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# Locality, Cyclicity, and Resumption: At the Interface between the Grammar and the Human Sentence Processor

Theodora Alexopoulou, Frank Keller

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# LOCALITY, CYCLICITY, AND RESUMPTION: AT THE INTERFACE BETWEEN THE GRAMMAR AND THE HUMAN SENTENCE PROCESSOR

THEODORA ALEXOPOULOU

FRANK KELLER

University of Lille III

University of Edinburgh

We present an experimental investigation of the role of resumptive pronouns. We investigate object extraction in wH-questions for a range of syntactic configurations (nonislands, weak islands, strong islands) and for multiple levels of embedding (single, double, and triple). In order to establish the crosslinguistic properties of resumption, parallel experiments were conducted in three languages, viz. English, Greek, and German. Three main experimental results are reported. First, resumption does not remedy island violations: resumptive pronominals are at most as acceptable as gaps, but not more acceptable. This result disconfirms claims in the literature that resumptives can 'save' island violations. Second, embedding reduces acceptability even in extraction out of nonislands and declaratives, structures standardly assumed to be fully grammatical. Third, nonislands and weak islands pattern together and contrast with strong islands in terms of the effect of resumption and embedding. Our experimental findings show a remarkable consistency across the three languages we investigate; crosslinguistic variation appears confined to quantitative differences in crosslinguistically identical principles. We argue that these experimental results can be explained by the interaction of grammatical principles with resource limitations of the human parser. In particular, extraction from nonislands and weak islands imposes increased demands on the computational resources of the parser. We extend Gibson's (1998) syntactic prediction locality theory in order to formalize this intuition and account for the processing complexity of A-bar dependencies.\*

1. Introduction. Acceptability judgments form the empirical basis of linguistic theory (in particular in syntax and semantics), at least in the generative tradition. A crucial feature of some theoretically important judgments is their gradient nature, that is, they fall somewhere between fully acceptable and fully unacceptable. This observation can be traced back to the early days of generative grammar. Indeed, an account of gradience has been viewed as a theoretical desideratum by Chomsky, who argues that 'an adequate linguistic theory will have to recognize degrees of grammaticalness' (1975:131). Recent years have witnessed an increased interest in the gradient nature of acceptability judgments, mainly due to the emergence of an experimental paradigm that makes it possible to obtain reliable gradient acceptability judgments. MAGNITUDE ESTIMATION (Bard et al. 1996, Cowart 1997) allows subjects to indicate as many degrees of acceptability as they perceive, thus overcoming restrictions imposed by standard ordinal scales. Over the last decade, this methodology has been fruitfully applied to the study of a wide range of phenomena (see Sorace & Keller 2005 for an overview). In this article we take this approach a step further by exploring the potential of magnitude estimation for reliable crosslinguistic comparisons, in line with recent work by Keller and Sorace (2003), Featherston (2005), and Meyer (2003).

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The phenomenon under investigation is the interaction between islands and resumption in questions. Since Ross 1967, indirect questions and relative clauses have been known as ISLANDS, that is, environments that cannot contain the gap of a long-distance filler-gap dependency. Indeed, in the generative tradition, sensitivity to such islands is one of the main diagnostics of movement. Resumption, that is, the involvement of a pronominal in place of the illicit gap, has been viewed as a 'last resort' device that can 'save' island violations, by restoring structures containing illicit gaps to full acceptability. The locality conditions underlying island effects and their interaction with resumption are of central theoretical importance, given that the availability of otherwise unbounded nonlocal dependencies is viewed as a crucial feature of natural language. Such conditions still resist satisfactory theoretical understanding, however, and their interaction with resumption has remained largely mysterious. In this article we approach these theoretical questions through a systematic experimental investigation of the interaction between locality and resumption in wH-questions. Much of the existing literature has focused on resumptives in relative clauses, or has made no explicit distinction between questions and relative clauses.

The chosen experimental methodology allows us to quantify distinctions between 'weak' and 'strong' islands and compare them with grammatical extractions out of nonislands (e.g. nonfactive *that*-complements). The magnitude estimation paradigm allows us to measure the effect of resumption in its interaction with each distinct factor, and so obtain a richer and more systematic picture of its 'saving' nature. Crucially, the crosslinguistic aspect of the experimental results reported here allows a new understanding of the nature of the crosslinguistic variation involved in these phenomena.

In what follows we first introduce the basic phenomena and the rationale behind our experimental setup. We then present the results of three experiments on object extractions in English, German, and Greek. Next we describe a follow-up study on Greek object extractions, investigating interactions between islands, resumption, and case mismatches, as well as comparisons with declarative sentences and questions involving embedded clauses. Finally, we summarize the main results of these experiments, and then develop a theoretical analysis of the experimental data.

- 2. Background: Locality restrictions and resumption.
- **2.1.** Strong and weak islands. As is well known, the acceptability of the whquestions in 1 is sensitive to the type of clause containing the gap that corresponds to the initial wh-phrase (Ross 1967). Thus, a wh-question involving a gap within a (nonfactive) *that*-complement as in 1a is considered fully acceptable, but acceptability degrades when the same configuration involves an indirect question as in 1b; 1c, where the gap is contained in a relative clause, is strongly unacceptable. Since Ross 1967, indirect questions and relative clauses (complex NPs) have been considered islands for movement, that is, environments from which movement is illicit. In fact, sensitivity to islands is taken as one of the primary diagnostics of movement. The contrast in the acceptability of 1b and 1c is acknowledged informally by referring to indirect questions as 'weak' islands and to relative clauses as 'strong' ones.
  - (1) a. Who does John think Mary will choose *t*?
    - b. ?\*Who did Mary wonder whether they will fire *t*?
    - c. \*Who did John meet the girl who will marry *t*?

<sup>&</sup>lt;sup>1</sup> A preliminary version of these results was reported in Alexopoulou & Keller 2002 and 2003.

As in 1, combinations of stars and question marks are standardly used to indicate varying degrees of acceptability. The shortcomings of this practice are discussed in detail by Bard and colleagues (1996), Cowart (1997), and Schütze (1996). One key problem is that the various combinations of diacritics are not systematic. Thus, for cases like 1, there is no clear understanding of the 'distance' in acceptability between 1b and 1a. For example, it seems that for authors like Chung and McCloskey (1983), the contrast between 1b and 1c is much stronger than indicated here. In fact, it would appear to be essentially equivalent to the contrast between 1a and 1c, since they judge 2 as follows (from Chung & McCloskey 1983, ex. 3a and 4d).<sup>2</sup>

- (2) a. \*Which dialects can you find speakers that linguists would agree know well?
  - b. Who were you wondering if we should see?

A further complication is that it is not obvious that the absence of a diacritic in 2b indicates full acceptability (on a par with 1a), rather than the absence of ungrammaticality. In fact, for Chung and McCloskey, it appears that the absence of a star in 2b indicates that the sentence does not violate any grammatical principle, not that it has full acceptability.<sup>3</sup>

Either way, there is an important question underlying such discrepancies. Are they an artifact of the absence of an unambiguous notational system and the lack of a systematic way of quantifying linguistic intuitions, or do they represent real disagreements about the acceptability of the structures in question? We address this question here by conducting a series of magnitude estimation studies designed to investigate the nature of the contrasts between weak islands, strong islands, and nonislands, and by quantifying the difference in acceptability between these configurations.

If establishing the acceptability status of weak islands within a language is problematic, the task becomes significantly more difficult for crosslinguistic comparisons. For example, at least two authors judge Greek examples involving extraction out of an indirect question as in 3 as grammatical (Tsimpli 1995, Alexopoulou 1999). (Example 3b is an instance of focus-movement where the extracted phrase *ta vivlia* bears sentential stress, as indicated by the small capitals.) The question then is, are weak-island effects completely absent from Greek, that is, are extractions out of indirect questions as acceptable as extractions from *that*-complements? Or do Greek weak islands involve only a mild decrease in acceptability, leading the authors to the conclusion that no grammatical violation is involved in 3? Is there any sense in which weak islands in Greek are weaker than in English, thus explaining the contrast between 3 and 1b?

- (3) a. Pion anarotiθikes an θa apolisune? who.ACC wondered.2sg whether/if will fire.3pL 'Who did you wonder whether they will fire?'
  - b. Me rotise ta VIVLIA an epestrepsa.
    me.ACC asked.3sG the books if returned.1sG
    'He asked me if I returned the BOOKS.'

<sup>&</sup>lt;sup>2</sup> The diacritic ?\* is used by Haegeman (1994:492) for the example in (i), which, for current purposes, we take as equivalent to 1b. (If anything, 1b ought to be even worse than (i), since d-linking is often assumed to improve weak-island violations. We ignore this issue here.)

<sup>(</sup>i) ?\*Which man do you wonder when John will meet?

<sup>&</sup>lt;sup>3</sup> The apparent discrepancy in judgments does not relate to the type of complementizer used, *whether* vs. *if.* Chung and McCloskey (1983) make the same assumptions for *whether*- and *if*-clauses. Moreover, as discussed in §8.2, Kluender (1998) reports experimental results on extraction from *if*-clauses that are parallel to those reported here for extractions from *whether*-clauses.

- **2.2.** ISLANDS AND RESUMPTIVE PRONOUNS. Crosslinguistically, pronouns may appear in relative clauses and questions in the place of a gap as in the Greek relative clause in 4. Such (operator/A-bar bound) pronouns are known as resumptive pronouns (Sells 1984).
  - (4) mia istoria pu tin eleye i jaja mu otan imun mikri a story that her said.3sg the.Nom grandma my when was.1sg young 'a story my grandma used to tell when I was young'

Resumptive pronouns are excluded from simple, unembedded questions crosslinguistically, and in particular in English, Greek, and German.<sup>4</sup>

- (5) a. Who did you fire  $\emptyset$ /\*him?
  - b. Pion Ø/\*ton apelises? who.ACC Ø/him fire.2sg
  - c. Wen entlassen wir Ø/\*ihn? who.ACC fire.1PL we Ø/him

An important question is whether the star diacritic has the same meaning in all three languages. On some level it does, since it indicates that in all three languages, speakers judge such sentences as unacceptable, and linguists consider them to involve a grammatical violation. Greek, however, allows resumption in embedded questions and other structures more freely than English and German (see ex. 8 and §4). The question then is, are sentences like 5 equally unacceptable in all languages?

While (non-d-linked) questions generally resist resumption, the presence of a pronominal is often viewed as a 'last resort' device 'saving' island violations (Ross 1967, Kroch 1981). The island-violating examples below are 'saved' by the presence of a pronominal (examples from Haegeman 1994).

- (6) a. This is the man whom<sub>i</sub> Emsworth told me when he will invite  $him_i$ .
- b. This is the man whom<sub>i</sub> Emsworth made the claim that he will invite  $him_i$ . Similar claims have been made for Greek, for instance by Merchant (2004), who offers the example in 7.
  - (7) O Janis ine o adras pu i Maria efiye apo the.nom Janis.nom is the.nom man.nom that the.nom Maria left.3sg from
    - to parti otan ton iðe.
    - the party when him saw.3sg
    - 'Janis is the man Maria left from the party when she saw him.'

While authors agree that there is an effect of resumption, the exact acceptability status of such sentences is not clear. The absence of any diacritics from the above sentences should indicate full acceptability. However, it is not obvious that this is so. The experimental research reported in subsequent sections quantifies the 'saving' effect of resumption and investigates the interaction between island violations and resumption.

Furthermore, the present study aims at a thorough crosslinguistic investigation of the issue. While resumption has a remedying effect crosslinguistically, some crosslinguistic differences exist. For example, a pronominal is acceptable in 8b in Greek, but not in 8a. Our aim, thus, is also to establish the status of crosslinguistic contrasts such as in 8 and investigate how such contrasts might relate to the general acceptability of weak islands and resumption in these languages.

<sup>&</sup>lt;sup>4</sup> D-linking has been argued to improve the acceptability of resumptives in questions (Sells 1984, Anagnostopoulou 1994, Iatridou 1995, Giannakidou 1997).

- (8) a. Which student did you wonder whether we'll invite \*him?
  - b. Pion fititi anaroti $\theta$ ikes an  $\theta$ a ton kalesume? who.acc student.acc wondered.2sg whether will him invite.1pl

Before we continue, a clarification is in order. Sells (1984) distinguishes 'intrusive' from 'true' resumption. The former is the type discussed here, that is, resumption in island configurations appearing as a last resort/saving device in place of an illicit gap/ trace. True resumption involves pronominals that can be freely bound by operators, in the absence of any apparent grammatical violation. True resumption is widespread in Semitic and Celtic relative clauses. We adopt this distinction here, and in the remainder of this article the term resumption is used to indicate intrusive resumption, unless otherwise specified. (We also often use the term 'resumptive' as short for 'resumptive pronoun'.)

- **2.3.** RESUMPTION AND EMBEDDING. Finally, a much less discussed case of interaction between locality and resumption is pointed out by Erteschik-Shir (1992). She argues that a resumptive pronoun becomes more acceptable as the extraction site becomes more deeply embedded, a claim illustrated with the examples in 9. Dickey (1996) provides experimental evidence confirming this observation.
  - (9) a. This is the girl that John likes t/\*her.
    - b. This is the girl that Peter said that John likes *t*/??her.
    - c. This is the girl that Peter said that John thinks that Bob likes *t*/?her.
    - d. This is the girl that Peter said that John thinks that yesterday his mother had given some cakes to ?t/her.

Similarly, Tsimpli (1999) argues that in Greek, a pronominal is acceptable when embedded at least one *that*-clause away from the matrix (compare 10 with 5b).

(10) Pion ipoptefθike i Maria oti θa ton kalesume? who.ACC suspected.3sG the.NOM Maria that will him.ACC invite.1pL 'Who did Maria suspect we will invite?'

Here, we investigate such effects experimentally in English, Greek, and German object extractions.

**2.4.** QUESTIONS VS. RELATIVE CLAUSES. Before we turn to the presentation of the experimental results, a note is in order on why questions rather than relative clauses were chosen for this investigation, in particular since the majority of relevant observations in the theoretical literature involve relative clauses rather than questions.

Under standard syntactic assumptions, questions and relative clauses share the same syntax (e.g. instances of A-bar dependencies, possibly both involving a quantificational operator in the sense of Lasnik & Stowell 1991). But there are some important empirical differences, not always accommodated theoretically, that make questions more suitable for an initial investigation.

First, and perhaps most importantly, certain corpus studies have indicated the very real existence of resumption in English relative clauses outside island environments (Prince 1990, 1997, Cann et al. 2005). We are not aware of any such studies for questions. The general unavailability of resumption in questions allows us to better isolate interactions between resumption and embedding/islands.

<sup>&</sup>lt;sup>5</sup> Shlonsky (1992) analyzes true resumption in Semitic relative clauses as an instance of last resort resumption.

Second, there are a number of factors interacting with resumption in relative clauses that are absent from questions. For instance, Prince (1990) found an interaction between resumption and the definiteness of the head (see also Suñer 1998): of one hundred relative clauses in her corpus,<sup>6</sup> eighty-four appeared in relative clauses headed by an indefinite (gap relative clauses headed by an indefinite were seventy-six). At the same time, the theoretical literature on Greek takes pronominals to be unacceptable in definite relative clauses but optional in indefinite ones (Tsimpli 1999, Alexiadou & Anagnostopoulou 2000). While worthy of investigation, such effects make it harder to pin down the interaction between resumption and islands in relative clauses.<sup>7</sup>

Finally, resumption interacts with whether or not a relative clause is introduced by a relative pronoun or a complementizer (Joseph 1980b, 1983); thus, in Greek *pu*-relative clauses resumption is obligatory in oblique positions (indirect object, possessor). Such interactions are absent from questions, which therefore makes it possible to focus on interactions of resumption with locality.

In sum, while the study of relative clauses (as well as d-linked questions) is important for understanding interactions of resumption and locality, questions are more suitable for an initial investigation due to the general unavailability of resumption in these structures. Indeed, there is evidence that the results reported here do not extend to relative clauses, as indicated by McDaniel and Cowart's (1999) magnitude estimation study investigating the interaction between *that*-trace violations, weak islands, and resumption in English relative clauses. We discuss this study in more detail in §8.4.

**2.5.** Magnitude estimation. The present study relies on subtle linguistic intuitions about the relative acceptability of gaps and resumptive pronouns in extraction. As discussed above, the standard practice of collecting informal judgments has yielded contradictory data for the phenomenon at hand and is inadequate for crosslinguistic comparisons. To address this situation, we have employed magnitude estimation (ME), an experimental paradigm designed to overcome the shortcomings of the informal collection of judgments (Bard et al. 1996, Schutze 1996, Cowart 1997). In this section we briefly present the main features of ME.

Magnitude estimation is a technique developed in psychophysics to measure judgments of sensory stimuli (Stevens 1975). In particular, ME was developed to determine to what extent subjects can reliably indicate proportional judgments corresponding to degrees of magnitude in perceived physical stimuli, for example, whether subjects can reliably indicate not just that a light is MORE OR LESS bright than a reference stimulus, but HOW MANY TIMES brighter. The key feature of ME is that it makes it possible to investigate proportional judgments by employing a continuous numerical scale. This allows subjects to indicate as many sensory distinctions as they perceive; in this respect it overcomes problems associated with five- or seven-point ORDINAL scales conventionally used to measure human intuitions. ME studies have yielded highly reliable judg-

<sup>&</sup>lt;sup>6</sup> This number excludes relative clauses involving resumption in island environments.

<sup>&</sup>lt;sup>7</sup> One might note that d-linking in questions may improve the acceptability of pronominals; however, while it is relatively straightforward to abstract away from d-linking in questions and initially study non-d-linked questions, it is not obvious how to neutralize the definite/indefinite contrast in relative clauses. Moreover, d-linking has been argued to interact with resumption in relative clauses as well (Stavrou 1984, Sharvit 1999).

<sup>&</sup>lt;sup>8</sup> Such facts have led to the hypothesis that, in addition to the standard syntax of A-bar dependencies, there is a syntactic relation (AGREE) between *pu* and the nominal/case features of the relativized phrase (Alexopoulou 2006; see also Merchant 2004).

ments for a whole range of sensory modalities, such as brightness, loudness, or tactile stimulation, demonstrating that generally equal ratios on the physical dimensions give rise to equal ratios of judgments; for example, in judgments of brightness, every time the stimulus energy doubles, the subjective brightness becomes one and a half times greater; in judgments of line length, doubling physical line length doubles subjective line length (psychophysical relationships can then be viewed as a set of mathematical functions). The ME paradigm has been extended successfully to the psychosocial domain (Lodge 1981), for example, for investigating notions like social prestige. Bard and colleagues (1996) and Cowart (1997) have shown that ME can also be applied to linguistic judgments, and that it provides fine-grained measurements of acceptability, which are robust enough to yield statistically significant results, while being highly replicable both within and across speakers.

In linguistic ME, subjects are asked to assign numbers to a series of linguistic stimuli proportional to the acceptability they perceive, according to the following procedure. First, they see a set of instructions that explain the concept of numerical ME using line length. Several example lines and corresponding numerical estimates are provided to illustrate the concept of proportionality. After reading the instructions, subjects take part in a training phase designed to familiarize them with the task. In the training phase, subjects are asked to use ME to judge the length of a set of lines. In particular, they see a reference line and are told to give it an arbitrary number; then, they are asked to assign a number to each following line representing how long the line is in proportion to the reference line; if the line is twice as long as or half the reference line, the number they assign should be twice or half the number of the reference line, and so forth. Subjects are told that linguistic acceptability can be judged in the same way as line length. A set of practice items involving examples of sentences of varying acceptability is used to illustrate the task. Finally, subjects judge the experimental items. Subjects first see a modulus (reference item), to which they assign an arbitrary number. Then, all other stimuli are rated proportionally to the modulus, that is, if a sentence is three times as acceptable as the modulus, it gets three times the modulus number, and so forth. Subjects are randomly assigned to stimulus sets, and the stimuli in a given stimulus set are presented in random order (a new order is generated for each subject).

All ME data presented here were normalized and log-transformed before being graphed or subjected to statistical tests. Normalization is necessary since experimental subjects can freely choose the number they assign to the modulus sentence. Dividing each numeric judgment by the modulus judgment therefore creates a common scale for all subjects. The data are then transformed by taking the decadic logarithm. This transformation ensures that the judgments are normally distributed and is standard practice for ME data (Bard et al. 1996).

Normalized, log-transformed data can be interpreted as follows: the modulus sentence receives a judgment of zero. Sentences with a positive judgment are more acceptable than the modulus, while sentences with a negative judgment are less acceptable than the modulus. Note that the modulus (in line with recommendations in the ME literature) was selected to be a sentence of intermediate acceptability.

It is important to note that the absolute judgments obtained in an ME experiment are not meaningful. All judgments have to be interpreted relative to the modulus (or relative to the judgments for another sentence in the same experiment). The reason for this is that a range of irrelevant factors can vary between experiments (e.g. the number and acceptability of filler sentences). It follows that it is not possible to compare numeric judgments across experiments, or to assign labels such as 'grammatical' or 'ungrammat-

ical' to sentences based on the absolute judgments obtained in a magnitude estimation experiment.

In the experiments here, we deal with this by including control conditions in all of our experiments. These conditions consist of sentences of known acceptability status (e.g. fully acceptable or fully unacceptable items). We then compare the other sentences in the experiment to the controls and hence indirectly draw conclusions about their acceptability status.

- **3.** Experiment 1: RESUMPTIVES AND OBJECT EXTRACTION IN ENGLISH. The first experiment investigated how embedding and island constraints interact with resumption in English. Four different configurations were used: nonfactive complement clause without that (nonisland), nonfactive complement clause with that (nonisland), complement clause with whether (weak island), and relative clause (strong island). Two levels of embedding were tested: single embedding (one complement clause or relative clause) and double embedding (one that-complement clause intervening between the wh-phrase and the that- or whether-complement clause or a relative clause). To have a standard of comparison, we also included sentences without embedding (control condition, zero embedding). Example sentences are given in 11–14.
  - (11) Nonisland condition (bare clause)
    - a. Who will we fire  $\emptyset$ /him? (zero embedding)
    - b. Who does Mary claim we will fire Ø/him? (single)
    - c. Who does Jane think Mary claims we will fire  $\emptyset$ /him? (double)
  - (12) Nonisland condition (*that*-clause)
    - a. Who does Mary claim that we will fire  $\emptyset$ /him? (single)
    - b. Who does Jane think that Mary claims that we will fire  $\emptyset$ /him? (double)
  - (13) Weak-island condition (*whether*-clause)
    - a. Who does Mary wonder whether we will fire  $\emptyset$ /him? (single)
    - b. Who does Jane think that Mary wonders whether we will fire  $\emptyset$ /him? (double)
  - (14) Strong-island condition (relative clause)
    - a. Who does Mary meet the people that will fire Ø/him? (single)
    - b. Who does Jane think that Mary meets the people that will fire  $\emptyset$ /him? (double)

### **3.1.** Method.

Subjects. Fifty-five subjects were recruited over the internet by postings to newsgroups and mailing lists. All subjects were self-reported native speakers of English. Linguists and students of linguistics were excluded from the sample.

MATERIALS. The design crossed the following factors: Embedding (single or double embedding), Island (complement clause without *that*, complement clause with *whether*, relative clause), and Resumption (gap or resumptive). This resulted in Embedding  $\times$  Island  $\times$  Resumption =  $2 \times 4 \times 2 = 16$  cells. As controls, we included stimuli without embedding (gap or resumptive), increasing the number of cells to eighteen. Nine lexicalizations were used for each cell, yielding a total of 162 stimuli.

The stimulus set was divided into nine subsets of eighteen stimuli by placing the items in a Latin square. A set of eighteen fillers was used, covering the whole acceptability range.

PROCEDURE. The method used was magnitude estimation as proposed in Bard et al. 1996 and Cowart 1997 and described in §2.5.

After reading the instructions and before proceeding to the training phase, subjects had to fill in a short demographic questionnaire, which included age, sex, handedness, and language region, which was defined as the place where the subject learned his or her first language. After the training and practice phase, each subject judged one set of eighteen experimental stimuli and all eighteen fillers, that is, a total of thirty-six items. Subjects were randomly assigned to stimulus sets; this assignment was slightly unbalanced, since the number of subjects was not a multiple of the number of stimulus sets. The stimuli in a given stimulus set were presented in random order; a new order was generated for each subject.

Keller & Alexopoulou 2001 presents a detailed discussion of the safeguards that WebExp puts in place to ensure the authenticity and validity of the data collected, and also presents a validation study comparing web-based and lab-based judgment data (for other validation studies, see Keller & Asudeh 2001, Corley & Scheepers 2002).

**3.2.** RESULTS. All data were normalized and log-transformed as described in §2.5. Figure 1 graphs the mean judgments with standard errors for all four configurations. In the following, we report only the qualitative results for this experiment (i.e. the significant differences obtained). The details of the statistical analyses can be found in Appendix A.

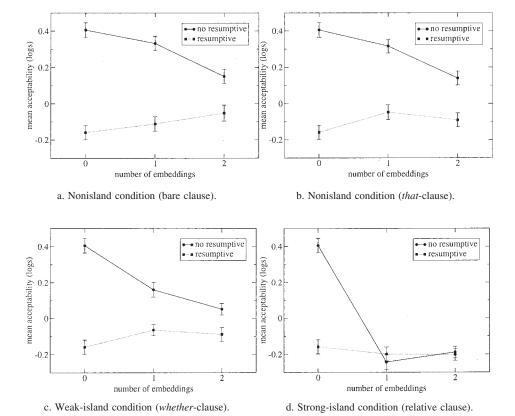


FIGURE 1. Effects of embedding and resumption on object extraction in English in experiment 1.

An analysis of variance (ANOVA) was carried out to determine which of the experimental factors had a significant effect on acceptability and to establish any significant interactions between the factors. The ANOVA yielded significant main effects of Embedding, Island, and Resumption. The interaction of Island and Resumption was also significant. This is the most relevant interaction in this study, as it indicates that the acceptability of resumptives is sensitive to island violations. We also found a significant interaction of Embedding and Resumption; the other interactions were significant only by subjects.

A Tukey post-hoc test was conducted to further investigate the interaction of Island and Resumption. This test allows us to determine in which of the island conditions a gap is more acceptable than a resumptive. The results show that gaps are significantly more acceptable than resumptives for bare clauses, *that*-clauses, and *whether*-clauses. For relative clauses, no significant difference was found.

As a next step, a series of Dunnett tests was carried out to determine if the singleand double-embedding conditions were significantly different from the unembedded control condition. The results are given in Table 1.

	G	AP	RESUMPTIVE			
	SINGLE	DOUBLE	SINGLE	DOUBLE		
CLAUSE TYPE	EMBEDDING	EMBEDDING	EMBEDDING	EMBEDDING		
bare clause		*		(*)		
that-clause		*	(*)			
whether-clause	*	*				
relative clause	*	*				

\*: significant by subjects and items; (\*): significant by subjects only

Table 1. Results of Dunnett tests comparing embedded clauses with the unembedded control in experiment 1.

**3.3.** Discussion. The first important finding is that embedding per se has an effect on the acceptability of wh-questions even in the nonisland condition (extraction out of *that*-clauses). This effect is only a tendency in the first level of embedding, but reaches statistical significance in the case of doubly embedded questions. This is unexpected from a grammar point of view, since no grammatical violation is associated with these structures. However, this finding is consistent with results in the psycholinguistic literature. For example, Frazier and Clifton (1989) report results from a self-paced reading task of similar sentences indicating processing difficulty; similar findings have been reported more recently by Dickey (1996) and Kluender (1998). We discuss these studies in §8.2.

As expected, extraction from weak islands (*whether*-clauses) was less acceptable than extraction from nonislands (bare clauses and *that*-clauses): we found a significant difference between zero embedding and single embedding for *whether*-clauses, but not for bare clauses and *that*-clauses. For all three types of extraction, we also found that double embedding was significantly worse than zero embedding. For relative clauses, by contrast, we found that single embedding was seriously unacceptable, on a par with resumptive pronouns; double embedding did not reduce acceptability further.

Turning finally to the issue of resumption, pronominals were found to be significantly worse than gaps in all conditions (with the exception of extraction from relative clauses). We found, however, that resumption appears to reverse the effect of embedding: in bare clauses, doubly embedded resumptives were significantly more acceptable than the unembedded control (by subjects only). In *that*-clauses, singly embedded resump-

tives were significantly more acceptable than the control (by subjects only). As we see in subsequent sections, this effect is also present in Greek and German.

**4.** EXPERIMENT 2: RESUMPTIVES AND OBJECT EXTRACTION IN GREEK. The purpose of experiment 2 was to test the crosslinguistic validity of our findings for English and the potential influence of some structural differences between English and Greek. In particular, Greek differs from English in the following ways: (i) indirect questions in Greek are not considered islands (see §2.1); (ii) a pronominal is acceptable in wh-questions when embedded at least one *that*-clause away from the matrix (see §2.3) or in an embedded question (see §2.2); and (iii) unlike English, Greek exhibits productive resumption in CLITIC LEFT DISLOCATION (CLLD) as in 15.

(15) To Jani to sinadisame stin ayora. the ACC Janis ACC him met 1PL at the market 'We met Janis at the market.'

The stimuli used were analogous to the ones in experiment 1 for English. There was no bare-clause condition, however, since the complementizer is obligatory in Greek complement clauses of this type. The following are example materials that illustrate the types of sentences used. (In Greek the pronominal is a clitic, attached to the left of the verb. Gaps, marked by  $\emptyset$  below, are indicated preverbally only for convenience. No claims are made for the position of the trace corresponding to the gap.)

```
(16) Nonisland condition (that-clause)
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a. Pion θa Ø/ton apolisume? (zero embedding)
 who.ACC will Ø/him fire.1PL
 'Who will we fire?'

b. Pion isxirizete i Ana oti  $\theta a$   $\emptyset$ /ton apolisume? (single) who.ACC claim.3sG the.NOM Anna that will  $\emptyset$ /him fire.1pL

'Who does Anna claim that we will fire?'

c. Pion nomizi o Petros oti isxirizete i Eleni who.acc think.3sg the.nom Petros.nom that claim.3sg the.nom Eleni oti  $\theta a$   $\emptyset$ /ton apolisume? (double) that will  $\emptyset$ /him fire.1pL

'Who does Petros think that Eleni claims that we will fire?'

(17) Weak-island condition (whether-clause)

a. Pion anarotiete i Maria an θa Ø/ton who.ACC wonder.3sG the.NOM Maria whether will Ø/him apolisume? (single) fire.1PL

'Who does Maria wonder whether we will fire?'

b. Pion nomizi o Petros oti anarotiete i who.acc think.3sg the.nom Petros.nom that wonder.3sg the.nom

Maria an  $\theta a \theta / ton \text{ apolisume?}$  (double)

Maria whether will Ø/him fire.1pL

'Who does Petros think that Maria wonders whether we will fire?'

<sup>&</sup>lt;sup>9</sup> As pointed out to us by the editor, complement clauses introduced by *na* are, according to many analyses, instances of complementizer-less complement clauses in Greek (Philippaki-Warburton & Veloudis 1985). While it would be interesting to compare *oti*-complement clauses with *na*-complement clauses with regard to the phenomena addressed here, such an investigation goes beyond the scope of this article.

- (18) Strong-island condition (relative clause)
  - a. Pion sinadai i Eleni tus tipus pu θa Ø/ton who.ACC meet.3sG the.NOM Eleni the.ACC guys.ACC that will Ø/him apolisun? (single) fire.3pL

'Who does Eleni meet the guys that will fire?'

b. Pion nomizi o Petros oti sinadai i Eleni who.ACC think.3sG the.NOM Petros.NOM that meet.3sG the.NOM Eleni tus tipus pu θa Ø/ton apolisun? (double) the.ACC guys.ACC that will Ø/him fire.3pL

'Who does Petros think that Eleni meets the guys that will fire?'

Our focus here is on intrusive resumption. But given examples like 15, it's worth asking whether intrusive and nonintrusive resumption can be reliably distinguished in Greek, since d-linking can improve the acceptability of resumption in unembedded questions. <sup>10</sup> We discuss this issue in more detail in §8.2. <sup>11</sup> For the moment we present our basic assumptions. (i) Simple unembedded questions with a pronominal involve ungrammatical cases of putative true resumption. The main reason for this assumption is the grammaticality contrast between examples 15 and 16a; crucially, resumption is acceptable in CLLD in nonembedded contexts. (ii) Examples like 16b–17 involve intrusive resumption. Again, this assumption is due to the contrast with unembedded questions, which is absent from CLLD—that is, at least under standard assumptions, there is no acceptability contrast between embedded and unembedded examples of CLLD as in 19a and 19b. If anything, acceptability degrades when a weak island is involved as in 19c. Unlike CLLD, pronominals embedded in questions are better than unembedded ones according to the theoretical literature and the results reported below. <sup>12</sup>

- (19) a. To Jani to sinadisame stin ayora. the ACC Janis ACC him met. 1PL at. the market 'We met Janis at the market.'
  - b. To Jani ipame sti Maria oti to sinadisame stin the.ACC Janis.ACC said.1PL to.the Maria that him met.1PL at.the ayora. market

'We told Maria that we met Janis at the market.'

Parodi and Tsimpli (2005) analyze such cases as instances of intrusive resumption due to an opaque/weak-island-like domain created by a rich T in the Greek *na*-clause that blocks the identification of the empty category. Understanding the relation between this type of resumption and resumption in questions is beyond the scope of this article.

<sup>&</sup>lt;sup>10</sup> Under Sells's (1984) definition, true resumption involves an operator-bound pronominal; it is not obvious that CLLD is a case of true resumption under this definition, since, under standard assumptions, no (quantificational) operator is involved in CLLD (Cinque 1990, Lasnik & Stowell 1991, Rizzi 1997, Tsimpli 1999).

<sup>&</sup>lt;sup>11</sup> We owe this point to a referee.

<sup>&</sup>lt;sup>12</sup> Greek has a further resumptive structure involved in NULL OPERATOR STRUCTURES (Joseph 1978, 1980a, Tsimpli 1999). The examples in (i), from Tsimpli 1999, involve a pronominal, while the corresponding English structures involve a gap (Lasnik & Stowell 1991).

c. To Jani mas rotise i Maria an to sinadisame the.ACC Janis.ACC us asked.3sG the Maria.NOM if him met.1pL stin ayora.

at.the market
'Maria asked us whether we met Janis at the market.'

**4.1.** Method. Fifty-nine subjects were recruited over the internet by postings to newsgroups and mailing lists. All subjects were self-reported native speakers of Greek. Linguists and students of linguistics were excluded from the sample.

The design mirrored the one for English, but left out the no-that-clause condition (the complementizer is obligatory in Greek). This resulted in Embedding  $\times$  Island  $\times$  Resumption =  $2 \times 3 \times 2 = 12$  cells. As controls, we included stimuli without embedding (gap or resumptive), increasing the number of cells to fourteen. Seven lexicalizations were used for each cell, yielding a total of ninety-eight stimuli. The stimulus set was divided into seven subsets of fourteen stimuli by placing the items in a Latin square. A set of fourteen fillers was used, covering the whole acceptability range.

The same procedure as in experiment 1 was used. Instructions were presented in Greek.

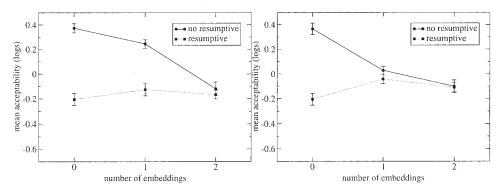
**4.2.** RESULTS. The data were normalized and log-transformed as in experiment 1. Figure 2 graphs the mean judgments for all three configurations. For details of the statistical analyses, see Appendix A.

An ANOVA yielded significant main effects of Embedding, Island, and Resumption. The interaction of Island and Resumption was also significant, which indicates that the acceptability of resumptives is sensitive to island violations. The interactions Island/Embedding, Embedding/Resumption, and Island/Embedding/Resumption were significant only by subjects.

A post-hoc Tukey test was conducted to further investigate the interaction of Island and Resumption. Its results show that gaps are significantly more acceptable than resumptives for *that*-clauses, but not for *whether*- and relative clauses. A series of Dunnett tests was conducted to compare the single- and double-embedding conditions with unembedded control conditions; see Table 2.

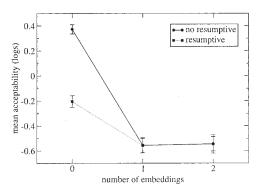
**4.3.** Discussion. As in English, we found that embedding has an effect in *that*-clauses, which in the case of Greek is significant for both levels of embedding (see Fig. 2a). Furthermore, as in English, weak-island violations (extraction out of *whether*-clauses) led to a significant drop in acceptability (see Fig. 2b). Greek and English are not different in this respect, a finding that contrasts with the theoretical literature where weak-island violations like 3 are considered fully acceptable. In particular, gaps singly embedded in a *whether*-clause are worse than gaps singly embedded in a *that*-clause (as in English). Strong-island violations (extraction out of a relative clause) were found to lead to strong unacceptability (as expected, and like in English). No effect of double embedding was detected in relative clauses.

As in English, pronominals are unacceptable in simple Greek questions. This violation appears to be less serious in Greek, however. Greek unembedded pronominals, while significantly worse than gaps, are still significantly better than strong-island violations (see Fig. 2c). By contrast, unembedded pronominals in English questions are as unacceptable as strong-island violations (see Fig. 1d). Under the assumption that extraction out of relative clauses is equally unacceptable in both English and Greek,



a. Nonisland condition (that-clause).

b. Weak-island condition (whether-clause).



c. Strong-island condition (relative clause).

FIGURE 2. Effects of embedding and resumption on object extraction in Greek in experiment 2.

	G	AP	RESUMPTIVE			
	SINGLE	DOUBLE	SINGLE	DOUBLE		
CLAUSE TYPE	EMBEDDING	EMBEDDING	EMBEDDING	EMBEDDING		
that-clause	*	*				
whether-clause	*	*	(*)			
relative clause	*	*	*	*		

\*: significant by subjects and items; (\*): significant by subjects only

Table 2. Results of Dunnett tests comparing embedded clauses with the unembedded control in experiment 2.

the higher acceptability of Greek unembedded pronominals compared to relative clause islands indicates that pronominals are more tolerated in Greek than English.

For Greek *that*-clauses, we failed to find a significant difference between singly or doubly embedded resumptives and the unembedded control condition (zero embedding). For *whether*-clauses, however, pronominals were significantly more acceptable in the embedded conditions (single and double) compared to the control condition. We also found (as in English) that resumption fails to interact with (i.e. reverse the effect of) strong-island violations; unlike embedded pronominals in *that*- and *whether*-clauses, pronominals in relative clauses were worse than in the control condition.

Finally, it is worth noting that doubly embedded pronominals in *that*-clauses and singly and doubly embedded pronominals in *whether*-clauses are as acceptable as gaps. This optionality between the gap and the pronominal has been noted in the theoretical literature on Greek, which, however, fails to note their degraded status in comparison to unembedded controls (see §§2.2 and 2.3).<sup>13</sup> Note finally that Greek differs from English in that pronominals remain significantly worse than gaps in the latter in all conditions (except strong-island violations).

- **5.** EXPERIMENT 3: RESUMPTIVES AND OBJECT EXTRACTION IN GERMAN. The aim of experiment 3 was to test the crosslinguistic validity of experiments 1 and 2 by investigating the interaction of the factors Embedding, Resumption, and Island in German. In 20–22, we list an example stimulus for each experimental condition. These stimuli are closely parallel to the English ones in 11–14.
  - (20) Nonisland condition (that-clause)
    - a. Wen entlassen wir Ø/ihn? (zero embedding) who.ACC fire.1PL we Ø/him
      'Who will we fire?'
    - b. Wen behauptet Petra, dass wir Ø/ihn entlassen? (single) who.ACC claim.3sG Petra that we Ø/him fire.1pL

      'Who does Petra claim that we will fire?'
    - c. Wen denkt Barbara, dass Petra behauptet, dass wir Ø/ihn who.Acc think.3sg Barbara that Petra claim.3sg that we Ø/him

entlassen? (double) fire.1pL

'Who does Barbara think that Petra claims that we will fire?'

- (21) Weak-island condition (whether-clause)
  - a. Wen uberlegt Petra, ob wir Ø/ihn entlassen? (single) who.acc ponder.3sg Petra whether we Ø/him fire.1pl

'Who does Petra ponder whether we will fire?'

b. Wen denkt Barbara, dass Petra überlegt, ob wir Ø/ihn who.ACC think.3sG Barbara that Petra ponder.3sG whether we Ø/him entlassen? (double) fire.1pL

'Who does Barbara think that Petra ponders whether we will fire?'

- (22) Strong-island condition (relative clause)
  - a. Wen trifft Petra die Leute, die Ø/ihn entlassen? (single) who.ACC meet.3sG Petra the people that Ø/him fire.3pL 'Who does Petra meet the people that will fire?'

<sup>&</sup>lt;sup>13</sup> The pronominal is argued to be as acceptable as the gap in examples like (i) (from Tsimpli 1999). In our results, singly embedded pronominals remained significantly worse than the corresponding gaps. It is possible that this contrast is not necessarily one between informal judgments and experimentally collected data. It could be that the embedding predicate in (i), 'suspect' (*ipoptevome*), is more 'opaque' than the one used in the experimental stimuli, 'claim' (*isxirizome*), thus making (i) behave more like a weak island, where singly embedded pronominals and gaps are equally acceptable.

 <sup>(</sup>i) Pion ipoptefθike i Maria oti θa ton kalesume? who.ACC suspected.3sg the.Nom Maria that will him invite.1PL
 'Who did Maria suspect we will invite?'

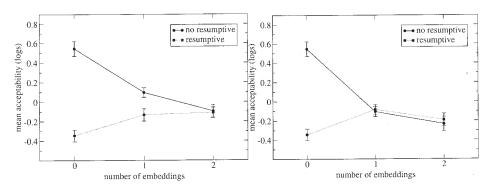
b. Wen denkt Barbara, dass Petra die Leute trifft, die Ø/ihn who.ACC think.3sG Barbara that Petra the people meet.3sG that Ø/him entlassen? (double) fire.3pL

'Who does Barbara think that Petra meets the people that will fire?'

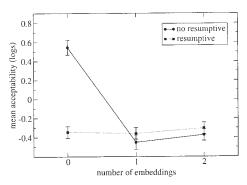
**5.1.** METHOD. Thirty-seven subjects were recruited over the internet by postings to newsgroups and mailing lists. All subjects were self-reported native speakers of German. Linguists and students of linguistics were excluded from the sample.

The experimental design and distribution of materials was identical to that in experiment 2. The same procedure as in experiments 1 and 2 was used. Instructions were presented in German.

**5.2.** RESULTS. The data were normalized and log-transformed as in experiments 1 and 2. Figure 3 graphs the mean judgments for all three configurations. For details of the statistical analyses, see Appendix A.



- a. Nonisland condition (that-clause).
- b. Weak-island condition (whether-clause).



c. Strong-island condition (relative clause).

FIGURE 3. Effects of embedding and resumption on object extraction in German in experiment 3.

An ANOVA yielded a significant main effect of Island, but the main effects of Embedding and Resumption were not significant. The interaction of Island and Resumption was also significant, which indicates that the acceptability of resumptives is sensitive to island violations. The interaction Island/Embedding was also significant; all other interactions failed to reach significance.

A post-hoc Tukey test was conducted to further investigate the interaction of Island and Resumption. No significant difference between the acceptability of gaps and resumptives was found for any of the three clause types (*that*-clause, *whether*-clause, relative clauses). Furthermore, we carried out a series of Dunnett tests to compare the single- and double-embedding conditions with unembedded control conditions; see Table 3.

	G	AP	RESUMPTIVE			
	SINGLE	DOUBLE	SINGLE	DOUBLE		
CLAUSE TYPE	EMBEDDING	EMBEDDING	EMBEDDING	EMBEDDING		
that-clause	*	*	(*)	*		
whether-clause	*	*	(*)	(*)		
relative clause	*	*				

<sup>\*:</sup> significant by subjects and items; (\*): significant by subjects only

Table 3. Results of Dunnett tests comparing embedded clauses with the unembedded control in experiment 3.

**5.3.** Discussion. The experimental results for German show that embedding reduces the acceptability of gapped clauses. <sup>14</sup> This is true for all three clause types, that is, even for *that*-clauses. We also found that resumption can reverse the effect of embedding; in *that*- and *whether*-clauses, embedded resumptives were more acceptable than the unembedded control. No such effect was found for relative clauses. Crucially, however, resumptives were never more acceptable than gaps; they were at most equally acceptable. All of these experimental findings replicate what we found for English and Greek in the previous two experiments.

There are some crosslinguistic differences, however. In English and Greek, we found that extraction from *that*-clauses was more acceptable than extraction from *whether*-clauses. For German, the two clause types are similar in acceptability (see Figs. 3a and 3b). Furthermore, we found that gaps and resumptives can be equally acceptable. German seems to behave like Greek (and unlike English) in this respect (this observation

(i) Was behauptet Petra, wen wir entlassen? what claims Petra who we fire 'Who does Petra claim that we will fire?'

We thus divided the experimental data into two classes, based on the language region subjects reported in the demographic questionnaire that preceded the experiment. Speakers from Austria, Switzerland, Baden-Württemberg, and Bavaria were classified as speakers of Southern German, while all others were classified as speakers of Northern German. There were ten Southern speakers and twenty-two Northern speakers; the data of five subjects had to be excluded from the analysis as they stated Germany as their language region. We reran the ANOVA, now with Dialect as an additional, between-subjects factor. As in the previous analysis, we found a significant main effect of Island and significant interactions of Island and Resumption and Island and Embedding. There was no main effect of Dialect and all interactions involving Dialect were also not significant.

Another ANOVA was conducted for the unembedded control condition, which revealed a main effect of Resumption, but no main effect of Dialect. However, a significant interaction of Resumption and Dialect was found ( $F_1(1,30) = 5.172$ , p = 0.03; not enough data for  $F_2$ ). While Northern and Southern speakers gave comparable judgments to unembedded sentences without resumptives (mean = .5109 and mean = .4796, respectively), they differed in their assessment of unembedded resumptives (mean = -.2399 and mean = -.6671, respectively).

<sup>&</sup>lt;sup>14</sup> Following the suggestion of a referee, we tested the possibility that speakers of Southern dialects of German would give lower scores to our data due to a preference for the so-called wh-expletive construction (i), widely available in Southern dialects (Fanselow & Mahajan 2000).

has not been made in the theoretical literature on German, as far as we are aware). Another crosslinguistic difference concerns unembedded resumptives: in German, they are as unacceptable as strong-island violations. This is like English, but differs from Greek, where unembedded resumptives were more acceptable than strong-island violations.

Taking experiments 1–3 together, the most interesting overall finding is that the acceptability patterns are basically the same across all three languages, which indicates that the principles underlying these phenomena are crosslinguistically constant. This result demonstrates the importance of employing an experimental methodology for identifying crosslinguistic universals and the locus of crosslinguistic variation. For example, in English, the effect of resumption in *that*- and *whether*-clauses is of the same nature as in Greek and German, but manifests itself in a smaller reduction in unacceptability (compared to the unembedded control). It is unlikely that this fact would have been revealed by the standard informal collection of judgments, given that the acceptability of resumptives remains worse than the acceptability of gaps.

**6.** EXPERIMENT 4: CASE VIOLATION AND OBJECT EXTRACTION IN GREEK. Experiments 1–3 demonstrated that resumption reverses the effect of embedding and weak islands, but it cannot restore the affected structures to full acceptability. We also found a crosslinguistic effect of embedding; in all three languages, doubly and singly embedded sentences are less acceptable than unembedded ones. This effect was modulated by the type of the embedded clause (nonisland, weak island, strong island).

Experiment 4 had three aims. First, we wanted to further study the embedding effect by including a triple-embedding condition and identifying whether it induces a further reduction in acceptability, compared with the double-embedding condition studied in experiments 1–3. Second, we wanted to establish whether embedding can lead to a reduction in acceptability outside the context of wh-extraction. We therefore tested the acceptability of multiply embedded sentences in declaratives.

Third, this experiment investigated possible interactions between embedding and a core morphosyntactic violation like case mismatch. Experiments 1–3 established an interaction between resumption and embedding in *that*-clauses, raising the hypothesis that resumption interacts with a processing constraint on embedding. The present experiment studies the interaction between embedding, resumption, and case mismatch with the aim of testing the possibility that case mismatch will be less noticed in more embedded positions.

The materials in experiment 4 are an extension of the stimuli for experiment 2. Examples for the zero-, single-, and double-embedding conditions are given in 16–18. Examples for triple embedding in the nonisland and the weak-island condition are given in 23 and 24, respectively. Note that no strong-island condition was included; it was considered redundant, since no variation was found in this condition in the previous experiments.

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(23) Pion nomizi o Petros oti pistevi o who.ACC think.3sG the.NOM Petros.NOM that believe.3sG the.NOM Kostas oti θeori i Eleni oti θa Ø/ton Kostas.NOM that speculate.3sG the.NOM Eleni that will Ø/him apolisume? fire.1pL
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'Who does Petros think that Kostas believes that Eleni speculates that we will fire?'

(24) Pion nomizi o Petros oti pistevi i Sofia who.ACC think.3sG the.NOM Petros.NOM that believe.3sG the.NOM Sofia oti anarotiete i Maria an θa Ø/ton apolisume? that wonder.3sG the.NOM Maria whether will Ø/him fire.1pL 'Who does Petros think that Sofia believes that Maria wonders whether we will fire?'

For the declarative control condition, we used declarative versions of examples like 16–18, 23, and 24, as illustrated in 25–27.

- (25) Declarative (that-clause)
  - a. θa apolisume to Jani. (zero embedding)
     will fire.1pL the.ACC Janis.ACC
     'We will fire Janis.'
  - b. I Ana  $\theta$ eori oti  $\theta$ a apolisume ton Petro. (single) the.NOM Anna speculate.3sg that will fire.1pl the.ACC Petros.ACC 'Anna speculates that we will fire Petros.'
  - c. O Petros nomizi oti i Eleni θeori oti the.Nom Petros.Nom think.3sg that the.Nom Eleni speculate.3sg that θa apolisume ti Maria. (double) will fire.1pL the.Acc Maria

'Petros thinks that Anna speculates that we will fire Maria.'

- d. O Takis nomizi oti i Ana pistevi oti the.Nom Takis.Nom think.3sg that the.Nom Anna believe.3sg that i Eleni θeori oti θa apolisume ti Maria. (triple) the.Nom Eleni speculate.3sg that will fire.1pL the Maria 'Takis thinks that Anna believes that Eleni speculates that we will fire Maria.'
- (26) Declarative (whether-clause)
  - a. I Maria anarotiete an  $\theta a$  apolisume ton the NOM Maria speculate 3sg whether will fire 1pl the ACC Taki. (single)
    - 'Maria speculates whether we will fire Takis.'
  - b. O Petros nomizi oti i Maria anarotiete the.Nom Petros.Nom think.3sg that the.Nom Maria speculate.3sg an θa apolisume to Joryo. (double) whether will fire.1pL the.Acc Jorgos.Acc 'Petros thinks that Maria speculates whether we will fire Jorgos.'
  - c. O Petros nomizi oti i Sofia pistevi oti the.Nom Petros.Nom think.3sg that the.Nom Sofia believe.3sg that i Maria anarotiete an θa apolisume to the.Nom Maria speculate.3sg whether will fire.1pL the.Acc Joryo. (triple)

Jorgos.ACC

'Petros thinks that Sofia believes that Maria speculates whether we will fire Jorgos.'

- (27) Declarative (relative clause)
  - a. I Eleni sinadai tus tipus. (zero) the.NOM Eleni meet.3sg the.ACC guys.ACC 'Eleni meets the guys.'

b. I Eleni sinadai tus tipus pu θa apolisun to the.Nom Eleni meet.3sg the.Acc guys.Acc that will fire.3pl the.Acc Joryo. (single)

Jorgos.ACC

'Eleni meets the guys who will fire Jorgos.'

c. O Petros nomizi oti i Eleni sinadai tus the.nom Petros.nom think.3sg that the.nom Eleni meet.3sg the.acc tipus pu  $\theta a$  apolisun ton Taki. (double) guys.acc that will fire.3pl the Takis.acc

'Petros thinks that Eleni meets the guys who will fire Takis.'

- d. O Petros nomizi oti i Sofia pistevi oti the.nom Petros.nom think.3sg that the.nom Sofia believe.3sg that i Eleni sinadai tus tipus pu θa apolisun the.nom Eleni meet.3sg the.acc guys.acc that will fire.3pL ton Taki. (triple) the.acc Takis.acc
  - 'Petros thinks that Sofia believes that Eleni meets the guys who will fire Takis.'

Furthermore, this experiment included materials for the case-mismatch condition, where the case marking of the wH-phrase fails to match the accusative case required by its subcategorizing verb. Two conditions were included: one in which the wH-phrase bears genitive (instead of accusative) case marking, and one in which it consists of a prepositional phrase. The following examples illustrate this (we give only the single-embedding condition).

- (28) Nonisland condition (that-clause, case mismatch)
  - a. Pianu θeori i Ana oti θa Ø/ton apolisume? who.gen speculate.3sg the.nom Anna that will Ø/him fire.1pL 'Whose does Anna speculate that we will fire?'
  - b. Se pion θeori i Ana oti θa Ø/ton apolisume? to who.ACC speculate.3sg the.NOM Anna that will Ø/him fire.1pL 'To who does Anna speculate that we will fire?'
- (29) Weak-island condition (whether-clause, case mismatch)
  - a. Pianu anarotiete i Maria an  $\theta a$   $\emptyset$ /ton apolisume? who.gen wonder.3sg the.nom Maria whether will  $\emptyset$ /him fire.1pl

'Whose does Maria wonder whether we will fire?'

b. Se pion anarotiete i Maria an θa Ø/ton to who.ACC wonder.3sg the.NOM Maria whether will Ø/him apolisume?

'To who does Maria wonder whether we will fire?'

**6.1.** Method. Thirty-three subjects from the same population as in experiment 2 participated in the experiment. None of the subjects had taken part in the earlier study.

The experiment included three subexperiments. The first one replicated experiment 2, but with up to three levels of embedding, and with declarative controls. The design crossed the factors Embedding (single, double, triple), Island (*that*-clause, *whether*-clause), and Resumption (gap, resumptive, declarative). This resulted in Embedding  $\times$  Island  $\times$  Resumption =  $3 \times 2 \times 3 = 18$  cells. In addition, unembedded control conditions were included, which added three cells (gap, resumptive, declarative).

The second subexperiment augmented the first subexperiment by including declarative versions of the relative clause stimuli from experiment 2. These additional controls allow us to test if declarative relative clauses behave differently from declarative *that*-and *whether*-clauses. This subexperiment had four cells (zero, singly, doubly, triply embedded relative clauses).

The third subexperiment tested the effect of a case mismatch between the gap or resumptive and the wh-phrase antecedent. The correct case for the antecedent is accusative; we tested two mismatch conditions: genitive antecedent and prepositional phrase antecedent (see 28 and 29 for examples). The design crossed the factors Embedding (single, double), Island (*that*-clause, *whether*-clause), Resumption (gap, resumptive), and Case (genitive, prepositional), resulting in Embedding  $\times$  Island  $\times$  Resumption  $\times$  Antecedent =  $2 \times 2 \times 2 \times 2 = 16$  cells. Again, unembedded controls were included, which added Resumption  $\times$  Antecedent =  $2 \times 2 = 4$  cells to the design.

Taken together, the three subdesigns had a total of forty-five cells. Nine lexicalizations were used for each cell, yielding a total of 405 stimuli. The stimulus set was divided into nine subsets of forty-five stimuli by placing the items in a Latin square. A set of forty-five fillers was used, covering the whole acceptability range.

The same procedure as in experiments 1–3 was used. Instructions were presented in Greek.

**6.2.** RESULTS. The data were normalized and log-transformed as in experiments 1-3. For details of the statistical analyses, see Appendix A.

Subexperiment 1. Figure 4 graphs the mean judgments for this subexperiment. An ANOVA yielded significant main effects of Island (by subjects only), Embedding, and Resumption. The interaction of Island and Resumption was significant, indicating that the acceptability of resumption is sensitive to island violations. The interaction Embedding/Resumption was also significant, but all other interactions failed to reach significance.

A post-hoc Tukey test was conducted to further investigate the interaction of Island and Resumption. Its results show that gaps are more acceptable than resumptives, and that declaratives are more acceptable than gaps and resumptives. These results hold for both *that*- and *whether*-clauses. We also carried out a series of Dunnett tests to compare the single-, double-, and triple-embedding conditions with unembedded controls; see Table 4.

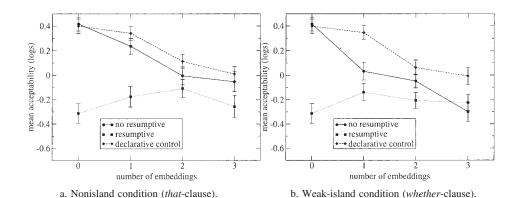


FIGURE 4. Effects of embedding and resumption on object extraction in Greek in experiment 4, subexperiment 1.

	GAP			RESUMPTIVE			DECLARATIVE		
	SINGLE	DOUBLE	TRIPLE	SINGLE	DOUBLE	TRIPLE	SINGLE	DOUBLE	TRIPLE
CLAUSE TYPE	EMBD	EMBD	EMBD	EMBD	EMBD	EMBD	EMBD	EMBD	EMBD
that-clause	(*)	*	*		[*]		(*)	*	*
whether-clause	*	*	*	(*)	*			*	*

\*: significant by subjects and items; (\*): significant by subjects only; [\*]: significant by items only

Table 4. Results of Dunnett tests comparing embedded clauses with the unembedded control in experiment

4, subexperiment 1.

Subexperiment 2. Figure 5 graphs the mean judgments for this subexperiment. We conducted an ANOVA that included the declarative stimuli from the first subexperiment, so as to be able to determine if different types of declaratives show a differential effect of embedding. The ANOVA therefore crossed the factors Embedding (single, double, triple) and Type (*that*-clause, *whether*-clause, relative clauses). A significant main effect of Embedding was found, but there was no main effect of Type, and no interaction between the two factors.

A post-hoc Tukey test on the main effect of Embedding demonstrated that single embedding was significantly more acceptable than double embedding and triple embedding, while the difference between double and triple embedding did not reach significance.

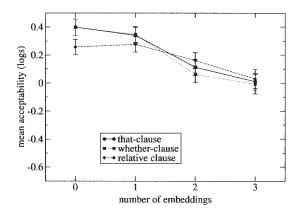
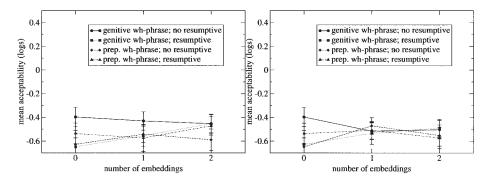


FIGURE 5. Effects of embedding on declaratives in Greek in experiment 4, subexperiment 2.

Subexperiment 3. Figure 6 graphs the mean judgments for this subexperiment. An ANOVA revealed a significant main effect of Antecedent: genitive antecedents were more acceptable than prepositional antecedents. The main effects of Island, Embedding, and Resumption were not significant. No significant interactions were found either.

Again, a Dunnett test was conducted to compare the single- and double-embedded case-violation conditions to an unembedded control; see Table 5 for the results.

**6.3.** Discussion. Subexperiment 1 replicated the effects found in experiment 2 for Greek. Again, we found that resumptives improve with embedding compared to an unembedded control condition; this was found for doubly embedded resumptives in *that*-clauses, and for singly embedded resumptives in *whether*-clauses; see Fig. 4. We failed to find a corresponding effect for triple embedding; it seems that additional levels of embedding do not improve resumptives further (in fact, there seems to be a tendency in the opposite direction). Subexperiment 1 also confirmed the finding that resumptives



- a. Nonisland condition (that-clause).
- b. Weak-island condition (whether-clause).

FIGURE 6. Effects of embedding and resumption on object extraction with case mismatch in Greek in experiment 4, subexperiment 3.

	GENITIVE ANTECEDENT				PREPOSITIONAL ANTECEDENT			
	GAP		RESUMPTIVE		GAP		RESUMPTIVE	
	SINGLE DOUBLE SINGLE DO	DOUBLE	SINGLE	DOUBLE	SINGLE	DOUBLE		
CLAUSE TYPE	EMBD	EMBD	EMBD	EMBD	EMBD	EMBD	EMBD	EMBD
that-clause			*					
whether-clause			(*)					

\*: significant by subjects and items; (\*): significant by subjects only

Table 5. Results of Dunnett tests comparing embedded clauses with the unembedded control in experiment 4, subexperiment 3.

are never more acceptable than gaps; we found that they were less acceptable than gaps in all conditions.

Declarative controls were included in this experiment to test if embedding per se (even in the absence of extraction) leads to a reduction in acceptability. The results of the first subexperiment confirm this; we found that doubly and triply embedded declarative *that*- and *whether*-clauses were less acceptable than unembedded ones. Single embedding seems to have a much weaker effect on acceptability; singly embedded *that*-clauses were less acceptable than unembedded ones; this effect was significant only by subjects and did not extend to *whether*-clauses, however.

We also found that extraction incurs a further reduction of acceptability, on top of the one incurred by embedding. The post-hoc analysis of the Island/Resumption interaction showed that declaratives were more acceptable than gaps, which in turn were more acceptable than resumptives.

Subexperiment 2 investigated declarative clauses further and found that there is no interaction between clause type and embedding; *that-*, *whether-*, and relative clauses all behave in the same way when it comes to embedding (see Fig. 5). Furthermore, we found that single embedding was more acceptable than double embedding, while double embedding was not significantly different from triple embedding.

Subexperiment 3 included stimuli in which the case of the gap or resumptive (accusative) mismatched the case of the wh-phrase (genitive or prepositional). The aim was to test the hypothesis that this case mismatch is less noticeable in more deeply embedded sentences, due to the distance between the gap/resumptive and its antecedent. These results provide only a weak confirmation for this hypothesis: we found a significant

improvement in acceptability for doubly embedded resumptives with a genitive antecedent. This effect was small (see Fig. 6), however, and did not extend to prepositional antecedents, as would be expected if this were purely an effect of embedding on case mismatch in resumptives. Note also that the case mismatch always triggers serious unacceptability, even for doubly embedded resumptives, compared to the nonmismatch condition (see Fig. 4). This indicates that the case mismatch does not go undetected in the case of double embedding; there is only a small improvement over the unembedded case.

**7.** Summary of results. The experimental results establish some robust crosslinguistic patterns but also some interesting crosslinguistic differences. In what follows, we summarize the main results of our experiments on island constraints and resumption in object extraction for wh-questions.

### 7.1. Crosslinguistic similarities.

Pronominals and Gaps. The first important crosslinguistic result is that resumptives are at most as acceptable as gaps (but not more acceptable), which means that resumptives cannot 'save' island violations in questions (in the sense of making them fully acceptable), contrary to what has been suggested in the literature. Furthermore, our experimental results showed that a resumptive can reverse the effect of embedding in extraction from nonislands and weak islands: doubly or singly embedded structures with pronominals are more acceptable than unembedded ones. While this effect has been noticed in the theoretical literature (Erteschik-Shir 1992, Tsimpli 1999), the degradation of embedded gaps has gone unnoticed.

EMBEDDING. We consistently found that a structure with single, double, or triple embedding is less acceptable than an unembedded control structure. This finding holds for bare clauses and *that*-clauses (no islands) as well as for *whether*-clauses (weak islands). An effect of embedding was detected even in declarative clauses, though it was weaker than for questions. Embedding does not seem to have an effect on extraction from relative clauses (strong islands), which are already highly unacceptable.

Nonislands/weak islands vs. strong islands. Nonislands and weak islands pattern together and contrast with strong islands. Extraction out of nonislands and weak islands gives rise to a mild reduction in acceptability; embedding reduces acceptability, but resumption can compensate for the effect of embedding. By contrast, extraction out of relative clauses always induces strong unacceptability and is immune to embedding and resumption.

The nonisland condition is broadly similar to the weak-island condition: that is, both conditions show the same interaction with embedding and resumption. There are some quantitative differences (in English and Greek, extraction out of *whether*-clauses is less acceptable than extraction out of *that*-clauses), but the real contrast is with extraction out of strong islands.

**7.2.** Crosslinguistic variation. The experimental results demonstrate a consistency across all three languages in the overall pattern of interaction between the tested factors. Crosslinguistic variation appears confined to quantitative differences associated with violation of principles that are crosslinguistically identical. We summarize the main crosslinguistic differences.

<sup>&</sup>lt;sup>15</sup> Admittedly, such claims predominately involve examples from relative clauses; on the basis of the theoretical literature, however, no contrast is expected between relative clauses and questions.

- (i) Resumption in questions is more acceptable in Greek than in German and English. While pronominals in Greek simple questions induce strong unacceptability, they are still significantly more acceptable than strong-island violations. By contrast, in German and English, pronominals induce severe unacceptability equal to strong-island violations.
- (ii) Extraction out of *that*-clauses is worse in German than in English and Greek; it is almost as unacceptable as extraction out of *whether*-clauses.
- (iii) In all three languages the acceptability of pronominals improves with embedding (for both *that* and *whether*-clauses). However, gaps in English remain significantly better than pronominals in all conditions. This contrasts with German and Greek, where intrusive pronouns can be as acceptable as gaps.

In §8.3, we attribute these differences to variation in the structural properties of the languages in question.

### **8.** Analysis.

### **8.1.** Locality conditions on movement.

Nonislands/weak islands vs. strong islands. Let us begin with one of the central experimental results, viz. the contrast between extraction from *that*- and *whether*-clauses on the one hand, and extraction from relative clauses on the other. This contrast is not surprising; proposals like Rizzi's (1990) treat strong-island violations (such as extraction from relative clauses) as EMPTY CATEGORY PRINCIPLE (ECP) violations, while weak-island violations (such as extraction from *whether*-clauses) are subject to relativized minimality. What is less expected is the parallel between the nonisland condition (*that*-clauses) and the weak-island condition (*whether*-clauses) in object questions. <sup>16</sup>

Let us consider the syntax of the structures in question. We assume that, in all three languages, the element introducing indirect questions is a complementizer occupying C.<sup>17</sup> Further, in all three languages *whether*-clauses are CP complements, on a par with *that*-clauses.<sup>18</sup> The contrast between *that*- and *whether*-clauses and relative clauses is the familiar contrast between selected and unselected phrases, or complements and adjuncts. We take this as a primitive and assume that the relevant operations that license extraction (e.g. AGREE) do not have access to adjunct phrases.<sup>19</sup> The contrast then between *that*- and *whether*-clauses and strong islands is that the latter, but not the former, violate this condition. We now turn briefly to recent theoretical proposals for weak islands.

RELATIVIZED MINIMALITY AND WEAK ISLANDS. The basic intuition of Rizzi's (1990) relativized minimality underlies most recent formulations of locality conditions in rela-

<sup>&</sup>lt;sup>16</sup> But note that authors like Chung and McCloskey (1983) regard extraction out of *whether*-clauses as grammatical.

<sup>&</sup>lt;sup>17</sup> This analysis is an oversimplification of the English facts. Kayne (1991) provides evidence that English *whether* is a wH-phrase occupying Spec,CP rather than C. For simplicity, we continue to assume that English *whether* is a head. The analysis presented in the following sections is compatible with either hypothesis. In a system of multiple specifiers, cyclic movement is not blocked by an element at Spec,CP. Note also that extraction out of *whether*-clauses is of the same acceptability as extraction from *if*-clauses in English, indicating that whether the complementizer is analyzed as a specifier or a head is not crucial for the islandhood of these structures.

 $<sup>^{18}</sup>$  We thus depart from Cinque's (1990) assumption that in English whether-clauses are not directly selected.

<sup>&</sup>lt;sup>19</sup> Recent minimalist analyses lack an (at least explicit) theory for strong islands (but see Boeckx 2003 for a recent discussion of adjunct islands). In earlier versions of the theory, the distinction was captured on the basis of antecedent government (see also Roussou 2002).

tion to weak islands. According to relativized minimality, certain intervenors may interrupt otherwise well-formed chains. Thus, in an example like 30, *who* in the Spec of the intermediate C acts as an intervenor blocking the (government) chain between *why* and its trace.<sup>20</sup>

- (30) a. \*Why<sub>i</sub> do you know [who<sub>i</sub> they fired  $t_i t_i$ ]?
  - b. ??What<sub>i</sub> do you know [who<sub>i</sub>  $t_i$  read  $t_i$ ]?

An immediate problem for this analysis arises with structures like 31, where the specifier position of the intermediate C is not occupied by any operator.<sup>21</sup> Rizzi's response was to assume a covert operator at the specifier of *if*, which induces the same intervening effect as the overt specifier in 30. As has been noted in the literature, however, Rizzi's solution is stipulative.

- (31) a. Why<sub>i</sub> do you wonder [if they fired him  $t_i$ ]?
  - b. Who<sub>i</sub> do you wonder [if they fired  $t_i$ ]?

Szabolcsi and Zwarts (1993) and Manzini (1998) develop analyses of weak islands that, among other consequences, eliminate the need for assuming a covert operator in indirect questions introduced by a complementizer.<sup>22</sup> Both analyses abandon Rizzi's (1990) assumption that minimality effects are associated with A-bar specifiers and associate minimality directly with the scope domains of interacting operators, the matrix and intermediate C in examples like 31.

Manzini (1998) presents a syntactic account based on the following definition of minimality (which assumes a minimalist framework).

(32) MINIMALITY

Given an attractor feature F and an attractee feature  $A_F$ , F attracts  $A_F$  only down to the next attractor F' for  $A_F$ .

In an example like 33, both matrix and embedded C are specified for the feature Q (Question) and act as attractors of an element bearing such a feature, the wh-phrase. According to 32, the scope of matrix C is closed off by the occurrence of the intermediate C. The reduced acceptability of examples like 33 reflects a violation of minimality. By contrast, the standard declarative C in 34 is not specified for a Q-feature and therefore does not interfere with the scope of the matrix Q-operator.

- (33) ??Who do you wonder whether we will fire?
- (34) Who do you think we will fire?

Szabolcsi and Zwarts (1993) present a semantic account of weak-island effects. In particular, they assume that unlike *that*-complements of 'volunteer stance' verbs like *claim*, *think*, or *say*, complements of a 'nonstance' verb like *wonder* introduce a SCOPE ELEMENT, the question operator, that interacts with the scope of the WH-element.

The main feature of these two proposals then is that C of indirect questions (*whether*) is 'richer' than declarative C (*that*) in that it realizes an operator that takes scope over a domain; crucially, weak islands arise due to the interaction of two scope domains,

<sup>&</sup>lt;sup>20</sup> It is standardly assumed that examples like 30b are more acceptable than 30a. The contrast was originally thought to be one between arguments and adjuncts (Cinque 1990). More recently, however, it has been acknowledged that d-linked adjuncts like (i) (from Manzini 1998) are more acceptable than 30a.

<sup>(</sup>i) For which of these reasons do you know [who they fired  $t_{\text{who}}$   $t_{\text{for-which-of-these-reasons}}$ ]?

<sup>&</sup>lt;sup>21</sup> As observed by Manzini (1998), the problem is inherited by recent reformulations of relativized minimality, such as Chomsky's (1995) minimal link condition.

<sup>&</sup>lt;sup>22</sup> Both analyses naturally account for similar problems raised by extraction out of factive complements, which are also viewed as weak islands. We restrict our discussion here to indirect questions.

that of matrix C and that of the embedded/'intervening' C. The analysis we present in the next section builds on this view.

### **8.2.** Processing cost, cyclicity, and resumption.

EMBEDDING AND WH-QUESTIONS. A main finding was that questions extracted from *that*-clauses are less acceptable than unembedded ones. The observed effect has to be due to processing, since there is no obvious grammatical principle explaining it and the acceptability of such questions is relatively high. Since *whether*-clauses pattern with *that*-clauses in a number of ways, the effects in *whether*-clauses should also be accommodated by whatever processing explanation is invoked for *that*-clauses. Note also that the effect of embedding is most pronounced in questions; though it is also present in declaratives, embedded declaratives were more acceptable than embedded questions.

Related studies in the psycholinguistic literature have established increased processing difficulty in wh-questions extracted from clausal complements, an effect attributed to the involvement of a filler-gap dependency. Thus, Frazier and Clifton (1989) report that measurements of reading times in a self-paced reading task indicated that WHquestions involving embedding were more difficult to process than corresponding yes/ no questions, where no filler-gap dependency is involved. The contrast between whquestions and declaratives found in our data parallels the contrast between wn-questions and yes/no-questions, in that no filler-gap dependency is involved in declaratives. Contrasts between wh-questions and yes/no questions are also reported by Kluender (1998) and Kluender and Kutas (1993). Interestingly, the relevant contrasts were obtained through a grammaticality judgment task but also in EVENT RELATED POTENTIAL (ERP) experiments using the same materials. These studies therefore establish a parallel between acceptability judgments and processing measures such as ERPs. Given that processing effects detected by online paradigms also manifest themselves in acceptability judgments, it seems legitimate to assume that the same factors underly the drop in acceptability for wh-questions in our experiments, the increased reading times in Frazier and Clifton's (1989) study, and Kluender's (1998) and Kluender and Kutas's (1993) acceptability judgment and ERP data.

Note that our results do not unambiguously indicate an effect of embedding distinct from string length. Frazier and Clifton (1989) addressed this problem, however, by obtaining reading times for pairs like 35; the two sentences are matched for length, but only 35b involves embedding. Longer reading times for 35b indicated an effect of embedding distinct from string length.

- (35) a. What did Katie and Tom mail to New York?
  - b. What did Sue think Tom mailed to New York?

Frazier and Clifton (1989) assume that the processing difficulty of 35b relates to 'carrying a filler across a clause boundary'—in technical terms, to the intermediate trace in a C (A-bar) position.<sup>23</sup>

The question of the processing difficulty associated with wh-questions involving embedding is also investigated by Dickey (1996) and Kluender (1998), who present proposals that attempt to provide a unified explanation for center-embedding data and the effect of embedding in wh-questions.

<sup>&</sup>lt;sup>23</sup> Frazier and Clifton did not control for the number of discourse referents intervening between the filler and the gap, an issue addressed by Gibson and Warren (2004; see §42).

Dickey (1996)—building on Kimball 1973—proposes that the human parser can retain information associated with at most two domains in immediate memory, where a domain is roughly a clause (IP/CP). Thus, when faced with a third clause, the human parser has to shunt one of the previous two from immediate memory; in 36, one of the first two CPs has to be shunted away. In doing so, the parser chooses the most complete CP, where completeness amounts to saturation of subcategorization frames and resolution of fillers with gaps. In 36, the matrix CP is complete because the filler is 'resolved' since it is associated with an intermediate trace at the specifier of C of the embedded clause. Moreover, the matrix filler is specified for φ-features (agreement features). This is not the case with the intermediate trace at Spec,CP of the second clause, which is assumed to lack φ-features, a fact that makes the second CP less complete than the matrix one; the parser then shunts the matrix CP. By the time then that the gap is encountered in the third clause, the lack of φ-features leads to processing difficulty.

### (36) Who do you think Mary claims Bill invited to the party?

Dickey's (1996) hypothesis associates the processing difficulty of three-clause questions with the number of clauses involved and the lack of  $\phi$ -features for traces. The main weakness of his analysis is that he predicts increased processing cost only for three-clause questions, but not for two. As indicated by our results, a clear effect is detected already in two-clause questions (though the effect is more dramatic in three-clause questions). In two-clause questions, no domain is shunted and so there is no obvious source of processing difficulty. Moreover, it is not obvious how this analysis can be extended to *whether*-clauses, where the effect is already present with one embedded clause. Finally, shunting should not affect declaratives, since no important information is lost through shunting. But an effect of embedding was detected in declaratives at the second and third level.

Asudeh (2004) shares with Dickey (1996) the view that resumptives remedy structures where the filler is no longer active due to memory limitations. In particular, he assumes that a resumptive pronoun reactivates a filler that is no longer active and allows its local integration. Following Erteschik-Shir 1992, he assumes that in English, it is after two levels of embedding that the filler becomes inactive and, as a result, resumption acceptable. Sentences with fewer levels of embedding are not complex enough, and therefore the acceptability of resumption remains low. The results reported here neither confirm nor discomfirm his hypothesis since we tested two levels of embedding in English. Note, however, that our results indicate a significant improvement of resumption at the first and second level of embedding, that is, while the filler is still active. Asudeh works with a binary notion of grammaticality and just assumes that complexity resumptives in English *that*-clauses are ungrammatical. We find, however, that in Greek and German gaps are as acceptable as pronominals in *that*-clauses—these structures cannot be dismissed as ungrammatical. In addition, our experiment 4 indicates that, at least in Greek, the pattern of interactions does not change in the third level of embedding.

Like Dickey (1996), Asudeh (2004) predicts a dramatic decrease in the acceptability of the gap and an improvement in the acceptability of the pronominal at the point where the filler ceases to be active. But our data show a gradual effect of embedding (though more levels of embedding would need to be tested for English). The extension of the theory of Gibson 1998 that we propose allows a cumulative notion of complexity cost that is better suited to account for our data. Finally, Asudeh assumes that weak islands are syntactic islands (in the sense that indirect questions are specified with a negative value for the relevant feature allowing the possibility of an unbounded dependency in

a given structure). He distinguishes complexity resumptives in *that*-clauses from island resumptives in weak islands, though both are treated as subtypes of processing resumptives. In the next section we argue that weak islands are not syntactic islands and that they involve the same type of resumptive pronoun.

Kluender (1998) focues on weak islands like 37.

(37) \*What did you ask which man was reading?

Following Just & Carpenter 1992, he assumes memory limitations for the human parser and that activation levels of fillers that remain unresolved over longer periods keep decreasing. Embedding thus induces a straightforward strain on memory resources. Computational resources may be further exhausted by a number of factors other than embedding, such as the number and type of fillers. For instance, 37 involves two fillers, the first of which has to be carried across a clause boundary. Further, a less referential filler as in 38b may improve the acceptability of examples like 37, as demonstrated by the fact that 38a is more acceptable than 38b (Kluender 1998 uses relative acceptability judgments, where '>' means 'is more acceptable than').<sup>24</sup>

- (38) a. What did you wonder who read? >
  - b. What did you wonder which man read?

In the acceptability study reported by Kluender (1998) he compares the following types of questions and finds 39a to be better than 39b which in turn is better than 39c.

- (39) a. What did he think that we should consider? >
  - b. What did he wonder if we should consider? >
  - c. What did he wonder who should consider?

His analysis focuses on examples like 39c. He attributes the effect obtained for sentences like 39a to the processing cost associated with carrying a filler across a clause boundary but offers no explanation for the contrast between 39a and 39b, which parallels the contrast between our *that*- and *whether*-clauses.

Hawkins (2005) offers an account of processing complexity that, building on Hawkins 1994, 1999, makes reference to the size of the processing domain of a structure and general efficiency principles for the processing of a given domain (e.g. MINIMIZE DOMAINS, MAXIMIZE ONLINE PROCESSING). His theory offers an account of the interaction between resumption and processing complexity in filler-gap dependencies (FGD). The main aspect of the account relates to the PROXIMITY HYPOTHESIS: the more relations of combination or dependency are involved between the filler and the predicate in an FGD, the higher the complexity of the structure. For Hawkins the crucial difference between gaps and pronominals is that the latter involve only a relation of coindexation between the locally realized pronominal argument and the antecedent. By contrast, gaps, in addition to the coindexation relation between the gap and the filler, also involve lexical cooccurrence (of the filler and the gap) within the lexical domain of the predicate. The main consequence of this difference is that while coindexation is marked only once on a chain, information relating to lexical cooccurrence involves every single node intervening between the filler and the gap, thus increasing the processing load of embedded structures by increasing the size of the processing domain. Hawkins's main prediction is that in more embedded positions pronouns are preferred over gaps, since

<sup>&</sup>lt;sup>24</sup> Kluender further assumes that identical factors underlie the unacceptability of strong-island violations like (i). However, this approach fails to explain why extractions out of relative clauses are generally worse than extractions out of indirect questions.

<sup>(</sup>i) \*What did you meet the man who was reading?

the size of the processing domain of gaps keeps increasing with embedding. By contrast, nonembedded gaps are slightly preferred over pronominals. His predictions are borne out for Hebrew relative clauses, on the basis of data Hawkins borrows from Ariel 1990. Our data, however, do not conform to his predictions. Pronominals in unembedded positions are much worse than gaps, while embedded pronominals are (at best) as acceptable as gaps and never better than gaps, as Hawkins (2005) would predict. It is worth noting that though Hawkins's analysis cannot be made to work for our data, the analysis we offer in §8 does incorporate two key aspects of his account: (i) that the relation between the filler and the pronominal is anaphoric in nature and thus immune to the locality restrictions of the human parser and (ii) that the relation between the gap and the filler is a syntactic one, 'registered' through the chain and thus subject to locality.

SYNTACTIC PREDICTION LOCALITY THEORY. In the previous section, we saw that none of the existing theories of processing wh-dependencies offers a satisfactory account of the results of experiments 1–4. In this section, we present an account of our results based on Gibson's (1998) theory of linguistic complexity, the SYNTACTIC PREDICTION LOCALITY THEORY (SPLT). SPLT is a model that explains certain aspects of the language-comprehension mechanism in terms of available computational resources. Linguistic complexity is associated with the quantity of computational resources consumed by two distinct components: (i) a MEMORY COST component involved in the storage of parts of the input that may be used in parsing later parts of an input, and (ii) an INTEGRATION COST associated with integrating new input into the structures already built at a given stage in the computation. These costs are defined as in 40 and 42.

- (40) SYNTACTIC PREDICTION MEMORY COST (Gibson 1998:15)
  - a. The prediction of the matrix predicate,  $V_0$ , is associated with no memory cost.
  - b. For each required syntactic head  $C_i$  other than  $V_0$ , associate a memory cost M(n) memory units where M(n) is a monotone increasing function and n is the number of new discourse referents that have been processed since  $C_i$  was initially predicted.

The first clause, 40a, of the memory-cost definition ensures that the matrix predicate with its immediate arguments are not costly. The main part of the definition, 40b, basically says that once a syntactic prediction is made, the more discourse referents that intervene between the point at which the prediction is made and the point at which it is satisfied, the higher the memory costs associated with this prediction. Thus local resolutions are always less costly than nonlocal ones. Consider 41.

- (41) a. The reporter who the senator attacked admitted the error.
  - b. The reporter who attacked the senator admitted the error.

In both examples, *who* introduces a prediction for a gap. Assuming that this prediction is satisfied when the verb (with its associated gap) is encountered, the memory cost associated with satisfying this prediction in 41a is M(1), since one discourse referent, *the senator*, intervenes between *who* and *attacked*. The memory cost of satisfying the same prediction in 41b is M(0) since no discourse referent intervenes between *who* and *attacked*. This contrast explains the finding that subject relative clauses are easier to process than object relative clauses.

(42) LINGUISTIC INTEGRATION COST (Gibson 1998:12–13) The integration cost associated with integrating a new input head  $h_2$  with a head  $h_1$  that is part of the current structure for the input consists of two parts:

(1) a cost dependent on the complexity of the integration (e.g. constructing a new discourse referent); plus (2) a distance-based cost: a monotone increasing function I(n) energy units (EUs) of the number of new discourse referents that have been processed since  $h_1$  was last highly activated. For simplicity, it is assumed that I(n) = n EUs.

The linguistic integration cost is dependent on two factors. First, the type of element to be integrated matters: new discourse referents (e.g. indefinite NPs) are assumed to involve a higher integration cost than old/established discourse referents, identified by pronominals.<sup>25</sup> Second, like the memory cost, the integration cost is sensitive to the distance between the head being integrated and the head it attaches to, where distance is again calculated in terms of intervening discourse referents. Note that arguments have both an integration and memory cost, whereas adjuncts have integration but no memory costs, since they are not predicted.

SPLT AND SUCCESSIVE CYCLICITY. Let us now turn to our data and consider how the SPLT can provide an account. The main facts to be accounted for are as follows.

- Embedded wh-questions are less acceptable than wh-questions without embedding.
- (ii) A similar effect of embedding is also present in declarative clauses.
- (iii) WH-questions with embedding are less acceptable than declarative sentences with embedding.
- (iv) WH-questions extracted from that-clauses are more acceptable than WH-questions extracted from whether-clauses.
- (v) There is no such effect for declarative clauses.

We adopt here the main assumptions of the SPLT in our account of these facts. We argue, however, that locality is sensitive to syntactic heads and hierarchical structure rather than discourse referents.

We begin with the contrast between simple and embedded wh-questions, exemplified by 35, repeated below as 43. As shown by Frazier and Clifton (1989), 43b is associated with higher reading times and is therefore more difficult to process. Following Frazier and Clifton (1989), we interpret this as an indication that embedding rather than mere string length accounts for the increased processing complexity of 43b. But this is not a necessary assumption from the point of view of the SPLT. According to the SPLT, what is at issue in both examples is the number of discourse referents intervening between the filler *what* and the predicate *mail* with which an appropriate gap is associated. Note that Gibson assumes that verbs can also count as discourse referents, since they introduce discourse events. Given this assumption, the distance between the filler and the gap in 43a is 2, while in 43b it is 3. Since memory and integration costs associated with these examples are calculated on the basis of these distance numbers, it follows that 43a has lower memory and integration costs than 43b. Hence 43a is predicted to involve less processing difficulty than 43b, exactly as found by Frazier and Clifton (1989).

- (43) a. What did Katie and Tom mail to New York?
  - b. What did Sue think Tom mailed to New York?

However, the fact that the SPLT can account for the contrast in 43 by associating distance with discourse referents does not prove that discourse referents are the relevant

<sup>&</sup>lt;sup>25</sup> In this respect, Gibson (1998), disagrees with Kluender (1998), who makes the opposite assumption, that is, that indefinite NPs are easier to process than definite ones.

unit for locality. Since one of the three discourse referents in 43b is a verb (*think*), it is impossible to know whether what makes the difference between 43a and 43b is the number of discourse referents, irrespective of category (V, N), or the presence of a clausal complement in 43b.

Recent data on reading times for sentences such as 44 by Gibson and Warren (2004) shed light on this issue.

- (44) a. The manager $_i$  who $_i$  the consultant claimed  $t_i$  that the new proposal had pleased  $t_i$  will hire five workers tomorrow.
  - b. The manager $_i$  who $_i$  the consultant's claim about the new proposal had pleased  $t_i$  will hire five workers tomorrow.

The two structures in 44 are matched for length, and they are also identical in the number of discourse referents intervening between the filler and the gap. They differ, however, in the type of intervening syntactic structure: in 44a, the intervening structure contains a functional head C, while in 44b, a complex NP including a PP modifier intervenes. The experimental results show that 44a is easier to process than 44b, which indicates that the number of discourse referents as such is not sufficient to explain the processing cost for embedded structures. Instead, Gibson and Warren (2004) make the additional assumption that SPLT distance is calculated relative to intermediate traces that can intervene between the filler and the gap. Such a trace is present in 44a, assuming successive cyclic movement, resulting in a shorter distance between the filler and the gap, but not in 44b, where a complex NP, but no intermediate trace, intervenes, resulting in increased processing cost for this structure.

Our results go one step further by showing that the type of intervening functional head also plays a role. In experiment 1 (see Figs. 1b and 1c), we established a contrast between extraction from *that*-clauses and from *whether*-clauses, using examples like 45.

- (45) a. Who does Mary claim that we will fire?
  - b. Who does Mary wonder whether we will fire?

Both examples in 45 involve the same number of discourse referents intervening between the filler and the gap, and the SPLT thus predicts that they have identical integration and memory costs, contrary to fact. The acceptability difference cannot be explained by the presence of an intermediate trace either (as suggested by Gibson and Warren (2004)), as both structures in 45 involve such a trace. Evidently, the difference between the two types of clauses has to be associated with the complementizer. But note that, according to the SPLT, complexity is associated with discourse referents rather than syntactic heads. It is clear that the definition of 'discourse referent' cannot be extended to include functional elements such as C. Such examples therefore necessitate the assumption that syntactic heads/phrasal nodes are included in the calculation of integration/processing costs. We adopt this assumption, which allows us to explain facts (i)–(iv) as listed above.

We start with point (i), the contrast between embedded and unembedded wH-questions, present in all four experiments. If syntactic heads and not discourse referents are important for calculating integration and memory costs, then 43b involves at least one extra head (C) compared to 43a.<sup>26</sup> Further, we assume an extra source of processing complexity for such examples, viz. the cost of carrying the filler across a clause boundary. This cost is related to a specific prediction associated with C for a gap later in the

<sup>&</sup>lt;sup>26</sup> An issue arises with respect to the analysis of the coordinate in 43a, which could be taken to involve the same number of phrasal heads as 43b. Since we further assume that the complexity of intermediate C is increased due to its association with an intermediate trace, however, 43a will always turn out to be less complex than 43b.

clause. In technical terms, this prediction amounts to an intermediate trace associated with C, a standard syntactic assumption capturing the cyclic nature of movement. We should note here that, strictly speaking, this assumption is not necessary for accounting for the contrast in question. Since an independent effect of embedding was detected in declaratives and since questions with fillers are harder to process than yes/no questions, it could well be that the processing cost is a cumulative cost of these two independent effects. That is, there might not be an independent cost of carrying a filler across a clause boundary. However, we favor this assumption because it is in line with the syntactic literature and because, as seen below, such an assumption is necessary for understanding the role of resumption in these structures. In examples like 43b, the integration of intermediate C, that, involves not only the integration of a new syntactic head, but also that of the intermediate trace. Intermediate C then involves a higher integration cost than other lexical heads, since its integration involves the integration of a head and the associated gap/trace (i.e. a head and a specifier).<sup>27</sup> This intermediate trace 'resolves' the matrix filler, and is thus associated with a higher distance-sensitive integration cost. At the same time, it carries the prediction of the gap to the next clause and is therefore associated with a specific memory cost. The processing difficulty associated with embedding then is due to the higher integration and memory costs incurred by intermediate C, that is, C licensing an intermediate trace. Thus we predict that examples like 43b involve higher complexity than 43a.

The examples in 44a and 44b present an interesting case since it is 44a, that is, the example involving intermediate traces, that is easier to process. For Gibson and Warren (2004), the intermediate trace in 44a reduces the number of intervening elements, and hence integration and memory cost, which are both sensitive to locality. Thus, despite two integrations of intermediate traces that increase complexity locally at C, the overall processing cost of 44a is reduced in comparison with 44b, where there is no compensation for the intervening complex DP.

Let us now turn to point (ii), the effect of embedding in declaratives (see Fig. 5). This effect can be explained by assuming that the integration cost of a CP complement is higher than the integration cost of a DP, that is, that C has a higher complexity-related integration cost (see clause 1 of 42). While the additional cost of integrating a CP is present both in questions and in declaratives, the additional cost of integrating an intermediate trace occurs only in questions; no such trace is present in declaratives, which do not include a WH-element. This explains fact (iii), that is, that questions with embedding are less acceptable than declaratives with embedding (see Fig. 4).

Fact (iv) refers to the contrast between *that*- and *whether*-clauses in questions. Building on the theoretical literature reviewed in §8.1, we assume that the presence of a Q-operator in 45b (Manzini 1998) (or a scope element according to Szabolcsi and Zwarts (1993)), is associated with a higher complexity-related integration cost, as specified in clause 1 of the linguistic integration cost definition in 42. We should note here

<sup>&</sup>lt;sup>27</sup> An analysis could be envisaged in which the intermediate trace counts as a distinct discourse referent associated with a specific prediction, therefore incurring higher memory and integration costs. However, it would still be difficult to see how such an analysis could account for the contrast between *that*- and *whether*-clauses, which, presumably, involve the same number and type of discourse referents.

<sup>&</sup>lt;sup>28</sup> It is not obvious how the SPLT can account for the effect of embedding in declaratives. In fact, Gibson makes some further assumptions that make the effect of embedding in declaratives rather surprising. He assumes a clause-bound closure, that is, clauses with saturated dependents are shunted from immediate memory. He assumes that when this happens, the complement clause counts as matrix, in which case its predicate with its arguments is not associated with any memory costs (see 40a). The tendency for a drop in acceptability in three-clause English declaratives in particular may be problematic for this assumption.

that the integration of such a Q-feature is costly exactly because elements of the matrix clause bearing similar features are still not integrated, and thus have not been assigned scope. In other words, the integration cost is due to the interaction of two scope domains. Experimental confirmation for this assumption comes from Anderson's (2004) work on quantifier scope. She presents reading-time data that show that sentences with inverse scope are harder to process than sentences with surface scope.

At the same time our Q-operator analysis also explains fact (v), viz. that the difference between *that*-clauses and *whether*-clauses is present for questions, but not for declaratives. Declaratives do not include a scope-bearing wh-element, so no scope interaction between the Q-operator and the wh-element can arise, and no increased processing cost is predicted. This is what we found experimentally: as Fig. 5 illustrates, there is no difference in the acceptability of *that*- and *whether*-declaratives.

PROCESSING COMPLEXITY AND RESUMPTION. Let us now consider the role of resumption, in particular with regard to the following three questions.

- (i) Why is resumption unacceptable in simple unembedded questions?
- (ii) (a) How do intrusive pronominals interact with the integration costs associated with embedding and indirect questions? (b) Why are intrusive/embedded pronominals better than unembedded ones (modulo strong islands)?
- (iii) Why do intrusive pronominals fail to fully compensate for the processing costs associated with embedding/indirect questions and restore the acceptability of such structures?

The unacceptability of pronominals in wH-questions can be accounted for by the fairly standard assumption that these structures are specified for movement (AGREE/MOVE) which yields a phonologically empty element in situ.<sup>29</sup> This is the case for all three languages. For Greek, however, the existence of CLLD presents the possibility of a further derivation, viz. one of a CLLDed wH-phrase. We assume that standard cases of CLLD as in 46a involve movement of a 'clitic doubled' phrase as in 46b (on this see Alexopoulou 1999, Alexopoulou & Kolliakou 2002).

- (46) a. To Jani to sinadisame stin ayora. the ACC Janis ACC him met 1PL at the market 'We met Janis at the market.'
  - b. Stin ayora to sinadisame to Jani. at.the market him met.1pL the.ACC Janis.ACC

Why is then CLLD of a wh-phrase like 47 unacceptable? We attribute the contrast between 46a and 47 to the type of operator involved in each structure; questions involve a quantificational operator, while CLLD, on a par with English topicalization, involves an anaphoric/referential operator (Lasnik & Stowell 1991, Rizzi 1997, Tsimpli 1999).

(47) \*Pion to sinadisate stin ayora? who.ACC him met.2pl at.the market 'Who did you meet at the market?'

A crucial point here is that the contrast between 47 and 46a does not relate to the properties of the pronominal. Thus, Greek pronominals can be (A-bar) bound by quanti-

<sup>&</sup>lt;sup>29</sup> There is a question as to which criteria suggest an explanation on the basis of grammar as opposed to processing for a given resumptive structure. The contrast between (unembedded) CLLD and (unembedded) resumptive questions in Greek indicates that the unacceptability of the latter ought to follow from some grammatical principle, since there is no obvious difference in the processing costs involved in the two structures. Similarly, no obvious grammatical factor can explain why the acceptability of embedded resumptive questions improves.

ficational operators like the one involved in relative clauses (Lasnik & Stowell 1991), as indicated by the examples below from relative clauses, where the pronominal is obligatory (see Alexopoulou 2006 for a detailed discussion).<sup>30</sup>

- (48) a. Kaθe kopela pu tis lei ta mistika tu o each girl that her.gen say.3sg the secrets his.gen the.nom Janis kataliγi sto siberasma oti ine trelos.

  Janis.nom reach.3sg to.the conclusion that is insane 'Every girl that Janis tells his secrets to reaches the conclusion he is insane.'
  - b. Kamia kopela pu tis lei ta mistika tu o
    no girl that her.gen say.3sg the secrets his.gen the.nom
    Janis den ton perni sta sovara.

    Janis.nom not him take.3sg at.the seriously
    'No girl that Janis tells his secrets to takes him seriously.'

Let us now turn to the second question, viz. how resumption appears to revert processing costs associated with embedding. In the analysis assumed here the main culprit is the cost incurred by the intermediate C and its associated trace. We speculate that the presence of a resumptive makes the parser abandon the syntactic/cyclic resolution of the dependency and revert to an anaphoric dependency. That is, the pronominal searches for its antecedent, the wh-phrase, not through the cyclic syntactic route, but in the previous discourse, as in cases of intrasentential anaphora (for similar ideas see Erteschik-Shir 1992, Dickey 1996, Cinque 1990, and Hawkins 2005). In other words, the integration cost associated with a pronominal is not sensitive to the locality restrictions that are associated with a syntactic resolution of a filler-gap dependency, as expected for discourse anaphora.

That intrusive resumption is anaphoric in nature has been established since Chao & Sells 1983 and Sells 1984—see also Cinque 1990. For example, Sells (1984) notes the impossibility of a bound interpretation for the pronominal in 49b. Such examples parallel examples of intrasentential anaphora like 49c.

- (49) a. I'd like to meet the linguist that Mary couldn't remember if she had seen θ/him before.
  - b. I'd like to meet every linguist that Mary couldn't remember if she had seen  $\emptyset$ /\*him before.
  - c. We met every linguist. \*We met him at the conference.

Similarly, the functional answer in 51 is an acceptable response to 50a but not to 50b.<sup>31</sup>

- (50) a. Which woman does no Englishman believe will make a good wife?
  - b. Which woman does no Englishman even wonder whether she will make a good wife?<sup>32</sup>
- (51) The one his mother likes best.

 $<sup>^{30}</sup>$  The question arises why pronominals appear to be acceptable in relative clauses in examples like 48 but not in questions, since, under standard assumptions, both involve quantificational operators. Indeed, as with questions, pronominals are dispreferred in restrictive relative clauses in Greek in argument positions of pu-relative clauses and generally in restrictive relative clauses introduced by a relative pronoun. It is in oblique pu-relative clauses that the pronominal is obligatory due to failure of identification of the  $\phi$ -features of the relativized phrase (see Alexopoulou 2006 on this; for resumption in Greek relative clauses see also Joseph 1980b, 1983, Stavrou 1984, Tsiplakou 1998, Tsimpli 1999, Alexiadou & Anagnostopoulou 2000, Merchant 2004).

<sup>&</sup>lt;sup>31</sup> Answers as in 51 involve functions from Englishmen to their mothers to women as opposed to answers involving an individual (e.g. *Mary*).

<sup>&</sup>lt;sup>32</sup> There is an issue with the acceptability status of 50b, which seems to be more acceptable than our corresponding Greek examples. The contrast might relate to the fact that the quantifier and present tense

Similarly, the Greek question 52a does not allow a bound interpretation of the pronominal and, as a result, cannot accept a functional answer like 52b or a pair-list one like 52c. By contrast, functional and pair-list answers are possible for a gap question as in 53a.

- (52) a. Pion ipes oti θa ton eksetasi kaθe jatros? who.ACC said.2sG that will him examine.3sG each doctor.NOM 'Who did you say each doctor will examine?'
  - b. \*To δiefθidi tu. the.ACC manager his

'His manager.'

c. \*Ton Petro i Ikonomu, ti Maria, the ACC.MSC Petros.ACC the NOM.FEM Ikonomu the ACC.FEM Maria o  $A\theta$ anasiu . . . the NOM Athanasiu . . .

'Petros, Ikonomu (will examine), Maria, Athanasiu (will examine) . . . '

- (53) a. Pion ipes oti θa eksetasi kaθe jatros? who.ACC said.2sG that will examine.3sG each doctor.NOM 'Who did you say each doctor will examine?'
  - b. To δiefθidi tu. the.ACC manager his 'His manager.'
  - c. Ton Petro i Ikonomu, ti Maria, the ACC.MSC Petros the NOM.FEM Ikonomu the ACC.FEM Maria o A $\theta$ anasiu . . . . the NOM Athanasiu . . .

'Petros, Ikonomu (will examine), Maria, Athanasiu (will examine)...'

Intrusive pronominals therefore are not variables; rather, they are linked to their antecedent anaphorically.<sup>33</sup> In this respect, they differ from the pronominals in the Greek relative clauses in 48 where the pronominal is bound by a quantifier.<sup>34</sup>

Let us now turn to the third question, viz. why intrusive pronominals cannot restore embedded/weak-island-violating questions to full acceptability. The question is all the

favor a generic interpretation of 50b, which crosslinguistically is known to improve the acceptability of pronominals (Tsimpli 1999, Alexopoulou 2006).

- (i) a. Quanti pazienti ritieni che debba visitare t ogni medico? how.many patients do.you.think that should visit t each doctor 'How many patients do you think each doctor should visit?'
  - b. ?Quanti pazienti ritieni che **li** debba visitare *t* ogni medico? how.many patients do.you.think that **them** should visit *t* each doctor 'How many patients do you think each doctor should visit?'
- (ii) Quanti pazienti te ne sei andato prima che ogni medico potesse visitare? how.many patients you CL be go before that every doctor could visit 'How many patients did you go away before each doctor could visit?'

<sup>&</sup>lt;sup>33</sup> There is evidence that, at least in the case of weak islands, an anaphoric dependency is involved, irrespective of whether a pronominal is present. For example, Cinque (1990)—reporting judgments originally due to Longobardi (1986)—notes that, while (i)a is ambiguous between a wide-scope reading for the wh-phrase and a wide-scope reading for the universal quantifier, the latter reading is absent from (i)b, where the wh-phrase is resumed by a pronominal. As in (i)b, a wide-scope reading for the universal is also unavailable in (ii), even though a gap is involved.

<sup>&</sup>lt;sup>34</sup> Note that pronominals in oblique positions of relative clauses introduced by complementizers are, by and large, considered 'last resort' elements, exactly like intrusive pronominals in our data (Shlonsky 1992, Suñer 1998, Alexopoulou 2006). But the two types of 'last resort' pronominals appear to behave differently with regard to whether they are anaphorically linked to their antecedents.

more important since one of the well-known properties of resumptive chains crosslinguistically is exactly that they do not obey island restrictions (Borer 1984, Sells 1984, McCloskey 1990). Our tentative answer is this: wh-questions in English, Greek, and German are specified for movement (e.g. by a specific feature on matrix C) and therefore are processed as such up to the point of encountering the pronominal. Once encountered, the pronominal gives rise to a different interpretation/processing of the whole sentence, by which the syntactic/cyclic resolution of the dependency is abandoned and an anaphoric resolution is pursued, which, by hypothesis, is less costly.<sup>35</sup> But, crucially, the processing costs (memory and integration) incurred thus far cannot be undone. As a result, intrusive resumption cannot restore the offending structures to full acceptability.<sup>36</sup> This situation is therefore different from cases that are not associated with movement (e.g. if C lacks a relevant feature, as proposed for Irish in McCloskey 2002). In such cases, there is no prediction for a gap and the parser does not enter into a cyclic derivation. No island sensitivity is therefore observed, and resumption is the consequence of the absence of movement (see Alexopoulou 2006).

Before we close this section, there are two remaining issues: (i) How is the main finding of experiment 4, viz. that case mismatches were not tolerated with intrusive pronominals, to be accommodated in the current account? (ii) What is the relation between intrusive resumption and CLLD? We now turn to these issues, starting with (ii).

Intrusive resumption and CLLD share a number of important properties. First, on a par with intrusive resumption, CLLD involves an anaphoric relation between the dislocated antecedent and the pronominal reminiscent of intrasentential anaphora. For instance, CLLDed indefinites standardly take wide scope over the universal quantifier  $ka\theta e$  'every' (54a),<sup>37</sup> numerals, and intensional predicates like *psaxno* 'look for' (54b,c) (Philippaki-Warburton 1985, Anagnostopoulou 1994, Alexopoulou & Kolliakou 2002). Thus, the examples in 54 are parallel to example 52a.

- (54) a. Ena arθro tu Chomsky to ðiavase kaθe fititis. an/one article the.gen Chomsky it read.3sg each student.nom 'There is an article of Chomsky every student read.' (wide scope for the indefinite)
  - b. Ena ðoro ja to Jani (\*to) psaxno eðo ki ena a/one present for the ACC Janis ACC it look for 1sg here and one mina ke ðe boro na vro tipota pu na m'aresi. month and not can 1sg to find 1sg nothing that to me like 3sg 'A present for Janis I've been looking for for a month, but I cannot find anything I like.'

<sup>&</sup>lt;sup>35</sup> We here assume that the local integration costs of gaps and pronominals are identical, since we have no direct evidence to the contrary. If they are not, and, for instance, pronominals have a higher integration cost, Greek CLLD should always be less acceptable than a gap structure like a wh-question or focus movement, which, according to informal judgments, is not the case.

<sup>&</sup>lt;sup>36</sup> There is also the possibility, as suggested to us by a referee, that 'reverting' to a different strategy is itself costly. We leave this possibility open for further research.

<sup>&</sup>lt;sup>37</sup> At least in episodic sentences.

c. Ena pukamiso ja to Jani (pu tu to ixa pari stin a shirt for the Janis.ACC (that him.GEN it had.1sg got in Aryedini) to psaxno eðo ke meres ke ðe boro na to Argentina) it look.for.1sg here and days and not can.1sg to it vro me tipota. find.1sg with nothing

'A shirt for Janis (that I had got for him in Argentina) I've been looking for for some days but I cannot find it.'

Second, as with intrusive resumption, case mismatches are ungrammatical in CLLD, a property that distinguishes CLLD from left dislocation where case mismatches are possible (for diagnostics distinguishing CLLD from left dislocation, see Cinque 1990, Tsimpli 1995, Alexopoulou 1999, Alexopoulou et al. 2003).

Third, though the issue has been the matter of some debate, most researchers agree that CLLD obeys islands in Greek (Anagnostopoulou 1994, Iatridou 1995, Tsimpli 1995, Alexopoulou & Kolliakou 2002).<sup>38</sup>

These shared properties between intrusive resumption and CLLD give rise to the hypothesis that intrusive resumption in Greek involves nothing more than unacceptable CLLD, where the antecedent is just of the wrong type, that is, quantificational rather than referential.

Our answer is the following. First, the contrast between unembedded questions with pronominals and embedded ones indicates that intrusive resumption is independent from CLLD; the status of the antecedent (wH-phrase/quantificational as opposed to referential) does not change with embedding; no improvement therefore should be expected in the embedded condition. This improvement can be understood only if intrusive resumption is admitted as distinct from CLLD. But of course, the two are more similar than different. How are their similarities to be understood? We view CLLD as a grammaticized version of anaphoric dependencies relevant for intrasentential anaphora. This assumption explains the interpretational properties of CLLD; the 'grammaticization' of this dependency relates to the requirement for case agreement between the dislocated phrase and the pronominal and its sensitivity to islands.<sup>33</sup> It is possible that there is a continuum of such anaphoric relations, depending on how loosely/closely the antecedent is integrated with the syntactic structure of the following sentence, ranging from intrasentential anaphora (no syntax relevant), to left dislocation (no cyclicity, case mismatches acceptable, no island sensitivity, root phenomenon) to CLLD (cyclicity, no case mismatches, island sensitivity, nonroot phenomenon). In this continuum, CLLD and intrusive resumption are distinct, the latter possibly closer to intrasentential anaphora.

Let us finally consider the fact that case mismatches were not tolerated with intrusive pronominals in experiment 4. At first sight, this finding appears inconsistent with the view that intrusive resumption involves a process resembling intrasentential anaphora,

<sup>&</sup>lt;sup>38</sup> But note that according to the intuitions of the first author, island-violating questions are worse than island-violating CLLD.

<sup>&</sup>lt;sup>39</sup> In our analysis here, sensitivity to islands is the hallmark of cyclicity and, therefore, movement. In this respect we deviate from the dominant view in the Greek literature, which is that CLLD does not involve movement, even though it is sensitive to islands. Note that the source of island sensitivity in questions involving intrusive resumption is different from CLLD, and due to the fact that resumption cannot undo costs associated with a cyclic structure. Admittedly, until a thorough comparison of island effects in questions and CLLD is undertaken, the distinction between CLLD and intrusive resumption remains not at all straightforward.

since case is irrelevant in intrasentential anaphora. Note, however, that while similar to intrasentential anaphora, cases of intrusive resumption are different in that the antecedent has not been properly syntactically integrated with previous discourse in a sentence. In more syntactic terms, its case features have not been licensed/checked. Ultimately, when the dependency is resolved (whichever way, syntactically or anaphorically), the wh-phrase is integrated with some predicate in the question, not with some other sentence in previous discourse. This then is what accounts for the case requirement. Note crucially that this does not—at least not necessarily—undermine the hypothesis that some anaphoric process is involved, since intrasentential processes may preserve case, as indicated by the unacceptability of 55c in the context of 55a.

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(55) a. Pios kerðise to laxio?
who.NOM won the lottery
'Who won the lottery?'
b. o Janis
the.NOM Janis
c. *to Jani
the.ACC Janis
```

**8.3.** Crosslinguistic variation. As mentioned earlier, the overall pattern in the results we obtained is crosslinguistically identical, indicating that the principles underlying these effects are crosslinguistically constant. However, some crosslinguistic variation arises, prima facie due to quantitative differences in the seriousness of the violations under investigation. In this section, we reduce such quantitative variation to the structural properties of the languages in question.

The first striking difference relates to the acceptability of the pronominal in simple questions like 56. While in all three languages such examples lead to strong unacceptability, the Greek example in 56b is more acceptable than its English and German counterparts, since it is significantly better than the strong-island violation (see Fig. 2c). By contrast, English and German questions with (unembedded) pronominals like 56a and 56c are as unacceptable as strong-island violations (see Figs. 1d and 3c). As has been established in the previous section, Greek differs from English and German in that unembedded resumptive sentences are not necessarily analyzed as movement chains with an illicit pronominal in place of a gap/trace. Rather, they can be analyzed as cases of CLLD; under such a derivation, the problem is not the presence of a pronominal in a movement chain, but rather the incompatibility between the wh-phrase as a nonreferential/quantificational antecedent and the pronominal in an anaphoric chain. This violation lies at the syntax-semantics interface and, as such, can be assumed to lead to a milder reduction in acceptability (Sorace & Keller 2005).

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(56) a. Who will we fire him?
b. Pion θa ton apolisume? who.ACC will him fire.1PL
c. Wen entlassen wir ihn? who.ACC fire.1PL we him
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The second main contrast is the stronger effect of embedding in German *dass*-clauses, which parallels the weak-island violation in *whether*-clauses (see Fig. 3a). We relate this difference to the fact that, unlike Greek and English, the complement CP is extraposed to the right in German (Keller 1995). In particular, we assume that the integration of an extraposed CP is associated with higher cost.

Let us finally consider why gaps remain significantly better than pronominals in English, while intrusive pronominals are as acceptable as gaps in Greek and German (compare Fig. 1c and Figs. 2b and 3b). We interpret this as an epiphenomenon of the absence of CLLD and CP extraposition in English. The unavailability of CLLD makes pronominals in English less tolerated than in Greek. In German, by contrast, CP extraposition makes embedded gaps worse than in any of the three languages. Absence of CLLD and CP extraposition leaves English with relatively acceptable embedded gaps and unacceptable pronominals; as a result, gaps are always more acceptable than pronominals.

**8.4.** QUESTIONS VS. RELATIVE CLAUSES. As explained in §2.4, we have focused here on object questions and have ignored relative clauses as well as subject questions. In the following, we briefly review relevant experimental results.

McDaniel and Cowart (1999) present an experimental study that tests the acceptability of gaps and pronouns in subject relative clauses and investigates the interaction between resumption, C-trace effect, and subjacency (weak islands). In particular, they compare the acceptability of pronominals and gaps in the following structures. <sup>40</sup> Both 57 and 58 involve a weak-island violation. But 57, in addition to the weak-island violation, also involves a C-trace violation.

- (57) a. \*That's the girl that I wonder when met you.
  - b. That's the girl that I wonder when she met you.
- (58) a. ?That's the girl that I wonder when you met.
  - b. [judgment?] That's the girl that I wonder when you met her.

Their results differ from ours with respect to the acceptability of resumption. First, in the object position in 58, the pronominal is as acceptable as the gap. Second, in the subject position in 57, the pronominal improves the C-trace violation and is better than the gap. Hence 58b is as acceptable as 58a, while 57b is better than 57a. Furthermore, the weak-island violation in 58a is more acceptable than the combination of the C-trace and weak-island violation in 57a. But more interestingly, 58, which involves a weak-island but no trace violation, is as acceptable as 57b, which involves both a weak-island and C-trace violation.

These results contrast with our results from English questions, where gaps were better than resumptives in object extractions from *whether*-clauses. We believe that this contrast relates to a more general contrast between questions and relative clauses with respect to the acceptability of resumption. As already mentioned in §2.4, a number of corpus studies indicate that resumption is productive, not only as a 'last resort' device, but also as a general strategy of relative clause formation (Prince 1990, 1997, Cann et al. 2005). If resumption is independently available in relative clauses, then it is not surprising that pronominals and gaps are equally acceptable in the object position, while gap structures like 57a are worse than all other structures: only 57a involves a grammatical violation. The remaining three structures just involve a weak-island violation, which, as shown in our results on questions, is a less serious violation.

<sup>&</sup>lt;sup>40</sup> The asterisks and question marks indicate the informal acceptability judgments the authors give for these examples before conducting the experiment. By [judgment?] in 58b the authors indicate their uncertainty about the appropriate judgment/diacritic.

<sup>&</sup>lt;sup>41</sup> Note that the materials used by McDaniel and Cowart (1999) included *whether*-clauses as well as indirect questions introduced by *why*, *when*, *how*, and *where*. It is therefore unlikely that the contrast relates to the nature of the element introducing the indirect question (head vs. specifier).

The contrast between our results and the results of McDaniel and Cowart (1999) therefore relates to the unavailability of the resumptive strategy in questions as opposed to relative clauses.<sup>42</sup>

9. Conclusion. This article has presented a systematic experimental investigation of the interaction between locality and resumption in wH-questions. Resumptives have traditionally been claimed to save island violations, that is, to improve the acceptability of extraction out of weak and strong islands, though such claims have predominantly involved resumption in relative clauses. We tested this claim using a series of magnitude estimation experiments that investigated object extraction in various syntactic configurations: complement clauses with and without *that* (nonislands), complement clauses with *whether* (weak islands), and relative clauses (strong islands). We also tested multiple levels of embedding (single, double, and triple) and included two control conditions: unembedded questions and declarative clauses. In order to be able to differentiate language-specific effects from crosslinguistically constant ones, we conducted identical experiments in three languages: English, Greek, and German. The Greek data is particularly relevant since Greek (in contrast to English and German) allows resumptive pronouns in certain syntactic configurations, most importantly CLLD.

Experiments 1–4 established a robust pattern of results that holds across all three languages. The most striking finding was the absence of a saving effect of resumption in questions: we found that a resumptive pronoun is at most as acceptable as a gap in the same construction, but never more acceptable. This means that resumptives do not remedy island violations in questions, and hence cannot be viewed as a 'last resort' strategy. This highly surprising result is at variance with claims in the theoretical literature. We also found, however, that resumption in questions can compensate for embedding in certain cases: resumptives with one or two levels of embedding were more acceptable than unembedded resumptives. This effect was limited to extraction in the nonisland and weak-island conditions, and was strongest in German.

Another surprising finding was a general effect of embedding, even in structures that are considered fully grammatical, such as wh-questions extracted out of bare clauses or *that*-clauses (which are not islands). We even found that embedding reduces acceptability in declarative sentences, also considered fully grammatical. Based on experimental results in the literature, we argued that this is a genuine effect of embedding, and not one of sentence length (see §8.2).

The third major finding was that the nonislands and weak islands pattern together: in both cases extraction led to a mild reduction in acceptability, and resumption in questions compensated for embedding. This contrasts with the strong-island (relative clause) condition, in which extraction led to strong unacceptability, and resumption and embedding had no effect.

The experimental results demonstrate a remarkable consistency across the three languages in the overall pattern of interactions between the factors we studied. Crosslinguistic variation is confined to quantitative differences associated with universal grammatical principles: we found that (i) resumption in questions is more acceptable in Greek than in German and English, compared to strong-island violations; (ii) extrac-

<sup>&</sup>lt;sup>42</sup> A proper corpus study should of course verify this. As far as we are aware, however, there have been no claims about the underreported use of resumption in English questions. Moreover, the contrast between questions and relative clauses with respect to the availability of resumption is not specific to English. Crosslinguistically, resumption is more widely available and often obligatory in relative clauses, and its distribution is generally more restricted in questions, often subject to pragmatic conditions such as d-linking.

tion out of *that*-clauses is worse in German than in English and Greek (in German it is almost as unacceptable as extraction out of *whether*-clauses); and (iii) gaps in English are significantly better than pronominals in all conditions; in Greek and German, resumptives can reach the same acceptability as gaps.

Based on our experimental results, we presented an analysis that explains the gradient nature of the acceptability judgments in terms of the interaction of different cognitive modules. We argued that strong islands involve grammatical violations, which, on a par with violations of core syntactic principles like case, give rise to strong unacceptability. Such violations cannot be remedied by resumption (at least in the wh-questions investigated here). By contrast, weak-island violations, on a par with extractions from that-clauses, give rise to mild unacceptability. We argued that this is caused by an interaction of the syntactic properties of these structures with the demands they impose on the human sentence processor. In particular, we built on Gibson's (1998) theory of human sentence processing to develop an account of the processing complexity of A-bar dependencies. In this account, locality conditions associated with the relativized minimality effects for weak islands can be viewed as a grammaticization of the resource limitations of the human parser, that is, as a response of the grammar to processing pressures. Resumption can compensate for the processing difficulty associated with these structures, since pronominals can seek antecedents anaphorically (as in discourse). This means they do not necessarily have to rely on local, cyclic movement (which is obligatory for the resolution of traces), and therefore are less sensitive to the locality effects associated with movement.

On a more general level, we have demonstrated that there is much to be gained from the crosslinguistic experimental study of gradient acceptability. By eliciting English, Greek, and German data in parallel, we were able to show that resumption has essentially the same effect in all three languages. Crucially, this was true for cases where the theoretical literature suggests crosslinguistic variation. As an example, take the claim that Greek (unlike English and German) allows resumptives in embedded clauses. Our experimental data showed that the empirical basis for this claim is simply that embedded resumptives are more acceptable than unembedded ones, a finding that holds for all three languages. Only the absolute acceptability of resumptives (independent of embedding) differs between Greek and English or German. A result of this type cannot be obtained based on informal, intuitive acceptability judgments; it requires experimental data such as the magnitude estimation data presented here.

According to the current analysis, the effect of resumption is understood in terms of lesser costs induced by resolving a long-distance dependency anaphorically rather than syntactically. This approach can be extended to accommodate known interactions between d-linking, weak islands, and resumption, which await a proper experimental investigation. Moreover, interesting questions arise with respect to whether there is a range of anaphoric relations or factors that can contribute to a structure being less or more anaphoric (e.g. referential/quantificational antecedent, resumption/gap), which accordingly may induce weaker or stronger effects with islands. A systematic investigation and comparison between types of structures (questions, topicalization, and CLLD) and types of antecedents (d-linked/referential) can illuminate such questions.

Finally, none of the languages tested here allows resumption of the type attested in Semitic and Celtic languages, where pronominals are insensitive to islands. A comparison with such languages is important in order to understand relations between intrusive and true resumption. Data reported and discussed by Erteschik-Shir (1992) and Dickey (1996) indicate that, at minimum, intrusive resumption in Hebrew is not that different

from intrusive resumption in English, Greek, and German. The question is whether true resumption will prove to be distinct from intrusive resumption in such languages or is better understood as the end point of a continuum.<sup>43</sup> The question is all the more important given that true resumption is attested predominantly in relative clauses, which also appear to favor (or at least tolerate) resumption in Greek and English.

#### APPENDIX A: DETAILS OF STATISTICAL ANALYSES.

A.1. EXPERIMENT 1. An ANOVA yielded significant main effects of Embedding ( $F_1(1,54) = 14.330, p < 0.0005$ ;  $F_2(1,8) = 15.704, p = 0.004$ ), Island ( $F_1(3,162) = 55.772, p < 0.0005$ ;  $F_2(3,24) = 45.811, p < 0.0005$ ), and Resumption ( $F_1(1,54) = 67.807, p < 0.0005$ ;  $F_2(1,8) = 81.794, p < 0.0005$ ). The interactions Island/Resumption ( $F_1(3,162) = 30.101, p < 0.0005$ ;  $F_2(3,24) = 35.977, p < 0.0005$ ) and Embedding/Resumption ( $F_1(1,54) = 15.381, p < 0.0005$ ;  $F_2(1,8) = 5.976, p = 0.040$ ) were also significant. The other interactions were significant only by subjects: Island/Embedding ( $F_1(3,162) = 4.217, p = 0.007$ ;  $F_2(3,24) = 0.877, p = 0.467$ ), and Island/Embedding/Resumption ( $F_1(3,162) = 6.878, p < 0.0005$ ;  $F_2(3,24) = 2.395, p = 0.093$ ).

A further series of tests was carried out to compare the single- and double-embedding conditions to the control condition (no embedding). The appropriate statistic is Dunnett's test for comparing multiple conditions to a control. We first report the results of comparing the gapped stimuli to the gapped control condition. For both nonisland conditions, there was no significant difference between control and the single-embedding condition, while the double embedding was significantly less acceptable than the control  $(t_{d_i}(55,9) = 5.734, p < 0.01; t_{d_2}(8,9) = 5.110, p < 0.01$  and  $t_{d_i}(55,9) = 6.886, p < 0.01; t_{d_2}(8,9) = 5.536, p < 0.01)$ . In the weak-island condition, we found that both the single- and the double-embedding condition were less acceptable than the control  $(t_{d_i}(55,9) = 5.710, p < 0.01; t_{d_2}(8,9) = 3.891, p < 0.05$  and  $t_{d_i}(55,9) = 8.8184, p < 0.01; t_{d_2}(8,9) = 6.350, p < 0.01)$ . Also in the relative clause condition, singly and doubly embedded stimuli were less acceptable than the control  $(t_{d_i}(55,9) = 10.825, p < 0.01; t_{d_2}(8,9) = 6.209, p < 0.01$  and  $t_{d_i}(55,9) = 11.799, p < 0.01; t_{d_i}(8,9) = 9.382, p < 0.01)$ .

In a separate test, we compared the resumptive stimuli to the resumptive control condition. In the nonisland condition, there was no significant difference between the singly embedded resumptive and the control, while the doubly embedded resumptive was significantly more acceptable than the control, by subjects only  $(t_{d_i}(55,9)=2.752, p<0.05; t_{d_2}(8,9)=1.377, p>0.05)$ . In the *that*-clause condition, the singly embedded resumptive was more acceptable than the control (by subjects only,  $t_{d_i}(55,9)=3.034, p<0.05; t_{d_2}(8,9)=1.165, p>0.05)$ , while the doubly embedded resumptive was not different from the control. No significant differences with the control were found for the weak- and strong-island conditions.

**A.2.** EXPERIMENT 2. An ANOVA yielded significant main effects of Embedding ( $F_1(1,58) = 26.509$ , p < 0.0005;  $F_2(1,6) = 19.933$ , p = 0.004), Island ( $F_1(2,116) = 82.828$ , p < 0.0005;  $F_2(2,12) = 137.211$ , p < 0.0005), and Resumption ( $F_1(1,58) = 22.875$ , p < 0.0005;  $F_2(1,6) = 12.006$ , p = 0.013). The interaction of Island and Resumption was also significant ( $F_1(2,116) = 10.005$ , p < 0.0005;  $F_2(2,12) = 4.016$ , p = 0.046). All of the other interactions were significant only by subjects: Island/Embedding ( $F_1(1,116) = 15.072$ , p < 0.0005;  $F_2(2,12) = 3.409$ , p = 0.067), Embedding/Resumption ( $F_1(1,58) = 7.705$ , p = 0.007;  $F_2(1,6) = 4.494$ , p = 0.078), and Island/Embedding/Resumption ( $F_1(2,116) = 5.888$ , p = 0.004;  $F_2(2,12) = 3.872$ , p = 0.050).

Dunnett's test was used to compare the embedded conditions to the control conditions. We first report the results for the gapped stimuli. For the nonisland condition, both the single- and the double-embedding condition were less acceptable than the control  $(t_{d_i}(59,7) = 5.641, p < 0.01; t_{d_i}(6,7) = 4.472, p < 0.05$  and  $t_{d_i}(59,7) = 8.695, p < 0.01; t_{d_i}(6,7) = 9.562, p < 0.01)$ . Also in the weak-island condition, both levels of embedding were significantly less acceptable than the control  $(t_{d_i}(59,7) = 8.619, p < 0.01; t_{d_i}(6,7) = 14.428, p < 0.01$  and  $t_{d_i}(59,7) = 7.532, p < 0.01; t_{d_i}(6,7) = 6.005, p < 0.01)$ . The same picture emerged in the strong-island conditions; again both levels of embedding were worse than the control  $(t_{d_i}(59,7) = 1.532, p < 0.01; t_{d_i}(6,7) = 1.532; p < 0.0$ 

<sup>&</sup>lt;sup>43</sup> Comparisons between questions and relative clauses in Hebrew or Lebanese Arabic would illuminate the issue, since resumption in questions is generally dispreferred in the absence of discourse linking in both languages; by contrast, resumption in relative clauses is acceptable irrespective of d-linking in Hebrew and obligatory in Lebanese Arabic (Borer 1984, Shlonsky 1992, Aoun & Choueiri 1997).

12.323, p < 0.01;  $t_{d_2}(6,7) = 12.017$ , p < 0.01 and  $t_{d_1}(59,7) = 12.470$ , p < 0.01;  $t_{d_2}(6,7) = 17.066$ , p < 0.01).

A separate test compared the resumptive stimuli to the resumptive controls. In the nonisland condition, neither the single nor the double embedding were significantly different from the control. In the weak-island condition, the single-embedding condition was significantly more acceptable than the control, by subjects only  $(t_{d_j}(59,7)=3.034, p<0.05; t_{d_j}(6,7)=2.930, p>0.05)$ . There was no difference between the double-embedding condition and the control. In the strong-island condition, both the single- and the double-embedding condition were significantly less acceptable than the control  $(t_{d_j}(59,7)=4.955, p<0.01; t_{d_j}(6,7)=7.058, p<0.01$  and  $t_{d_j}(59,7)=4.284, p<0.01; t_{d_j}(6,7)=6.107, p<0.01)$ .

**A.3.** EXPERIMENT 3. An ANOVA yielded a significant main effect of Island ( $F_1(2,72) = 34.415$ , p < 0.0005;  $F_2(2,12) = 51.787$ , p < 0.0005), but the main effects of Embedding and Resumption were not significant. The interactions Island/Resumption ( $F_1(2,72) = 5.774$ , p = 0.005;  $F_2(2,12) = 4.614$ , p = 0.033) and Island/Embedding were also significant ( $F_1(2,72) = 6.766$ , p = 0.002;  $F_2(2,12) = 3.917$ , p = 0.049). All other interactions failed to reach significance.

We also compared the conditions with single and double embedding to the control (no embedding) using Dunnett's test. We first report the results of comparing the gapped stimuli to the gapped control condition. For the *that*-clause condition, the control was significantly more acceptable than the single-embedding condition  $(t_{d_i}(36,7)=6.527,\ p<0.01;\ t_{d_i}(6,7)=3.494,\ p<0.05)$  and the double-embedding condition  $(t_{d_i}(36,7)=6.900,\ p<0.01;\ t_{d_i}(6,7)=6.033,\ p<0.01)$ . The same pattern was obtained in the *whether*-clause condition, where the control was more acceptable than both the single- and the double-embedding condition  $(t_{d_i}(36,7)=8.848,\ p<0.01;\ t_{d_i}(6,7)=5.695,\ p<0.01$  and  $t_{d_i}(36,7)=8.493,\ p<0.01;\ t_{d_i}(6,7)=5.636,\ p<0.01)$ . The control was also more acceptable than both levels of embedding in the relative clause condition  $(t_{d_i}(36,7)=11.283,\ p<0.01;\ t_{d_i}(6,7)=13.923,\ p<0.01$  and  $t_{d_i}(36,7)=10.247,\ p<0.01;\ t_{d_i}(6,7)=7.907,\ p<0.01)$ .

A separate test was used to compare the resumptive stimuli to the resumptive control condition. In the *that*-clause condition, we found that the single-embedding condition was more acceptable than the control, by subjects only  $(t_{d_i}(36,7)=3.037,p<0.05;t_{d_2}(6,7)=2.854,p>0.05)$ . The double-embedding condition was also more acceptable than the control  $(t_{d_i}(36,7)=3.839,p<0.01;t_{d_2}(6,7)=3.701,p<0.05)$ . In the *whether*-clause condition, we found that both the single-embedding and the double-embedding conditions were significantly more acceptable than the control, by subjects only  $(t_{d_i}(36,7)=4.623,p<0.01;t_{d_2}(6,7)=3.314,p>0.05)$  and  $t_{d_i}(36,7)=2.715,p<0.05;t_{d_2}(6,7)=2.293,p>0.05)$ . In the relative clause condition, there was no significant difference between the control and the single- and double-embedding conditions.

## A.4. EXPERIMENT 4.

Subexperiment 1. An ANOVA yielded significant main effects of Island (by subjects only,  $F_1(1,32) = 8.727$ , p = 0.006;  $F_2(1,8) = 5.289$ , p = 0.050), Embedding ( $F_1(2,64) = 19.180$ , p < 0.0005;  $F_2(2,16) = 17.902$ , p < 0.0005), and Resumption ( $F_1(2,64) = 24.132$ , p < 0.0005;  $F_2(2,16) = 70.939$ , p < 0.0005). The interactions Island/Resumption ( $F_1(2,64) = 5.703$ , p = 0.005;  $F_2(2,16) = 4.676$ , p = 0.025) and Embedding/Resumption ( $F_1(4,128) = 5.588$ , p < 0.0005;  $F_2(4,32) = 2.900$ , p = 0.037) were also significant. All other interactions failed to reach significance.

A Dunnett test was conducted to further investigate the effect of embedding. For the gap condition, singly embedded *that*-clauses were less acceptable than the unembedded control (by subjects only,  $t_{d_i}(32,7)=3.147$ , p<0.05;  $t_{d_2}(8,7)=1.995$ , p>0.05); double and triple embedding was also less acceptable than the control ( $t_{d_i}(32,7)=5.356$ , p<0.01;  $t_{d_i}(8,7)=6.660$ , p<0.01 and  $t_{d_i}(32,7)=5.300$ , p<0.05;  $t_{d_i}(8,7)=5.781$ , p<0.01). The same pattern was found for gaps in *whether*-clauses: single, double, and triple embedding was less acceptable than the control ( $t_{d_i}(32,7)=4.833$ , p<0.01;  $t_{d_i}(8,7)=5.240$ , p<0.01 and  $t_{d_i}(32,7)=6.474$ , p<0.01;  $t_{d_i}(8,7)=5.687$ , p<0.01 and  $t_{d_i}(32,7)=7.383$ , p<0.01;  $t_{d_i}(8,7)=5.879$ , p<0.01).

A separate Dunnett test for the resumptive condition showed that for *that*-clauses, doubly embedded resumptives were more acceptable than the unembedded control (by items only,  $t_{d_1}(32,7)=1.940$ , p>0.05;  $t_{d_2}(8,7)=3.497$ , p<0.05); for *whether*-clauses, singly embedded resumptives were more acceptable than the control (by subjects only,  $t_{d_1}(32,7)=2.221$ , p<0.05;  $t_{d_2}(8,7)=1.187$ , p>0.05).

A Dunnett test for the declarative condition showed that both for *that*-clauses and for *whether*-clauses, double and triple embedding was less acceptable than the control  $(t_{d_i}(32,7) = 4.654, p < 0.01; t_{d_i}(8,7) = 8.438, p < 0.01$  and  $t_{d_i}(32,7) = 5.198, p < 0.01; t_{d_i}(8,7) = 4.809, p < 0.01$  and  $t_{d_i}(32,7) = 4.837, p < 0.01; t_{d_i}(8,7) = 4.389, p < 0.01$  and  $t_{d_i}(32,7) = 5.597, p < 0.01; t_{d_i}(8,7) = 5.110, p < 0.01)$ . For *that*-

clauses, single embedding was also less acceptable than the control (by subjects only,  $t_{d_1}(32.7) = 2.787$ , p < 0.05;  $t_d(8.7) = 0.720$ , p > 0.05).

Subexperiment 2. For this subexperiment, we conducted an ANOVA that included the declarative stimuli from the first subexperiment, so as to be able to determine if different types of declaratives show a differential effect of embedding. The ANOVA therefore crossed the factors Embedding (single, double, triple) and Type (that-clause, whether-clause, relative clauses). A significant main effect of Embedding was found ( $F_1(2,64) = 22.216$ , p < 0.0005;  $F_2(2,16) = 29.78$ , p < 0.0005), but there was no main effect of Type, and no interaction between the two factors.

Subexperiment 3. An ANOVA revealed a significant main effect of Antecedent ( $F_1(1,32) = 4.563$ , p = 0.040;  $F_2(1,8) = 6.362$ , p = 0.036): genitive antecedents were more acceptable than prepositional antecedents. The main effects of Island, Embedding, and Resumption were not significant. No significant interaction were found either.

A Dunnett test was conducted to compare the singly and doubly embedded case-violation conditions to an unembedded control. The only significant difference that was found was for doubly embedded clauses with genitive antecedents. Here, resumptives were more acceptable than the control both for *that*-clauses  $(t_{d_1}(32,5) = 3.272, p < 0.01; t_{d_2}(8,5) = 3.335, p < 0.05)$  and for *whether*-clauses (by subjects only,  $t_{d_2}(32,5) = 3.494, p < 0.01; t_{d_2}(8,5) = 1.024, p > 0.05).$ 

#### APPENDIX B: EXPERIMENTAL MATERIALS.

**B.1.** Practice and filler items. In each experiment, six practice items were used. All of them were warquestions of varying complexity. Half of the items were grammatical; the other half contained grammatical violations of varying seriousness (e.g. agreement, subcategorization, word-order violations). None of the items included resumptive pronouns. The filler items were designed in the same way as the practice items.

## **B.2.** Experiment 1. Modulus:

(1) With who do you want to know whether Bill will go out?

Templates for questions:

- (2) a. Who will we \$1 (him)?
  - b. Who does \$2 claim (that) we will \$1 (him)?
  - c. Who does \$3 think that \$2 claims (that) we will \$1 (him)?
- (3) a. Who does \$2 wonder whether we will \$1 (him)?
  - b. Who does \$3 think that \$2 wonders whether we will \$1 (him)?
- (4) a. Who does \$2 meet the people that will \$1 (him)?
  - b. Who does \$3 think that \$2 meets the people that will \$1 (him)?

## Lexicalizations:

- (5) a. \$1: fire, phone, evict, hire, punish, support, elect, invite, arrest
  - b. \$2: Mary, Ann, Elizabeth, Ruth, Lucy, Laura, Rachel, Susan, Emily
  - c. \$3: Jane, Margaret, Sarah, Jean, Helen, Alice, Diana, Clare, Caroline

## **B.3.** Experiment 2. Modulus:

(6) Me pion iθeles na maθis an vγike i Maria? with who.Acc wanted.2sg to know.2sg if went.out.3sg the.Nom Maria

# Templates for questions:

- (7) a. Pion  $\theta$ a (ton) \$1? who.ACC will (him) \$1
  - b. Pion isxirizete \$2 oti  $\theta a$  (ton) \$1? who.acc claim.3sg \$2 that will (him) \$1
  - c. Pion nomizi \$3 oti isxirizete \$2 oti θa (ton) \$1? who.ACC think.3sg \$3 that claim.3sg \$2 that will (him) \$1
- (8) a. Pion anarotiete \$2 an θa (ton) \$1? who.ACC wonder.3sg \$2 whether will (him) \$1

- b. Pion nomizi \$3 oti anarotiete \$2 an  $\theta$ a (ton) \$2? who.Acc think.3sg \$3 that wonder.3sg \$2 whether will (him) \$2
- (9) a. Pion sinadai \$2 tus tipus pu θa (ton) \$1? who.acc meet.3sg \$2 the.acc guys.acc that will (him) \$1
  - b. Pion nomizi \$3 oti sinadai \$2 tus tipus pu  $\theta$ a (ton) \$1? who.acc think.3sg \$3 that meet.3sg \$2 the.acc guys.acc that will (him) \$1

#### Lexicalizations:

- (10) a. \$1: apolisume, kalesume, ðioksume, proslavume, vravefsume, ipostiriksume, fire.1pL invite.1pL send.away.1pL hire.1pL reward.1pL support.1pL psifisume vote.for.1pL
  - b. \$2: i Maria, i Natasa, i Ana, i Aliki, the.nom.fem Maria the.nom.fem Natasa the.nom.fem Anna the.nom.fem Aliki i Dina, i Mirela, i Sofia the.nom.fem Dina the.nom.fem Mirela the.nom.fem Sofia
  - c. \$3: o Petros, o Nikos, o Janis,
    the.nom.msc Petros.nom the.nom.msc Nikos.nom the.nom.msc Janis.nom
    o Kostas, o Panos, o
    the.nom.msc Kostas.nom the.nom.msc Panos.nom the.nom.msc
    Takis, o Joryos
    Takis.nom the.nom.msc Jorgos.nom

## **B.4.** Experiment 3. Modulus:

(11) Mit wem willst du wissen ob Peter ausgeht? with whom want you know if Peter go.out

## Templates for questions:

- (12) a. Wen \$1 wir (ihn)?
  - who we (him)
  - b. Wen behauptet \$2, dass wir (ihn) \$1? who claims that we (him)
  - c. Wen denkt \$3, dass \$2 behauptet, dass wir (ihn) \$1? who thinks that claims that we (him)
- (13) a. Wen uberlegt \$2, ob wir (ihn) \$1? who ponders if we (him)
  - b. Wen denkt \$3, dass \$2 tiberlegt, ob wir (ihn) \$1? who thinks that ponders if we (him)
- (14) a. Wen trifft \$2 die Leute, die (ihn) \$1? who meets the people who (him)
  - b. Wen denkt \$3, dass \$2 die Leute trifft, die (ihn) \$1? who thinks that the people meets who (him)

# Lexicalizations:

- (15) a. \$1: entlassen, informieren, vertreiben, bestrafen, unterstützen, wählen, verhaften fire inform chase.away punish support elect arrest
  - b. \$2: Petra, Maria, Sabine, Jutta, Heike, Christine, Andrea
  - c. \$3: Barbara, Monika, Ursula, Brigitte, Renate, Helga, Elisabeth

# **B.5.** Experiment 4. Modulus:

(16) Me pion iθeles na maθis an vyike i Maria? with whom wanted.2sg to know.2sg if entered.3sg the Maria

# Templates for questions:

- (17) a. Pion θa (ton) \$1? who.ACC will (him) \$1
  - b. Pion  $\theta$ eori \$2 oti  $\theta$ a (ton) \$1? who.ACC speculate.3sG \$2 that will (him) \$1
  - c. Pion nomizi \$3 oti  $\theta$ eori \$2 oti  $\theta$ a (ton) \$1? who.ACC think.3sG \$3 that speculate.3sG \$2 that will (him) \$1

- d. Pion nomizi \$4 oti pistevi \$3 oti θeori \$3 oti θa (ton) \$1? who.ACC think.3sg \$4 that believe.3sg \$3 that speculate.3sg \$3 that will (him) \$1
- (18) a. Pianu  $\theta$ a (ton) \$1? who.gen will (him) \$1
  - b. Pianu θeori \$2 oti θa (ton) \$1?who.gen speculate.3sg \$2 that will (him) \$1
  - c. Pianu nomizi \$3 oti θeori \$2 oti θa (ton) \$1?
     who.Acc think.3sg \$3 that speculate.3sg \$2 that will (him) \$1
- (19) a. Se pion  $\theta$ a (ton) \$1? to who.ACC will (him) \$1
  - b. Se pion  $\theta$ eori \$2 oti  $\theta$ a (ton) \$1? to who.acc speculate.3sg \$2 that will (him) \$1
  - c. Se pion nomizi \$3 oti  $\theta$ eori \$4 oti  $\theta$ a (ton) \$1? to who.Acc think.3sg \$3 that speculate.3sg \$4 that will (him) \$1
- (20) a. Pion anarotiete \$2 an θa (ton) \$1? who.acc ponder.3sg \$2 whether will (him) \$1
  - b. Pion nomizi \$3 oti anarotiete \$2 an θa (ton) \$1?
     who.ACC think.3sg \$3 that ponder.3sg \$2 whether will (him) \$1
  - c. Pion nomizi \$4 oti pistevi \$3 oti anarotiete \$2 an θa (ton) \$1? who.Acc think.3sg \$4 that believe \$3 that ponder.3sg \$2 whether will (him) \$1
- (21) a. Pianu anarotiete \$2 an  $\theta$ a (ton) \$1? who.gen ponder.3sg \$2 whether will (him) \$1
  - b. Pianu nomizi \$3 oti anarotiete \$2 an θa (ton) \$1?
     who.gen think.3sg \$3 that ponder.3sg \$2 whether will (him) \$1
- (22) a. Se pion anarotiete \$2 an  $\theta$ a (ton) \$1? to who.acc ponder.3sg \$2 whether will (him) \$1
  - b. Se pion nomizi \$3 oti anarotiete \$2 an θa (ton) \$1?
     to who.ACC think.3sg \$3 that ponder.3sg \$2 whether will (him) \$1

## Templates for declaratives:

- (23) a. θa \$1 \$5.
  - will \$1 \$5
  - b. \$2 θeori oti θa \$1 \$5.\$2 speculate.3sg that will \$1 \$5
  - c. \$3 nomizi oti \$2 θeori oti θa \$1 \$5.
     \$3 think.3sg that \$2 speculate.3sg that will \$1 \$5
  - d. \$4 nomizi oti \$3 pistevi oti \$2  $\theta$ eori oti  $\theta$ a \$1 \$5. \$4 think.3sg that \$3 believe.3sg that \$2 speculate.3sg that will \$1 \$5
- (24) a. \$2 anarotiete an  $\theta a$  \$1 \$5. \$2 ponder.3sg whether will \$1 \$5
  - b. \$3 nomizi oti \$2 anarotiete an θa \$1 \$5.
     \$3 think that \$2 ponder.3sg whether will \$1 \$5
  - c. \$4 nomizi oti \$3 pistevi oti \$2 anarotiete an  $\theta a$  \$1 \$5. \$4 think.3sg that \$3 believe.3sg that \$2 ponder.3sg whether will \$1 \$5
- (25) a. \$2 sinadai tus tipus. \$2 meet.3sg the.ACC guys.ACC
  - b. \$2 sinadai tus tipus pu  $\theta a$  \$1 \$5.
  - \$2 meet.3sG the.ACC guys.ACC that will \$1 \$5 c. \$3 nomizi oti \$2 sinadai tus tipus pu  $\theta a$  \$1 \$5.
  - \$3 think.3sG that \$2 meet.3sG the.ACC guys.ACC that will \$1 \$5 d. \$4 nomizi oti \$3 pistevi oti \$2 sinadai tus tipus pu  $\theta$ a \$1 \$5. \$4 think.3sG that \$3 believe.3sG that \$2 meet.3sG the.ACC guys.ACC that will \$1 \$5

# Lexicalizations:

(26) a. \$1: apolisume, kalesume, õioksume, proslavume, vravefsume, ipostiriksume, fire.1pL invite.1pL send.away.1pL hire.1pL reward.1pL support.1pL psifisume, õialeksume, kratisume vote.for.1pL choose.1pL keep.1pL

- b. \$2: i Maria, i Natasa, i Ana, i Aliki,
  the.nom.fem Maria the.nom.fem Natasa the.nom.fem Anna the.nom.fem Aliki
  i Dina, i Mirela, i Sofia, i Marina,
  the.nom.fem Dina the.nom.fem Mirela the.nom.fem Sofia the.nom.fem Marina
  i Aleka
  the.nom.fem Aleka
- c. \$3: 0 Petros, o Nikos, o Janis,
  the.nom.msc Petros.nom the.nom.msc Nikos.nom the.nom.msc Janis.nom
  o Kostas, o Panos, o Takis,
  the.nom.msc Kostas.nom the.nom.msc Panos.nom the.nom.msc Takis.nom
  o Joryos, o Vasilis, o Manos
  the.nom.msc Jorgos.nom the.nom.msc Vasilis.nom the.nom.msc. Manos.nom
- d. \$4: o Nikos, o Janis, o Kostas, o Panos, o Takis, o Joryos, o Vasilis, o Manos, o Petros
- e. \$5: to Jani, ton Kosta, ton Pano, ton the.acc.msc Janis.acc the.acc.msc Kostas.acc the.acc.msc Panos.acc the.acc.msc Taki, to Joryo, to Vasili, to Mano, Takis.acc the.acc.msc Jorgos.acc the.acc.msc Vasilis.acc the.acc.msc Manos.acc ton Petro, to Niko the.acc.msc Petros.acc the.acc.msc Nikos.acc

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Alexopoulou UMR 8163 'Saviors, Textes, Langage' Université de Lille III 59653 Villeneuve d'Ascq Cedex France [theodora.alexopoulou@univ-lille3.fr]

Keller School of Informatics University of Edinburgh 2 Buccleuch Place Edinburgh EH8 9LW United Kingdom [keller@inf.ed.ac.uk] [Received 30 April 2004; revision invited 17 December 2004; revision received 6 December 2005; accepted 1 June 2006]