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## **Causal Role of Motor Simulation in Turn-Taking Behavior**

Abbreviated title: Causal Role of Simulation in Turn-Taking

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## **Abstract**

Overlap between sensory and motor representations has been documented for a range of human actions, from grasping (Rizzolatti et al., 1996b) to playing a musical instrument (Novembre and Keller, 2014). Such overlap suggests that individuals use motor simulation to predict the outcome of observed actions (Wolpert, 1997). Here we investigate motor simulation as a basis of human communication. Using a musical turn-taking task, we show that pianists call upon motor representations of their partner's part to predict when to come in for their own turn. Pianists played alternating solos with a videoed partner, and double-pulse transcranial magnetic stimulation was applied around the turn-switch to temporarily disrupt processing in two cortical regions previously implicated in different forms of motor simulation: the dorsal premotor cortex (dPMC), associated with automatic motor resonance during passive observation of hand actions, especially when the actions are familiar (Lahav et al., 2007); and the supplementary motor area (SMA), involved in active motor imagery, especially when the actions are familiar (Baumann et al., 2007). Stimulation of the right dPMC decreased the temporal accuracy of pianists' (right-hand) entries relative to sham when the partner's (left-hand) part had been previously rehearsed. This effect did not occur for dPMC stimulation without rehearsal, or for SMA stimulation. These findings support the role of the dPMC in predicting the timecourse of observed actions via resonance-based motor simulation during turn-taking. As turn-taking spans multiple modes of human interaction, we suggest that simulation is a foundational mechanism underlying the temporal dynamics of joint action.

## **Significance Statement**

Even during passive observation, seeing or hearing somebody execute an action from within our repertoire activates motor cortices of our brain. But what is the functional relevance of such ‘motor simulation’? By combining a musical duet task with a real-time repetitive TMS protocol, we provide evidence indicating that the dorsal premotor cortex plays a causal role in accurate turn-taking coordination between a pianist and their observed interaction partner. Given that turn-taking behavior is a fundamental feature of human communication, we suggest that simulation is a foundational mechanism underlying the temporal dynamics of communicative joint action.

## **Introduction**

Activation of motor brain areas while seeing or hearing an individual execute an action occurs for simple motor acts such as grasping and biting (Rizzolatti et al., 1996b; Buccino et al., 2001), as well as for more complex sequences such as speech (Scott et al., 2009), dance (Calvo-Merino et al., 2006), and music performance (Novembre and Keller, 2014). Such research suggests that this activation is due to motor simulation of the observed actions (Kilner et al., 2007), whereby perceivers represent actions observed in others using their own motor repertoire (Wilson and Knoblich, 2005) and recruit at least some of the neural resources involved in actually performing those actions (Gallese and Goldman, 1998). This claim is supported by research showing greater motor activation during observation of an action than a static actor, and that this activity is similar to that elicited during action execution (Rizzolatti et al., 1996a; Grezes and Decety, 2001; Rizzolatti and Fogassi, 2014).

A potential benefit of simulating observed actions is the facilitation of communicative interactions, in which predicting each other's upcoming output is essential for effective coordination (Pickering and Garrod, 2013). Music ensemble performance is particularly apt for testing this hypothesis, as success depends on musicians coordinating with high temporal precision (D'Ausilio et al., 2015). A recent piano duet study examined the role of simulation in ensemble synchronization by applying double-pulse transcranial magnetic stimulation (dTMS) to a pianist's right primary motor cortex during a co-performer's tempo change (Novembre et al., 2014). Accuracy was disrupted only when the co-performer's (left-hand) part had been rehearsed beforehand, suggesting that simulation is involved in predicting actions within one's own motor repertoire. The current study extends the investigation of simulation to turn-taking behavior, which is more widespread than simultaneous production across human communicative interactions, including music (passing a melody between performers) and language (conversation).

Two forms of motor simulation are motor resonance and motor imagery (Jeannerod, 2001; Phillips-Silver and Keller, 2012). Motor resonance involves automatic (involuntary) activation of the motor system during passive observation of an action; motor imagery involves active (voluntary) imagination of oneself performing the observed action. The dorsal premotor cortex (dPMC), a region involved in action planning and control (Picard and Strick, 2001), is consistently active during passive observation of hand actions (see Caspers et al., 2010). Furthermore, the dPMC is more strongly active when the observed action is within the observer's repertoire (Lahav et al., 2007), suggesting that motor resonance varies with strength of motor encoding. In contrast, the supplementary motor area (SMA), a region involved in movement initiation and beat perception (Grahn and Brett, 2007; Nachev et al., 2008), is not consistently active during passive observation (Filimon et al., 2007; Caspers et al., 2010; but see Macuga and Frey, 2012), but is consistently active during motor imagery (Kuhtz-Buschbeck et al., 2003; Lotze and Halsband, 2006). Similarly, the SMA is more strongly active when the observed action is within the observer's repertoire (Baumann et al., 2007), with motor imagery relying on experience performing an action (Lotze, 2013).

To investigate the role of simulation in interpersonal turn-taking, we used dTMS to transiently disrupt processing in the dPMC and SMA in a musical task that involved passing a melody between a participant and a pre-recorded co-performer (cf. Novembre et al., 2012, 2014). We manipulated whether or not participants had rehearsed and memorized their partner's part, as motor resonance and imagery are strongest for actions within one's repertoire (Baumann et al., 2007; Lahav et al., 2007). We hypothesized that if motor resonance is used for temporally accurate turn-taking, stimulation of the dPMC would impair entry accuracy when the partner's part had been rehearsed to a greater degree than when the partner's part had not been rehearsed; if motor imagery is used for temporally accurate turn-

taking, then stimulation of the SMA would impair entry accuracy when the partner's part had been rehearsed to a greater degree than when it had not been rehearsed.

## **Materials and Methods**

### *Subjects*

All 16 pianists (10 males) had at least 8 years of piano experience, practiced for at least two hours per week, and gave informed written consent to participate. Participants' mean age was 21.31 years (SD = 5.10), and the mean age at which they began piano tuition was 7.06 years (SD = 2.59). The experiment was conducted in accordance with TMS safety protocols (Rossi et al., 2009) following approval from the Human Research Ethics Committee at University of Western Sydney.

### *Materials and task*

Six 16-bar duets (with 3 beats per bar) were adapted from Bach chorales for the study. These were split into 2-bar solo turns, which alternated between pianists. One pianist played sections of the left-hand bass line and the other played sections of the right-hand melody (see Figure 1), and both lines were reduced so that they were made up entirely of quarter-beat notes. Thus, the stimuli were not familiar to the participants. Eight skilled pianists who were not involved in the main experiment (10+ years' experience) marked the scores of these duets with fingerings that they considered appropriate, and the most frequently chosen fingerings were then printed on the scores.

Duets required pianists to use one hand each (one right, one left), and comprised a short series of alternating solos. Each solo turn was two bars long at 120 beats per minute, spanning 6 beats at 500ms each. Four duets were performed by the participant with their right hand, which implied simulation of their partner's left hand (Novembre et al., 2014). We investigated participants' simulation of their left hand for two reasons. First, this approach was consistent with previous studies reporting increasing motor excitability in response to

rehearsed actions in piano players (e.g., D'Ausilio et al., 2006, where MEPs were elicited by stimulating the right motor cortex while pianists were listening to left-hand-rehearsed piano pieces). Second, the disruptive effect of TMS on ipsilateral hand movement is less prominent for right, as compared to left, motor cortex stimulation (Chen et al., 1997). To maintain participants' focus on both hands we included two filler duets in which participants used their left hands. For all duets the partner's part was recorded by a male pianist playing a digital piano (Yamaha Clavinova) in time with a metronome (mean absolute timing error = 62ms), and presented to participants in the experiment as a video.

### *Procedure*

Participants were given the duets to rehearse and memorize (using specified fingerings) one week before the lab session. Two of the right-hand duets showed both left- and right-hand parts, and two showed only their own right-hand part (counterbalanced across participants). In the former, participants therefore rehearsed and memorized both their own and their partner's part (*familiar* condition), and in the latter they rehearsed and memorized their own part but never saw their partner's part (*unfamiliar* condition). In the lab, participants undertook a memorization check to ascertain that they could play their own and, in the *familiar* duets, their partner's part, accurately from memory. Participants were then introduced to the task through a series of practice trials without TMS.

Presentation Software (Neurobehavioral Systems, Inc.) controlled the timing of both the TMS and the audio-visual recordings. In order for the participant to hear both their own and their partner's output, the audio from Presentation was combined with that of the keyboard using a mixer (visible on the left of Figure 1), and presented to the participant through headphones. The participant's keystroke timings were recorded in Presentation in tenths of milliseconds as serial signals via a specially designed device that converted the keyboard output from Musical Instrument Digital Interface (MIDI) format.



Participants performed 96 trials (duet performances) in total. They performed the 4 right-hand (experimental) duets 18 times each in an individually randomized order. Participants also performed the 2 left-hand (filler) duets 9 times each, together with 6 catch trials (in which the video and audio recordings were mismatched) in an individually randomized order. Non-experimental trials were interspersed every 4-6 experimental trials. Before each trial participants saw the notation of their part. These stimuli were presented on a 19-inch monitor, with viewing distance being approximately 50cm. When ready, the participant pressed a button to initiate the duet performance. The participant was instructed to play the alternating turns with the videoed duet partner, matching his tempo (120bpm). In order to make sure that participants watched the video, the notation of the chorale was not present during the trial itself. Half way through the experiment, participants had a break to practice the chorales once each, with notation including both hands where relevant (to strengthen the representation of the duet partner's part in the *familiar* condition).

Double-pulse TMS (100ms inter-pulse interval) was applied each time the participant entered to play after a solo by the videoed pianist, leading to four double-pulses per experimental duet. The time point of the second stimulation pulse fell either slightly before the videoed pianist's final beat (-150ms), on their final beat (0ms), or slightly after their final beat (+150ms) in a manipulation akin to jittering. The ideal position of the duet partner's final beat was based on the metronome that he heard during the recording stage. Entries of the participant were separated by 6s, and hence each double-pulse was separated by at least 5.7s (to avoid adaptation to TMS). Over the experiment, participants experienced 192 active double TMS pulses (plus 170 sham double pulses) in a total time of 2 hours (including region localization and half-time break). See Figure 1.

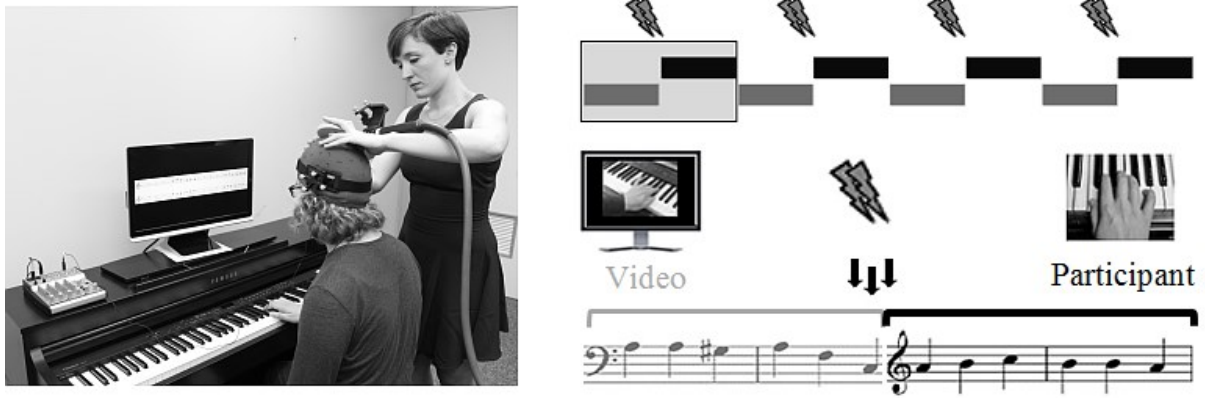


Fig. 1 Example experiment setup and procedure. Position of the TMS coil was monitored by the experimenter in real time using a NeuroNavigator to stay within 5mm of localization (not shown). The duets were structured as alternating 2-bar turns as shown in the upper schematic (grey bars indicating the videoed pianist's part, black bars indicating the participant's part). The four applications of dTMS within each trial occurred at turn switch points. Below the schematic is an example of such a turn switch point with arrows demonstrating the three alternative time points of stimulation (150ms before, on, or 150ms after, the duet partner's final beat).

### *Design*

A within-subject design was used with two factors: Stimulation site (right dPMC, SMA, sham) and Familiarity (*familiar*: partner's part previously rehearsed, *unfamiliar*: partner's part not previously rehearsed). In addition, stimulation timing was jittered (150ms before, on, or 150ms after the partner's final beat) to allow for the possibility that the time course of simulation may be variable. Stimulation timing was therefore randomized within the four participant entries in each trial, while stimulation site was kept constant within a given trial but randomized between trials. Participants completed 72 experimental trials, together with 18 filler and 6 catch trials.

## *TMS*

A MagStim Rapid2 (Magstim Co., Whitland, UK) stimulator was used, and applied with a 70mm figure-of-eight coil. Individuals' resting motor thresholds were defined as the lowest intensity with which stimulation of the right primary motor cortex evoked at least 5 out of 10 successive motor evoked potentials (MEPs with amplitude  $>50 \mu\text{V}$ ) in the left first dorsal interosseous muscle. While determining the resting motor threshold, muscular contraction (electromyography signal) in the target muscle was visually monitored and full muscular relaxation was obtained. During the experiment, the paired pulses were applied on-line at 110% of this threshold (as used to cause temporary disruption in Rice et al., 2006; Cohen et al., 2009). The average stimulation intensity during dTMS was 71% of stimulator output (SD 8.6%).

The position of the TMS coil for both the dorsal premotor cortex (dPMC) and supplementary motor area (SMA) was ascertained from the left-hand representation of the right primary motor cortex (M1, which was determined using an MEP-guided procedure, see above) in accord with previous research (Giovannelli et al., 2014). The position of the anterior border of the dPMC was determined by moving the center of the junction of the TMS coil anterior from M1 by 3cm, keeping orientation constant (Picard and Strick, 2001; Siebner et al., 2003). The position of the SMA was determined by placing the center of the coil 2.5cm anterior to the vertex (midpoint between the nasion and inion), handle pointing backwards and coil wings parallel to the floor (Matsunaga et al., 2005; Hamada et al., 2009). In the sham condition the coil was tilted  $90^\circ$  away from the scalp over the right M1 hotspot, with the wing touching the scalp, the handle pointing  $45^\circ$  posterior to the x-axis (Talairach coordinate system), and the magnetic field pulse directed ventrally toward the experimenter (standing on the right side of the participant, see Figure 1). Sham stimulation was conducted to control for any general effects of the auditory clicks associated with the stimulation process. Accurate

positioning was maintained by the experimenter throughout the experiment (within 5mm) using the Northern Digital Incorporated Polaris Spectra NeuroNavigator (Northern Digital Inc., Waterloo, Canada).

### *Data analysis*

Only turns in which the participant played their entire solo phrase correctly were analyzed (defined as all notes in the right order with no insertions). The accuracy of pianists' first keystroke was determined in relation to the unheard metronome underlying the duet partner's performance. The differences between these two timings (in ms) were then converted into absolute values, which designate early entries and late entries as equally distant from the ideal response (Chen et al., 2008). Since absolute response time values have a lower bound of 0ms and are hence not normally distributed, we log transformed our data as a standard correction for positive skew. One outlying pianist whose data were more than 2.5 SD from the mean turn timing error was removed, leaving 15 participants ( $M = 3.07$ ,  $SD = 0.18$ ; outlier  $M = 3.83$ ). For those remaining, outlying entry timings were removed by participant ( $M \pm 2.5$  SD, 2.91% entries removed).

### **Results**

Pianists were generally very accurate at the task, showing a mean turn timing error of 32.7ms ( $SD = 28.5$ ms). As hypothesized, turn-timing accuracy varied depending on the stimulation site and familiarity of the partner's part ( $F(2,28) = 4.484$ ,  $p = 0.020$ ). This interaction was unaffected by stimulation timing ( $F(4,56) = 0.236$ ,  $p = 0.917$ ), although a main effect of timing showed that accuracy was highest when pulses occurred on (rather than before or after) the duet partner's final beat ( $F(2,28) = 5.106$ ,  $p = 0.013$ ). We therefore collapsed the data across the three stimulation times and analyzed the familiar and unfamiliar conditions separately (see Figure 2A).

In the unfamiliar condition there was no effect of stimulation site ( $F(2,28) = 0.296$ ,  $p = 0.746$ ), but in the familiar condition there was an effect of stimulation site ( $F(1.394,19.520) = 6.114$ ,  $p = 0.015$ , Greenhouse-Geisser correction). We ran t-tests on the difference in performance between familiar and unfamiliar conditions at each stimulation site to investigate this effect (see Figure 2B). While there was no difference in accuracy between stimulation of the SMA and sham ( $t(14) = 0.888$ ,  $p = 0.389$ ), stimulation of the dPMC significantly impaired entry accuracy in comparison to sham ( $t(14) = 3.197$ ,  $p = 0.006$ ). This was due to stimulation of dPMC impairing accuracy compared to sham in the familiar condition ( $t(14) = 2.717$ ,  $p = 0.017$ ) but not in the unfamiliar condition ( $t(14) = -0.155$ ,  $p = 0.879$ ).

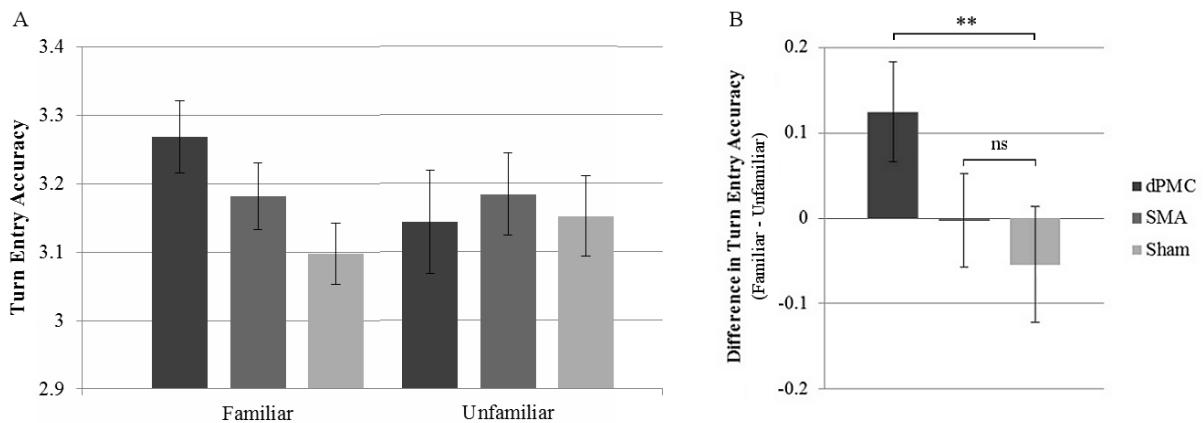


Fig. 2 A. Mean turn entry accuracy by stimulation site (dPMC vs. SMA vs. Sham) and familiarity (Familiar vs. Unfamiliar). B. Difference in turn-entry accuracy between Familiar and Unfamiliar conditions by stimulation site (dPMC vs. SMA vs. Sham). Error bars represent 1 s.e.m. \*\*  $p < 0.01$ , ns  $p > 0.1$ .

## **Discussion**

Following previous research showing activation of motor brain regions when individuals see or hear others executing actions, we investigated the role of perceptual-motor coupling in turn-coordination. By using dTMS to disrupt neural processing in motor regions during musical turn-taking, we provide supporting evidence for simulation being causally involved in one's own entry accuracy. Our findings indicate that perceptual-motor coupling is not a simple by-product of the repeated co-exposure of particular actions with particular sounds or sights, but that it plays a functional role for prediction within interpersonal coordination (Novembre and Keller, 2014; Novembre et al., 2014). Furthermore, we investigated two forms of motor simulation through stimulation of two motor regions: the dPMC, associated with motor resonance; and the SMA, associated with motor imagery. We found that stimulation of the dPMC around the turn switch-point impaired pianists' entry timing when they were familiar with their duet partner's part, but not otherwise. We did not find evidence that disruption of the SMA led to inaccurate turn timing. These findings suggest that motor resonance is necessary for making temporal predictions in turn-taking, whereas motor imagery is not.

Importantly, our duets involved predefined gaps between turns and hence could have been completed using an internal beat-counting process (i.e., without simulation). However, our finding of entry accuracy disruption with dPMC stimulation in the familiar condition suggests that simulation is nonetheless relied on for temporal predictions when the observed action sequence has been strongly encoded through rehearsal. The lack of such an effect in the unfamiliar condition may be due to simulation being relied on to a lesser extent for actions outside of one's repertoire, disruption of weaker resonance being too subtle to detect, or motor regions being differentially active during observation of strongly and weakly encoded actions.

The coupling of an observed action and its motor representation has been reported in a number of domains outside of music performance, including language (Meister et al., 2007; Fischer and Zwaan, 2008; Scott et al., 2009). As music and language have a number of similarities, and require accurate temporal coordination between individuals for a successful interaction (Stivers et al., 2009; Ragert et al., 2013), we suggest that the use of simulation to predict a partner's actions may occur in both domains. The theory that simulation underlies prediction of a partner's utterance has strong empirical support (see Pickering and Garrod, 2013). In Pickering and Garrod's account, an addressee uses simulation to derive the speaker's production command, then runs this command through a forward model to predict the upcoming utterance and determine when to respond. In a similar way, we propose that a duettist awaiting their entry uses simulation and forward modelling to predict what their partner will play and, importantly, the appropriate time to enter. In the current experiment, rehearsal of the partner's part led to more accurate simulation and hence better prediction of the appropriate point of entry.

In summary, our results indicate that motor activation in the dPMC during partner observation is associated with the use of simulation to generate temporal predictions, and that when observed actions are represented within one's own motor system this simulation is used in determining the timing of turn-taking. As simulation has similarly been proposed to underlie timing of conversational turns in language, we suggest that the use of simulation to facilitate interpersonal coordination generalizes across domains. Turn-taking is the basis of human communication, spanning verbal (linguistic) and non-verbal (musical) interactions, and our findings indicate that simulation plays a role in regulating its temporal dynamics.

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