1Page 1: Title page

2Rubella susceptibility in pregnant women and results of a postpartum 3immunization strategy in Catalonia, Spain.

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35Abbreviations:

36AVC- Adult Vaccination Centre; CI - Confidence interval; CRS - Congenital 37Rubella Syndrome (CRS); HCB - Hospital Clinic of Barcelona; IgG -38Immunoglobulin G; IU - International Units; MMR - Measles, Mumps and 39Rubella vaccine; OR – Odds Ratio; SD – Standard deviation; WHO – World 40Health Organization

41Abstract

42**Background:**

43Elimination of congenital rubella syndrome depends not only on effective 44childhood immunization but also on the identification and immunization of 45rubella susceptible women. We assessed rubella susceptibility among pregnant 46women and evaluated the adherence and response to postpartum 47immunization with measles, mumps and rubella (MMR) vaccine.

48

49Methods:

50Cross-sectional study of women who gave birth at the Hospital Clinic de 51Barcelona (Spain) between January 2008 and December 2013. Antenatal 52serological screening for rubella was performed in all women during pregnancy. 53In rubella-susceptible women, two doses of MMR vaccine were recommended 54following birth. We evaluated rubella serological response to MMR vaccination 55in mothers who complied with the recommendations.

56

57**Results:**

58A total of 22,681 pregnant women were included in the study. The mean age 59was 32.3 years (SD 5.6), and 73.6% were primipara. The proportion of 60immigrants ranged from 43.4% in 2010 to 38.5% in 2012. The proportion of 61women susceptible to rubella was 5.9% (1328). Susceptibility to rubella 62declined with increasing maternal age. Immigrant pregnant women were more 63susceptible to rubella (7.6%) than women born in Spain (4.6%). Multivariate 64analyses showed that younger age (≤ 19 years) aOR 1.7 (95% CI 1.1- 2.5), 65primiparas aOR 1.3 (95% CI 1.1-1.5) and immigrant women aOR 1.6 (95% CI

661.4-1.8) were more likely to be susceptible. The second dose of MMR vaccine 67was received by 57.2% (718/1256) of rubella-susceptible women, with the 68highest proportion being immigrant women compared with women born in 69Spain. After vaccination, all women showed rubella immunity.

70

71**Conclusions:**

72The higher rubella susceptibility found in the three youngest age groups and in 73immigrant women highlights the relevance of antenatal screening, in order to 74ensure identification and postpartum immunization. The postpartum 75immunization strategy is an opportunity to protect women of childbearing age 76and consequently prevent occurrence of CRS, and to increase vaccination 77coverage against rubella and other vaccine-preventable diseases.

78

79*Keywords*: rubella; pregnancy; susceptibility; postpartum immunization; 80adherence; MMR vaccine.

81Main text

82Introduction

83Rubella infection occurring just before conception and during early pregnancy
84may result in miscarriage, fetal death, or congenital defects known as
85congenital rubella syndrome (CRS) [1–4]. The extent of the involvement
86depends on the time of pregnancy at which infection occurs. The highest risk of
87CRS is found in countries with high rates of rubella susceptibility among women
880f childbearing age[2].

89

90In 1998, the World Health Organization (WHO) European Region approved the 91aims of eliminating indigenous measles and rubella, and controlling congenital 92rubella [2,5,6]. The most important strategy for preventing rubella is 93immunization of susceptible individuals. However, individuals may be 94immunized by past vaccination or natural infection [2]. The effectiveness of the 95rubella vaccine has been demonstrated by the elimination of rubella and CRS 96from the Region of the Americas [2,7]. The aim of interrupting the endemic 97transmission of measles and rubella in Europe in 2015 will only be achieved 98with a high coverage of vaccination (> 95% with two doses of measles, mumps 99and rubella (MMR) vaccine) in all geographical areas and all population groups, 100together with a high-quality surveillance system [8].

101

102Post-delivery vaccination strategies should include MMR vaccination in women 103susceptible to these diseases. In susceptible pregnant women, immunization 104with this live attenuated vaccine should be administrated during the postpartum 105period [2,9,10]. 106In Spain, rubella is a notifiable disease and is monitored through the Spanish 107Surveillance System [11]. Reported cases of rubella in 2012 were the highest 108since 2008 (64 confirmed cases: 0.14 cases per 100,000 inhabitants) and most 109cases occurred in unvaccinated adolescents and young adults. In the 2008-1102012 period, 4 rubella outbreaks and 3 cases of CRS have been recorded in 111immigrants from countries where the rubella vaccine is not routinely 112administered in childhood [8]. Although the viral circulation of rubella in Spain is 113supposedly low, it is important to monitor rubella susceptibility, especially in 114immigrant women, given the observed increase in the immigrant population in 115recent years, with Spain being one of the main receptor countries in the 116European Union [12]. In Catalonia, the region where this study was conducted, 117all pregnant women are screened for rubella antibodies in the first antenatal 118blood test [11,13].

119

120The objectives of this study were to assess rubella susceptibility in the antenatal 121rubella serology screening; to identify factors associated with susceptible 122women and to evaluate the adherence and the immunological response to 123postpartum immunization strategy with MMR vaccine in rubella susceptible 124women.

125

126Materials and Methods

127

128Study characteristics

129We made a cross-sectional study of women who gave birth at the Hospital 130Clinic of Barcelona (HCB) between January 2008 and December 2013.

132Rubella immunization practices

133In Catalonia, an autonomous region in the northeast of Spain with nearly 7.5 134million inhabitants, rubella-containing vaccine was introduced into the routine 135immunization schedule in 1978 for all girls aged 11 years (women born after 1361967) [14]. In 1980, in order to improve measles control, the MMR vaccine was 137introduced in children aged 15 months. In 1987, the MMR replaced the rubella 138vaccine at 11 years of age. In 1998, the age of administration of the second 139MMR dose was advanced from 11 to 4 years. Finally, in 2008, it was 140recommended that the age of administration of the first dose of MMR should be 141changed from 15 to 12 months [15]. Similar schedules for rubella-containing 142vaccine have been introduced in other Spanish regions [8].

143

144Laboratory methods

145Following the recommendations of the Department of Health of Catalonia, 146serological screening for rubella was made in all pregnant women during their 147first blood test, which is usually made during the first trimester of pregnancy 148[13]. Levels of rubella IgG antibodies were determined using the ADVIA® 149Centaur G[™] Rubella Assay (Siemens Healthcare Diagnostics Inc.). The 150immune status was determined using the following cut-off values: <15.0 IU/ml 151(Susceptible), ≥15 IU/ml (Immune). According to the manufacturer, the 152sensitivity and specificity of the method are 97.2% and 99.5%, respectively. The 153intra-assay and inter-assay coefficients are less than 5% and 6.1%, 154respectively. All samples were analyzed at the HCB microbiology laboratory. 155In women susceptible to rubella, two doses of MMR vaccine were 156recommended in the postpartum period. The vaccine used was Priorix 157(GlaxoSmithKline, S.A.) which contains live attenuated measles, mumps and 158rubella viruses [16]. The first dose was administered in the immediate 159postpartum period, before discharge. After a minimum of one month, a visit was 160scheduled at the Adult Vaccination Centre (AVC) of the HCB for the 161administration of the second dose of MMR vaccine. A postvaccination sample 162was obtained approximately one month later in the AVC to assess rubella 163antibody titers. Only mothers who returned to the AVC to determine the 164postvaccination immunological response were included in the immunogenicity 165assessment.

166

167*Collection of variables*

168Variables were limited to information recorded in the medical records, including 169maternal date of birth, country of birth, parity, delivery date, date of 170administration of first and second dose of MMR vaccine, and date of post-171vaccination blood sample. All women not born in Spain were considered 172immigrants. Rubella antibody levels during pregnancy were established as the 173main endpoint and adherence to the second MMR dose and post-vaccination 174rubella response as the secondary endpoints. We merged data extracts from 175medical information systems from Maternal-Fetal Medicine department and the 176AVC.

177

178 Statistical Analysis

179In the univariate analysis, absolute frequencies and percentages were used to 180describe categorical variables and means and standard deviation (SD) or 95% 181confidence intervals (CI) to describe quantitative variables with a normal 182distribution, and medians and interquartile range otherwise. We calculated the 183proportion of women susceptible to rubella with the odds ratios (OR) and 95% 184CI. To determine variables independently associated with rubella susceptibility 185and adherence to MMR immunization, the crude odds ratios were calculated for 186different variables. For each variable studied, we took the group with the lowest 187rubella susceptibility as the reference group. Odds ratios were adjusted using 188multiple logistic regression analysis. The statistical analysis was performed 189using the STATA ® statistical package v12.1. Statistical significance was 190established as <0.05.

191

192 Ethical considerations

193The study investigators followed the principles of the Declaration of Helsinki. 194Since this study is based on routinely collected medical records, individual 195informed consent was not obtained. Patient records/information were 196anonymized and de-identified prior to analysis. The study was approved by the 197HCB Clinical Research Ethics Committee (HCB/2014/0619).

198

199**Results**

200Characteristics of the study population

201A total of 22,681 pregnant women were included in the study. The number of 202deliveries decreased during the study period, from 4,394 in 2008 to 3,298 in 2032013. The mean age of all participants was 32.3 years (DE 5.6) and 73.6%

204were primiparas. The proportion of immigrants ranged from 43.4% in 2010 to 20538.5% in 2012. Sixty-seven percent of patients were born in Europe, followed 206by the Americas (17.5%). By country, 58.5% were born in Spain, 10.7% 207(1,010/9,413) in China, 10.2% (962/9,413) in Morocco and 6.9% (651/9,413) in 208Ecuador. The demographic characteristics are shown in **Table 1**.

209

210Factors associated with susceptibility to rubella

211During the study period, 87.9 % (19,925), 11.5% (2,601) and 0.7% (148) of 212pregnant women had one, two or three rubella serology tests, respectively 213(corresponding to different pregnancies). Of the 1,328 susceptible women, 46% 214(611) were born in Spain, 9.6% (128) in China, 5.7% (76) in Morocco, and 4.4% 215(58) in the Philippines. Total susceptibility to rubella was 5.9% (1,328). There 216 was a variation in susceptibility by year, ranging from 3.6% in 2008 to 7.6% in 2172011 (p <0.001) (Figure 1). The highest susceptibility rate was in the <20 years 218age group, with an overall susceptibility of 8%. Susceptibility to rubella declined 219 with increasing maternal age, with women aged ≥40 years having the lowest 220susceptibility (4.4%). Immigrant women had higher susceptibility (7.6%) than 221pregnant women born in Spain (4.6%), OR 1.7 (95% CI 1.5-1.9). Table 2 and 3: 222 univariate and multivariate analyses showed that the age group, parity, and the 223 region of birth were independently associated with the prevalence of rubella 224antibodies. Women were more likely to be susceptible if they were younger (≤ 22519 years, aOR 1.7 (95% CI 1.1-2.5)), primiparas aOR 1.3 (95% CI 1.1-1.5) or 226not born in Spain aOR 1.6 (95% CI 1.4-1.8). A total of 94.6% (1256/1328) of 227women susceptible to rubella received the first dose of MMR vaccine. 228Factors associated with adherence to the second dose of MMR vaccine

229A total of 57.2% (718/1256) of women susceptible to rubella received the 230second dose of MMR vaccine. The median time between the first and second 231doses was 43 days. Adherence was 29.7% and 40.1% in women aged \leq 19 232years and 20-24 years, respectively. Adherence was >50% in women aged > 23330 years. During the entire study period, women born in Spain were less 234adherent to the second dose than immigrant women (52.7% *vs.* 55.2%) but this 235proportion changed in the last year of the study (58.0% *vs.* 55.3%) (**Figure 2**). 236After stratification by region of origin, women born in the rest of Europe, Africa 237and the Americas had lower adherence than Spanish women. Asian women 238were more likely to receive the second dose, compared to women born in Spain 239(OR 1.6 (95% CI 1.2-2.2)) (**Table 3**). Women who gave birth in 2013 were more 240likely to receive the second dose compared with those who gave birth in 2008, 241OR 1.9 (95% CI 1.2-2.8).

242

243Immunological response to two doses of MMR vaccine.

244Around 60% (429/718) of women who received the second MMR dose returned
245for the assessment of the antibody response. After the two doses of MMR, all
246women showed protective antibody titers (≥15 IU/ml) against rubella.

247

248 Discussion

249To our knowledge this is the largest study assessing rubella susceptibility 250among pregnant women in Spain, and the only one evaluating vaccine 251adherence and immunological response to the second MMR dose in the 252postpartum period. Our results showed that overall rubella susceptibility among 25322,681 pregnant women between 2008 and 2013 was 5.9%, and was 7.6% in 254immigrant women. These numbers are higher than the susceptibility of 5%
255recommended by the WHO European Region within the aim of interrupting the
256endemic transmission of measles and rubella in Europe by 2015 [17,18].
257

258Previous Spanish studies have reported rubella antibody prevalence ranging 259from 88.3% to 94.8%[15,19–22], and our results are within this range (94.1%). 260Recent studies in other European countries reported similar data: the 261prevalence observed in Norway was 94.4% [23] and in England between 26294.9%[24] and 97.4%[25]. In the United States, the prevalence was 91.5% [26], 263while in Canada the prevalence was 93.2% in Canadian-born mothers but was 264lower in immigrants from Northern Africa, the Middle East, China and the South 265Pacific [27].

266

267In recent years, the incidence of rubella has been very low in Spain, with limited 268outbreaks among immigrants from Eastern European countries [8,14,28–30]. As 269a consequence, the lack of natural boosting due to an absence of circulating 270virus may result in higher susceptibility, particularly among younger women [31]. 271Higher susceptibility may also reflect a decline in the antibody levels from 272childhood vaccinations, as this cohort would have been eligible for two doses of 273rubella-containing vaccine, although data from surveillance of rubella and CRS 274suggest that waning immunity with increased susceptibility to rubella does not 275occur [10,25,32,33]. In 2012, Spanish national coverage of the first dose of 276MMR vaccine in infants was > 95%, but only 90% for the second dose[34].

278Women aged ≥40 years, who were born before the introduction of the rubella 279vaccination program in 1967, had a significantly-lower susceptibility to rubella 280than those born later. The significant increase in immunity with increasing 281maternal age (p<0.001) may be attributable to an increase in past exposure to 282natural infection, and to greater opportunities for immunization in the 283childbearing years, either as a result of pre-conception screening or in the post-284partum period. Women in older age groups are also more likely to be multipara 285and therefore to have been offered postpartum vaccination.

286

287Increased travel to and from countries with circulating rubella, combined with 288social interaction with populations presenting lower levels of rubella-specific 289antibodies, may give rise to local outbreaks when protection falls below 90% 290[25,35]. In the present study, immigrant pregnant women presented greater 291susceptibility to rubella (7.6%) compared to those born in Spain (4.6%). These 292 findings were also observed in other Western European countries [19,20,36]. It 293is reported that the African and South-East Asian regions have the highest 294estimated number of CRS cases and also have the lowest uptake of the 295vaccine[2]. In our study, women born in Asia had the greatest susceptibility 296(10.8%) to rubella. Similar results were observed in other Spanish studies 297where susceptibility in Asian women was 7.7% [22] and 10.4%[36], respectively. 298Many hospitals have adopted standing orders for women not immune to rubella: 299post-partum standing orders have been shown to be effective in increasing 300rubella immunization among non-immune women, prior to hospital 301discharge[31]. We found good acceptance from susceptible women although 302adherence to the second dose was less than 55%. One reason for this may be

303that it is difficult to motivate adults to be vaccinated, particularly when there are 304no outbreaks [37]. Language barriers may also affect adherence, but this was 305not the case in our study, as immigrant women from Asia had greater 306adherence. We observed an increase in adherence over the study period from 30741.1% (2008) to 67.6% (2012). This may be related to improvements in the 308postpartum immunization strategy, including better coordination between 309Maternal-Fetal Medicine department and the AVC.

310

311It is reported that all licensed rubella vaccines induce seroconversion rates of 312approximately 95% or higher after a single dose [2]. In our case, all pregnant 313women were immune after the second postpartum MMR vaccination, confirming 314the high immunogenicity of the vaccine in this population.

315

316Our study has some limitations. First, the serological results do not distinguish 317between vaccine- and disease-induced immunity. However, as rubella is not 318endemic in Spain and the number of cases has decreased dramatically in the 319last 30 years [8], our results are probably a true reflection of vaccine-induced 320immunity. Secondly, the length of residence in Spain of immigrant pregnant 321women was not available, and consequently they may have received 322vaccination according to the Spanish routine immunization schedule. Thirdly, 323there was no available information on previously-administered doses of vaccine 324with the rubella component, or on rubella immunization policies in other 325countries. Likewise, the second dose might have been administered in other 326health facilities, which would mean adherence would be greater than shown by 327our results. Finally, since not all women returned for the postvaccination

328serology, we were not able to assess the vaccine response in all vaccinated 329women.

330

331 Conclusions

332The higher rubella susceptibility found in the three youngest age groups and in 333immigrant women highlights the relevance of antenatal screening, in order to 334ensure identification and postpartum immunization of rubella susceptible 335women. In the context of Spain, with observed increase in immigrant population 336in recent years, the postpartum immunization strategy is an opportunity to 337protect women of childbearing age and to increase vaccination coverage 338against rubella and other vaccine-preventable diseases. Consequently, MMR 339vaccination would reinforce the achievement of eliminating endemic rubella and 340measles in the European region.

341

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484Figure legends

Figure 1. Prevalence of susceptibility to rubella-specific IgG among pregnant 486women, Barcelona, 2008-2013.

Figure 2. Adherence to the second dose of MMR vaccine among postpartum 489women by country of birth, Barcelona, 2008-2013.

Table 1: Demographic characteristics of pregnant women included in the study,

492Barcelona, 2008-2013. (n=22,681)

Table 2: Factors associated with susceptibility to rubella-specific IgG,

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495Barcelona, 2008-2013. (n=1328)
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497 Table 3. Adherence to two doses of MMR vaccine in women immunized

498postpartum, Barcelona, 2008-2013 (n=718).