe the expected distribution of omega-3 fatty acids in our population low-risk  
44 22 women that delivered  $\geq 39^{+0}$  weeks were selected (low-risk population sample).  
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47 23 Recurrent early sPTB/PPROM was defined as high-risk participants who had a late  
48 24 miscarriage, PPRM or sPTB at 16<sup>+0</sup>-33<sup>+6</sup> weeks gestation. High-risk women who gave birth  
49 25  $\geq 37^{+0}$  weeks gestation without PPRM were allocated to the high-risk term birth group.  
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## 1 Omega-3 fatty acid analysis

2 Maternal blood samples were taken in 10ml BD vacutainer® tubes containing K2EDTA  
3 (dipotassium ethylenediaminetetraacetic acid), placed on ice immediately and processed  
4 within 1 hour of sampling. Tubes were centrifuged at 3000rpm for 10mins at 4°C. Plasma  
5 was aspirated and stored in cryovials at -80°C. A total of 30uL was transferred to blood spot  
6 cards that were coated in antioxidants and chelating agents so as to minimise oxidation of  
7 polyunsaturated fatty acids.<sup>13</sup> The dried blood spot cards transported by post to SAHMRI  
8 (South Australian Health and Medical Research Institute) where the plasma spots were  
9 transesterified and distributions of fatty acids were determined by capillary gas  
10 chromatography.<sup>13</sup> The laboratory team were blinded to the pregnancy status of the samples.

## 11 Statistical analysis

12 Statistical analysis was performed in Stata version 15.1. The distribution of long-chain  
13 omega-3 fatty acids within the low-risk population sample were calculated and used to define  
14 quintiles of total omega-3, DHA and EPA for our population.

15 Histograms were used to show the distribution of long-chain omega-3 fatty acids within the  
16 high-risk group according to whether the participant did, or didn't, have recurrent early  
17 sPTB/PPROM. Two-term fractional polynomials were then used to visualise the expected  
18 non-linear association between long-chain omega-3 fatty acids levels and risk of recurrent  
19 early sPTB/PPROM within the high-risk group.

20 High-risk participants in the early sPTB/PPROM and high-risk term birth groups were  
21 assigned to the quintiles based on the distribution of total omega-3, DHA and EPA within the  
22 low-risk population sample and to the quintiles described by Olsen *et al.*<sup>11,12</sup> Binomial  
23 logistic regression was used to calculate the odds ratios of early sPTB/PPROM compared to  
24 term birth per quintile. Quintiles 3-5 were combined and used as the reference group based  
25 on previous work.<sup>11,12</sup> Analysis was performed unadjusted and adjusted for covariates that  
26 were selected based on biological plausibility. The chosen covariates were: maternal age at  
27 study participation; maternal body mass index (BMI); maternal smoking at time of study visit  
28 (binary outcome of yes/no); and index of multiple deprivation (IMD). Age and BMI were  
29 converted to quadratic terms because of the bimodal relationships between these variables  
30 and risk of preterm birth. IMD was obtained using the woman's home postcode on the UK  
31 government website.<sup>14</sup> The IMD ranks every neighbourhood in England from 1 (most

1 deprived) to 32844 (least deprived).<sup>9</sup> The IMD is a collective score summarising income  
2 deprivation, employment deprivation, health deprivation and disability, education skills and  
3 training deprivation, barriers to housing and services, living environment deprivation, and  
4 crime. IMD scores were used as continuous variables within the logistic regression.

5 Adjusted odds ratios for early sPTB/PPROM are presented both for the participants with all  
6 co-variables available, and for all participants using imputation for missing co-variables.  
7 Multiple imputation using chain equations was used to account for missing data as this allows  
8 for binary covariates (such as smoking). The proportion of total sampling variance due to  
9 missing data for IMD was 48%, therefore as recommended 50 imputations were performed.<sup>15</sup>  
10 The variables used in the imputation model were all of the covariates described above as well  
11 as: pregnancy outcome (birth at term or early sPTB/PPROM); quintile of total omega-3,  
12 EPA, DHA and DHA plus EPA; and quintile according to Olsen *et al.*<sup>11,12</sup> No auxiliary  
13 variables were identified.

14 During the course of this work concern was raised that prior thawing may alter plasma long-  
15 chain omega-3 fatty acid analysis.<sup>12</sup> We therefore undertook three further pieces of statistical  
16 analysis. Firstly, assessment was performed of the long-chain omega-3 fatty acid status by  
17 number of prior freeze-thaw cycles of the sample. Secondly the number of freeze thaw cycles  
18 was included as a covariate in the logistic regression described above. Finally the binomial  
19 logistic regression was repeated using only samples that had undergone prior freeze-thaw  
20 cycles, and those without.

## 21 Ethical approval

22 The study was approved by North West Research Ethics Committee- Liverpool Central,  
23 reference 11/NW/0720 on 4th November 2011.

## 25 RESULTS

26 We recruited 296 high-risk participants and 271 low-risk participants. Of 283 high-risk  
27 women with data suitable for analysis, 51 (18%) had a recurrent early sPTB or PPROM and  
28 178 (63%) had term births ( $\geq 37$  weeks) without PPROM (Figure 1). Of 271 low-risk  
29 participants, 188 gave birth at  $\geq 39^{+0}$  weeks without PPROM, and had samples suitable for  
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1 analysis. We selected the first 100 of these participants to send samples for laboratory  
2 analysis. Four of these participants were subsequently noted to have used omega-3  
3 supplementation, and so the remaining 96 participants formed the low-risk population  
4 sample.

5 The baseline characteristics and pregnancy outcomes are broadly similar across the  
6 pregnancy groups (Table 1), except for known risk factors for sPTB/PPROM. Compared to  
7 the low-risk population sample, more of the high-risk participants smoked (9.7% of low-risk  
8 vs 24.0% of high-risk group), and the high-risk participants had slightly lower IMD scores  
9 (more social deprivation). Preterm birth prevention treatment was offered in accordance with  
10 UK national guidelines.<sup>16</sup> None of the low-risk women required an intervention but 32.8%  
11 (93/283) of the high-risk women did.

12 The low-risk population sample were used to define the expected distribution of omega-3  
13 fatty acid levels in our population (Table 2).

14 Levels of total omega-3, DHA and EPA within the high-risk group show similar distributions  
15 in women who have an early sPTB/PPROM, and those who do not (Figure 2 A-D). The risk  
16 of recurrent early sPTB by total omega-3, DHA and EPA levels are visualised in Figure 2 E-  
17 H. Visually, it appears there could be a weak relationship between higher levels of EPA,  
18 DHA and total omega-3 and preterm birth, but the wide confidence intervals are also  
19 consistent with no correlation.

20 The high-risk group was then split according to total omega-3, DHA and EPA quintiles  
21 obtained from low-risk population sample into three groups: quintile 1, 2 and 3-5 (reference  
22 group) (Table 3). When pregnancy outcomes were compared between quintile groups, the  
23 early sPTB/PPROM rate was lower in quintiles 1 and 2 for total omega-3 (crude OR 0.65,  
24 95% CI 0.23-1.84 and 0.52, 95% CI 0.23-1.15, respectively), EPA plus DHA, DHA and  
25 EPA, although none of these differences reached conventional statistical significance  
26 ( $p < 0.05$ ) (Table 3).

27 We performed the same analysis adjusting for covariates of smoking, maternal age, BMI, and  
28 IMD, both restricting the analysis to participants with all variables available and using  
29 multiple imputation to account for missing variables (Table 3). These results also showed no  
30 association between long-chain omega-3 fatty acids and early sPTB/PPROM, and the non-  
31 significant trend towards higher risk of preterm birth with higher levels.

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3 1 Omega-3 fatty acid levels were universally lower in our population than the Danish National  
4 Birth Cohort<sup>11,12</sup> (Table 4). 66% (63/96) of our low-risk population sample had plasma  
5 2 DHA+EPA levels within the lowest two quintiles of the Danish cohort (compared to the  
6 3 expected 40%). Levels within the lowest two quintiles of the Danish cohort were also found  
7 4 in 51% (26/51) of high-risk women who had recurrent early sPTB/PPROM and 56%  
8 5 (100/178) of high-risk women who had term births. Unadjusted and adjusted analyses also  
9 6 showed no association between EPA plus DHA levels and early sPTB/PPROM using the  
10 7 Olsen *et al.*<sup>11,12</sup> quintiles (Table 4).  
11 8

12 9 Prior to our analysis samples from 17% (16/96) of our low-risk population sample, 9.0%  
13 10 (16/178) of our high-risk term birth group and 57% (29/51) of our high-risk early  
14 11 sPTB/PPROM groups had undergone three freeze thaw cycles (Table S1). The remainder of  
15 12 samples had undergone no prior freeze:thaw cycles. We found no statistically significant  
16 13 difference in DHA, EPA or DHA+EPA levels when comparing samples with and without  
17 14 prior freeze thaw cycles, but within the high-risk reference group there was a trend for  
18 15 slightly lower omega-3 fatty-acid levels in samples that had undergone prior freeze-thaw  
19 16 cycles. When the logistic regression described in Table 3 was repeated in samples both  
20 17 without prior freeze:thaw cycles (Table S2) and with prior freeze: thaw cycles (Table S3)  
21 18 there remained a non-significant trend towards a reduced chance of sPTB/PPROM with  
22 19 lower omega-3 fatty-acid levels in both analyses.  
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## 41 **DISCUSSION**

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43 22 Contrary to the previous findings, we did not demonstrate a relationship between long-chain  
44 23 omega-3 levels and spontaneous preterm birth. This was despite comparing plasma total  
45 24 omega-3, DHA and EPA levels to both 'healthy' pregnancies in our population, and to levels  
46 25 in Danish pregnant women that have previously been associated with preterm birth.<sup>11,12</sup> In  
47 26 our population, both women at high and low risk of preterm birth had lower levels of plasma  
48 27 DHA plus EPA than those described in the Danish population.<sup>11,12</sup>  
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55 28 The plasma long-chain omega-3 levels within our population could have been so low that we  
56 29 did not have enough 'replete' participants to show the benefit in preterm birth reduction with  
57 30 adequate levels. However, our results show a non-significant trend in the opposite direction  
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3 1 to previous literature (i.e. a higher risk of preterm birth with a higher level of omega-3, DHA  
4 and EPA), and no biological gradient.

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7 3 Women with a previous preterm birth are often highly motivated to avoid recurrence, and  
8 could have become aware of evidence to support increased omega-3 intake<sup>17,18</sup> during their  
9 pregnancy. Omega-3 fatty acids may have a rapid effect on risk of preterm birth.<sup>19,20</sup> If a  
10 substantial number of the women in our study actually did increase their omega-3 fatty acid  
11 intake during pregnancy, this may have confused the relationship between omega-3 measured  
12 in early 2nd trimester and subsequent risk of early preterm birth.

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14  
15 9 To our knowledge this is the fourth analysis relating blood DHA and EPA levels in the  
16 second trimester to preterm birth risk. Previous studies include the analysis by Olsen *et al.*,<sup>11</sup>  
17 and the secondary analysis of the ORIP trial,<sup>5</sup> both demonstrating lower long-chain omega-3  
18 levels in association with preterm birth under 34 weeks, in Danish and Australian populations  
19 respectively. The third study is a secondary analysis of a trial of omega-3 supplements to  
20 prevent recurrent preterm birth under 37 weeks in the US.<sup>21</sup> Klebanoff *et al.* did find that  
21 participants in the lowest quartile of DHA+EPA had a higher rate of preterm birth (83/176,  
22 47.2%) compared to the highest quartile (63/175, 36%), however their results also did not  
23 reach statistical significance.<sup>21</sup>

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25  
26 18 A strength of this study is that our preterm group included only recurrent sPTB, or PPROM,  
27 before 34<sup>+0</sup> weeks gestation. We aimed to achieve as pure ‘phenotype’ of spontaneous  
28 preterm birth as possible. Previous studies into the association between omega-3 levels and  
29 preterm birth have included all births under 34 weeks,<sup>5</sup> or 37 weeks,<sup>21</sup> or only excluded cases  
30 of preeclampsia prior to 34 weeks.<sup>11</sup> It is possible that the benefit of omega-3 to prevent  
31 preterm birth is confined to medically indicated preterm birth from conditions such as  
32 preeclampsia and growth restriction.<sup>22</sup> However, the most recent Cochrane review shows no  
33 impact of omega-3 supplementation upon these conditions.<sup>3</sup> In keeping with this our initial  
34 visualisation of the relationship between long-chain omega-3 status and early preterm birth in  
35 the whole high-risk group, including those with late medically indicated preterm births  
36 (Figure 2), did not show an association between long-chain omega-3 levels and all preterm  
37 births. Alternatively the impact of omega-3 upon preterm birth prevention may be within the  
38 low-risk population, that was not assessed for preterm birth risk in this study.

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3 1 A limitation of this study is that 56% of sPTB/PPROM samples had undergone prior  
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5 2 freeze:thaw cycles, in comparison to only 9% of the high-risk reference group. However, we  
6  
7 3 found higher than expected levels of omega-3 fatty-acids in the sPTB/PPROM group, and  
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9 4 freeze:thaw cycles might be expected to lower the expected levels of omega-3 fatty-acids.<sup>12</sup>  
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11 5 We therefore do not feel that this has materially impacted upon our findings.

12  
13 6 We acknowledge that plasma levels of omega-3, DHA and EPA were measured on samples  
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15 7 from participants that were not fasted, however, the previous study finding an association  
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17 8 between plasma levels of DHA and EPA and preterm birth used samples taken by GPs at  
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19 9 routine visits and no mention is made of fasting in the description.<sup>11,23</sup>

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21 10 This was a pragmatic study based on a biomarker study that had finished recruiting at the  
22  
23 11 time of study inception. As such no formal power calculation has been performed, and we did  
24  
25 12 not have a pre-defined *a priori* level at which we are able to accept/reject our null hypothesis  
26  
27 13 of no association between long-chain omega-3 levels and recurrent spontaneous early preterm  
28  
29 14 birth. Nevertheless, we feel that knowledge of the low baseline levels of long-chain omega-3  
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31 15 fatty acids within pregnant women in the UK, and also no indication of an association  
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33 16 between long-chain omega-3 fatty acids and recurrent preterm birth in our high-risk group is  
34  
35 17 important to inform the discussion about omega-3 supplementation for preterm birth  
36  
37 18 prevention.

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39 19 It is possible that preterm birth prevention therapy averted preterm birth in some high-risk  
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41 20 participants, attenuating an association between omega-3 and early sPTB/PPROM. Analysis  
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43 21 limited to participants without preterm birth prevention treatment showed similar findings  
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45 22 (data not shown). Any intervention involving omega-3 is likely to be applied in combination  
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47 23 with current treatments, and so we felt it was optimal to assess the situation within current  
48  
49 24 clinical practice.

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51 25 Preterm birth is a multifactorial disease and the contribution of a single factor (such as  
52  
53 26 omega-3 levels) is likely to only be modest. It is possible that other factors, genetic or  
54  
55 27 environmental, leading to recurrent preterm births are able to ‘overpower’ any contribution of  
56  
57 28 long-chain omega-3 status. We suggest that future research should include baseline long-  
58  
59 29 chain omega-3 fatty acids testing on a large scale, and evaluate the influence of these levels  
60  
30 on other risk factors of preterm birth. This would be relevant to both women with, and

1 without, identifiable risk factors for preterm birth, and may be achieved by an individual  
2 patient data meta-analysis of already conducted work.

### 3 **CONCLUSION**

4 We found low plasma omega-3, DHA and EPA levels in the second trimester in women at  
5 high and low-risk of preterm birth. The previously described association between low DHA  
6 and EPA and preterm birth was not replicated. We suggest that either plasma long-chain  
7 omega-3 fatty acids were so low in this population we didn't have enough 'replete'  
8 participants to show a benefit, or there are alternative mechanisms for recurrent early preterm  
9 birth in this setting.

### 10 *Acknowledgements*

11 We would like to thank all participants for their enthusiastic involvement in the study, in  
12 particular members of the Harris-Wellbeing Patient and Public Engagement group. We would  
13 also like to thank Mrs Tracy Ricketts for administrative support with the study, and the  
14 Liverpool Women's Hospital for hosting the research.

### 15 *Tweetable Abstract*

16 UK pregnant women have low omega3. Surprisingly levels don't relate to recurrent early  
17 preterm birth risk. Should we supplement? @DrLGoodfellow @Angharad84  
18 @asharpliverpool @WellbeingHarris

### 19 **Contributors**

20 AC, AS, DR, BM-M, AA and ZA conceived the study, wrote the protocol and obtained  
21 funding. AC, JI, BP and LG contributed to the protocol, recruited participants and performed  
22 the initial laboratory analysis. JH managed the samples and oversaw the Liverpool  
23 component of the laboratory analysis. RG oversaw the SAHMRI component of the laboratory  
24 analysis. LG, AC and AS extracted the clinical data. LG performed the data analysis, and ZA,  
25 BM-M, RG and MM contributed to data interpretation. LG wrote the initial draft and ZA  
26 revised the paper. All authors reviewed the manuscript.



## 1 Sponsors

2 Liverpool Women's Hospital was the study sponsor for this research.

## 3 Competing interests:

4 All authors have completed the Unified Competing Interest form and declare: AC has  
5 received salary and study support grants from Wellbeing of Women charity for this research;  
6 LG has received study support grants from Wellbeing of Women charity for this research;  
7 JH, AS, JI, AA, BM-M and ZA were also members of the University of Liverpool during the  
8 grant from Wellbeing of Women; MM and RG received a Centre of Research Excellence  
9 grant from the Australian National Health and Medical Research Council for this work; MM  
10 and RG served on the board of Trajan Nutrition; RG holds a patent on stabilizing and  
11 analysing fatty acids; no other financial relationships with any organisations that might have  
12 an interest in the submitted work in the previous three years; no other relationships or  
13 activities that could appear to have influenced the submitted work.

## 14 Consent

15 All participants provided written informed consent

## 16 Table/Figure List

### 17 Figure 1

18 Participant selection.

### 19 Figure 2

20 Visualisation of the relationship between long-chain omega-3 fatty acid levels and pregnancy  
21 outcome in women with a previous sPTB/PPROM <34<sup>+0</sup> weeks.

### 22 Table 1

23 Demographic details of the study population.

### 24 Table 2

25 Normal distribution of plasma long-chain omega-3 fatty acids in the low-risk population  
26 sample.

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3 1 Table 3  
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5 2 Relationship between quintile of long-chain omega-3 (as defined by the low-risk population  
6 3 group) and pregnancy outcome in the high-risk group.  
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12 5 Relationship between quintile of fatty acids (as defined by Olsen et al<sup>11</sup>) and pregnancy  
13 6 outcome.  
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17 7 Supplementary Table 1  
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19 8 Plasma long-chain Omega-3 fatty acid levels compared by pregnancy group and number of  
20 9 freeze:thaw cycles prior to sample analysis.  
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26 11 Relationship between quintile of long-chain omega-3 (as defined by the low-risk population  
27 12 sample) and pregnancy outcome in the high-risk group using only samples without prior  
28 13 freeze:thaw cycles.  
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34 15 Relationship between quintile of long-chain omega-3 (as defined by the low-risk population  
35 16 sample) and pregnancy outcome in the high-risk group using only samples with prior  
36 17 freeze:thaw cycles.  
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## 1 Figure and Table legends

### 2 Figure 1

3 Participant selection. Two obstetric populations were used to recruit women. The first was  
4 women at high-risk of sPTB based on their history of previous sPTB. The second population  
5 was used to represent “normality” and consisted of women with a history of term birth only.  
6 Two stages of analysis were performed. The first was to visualise the relationship between  
7 long-chain omega-3 status and the occurrence of sPTB or PPRM under 34 weeks in the  
8 high-risk cohort. The second analysis used aetiological modelling to assess the contribution  
9 of long-chain omega-3 to recurrent early preterm birth, for this analysis a clear ‘split’ in the  
10 preterm and term cases was desired, and so births 34<sup>+0</sup>-36<sup>+6</sup> weeks were excluded from this  
11 analysis. ‘Cases’ consisted of women with recurrent sPTB or PPRM <34<sup>+0</sup> weeks. sPTB=  
12 Spontaneous Preterm Birth, PPRM=Preterm Prelabour Rupture of Membranes

### 13 Figure 2:

14 Visualisation of the relationship between long-chain omega-3 fatty acid levels and pregnancy  
15 outcome in women with a previous sPTB/PPROM <34<sup>+0</sup> weeks. Total n=283, of whom 51  
16 had recurrent sPTB/PPROM, and the remainder (n=232) delivered ≥ 34<sup>+0</sup> weeks without  
17 PPRM. A-D, histograms showing long-chain omega-3 fatty acid levels by pregnancy  
18 outcome. E-H risk of recurrent early sPTB/PPROM <34<sup>+0</sup> weeks by baseline fatty acid status  
19 in women with previous early sPTB or PPRM (n=283). The pale grey lines represent the  
20 95% confidence interval for the risk. *P* values are for the association between long chain  
21 omega-3 status and risk of early preterm birth using fractional polynomial logistic regression.  
22 Graphs show the unadjusted data. Adjusted *P* values include the covariates of age, BMI,  
23 smoking and Index of Multiple Deprivation (IMD). Percentages are of the total plasma fatty  
24 acids.

### 25 Table 1

26 Demographic details of the study population. BMI= Body Mass index, kg/m<sup>2</sup>. IMD= Index of  
27 Multiple Deprivation. *P* value calculated by ANOVA for age, Kruskal-Wallis for BMI and  
28 Fisher’s exact test for remainder of analysis. There were 3 missing values for BMI in the  
29 high-risk sPTB or PPRM <34 weeks group and 3 missing values in the high-risk birth ≥37  
30 weeks term group. There was 1 missing value for smoking in the high-risk sPTB or PPRM

1 group and 3 missing values in the birth  $\geq 37$  weeks group. The IMD data section details the  
2 amount of data available, and all other data sections were complete. The high percentage of  
3 missing data for IMD was because postcode wasn't recorded for the high-risk group at the  
4 start of the research study.

## 5 6 Table 2

7 Normal distribution of plasma long-chain omega-3 fatty acids in the low-risk population  
8 sample. Women were parous, with all previous births at term and birth  $\geq 39+0$  weeks in the  
9 index pregnancy. DHA=Docosahexaenoic acid, EPA=Eicosapentaenoic acid. All values are  
10 percentage of the total plasma fatty acids.

## 11 Table 3

12 Relationship between quintile of long-chain omega-3 (as defined by the low-risk population  
13 sample) and pregnancy outcome in the high-risk group.. \*=adjusted for age, BMI, and  
14 smoking (actual data only), \*\*= adjusted for age, BMI, smoking and index of multiple  
15 deprivation (actual data only) \*\*\*= adjusted for age, BMI, smoking and index of multiple  
16 deprivation including imputed data for missing data.

## 17 Table 4

18 Relationship between quintile of fatty acids (as defined by Olsen et al<sup>11</sup>) and pregnancy  
19 outcome. \*=adjusted for age, BMI, and smoking (actual data only), \*\*= adjusted for age,  
20 BMI, smoking and index of multiple deprivation (actual data only) \*\*\*= adjusted for age,  
21 BMI, smoking and index of multiple deprivation including imputed data for missing data.

## 22 Supplementary Table 1:

23 Plasma long-chain Omega-3 fatty acid levels compared by pregnancy group and number of  
24 freeze:thaw cycles prior to sample analysis.

## 25 Supplementary Table 2:

26 Relationship between quintile of long-chain omega-3 (as defined by the low-risk population  
27 sample) and pregnancy outcome in the high-risk group using only samples without prior  
28 freeze:thaw cycles. \*=adjusted for age, BMI, and smoking (actual data only).

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1 **Supplementary Table 3:**

2 Relationship between quintile of long-chain omega-3 (as defined by the low-risk population  
3 sample) and pregnancy outcome in the high-risk group using only samples with prior  
4 freeze:thaw cycles. \*=adjusted for age, BMI, and smoking (actual data only).

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For Peer Review



## High-risk pregnancy

(Previous sPTB or PPRM 16<sup>+0</sup>-33<sup>+6</sup> weeks)

## Low-risk pregnancy

(Parous women with all previous births at term)

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1) Visualisation of relationship between long chain omega 3 status and risk of preterm birth in high-risk pregnancies

2) Assessment of the contribution of long chain omega-3 status to recurrent early preterm birth with reference to the distribution in the low-risk group

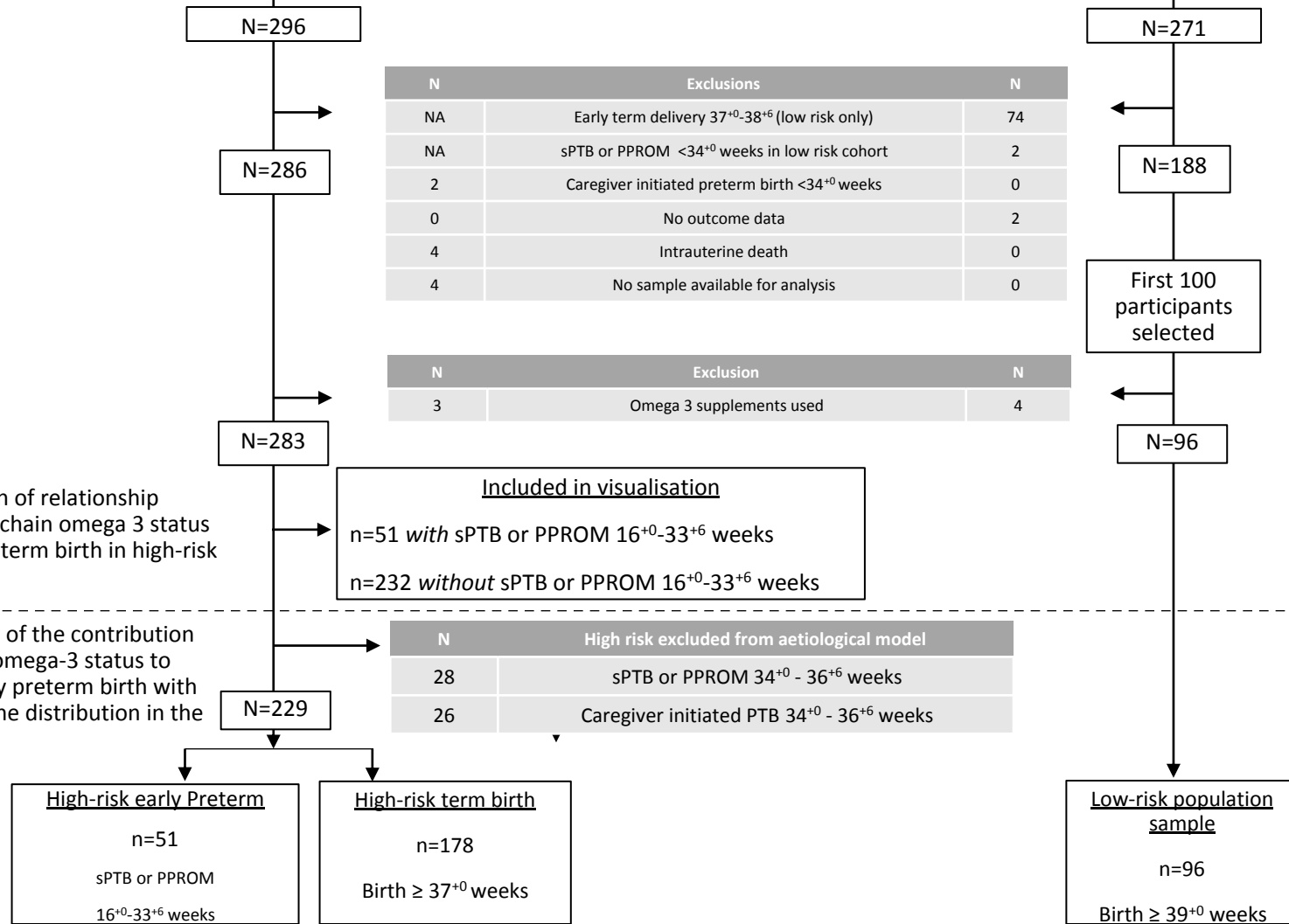


Figure 2

**Long-chain omega 3 fatty acid levels by pregnancy outcome**

**Risk of sPTB/PPROM according to long-chain omega 3 fatty acid status**

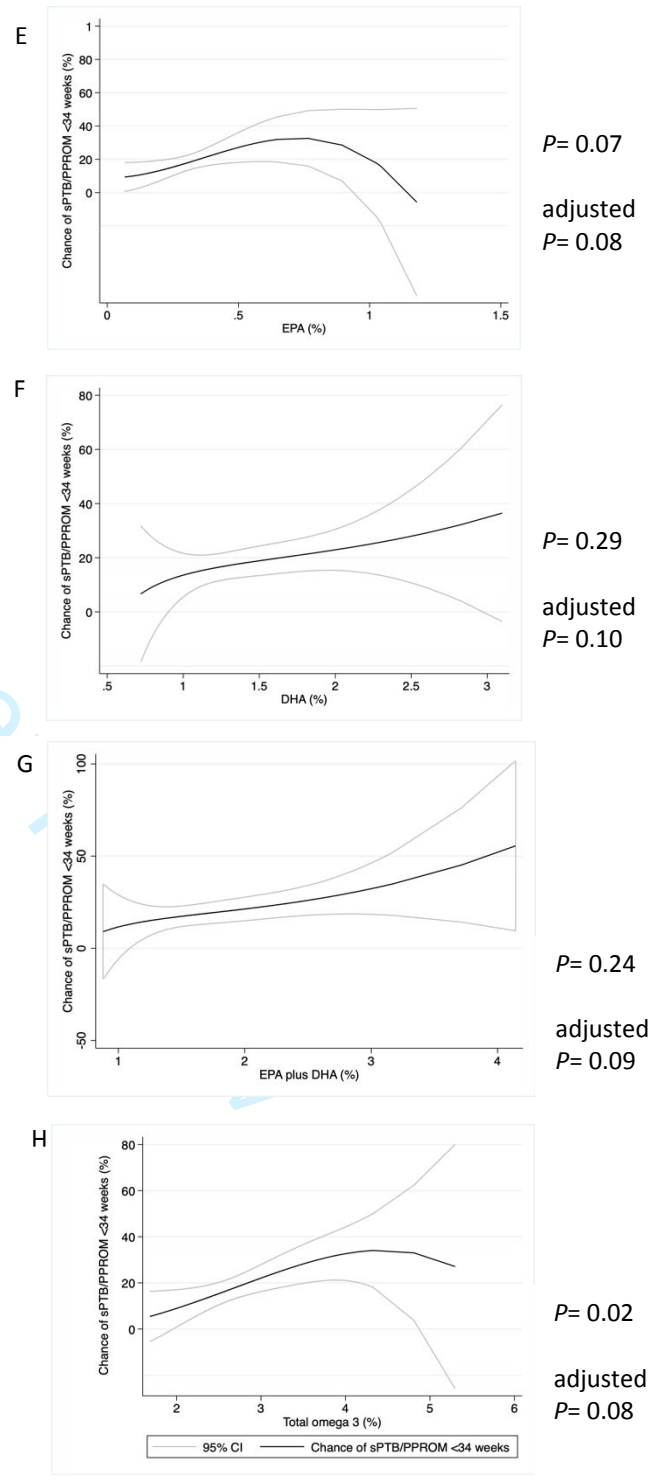
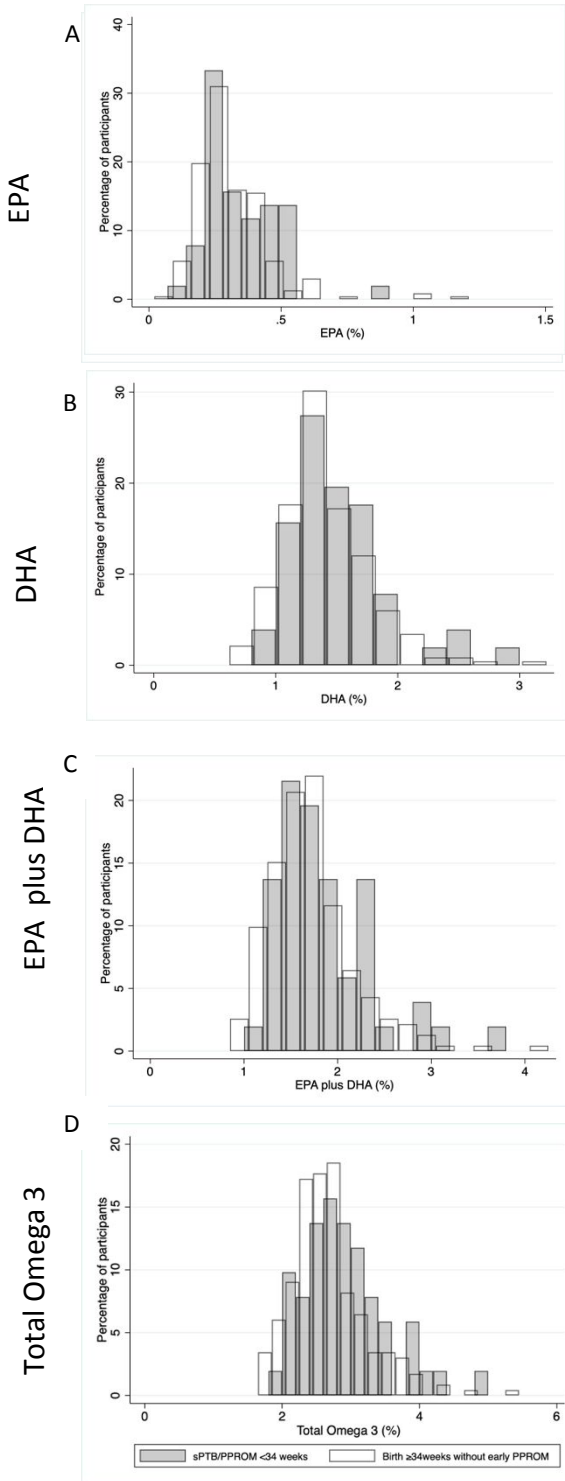


Table 1

		High-risk (previous sPTB or PPROM 16-33+6 weeks)						Low-risk (previous term births)		P value HR term vs HR preterm	P value LR vs HR participants of nested case-control study
		Whole cohort		Birth ≥37 weeks (term)		sPTB/ PPROM <34 weeks (preterm)		Birth ≥ 39 weeks			
Purpose		Visualisation		Nested case-control study				Define expected distribution of omega 3 fatty-acid levels			
		n	SD/IQR/%	n	SD/IQR/%	n	SD/IQR/%	n	SD/IQR/%		
n		283		178		51		96			
Age (mean, SD)		30.4	5.1	30.6	5.1	30.8	5	31.2	4.2	0.829	0.375
BMI (median, IQR)		24.6	21.8-28.7	25.0	21.8-28.9	25.6	23.1-32.5	23.6	21.9-27.6	0.208	0.209
Smoking (%)		68	24.0	37	20.9	13.0	26.5	9	9.7	0.438	0.011
IMD quintile (%)	1 (most deprived)	127	67.2	82	67.2	21	75.0	47	49.4	0.757	0.011
	2	18	9.5	9	7.3	3	10.7	15	15.8		
	3	19	10.1	11	9.0	2	7.1	19	20.0		
	4	17	9.0	13	10.7	2	7.1	11	11.6		
	5 (least deprived)	8	4.2	7	5.7	0	0	3	3.2		
Number of participants included in IMD data		189	66.8	122	68.5	28	54.9	95	99.0		
Total number of previous sPTB or PPROM (%)	0	0	0	0	0	0	0	96	100	<0.000	not applicable
	1	242	85.5	163	91.6	37	72.5				
	≥ 2	41	14.5	15	8.4	14	27.4				
Previous cervical surgery	None	254	89.8	167	93.8	42	82.4	88	91.7	0.094	0.395
	≤1x LLETZ <10mm	19	6.7	9	5.1	6	11.8	8	8.3		
	1x LLETZ ≥10mm/≥2 LLETZ/knife cone biopsy	10	3.5	2	1.1	3	5.9				
Preterm birth prevention treatment used (%)	No	190	67.1	128	71.9	27	52.9	96	100	0.017	not applicable
	Yes	93	32.9	50	28.1	24	47.1				
Gestational age at birth in weeks + days (median, IQR)		37+5	35+3-39+1	38+6	38+0-39+6	31+2	26+1-33+2	40+2	39+3-41+0	not applicable	
Birthweight in grams (mean, SD)		2800	809	3238	477	1576	703	3614	439		
Preterm prelabour rupture of membranes <34 weeks (n, %)		21	7.42	0	0	21	46	0	0		

Table 2

Component of fatty acids	Percentage of total fatty acids in low risk population sample (previous term births), birth $\geq$ 39 weeks in index pregnancy n=96						
	Mean (SD)	Range	Percentiles				
			20th	40th	60th	80th	
Total saturates	36.9 (2.36)	29.9-42.8	35.2	36.2	36.9	38.8	
Total monosaturates	29.3 (2.91)	21.4-37.5	27.0	28.8	30.2	31.6	
Omega 6	30.8 (3.50)	21.4-39.7	27.4	30.2	31.8	33.6	
Omega 3	2.65 (0.49)	1.87-4.09	2.18	2.51	2.74	3.07	
EPA	0.32 (0.12)	0.14-0.77	0.22	0.27	0.31	0.37	
DHA	1.35 (0.32)	0.73-2.14	1.10	1.22	1.38	1.62	
EPA +DHA	1.67 (0.39)	0.95-2.88	1.36	1.51	1.70	1.98	
Arachidonic acid	4.83 (0.9)	2.79-7.03	4.16	4.51	5.07	5.51	

		Quintile	Level (%)	Total number of high risk participants	High-risk (previous sPTB or PPROM 16-33+6 weeks)				Crude OR of PTB compared to quintile 3-5 within high risk (n=229)			Adjusted* OR compared to quintile 3-5 within high risk (n=220)			Adjusted** OR compared to quintile 3-5 within high risk (n=146)			Adjusted*** OR compared to quintile 3-5 within high risk (n=229)			
					Recurrent early sPTB/PPROM		Birth ≥37 weeks		OR of early sPTB/PPROM (95% CI)	95%CI	P- value	OR of early sPTB/PPROM (95% CI)	95%CI	P- value	OR of early sPTB/PPROM (95% CI)	95%CI	P- value	OR of early sPTB/PPROM (95% CI)	95%CI	P- value	
					n	%	n	%													
Quintiles based on low-risk population sample	Total Omega 3	1	1.69-2.18	27	5	9.8	22	12.4	0.65	0.23-1.84	0.42	0.63	0.21-1.85	0.40	0.83	0.23-2.98	0.77	0.51	0.14-1.78	0.29	
		2	2.18-2.51	59	9	17.6	50	28.1	0.52	0.23-1.15	0.11	0.58	0.25-1.33	0.20	0.86	0.30-2.52	0.79	0.48	0.18-1.24	0.13	
		3-5	2.51-5.29	143	37	72.5	106	59.6	1	1	-	1	1	-	1	1	-	1	1	-	
	EPA plus DHA	1	0.88-1.36	37	8	15.7	29	16.3	0.91	0.38-2.15	0.83	1.04	0.43-2.54	0.93	0.88	0.26-2.98	0.84	0.75	0.27-2.10	0.58	
		2	1.36-1.51	29	5	9.8	24	13.5	0.69	0.24-1.91	0.47	0.69	0.24-2.01	0.50	1.64	0.49-5.55	0.42	0.60	0.18-2.03	0.41	
		3-5	1.51-4.1	163	38	74.5	125	70.2	1	1	-	1	1	-	1	1	-	1	1	-	
	DHA	1	0.72-1.1	32	7	13.7	25	14.0	0.93	0.37-2.30	0.87	1.07	0.42-2.73	0.89	0.71	0.18-2.75	0.62	0.73	0.24-2.18	0.57	
		2	1.10-1.22	29	5	9.8	24	13.5	0.69	0.24-1.93	0.48	0.76	0.27-2.16	0.60	1.31	0.41-4.17	0.64	0.82	0.26-2.63	0.74	
		3-5	1.22-3.10	168	39	76.5	129	72.5	1	1	-	1	1	-	1	1	-	1	1	-	
	EPA	1	0.07-0.22	53	8	15.7	45	25.3	0.53	0.22-1.24	0.14	0.55	0.23-1.32	0.18	0.45	0.13-1.57	0.21	0.35	0.12-1.03	0.06	
		2	0.22-0.27	53	12	23.5	41	23.0	0.87	0.41-1.86	0.72	0.83	0.37-1.85	0.64	0.61	0.21-1.77	0.36	0.57	0.22-1.48	0.25	
		3-5	0.27-1.18	123	31	60.8	92	51.7	1	1	-	1	1	-	1	1	-	1	1	-	
		<b>Total</b>			229	51	100	178	100												

Table 4

EPA plus DHA as per Olsen 2018		Low-risk (previous term births)		High-risk (previous sPTB or PPROM 16-33+6 weeks)				Crude OR of PTB compared to quintile 3-5 within high risk (n=229)			Adjusted* OR compared to quintile 3-5 within high risk (n=220)			Adjusted** OR compared to quintile 3-5 within high risk (n=146)			Adjusted*** OR compared to quintile 3-5 within high risk (n=229)		
Quintile	Value	Birth ≥39 weeks		Recurrent early sPTB/PPROM		Birth ≥37 weeks		OR of early sPTB/PPROM (95% CI)	95%CI	P- value	OR of early sPTB/PPROM (95% CI)	95%CI	P- value	OR of early sPTB/PPROM (95% CI)	95%CI	P- value	OR of early sPTB/PPROM (95% CI)	95%CI	P- value
		n	%	n	%	n	%												
1	0.47-1.42	25	26.0	8	15.7	40	22.5	0.62	0.26-1.51	0.30	0.72	0.29-1.78	0.48	0.58	0.17-2.04	0.40	0.61	0.25-1.51	0.29
2	1.43-1.74	38	39.6	18	35.3	60	33.7	0.94	0.47-1.87	0.852	0.97	0.47-2.03	0.94	1.52	0.57-4.04	0.40	0.87	0.42-1.79	0.71
3-5	1.74-4.95	33	34.4	25	49.0	78	43.8	1	1	-	1	1	-	1	1	-	1	1	-
Total		96	100	51	100	178	100												

For Peer Review

	Low-risk term					High-risk term					High-risk early sPTB/PPROM				
	0 freeze thaw cycles n=80		3 freeze thaw cycles n=16		Mann-Whitney p	0 freeze thaw cycles n=162		3 freeze thaw cycles n=16		Mann-Whitney P	0 freeze thaw cycles n=22		3 freeze thaw cycles n=29		Mann-Whitney P
	median	IQR	median	IQR		median	IQR	median	IQR		median	IQR	median	IQR	
DHA	1.34	0.43	1.27	0.32	0.81	1.39	0.42	1.40	0.57	0.96	1.42	0.48	1.40	0.50	0.63
EPA	0.29	0.13	0.30	0.09	0.51	0.28	0.15	0.26	0.16	0.52	0.33	0.16	0.27	0.19	0.17
DHA+EPA	1.61	0.53	1.64	0.41	0.98	1.69	0.49	1.63	0.61	0.73	1.83	0.61	1.70	0.53	0.57

Table S1: Plasma long-chain Omega 3 fatty acid levels compared by pregnancy group and number of freeze:thaw cycles prior to sample analysis.

For Peer Review

Basis for quintiles	Quintile	Level (%)	Total number of high-risk participants	High-risk (previous sPTB or PPROM 16-33+6 weeks)				Crude OR of PTB compared to quintile 3-5 within high risk (n=45)			Adjusted* OR compared to quintile 3-5 within high risk (n=44)			
				Recurrent early sPTB or PPROM <34 weeks		Birth ≥37 weeks		OR of early sPTB/PPROM (95% CI) n=184	95%CI	P- value	OR of early sPTB/PPROM (95% CI) n=184	95%CI	P- value	
				n	%	n	%							
Low-risk population sample	Total Omega 3	1	1.69-2.18	22	2	9.1	20	12.3	0.66	0.14-3.11	0.60	0.74	0.15-3.67	0.71
		2	2.18-2.51	48	5	22.7	43	26.5	0.77	0.26-2.24	0.63	0.98	0.32-3.02	0.98
		3-5	2.51-5.29	114	15	68.2	99	61.1	1	1	-	1	1	-
	EPA plus DHA	1	0.88-1.36	28	2	9.1	26	16.0	0.52	0.11-2.39	0.40	0.60	0.13-2.84	0.52
		2	1.36-1.51	24	3	13.6	21	13.0	0.97	0.26-3.59	0.96	1.11	0.29-4.31	0.88
		3-5	1.51-4.1	132	17	77.3	115	71.0	1	1	-	1	1	-
	DHA	1	0.72-1.1	24	2	9.1	22	13.6	0.63	0.14-2.93	0.56	0.74	0.15-3.57	0.71
		2	1.10-1.22	25	3	13.6	22	13.6	0.95	0.26-3.50	0.93	1.07	0.28-4.07	0.92
		3-5	1.22-3.10	135	17	77.3	118	72.8	1	1	-	1	1	-
	EPA	1	0.07-0.22	42	2	9.1	40	24.7	0.25	0.06-1.13	0.07	0.29	0.06-1.35	0.11
		2	0.22-0.27	40	3	13.6	37	22.8	0.41	0.11-1.47	0.17	0.46	0.12-1.72	0.25
		3-5	0.27-1.18	102	17	77.3	85	52.5	1	1	-	1	1	-
Olsen et al.	EPA plus DHA	1	0.47-1.42	39	2	9.1	37	1.2	0.32	0.07-1.53	0.15	0.38	0.08-1.84	0.23
		2	1.43-1.74	61	8	36.4	53	4.9	0.91	0.35-2.37	0.84	0.95	0.33-2.74	0.93
		3-5	1.74-4.95	84	12	54.5	72	7.4	1	1	-	1	1	-
		Total		184	22		162							

Table S2: Relationship between quintile of long-chain omega 3 (as defined by the low-risk reference group) and pregnancy outcome in the high-risk group using only samples without prior freeze:thaw cycles. \*=adjusted for age, BMI, and smoking (actual data only).



Basis for quintiles	Quintile	Level (%)	Total number of high risk participants	High-risk (previous sPTB or PPROM 16-33+6 weeks)				Crude OR of PTB compared to quintile 3-5 within high risk (n=45)			Adjusted* OR compared to quintile 3-5 within high risk (n=44)			
				Recurrent early sPTB/PPROM		Birth $\geq 37$ weeks		OR of early sPTB/PPROM (95% CI)	95%CI	P- value	OR of early sPTB/PPROM (95% CI)	95%CI	P- value	
				n	%	n	%							
Low-risk population sample	Total Omega 3	1	1.69-2.18	5	3	10.3	2	12.5	0.48	0.07-3.46	0.46	0.43	0.05-3.50	0.43
		2	2.18-2.51	11	4	13.8	7	43.8	0.18	0.04-0.81	0.03	0.18	0.03-0.96	0.05
		3-5	2.51-5.29	29	22	75.9	7	43.8	1	1	-	1	1	-
	EPA plus DHA	1	0.88-1.36	9	6	20.7	3	18.8	0.95	0.20-4.61	0.95	1.67	0.32-8.68	0.55
		2	1.36-1.51	5	2	6.9	3	18.8	0.32	0.046-2.21	0.25	0.44	0.04-4.57	0.50
		3-5	1.51-4.1	31	21	72.4	10	62.5	1	1	-	1	1	-
	DHA	1	0.72-1.1	8	5	17.2	3	18.8	0.83	0.16-4.14	0.82	1.29	0.24-7.05	0.77
		2	1.10-1.22	4	2	6.9	2	12.5	0.50	0.06-4.03	0.52	0.69	0.07-7.00	0.75
		3-5	1.22-3.10	33	22	75.9	11	68.8	1	1	-	1	1	-
	EPA	1	0.07-0.22	11	6	20.7	5	31.3	0.60	0.13-2.67	0.50	0.72	0.14-3.59	0.69
		2	0.22-0.27	13	9	31.0	4	25.0	1.13	0.25-4.98	0.88	0.99	0.19-5.10	0.99
		3-5	0.27-1.18	21	14	48.3	7	43.8	1	1	-	1	1	-
Olsen <i>et al.</i>	EPA plus DHA	1	0.47-1.42	9	6	10.3	3	18.8	0.92	0.17-5.00	0.93	1.43	0.25-8.27	0.69
		2	1.43-1.74	17	10	24.1	7	43.8	0.66	0.17-2.59	0.55	0.53	0.11-2.46	0.42
		3-5	1.74-4.95	19	13	20.7	6	37.5	1	1	-	1	1	-
		Total		45	29		16							

Table S3: Relationship between quintile of long-chain omega 3 (as defined by the low-risk reference group) and pregnancy outcome in the high-risk group using only samples with prior freeze:thaw cycles. \*=adjusted for age, BMI, and smoking (actual data only).