

1 **Aggregation behavior of fullerenes in aqueous solutions: a capillary**
2 **electrophoresis and asymmetric flow-field flow fractionation study**

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28 **Abstract**

29 In this work the electrophoretic behaviour of hydrophobic fullerenes (C_{60} , C_{70} and C_{60} -
30 pyr) and water soluble fullerenes ($C_{60}(OH)_{24}$, $C_{120}(OH)_{30}$, C_{60} -pyrr tris acid and
31 $C_{60}CHCOOH$) in micellar electrokinetic capillary chromatography (MECC) was
32 evaluated. The aggregation behavior of the water soluble compounds in MECC at
33 different buffer and SDS concentrations and pH values of the background electrolyte
34 (BGE) was studied by monitoring the changes observed in the electrophoretic pattern of
35 the peaks. Broad and distorted peaks that can be attributed to fullerene aggregation were
36 obtained in MECC which became narrower and more symmetric by working at low
37 buffer and SDS concentrations (below the critical micelle concentration, capillary zone
38 electrophoresis (CZE) conditions). For the characterization of the suspected aggregates
39 formed (size and shape), asymmetrical flow field-flow fractionation (AF4) and
40 transmission electron microscopy (TEM) were used. The results showed that the
41 increase in the buffer concentration promoted the aggregation of the particles while the
42 presence of SDS micelles revealed multiple peaks corresponding to particles of different
43 aggregation degree. Furthermore, MECC has been applied for the first time for the
44 analysis of C_{60} in two different cosmetic products (*i.e.*, anti-aging serum and facial
45 mask).

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49 **KEYWORDS:** Capillary Zone Electrophoresis; Cosmetic products; Micellar
50 Electrokinetic Capillary Chromatography; Asymmetric flow-field flow fracitonation;
51 Fullerene aggregates

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55 Abbreviations: Fullerol ($C_{60}(OH)_{24}$), Polyhydroxy small gap fullerene, hydrated ($C_{120}(OH)_{30}$),
56 N-methyl-fulleropyrrolidine (C_{60} -pyrr), C_{60} pyrrolidine tris acid (C_{60} -pyrr tris acid), (1,2-
57 Methanofullerene C_{60})-61-carboxylic acid ($C_{60}CHCOOH$)

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59 **1. Introduction**

60 Since the discovery of buckminsterfullerene (C_{60}) [1] fullerene nanoparticles
61 have been widely investigated for their exploitation within biological systems [2],
62 cosmetic products [3], electronics and photovoltaics [4]. The unique physicochemical
63 properties of pristine and especially of surface modified fullerenes make them
64 promising therapeutic and diagnostic agents showing surprising properties and
65 biocompatibility [5-7]. In particular, fullerols which are surface modified C_{60} -fullerenes
66 with (poly)hydroxy functional groups can be ideal candidates for the treatment of neuro-
67 degenerative disorders (*e.g.* Parkinson's and Alzheimer's disease) [6]. Carboxy-
68 fullerene derivatives have potential use in photodynamic therapy [8] and as inhibitors of
69 the HIV-1 protease [9]. However, it was reported that fullerenes are retained in the body
70 for long periods [10] raising concerns about their potential chronic toxic effects. At
71 nanoscale level, even subtle changes in their physicochemical properties can
72 significantly alter their biocompatibility and application [11].

73 Pristine and surface modified fullerene aggregate in aqueous media leading to
74 the formation of structures of various shapes and sizes depending on the type and
75 number of the functional groups attached to the carbon cage [12-15]. These
76 physicochemical properties impact their mobility, fate, bioavailability and toxicity
77 [16,17]. Nevertheless, there is a significant lack of knowledge on fullerene exposure,
78 and there are conflicting reports on their potential risks. To determine their behavior and
79 distribution, analytical methods adequate for their separation and quantitation have to be
80 developed. Liquid chromatography coupled to mass spectrometry (LC-MS) is the most
81 frequently method used for the analysis of fullerenes in complex matrices but most of
82 the reported studies are focused on hydrophobic compounds [15,18] and only few have
83 been dedicated to the analysis of water soluble fullerenes such as fullerols [19,20].

84 Capillary electrophoretic (CE) techniques have also been used to analyze
85 fullerenes. For the separation of hydrophobic fullerenes, nonaqueous capillary
86 electrophoresis (NACE) [21-23] by employing charged salts and organic solvent
87 mixtures as separation medium has been reported. The behavior of C_{60} and of a C_{60} - C_{70}
88 mixture in micellar electrokinetic capillary chromatography (MECC) has also been
89 evaluated [24]. This last work also studied the use of C_{60} and C_{70} encapsulated in
90 sodium docecylsulfate (SDS) micelles (*i.e.* $SDS[C_{60}]$ and $SDS[C_{70}]$ complexes) as
91 pseudostationary phase for the separation of polyaromatic hydrocarbons (PAHs) by

92 MECC. Regarding water soluble fullerene derivatives, both capillary zone
93 electrophoresis (CZE) and MECC with SDS micelles were reported [25-27]. Among
94 these studies, only two addressed the analysis of some carboxy-fullerene derivatives
95 [25,27] and to the best of our knowledge there are no reports about the analysis of
96 fullerols. In this context, Chan *et al.* [27] evaluated the use of CZE and MECC for the
97 analysis of two water soluble fullerene derivatives (carboxy-fullerene (C3) and
98 dendro[60]fullerene (DF)) in human serum samples and recommended using CZE for
99 the quantitation of both compounds, presenting some advantages over MECC such as
100 lower analysis time, better reproducibility and lower detection limits. Moreover, the
101 presence of SDS micelles increased the number of electrophoretic peaks of DF
102 complicating its analysis in the real samples. The behavior of DF in CZE as a function
103 of pH, ionic strength, solvent amount and concentration of additives has been also
104 reported [26]. The parameters which showed the most important effect on the migration
105 time and electrophoretic peak profile were the pH and the ionic strength. The migration
106 time of DF increased with the pH and decreased with the salt concentration in reversed
107 polarity. The application of CE techniques for the determination of fullerenes in
108 complex samples is very limited. Fullerenes are increasingly used in commercial
109 applications, such as cosmetic/pharmaceutical products, at relatively high concentration
110 levels (i.e., mg L⁻¹ levels) making these kind of samples suitable to be quantified by CE
111 techniques [15]. However, to the best of our knowledge there is only one study
112 reporting the analysis of C₆₀ in a cosmetic product by a CE technique (i.e., NACE) [21].

113 Although CE is mainly a separation technique, it has also been applied for the
114 study of the aggregation behavior of low and high molecular weight species by
115 monitoring changes in the electrophoretic pattern of the peaks (presence of multiple
116 and/or broad peaks) [28-30] although there are no studies involving fullerene
117 compounds.

118 Asymmetrical flow field-flow fractionation (AF4) is an open channel separation
119 technique able to characterize (macro) molecules and particles in solution and to
120 calculate the hydrodynamic radius (r_H) of the particles from the retention time [31,32].
121 Although this technique is increasingly used for nanoparticles characterization [33], the
122 number of studies devoted to fullerenes characterization is limited and most of the
123 reports are focused on hydrophobic compounds [15,34-36]. Concerning water soluble
124 fullerenes, there is only one study [37] that used AF4 combined with atomic force

125 microscopy (AFM) to evaluate the aggregate sizes and morphology of fullerol reporting
126 r_H of ≈ 2 nm in Milli-Q water which increased at higher ionic strength.

127 The aim of this work is to study the aggregation behavior of several surface
128 modified fullerenes, two polyhydroxy-fullerenes ($C_{60}(OH)_{24}$, $C_{120}(OH)_{30}$) and two
129 carboxy-fullerene derivatives ($C_{60}CHCOOH$ and C_{60} -pyrr tris acid) not previously
130 reported, at varying buffer and SDS concentrations by CE and to characterize the
131 aggregates by asymmetrical flow-field flow fractionation (AF4). For this purpose, the
132 effect of the BGE composition (*i.e.*, buffer and SDS concentration and pH) on the
133 electrophoretic migration time and peak profile was evaluated and AF4 was used to
134 determine the aggregate sizes of the selected fullerenes in the tested CE conditions. In
135 addition, TEM was employed to visualize the morphology of the selected compounds in
136 the conditions employed for the electrophoretic studies. In addition, MECC was used
137 for the first time for the determination of C_{60} in two cosmetic products.

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139 2. Materials and methods

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141 2.1. Chemicals and standard solutions

142 C_{60} , C_{70} , C_{60} -pyrr, $C_{120}(OH)_{30}$, $C_{60}CHCOOH$ and C_{60} -pyrr tris acid were
143 purchased from Sigma-Aldrich (Steinheim, Germany). $C_{60}(OH)_{24}$ was supplied by
144 Materials & Electrochemical Research M.E.R. Corporation (Tucson, Arizona, USA).
145 The chemical structures and abbreviations of these compounds are given in Figure 1.

146 Sodium phosphate, sodium chloride, sodium tetraborate, and SDS were
147 purchased from Sigma-Aldrich (Steinheim, Germany). Sudan III, sodium hydroxide,
148 hydrochloric acid were obtained from Merck (Darmstadt, Germany).

149 Water was purified using an Elix 3 coupled to a Milli-Q system (Millipore,
150 Bedford, MA, USA) and filtered using a 0.22 μ m nylon filter integrated into the Milli-Q
151 system.

152 For the preparation of the SDS[C_{60}], SDS[C_{70}] and SDS[C_{60} -pyrr] complexes,
153 individual stock solutions in toluene (~ 1000 mg Kg^{-1}) and SDS aqueous solutions (100
154 mM) were used. The stock solutions in 100 mM SDS (~ 30 mg L^{-1} SDS[C_{60}] and
155 SDS[C_{60} -pyrr] and ~ 10 mg L^{-1} SDS[C_{70}]) were obtained by mixing the exact amounts of
156 each solution in individual amber vials and treated in an ultrasonic bath until the toluene
157 was completely evaporated and the aqueous phase became transparent brownish-yellow

158 (SDS[C₆₀], C₆₀-pyrr) and dark-purple (SDS[C₇₀]). The working solutions were diluted
159 with the appropriate amount of SDS 100 mM prior to analysis.

160 Stock standard solutions of C₁₂₀(OH)₃₀ and C₆₀(OH)₂₄ (~1000 mg Kg⁻¹) were
161 individually prepared by weight in Milli-Q water and stored at 4°C. The aqueous
162 suspensions of the carboxy-fullerene derivatives were obtained first by dissolving the
163 solid powder in tetrahydrofuran (Merck, Darmstadt, Germany), and the appropriate
164 amount of Milli-Q water (depending on the final fullerene concentration) was added to
165 the solution. Next, the solution was sonicated until the tetrahydrofuran was completely
166 evaporated to obtain stock solutions of approximately 500 mg Kg⁻¹. Prior to analysis,
167 each stock solution was diluted with the appropriate amount of Milli-Q water to obtain
168 the working solution.

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170 2.2. Instrumentation

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172 2.2.1. Capillary electrophoresis(CE)

173 CE experiments were performed on a Beckman P/ACE MDQ capillary
174 electrophoresis instrument (Fullerton, CA, USA) equipped with a diode array detector.
175 CE separations were carried out using uncoated fused-silica capillaries (Beckman) with
176 a total length of 50 cm (45 cm effective length) x 75 µm I.D. (375 µm O.D.). CZE and
177 MECC analysis were performed by using 2 mM SDS in 1 mM sodium tetraborate and
178 100 mM SDS in 10 mM sodium phosphate-10 mM sodium tetraborate solutions,
179 respectively, as BGEs. The capillary temperature was held at 25 °C. The BGE was
180 filtered through a 0.45 µm nylon membrane filter before use. A capillary voltage of +
181 20 kV was applied for the separations. Sample introduction was performed by
182 hydrodynamic injection (10 s, 13.5 kPa). Direct UV detection was performed at 254 nm.
183 The CE instrument was controlled using Beckman 32 Karat software version 5.0.

184 New capillaries were pre-treated with 0.1 M HCl for 30 min, water for 30 min, 1
185 M NaOH for 30 min, and finally washed with water for 30 min. At the beginning of
186 each session, the capillary was rinsed with 0.5 M NaOH for 10 min, with water for 10
187 min, and with the BGE for 15 min. The capillary was rinsed with the BGE for 5 min
188 between runs and stored after rinsing with water at the end of each session.

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190 2.2.2. Asymmetrical flow-field flow Fractionation (AF4)

191 The fractionation was carried out with an Eclipse Dualtec AF4 separation system
192 (Wyatt Technology Europe GmbH, Dernbach, Germany) equipped with a
193 programmable pump (Isocratic 1100, Agilent Technologies), an Agilent 1100 series
194 degasser and an Agilent 1200 series auto sampler/injector. A mini-channel (11cm in
195 length, 22 mm in width at the injection point and 3 mm close to the end) was equipped with a
196 480 μm spacer of trapezoidal shape and Millipore regenerated cellulose (RC) membrane
197 of 10 kDa nominal molar mass cut-off (Superon GmbH, Dernbach, Germany). On-line
198 detection was performed with a UV detector (Applied Biosystems, Foster City,
199 California, USA).

200 The samples were injected in Milli-Q water with an injection flow of 0.1 mL
201 min^{-1} . The relaxation and focusing were carried out during a specific time (3 min for the
202 carboxy-fullerenes and 10 min for polyhydroxy-fullerene derivatives) at a cross flow
203 rate of 2 mL min^{-1} . Time-delayed exponential (TDE) mode was used for the elution step
204 with a delay/decay time of 3 min (carboxy-fullerenes) and 7 min (polyhydroxy-fullerene
205 derivatives), an initial cross flow of 2 mL min^{-1} and a channel flow of 1 mL min^{-1} . The
206 eluted samples were monitored by the UV detector at 254 nm.

207

208 2.2.3. *Transmission electron microscopy (TEM)*

209 For TEM measurements, one drop of the aqueous fullerene solutions prepared in
210 100 mM SDS and 10 mM sodium tetraborate-10 mM sodium phosphate was placed on
211 a TEM grid (carbon-coated copper grid 200 mesh (All Carbon)) and stained with a drop
212 of uranyl formate (1% aqueous solution). After air drying of the grid (2 h), TEM images
213 were taken.

214

215 2.3. *Sample preparation*

216 The extraction of C_{60} from the cosmetic products (*i.e.*, anti-aging serum and a
217 facial mask) was performed by following a procedure previously described [21] with
218 few modifications. Briefly, for the extraction approx. 3 g of cosmetic sample were
219 added to 20 mL toluene and sonicated for 4 h. The toluene extract was then centrifuged
220 at 4500 rot/min for 15 min using a Selecta Centronic Centrifuge (Barcelona, Spain). The
221 clear toluene supernatant was then evaporated to almost dryness, and reconstituted in
222 200 μL of 100 mM SDS aqueous solution, and the residual toluene was completely
223 evaporated via sonication prior to be injected into the CE system.

224

3. Results

3.1. Hydrophobic fullerenes

In this work, the performance of MECC for the analysis of hydrophobic fullerenes (C_{60} , C_{70} and C_{60} -pyrr) using as BGE 100 mM SDS in 10 mM sodium phosphate-10mM sodium tetraborate (pH=9.4), previously proposed by Treubig and Brown [24] was evaluated. The compounds were first solubilized in aqueous media via interaction with SDS micelles following the procedure included in the *Materials and methods* Section. Figure 2A shows an example of the electropherogram obtained for the analysis of SDS[C_{60}] appearing as a sharp peak at the migration time of approx. 18 min. Electropherograms with the same migration time indicating identical electrophoretic mobility were also obtained for C_{70} and C_{60} -pyrr. The instrumental quality parameters such as limits of detection (LOD), limits of quantitation (LOQs) based on signal-to-noise ratio of 3:1 and 10:1 respectively, linearity and precision were evaluated for each compound using standard fullerene solutions prepared in SDS (100 mM) and are given in Table 1. The LODs ranged from 0.6 to 2.2 mg L⁻¹, and the calibration curves based on peak areas at concentration ranges between 0.8 and 30 mg L⁻¹ (SDS[C_{60}] and SDS[C_{60} -pyrr]) and between 2.2 and 10 mg L⁻¹ (SDS[C_{70}]) showed good linearity with correlation coefficients (r^2) of 0.991 (C_{60}), 0.994 (C_{60} -pyrr) and 0.988 (C_{70}). Run-to-run and day-to-day precisions were calculated at two concentration levels, at low level (LOQ) and a medium level (15 mg L⁻¹ for SDS[C_{60}] and SDS[C_{60} -pyrr] and 5 mg L⁻¹ for SDS[C_{70}]), and the results expressed as relative standard deviation (% RSD), are given in Table 1. As can be seen, acceptable run-to-run and day-to-day precisions were achieved with RSD values lower than 14.3 %.

This MECC method was used to determine C_{60} in two commercial cosmetic products (face mask and anti-aging serum) that contain this compound using 100 mM SDS in 10 mM sodium phosphate-10mM sodium tetraborate as running electrolyte. Sample extractions were performed as indicated in the *Sample preparation* section and the extracts were analyzed using the proposed MECC method. As an example, the obtained electropherogram for one of the analyzed samples and of the same product fortified with C_{60} is shown in Figure 2B. Since no blank samples were available, quantitation was carried out by triplicate using a standard addition method, and C_{60} was quantitated at 1.86 ± 0.07 mg L⁻¹ (anti-aging serum) and 2.77 ± 0.16 mg kg⁻¹ (face mask) concentration levels.

259

260 3.2. Polyhydroxy- and carboxy-fullerene derivatives

261 In a first step, polyhydroxy- and carboxy-fullerene derivatives were analyzed by
262 MECC using the BGE employed for the analysis of hydrophobic fullerenes (100 mM
263 SDS, 10 mM sodium phosphate-10mM sodium tetraborate, pH=9.4 solution). Figure 3
264 shows the electropherograms obtained for each of the studied compounds ($C_{60}(OH)_{24}$,
265 $C_{120}(OH)_{30}$, C_{60} -pyrr tris acid and $C_{60}CHCOOH$). Under these conditions, broad and
266 distorted peaks were obtained for all the fullerenes. $C_{60}(OH)_{24}$ and $C_{60}CHCOOH$
267 presented peak tailing and fronting, respectively and the electropherograms of
268 $C_{120}(OH)_{30}$ and C_{60} -pyrr tris acid revealed broad and multiple peaks. Subsequently, the
269 effect of the buffer and SDS concentration and pH value on the migration time and
270 electrophoretic peak profile of the selected analytes was evaluated.

271 The effect of the buffer composition and concentration was studied by keeping
272 constant the SDS concentration (100 mM) and pH value (≈ 9.4). Figure 4 shows the
273 electropherograms obtained for the studied compounds at different buffer composition
274 and concentrations. As can be seen, highly broad and distorted peaks were obtained for
275 all the fullerenes at high buffer concentration values (above 10 mM sodium tetraborate-
276 10 mM sodium phosphate) and for some of the compounds multiple peaks were
277 observed. For instance, the electropherograms of C_{60} -pyrr tris acid revealed two
278 unresolved peaks and the tail of the first one increased so much that at 15 mM sodium
279 tetraborate-15mM sodium phosphate, it embraced migration times from 4 to 11 min.
280 For all the compounds, the migration times decreased with a decrease in the buffer
281 concentration and their electrophoretic pattern changed, revealing sharper peaks at 2.5
282 mM sodium tetraborate-2.5 mM sodium phosphate buffer concentration. A further
283 improvement in peak shapes was obtained by using only sodium tetraborate as buffer at
284 a concentration of 1 mM (Figure 4).

285 The changes in the electrophoretic profile of the peaks were further monitored at
286 SDS concentration values between 2 and 100 mM (Figure 5) using 1 mM sodium
287 tetraborate as buffer. In general, lower migration times and narrower peaks were
288 obtained by reducing the SDS concentration in the running BGE and in some cases,
289 changes in the peak profile were observed. For instance, the electropherogram of C_{60} -
290 pyrr tris acid, at SDS concentrations ≥ 40 mM, showed two peaks and below this value
291 only one peak was observed although its symmetry worsened showing front tailing. In
292 contrast, for $C_{60}CHCOOH$ a more symmetrical peak is obtained at low SDS

293 concentration. Regarding the studied polyhydroxy-fullerene derivatives in addition to a
294 reduction of the retention times, the number of distinguishable peaks decreased with the
295 SDS concentration (see as an example the electropherograms obtained for C₁₂₀(OH)₃₀ in
296 Fig. 5). Moreover, when working in CZE conditions, using SDS concentrations below
297 the critical micellar concentration (CMC, 8 mM) and a low buffer concentration,
298 narrower peaks than those found in MECC were obtained.

299

300 **4. Discussion**

301

302 *4.1. Hydrophobic fullerenes*

303 It has been reported that C₆₀ forms aggregates within SDS micelles [24,38,39]
304 but despite this fact, MECC has not been proposed for the analysis of this compound.
305 Therefore, the capability of this electrophoretic method for the analysis of C₆₀ but also
306 of C₇₀ and C₆₀-pyrr for which there is no information in the literature was evaluated in
307 this work. The MECC electropherograms obtained for the resulting fullerene-SDS
308 complexes analyzed individually indicated that interaction occurred and the three
309 compounds were completely entrapped in the hydrophobic core of the micelles. The
310 migration time of the three compounds was that of the micelles which was measured
311 using Sudan III. Therefore, this technique can only be applied for the analysis of
312 individual hydrophobic fullerenes in quality control analysis where only one of these
313 compounds is present. The quality parameters were evaluated in order to use the method
314 for the determination of the individual compounds in samples where the other fullerenes
315 are not expected. The results showed good repeatability and reproducibility and the
316 obtained LOQs (Table 1) allowed us to propose the MECC method for the analysis of
317 samples with sufficiently high fullerene concentration. Since the presence of C₆₀ in
318 cosmetic products was previously reported [40,41] at concentration levels up to 1.1 mg
319 kg⁻¹, and in these samples no other fullerenes are applied, two cosmetic products
320 containing this compound were selected to evaluate the applicability of MECC. C₆₀
321 was found at 1.86 ± 0.07 mg L⁻¹ (anti-aging serum) and 2.77 ± 0.16 mg kg⁻¹ (face mask)
322 concentration levels. The same anti-aging serum sample was analyzed in our previous
323 work by LC-MS [21], reporting C₆₀ at a concentration 1.93 ± 0.15 mg L⁻¹ confirming
324 the result obtained by MECC. Since no organic solvents are used in MECC, the
325 proposed method is less contaminant than the LC-MS method which requires the use of
326 a high amount of toluene in the mobile phase. Nevertheless, MECC implies two time-

327 consuming steps, the solubilization of fullerenes in the SDS aqueous solution and the
328 sample preparation.

329

330 4.2. *Polyhydroxy- and carboxy-fullerene derivatives*

331 In MECC, the buffer composition and concentration showed a significant
332 influence on the electrophoretic pattern of the peaks of polyhydroxy- and carboxy-
333 fullerene derivatives (Figure 4). As expected, the decrease in the EOF produced an
334 increase in the migration times of the compounds which was very significant at high
335 buffer concentrations (~ 50 % increase). For instance, for C₆₀CHCOOH and C₆₀-pyrr
336 tris acid (peak B) an increase from 4.3 min and 4.2 min, respectively at 1 mM sodium
337 tetraborate up to 8.5 min and 10.3 min, respectively at 15 mM sodium tetraborate-15
338 mM sodium phosphate was observed. Moreover, for concentrations higher than 5 mM
339 sodium tetraborate- 5mM sodium phosphate, highly broad and distorted peaks were
340 obtained. The highly skewed peaks with long tails obtained for the compounds, as the
341 ones observed for C₆₀-pyrr tris acid at 15 mM sodium tetraborate-15mM sodium
342 phosphate for example (Figure 4), prompted the thought that several species with
343 different sizes or charges that migrate with slightly different velocities were present.
344 The observed behavior suggests that large aggregates are formed at high buffer
345 concentration values. As a first step to understand the behavior of these compounds in
346 MECC, the morphology and aggregation degree of the analytes was studied using TEM.
347 As an example, Figure 6 shows the micrographs obtained for C₆₀-pyrr tris acid and
348 C₆₀(OH)₂₄ in 100 mM SDS and 10 mM sodium tetraborate-10 mM sodium phosphate.
349 The images show some differences between the aggregate structures and shapes of these
350 compounds and the presence of polydisperse aggregates can be observed in both cases.
351 The carboxy-fullerene derivatives presented large aggregates and spherical and irregular
352 shaped structures of various sizes whereas the polyhydroxy-fullerene derivatives
353 presented mainly polycrystalline structures. As shown, complex branched structures
354 were formed in these conditions which were so strongly aggregated that it was difficult
355 to obtain an average particle size.

356 The aggregate sizes of the compounds at different buffer composition and
357 concentrations (1 mM sodium tetraborate and sodium tetraborate- sodium phosphate
358 from 2.5 mM to 10 mM of each salt component) and SDS concentrations (from 2 mM
359 to 30 mM) were determined by AF4 with UV detection. The hydrodynamic radii (r_H) of

360 the particles were calculated from the retention time at the maximum of the peak height
361 using standard AF4 theory [42]. Figure 7A shows an example of the fractograms
362 obtained for C₆₀-pyrr tris acid and C₆₀(OH)₂₄ using 2 mM SDS and 1 mM sodium
363 tetraborate as carrier solution. At these conditions, the carboxy-fullerene derivatives
364 eluted in fractions of different aggregation degree and presented at least 2 separated
365 peaks, one corresponding to small particles (\approx 10 nm) and a major peak corresponding
366 to big aggregates with a calculated r_H up to 55 nm. The fractograms obtained for
367 C₁₂₀(OH)₃₀ and C₆₀(OH)₂₄ revealed in each case one tailed peak presenting smaller
368 particles sizes than the carboxy-fullerene derivatives, with a r_H calculated at the
369 maximum of the peak height of approx 6 nm and 7 nm, respectively. An increase of the
370 buffer concentration in the carrier solution produced a significant decrease of the peak
371 areas of the carboxy-fullerenes which was caused by an enhanced adsorption of these
372 particles to the AF4 membrane as they settled out of suspension. In contrast, this effect
373 was not observed for the polyhydroxy-fullerene derivatives, due to their higher water
374 solubility and significantly smaller sizes than the carboxy-derivatives. Figure 7B shows,
375 as an example, the fractograms obtained for C₆₀(OH)₂₄ using 2 mM SDS and different
376 buffer type and concentrations. As shown, tailing peaks were observed as in the CE
377 experiments probably due to the presence of unresolved higher order aggregates. The
378 change in the elution profile of the polyhydroxy-fullerene derivatives (*i.e.*, retention
379 time shift, broader peaks) at higher buffer concentrations was accompanied by an
380 increase in the calculated r_H at the maximum of the peak height, from approx. 6 nm
381 (C₁₂₀OH)₃₀) and 7 nm (C₆₀OH)₂₄) (1 mM sodium tetraborate) up to 13 nm (C₁₂₀OH)₃₀)
382 and 15 nm (C₆₀OH)₂₄) (10 mM sodium tetraborate-10 mM sodium phosphate).
383 Therefore, the broad and distorted peaks obtained for the studied compounds by
384 capillary electrophoresis at high buffer concentrations seem to be due to increased
385 aggregation and to the presence of fractions of different aggregation degree.

386 The AF4 results showed that the presence of SDS micelles does not seem to
387 increase the aggregation of fullerenes but favors the separation of particles of different
388 aggregate sizes. Figure 7B shows the fractograms obtained for C₆₀(OH)₂₄ in the
389 presence (30 mM SDS) and absence (2 mM SDS) of micelles. As can be seen, in the
390 presence of micelles, 3 unresolved peaks were obtained, corresponding to particles with
391 different aggregation degree with an average r_H of 4 nm, 6 nm and 10 nm. Similar
392 behavior was observed for the other studied fullerenes indicating that the presence of
393 micelles allows distinguishing between aggregates of different sizes in the samples

394 probably due to their different partition/complexation with the micelles. This could
395 explain the multiple and broad peaks observed in MECC and the improvement in peak
396 shape with the decrease of SDS concentration (Figure 5).

397 Over the studied pH range (3-12.5), the studied compounds maintained a
398 substantial charge and were detected in normal polarity. These results are in agreement
399 with previous studies reporting that fullerols present negative surface charge over a
400 wide pH range (pH >3), implying a certain proportion of deprotonated surface sites,
401 even at acidic conditions [37,43]. However, to the best of our knowledge, the pKa
402 values of these compounds are not known accurately. As expected, higher migration
403 times were obtained when decreasing the pH because of a slower EOF (Figure S1).
404 Under acidic conditions, broad and distorted peaks with high migration times were
405 obtained.

406

407 **5. Conclusions**

408

409 Complementary information about the aggregation of four surface modified
410 fullerenes in aqueous solutions of different buffer and SDS concentrations was obtained
411 by using three different techniques (CE, AF4 and TEM). The observed significant
412 differences in the electrophoretic peak profiles of the studied compounds revealed that
413 CE techniques are able to capture the changes in their aggregation state. The broad,
414 multiple and distorted peaks obtained in MECC (at high buffer and SDS concentrations)
415 can be related to the increased aggregation that generated particles of different sizes,
416 whereas by working in CZE conditions sharper peaks were obtained. AF4 provided
417 information about the changes in the aggregate sizes of the selected fullerenes at the
418 tested conditions. The calculated particle hydrodynamic radii values showed that high
419 buffer concentration values promote the aggregation of the particles while the presence
420 of micelles allows distinguishing between aggregates of different sizes. As regards the
421 aggregate structures, the obtained TEM images revealed the formation of highly
422 branched and complex aggregates in the evaluated MECC conditions. Therefore, the
423 combination of these techniques offers a wide picture of the aggregation of fullerenes in
424 aqueous solutions.

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435 **Conflict of interest**

436 The authors declare no conflict of interest.

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564 **Figure captions:**

565 Figure 1. Structures and abbreviations of the studied fullerenes.

566 Figure 2. MECC electropherograms of (A) SDS[C₆₀] (25 mg L⁻¹), (B) facial mask
567 product (a), the same product fortified with 3 mg L⁻¹ of C₆₀ (b); BGE: 100 mM SDS, 10
568 mM sodium tetraborate-10 mM sodium phosphate (pH=9.4); voltage: + 20 kV.

569 Figure 3. MECC electropherograms of: 1: C₆₀(OH)₂₄ (25 mg L⁻¹); 2: C₁₂₀(OH)₃₀ (25 mg
570 L⁻¹); 3: C₆₀-pyrr tris acid (25 mg L⁻¹); 4: C₆₀CHCOOH (25 mg L⁻¹); BGE: 100 mM
571 SDS, 10 mM sodium tetraborate-10 mM sodium phosphate (pH=9.4); voltage: + 20 kV;
572 λ= 254 nm.

573 Figure 4. MECC electropherograms of the studied fullerenes at different buffer
574 concentrations: (a) 15 mM sodium tetraborate-15 mM sodium phosphate; (b) 10 mM
575 sodium tetraborate-10 mM sodium phosphate; (c) 2.5 mM sodium tetraborate-2.5 mM
576 sodium phosphate and (d) 1 mM sodium tetraborate; other BGE conditions: 100 mM
577 SDS; voltage: + 20 kV.

578 Figure 5. Electropherograms of the selected fullerenes at different SDS concentrations:
579 (a) 100 mM SDS; (b) 40 mM SDS; (c) 2 mM SDS; other BGE conditions: 1 mM
580 sodium tetraborate; voltage: + 20 kV.

581 Figure 6: TEM pictures of C₆₀-pyrr tris acid and C₆₀(OH)₂₄ aggregates.

582 Figure 7. (A) Fractograms of C₆₀(OH)₂₄ and C₆₀-pyrr tris acid; carrier solution: 2 mM
583 SDS and 1mM sodium tetraborate, pH=9.2 and (B) Fractograms of C₆₀(OH)₂₄; carrier
584 solution: (a) 30 mM SDS and 1 mM sodium tetraborate, (b) 2 mM SDS and 10 mM
585 sodium tetraborate-10 mM sodium phosphate, and (c) 2 mM SDS and 1 mM sodium
586 tetraborate. TDE flow programming with a delay/decay time of 3 min (C₆₀-pyrr tris
587 acid) and 7 min C₆₀(OH)₂₄. For the experimental conditions used see text.

588 Figure S1. pH effect (3-12.5) on the migration times as observed by CZE of 1:
589 C₆₀(OH)₂₄; 2: C₁₂₀(OH)₃₀; 3: C₆₀-pyrr tris acid; 4: C₆₀CHCOOH; BGE: 2 mM SDS in 1
590 mM sodium tetraborate; voltage: + 20 kV; λ= 254 nm.

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Table 1. Instrumental quality parameters

	LODs (mg L ⁻¹)	LOQs (mg L ⁻¹)	run-to-run precision (% RSD; n=5)			day-to-day precision (% RSD; n=5 x 3)		
			t _m (min)	Concentration (low level) ^a	Concentration (medium level) ^b	t _m (min)	Concentration (low level) ^a	Concentration (medium level) ^b
C ₆₀	0.8	2.4	0.2	5.1	2.4	1.2	6.5	2.1
C ₇₀	2.2	6.6	0.4	7.8	4.6	1.3	14.3	9.2
C ₆₀ -pyrr	0.6	1.8	0.3	4.3	2.3	1.0	5.7	2.0

^a LOQ^b 10 mg L⁻¹ (C₆₀ and C₆₀ pyrr) and 5 mg L⁻¹ (C₇₀)

Figure 1

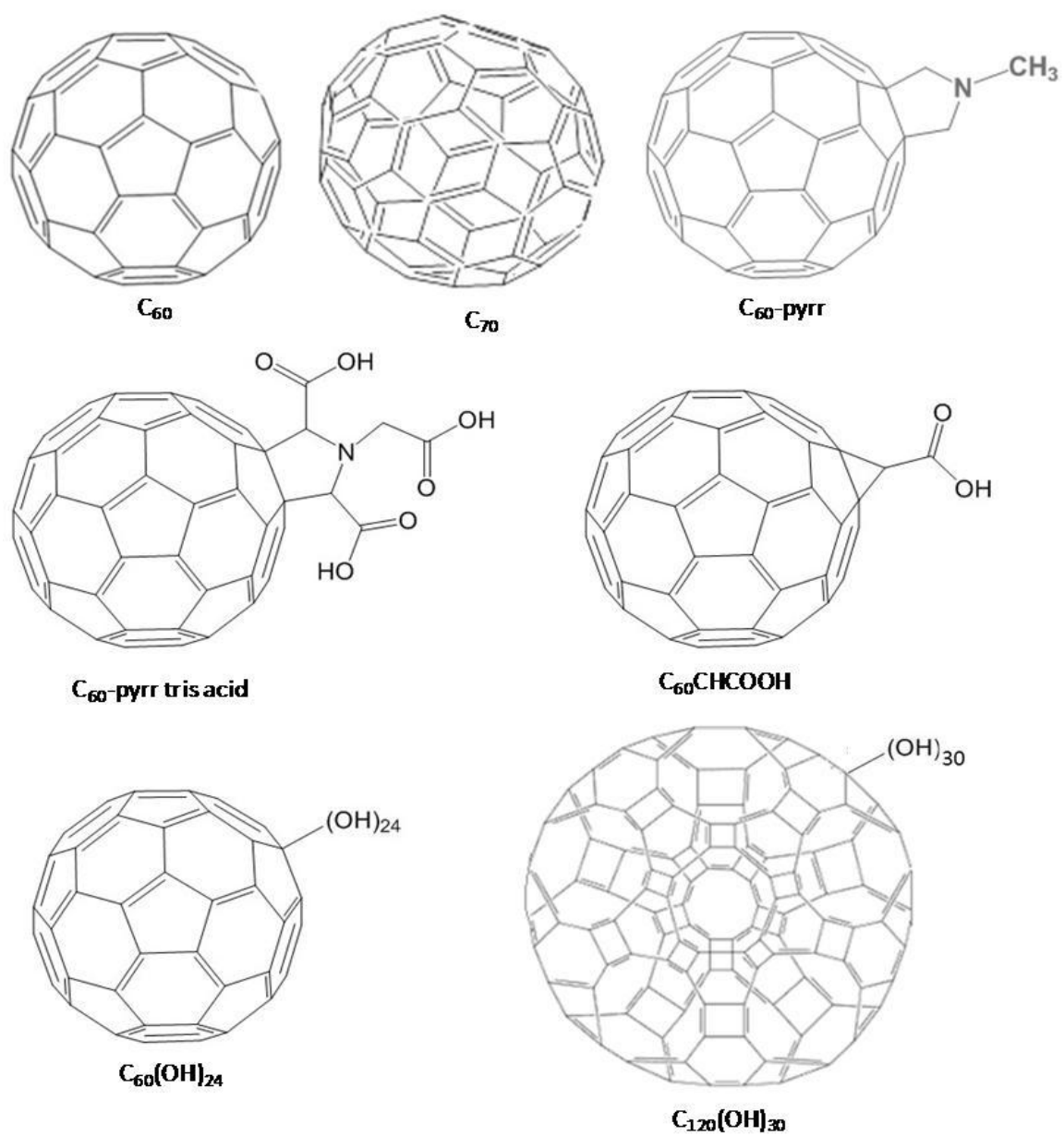


Figure 2

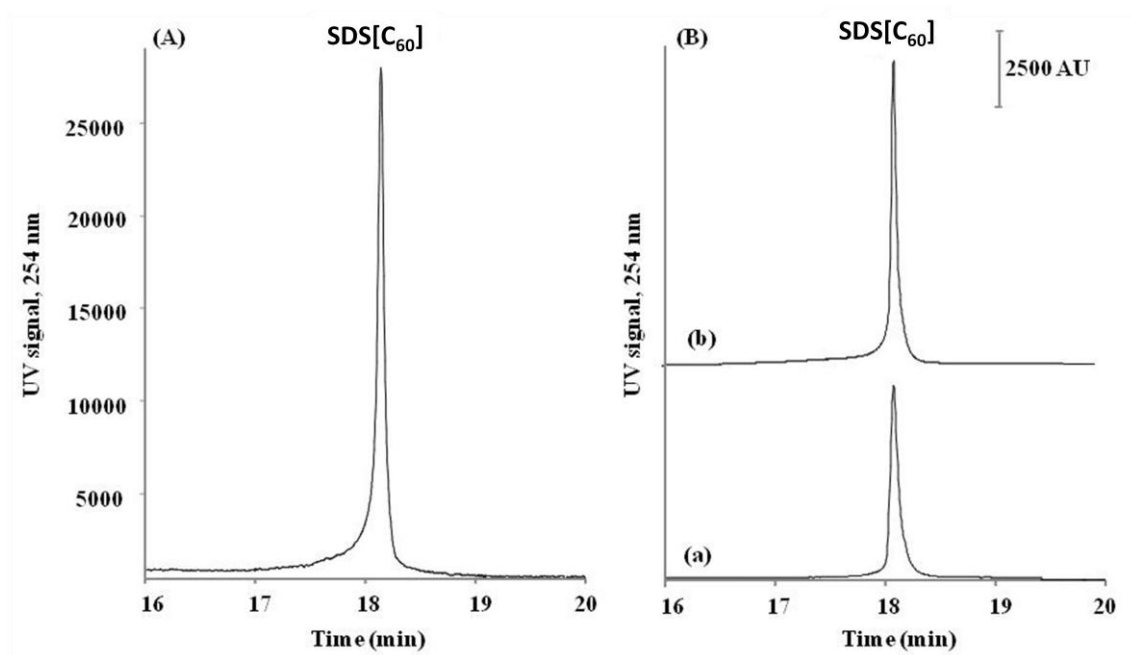


Figure 3

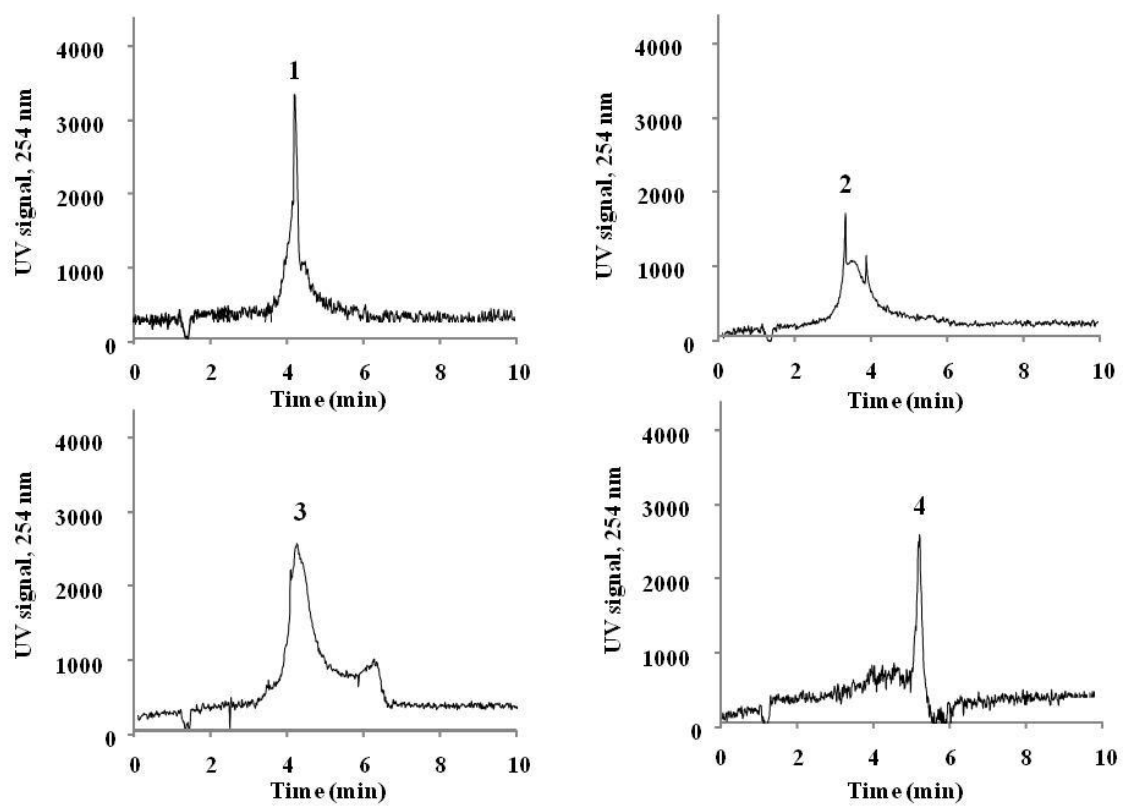


Figure 4

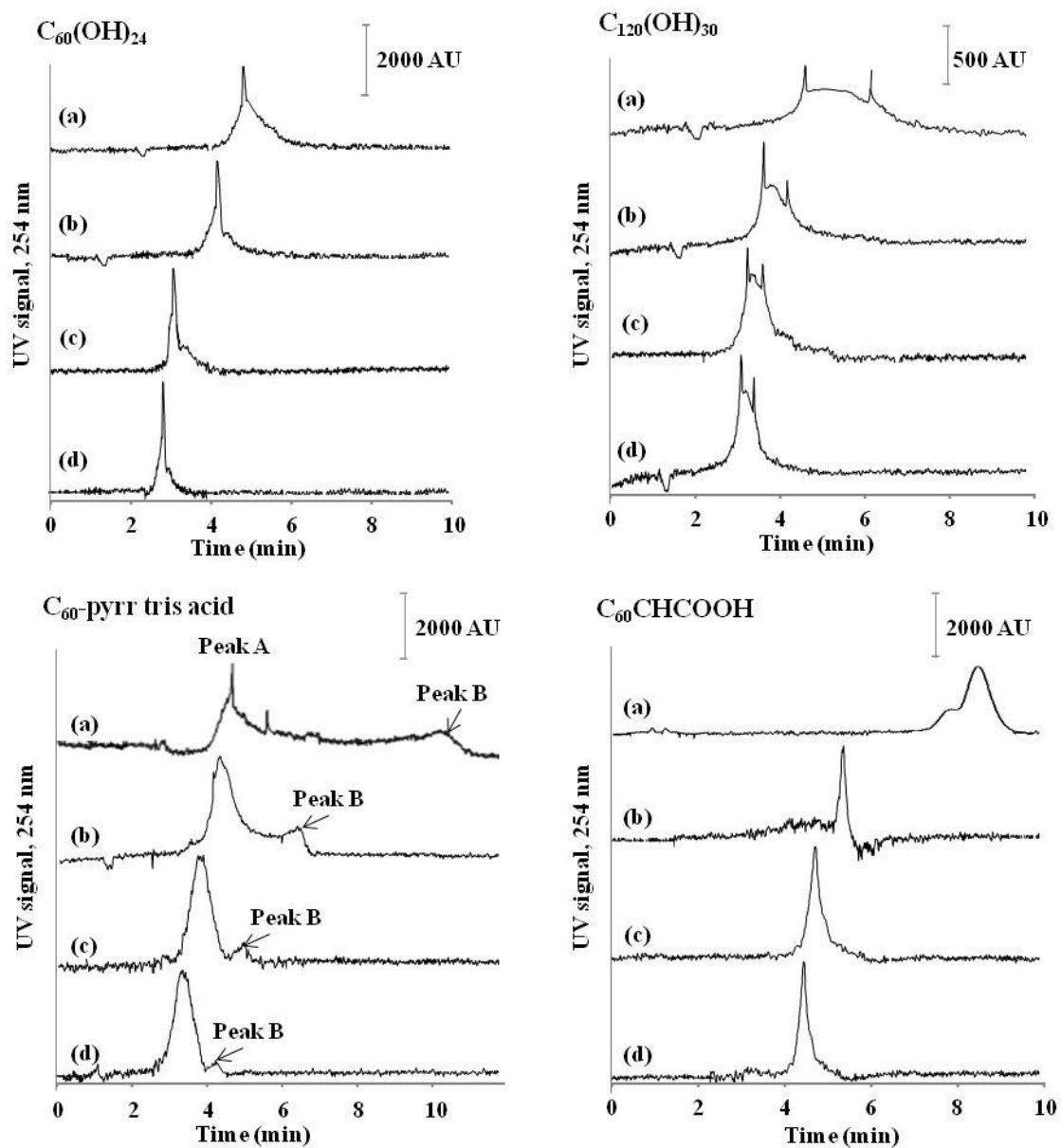


Figure 5

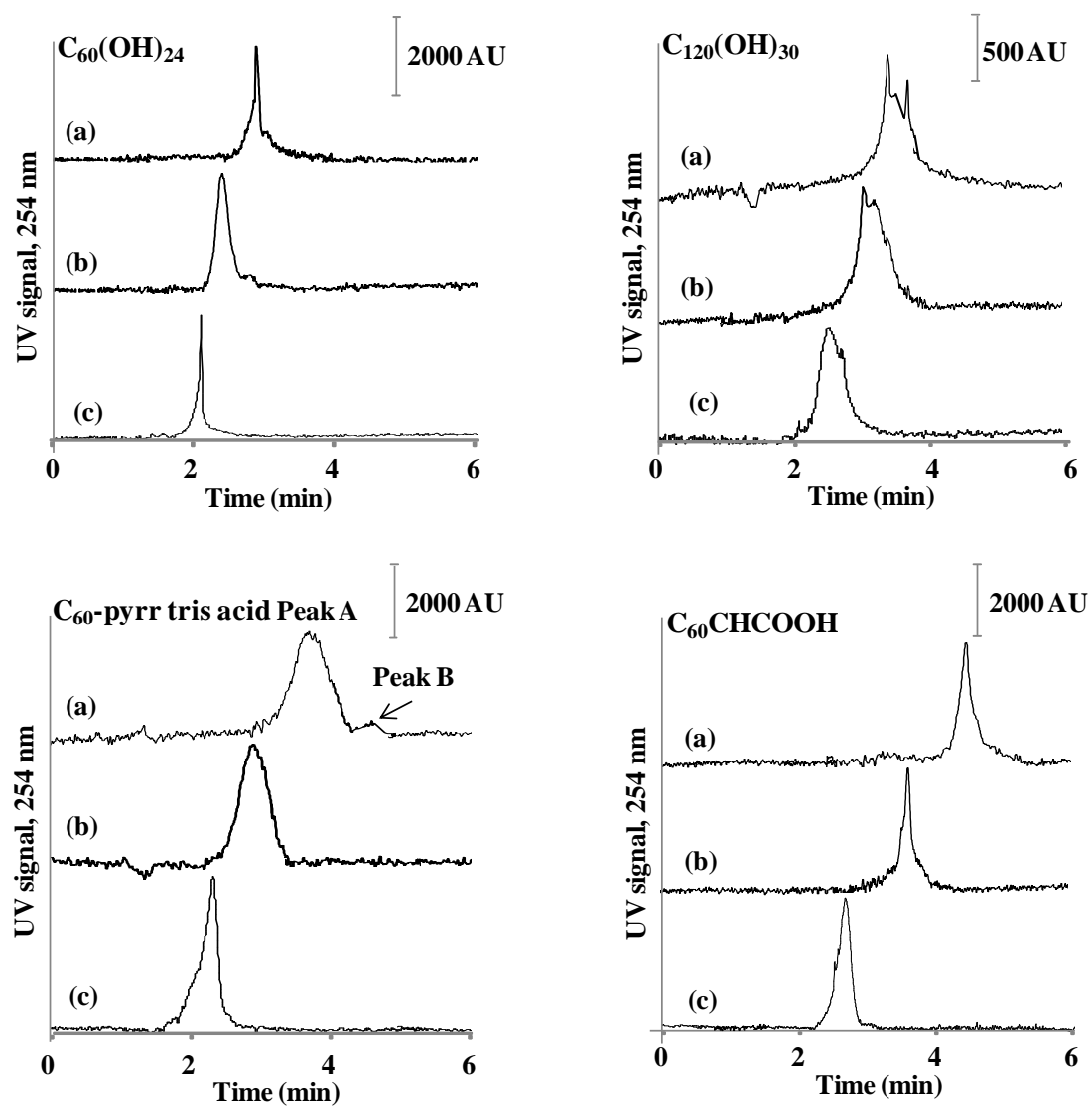


Figure 6

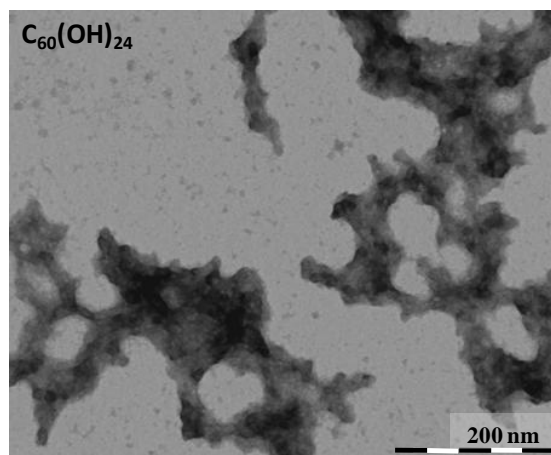
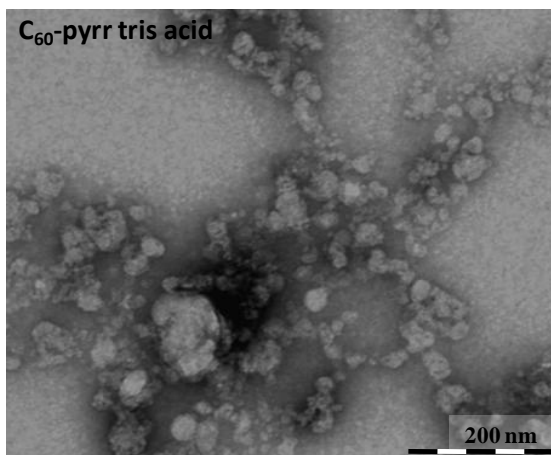


Figure 7

