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## Designing Processive Catalytic Systems. Threading Polymers <sup>2</sup> through a Flexible Macrocycle Ring

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Supporting Information 6

ABSTRACT: The translocation of polymers through pores is widely observed in 7 nature and studying their mechanism may help understand the fundamental features 8 of these processes. We describe here the mechanism of threading of a series of 9 polymers through a flexible macrocyclic ring. Detailed kinetic studies show that the 10 translocation speed is slower than the translocation speed through previously 11 described more rigid macrocycles, most likely as a result of the wrapping of the 12 13 14 15



macrocycle around the polymer chain. Temperature-dependent studies reveal that the threading rate increases on decreasing the temperature, resulting in a negative activation enthalpy of threading. The latter is related to the opening of the cavity of the macrocycle at lower temperatures, which facilitates binding. The translocation process along the polymer chain, on the other hand, is enthalpically unfavorable, which can be ascribed to the release of the tight binding of the macrocycle to the chain upon translocation. The combined kinetic and thermodynamic data are analyzed with our previously proposed consecutive-hopping model of threading. Our findings provide valuable insight into the translocation mechanism of macrocycles on polymers, which is of interest for the development of processive catalysts, i.e., catalysts that thread onto polymers and move along it while

20 performing a catalytic action.

#### INTRODUCTION 21

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22 DNA polymerase and  $\lambda$ -exonuclease are examples of naturally 23 occurring rotaxane-like catalytic systems that bind to DNA and 24 move along it, while performing a catalytic action, e.g., 25 duplication or cleavage of the DNA strand. Catalysts of this 26 type are called processive and are different from conventional 27 catalytic systems, which convert substrate molecules in a 28 distributive way, i.e., molecule by molecule in a random fashion 29 without continuous attachment to a substrate.<sup>1,2</sup> Rotaxane-like 30 architectures have also been shown to be essential for the 31 transport of proteins across membranes and for the packaging 32 and release of RNA and DNA through holes or openings in 33 viruses.<sup>3,4</sup> In previous papers we reported that relatively simple 34 molecules, comprising a glycoluril cage compound provided 35 with a porphyrin roof (Figure 1a, compound 1) can behave in a 36 similar way as the above-mentioned processive enzyme systems. 37 As a virtue of its toroidal shape, it can bind to linear polymer 38 chains, which thread through its cavity.<sup>5–7</sup> The manganese(III) 39 derivative of this porphyrin cage was found to epoxidize the 40 alkene double bonds of a polybutadiene thread while gliding 41 along it.<sup>5,6</sup> An important question to be answered was whether 42 the catalytic oxidation of polybutadiene by the manganese 43 macrocycle is sequential, i.e., stepwise, processive or random 44 processive. In the latter case the catalyst hops randomly from 45 site to site during its action on the polymer chain eventually 46 oxidizing all the alkene double bonds. If the threading speed of 47 the macrocyclic catalyst on the polybutadiene chain is 48 considered to be approximately 750 pm/s<sup>6</sup> and the speed of 49 catalysis, as calculated from the catalyst turnover number, is ca. 50.1 pm/s, the catalytic oxidation of polybutadiene by the manganese porphyrin macrocycle can be assumed to follow a 51 random hopping mode, i.e., the random processive mecha- 52 nism.6

In order to obtain a synthetic catalytic system that is capable 54 of performing catalysis in a more sequential processive fashion, 55 the translocation rate and the rate of the catalytic reaction need 56 to be similar. This requires a system that displays either a 57 slower translocation process or a faster catalytic reaction. Since 58 the rate of catalysis is difficult to adjust, we chose for the first 59 option and designed a porphyrin macrocycle that has a larger 60 affinity for the polymer chain, which should lead to a slower 61 translocation rate. We herein describe a new porphyrin cage 62 compound 2 (Figure 1a), that has additional oxyethylene  $_{63}$ spacers in the glycoluril moiety, which results in a larger and 64 more flexible cage structure compared to the previously 65 reported porphyrin cage 1. We present here the polymer 66 threading studies on the flexible macrocycles H<sub>2</sub> 2 and Zn 2 67 and compare these with our previous findings on the porphyrin 68 macrocycles H<sub>2</sub> 1 and Zn 1. Threading studies on macrocycles 69 2 reveal a slower translocation process compared to macro-70 cycles 1 as well as remarkable differences in polymer length 71 dependency and energy profile of threading, which suggests 72 that the manganese derivative of compound  $H_2$  2 may be a 73 promising candidate for achieving sequential processive 74 catalysis. 75

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Figure 1. (a) Structures of porphyrin macrocycles. Left: rigid porphyrin 1 with four single oxyethylene spacers between the porphyrin and the glycoluril moiety. Right: porphyrin 2 with four bis(oxyethylene) spacers between the porphyrin and the glycoluril moiety. (b) Viologen-functionalized polytetrahydrofurans with different number of repeating units, which are used in the threading studies.



**Figure 2.** (a) Schematic representation of the (de)threading equilibrium; k describes the rate constant for threading, whereas k' is the rate constant for dethreading. (b) Energy diagram of the threading model with the rate constants (k) and energy levels of all the individual processes (M: macrocycle, P: polymer, V: viologen trap, C: local minimum).

#### 76 **RESULTS AND DISCUSSION**

f2.

Previous Studies on Compound 1. In previous papers<sup>6,7</sup> 77 78 we described a method for studying the threading of porphyrin 79 cage compounds onto polymers of different length. To this end so the polymers were blocked on one side with a di-tert-81 butylphenyl group and provided with a trap, i.e., a viologen 82 molecule, which has a high affinity for the macrocyclic 83 compound. The trap is located close to the blocking group 84 and can only be reached by the porphyrin macrocycle if it first 85 threads onto the open end of the polymer and then fully 86 traverses the polymer chain (Figure 2a). Detailed analysis 87 performed by NMR spectroscopy and MALDI-TOF mass 88 spectrometry revealed the formation of only a 1:1 complex 89 between porphyrin macrocycle and polymers under the 90 conditions of the experiments.<sup>6</sup> The threading process can be 91 followed by recording the fluorescence emission of the 92 porphyrin, which is quenched when it reaches the viologen 93 trap. This quenching only occurs when the viologen is bound 94 inside the cavity. It was found that the threading process obeys 95 second-order kinetics and the dethreading process follows first-96 order kinetics and that these processes are strongly dependent 97 on the number of atoms of the thread, i.e., the length of the polymer chain. The threading kinetics were explained by using 98 consecutive-hopping mechanism (Figure 2b).<sup>7,8</sup> 99 a

In this model the threading is dependent on the initial 101 binding event and the chance of arrival of the macrocycle at the 102 viologen trap.<sup>7</sup> The overall threading rate constant k is 103 described by  $k = k_{\text{initial}}/(n + 1)$  and the overall dethreading 104 rate constant k' by  $k' = k_{v-\text{off}}/(n + 1)$ . The rate constant for 105 initial binding  $(k_{\text{initial}})$  is independent of the length of the 106 polymer chain, i.e., the number of atoms n, whereas the chance 107 of arrival of the macrocycle to the viologen trap (1/1 + n) is

dependent on the polymer length. In the proposed consecutive- 108 hopping mechanism the macrocycle finds the open end of the 109 polymer and it "hops" from one local energy minimum to the 110 other (Figure 2b).<sup>7</sup> Threading rates are usually high, and the 111 association constant of the complexes between macrocycle 1 112 and the viologen traps of the polymers is  $K_a = 10^7 \text{ M}^{-1}$ . The 113 translocation speed of the macrocycle along the polymer chain 114 is therefore not explicitly expressed in the consecutive-hopping 115 model; however, it is statistically present in n + 1. Temperature- 116 dependent measurements showed that the activation enthalpy 117 of threading  $(\Delta H^{\ddagger})$  is positive and remains constant, whereas 118 the activation entropy  $(\Delta S^{\ddagger})$  is negative and becomes more 119 negative as the number of the atoms per chain increases. Thus, 120 the energy barrier that has to be overcome for threading to 121 occur is entropic in origin and depends on the length of the 122 polymer chain. 123

Kinetics and Thermodynamics of Threading for 124 Macrocycle 2. The target compounds  $H_2$  2 and Zn 2 were 125 synthesized according to a published procedure.<sup>9</sup> The synthesis, 126 characterization, and binding properties of these compounds 127 will be described elsewhere.<sup>9</sup> Initial conformational analysis of 128 macrocycle  $H_2$  2 based on variable-temperature NMR, UV–vis 129 spectroscopy, and computer modeling revealed that the flexible 130 oxyethylene units are bent toward the inside of the cavity, 131 which leads to a closed conformation at room temperature 132 (Figure 3). At elevated temperatures, however, the oxyethylene 133 f3 units move away from the cavity leading to an open 134 conformation, which will be discussed in the next sections. 135

Polymers P1-P7 were synthesized as described previously.<sup>7</sup> 136 The threading of  $H_2$  2 onto polymers P1-P7 was studied by 137 fluorescence spectroscopy. Typically, to a known volume of  $H_2$  138 2 ([ $H_2$  2](1  $\mu$ M) in CHCl<sub>3</sub>/CH<sub>3</sub>CN, 1:1, v/v), 2.5 mol equiv 139



Figure 3. Computer-modeled structure of  $H_2\ 2$  in  $\text{CDCl}_3$  at room temperature.<sup>9</sup>

140 of polymer solution was added at 296 K, and the fluorescence 141 emission intensity of  $H_2$  2 was measured as a function of time. 142 The fluorescence intensity decreased over time, indicating that 143 the macrocycle finds the open end of the polymer and threads onto the polymer chain, eventually reaching the viologen trap, 145 after which the fluorescence of the porphyrin is quenched 146 (Figure 4a). A similar degree of fluorescence quenching was 147 observed for each polymer at equilibrium, independent of the polymer length, which suggests that  $H_2$  2 binds to polymers 148 149 P1-P7 with similar association constants (vide infra, Table 1). 150 While the trend in fluorescence quenching rate of  $H_2$  2 upon 151 the addition of viologen-functionalized polymer is similar to 152 that of  $H_2$  1 (i.e., the rate of quenching decreases as the 153 polymer length increases), the degree of quenching is much 154 lower in the case of  $H_2$  2. The fraction of macrocycle-viologen 155 complex in the mixture can be quantified by the decrease in 156 fluorescence intensity. Addition of 2.5 equiv of polymer to  $H_2$  2 157 gives rise to only 25% decrease in fluorescence emission, while 158 addition of 1 equiv of guest to H<sub>2</sub> 1 under identical conditions 159 results in 75% fluorescence decrease (see the Supporting 160 Information Figure S1 for P2). The association constants of the <sup>161</sup> polymers and  $H_2$  2, which were determined by applying <sup>162</sup> second-order 1:1 kinetic binding isotherms ( $K_a = 10^5 \text{ M}^{-1}$ , 163 Table 1) (see the Supporting Information, part 3), revealed that <sup>164</sup> H<sub>2</sub> 2 displays significantly lower affinities  $(K_a \sim 10^5 \text{ M}^{-1})$  for <sup>165</sup> viologen derivatives P1–P7 than H<sub>2</sub> 1  $(K_a = 10^7 \text{ M}^{-1})$ .<sup>7</sup> The 166 threading experiments with H<sub>2</sub> 2 were further analyzed, and the

rate constants of threading (k) and subsequently the free 167 energy of activation for threading ( $\Delta G^{\ddagger}$ ) were determined. The 168 values of k and  $\Delta G^{\ddagger}$  are depicted in Figure 4b,c as a function of 169 number of atoms per polymer chain. The trend in the polymer 170 length dependency of the k of  $H_2$  2 is different from that of the 171 k of  $H_2$  1, which in both cases shows a gradual shift from higher 172 k to lower k (Figure 4b and Table 1). However, as the number 173of atoms in the polymer chain doubles (P2-P5) the rate of H<sub>2</sub> 174 2 decreases by a factor of 4, which is more than the expected 175 factor of 2 predicted by the consecutive-hopping model for  $H_2$  176 1. Presumably, the energy barrier associated with traversing the 177 polymer chain upon increasing the polymer length increases 178 more for  $H_2$  2 than it does for  $H_2$  1. The initial threading event 179 of  $H_2$  2 is apparently the rate-determining step for the short 180 chain (P1-P3) threading process. However, when the chains 181 are longer (P4-P7), the translocation step becomes the rate- 182 determining step. We propose that the measured lower 183 threading rates exhibited for the longer polymer chains are 184 the result of a stronger affinity of the macrocycle for the 185 polymer chain. Consequently, this leads to a slower trans- 186 location process, which becomes more pronounced for longer 187 chains. Therefore, translocation becomes the rate-determining 188 step in the overall threading process for longer chains, and this 189 leads to deviations in the length dependency of threading of  $H_2$  190 2 as compared to H<sub>2</sub> 1.

The experimental data depicted in Figure 4b,c could not be 192 explained by using the conventional consecutive-hopping 193 model. As mentioned above, the translocation process was 194 not included in our previous model. In order to find out if the 195 deviation is in fact the result of the translocation process and to 196 fit the experimental data in Figure 4b,c better, we extended our 197 model by adding a new parameter,  $\Delta G^{\ddagger}_{translocation}$ . 198

The free energy of activation of the threading  $(\Delta G^{\ddagger})$ , which 199 is calculated from the measured rate constants, can be divided 200 into three parts: 201

$$\Delta G^{\ddagger} = \Delta G^{\ddagger}_{\text{initial}} + \Delta G^{\ddagger}_{\text{ca}} + \Delta G^{\ddagger}_{\text{translocation}} \qquad (1) _{202}$$

where  $\Delta G^{\ddagger}_{\text{initial}}$  is the free energy of activation of initial binding, 203  $\Delta G^{\ddagger}_{\text{ca}}$  is the additional free energy of activation corresponding 204 to the length-dependent chance of arrival (ca) at the trap, and 205  $\Delta G^{\ddagger}_{\text{translocation}}$  is the observed extra free energy of activation of 206 the translocation process. 207

The individual free energy terms are given by eqs 2–4. 208



**Figure 4.** (a) Fluorescence emission intensity of  $\mathbf{H}_2$  2 as a function of time upon addition of a polymer (2.5 equiv) ( $\lambda_{ex} = 426$  nm,  $[\mathbf{H}_2 \ 2] = 1 \ \mu$ M in CHCl<sub>3</sub>/CH<sub>3</sub>CN, 1:1, v/v) at 296 K. For simplicity only experiments with **P1**, **P2**, **P4**, and **P6** are shown. The fits are obtained by 1:1 kinetic binding isotherms. (b) Rate constants for threading of macrocycles **Zn 2**,  $\mathbf{H}_2$  **2**,  $\mathbf{H}_2$  **1**, **Zn 1** as a function of the number of atoms per product of the state obtained by eq 1:  $\Delta G^{\ddagger} = \Delta G^{\ddagger}_{initial} + \Delta G^{\ddagger}_{ca} + \Delta G^{\ddagger}_{translocation}$ . (c) Free energy of activation of threading of macrocycles **Zn 2**,  $\mathbf{H}_2$  **1**, **Zn 1** as a function of threading of macrocycles **Zn 2**,  $\mathbf{H}_2$  **1**, **Zn 1** as a function of atoms per polymer chain. The  $\Delta G^{\ddagger}$  values are obtained from threading rate constants (k) using  $\Delta G^{\ddagger} = -RT \ln(k \frac{\hbar}{k_B}T)$  where *R*,  $k_B$ , and *h*, are the gas constant, Boltzmann constant, and Planck constant. (Estimated error = 5\%) Fits are obtained by eq 1.

Table 1. Thermodyna $K$ : and Kinetic Parameters for the Threading of H <sub>2</sub> 2 onto P1–P	27
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	no. of	k <sup>a,b</sup>	$\Delta G^{\ddagger}$	$\Delta H^{\ddagger c}$	$T\Delta S^{\ddagger c,e}$	$K_{a}^{a,b}$	$\Delta G^{\circ}$	$\Delta H^{\circ d}$	$T\Delta S^{\circ d,e}$
polymer	atoms	$(M^{-1} s^{-1})$	$(kJ mol^{-1})$	$(kJ mol^{-1})$	$(J mol^{-1} K^{-1})$	$(M^{-1})$	$(kJ mol^{-1})$	$(kJ mol^{-1})$	$(J mol^{-1} K^{-1})$
P1	90	$1.0 \times 10^{5}$	45	$-19^{e}$	$-64^{e}$	$1.4 \times 10^{5}$	-29	-23	6
P2	150	$5.6 \times 10^{4}$	46	$-6^e$	$-52^{e}$	$1.2 \times 10^{5}$	-29	-23	6
P3	170	$4.7 \times 10^{4}$	47	$-27^{f}$	$-74^{f}$	$1.3 \times 10^{5}$	-29	-30	0
P4	266	$1.6 \times 10^{4}$	49	$-3^e$	$-52^{e}$	$1.4 \times 10^{5}$	-29	-23	7
P5	300	$1.4 \times 10^{4}$	49	$-17^{f}$	$-67^{f}$	$1.4 \times 10^{5}$	-29	-28	1
P6	390	$6.4 \times 10^{3}$	51	8 <sup>e</sup>	$-43^{e}$	$1.4 \times 10^{5}$	-29	-26	3
<b>P</b> 7	440	$6.3 \times 10^{3}$	51	$-14^{f}$	$-65^{f}$	$1.5 \times 10^{5}$	-29	-31	-1
aT = 296	K. <sup>b</sup> Estima	ated error $= 309$	%. <sup>c</sup> Estimated e	$rror = \pm 15 \text{ kJ m}$	$10^{-1}$ . $^{d}T = 298$ K, $^{d}$	estimated error	$r = \pm 3 \text{ kJ mol}^-$	$[1, e[\mathbf{H}, 2] = 1.5$	$\mu M.^{f}[H_{2}, 2] = 0.6$

μМ.

t2

$$\Delta G^{\ddagger}_{\text{initial}} = -RT \ln(k_{\text{initial}} \times h/k_{\text{B}}T)$$
(2)

$$\Delta G^{\ddagger}_{ca} = RT \ln(n+1) \tag{3}$$

$$\Delta G^{\dagger}_{\text{translocation}} = n \times \Delta G^{\dagger}_{\text{atom}}$$
(4)

Equations 2 and 3 follow directly from the conventional 213 consecutive-hopping model,<sup>7</sup> and eq 4 provides the free energy 214 of activation of traversing a single atom  $\Delta G^{\dagger}_{atom}$  on the 215 polymer chain by the porphyrin macrocycle, where *n* is the 216 number of atoms in the polymer chain.  $\Delta G^{\dagger}_{atom}$  is an additional 217 parameter to describe the deviations of the threading of H<sub>2</sub> **2** 218 from the conventional consecutive-hopping model, which holds 219 for H<sub>2</sub> **1**. Fits obtained by eq 1 are in good agreement with the 220 experimental data (see Figure 4b,c). From the measured values 221 of  $\Delta G^{\ddagger}$  (Table 1) the values for  $k_{initial}$  and  $\Delta G^{\ddagger}_{atom}$  were 222 calculated using eqs 1–4. The results are presented in Table 2.

Table 2. Rate Constants for Initial Binding and the Apparent Extra Free Energy of Activation  $\Delta G^{\ddagger}_{atom}$  Resulting from the Translocation Process (T = 296 K)

$k_{\text{initial}} (\mathrm{M}^{-1} \mathrm{s}^{-1})^a$	$\Delta G^{\ddagger}_{atom} (\mathrm{J} \mathrm{mol}^{-1})^{b}$
$6.5 \times 10^{6}$	1.5
$9.0 \times 10^{5}$	0
$1.2 \times 10^{7}$	9.5
$4.7 \times 10^{7}$	12.5
	$k_{\text{initial}} (M^{-1} \text{ s}^{-1})^{a}$ 6.5 × 10 <sup>6</sup> 9.0 × 10 <sup>5</sup> 1.2 × 10 <sup>7</sup> 4.7 × 10 <sup>7</sup>

<sup>*a*</sup>Estimated error = 50%. <sup>*b*</sup>Estimated error = 30% (average of fitting using all polymers).

223 H<sub>2</sub> 2 and Zn 2 display additional  $\Delta G^{\ddagger}_{atom}$  values of 9.5 and 12.5 224 J mol<sup>-1</sup>, respectively, as a result of their slow translocation

speed (i.e., additional binding–releasing events through the 225 polymer chain). For  $H_2$  1 and Zn 1, in which slow translocation 226 is not observed, these numbers are 1.5 and 0 J mol<sup>-1</sup>, 227 respectively. 228

In separate experiments we investigated the effect of 229 temperature on the threading rate by monitoring the 230 fluorescence quenching at different temperatures and deter- 231 mined the rate constant k for threading of  $H_2$  2. Our findings 232 showed that the threading rate decreases upon increasing 233 temperature (see the Supporting Information, Figure S2). The 234 rate of chemical reactions usually increases with increasing 235 temperature;<sup>10</sup> however the different behavior observed here is 236 the result of the conformational changes exhibited by H2 2, 237 which will be discussed below. From the temperature- 238 dependent threading experiments also the enthalpic ( $\Delta H^{\ddagger}$ ) 239 and entropic  $(\Delta S^{\ddagger})$  contributions to the free energy of 240 activation of threading  $(\Delta G^{\ddagger})$  for  $H_2$  2 for each polymer 241 were obtained by constructing Eyring plots (see the Supporting 242 Information, Figure S2). Although slight changes in concen- 243 trations of the samples resulted in significant deviations, some 244 clear trends could be observed (Table 1 and Figure 5a). The 245 f5 activation enthalpy ( $\Delta H^{\ddagger}$ ) is negative for each sample (except 246 for P6)<sup>11</sup> and becomes more positive as the number of atoms 247 per polymer chain increases. On the other hand, the  $T\Delta S^{\ddagger}$  248 values are negative and reveal a slight trend to become less 249 negative upon increasing the number of atoms per polymer 250 chain. Figure 5a shows the activation parameters as a function 251 of the number of atoms in the polymer chains and the 252 corresponding fits obtained by eq 1. The experimental and 253 theoretical data are in good agreement for  $\Delta G^{\ddagger}$  (black line) but 254 scatter quite a bit for the individual activation parameters  $\Delta H^{\ddagger}$  255



**Figure 5.** Transition state parameters for threading of P1–P7 through H<sub>2</sub> 2 as a function of number of atoms per polymer chain with corresponding fits by using eq 1 ( $[H_2 2] = 1 \mu M$  in CHCl<sub>3</sub>:CH<sub>3</sub>CN, 1:1 v/v). (a) Experimentally obtained values, T = 296 K. (b)  $\Delta G^{\ddagger}$  as a function of number of atoms per polymer chain at different temperatures. (c) Theoretically obtained thermodynamic parameters according to eq 1, T = 296 K.

polymer	no. of atoms	$k_{on}^{a,b}$ (M <sup>-1</sup> s <sup>-1</sup> )	$\Delta G^{\ddagger}_{ m on}$	$\Delta H^{\ddagger}{}_{on}{}^{c}$	$T\Delta S^{\ddagger}_{on}{}^{c}$	$K_{a}^{b}$ (M <sup>-1</sup> )	$\Delta G^{\circ}_{\mathrm{overall}}{}^{d}$
P1	90	$2.9 \times 10^{5}$	42	-8	-50	$3.0 \times 10^{5}$	-31
P2	150	$1.2 \times 10^{5}$	44	-3	-47	$3.1 \times 10^{5}$	-31
P3	170	$1.4 \times 10^{5}$	43	n.d.	n.d.	$3.2 \times 10^{5}$	-31
P4	266	$4.3 \times 10^{4}$	46	2	-44	$3.5 \times 10^{5}$	-31
P5	300	$5.4 \times 10^{4}$	46	n.d	n.d.	$3.6 \times 10^{5}$	-31
P6	390	$1.5 \times 10^{4}$	49	3	-46	$3.6 \times 10^{5}$	-31
<b>P</b> 7	440	$1.7 \times 10^{4}$	49	n.d.	n.d.	$3.3 \times 10^{5}$	-31
1							

Table 3. Thermodynamic and Kinetic Parameters for the Threading of Zn 2 onto P1-P7

<sup>a</sup>T = 296 K. <sup>b</sup>Estimated error = 20%. <sup>c</sup>Estimated error =  $\pm 15$  kJ mol<sup>-1</sup>. <sup>d</sup>T = 298 K, estimated error =  $\pm 3$  kJ mol<sup>-1</sup>.



Figure 6. General threading mechanism of polymers through flexible porphyrin macrocycles. Energy minimalized structures of (a) macrocycle  $H_2 2$  (top) and the open end of a viologen-functionalized polymer (bottom) when they are free in solution. (b) Initial binding of the open end of the polymer chain to  $H_2 2$  via an induced-fit mechanism. (c) Translocation of  $H_2 2$  along the polymer chain. (d) Schematic representation of macrocycle  $H_2 2$  reaching the viologen trap. The process eventually reaches a thermodynamic sink. (e) Energy landscape describing the threading process of polymers through  $H_2 2$  according to the consecutive-hopping model.

256 (gray line) and  $T\Delta S^{\ddagger}$  (dashed line) because of the large errors 257 involved. The fits show the trend in the energy profiles, i.e., an 258 decrease in the  $-\Delta S^{\dagger}$  and an increase in  $\Delta H^{\dagger}$  as a function of 259 the number of atoms in a polymer chain, as derived from Table 260 1. The results suggest that the threading of  $H_2$  2 becomes 261 entropically favorable and enthalpically unfavorable as the 262 length of the polymer chain increases. The data obtained for 263 macrocycle H<sub>2</sub> 2 and polymers P1-P7 are remarkably different 264 from those observed for the threading of H<sub>2</sub> 1 onto P1-P7 265 under identical conditions. The activation enthalpy of threading 266 for H<sub>2</sub> 1 is positive,  $\Delta H^{\ddagger} = +20$  kJ mol<sup>-1</sup>, and remains constant <sup>267</sup> as the number of atoms per polymer chain increases. <sup>268</sup> Furthermore, the value of  $T\Delta S^{\ddagger}$  becomes more negative (from -15 to -29 kJ mol<sup>-1</sup>) as the chain length increases (i.e., 2.69 270 threading becomes more entropically unfavorable as the chain 271 length increases), which is in agreement with the consecutive-272 hopping model.

273 In order to better comprehend the transition-state 274 parameters displayed in Figure 5a, the energy of activation 275 for threading,  $\Delta G^{\ddagger}$ , was analyzed as a function of number of 276 atoms per polymer chain at three different temperatures 277 (Figure 5b). By using the fits obtained in Figure 5b the values 278 for  $k_{\text{initiab}} \Delta G^{\ddagger}_{\text{atom}}$  and subsequently the activation parameters, 279  $\Delta H^{\ddagger}_{\text{initiab}} \Delta S^{\ddagger}_{\text{initiab}} \Delta H^{\ddagger}_{\text{atom}}$  and  $\Delta S^{\ddagger}_{\text{atom}}$  were derived (Figure 280 Sc). For macrocycle H<sub>2</sub> 2 and polymer P1 the value of  $k_{\text{initial}}$ 281 increases upon lowering the temperature ( $k_{\text{initial}} = 1.4 \times 10^7$ 282 M<sup>-1</sup> s<sup>-1</sup> at 296 K,  $k_{\text{initial}} = 3.5 \times 10^7$  M<sup>-1</sup> s<sup>-1</sup> at 274 K), indicating that the initial binding of  $\mathbf{H}_2 \mathbf{2}$  to the open end of P1 <sup>283</sup> is faster at lower temperatures. This consequently results in a <sup>284</sup> negative activation enthalpy ( $\Delta H^{\pm}_{\text{initial}} = -31 \pm 10 \text{ kJ mol}^{-1}$ ) <sup>285</sup> and a negative activation entropy ( $T\Delta S^{\pm}_{\text{initial}} = -63 \pm 10 \text{ kJ}$  <sup>286</sup> mol<sup>-1</sup>), suggesting that the initial binding is enthalpically <sup>287</sup> favorable and entropically highly unfavorable. Furthermore, the <sup>288</sup> values derived for  $\Delta G^{\pm}_{\text{atom}}$  at different temperatures revealed <sup>289</sup> that  $\Delta G^{\pm}_{\text{atom}}$  increases upon decreasing temperature. ( $\Delta G^{\pm}_{\text{atom}}$  <sup>290</sup> = 9.4 J mol<sup>-1</sup> at <sup>296</sup> K,  $\Delta G^{\pm}_{\text{atom}} = 13.6 \text{ kJ mol}^{-1}$  at <sup>297</sup> K). <sup>291</sup> Apparently, the energy barrier for translocation is higher at <sup>292</sup> lower temperatures. From the values of  $\Delta G^{\pm}_{\text{atom}}$  at different <sup>294</sup> temperatures the values for  $\Delta H^{\pm}_{\text{atom}}$  ( $66 \pm 20 \text{ J mol}^{-1}$ ) and <sup>295</sup>  $T\Delta S^{\pm}_{\text{atom}}$  ( $57 \pm 20 \text{ J mol}^{-1}$ ) were calculated. The obtained <sup>296</sup> values indicate that for  $\mathbf{H}_2 \mathbf{2}$  the translocation process is <sup>297</sup> entropically driven and enthalpically unfavorable.

In a separate set of experiments we performed temperature-299 dependent threading measurements in order to determine the 300 enthalpic ( $\Delta H^{\circ}$ ) and entropic ( $\Delta S^{\circ}$ ) contributions to the free 301 energy of binding ( $\Delta G^{\circ}$ ). Association constants for complexes 302 between H<sub>2</sub> 2 and P1-P7 at equilibrium were determined at 303 different temperatures and thermodynamic parameters were 304 obtained by using Van't Hoff plots (see Supporting Information 305 Figure S3). As depicted in Table 1, the enthalpy of binding for 306 complex formation between H<sub>2</sub> 2 and P1-P7 has a value 307 ranging from  $\Delta H^{\circ} = -23$  to -31 kJ mol<sup>-1</sup> while the entropic 308 contribution ranges from  $T\Delta S^{\circ} = 7$  to -1 kJ mol<sup>-1</sup>. This 309 310 indicates that the free energy of binding  $\Delta G^{\circ}$  (= -30 kJ mol<sup>-1</sup> 311 for P4) is mainly the result of a favorable binding enthalpy. 312 Thermodynamic parameters observed for complexes of H<sub>2</sub> 2 313 with P1–P7 complexes deviate significantly from the ones 314 obtained for complexes of H<sub>2</sub> 1 with P1–P7. Typical 315 thermodynamic parameters for complexes with H<sub>2</sub> 1 amounted 316 to  $\Delta H^{\circ} = -19$  kJ mol<sup>-1</sup> and  $T\Delta S^{\circ} = 21$  kJ mol<sup>-1</sup>.<sup>7</sup> In this case, 318 enthalpic and entropic parameters contribute almost equally to 319  $\Delta G^{\circ}$ .

Threading of Zn 2. The threading of Zn 2 over polymers 320 321 P1-P7 was studied in a similar way as for  $H_2$  2. Analysis of the 322 data revealed that the k values for threading of Zn 2 are on average 2.9 times higher than those of  $H_2$  2 (compare Table 1) 323 with Table 3). This observed threading behavior is in contrast 324 325 to the behavior observed for  $H_2$  1 and Zn 1 in which threading 326 of H<sub>2</sub> 1 is faster than that of Zn 1. This difference could be the 327 result of the coordination of the zinc center of Zn 2 to the oxygen atoms in the oxyethylene moieties of the host (see the 328 Supporting Information, Figure S6). The polymer length-329 330 dependency of the threading process of Zn 2, however, is 331 similar to that observed for  $H_2$  2 and also the calculated 332 activation parameters revealed similar values and length dependencies. Zn 2 showed a higher threading rate with 333 decreasing temperature similar to  $H_2$  2. Furthermore, a negative 334 335 and increasing (more positive) value for  $\Delta H^{\ddagger}$  and a negative 336 and increasing (less negative) value of  $T\Delta S^{\ddagger}$  as a function of the number of atoms in the polymer chain were observed 337 338 (Table 3).

Mechanism of Threading. The mechanism proposed for 339 340 the threading process of  $H_2$  2 is presented in Figure 6. The 341 initial binding of the macrocycle to the open end of the polymer chain is entropically highly unfavorable  $(T\Delta S^{\ddagger}_{initial} =$ 342 -63  $\pm$  10 kJ mol<sup>-1</sup>), which can be attributed to the loss of 344 conformational freedom of both the flexible cavity and the open 345 end of the polymer chain upon binding (Figure 6a). Once 346 threaded,  $H_2$  2 moves along the polymer chain randomly, 347 "hopping" from one local energy minimum to the other (Figure 348 6b,e). The derived values for  $\Delta H^{\ddagger}_{atom}$  (66 ± 20 J mol<sup>-1</sup>) and 349  $\Delta S^{\dagger}_{atom}$  ( $T\Delta S^{\dagger}_{atom}$  = 57 ± 20 J mol<sup>-1</sup>) indicate that the 350 translocation process is entropically favorable and enthalpically unfavorable. This is unprecedented because one would expect 351 that the movement along the polymer requires the stretching 352 353 and ordering of the polymer chain, which is entropically 354 unfavorable. The experimental observations, on the other hand, suggest that H<sub>2</sub> 2 has a relatively strong affinity for the chain, 355 356 which leads to the conclusion that the translocation process 357 becomes a more rate-determining factor than the rearrange-358 ment of the polymer chain. In order to translocate along the 359 chain, H<sub>2</sub> 2 first has to adopt a more relaxed conformation in which it releases the tight binding geometry with the chain 360 (Figure 6c,e), which is an enthalpically unfavorable but 361 362 entropically favorable process. This results in a more positive  $\Delta H^{\ddagger}$  and a less negative  $\Delta S^{\ddagger}$  upon increasing polymer lengths, 363 and because of this the translocation process becomes 364 significantly more apparent in the threading process upon 365 366 increasing chain length (as observed in the rate of quenching in  $_{367}$  fluorescence emission). Finally, the macrocycle H<sub>2</sub> 2 reaches 368 the viologen trap and the system relaxes to find its energy 369 minimum (Figure 6d,e). The chance of reaching the viologen is 370 proportional to the polymer chain length. For H<sub>2</sub> 1 and Zn 1, 371 where the translocation process is not expressed in the 372 threading curves (as observed in the rate of quenching of the

fluorescence emission) only the chance of arrival determines  $^{373}$  the observed length dependency, and this is expressed in extra  $^{374}$  activation entropy upon increasing chain lengths. This entropic  $^{375}$  effect is not observed for threading of polymers through H<sub>2</sub> 2  $^{376}$  because it is compensated for by the entropically favorable  $^{377}$  translocation process.  $^{378}$ 

The measured negative enthalpy of activation for the 379 threading (e.g.,  $\Delta H^{\ddagger} = -19 \text{ kJ mol}^{-1}$  for the combination H<sub>2</sub> 380 **2** and P1, Table 1) and for the initial binding event (for H<sub>2</sub> **2** 381  $\Delta H^{\ddagger}_{\text{initial}} = -31 \pm 10 \text{ kJ mol}^{-1}$ , see above) is highly uncommon 382 in supramolecular systems.<sup>12,13</sup> The rate of complexation 383 reported for various comparable synthetic supramolecular 384 systems decreases upon lowering the temperature, and these 385 processes all have positive activation enthalpies.<sup>14–17</sup> In some 386 protein systems, however, negative activation enthalpies for 387 binding processes have been reported,<sup>18–20</sup> and these are 388 mostly associated with protein folding. Furthermore, a number 389 of reactions including Diels–Alder reactions,<sup>21</sup> radical reac- 390 tions,<sup>22</sup> and proton-<sup>23</sup> and electron-transfer reactions<sup>24</sup> display 391 negative activation enthalpies.<sup>25</sup>

For  $H_2$  2, the observed negative  $\Delta H^{\ddagger}$  can be explained by its 393 specific conformational behavior.  $H_2$  2 adopts a geometry in 394 which the oxyethylene spacers fill the space in between the 395 glycoluril moiety and the porphyrin. Variable-temperature <sup>1</sup>H 396 NMR experiments showed a strong downfield shift of the 397 oxyethylene spacer protons, suggesting that these protons move 398 away from the proximity of the porphyrin ring upon lowering 399 the temperature, which leads to a wider cavity (see the 400 Supporting Information, Figure S5). Therefore, the binding of 401 the open end of the polymer chain to the cavity becomes easier 402 at lower temperatures. The observed increase in initial 403 threading rate at lower temperatures and the negative  $\Delta H^{\ddagger}$  404 may be a result of the conformational change in the molecule. 405 The initial binding therefore has an entropic penalty; however, 406 it is enthalpically driven.

**Theoretical Evaluation.** As mentioned above we used the 408 consecutive-hopping model to explain the mechanism of 409 threading for regular porphyrins<sup>7</sup> and we extended this model 410 in order to describe the threading mechanism of macrocycles 411  $H_2$  2 and Zn 2. This model also allows us to simulate threading 412 curves for polymers with different chain-lengths and macro- 413 cycles with a larger affinity for the polymer chain. By using 414 these simulations, we may describe the deviations in the 415 threading kinetics of compounds 2 compared to compounds 1 416 and verify the presence of a slow translocation process in the 417 threading of the first mentioned compounds.

We previously proposed that the observed chain-length 419 dependency of the threading process originates from the rate of 420 initial binding of the first few atoms of the polymer chain into 421 the cavity of the macrocycle (called entron) and the chance of 422 arrival of the macrocycle at the viologen trap.<sup>7</sup> The relative rates 423 of initial binding and the movement along the chain can be 424 calculated, but the absolute magnitudes of  $k_{hop}$  (the rate 425 constant of "hopping" of the macrocycle from one energy 426 minimum to another) and  $k_{\rm entron-off}$  (the rate constant of the 427 macrocycle leaving the chain) are unknown, and it is therefore 428 not possible to derive the value of the movement rate along the 429 chain. In order to estimate the relative magnitudes of  $k_{hop}$  and 430 the number of steady-state intermediates n (local energy 431 minima), we extended our model. The two events in the 432 threading process, i.e., the initial binding to the open end of the 433 chain and the movement along the chain, will be treated 434 separately, and expressed in terms of half-life times  $(t_{1/2})$ . 435

Table 4. Half-life Times for the Initial Binding Event  $(t_{1/2-\text{entron}})$  and the Translocation Process  $(t_{1/2-\text{translocation}})$  As a Function of the Number of Local Energy Minima (n) and the Rate Constant of the Hopping Steps  $(k_{\text{hop}})$ 

	$k_{\rm entron}~({ m M}^{-1}~{ m s}^{-1})$	$k_{hop} (M^{-1} s^{-1}) \qquad \qquad k_{hop} (s^{-1})$						
	$5 \times 10^{6}$	$1 \times 10^{7}$	$1 \times 10^{6}$	$1 \times 10^{5}$	$1 \times 10^{4}$	$1 \times 10^{3}$	$1 \times 10^{2}$	
n	$t_{1/2-\text{entron}}$ (s)		$t_{1/2-\text{translocation}}$ (s)					
67	0.2	$9 \times 10^{-5}$	$9 \times 10^{-4}$	$9 \times 10^{-3}$	$9 \times 10^{-2}$	0.9	8.5	
125	0.2	$2 \times 10^{-4}$	$2 \times 10^{-3}$	$2 \times 10^{-2}$	0.2	2.5	25	
250	0.2	$8 \times 10^{-4}$	$8 \times 10^{-3}$	$8 \times 10^{-2}$	0.8	8.1	8.1	
500	0.2	$3 \times 10^{-3}$	$3 \times 10^{-2}$	0.3	2.7	27	267	
1000	0.2	$9 \times 10^{-3}$	$8 \times 10^{-2}$	0.9	8.8	88	884	
(a)		(b)		(c)				



**Figure 7.** Simulated threading curves. Concentration of macrocycle–viologen complex (MV) as a function of time for different values of *n* (local energy minima). The arrows show increasing *n*. (a) Macrocycle has little affinity for the polymer chain  $(k_{entron} = 5 \times 10^6 \text{ M}^{-1} \text{ s}^{-1}, k_{hop} = 1 \times 10^7 \text{ s}^{-1})$ . (b) Macrocycle has strong affinity for the chain  $(k_{entron} = 5 \times 10^6 \text{ M}^{-1} \text{ s}^{-1}, k_{hop} = 1 \times 10^7 \text{ s}^{-1})$ . (c) Threading rate constant as a function of polymer chain length (*n*) for different values of  $k_{hop}$ . As the affinity for the chain increases (i.e.,  $k_{hop}$  decreases) the observed length dependency deviates from the length dependency according to equation  $k = k_{initial}/n + 1$  (curve for  $k_{hop} \ge 1 \times 10^5$ ).

The initial binding of the macrocycle to the open end of the 437 chain is a second-order process. Furthermore, the threading 438 rates depend on the macrocycle and polymer concentration 439 ( $[P_o]$ ). The time for binding half of the concentration of 440 macrocycle to the open end of the polymer chain ( $t_{1/2-\text{entron}}$ ) is 441 given by eq 5.

$$t_{1/2-\text{entron}} = 1/(k_{\text{entron}}[P]_{o})$$
(5)

443 First, we calculated  $t_{1/2-\text{translocation}}$ , which is the time for the 444 arrival of half of the macrocycles at the viologen trap with 445 different values of *n* and  $k_{\text{hop}}$ . Then we compared these values 446 with  $t_{1/2-\text{entron}}$ , which can be calculated from the values of  $k_{\text{entron}}$ 447 and  $[P_o]$  by using eqs 1 and 2 ( $k_{entron} = k_{initiab}$  vide supra) and eq 448 5. When  $k_{entron} < k_{hop}$ , the macrocycle has an interaction with 449 the polymer chain that can be expressed by  $K_{\text{Polymer}} = k_{\text{hop}}/k_{\text{Polymer}}$ 450  $k_{\text{entron}}$ , which is higher than 1 M<sup>-1</sup>, and the interaction with the 451 polymer chain is therefore weak. Table 4 shows that when 452  $K_{\text{Polymer}} = k_{\text{hop}}/k_{\text{entron}} > 1 \text{ M}^{-1}$ ,  $t_{1/2-\text{entron}}$  for values of *n* up to 453 1000 is significantly larger than  $t_{1/2-\text{translocation}}$ . The translocation 454 is therefore significantly faster than the initial binding event. As 455 a result, the overall threading curves depend only on the initial 456 binding rate and the chance of arrival (ca). On the other hand, 457 when  $K_{\text{Polymer}} = k_{\text{hop}}/k_{\text{entron}} < 1$ ,  $t_{1/2\text{-translocation}}$  becomes of the 458 same order of magnitude as, or even higher than  $t_{1/2-\text{entron}}$ , 459 depending on the values of  $k_{hop}$  and n. In that case the 460 translocation process plays a significant role in the observed overall threading curves. A number of simulations for threading 462 of a macrocycle with weaker or stronger affinities for the polymer chain are depicted in Figure 7a,b, respectively. 463

The simulations show that when the affinity for the polymer tass chain increases (lower  $k_{hop}$ ), the curves deviate upon increasing table *n* (Figure 7b). The translocation thus becomes apparent in the tass overall threading curves, which is expressed in slower evolution tass of the complex formation, but also in the appearance of sigmoid tass curve shapes. As depicted in Table 4 the deviations become apparent when  $t_{1/2-\text{translocation}}$  equals  $t_{1/2-\text{entron}}$  and become larger 470 when  $t_{1/2-\text{translocation}} > t_{1/2-\text{entron}}$ . As soon as the threading curves 471 become sigmoidal, showing an initial delay before fluorescence 472 quenching is observed, the overall threading curves can no 473 longer be fitted to 1:1 binding kinetics, and the threading-on 474 process is no longer purely second-order. Given that the initial 475 delay lies within the initial part of the curves, which is in most 476 cases during the experimental mixing time of the components, 477 these deviations from perfect 1:1 binding kinetics might 478 experimentally not be directly apparent.

Threading rate constants were also calculated at different 480 polymer concentrations. At increasing polymer concentrations, 481  $t_{1/2\text{-entron}}$  decreases, whereas  $t_{1/2\text{-translocation}}$  remains constant 482 because the latter is an overall first-order process and therefore 483 concentration independent. As a result, the deviation from the 484 1:1 binding kinetics is expressed to a larger extent at higher 485 polymer concentration. An increasing affinity of the macrocycle 486 for the polymer chain also starts to influence the observed 487 association equilibrium constant for the viologen moiety. In 488 that case the binding to the chain competes with the binding to 489 the viologen, and it can therefore be expected that increasing 490 chain lengths would result in less binding to the viologen 491 (hence less fluorescence quenching and lower apparent 492 association equilibrium constants). This may also result in the 493 formation of polyrotaxane species in which several macrocycles 494 are threaded onto a single chain,<sup>26–30</sup> which would dramatically 495 complicate the consecutive hopping model. A general equation 496 for the observed length dependency of the threading process is 497 therefore as given in eq 6, where f is an additional factor larger 498 than 1 that depends on *n* and the affinity of the macrocycle for 499 the polymer. 500

$$k = k_{\text{initial}} / (n+1)f \tag{6}_{501}$$

According to this equation the threading rate is halved as the 502 polymer chain length increases 2-fold. When the macrocycle 503

504 has a larger affinity for the polymer chain the rate decrease is 505 even larger upon doubling the polymer chain length (Figure 506 7c).

#### 507 CONCLUSIONS

508 From the results presented above we may conclude that the 509 length dependency and the energy profiles of the process of 510 threading polymers through macrocycles 2 are remarkably 511 different from those of the smaller and more rigid macrocycles 512 1. Macrocycle 2 has a stronger affinity for the polymer chain, 513 most likely as a result of induced-fit binding effects, leading to a 514 slower translocation process, which is expressed in the overall 515 threading rate curves. Interestingly, the initial binding of H<sub>2</sub> 2 516 to the polymer chain has a favorable negative enthalpy of 517 activation which is probably related to the opening of the cavity 518 at lower temperatures. The translocation process along the 519 chain, on the other hand, is enthalpically unfavorable, which can 520 be ascribed to the release of the tight binding to the chain upon 521 translocation, and entropically favorable. The threading of zinc s22 derivative Zn 2 is faster than that of  $H_2$  2, which is in contrast 523 to our previous studies with Zn 1, for which the kinetics of 524 threading was shown to be slower compared to its free base 525 derivative H<sub>2</sub> 1. The reason for this remains unanswered; 526 however, our findings suggest that the initial threading process 527 may have a different mechanism, and the rate of initial complex 528 formation between the polymer chain and the flexible cavity is 529 probably determined by very subtle differences in the 530 properties of the host cavity, for instance the internal binding 531 and release of the spacer oxygen atoms, which may be different 532 for Zn 2 when compared to H<sub>2</sub> 2.

One of the objectives of this study was to design a catalytic s34 host system that would move more slowly along a polymer s35 chain than the previously reported systems. This would lead to s36 a better match between movement and coupled catalysis, e.g., s37 movement and epoxidation of a polybutadiene chain. The s38 outcome of the present study shows that this can be achieved s39 by a simple elongation of the spacer groups between the s40 diphenylglycoluril host and the porphyrin catalyst. This means s41 the catalytic host wraps itself around the polymer chain, thereby s42 slowing down its movement. We are planning catalytic studies s43 to see whether the present modification of the catalytic host s44 indeed results in a stepwise processive catalysis process. These s45 studies will be published in due course.

#### 546 **ASSOCIATED CONTENT**

#### 547 **Supporting Information**

548 General experimental protocols, additional fluorescence meas-549 urements for threading of  $H_2$  1 and  $H_2$  2, conformational 550 analysis of macrocycle  $H_2$  2 by NMR and UV–vis spectros-551 copy, 1:1 kinetic binding model and simulations. This material 552 is available free of charge via the Internet at http://pubs.acs.org.

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558 The authors declare no competing financial interest.

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