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<sup>1</sup> **STABILITY ANALYSIS OF**  
<sup>2</sup> **ECOMORPHODYNAMIC EQUATIONS**

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3 **Abstract.** In order to shed light on the influence of riverbed vegetation  
4 on river morphodynamics, we perform a linear stability analysis on a min-  
5 imal model of vegetation dynamics coupled with classical one- and two-dimensional  
6 Saint-Venant-Exner equations of morphodynamics. Vegetation is modeled  
7 as a density field of rigid, non-submerged cylinders and affects flow via a rough-  
8 ness change. Furthermore, vegetation is assumed to develop following a lo-  
9 gistic dependence and may be uprooted by flow. First, we perform the sta-  
10 bility analysis of the reduced one-dimensional framework. As a result of the  
11 competitive interaction between vegetation growth and removal through up-  
12 rooting, we find a domain in the parameter space where originally straight  
13 rivers are unstable towards periodic longitudinal patterns. For realistic val-  
14 ues of the sediment transport parameter, the dominant longitudinal wave-  
15 length is determined by the parameters of the vegetation model. Bed topog-  
16 raphy is found to adjust to the spatial pattern fixed by vegetation. Subse-  
17 quently, the stability analysis is repeated for the two-dimensional framework,  
18 where the system may evolve towards alternate or multiple bars. On a fixed  
19 bed, we find instability towards alternate bars due to flow-vegetation inter-  
20 action, but no multiple bars. Both alternate and multiple bars are present  
21 on a movable, vegetated bed. Finally, we find that the addition of vegeta-  
22 tion to a previously unvegetated riverbed favors instability towards alternate  
23 bars and thus the development of a single course rather than braiding.

## 1. Introduction

24 River planform morphologies, like meandering and braiding, are the result of the inter-  
25 action between flow and sediment transport (see for example *Seminara* [2010] and refer-  
26 ences therein, or for an illustration Figures 1A and B) as well as of riparian vegetation  
27 dynamics. In particular, it is recognized that riparian vegetation affects river morphology  
28 through modification of the flow field [*Nepf*, 2012], increased bank strength [*Pollen and*  
29 *Simon*, 2005] and changes in erosion/sedimentation processes in the riverbed/floodplain  
30 (see *Gurnell et al.* [2012] or *Camporeale et al.* [2013], for a review).

31 Plant-flow interaction in rivers was favored by the emergence of plant roots in the Pale-  
32 ozoic and promoted new morphodynamic processes and morphological patterns. In turn,  
33 plant adaptation and feedback strengthening tremendously impacted landscape evolution  
34 (*Davies and Gibling* [2010] and *Gibling and Davies* [2012]). Today, the interaction dy-  
35 namics between riparian vegetation, flow and sediment is also thought to be crucial for  
36 instance in the formation of multiple bars and anabranching river patterns (see for exam-  
37 ple *Jansen and Nanson* [2010], or for an illustration Figures 1C and D).

38 The influence of riparian vegetation on river morphology/planform patterns has tradition-  
39 ally been investigated either by means of numerical simulations or by experiments. *Li and*  
40 *Millar* [2011] and *Nicholas et al.* [2013] modeled riparian vegetation as a parameter influ-  
41 encing bank strength and *Murray and Paola* [2003] used a rule-based approach to model  
42 vegetation-induced bank strengthening. In addition, *Crosato and Saleh* [2011] included  
43 vegetation flow resistance in a morphodynamic model. Common among these works is  
44 the conclusion that the presence of riparian vegetation encourages meandering while un-

45 vegetated rivers tend to braid. Furthermore, *Perucca et al.* [2007] modeled vegetation as  
46 a function of distance to the river as well as its impact on bank stability and they ob-  
47 served that meander form and wavelength change with respect to a non-vegetated river.  
48 More recently, *Bertoldi et al.* [2014] developed a numerical model including vegetation  
49 growth and uprooting dynamics to shed light on the effect of vegetation in the formation  
50 of alternate bars. Experimental works include *Federici and Paola* [2003] on alternate bar  
51 formation, *Coulthard* [2005] on sheltering that plants exert as passive porous obstacles,  
52 *Tal and Paola* [2007] on the active role of vegetation colonization in favoring transition  
53 from braided to single thread streams. Additionally, conceptual models have been used  
54 to analyze the dynamics of specific rivers (see *Tooth and Nanson* [2000] for the Marshall  
55 River and *Gurnell and Petts* [2006] for the Tagliamento River) and neural models [*Crouzy*  
56 *et al.*, 2015] were used to obtain quantitative results.

57 Theoretical approaches based on linear stability analysis have been shown to predict in-  
58 stability towards alternate or multiple bars on a movable riverbed (*Callander* [1969],  
59 *Engelund and Skovgaard* [1973], *Parker* [1976] and *Colombini et al.* [1987]). A common  
60 finding of these studies is that the key parameter in the formation of alternate bars or  
61 multiple bars is the river's aspect ratio (halfwidth-to-depth ratio). Figure 2 shows a typi-  
62 cal result of such a stability analysis. Note the presence of a lower threshold for the aspect  
63 ratio separating stability from instability.

64 However, due to the very complex nature of the dynamic interactions between riparian  
65 vegetation and sediment transport and flow, vegetation evolution was never taken into  
66 account explicitly in a linear stability analysis. While the omission of vegetation may  
67 be justified when looking at short timescales where riparian vegetation density does not

68 change much (and thus can be represented by a correction factor), this is not the case  
69 for river pattern formation that occurs over much longer timescales and where vegetation  
70 takes an active role in the process.

71 Extending the results of *Crouzy et al.* [2015], which focused on anabranching patterns, we  
72 perform a systematic stability analysis of the model of *Perona et al.* [2014] modified to  
73 include local positive effects due to the presence of vegetation. We first propose a mini-  
74 mal model for riverbed vegetation dynamics including only logistic growth, local positive  
75 feedback and mortality by means of uprooting and then couple it with a standard two-  
76 dimensional framework for river morphodynamics (see *Federici and Seminara* [2003] for  
77 example) in Section 2. Linear stability analysis is performed in order to identify regions  
78 in the parameter space where instability towards periodic patterns exist (Section 3) and  
79 the results are discussed in Section 4.

80

## 2. Modeling

### 2.1. Riverbed vegetation dynamics

81 We develop an analytical model for riverbed vegetation dynamics and discuss its validity  
 82 for different conditions. Physical variables (Table 1) are written adopting a tilde (e.g.  $\tilde{v}$ )  
 83 in order to distinguish them from dimensionless ones. Riverbed vegetation is modeled  
 84 as rigid, non-submerged cylinders with constant radius and we call  $\tilde{\phi}(\tilde{s}, \tilde{n}, \tilde{t})$  its density  
 85 defined as number of plants per unit area of riverbed as a function of streamwise coordinate  
 86  $\tilde{s}$ , transverse coordinate  $\tilde{n}$  and time  $\tilde{t}$ . Then, we write the rate of change of vegetation  
 87 density as

$$88 \quad \frac{\partial \tilde{\phi}}{\partial \tilde{t}} = \alpha'_g \tilde{\phi}(\tilde{\phi}_m - \tilde{\phi}) + D' \nabla^2 \tilde{\phi} - \alpha'_d \tilde{Y} \|\tilde{\mathbf{V}}\|^2 \tilde{\phi}. \quad (1)$$

89 Here, in the right hand side the first term represents logistic growth with  $\alpha'_g$  the growth  
 90 coefficient and  $\tilde{\phi}_m$  the carrying capacity (logistic growth for riparian vegetation was used  
 91 in *Camporeale and Ridolfi* [2006] for example). The second term is a diffusion term with  
 92 diffusion coefficient  $D'$ , which is a substantial novelty compared to the model of *Perona*  
 93 *et al.* [2014]. This term indeed accounts for the fact that vegetation development is favored  
 94 by existing neighboring vegetation (i.e. local positive feedback) by means of increased seed  
 95 deposition and resprouting for example. According to *D'Odorico et al.* [2007] and *Crouzy*  
 96 *et al.* [2015] such a local positive feedback can in general be represented by a diffusion  
 97 term. Finally, the third term models negative feedback between flow and vegetation which  
 98 results in vegetation removal by means of uprooting due to flow drag (Type I mechanism  
 99 after *Edmaier et al.* [2011]). In this case, the rate of fluid mass that impacts on vegetation  
 100 is proportional to the square of the stream velocity while the vegetation cross-section per  
 101 cubic meter of river is proportional to water depth and vegetation density. While it would

**Table 1.** Summary of the variables used in this work

Name	Description	Name	Description
$\tilde{\eta}(\eta)$	(a)dimensional bed elevation	SV	Saint-Venant Model
$\chi_b(c_b)$	(a)dimensional bed roughness (Chézy)	SVE	Saint-Venant-Exner Model
$\tilde{s}(s)$	(a)dimensional streamwise coordinate	SVV	Saint-Venant-Vegetation Model
$\tilde{U}(U)$	(a)dimensional streamwise velocity	SVEV	Saint-Venant-Exner-Vegetation Model
$k_s(\tilde{k}_s)$	(a)dimensional streamwise wavenumber		
$\tilde{t}(t)$	(a)dimensional time		
$\tilde{n}(n)$	(a)dimensional transverse coordinate		
$\tilde{V}(V)$	(a)dimensional transverse velocity		
$k_n(\tilde{k}_n)$	(a)dimensional transverse wavenumber		
$\tilde{\phi}(\phi)$	(a)dimensional vegetation density		
$\alpha_g(\nu_g)$	(a)dimensional vegetation growth coefficient		
$D(\nu_D)$	(a)dimensional vegetation diffusion coefficient		
$\alpha_d(\nu_d)$	(a)dimensional vegetation mortality coefficient		
$\tilde{Y}(Y)$	(a)dimensional water depth		
$\gamma$	ratio between morphodynamic and hydrodynamic timescale		
$c_v$	adimensional vegetation roughness coefficient		
$\beta$	aspect ratio		
$p$	bed porosity		
$\tilde{Q}_{s0}$	dimensional sediment transport rate under normal flow conditions		
$\tilde{\phi}_m$	dimensional vegetation carrying capacity		
$\tilde{t}_d$	drought period		
$a$	empirical parameter in sediment transport law		
$r$	empirical parameter in transverse slope term		
$\tilde{t}_f$	flooding period		
$F_0$	Froude number		
$g$	gravitational acceleration		
$d$	idealized vegetation diameter		
$d_{50}$	median grain diameter		
$\rho_s$	sediment density		
$\delta$	sediment transport deviation angle		
$k_{st}$	Strickler roughness coefficient		
$\chi$	total Chézy roughness		
$m$	bar order		
$\tilde{t}_v$	vegetation period		
$c_D$	vegetation Stokes coefficient		
$\rho$	water density		



102 seem reasonable to add a threshold below which root resistance prevents uprooting, *Perona*  
103 *et al.* [2012] found that there are always a certain number of plants with very shallow root  
104 depth. Assuming a linear relationship between flow drag and biomass removal, this results  
105 in a vegetation mortality rate directly proportional to the square of the stream velocity  
106 vector  $\tilde{\mathbf{V}}$ , to water depth  $\tilde{Y}$  and to vegetation density through a coefficient  $\alpha'_d$ .  
107 Typically, large parts of a river's cross-section are only flooded during a limited amount  
108 of time per year thus allowing vegetation to colonize these surfaces during non-flooded  
109 periods. In contrast, during a flooding period vegetation growth is negligible compared  
110 to uprooting. This means that the different processes of vegetation evolution do not  
111 necessarily happen at the same time and therefore equation (1) needs to be modified  
112 in order to still be applicable for vegetation dynamics in natural streams. In fact, the  
113 difficulty to separate these processes in one single equation is the main reason why many  
114 models do not account for vegetation dynamics.

115 Assuming that the riverbed morphology and the vegetation cover do not change too much  
116 over a cycle flooding event - low flow interval, we can still use a description of the form  
117 of equation (1). This means that the vegetation cover is dense enough to not allow much  
118 more biomass to be produced and at the same time a large part of the vegetation is robust  
119 enough to outlive the flooding period. According to this assumption, the hydrograph  
120 may be divided into three periods: during the vegetation period  $\tilde{t}_v$  vegetation grows and  
121 spreads, uprooting takes place during the flooding period  $\tilde{t}_f$  and vegetation density is  
122 assumed to remain constant during the drought period  $\tilde{t}_d$ . We then assume that this  
123 succession is happening repeatedly with constant  $\tilde{t}_v$ ,  $\tilde{t}_d$  and  $\tilde{t}_f$ . In this way, the time from  
124 the start of one flooding event to the next one may be interpreted as a cycle whose length

125 is given by  $\tilde{t}_d + \tilde{t}_v + \tilde{t}_f$  (see Figure 3 for illustration). As shown by *Crouzy et al.* [2015],  
 126 it is then possible to integrate the growth and diffusion processes into the flooding period  
 127 and thus to recover the constant and continuous flow assumption to end up with

$$128 \quad \frac{\partial \tilde{\phi}}{\partial \tilde{t}} = \alpha_g \tilde{\phi} (\tilde{\phi} - \tilde{\phi}_m) + D \nabla^2 \tilde{\phi} - \alpha_d \tilde{Y} \|\tilde{\mathbf{V}}\|^2 \tilde{\phi}, \quad (2)$$

129 where  $\alpha_g = \alpha'_g \frac{\tilde{t}_v}{\tilde{t}_d + \tilde{t}_v + \tilde{t}_f}$ ,  $D = D' \frac{\tilde{t}_v}{\tilde{t}_d + \tilde{t}_v + \tilde{t}_f}$  and  $\alpha_d = \alpha'_d \frac{\tilde{t}_f}{\tilde{t}_d + \tilde{t}_v + \tilde{t}_f}$ . We can see that merging  
 130 together the different mechanisms results in a relative increase or decrease of the growth  
 131 and diffusion coefficients with respect to the uprooting coefficient depending on which  
 132 timescale dominates. Note that usually these timescales are very different. In the case of  
 133 the Marshall River (see *Tooth and Nanson* [2004]) and also for bar flooding in the Thur  
 134 River (see for example *Pasquale et al.* [2010]) the flooding period is very small compared  
 135 to the vegetation period and consequently,  $\alpha_d$  is decreased while  $\alpha_g$  and  $D$  are increased  
 136 to yield a regime where mutual feedback is possible.

137

## 2.2. Coupling with river morphodynamics

138 In this section, we couple the vegetation model developed in Section 2.1 with a model  
 139 for flow and sediment dynamics in a straight, rectangular channel with constant width,  
 140 movable bed and non-erodible banks (see *Blondeaux and Seminara [1985]* for curved chan-  
 141 nels). A scheme is depicted in Figure 4 showing the streamwise and transverse coordinates  
 142  $\tilde{s}$  and  $\tilde{n}$  to which we associate the velocity vector  $\tilde{\mathbf{V}} = \{\tilde{U}, \tilde{V}\}$ . We introduce also uni-  
 143 form (perturbed) bed elevation  $\tilde{\eta}_0$  ( $\tilde{\eta}$ ) and water depth  $\tilde{Y}_0$  ( $\tilde{Y}$ ) respectively. Furthermore,  
 144 we assume the river bed to consist of non-cohesive, alluvial material of constant grain  
 145 size on which vegetation is able to grow and the river width to be considerably larger  
 146 than flow depth in order to be able to use a depth-averaged formulation. We then can  
 147 write momentum balance, continuity for flow and sediment and vegetation dynamics in  
 148 its dimensionless form as

$$149 \quad \frac{\partial U}{\partial t} = -U \frac{\partial U}{\partial s} - V \frac{\partial U}{\partial n} - \frac{1}{F_0^2} \left[ \frac{\partial Y}{\partial s} - \frac{\partial \eta}{\partial s} \right] - \beta \frac{\tau_s}{Y} \quad (3)$$

$$150 \quad \frac{\partial V}{\partial t} = -U \frac{\partial V}{\partial s} - V \frac{\partial V}{\partial n} - \frac{1}{F_0^2} \left[ \frac{\partial Y}{\partial n} - \frac{\partial \eta}{\partial n} \right] - \beta \frac{\tau_n}{Y} \quad (4)$$

$$151 \quad \frac{\partial Y}{\partial t} = -\nabla \cdot (Y\mathbf{V}) \quad (5)$$

$$152 \quad \frac{\partial \eta}{\partial t} = -\gamma \nabla \cdot (\|\mathbf{V}\|^3 \{\cos \delta, \sin \delta\}) \quad (6)$$

$$153 \quad \frac{\partial \phi}{\partial t} = \nu_g \phi (1 - \phi) + \nu_D \nabla^2 \phi - \nu_d Y \|\mathbf{V}\|^2 \phi, \quad (7)$$

154 where the physical variables were made dimensionless using the uniform flow conditions  
 155  $\{\tilde{U}_0, \tilde{Y}_0, \tilde{\eta}_0, \tilde{B}_0\}$  with channel width  $2\tilde{B}_0$ . In order to recover physical quantities one needs  
 156 to take  $\{U, V\} = \tilde{U}_0^{-1} \{\tilde{U}, \tilde{V}\}$ ,  $\{Y, \eta\} = \tilde{Y}_0^{-1} \{\tilde{Y}, \tilde{\eta}\}$ ,  $\phi = \tilde{\phi}_m^{-1} \tilde{\phi}$ ,  $\{s, n\} = \tilde{B}_0^{-1} \{\tilde{s}, \tilde{n}\}$  and  
 157  $t = \tilde{U}_0 \tilde{B}_0^{-1} \tilde{t}$ . Then,  $F_0 = \frac{\tilde{U}_0}{\sqrt{g \tilde{Y}_0}}$  with  $g$  the gravitational acceleration is the Froude number  
 158 and  $\beta = \frac{\tilde{B}_0}{\tilde{Y}_0}$  is the aspect ratio at normal flow. We use the Chézy formula as closure relation

159 for the momentum equations with total shear stress as  $\tilde{\boldsymbol{\tau}} = \{\tilde{\tau}_s, \tilde{\tau}_n\} = \frac{g}{\chi^2} \|\tilde{\mathbf{V}}\| \{\tilde{U}, \tilde{V}\}$ . The  
 160 total friction coefficient  $\chi$  is then modified to account for vegetation-induced friction  
 161 (following *Baptist et al.* [2007]) to get

$$162 \quad \chi = \sqrt{\frac{1}{\frac{1}{\chi_b^2} + \frac{c_D d \tilde{\phi} \tilde{Y}}{2g}}}, \quad (8)$$

163 with  $\chi_b$  the bed friction coefficient which can be calculated by fixing the Strickler coefficient  
 164  $k_s$ ,  $c_D$  the vegetation's Stokes drag coefficient and  $d$  the vegetation diameter. We can  
 165 rewrite total bed shear stress as

$$166 \quad \tilde{\boldsymbol{\tau}} = \{\tilde{\tau}_s, \tilde{\tau}_n\} = (c_b + c_v Y \phi) \|\tilde{\mathbf{V}}\| \{\tilde{U}, \tilde{V}\}, \quad (9)$$

167 with  $c_b = \frac{g}{\chi_b^2}$  and  $c_v = \frac{c_D d \tilde{\phi}_m \tilde{Y}_0}{2}$ .

168 A second closure relation is needed for sediment continuity for which we assume bed load  
 169 transport only and thus use a power law in the form of  $\tilde{\Phi} = a \|\tilde{\mathbf{V}}\|^3$  with  $a$  an empirical  
 170 parameter as was done by *Camporeale and Ridolfi* [2009]. Note that this relationship  
 171 between sediment transport rate and stream velocity is an approximation to the Meyer-  
 172 Peter/Müller formula used by *Colombini et al.* [1987] and *Federici and Seminara* [2003]  
 173 where the threshold is removed.

174 The sediment continuity equation furthermore contains the dimensionless parameter  $\gamma =$   
 175  $\frac{3\tilde{Q}_{s0}}{(1-p)\tilde{U}_0\tilde{Y}_0}$  (with  $\tilde{Q}_{s0}$  the sediment transport rate under normal flow conditions and  $p$  the  
 176 bed porosity) and the angle  $\delta$  which measures deviation of sediment transport from the  
 177 longitudinal direction. According to *Federici and Seminara* [2003], we may write

$$178 \quad \cos(\delta) = \frac{U}{\|\mathbf{V}\|} \quad (10)$$

$$179 \quad \sin(\delta) = \frac{V}{\|\mathbf{V}\|} - \frac{r}{\beta\sqrt{\tau_*}} \frac{\partial\eta}{\partial n}. \quad (11)$$

180 where  $r$  is an empirical parameter between 0.5 and 0.6 (see *Colombini et al.* [1987] or  
 181 *Talmon et al.* [1995]) and  $\tau_* = b\tilde{U}_0^2 U^2$  is the dimensionless Shields stress ( $b = \frac{1}{\chi_b^2 d_{50}^2 \frac{\rho_s - \rho_w}{\rho_w}}$ ,  
 182 median grain diameter  $d_{50}$ , sediment density  $\rho_s$  and water density  $\rho_w$ ). The first term in  
 183 the right hand side of equations (10) and (11) accounts for the effect of fluid shear stress  
 184 on particle motion and the second term in equation (11) incorporates gravitational effects  
 185 of a weak lateral slope (see *Talmon et al.* [1995]). Note that this approximation is only  
 186 valid in the limit of weak transverse slopes where the effect of gravity is small compared  
 187 to sediment entrainment by flow. Finally, the dimensionless coefficients of the vegetation  
 188 equation are related to dimensional variables by the relations  $\nu_g = \frac{\alpha_g \tilde{\phi}_m \tilde{Y}_0}{\tilde{U}_0}$ ,  $\nu_D = \frac{D}{\tilde{Y}_0 \tilde{U}_0}$  and  
 189  $\nu_d = \alpha_d \tilde{Y}_0^2 \tilde{U}_0$ .

### 2.3. Linear stability analysis

190 We perform a linear stability analysis [*Turing*, 1952] to assess the stability of the 2D-  
 191 morphodynamic equations coupled with vegetation dynamics (henceforth named ecomor-  
 192 phodynamic equations) around the homogeneous solution  $\{U_0, V_0, Y_0, \eta_0, \phi_0\}$ , namely a  
 193 straight river with uniform vegetation density whose dynamics is governed by normal flow  
 194 conditions. We can then write  $\{U_0, V_0, Y_0, \eta_0, \phi_0\} = \{1, 0, 1, -J_0 s, \phi_0\}$  with equilibrium  
 195 streamwise slope under normal flow conditions  $J_0$  and equilibrium vegetation density  $\phi_0$   
 196 as

$$197 \quad J_0 = \beta F_0^2 [c_b + c_v \phi_0] \quad (12)$$

$$198 \quad \phi_{0,1} = \frac{\nu_g - \nu_d}{\nu_g}. \quad (13)$$

199 Note that there also exists a trivial solution  $\phi_{0,2} = 0$  for vegetation density which is in-  
 200 herently unstable for positive  $\phi_{0,1}$ . Theoretically,  $\phi_{0,1}$  may take negative values, but such

201 solutions are not physically valid since vegetation density can not be negative. In this  
 202 case, the trivial solution  $\phi_{0,2} = 0$  becomes stable and is the only physical solution, mean-  
 203 ing that vegetation dynamics is switched off and the model represents a river without  
 204 riverbed vegetation. Therefore, in order to include vegetation dynamics, parameters have  
 205 to be chosen in a way to assure a strictly positive solution for  $\phi_{0,1}$ . In the following, we will  
 206 use the notation  $\phi_0$  for  $\phi_{0,1}$  assuming a strictly positive uniform solution. Additionally,  $\phi_0$   
 207 needs to be well above zero, meaning that the initial vegetation cover is well-developed,  
 208 in order to not reach negative values once it is perturbed. Note that the same assump-  
 209 tion of well-developed vegetation cover is needed for using a constant-flow description in  
 210 the presence of a non-constant hydrograph (Section 2.1). We then write the perturbed  
 211 homogeneous solution as

$$\{1, 0, 1, -J_0 s, \phi_0\} + \epsilon\{U_1, V_1, Y_1, \eta_1, \phi_1\} \quad (14)$$

213 where for a perturbation with harmonic modes we have in the most general case

$$\begin{pmatrix} U_1 \\ V_1 \\ Y_1 \\ \eta_1 \\ \phi_1 \end{pmatrix} = \begin{pmatrix} u(t) \cos(k_n n + \psi_u) \\ v(t) \cos(k_n n + \psi_v) \\ y(t) \cos(k_n n + \psi_y) \\ h(t) \cos(k_n n + \psi_h) \\ f(t) \cos(k_n n + \psi_f) \end{pmatrix} \exp(ik_s s) + \text{c.c.} \quad (15)$$

215 Here,  $k_n$  and  $k_s$  are the wavenumbers of the harmonic modes in the transverse and stream-  
 216 wise direction while  $\psi_i$  are the phases in the transverse direction for each variable. We  
 217 can further specify the perturbation term by implementing the boundary conditions for  
 218 impermeable lateral boundaries  $V(\pm 1) = 0$  which leads to  $k_n = m\frac{\pi}{2}$  with  $m$  a positive  
 219 integer. Note that it can easily be seen that the case where  $m = 0$  corresponds to  $k_n = 0$   
 220 which means that no lateral patterns occur and the model thus reduces to 1D. Figure 5  
 221 shows bed elevation patterns for different values of  $m$ . While the transverse wavenumber

222  $k_n$  needs to take discrete values such that the physical transverse half-wavelength  $\frac{\tilde{\lambda}_n}{2}$  is  
 223 a multiple of the actual river width  $2\tilde{B}$ , no such constraint exists in the streamwise di-  
 224 rection. However, note that in principle the longitudinal wavelength corresponding to  $k_s$   
 225 should be large compared to the normal water depth in order to support the use of the  
 226 shallow water approximation.

227 Due to the impermeable lateral boundary, the phase  $\psi_v$  in equation (15) can only take  
 228 the values of 0 and  $\frac{\pi}{2}$  which leads us to distinguish the two cases

$$229 \quad V_1 = v(t) \sin(m\frac{\pi}{2}n) \exp(ik_s s) \quad (m \text{ odd}) \quad (16)$$

$$230 \quad V_1 = v(t) \cos(m\frac{\pi}{2}n) \exp(ik_s s) \quad (m \text{ even}). \quad (17)$$

231 Finally, in order to have a perturbation Ansatz that is technically convenient, we need  
 232 the perturbations of the other state variables to be  $\frac{\pi}{2}$  out of phase with respect to the  
 233 perturbation of the transverse velocity  $V_1$  (see for example *Colombini et al.* [1987]) and  
 234 we get

$$235 \quad \{U_1, V_1, Y_1, \eta_1, \phi_1\} = \left\{ u(t), v(t) \tan^{-1}(m\frac{\pi}{2}n), y(t), h(t), f(t) \right\} \sin(m\frac{\pi}{2}n) \exp(ik_s s) \quad (18)$$

$$236 \quad \{U_1, V_1, Y_1, \eta_1, \phi_1\} = \left\{ u(t), v(t) \tan(m\frac{\pi}{2}n), y(t), h(t), f(t) \right\} \cos(m\frac{\pi}{2}n) \exp(ik_s s) \quad (19)$$

237 for  $m$  odd and even respectively. By this mean, we transform our ecomorphodynamic  
 238 equation system into an eigenvalue problem with the real parts of the eigenvalues deter-  
 239 mining the asymptotic fate of the system. Substituting (14), (18) and (19) into equations  
 240 (3) to (7) we end up with the following linear system of equations:

$$241 \quad \begin{pmatrix} \frac{du}{dt} \\ \frac{dv}{dt} \\ \frac{dy}{dt} \\ \frac{dh}{dt} \\ \frac{df}{dt} \end{pmatrix} = A \begin{pmatrix} u \\ v \\ y \\ h \\ f \end{pmatrix}, \quad (20)$$

242 where  $A$  is the following 5 x 5 matrix:

$$\begin{pmatrix}
 -ik_s - 2\beta c_b - 2\beta c_v \phi_0 & 0 & \frac{-ik_s}{F_0^2} + \beta c_b & \frac{-ik_s}{F_0^2} & -\beta c_v \\
 0 & -ik_s - \beta c_b - \beta c_v \phi_0 & \frac{-k_n(-1)^{m+1}}{F_0^2} & \frac{-k_n(-1)^{m+1}}{F_0^2} & 0 \\
 -ik_s & k_n(-1)^{m+1} & -ik_s & 0 & 0 \\
 -i\gamma k_s & \frac{1}{3}\gamma k_n(-1)^{m+1} & 0 & -\frac{\gamma r}{3\beta\sqrt{b\bar{U}_0^2}}k_n^2 & 0 \\
 -2\beta\nu_d\phi_0 & 0 & -\beta\nu_d\phi_0 & 0 & -\beta\nu_g\phi_0 - \frac{\nu_{D_s}}{\beta}k_s^2 + \frac{\nu_{D_n}}{\beta}k_n^2
 \end{pmatrix}. \tag{21}$$

243

244 Equation (20) defines a system of ordinary, homogeneous differential equations with con-  
 245 stant coefficients which describes the initial, linear temporal evolution of the perturbed  
 246 system. In order to assess stability in the limit of long time  $t$  in the linear regime, the real  
 247 parts of the eigenvalues  $\omega_i$  of matrix  $A$  may be analyzed [*Camporeale and Ridolfi, 2009*].  
 248 We can say that the system is stable with respect to a perturbation with longitudinal  
 249 wavenumber  $k_s$  and bar order  $m$  if  $\text{Max}_i(\text{Re}(\omega_i(k_s, m))) < 0$ , meaning that all perturba-  
 250 tions decay in time. Conversely, the system is unstable if for any given perturbation we  
 251 have  $\text{Max}_i(\text{Re}(\omega(k_s, m))) > 0$ . More importantly, the system is unstable towards periodic  
 252 spatial patterns in the linear regime if the highest growth rate  $\text{Max}_i(\text{Re}(\omega_i(k_s, m)))$  occurs  
 253 at finite streamwise wavenumber  $k_s$  with all parameters fixed. In this case, for fixed  $k_s$ ,  
 254 perturbation growth rate as a function of bar order  $m$  determines whether the system  
 255 evolves towards alternate ( $m = 1$ ) or multiple bars ( $m > 1$ ).

256



### 3. Results

#### 3.1. 1-dimensional analysis

257 We start our analysis with the case of a relatively narrow river where we can safely use  
 258 a 1D model. The unstable waves that can develop in such rivers are referred to as long  
 259 waves (see also the analysis of *Lanzoni et al.* [2006]). In principle, the 1D equations can be  
 260 obtained as a special case from equation (20) by setting  $m = 0$ . However, the conventions  
 261 found in the literature differ when considering 1D ([*Lanzoni et al.*, 2006]) or 2D setups  
 262 [*Federici and Seminara*, 2003] due to different choices of dimensionless quantities. In order  
 263 to be able to compare our results to the existing literature, we therefore need to rewrite  
 264 the model in the following one-dimensional form:

$$265 \quad \frac{\partial U}{\partial t} = -U \frac{\partial U}{\partial s} - \frac{1}{F_0^2} \left[ \frac{\partial Y}{\partial s} + \frac{\partial \eta}{\partial s} \right] - c_b \frac{U^2}{Y} - c_v \phi U^2 \quad (22)$$

$$266 \quad \frac{\partial Y}{\partial t} = -Y \frac{\partial U}{\partial s} - U \frac{\partial Y}{\partial s} \quad (23)$$

$$267 \quad \frac{\partial \eta}{\partial t} = -\gamma U^2 \frac{\partial U}{\partial s} \quad (24)$$

$$268 \quad \frac{\partial \phi}{\partial t} = \nu_g \phi (1 - \phi) + \nu_D \frac{\partial^2 \phi}{\partial s^2} - \nu_d \phi Y U^2, \quad (25)$$

269 where  $F_0 = \frac{\tilde{U}_0}{\sqrt{g\tilde{Y}_0}}$ ,  $c_b = \frac{g}{\chi_b^2}$ ,  $c_v = \frac{c_D d \tilde{\phi}_m \tilde{Y}_0}{2}$ ,  $\gamma = \frac{3\tilde{Q}_{s0}}{(1-p)\tilde{U}_0\tilde{Y}_0}$ ,  $\nu_g = \frac{\alpha_g \tilde{\phi}_m \tilde{Y}_0}{\tilde{U}_0}$ ,  $\nu_D = \frac{D}{\tilde{Y}_0\tilde{U}_0}$  and  
 270  $\nu_d = \alpha_d \tilde{Y}_0^2 \tilde{U}_0$ . Note that the streamwise coordinate and time are normalized as  $s = \tilde{Y}^{-1} \tilde{s}$   
 271 and  $t = \tilde{U}_0 \tilde{Y}^{-1} \tilde{t}$ , while  $U = \tilde{U}_0^{-1} \tilde{U}$ ,  $\{Y, \eta\} = \tilde{Y}_0^{-1} \{\tilde{Y}, \tilde{\eta}\}$  and  $\phi = \tilde{\phi}_m^{-1} \tilde{\phi}$  remain unchanged  
 272 with respect to the 2D model.

273 It is well known [*Lanzoni et al.*, 2006] that in the linear regime of the morphodynamic  
 274 equations no instability can be detected at finite wavenumber. Instability at the linear  
 275 regime can only be found for a fixed bed and  $F_0 > 2$  but then the selected wavenumber is  
 276  $k_s = \infty$  (roll waves, see *Lanzoni et al.* [2006]). This means that the system of equations  
 277 (22), (23) and (24) with  $\tilde{\phi}_m$  alone can not produce instability towards periodic patterns at

278 the linear level. Note that in the long term nonlinear effect could still trigger instabilities  
279 that lead to patterns.

280 We will now see what happens if we first combine vegetation dynamics with flow dynamics  
281 while assuming fixed bed conditions (equations (22), (23) and (25), putting equal to zero  
282 the sediment parameter  $\gamma$ ). While vegetation growing on a fixed bed may seem unrealistic  
283 it provides a useful insight into the fundamental effects of flow-vegetation interaction.

284 In Figure 6A, B and C, different vegetation coefficients are varied and plotted along with  
285 Froude number  $F_0$  at fixed water depth. It is clearly visible on all three figures that  
286 the dynamic interaction between flow and vegetation causes instability towards periodic  
287 patterns in certain regions of the parameter space. Furthermore, the domain proves to be  
288 simply connected, meaning that it does not possess any holes. Note that in Figures 6A and  
289 B the domain extends down to the origin. Additionally, the pattern wavenumber increases  
290 with increasing Froude number, carrying capacity and growth rate. Those findings remain  
291 valid if sediment dynamics is added to the equation system by allowing  $\gamma > 0$ : we can see  
292 in figure 6D that  $\gamma$  only becomes relevant at values greater than  $10^{-1}$ . But, due to the fact  
293 that  $\gamma$  represents the ratio of the sediment timescale to the hydrodynamic timescale its  
294 actual value is generally much lower ( $\gamma \sim \mathcal{O}(10^{-3} - 10^{-4})$ , see *Parker* [1976] for realistic  
295 estimates).

### 3.2. 2-dimensional analysis

296 Having seen in the previous section that our simple vegetation model indeed can lead  
297 to periodic patterns, we now focus on the 2D model which is more relevant for natural  
298 rivers. In view of readability, we will use the abbreviations SV for Saint-Venant, SVE  
299 for Saint-Venant-Exner, SVV for Saint-Venant-Vegetation and SVEV for Saint-Venant-

300 Exner-Vegetation (see also Table 1).

301 As shown by *Colombini et al.* [1987], flow-sediment instability can be found above a cer-  
302 tain threshold for the aspect ratio  $\beta$  (Figure 2) if an appropriate model for transverse  
303 slope effects on sediment transport is chosen (equation (11)). In Figure 7A, we reproduce  
304 the classical result from *Colombini et al.* [1987] using our 2D model without the vegetation  
305 equation. The color code indicates the maximum growth rate and the black line shows  
306 the selected longitudinal wavenumber  $k_s$  for a certain aspect ratio  $\beta$ . Then, in Figure 8A  
307 we can see pattern domains of alternate and multiple bars in the  $F_0$  vs.  $\beta$  space based  
308 on comparing the growth rates for different values of the bar order  $m$ . Note that higher  
309 aspect ratio and Froude number correspond to higher bar order  $m$  of the most unstable  
310 perturbation. Additionally, a sharp cut-off is visible at about  $F_0 = 2$ , meaning that no  
311 instability towards finite patterns occurs if  $F_0 > 2$  independent of the aspect ratio. This  
312 is because above the critical value  $F_0 = 2$  modes with unbounded wavenumber experience  
313 a higher growth rate than patterns with finite wavenumber (analogous to the roll waves  
314 in the 1D model).

315 While the graphs in Figures 7A and 8A represent a river with movable bed but devoid of  
316 vegetation, 7B and 8B are their equivalents for a vegetated river with fixed bed (i.e. no  
317 erosion). The qualitative similarity between Figures 7A and B is striking (note however  
318 the difference in the value of the Froude number): the pattern domain is "U-shaped" and  
319 there is an aspect ratio threshold for observing patterns. This means that for certain  
320 parameter values the vegetation equation (coupled with flow) produces instability at a  
321 finite wavelength, which is confirmed by Figure 8B where we can see the characteristic  
322 shape of the vegetation-flow instability domain in the Froude number versus aspect ratio

323 space. We observe a left and a right boundary with a sharp cut-off to the right along  
324 with a minimum value for the aspect ratio. In contrast to Figure 8A, the cut-off is due  
325 to the fact that a river's uprooting capacity is proportional to the Froude number and  
326 thus the stable equilibrium solution of vegetation density  $\phi_0$  becomes zero above a certain  
327 Froude number (to the right of the black line of Figure 8B). Note that strictly speaking  
328 our assumption of well-developed vegetation cover ( $\phi_0$  well above zero) is not fulfilled  
329 anymore close to the limiting Froude number where  $\phi_0$  tends to zero.

330 Interestingly though, only alternate bar patterns are produced by vegetation growing  
331 on a fixed bed (Figure 8B). This means that the growth rate of alternate bars always  
332 exceeds that of multiple bars (of any order), a fact which holds independently of the  
333 Froude number or aspect ratio. This result is related to the fact that when assuming  
334 a reasonable value for vegetation density its induced roughness always exceeds sediment  
335 induced roughness by at least an order of magnitude.

336 After discussing vegetation- and sediment-related patterns alone, we tackle now the com-  
337 plete problem with a full coupling between sediment and vegetation dynamics. Thus, in  
338 the following we are showing the results of the complete model developed in Section 2.2  
339 which describes 2-dimensional flow on a movable, vegetated river bed. Figure 9 shows  
340 comparisons of SVV and SVEV (alternate bar formation only) in the  $F_0$  vs. vegetation  
341 carrying capacity  $\tilde{\phi}_m$  and  $F_0$  vs.  $\beta$  space respectively. Figure 9A indicates that the same  
342 competitive interaction between vegetation growth and death is taking place as was seen  
343 for the 1D model. It then turns out that the inclusion of sediment dynamics does (for a  
344 realistic range of values for  $\gamma$ , see Section 3.1) not deform an existing instability domain  
345 but rather add to it. We can therefore conclude on the influence of sediment dynam-

ics by simply looking at what is added in the graphs below with respect to the graphs  
 above in Figure 9. As expected, to the right of the black line where no vegetation occurs  
 we retrieve the instability domain induced by sediment dynamics alone with a cut-off at  
 $F_0 = 2$ . Additionally, we can see another instability domain at lower Froude number  
 in Figure 9C which seems to be the result of the interplay of sediment and vegetation  
 dynamics. While vegetation adds instability domains we can also see that part of the  
 pattern domain previously present in the SVE-model (Figure 8A) disappeared. Further-  
 more, Figure 9D indicates that, different to the domains resulting from the 1D analysis,  
 the pattern domain is no more simply connected. In fact, the domain is divided in two  
 parts in the  $F_0$  vs.  $\beta$  space with part of the sediment-induced instability detached from  
 the main domain. Incidentally, this is also visible in Figure 9C for the horizontal line  
 $\tilde{\phi}_m = 10$ .

The dominating longitudinal wavenumber  $k_s$  depends heavily on the model parameters and  
 can also vary drastically in the same graph. For example in Figure 9D, lower wavenumbers  
 (and thus higher wavelengths) occur on the higher-Froude number half of the vegetation  
 domain and on the part of the sediment domain that is attached to the vegetation domain  
 while higher wavenumbers can be seen on the lower-Froude number half of the vegetation  
 domain and for small Froude numbers.

Figure 10 shows from another viewpoint which part of the instability domain is caused by  
 sediment dynamics and vegetation dynamics respectively. In fact, the two graphs are ver-  
 tical profiles of Figure 9D, the upper one for  $F_0 = 0.65$  showing contribution of sediment  
 dynamics (note the similarity to Figure 7A) while the lower one for  $F_0 = 0.75$  contains the  
 influence of both sediment (aspect ratio below 30) and vegetation (aspect ratio above 30,

369 see also 7B. We can thus observe that the left part of the instability domain (with lower  
370 longitudinal wavenumbers) to the right in Figure 9D is caused by sediment dynamics.  
371 In contrast, the dark blue part (having higher longitudinal wavenumbers) of the same  
372 instability domain is clearly due to vegetation dynamics, as it can be seen in Figure 10B.

373 As we already saw the pattern domains for formation of alternate bars in the model  
374 including sediment and vegetation dynamics, we finally want to turn our attention to  
375 the formation of multiple bars. For this aim, we again compare the results of the model  
376 without sediment dynamics (SVV) to the full model (SVEV). Essentially, Figure 11 cor-  
377 responds to the right side of Figure 9 but with a color code indicating bar order instead  
378 of selected longitudinal wavenumber. Again, we can observe how the pattern domains  
379 of sediment dynamics (Figure 7B) and vegetation are merged to yield a different kind  
380 of domain. Note the abrupt change from multiple bar formation with increasing aspect  
381 ratio to only alternate bar formation in the vegetation-induced domain to multiple bar  
382 formation again (left to right). While only part of the sediment-induced instability to-  
383 wards multiple bars is preserved (but interrupted in the middle), the vegetation-induced  
384 part is completely preserved and still leads to alternate bars exclusively. It can be seen  
385 in Figure 12 (A and B are both normalized with respect to the highest growth rate in  
386 A) that the vegetation-induced instability domain of alternate bars ( $m=1$ ) contains the  
387 domain of multiple bars ( $m=4$ ) and that its growth rate is always higher. This is true for  
388 multiple bars of any order.

389

#### 4. Discussion

390 We showed that by using stability analysis of our ecomorphodynamic framework we in-  
391 deed can detect instability towards periodic patterns with finite wavelength. The essential  
392 ingredient for such instability to occur in the 1D model is competitive interaction between  
393 vegetation growth and mortality caused by flow drag. In this context, competitive inter-  
394 action means that there is at least one mechanism (i.e., biomass growth in our case) that  
395 increases vegetation density  $\phi$  and another one (i.e., uprooting by flow drag in our mode)  
396 that counteracts it (*D’Odorico et al.* [2007] and *Crouzy et al.* [2015]). This competitive  
397 interaction creates opportunities for the presence of patterns meaning that vegetation is  
398 neither present everywhere nor completely missing. In our model uprooting depends on  
399 water depth and velocity. Hence, the balance between such state variables is dynamic,  
400 thus favoring growth of vegetation in some cases and death in others. Eventually, this may  
401 result in vegetation patterns that are either in phase or out-of-phase with hydrodynamic  
402 variables.

403 While it is well known that the 1D morphodynamic framework without vegetation (SVE)  
404 does not exhibit instability towards regular patterns, it was unknown how sediment dy-  
405 namics can influence vegetation induced river patterns. We found that in the presence of  
406 significant vegetation density sediment dynamics does not contribute actively to pattern  
407 formation, due to vegetation induced roughness dominating sediment induced roughness.  
408 Instead bed topography adapts in a passive manner to vegetation induced patterns. It is  
409 interesting that this was found to be true independent of the values assigned to the veg-  
410 etation coefficients. After fixing the less fundamental parameters (Table 3), we identified  
411 four parameters (three describing vegetation and one describing flow) mostly relevant for

412 such competitive interaction: the growth rate  $\alpha_g$  and the carrying capacity  $\tilde{\phi}_m$  promote  
413 growth while the mortality rate  $\alpha_d$  and the Froude number  $F_0$  (at constant water depth)  
414 lead to a higher mortality through uprooting.

415 In contrast to the 1D **SVE** morphodynamic framework, its extension to two dimensions  
416 was shown to allow for regular patterns once a certain threshold for the aspect ratio  
417 is exceeded (*Colombini et al.* [1987] and *Federici and Seminara* [2003]). Moreover, this  
418 threshold seemed to match reasonably well the available empirical data [*Colombini et al.*,  
419 1987]. An important ingredient of the morphodynamic models of *Colombini et al.* [1987]  
420 and *Federici and Seminara* [2003] is a semi-empirical relationship for lateral slope effects  
421 in rivers (see *Talmon et al.* [1995] for the derivation). This relationship expresses the fact  
422 that sediment transport is not following bottom shear stress exactly in the presence of a  
423 laterally sloped bed, but is slightly deviated due to gravitational forces along the lateral  
424 slope. Although the previous works did not insist on this, the correction for sediment  
425 transport seems to be an essential element for reproducing the well-known threshold of  
426 the aspect ratio below which no instability towards patterns occurs.

427 In this work, we extended the well-known 2D **SVE** morphodynamic framework to account  
428 for riverbed vegetation and we found the same competitive interaction between vegetation  
429 growth and death as in the 1D model to be responsible for instability towards patterns  
430 on a fixed river bed with vegetation. **Vegetation density increases local roughness and**  
431 **locally slows the stream velocity with consequent increase of water depth. In our model,**  
432 **this mechanisms favors sediment deposition and bed aggradation, and has thus an in-**  
433 **direct effect on vegetation growth. Although in reality these morphogenic mechanisms**  
434 **are conjectured to drive vegetation growth, we found that the emergence of vegetated**



435 patterns can be either in phase or not with hydromorphodynamic variables depending  
436 on how the latter combine to determine uprooting. Remarkably, this vegetation-induced  
437 pattern domain also exhibits a lower threshold for the aspect ratio but the domain gener-  
438 ally occurs at higher longitudinal wavenumber  $k_s$  than sediment-induced domains. It thus  
439 seems that both kinds of patterns, vegetation-induced and sediment-induced ones, need  
440 a certain minimum lateral length-scale in order to develop and are not freely scalable.  
441 The analysis of the complete 2D framework showed that although the instability towards  
442 multiple bars needs a movable bed to be triggered, the vegetation parameters still affect  
443 the Froude number at which this instability occurs. Thus, even if it is neither a necessary  
444 nor a sufficient condition for pattern formation, riverbed vegetation has to be taken into  
445 account in order to know under which conditions such patterns prevail and to determine  
446 the dominant longitudinal wavelength.

447

448 Comparing our work to numerical models for the effect of vegetation on river patterns  
449 (e.g. *Murray and Paola* [2003] and *Crosato and Saleh* [2011]), we can see an interesting  
450 agreement to our results. In fact, these studies suggest that a river will typically develop  
451 a braiding pattern in an unvegetated floodplain while the tendency to meander increases  
452 with increasing vegetation density. Similarly, using our analytical framework we found  
453 multiple bars (braiding) to prevail on unvegetated floodplains. Conversely, the addition  
454 of vegetation dynamics clearly produced a region in the parameter domain where only  
455 instability towards alternate bars exists, which can be considered the first step in the  
456 development of meanders [*Ikeda et al.*, 1981]. The coincidence of these results is particu-  
457 larly interesting considering the fact that *Murray and Paola* [2003] and *Crosato and Saleh*

458 [2011] include riverbed vegetation by means of increased bank strength while in this work  
459 we focus on vegetation-induced roughness change.

460 Our modeling approach, including a minimal model for vegetation dynamics, allows the  
461 use of a systematic stability analysis to detect parameter domains with periodic river  
462 patterns. However, it leads to the omission of a number of potentially important pro-  
463 cesses. Some of them could be readily added to the present model in a next step. For  
464 instance, flow diversion caused by riverbed vegetation could be taken into account by  
465 adding an appropriate term in the flow-continuity equation (equation (5)). It was not  
466 taken into account in this analysis since vegetation volume is negligibly small compared  
467 to water volume in our model setup. In fact, the volume percentage occupied by vegeta-  
468 tion is around 0.1 percent while vegetation induced roughness is ten times larger than bed  
469 roughness for typical parameters. Furthermore, we could extend our work to submerged  
470 or flexible vegetation (as opposed to the non-submerged, rigid vegetation we assumed in  
471 this analysis). For completely submerged vegetation the surface impacted by flow drag  
472 would be reduced by a factor of  $\frac{\tilde{h}_v}{\tilde{Y}}$  (with  $\tilde{h}_v$  the vegetation height) in the third term on  
473 the right hand side of equation (7). Meanwhile, non-rigid vegetation would require the  
474 exponent of  $\tilde{U}$  to be somewhere between 1 and 2 in the same term.

475 None of the above-mentioned processes however is expected to significantly alter the gen-  
476 eral results of this work as long as a flow regime allowing a competition between growth  
477 and death is observed. However, the shape of the instability domains in the parameter  
478 space could be modified. In contrast, the vegetation cover would either colonize the whole  
479 riverbed if the floods were too low or too short or get completely destroyed if the floods  
480 were too strong or too long and thus vegetation-induced patterns would not exist any-

481 more.

482 Perhaps the two major effects related to riverbed vegetation that were not considered in  
483 this analysis are related to roots. Firstly, the presence of roots is known to increase bed  
484 stability [*Pasquale and Perona, 2014*]. Secondly, the erosion of sediment around a plant  
485 can expose the root system which makes the plant more susceptible to uprooting due  
486 to reduced root anchoring (Type II mechanism in *Edmaier et al. [2011]*). Additionally,  
487 uprooting is not an instantaneous process anymore but a more gradual one where several  
488 floodings can contribute to root exposure until uprooting finally takes place [*Edmaier*  
489 *et al., 2015*]. The inclusion of the first of the two aforementioned effects would require the  
490 introduction of an additional term on the right hand side of equation (6). This term would  
491 include a threshold related to root strength and sediment transport would only start once  
492 this threshold is exceeded. Integrating the second effect would require the proportionality  
493 constant  $\nu_d$  in equation (7) to be a function of plant rooting depth and bed elevation in  
494 order to determine the amount of roots exposed at a given time. As opposed to the mod-  
495 ifications mentioned earlier, the latter two are fundamentally different processes which  
496 could potentially alter the pattern forming dynamics. Nevertheless, they introduce sig-  
497 nificant technical complications and are thus not well suited for an analytically tractable  
498 model. Another possibility consists of modeling vegetation mortality as a function of bed  
499 elevation change ( $\frac{\partial \eta}{\partial t}$ ). Positive values of  $\frac{\partial \eta}{\partial t}$  would mean vegetation burying while negative  
500 values represent roots exposure, both eventually leading to the death of vegetation.

501 While further terms can readily be added to our ecomorphodynamic equations without im-  
502 plying essential conceptual or technical changes, the assumption of a uniformly-vegetated  
503 state perturbed by flooding events appears to be an intrinsic limitation of our frame-

504 work. Linear perturbation analysis performed over a state with regions without vegetation  
 505 would indeed directly lead to non-physical solutions with negative vegetation density. This  
 506 threshold at zero density introduces in turn a non-linearity probably precluding an ana-  
 507 lytical treatment. In this regard, numerical simulations of our ecomorphodynamic model  
 508 could shed light on whether the non-linearity yields fundamentally different results. In  
 509 rivers, our model is thus fully appropriate to describe regions subject to intermittent flow,  
 510 as riverbars where a homogenous cover of pioneer vegetation may develop before the onset  
 511 of flooding events, or the inner of meander bends where vegetated stripes are observed  
 512 (so-called scroll bars). The importance of flow intermittency lead us to the generaliza-  
 513 tion of the ecomorphodynamic model integrating flooding and drought periods. Leaving  
 514 classical rivers, tidal marshes could offer an example of vegetation growing while subject  
 515 to action of the flow. Note that in order to apply our framework to this case one should  
 516 consider flexible vegetation instead of rigid vegetation.

517 In this work, we analyzed the behavior of our ecomorphodynamic model in the asymptotic  
 518 limit in the linear regime and thus all conclusions are restricted to this limit, meaning  
 519 that nonlinear effects need to be weak. If the operator  $A$  in equation possesses  $N$  distinct  
 520 eigenvalues (where  $N$  is the rank of  $A$ ) as it is in the present problem we can write the  
 521 general solution of (20) as

$$522 \quad \sum_{i=1}^N c_i \exp(\omega_i t) \mathbf{v}_i, \quad (26)$$

523 where  $\omega_i$  are the complex eigenvalues of  $A$ ,  $\mathbf{v}_i$  are the respective eigenvectors and  $c_i$  are  
 524 coefficients. If  $A$  were a normal operator (meaning that  $AA^* = A^*A$ ), we could find an  
 525 orthogonal basis of eigenvectors  $\mathbf{v}_i$ . In the limit of large  $t$  then, the system would be  
 526 dominated by the exponential with the largest temporal growth rate (maximum of the

527 real parts of  $\omega_i$ ) and thus the solution would decay to zero for a negative maximum growth  
528 rate and grow for a positive maximum growth rate. Note that this is only true in the  
529 linear regime and that nonlinear effects could come into play at some point.

530 However, in the context of river morphology,  $A$  is not a normal operator and therefore  
531 its eigenvectors do not form an orthogonal basis. That is, although the system may be  
532 asymptotically stable, transient growth can still occur [*Camporeale and Ridolfi, 2009*] at  
533 finite timescales. Therefore, further research needs to be done if the timescale of interest  
534 is finite [*Camporeale and Ridolfi, 2009*]. But, asymptotically the exponential with the  
535 largest real part of the eigenvalues is still going to dominate and thus describes the be-  
536 havior of the system as  $t$  becomes large. This is why we can still safely state that the  
537 initially small perturbations will be amplified in the long-term linear regime if the real  
538 part of any  $\omega_i$  is positive. And if the largest growth rate occurs for a finite longitudinal  
539 wavenumber  $k_s$  (all parameters fixed), this mode will be amplified more strongly than all  
540 other modes contained in a packet of random perturbation waves and thus will dominate  
541 after some time due to the exponential character of perturbation growth.

542 Finally, since our model is designed to include only the main effects of riverbed vegetation  
543 on river morphology, one could think of using field data to evaluate the accuracy of these  
544 design choices in a realistic scenario (e.g., see [Figure 1](#)). However, although ecomorpho-  
545 dynamics is a field which has rapidly been expanding over the last few years, we were  
546 not able to find out a field dataset allowing a comprehensive validation of the results of  
547 our stability analysis (or equivalently also allowing to falsify our theory). Regarding field  
548 studies, one can cite the difficulty of identifying a typical dominant flow essential for a  
549 quantitative comparison with the theory. Flume experiments allow a better control of

550 the flow and sediment conditions, however, identifying the perfect lab model for riparian  
551 vegetation is still challenging (?). It is interesting to note that we have used our ecomor-  
552 phodynamic model to interpret the results of a flume experiment in a convergent channel  
553 (1D setup, *Perona et al.* [2014]). Obtaining comprehensive results on the 2D setup would  
554 be challenging but could constitute a very interesting continuation of our study. We hope  
555 that our results could help motivating and designing such experiments.

556

## 5. Conclusion

557 In this work, we developed an analytical model for riverbed vegetation dynamics and  
558 coupled it to the classic two-dimensional Saint Venant-Exner framework to obtain a set  
559 of ecomorphodynamic equations. Subsequently, we performed a linear stability analysis  
560 of the ecomorphodynamic equations and assessed its capability to produce periodic river  
561 patterns.

562 We found that competitive interaction between vegetation growth and mortality indeed  
563 may lead to instability towards longitudinal waves in a one-dimensional framework with  
564 bed elevation following the vegetation pattern. In the two-dimensional framework, alter-  
565 nate bars develop on a fixed bed while both alternate and multiple bars can be found on a  
566 movable bed. While it is known [*Engelund and Skovgaard, 1973*] that stability analysis of  
567 large, unvegetated rivers predicts instability towards multiple bars which can be seen as a  
568 possible precursor of braiding, the addition of vegetation dynamics in our model tends to  
569 favor meandering instead. Remarkably, this is compatible with the findings of numerical  
570 simulations which include the bank-strengthening effect of riparian vegetation, although  
571 in our work vegetation acts on roughness instead of bank strength.

572

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577 (paolo.perona@epfl.ch or paolo.perona@ed.ac.uk; benoit.crouzy@meteoswiss.ch).

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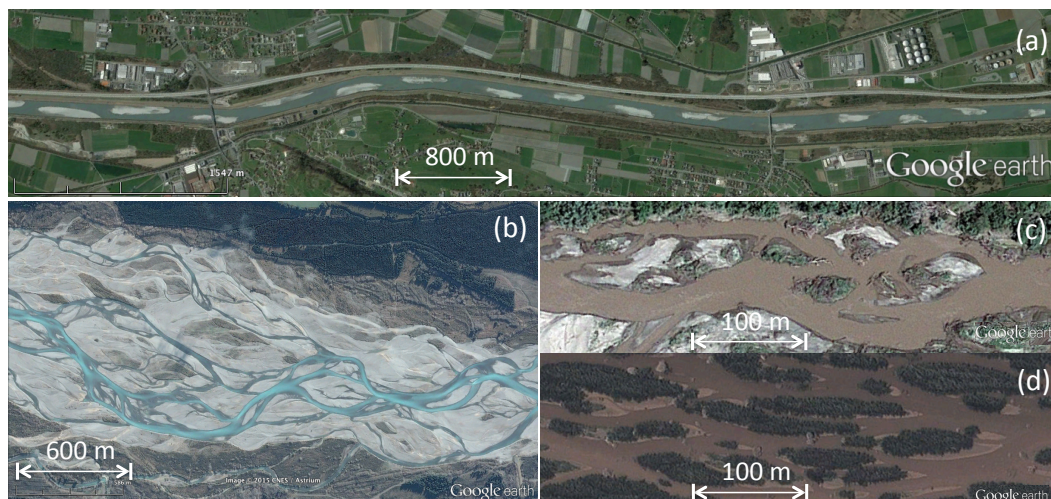


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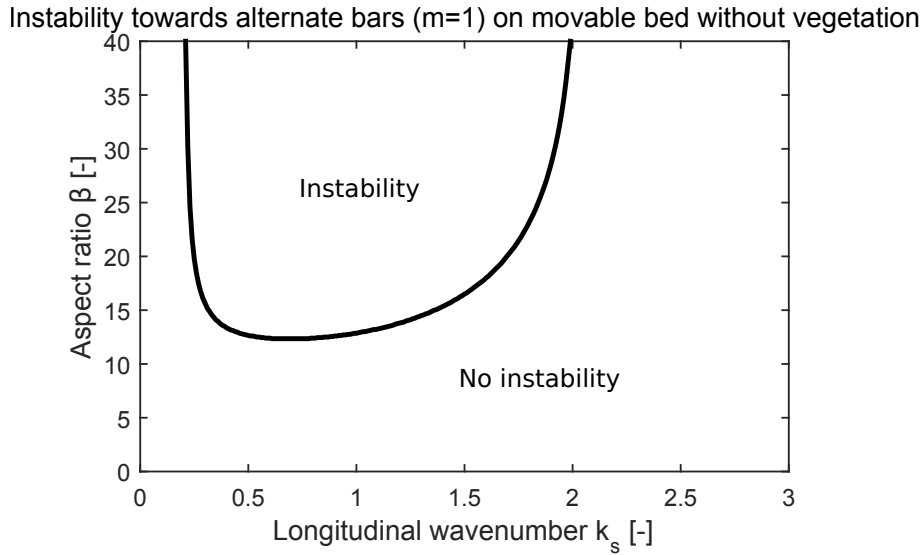
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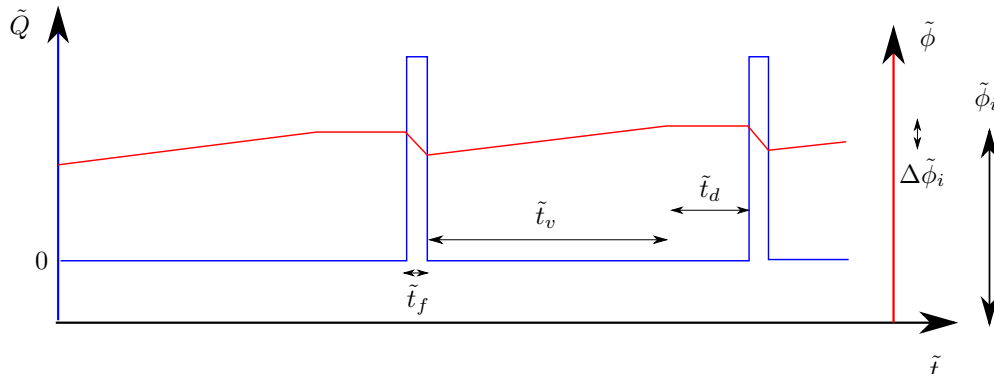
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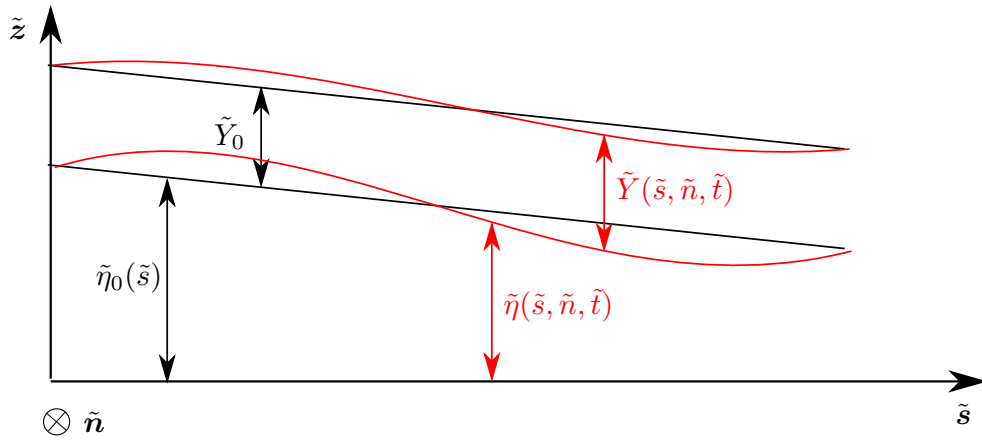
**Figure 1.** Examples of river bed patterns emerging in different environments: A) regular series of unvegetated alternate bars on the Rhine River (Haag, Switzerland; B) braided river in absence of vegetation (Waimakariri River, New Zealand); C) moderately vegetated multiple bars (Awash River, Ethiopia); D) anabranching patterns in the form of completely vegetated multiple bars (Awash River, Ethiopia). Map data: Google, Digital-globe.



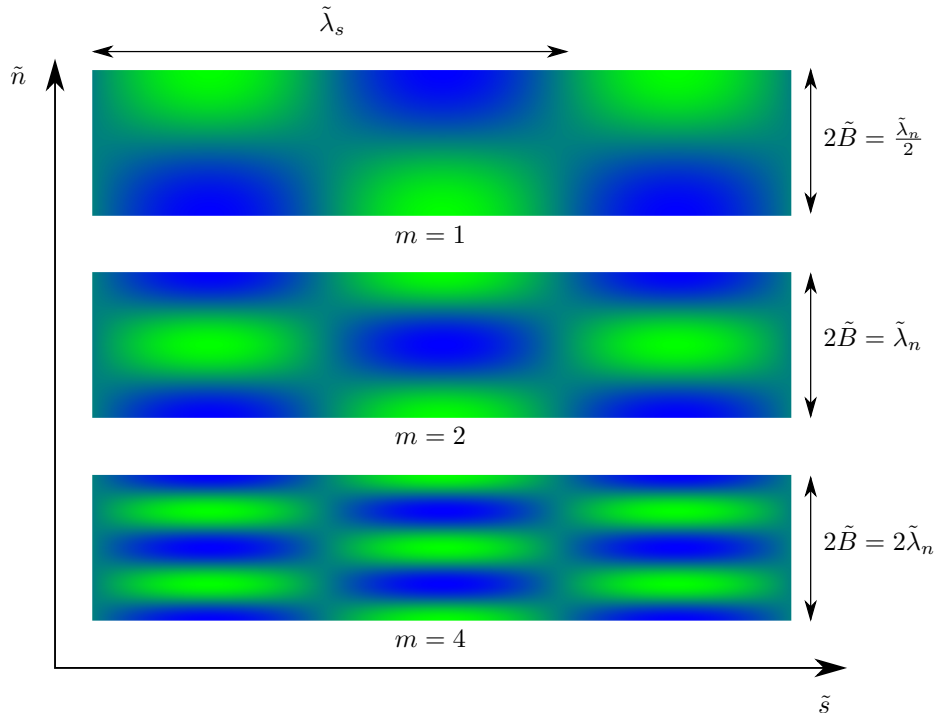
**Figure 2.** Neutral curve for alternate bar formation (instability towards alternate bars above the line, no instability below) in the  $k_s$  vs.  $\beta$  space.



**Figure 3.** Idealized river hydrograph with non-constant flow: the blue curve represents water discharge ( $\tilde{Q}$ ); the red curve represents vegetation density ( $\tilde{\phi}$ ).  $\tilde{\phi}_i$  the vegetation density after cycle  $i$  and  $\Delta\tilde{\phi}_i$  the change of vegetation density during cycle  $i$ . Flooding timescale  $t_f$ , vegetation timescale  $t_v$  and drought timescale  $t_d$  are indicated in black.



**Figure 4.** Uniform water height  $\tilde{Y}_0$  and bed profile  $\tilde{\eta}_0(\tilde{s})$  in black and perturbed water height  $Y_0(\tilde{s}, \tilde{n}, \tilde{t})$  and bed profile  $\tilde{\eta}(\tilde{s}, \tilde{n}, \tilde{t})$  in red.

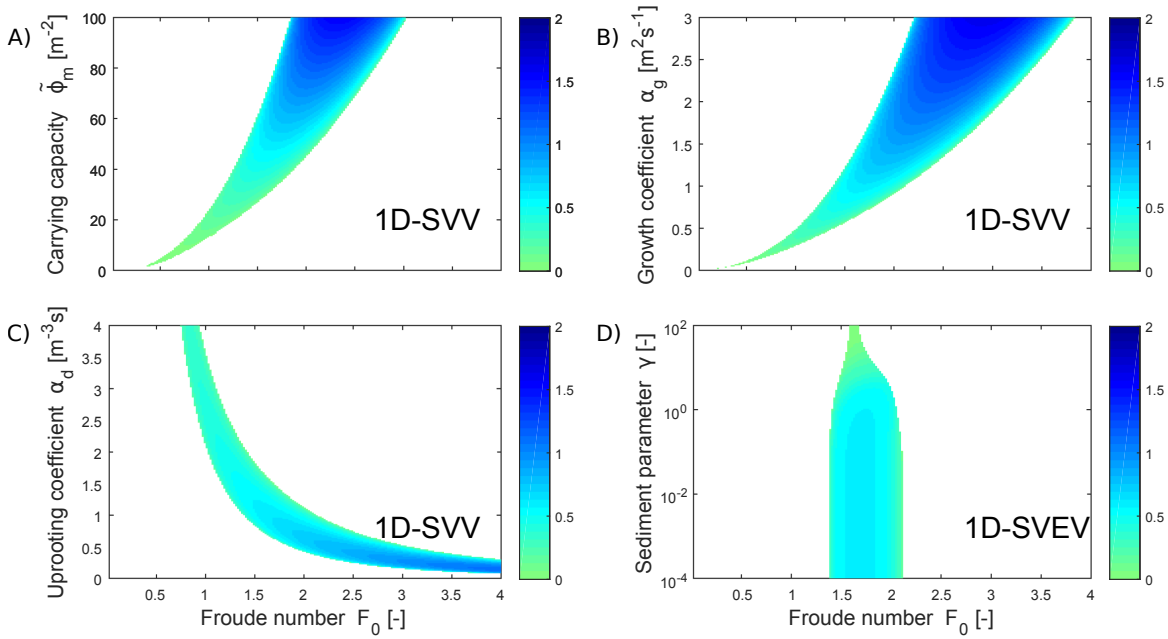


**Figure 5.** Top view of bed elevation for alternate ( $m = 1$ ) and multiple bars ( $m > 1$ ), blue indicates lower elevation.

**Table 2.** Fixed parameters of the 1D analysis

Parameter name	Variable	Value	Units
Normal water depth	$\tilde{Y}_0$	1	m
Stokes drag coefficient	$c_D$	1.5	-
Vegetation diameter	$d$	0.01	m
Strickler coefficient	$k_{st}$	33.33	$\text{m}^{1/3}\text{s}^{-1}$
Vegetation diffusion coefficient	$D$	0	$\text{m}^2\text{s}^{-1}$

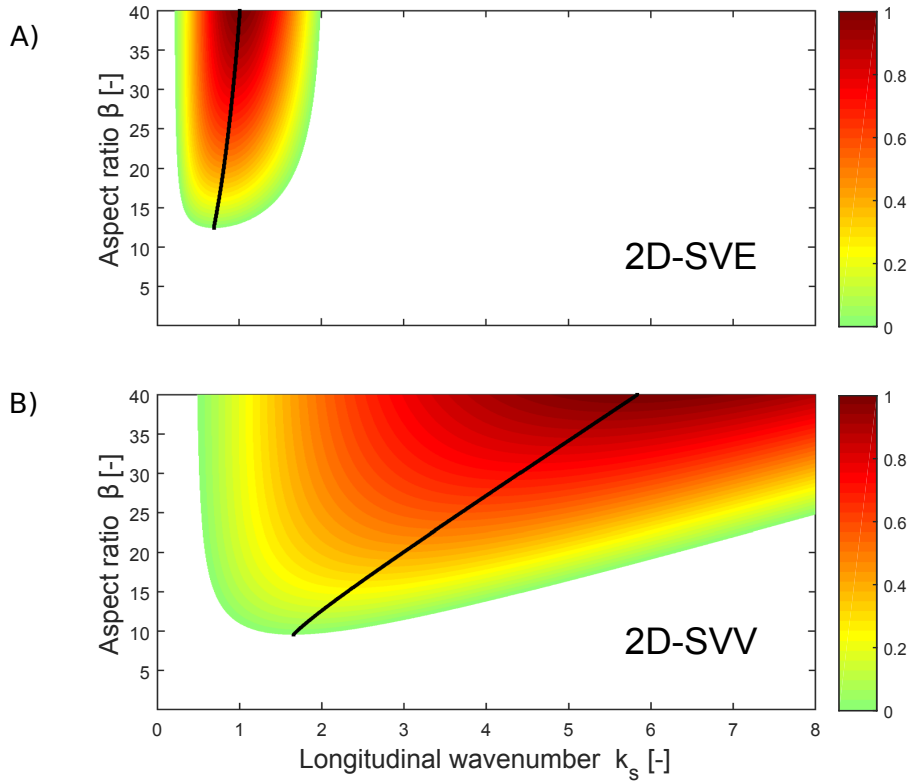




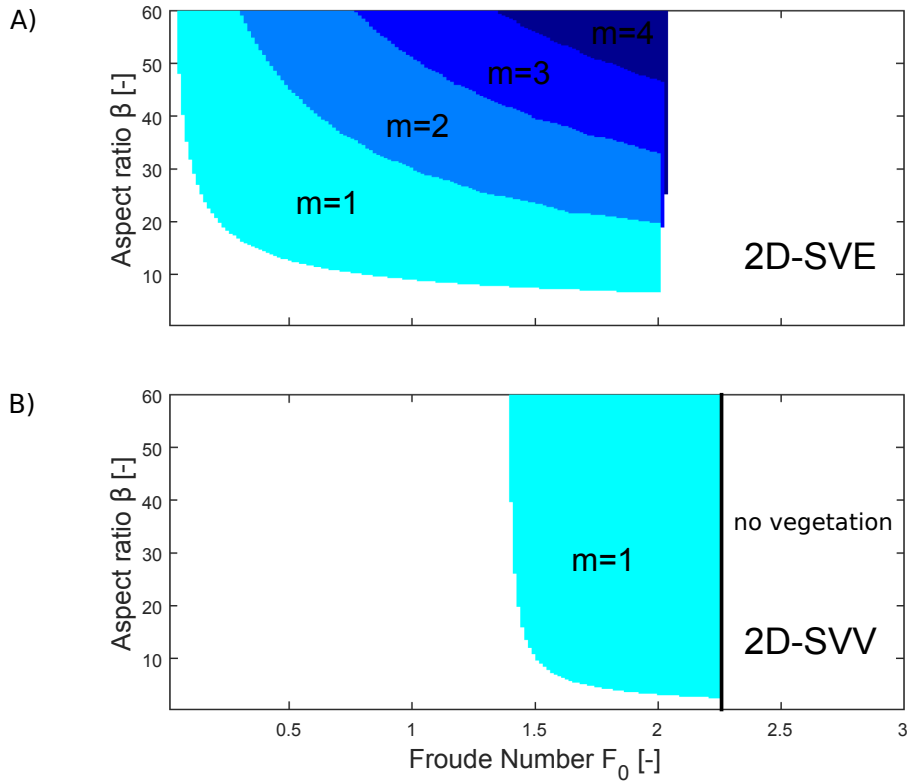
**Figure 6.** 1D instability domains of SVV and SVEV: white means no instability towards patterns and the color code indicates the most unstable longitudinal wavenumber. Fixed parameter values are indicated in Table 2. A) Froude number ( $h_0$  fixed) vs. vegetation carrying capacity ( $\alpha_g = 1 \text{ m}^2 \text{ s}^{-1}$ ,  $\alpha_d = 1 \text{ m}^{-3} \text{ s}$ ,  $D = 0 \text{ m}^2 \text{ s}^{-1}$  and  $\gamma = 10^{-3}$ ), B) Froude number ( $h_0$  fixed) vs. vegetation growth coefficient ( $\tilde{\phi}_m = 50 \text{ m}^{-2}$ ,  $\alpha_d = 1 \text{ m}^{-3} \text{ s}$ ,  $D = 0 \text{ m}^2 \text{ s}^{-1}$  and  $\gamma = 10^{-3}$ ), C) Froude number ( $h_0$  fixed) vs. vegetation uprooting coefficient ( $\tilde{\phi}_m = 50 \text{ m}^{-2}$ ,  $\alpha_g = 1 \text{ m}^2 \text{ s}^{-1}$ ,  $D = 0 \text{ m}^2 \text{ s}^{-1}$  and  $\gamma = 10^{-3}$ ), D) Froude number ( $h_0$  fixed) vs. sediment parameter ( $\tilde{\phi}_m = 50 \text{ m}^{-2}$ ,  $\alpha_g = 1 \text{ m}^2 \text{ s}^{-1}$ ,  $\alpha_d = 1 \text{ m}^{-3} \text{ s}$  and  $D = 0 \text{ m}^2 \text{ s}^{-1}$ ).

**Table 3.** Fixed parameters of the 2D analysis

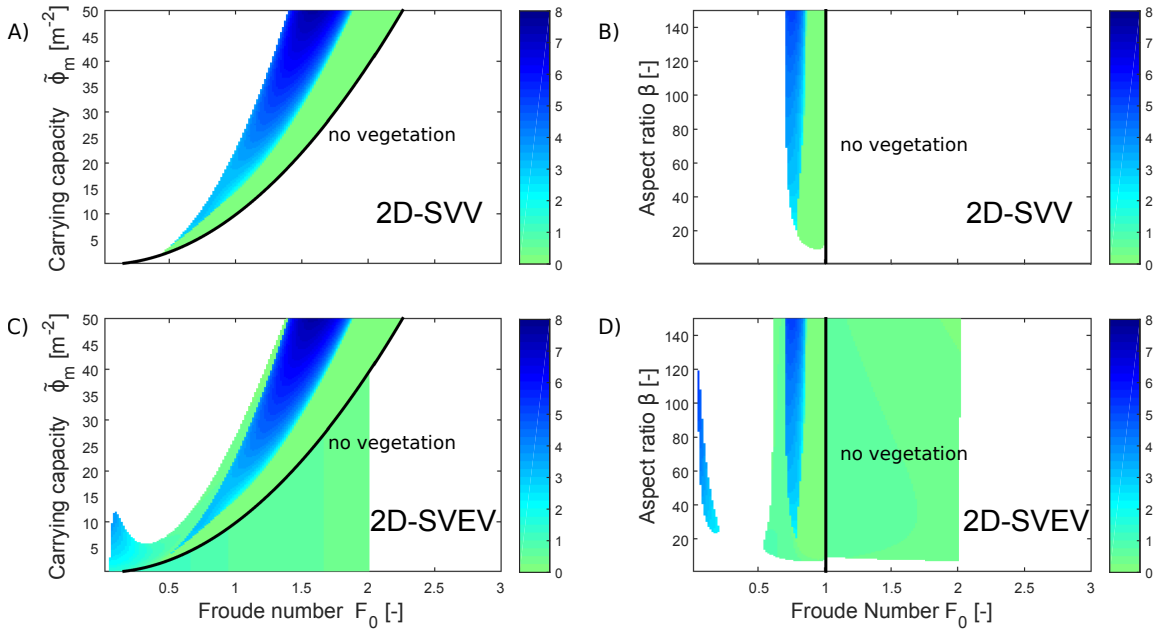
Parameter name	Variable	Value	Units
Normal water depth	$\tilde{Y}_0$	1	m
Stokes drag coefficient	$c_D$	1.5	-
Vegetation diameter	$d$	0.01	m
Strickler coefficient	$k_{st}$	33.33	$\text{m}^{1/3}\text{s}^{-1}$
Median sediment diameter	$d_{50}$	0.005	m
Transverse slope parameter	$r$	0.5	-
Vegetation growth coefficient	$\alpha_g$	1	$\text{m}^2\text{s}^{-1}$
Vegetation uprooting coefficient	$\alpha_d$	1	$\text{m}^{-3}\text{s}$
Vegetation diffusion coefficient	$D$	100	$\text{m}^2\text{s}^{-1}$



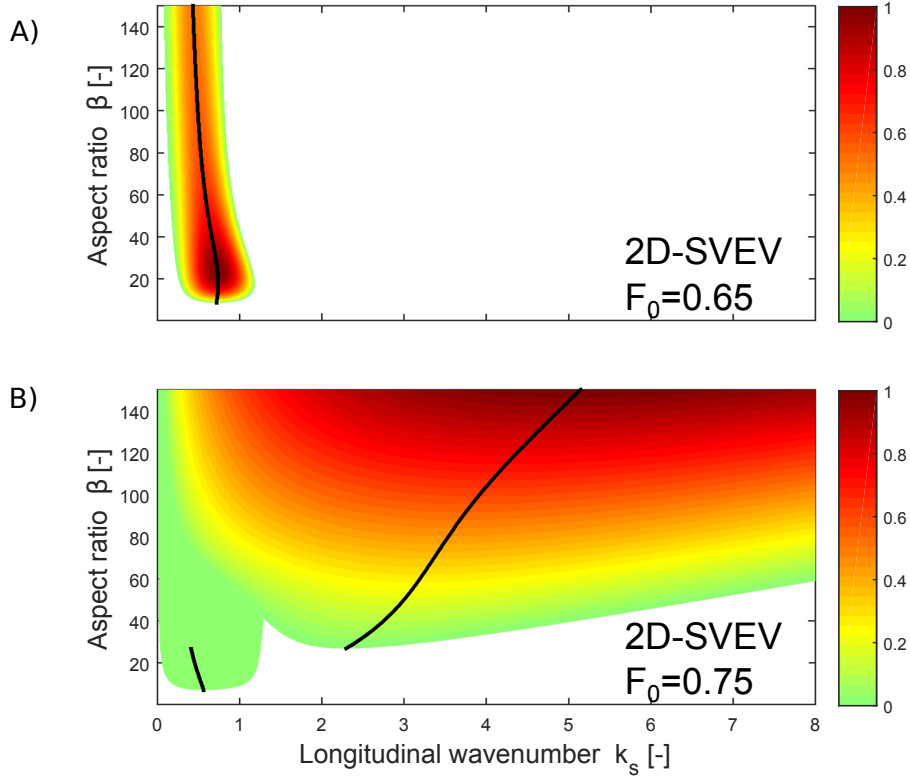
**Figure 7.** 2D instability domains of SVE and SVV: white means no instability towards patterns, the color code indicates the maximum exponential growth coefficient (normalized to the maximum value occurring in each figure) and the black line marks the selected longitudinal wavenumber for each aspect ratio. Fixed parameter values are indicated in Table 3. A) Longitudinal wavenumber vs. aspect ratio for alternate bars on a movable bed without vegetation ( $F_0 = 0.5$  and  $m = 1$ ), B) Longitudinal wavenumber vs. aspect ratio for alternate bars on a fixed bed with vegetation ( $F_0 = 1.5$ ,  $\tilde{\phi}_m = 50 \text{ m}^{-2}$  and  $m = 1$ ).



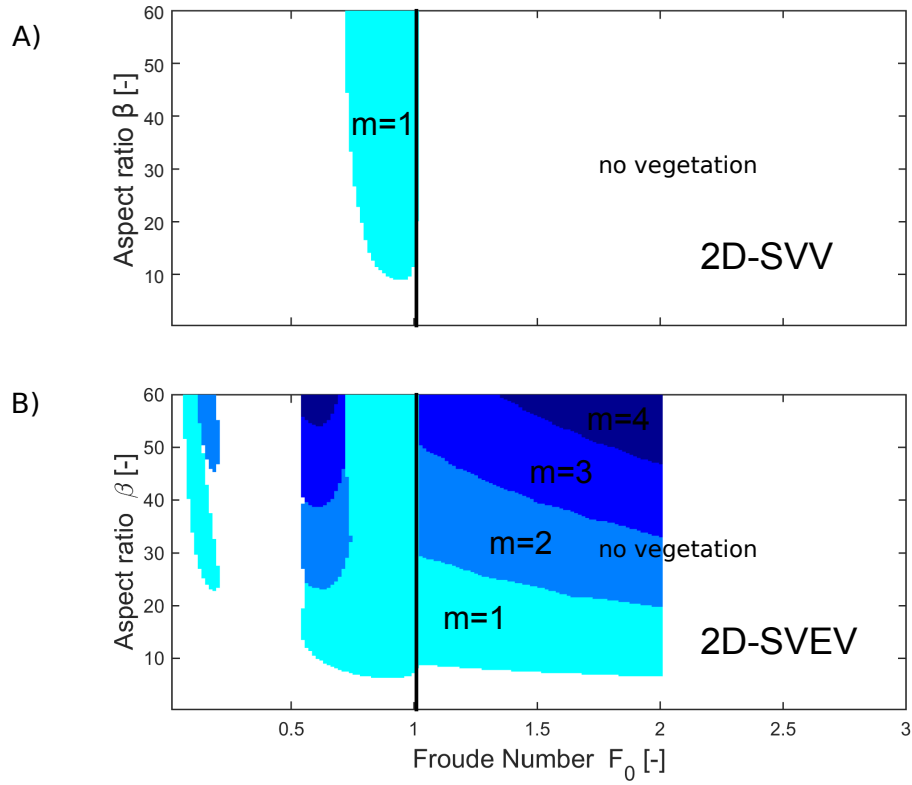
**Figure 8.** 2D instability domains of SVE and SVV: white means no instability towards patterns and the color code indicates bar order  $m$ . Light blue is for  $m = 1$  (alternate bars) and darker blues are for  $m = 2, 3, 4$  (multiple bars). No vegetation survives to the right of the black line. Fixed parameter values are indicated in Table 3. A) Froude number ( $h_0$  fixed) vs. aspect ratio for movable bed without vegetation, B) Froude number ( $h_0$  fixed) vs. aspect ratio for a fixed bed with vegetation ( $\tilde{\phi}_m = 50 \text{ m}^{-2}$ ).



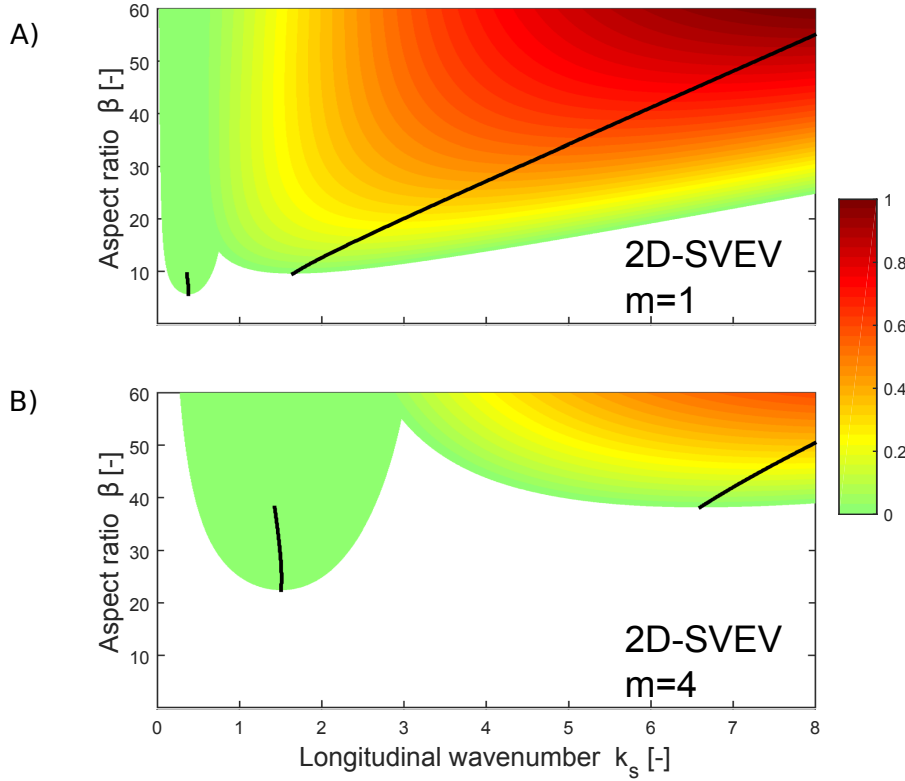
**Figure 9.** 2D instability domains of SVV and SVEV: white means no instability towards patterns and the color code indicates the most unstable longitudinal wavenumber. No vegetation survives to the right of the black line. Fixed parameter values are indicated in Table 3. A) Froude number ( $h_0$  fixed) vs. vegetation carrying capacity on a fixed bed with vegetation ( $\beta = 50$  and  $m = 1$ ), B) Froude number ( $h_0$  fixed) vs. aspect ratio on a fixed bed with vegetation ( $\tilde{\phi}_m = 10 \text{ m}^{-2}$  and  $m = 1$ ), C) Froude number ( $h_0$  fixed) vs. vegetation carrying capacity on a movable bed with vegetation ( $\beta = 50$  and  $m = 1$ ), D) Froude number ( $h_0$  fixed) vs. aspect ratio on a movable bed with vegetation ( $\tilde{\phi}_m = 10 \text{ m}^{-2}$  and  $m = 1$ ).



**Figure 10.** 2D instability domains of SVEV: white means no instability towards patterns, the color code indicates the maximum exponential growth coefficient (normalized to the maximum value occurring in each figure) and the black line marks the selected longitudinal wavenumber for each aspect ratio. Fixed parameters are indicated in Table 3. A) Longitudinal wavenumber vs. aspect ratio for alternate bar formation on a movable bed with vegetation ( $\tilde{\phi}_m = 10 \text{ m}^{-2}$ ,  $F_0 = 0.65$  and  $m = 1$ ), B) Longitudinal wavenumber vs. aspect ratio for multiple bar formation on a movable bed with vegetation ( $\tilde{\phi}_m = 10 \text{ m}^{-2}$ ,  $F_0 = 0.75$  and  $m = 1$ ).



**Figure 11.** 2D instability domains of SVV and SVEV: white means no instability towards patterns and the color code indicates bar order  $m$ . Light blue is for  $m = 1$  (alternate bars) and darker blues are for  $m = 2, 3, 4$  (multiple bars). No vegetation survives to the right of the black line. Fixed parameter values are indicated in Table 3. A) Froude number ( $h_0$  fixed) vs. aspect ratio for fixed bed with vegetation ( $\tilde{\phi}_m = 10 \text{ m}^{-2}$ ), B) Froude number ( $h_0$  fixed) vs. aspect ratio for movable bed with vegetation ( $\tilde{\phi}_m = 10 \text{ m}^{-2}$ ).



**Figure 12.** 2D instability domains of SVEV: white means no instability towards patterns, the color code indicates the maximum exponential growth coefficient (normalized to the maximum value occurring in both figures) and the black line marks the selected longitudinal wavenumber for each aspect ratio. Fixed parameters are indicated in Table 3. A) Longitudinal wavenumber vs. aspect ratio for alternate bar formation on a movable bed with vegetation ( $\tilde{\phi}_m = 50 \text{ m}^{-2}$ ,  $F_0 = 1.5$  and  $m = 1$ ), B) Longitudinal wavenumber vs. aspect ratio for alternate bar formation on a movable bed with vegetation ( $\tilde{\phi}_m = 50 \text{ m}^{-2}$ ,  $F_0 = 1.5$  and  $m = 4$ ).