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## Review Article

# Molecular mechanisms influencing NK cell development: implications for NK cell malignancies

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**Abstract:** Natural Killer (NK) cells are important effector cells in both the innate and adaptive immune responses. Although they were identified almost 40 years ago, our understanding of how and where NK cells develop is rudimentary. In particular, we have only a limited understanding of the signaling pathways that need to be activated to cause NK cell commitment and maturation. Knowledge of this process is important as disruptions can lead to the development of highly aggressive NK cell malignancies. In this review, we discuss the known molecular mechanisms that trigger NK cell commitment, prompt them to mature and finally allow them to become functional killers. Known disruptions in this developmental process, and how they may contribute to malignancy, are also addressed.

**Keywords:** Animal, human, Natural Killer cell, transcription factors, cytokines, cell differentiation, lymphopoiesis, gene expression regulation, lymphoma

## Introduction

Natural killer (NK) cells play a multitude of roles in host innate and adaptive immunity [1]. They possess the unique ability to recognize and lyse tumor and pathogen infected cells without any prior stimulation. This is achieved via direct lysis, and indirectly by antibody dependent cellular cytotoxicity. They also promote B and T cell differentiation and dendritic cell (DC) maturation [1]. *In vivo*, NK cells have a limited life span and therefore must be continually replenished [2]. It is well known that NK cells are derived from CD34<sup>+</sup> hematopoietic progenitor cells (HPCs) and that IL-15 is crucial for their development and homeostasis [3-5]. Despite this our understanding of the molecular mechanisms controlling NK cell development remains rudimentary.

Mouse knockout models have proved to be a very useful tool for studying the molecular mechanisms controlling murine NK cell development. Most of this research has focused primarily on the later stages of development with only a few studies identifying transcription factors

involved in NK cell commitment and early development. Although murine models are an invaluable tool for studying NK cell development, there are key differences between mouse and human NK cells. Therefore, it is essential that further research is conducted to confirm these results in humans.

A thorough understanding of how NK cells develop is important as disruptions in this developmental process are implicated in NK cell malignancies. Furthermore, an understanding of NK cell developmental mechanisms may enable future therapeutic manipulation. This review will discuss the different stages of NK cell development with an emphasis on the molecular mechanisms involved at each stage and disruptions leading to malignancies.

## NK cell developmental stages in mice

The major focus of NK cell developmental research has used the murine system. Here NK cell development occurs primarily in the bone marrow (BM), although immature NK cells are also present in the liver and thymus suggesting

development may also occur at these sites [6]. Like other blood cells, NK cells develop from CD34<sup>+</sup> HPCs that undergo a sequential developmental process where they gradually become more lineage restricted and lose their ability to self-renew. The acquisition of the β chain of the IL-2/15 receptor (CD122) marks one of the earliest steps in NK cell commitment [6]. These NK precursors (NKP) lack expression of T cell associated proteins and are unable to differentiate into B, T, myeloid and erythroid cells, but can be stimulated to form mature NK cells *in vitro* [7]. NKP also lack several NK cell receptors with the exception of NKG2D and 2B4, receptors normally involved in activation or inhibition of killing activity in mature NK cells [7, 8].

As NKP mature they gradually acquire more of the receptors seen on mature NK cells. The development of immature NK (iNK) cells is associated with acquisition of NK1.1 and CD94-NKG2 [9]. These iNK cells then acquire Ly49 and DX5 and undergo a major expansion phase [9]. As these cells mature further, their proliferation rate slows and an increased expression of CD43 and the gaining cytotoxicity and IFN-γ production distinguishes mature NK (mNK) cells [6, 9].

### NK cell developmental stages in humans

For several years it was believed that human NK cell development occurred primarily in the bone marrow. However, attempts to characterize NK cell developmental stages within the bone marrow have not been successful. The search for an alternative site of development led to the identification of a unique population of CD34<sup>+</sup> CD45RA<sup>+</sup> HPCs in secondary lymphoid tissues (SLTs) that was able to give rise to NK cells, T cells and myeloid DCs [4, 6]. These cells are now accepted as the first stage in NK cell development and are termed pro-NK cells (CD34<sup>+</sup> CD45RA<sup>+</sup> CD117<sup>-</sup> CD94<sup>-</sup> CD122<sup>-</sup>) [3, 4].

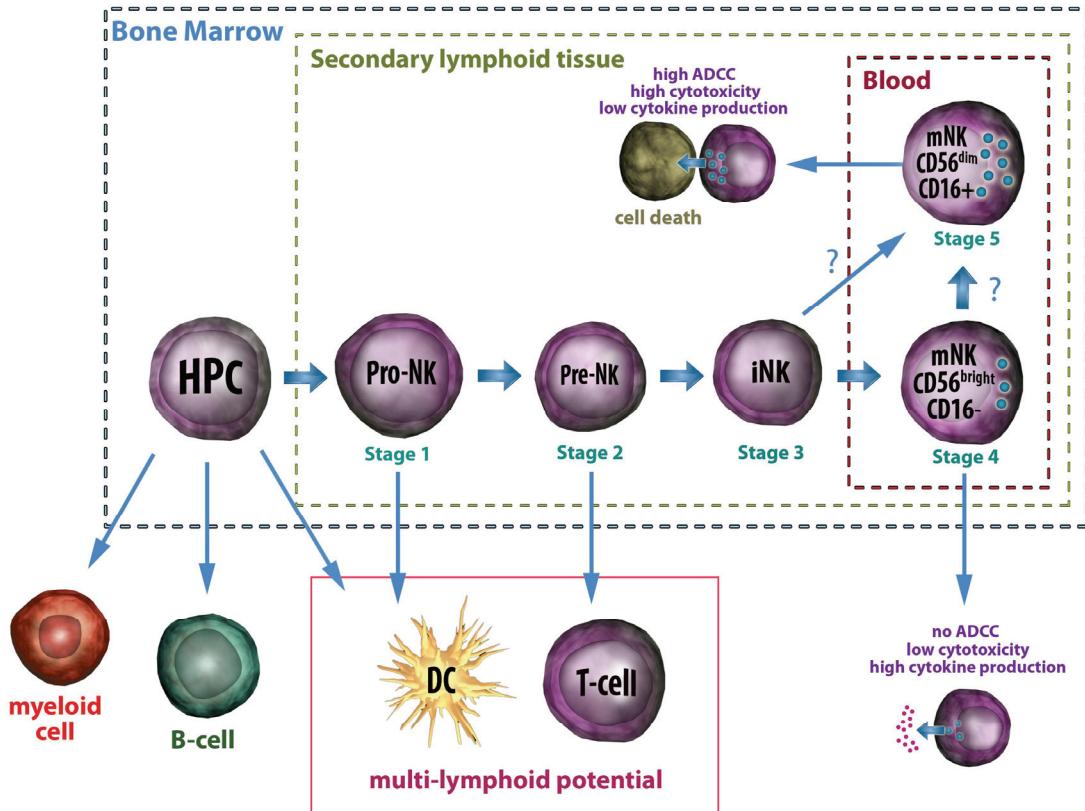
Pro-NK cells are not IL-15 responsive however, following *in vitro* culture with FMS-like tyrosine kinase 3 ligand (FLT3L), IL-3 and IL-7, at least a fraction are able to give rise to IL-15 responsive, stage 2 pre-NK cells [10, 11]. This transition is marked by the acquisition of CD122 (IL-2/15Rβ) and c-Kit (a cytokine receptor also known as CD117) [11]. Following stimulation with IL-2 or IL-15, a proportion of stage 2 pre-NK cells are able to form cells that are committed to the NK cell lineage. These cells represent the

third stage of NK cell development and are known as immature NK cells (iNK cells) [11].

Although iNK cells no longer express CD34 and are restricted to form mature NK cells, they themselves lack features of mature NK cells. In particular, iNK cells show an inability to produce interferon γ (IFN-γ) and lack cytotoxicity [11]. Furthermore, iNK cells show variable expression of CD56 and do not express CD94.

Functional maturation begins at stage 4 of NK cell development. Transition to this stage is associated with expression of the NK receptor, CD94-NKG2A [11, 12]. Stage 4 NK cells have a CD56<sup>bright</sup> CD16<sup>-</sup> phenotype and are the major population of mature NK (mNK) cells seen in SLTs (~90%). However, they represent only 10% of NK cells seen in the circulation. Here they are believed to be the main producer of NK cell-derived IFN-γ. Although blood CD56<sup>bright</sup> CD16<sup>-</sup> NK cells are fully functional, within SLTs not all CD56<sup>bright</sup> CD16<sup>-</sup> NK cells express intracellular the cytolytic proteins perforin and granzyme B or produce IFN-γ. Therefore, it is likely that functional maturation occurs within this stage of development.

The major population of NK cells seen in peripheral blood are CD56<sup>dim</sup> CD16<sup>+</sup>. There is considerable controversy regarding their development. It has been proposed that these cells may represent stage 5 of human NK cell development and differentiate from stage 4 CD56<sup>bright</sup> CD16<sup>-</sup> NK cells [12, 13]. There are several lines of evidence which support this hypothesis. For example, CD56<sup>bright</sup> CD16<sup>-</sup> NK cells have longer telomeres than CD56<sup>dim</sup> CD16<sup>+</sup> NK cells, suggesting that the latter may be more terminally differentiated [14]. Furthermore, only some CD56<sup>bright</sup> CD16<sup>-</sup> NK cells are granular whilst all CD56<sup>dim</sup> CD16<sup>+</sup> NK cells have a granular morphology, with the presence of granules conferring functional aptitude and therefore reflecting maturity [12]. Finally, it has been demonstrated that upon IL-2 stimulation *in vitro*, stage 4 CD56<sup>bright</sup> CD16<sup>-</sup> NK cells undergo phenotypic and functional changes to form cells similar to stage 5 CD56<sup>dim</sup> CD16<sup>+</sup> NK cells [15]. Alternatively, CD56<sup>bright</sup> CD16<sup>-</sup> and CD56<sup>dim</sup> CD16<sup>+</sup> NK cells may represent two terminally differentiated, functionally mature subsets. In this case, CD56<sup>dim</sup> CD16<sup>+</sup> NK cells may develop from unique precursors that are not yet identified or from a common CD56<sup>bright</sup>/CD56<sup>dim</sup> NK cell pro-



**Figure 1.** Proposed Natural Killer (NK) Cell developmental pathway in Humans. In the bone marrow, HPCs differentiate to form pro-NK cells which are able to differentiate into dendritic cells (DC), T cells and NK cells. It is proposed that some of these then migrate to the lymph nodes and tonsils, where they undergo further differentiation, whilst others remain and mature in the bone marrow. As pro-NK cells differentiate they gradually lose their ability to self renew and become more restricted in lineage potential. Immature NK (iNK) cells are restricted to form mature NK (mNK) cells but are themselves not functional. iNK cells differentiate into CD56<sup>bright</sup> CD16<sup>-</sup> mNK cells *in vitro*; however the precursors of CD56<sup>dim</sup> CD16<sup>+</sup> remain uncertain. Following maturation, both CD16<sup>+</sup> and CD16<sup>-</sup> mNK cells migrate to the blood. Here they play important roles in the immune response with CD16<sup>+</sup> mNK cells being the main mediators of cytotoxicity and ADCC, and showing modest secretion of cytokines; whilst CD16<sup>-</sup> mNK cells appear to have a more immunoregulatory role, producing copious amounts of interferon-γ (IFN-γ) and other cytokines, but showing limited cytotoxic capabilities and an inability to perform ADCC.

genitor. This hypothesis is supported by the observation that both CD56<sup>bright</sup> CD16<sup>-</sup> and CD56<sup>dim</sup> CD16<sup>+</sup> NK cells play important roles within the innate immune response. Here CD56<sup>bright</sup> CD16<sup>-</sup> NK cells are the major mediators of NK cell-derived cytotoxicity while CD56<sup>bright</sup> CD16<sup>-</sup> NK cells produce copious amounts of NK cell-derived IFN-γ and other inflammatory cytokines. The importance of both these functions suggests that they represent two terminally differentiated, functionally mature subsets [16].

Despite the evidence supporting a role for SLTs

in the development of NK cells, this does not reject a contribution from the BM to generate mature CD56<sup>+</sup> NK cells. One theory is that the initial stages of NK cell development occur in BM and, under the influence of stromal cell factors, CD34<sup>+</sup> HPC differentiate to form CD34<sup>+</sup> CD45RA<sup>+</sup> pro-NK cells. These cells then travel to the lymph nodes and tonsils where, under the influence of IL-2, they further differentiate and eventually form CD56<sup>bright</sup> CD16<sup>-</sup> NK cells and then CD56<sup>dim</sup> CD16<sup>+</sup> NK cells. This is summarized in **Figure 1**.

Alternatively, the presence of pre-NK cells and

**Table 1.** Transcription factors involved in NK cell development as determined by mouse knockout models

Transcription Factor	Phenotype of Knockout Mouse	References
<i>Commitment</i>		
Ets-1	Decrease in NK cell numbers Impaired NK cell mediated cytotoxicity and IFN- $\gamma$ secretion	[23]
PU.1*	Reduced production of NKP and mNKs Altered expression of receptors involved in development Defective proliferation in response to cytokines	[26]
<i>Maturation</i>		
STAT5	Developmental block at the NKP-iNK stage resulting in diminished NK cell numbers	[31-33]
E4BP4	Decrease in iNK cell numbers Almost undetectable mNK cells Impaired NK cell mediated cytotoxicity	[35]
Id2	Decrease in mNK cell numbers	[36]
<i>Functional Maturation</i>		
MEF	Decrease in NK cell numbers Impaired NK cell mediated cytotoxicity and IFN- $\gamma$ secretion Defective perforin expression	[39]
T-bet	Decrease in NK cell numbers NK cells appear immature Impaired NK cell mediated cytotoxicity and IFN- $\gamma$ secretion	[40]
BLIMP1	Decrease in peripheral mNK cell numbers Decrease in Granzyme B expression	[42]

\* PU.1 $^{-/-}$  mice die embryonically, therefore the role of PU.1 in NK development was determined by transfer of PU.1 $^{-/-}$  fetal liver hematopoietic stem cells to Rag1 $^{-/-}$ /yc $^{-/-}$  mice. NK: Natural Killer; NKP: NK progenitors; iNK: immature NK; mNK: mature NK; IFN- $\gamma$ : interferon- $\gamma$ ; STAT: signal transducer and activator of transcription; MEF: myeloid ELF1 (E74-like factor 1)-like factor

mNK cells within the BM contributes to the hypothesis that the complete developmental process may also occur at this site [17]. It remains unclear whether the mNK cells originate from BM or represent venous blood contamination. It is speculated that mNK cells developing at different sites may have specialized functions.

#### Forming committed NK precursors

Detailed knowledge regarding the signals required for commitment of HPCs to the NK cell lineage is lacking, but is likely mediated by cell to cell interactions within the microenvironment. This is evident by the enhanced number of NKP seen when HSCs are cultured on relevant stromal cell lines compared to those cultured alone [18]. The molecular signals delivered by these cells are only just beginning to be elucidated, but appear to involve release of several cytokines including FLT3L and Stem Cell Factor (SCF). *In vitro*, addition of FLT3L or SCF to CD34 $^{+}$  HPCs greatly increased the frequency of NK cell precursor development and IL-15R $\alpha$  expression [19]. Furthermore, mice deficient in

FLT3L show reduced numbers of NK cells, DCs and HPCs [20]. Interestingly, mice that lack c-Kit, the receptor for SCF, do not have any NK cell deficiencies, suggesting that it is not essential for *in vivo* development of NK cells [21].

The Ax1/Gas6 signaling pathway has also been implicated in the commitment and differentiation of NK cells [22]. Ax1 is a tyrosine receptor kinase that is expressed by some CD34 $^{+}$  HPCs. Its ligand, Gas6, is also expressed by these cells, suggesting that Ax1 binds Gas6 in an autocrine fashion [22]. Interruption of this pathway greatly diminishes NK cell precursor frequency in human CD34 $^{+}$  HPCs cultured with SCF by impeding phosphorylation of c-Kit [22].

Using knockout models several transcriptional factors that appear to be involved in NK cell development have been identified and are summarized in **Table 1**. While there are a number of transcription factors that influence maturation of NK cells, a transcription factor that is essential for the generation of NKP has yet to be identified. This is likely a result of the redund-

dancy seen amongst transcription family members, making it hard to ascribe them to specific functions. One such family is the Ets family of transcription factors. Within this family two factors, Ets-1 and PU.1 have been demonstrated to affect NKP generation.

As NKPs develop from common lymphoid progenitor cells (CLPs), an increase in Ets-1 is seen [7]. This transcription factor is thought to be essential for NK cell development as mice deficient in Ets-1 have severe defects in NK cell lineages, showing reduced NK cell numbers and impaired NK cell cytolytic activity and IFN- $\gamma$  secretion [23]. Ets-1 may influence NK cell commitment by increasing expression of CD122 [7, 24]. This increase in CD122 may lead to a further increase in Ets-1 expression as IL-15 has recently been shown to regulate Ets-1 post-transcriptionally via the MEK > ERK1/2 > MNK1 > eIF4E pathway [25]. Interestingly, the few mNK cells that do develop in Ets-1 deficient mice express CD122 suggesting CD122 is not exclusively controlled by Ets-1 [23].

PU.1 is well known for the important role it plays in the development of multipotent lymphoid progenitors. Since PU.1 deficient mice die embryonically, the effect of PU.1 on NK cells has been demonstrated by transfer of PU.1 $^{-/-}$  fetal liver hematopoietic stem cells (FL-HSCs) to Rag1 $^{-/-}$ /yc $^{-/-}$  mice [26]. These mice display reduced generation of NKP and mNK cells, suggesting that PU.1 plays a role in early NK cell differentiation [26]. Furthermore, PU.1 $^{-/-}$  mNK cells have alterations in Ly49, IL-7R $\alpha$  and c-Kit expression and have defective responses to IL-2 and IL-12 [26]. Interestingly though, when PU.1 expression is decreased, but not abolished, an increase in NK and T cell genes is seen in myeloid and B cells [27]. This suggests that PU.1 may enforce myeloid and B cell gene expression after lineage commitment by suppressing expression of NK and T cell genes [27].

### Maturation of Precursor NK cells

Acquisition of the high-affinity  $\beta$ -chain of the IL-15 receptor, CD122, is an essential step in the commitment of HPCs to the NK cell lineage in both humans and mice. Therefore, it is no surprise that the ligand for this receptor, IL-15, would play a vital role in the maturation of NK cells. IL-15 is produced by accessory cells and presented to CD122 expressing NK cells *in trans* via the high-affinity IL-15R $\alpha$  [28]. Mice

that are genetically deficient in IL-15 or IL-15R $\alpha$  lack NK cells [29]. Despite IL-15 being essential for development of mature NK cells, generation of NKPs from HPCs is IL-15 independent [8, 29].

One way in which IL-15 influences NK cell maturation is through the JAK3 > STAT5 signaling pathway. Binding of IL-15 or IL-2 to the IL-2/15R $\beta\gamma$  chain results in phosphorylation of STAT5 by JAK3. STAT5 then dimerises and translocates to the nucleus where it serves as a transcription factor [30]. The essential role for STAT5 in NK cell development can be seen in mice deficient in STAT5a or b which show diminished NK cell numbers and combined deletion results in an absence of NK cells [31, 32]. NK-specific deletion of STAT5 further confirmed this result, with deletion of STAT5 resulting in a developmental block at the NKP-iNK transition [33].

Beyond the role for Ax1/Gas6 in the development of NKPs, this pathway is also required for maturation of human NK cells. Interruption of the Ax1/Gas6 pathway results in fewer mature CD56 $^{\text{bright}}$  NK cells with impaired IFN- $\gamma$  production [22]. Interestingly, blockade of the Ax1/Gas6 pathway does not affect natural cytotoxicity. This requirement is at least partially influenced by interactions between the Ax1/Gas6 pathway and the IL-15 signaling pathway, as impairment of the Ax1/Gas6 pathways impedes phosphorylation of STAT5 [22]. Similar results have also been demonstrated in mice, with knockout of Ax1 family members (Tryo3 and Mer) resulting in NK cells that were defective in both IFN- $\gamma$  production and natural cytotoxicity [34].

The basic leucine zipper (bZIP) transcription factor, E4BP4, is essential for progression through the NKP-iNK and iNK-mNK transitions [35]. Mice lacking E4BP4 have considerably fewer iNK cells, almost no mNK cells and exhibit severely impaired NK cell-mediated cytotoxicity [35]. Importantly, they display normal numbers of NKPs, indicating that E4BP4 is not required for NK cell lineage specification. Like many NK cell transcription factors, E4BP4 expression appears to be IL-15 dependent [35]. One way in which E4BP4 may influence NK cell development is by inducing expression of *Id2* [35]. The *Id* proteins, specifically *Id2* and *Id3*, are thought to function by inhibiting E protein activity and preventing B or T cell development and allowing

NK cell lymphopoiesis. Surprisingly, *Id2* appears to be dispensable for the development of NKPs and iNK cells; however, it is essential for development of mNK cells [36]. This requirement for *Id2* is concomitant with a decrease in *Id3* expression, suggesting that NKPs may develop in *Id2* deficient mice because *Id3* is sufficient to compensate for loss of *Id2*. Consistent with this hypothesis, *Id2*<sup>-/-</sup> mice showed increased expression of *Id3* in CLPs and NKPs [36].

### Functional maturation and homeostasis of mNK cells

The development of functionally mature NK cells is associated with the expression of cytotoxic granules (namely perforin and granzyme B) and the ability to secrete IFN-γ. Concurrent with its important role in NK cell development, IL-15 is also thought to influence functional maturation by regulating perforin expression via the STAT5 pathway [37, 38]. Perforin expression has also been shown to be directly regulated by MEF, a member of the Ets family of transcription factors, with MEF<sup>-/-</sup> mice having reduced NK cell numbers with severely impaired perforin expression and cytotoxic ability. In addition, MEF<sup>-/-</sup> NK cells also show a reduced ability to secrete IFN-γ [39]

The T-box family of transcription factors, specifically T-bet (*Tbx21*) and Eomesodermin (*Eomes*), have also been shown to influence NK cell functional maturation. T-bet is thought to trigger functional maturation in NK cells by regulating perforin and granzyme B expression. Mice deficient in T-bet show reduced numbers of NK cells which appear immature and have impaired function [40]. Interestingly combined deletion of T-bet and heterozygous deletion of Eomesodermin exacerbates this effect, with NK cell numbers being further diminished and expressing significantly less perforin [41]. One way in which T-bet may lead to functional maturation of NK cells is through the up-regulation of BLIMP1. It has recently been shown that T-bet<sup>-/-</sup> NK cells have significantly reduced expression of BLIMP1, an essential transcription factor for high granzyme B expression by NK cells. Interestingly, BLIMP1 is not required for most cytokine production and cytotoxicity but is required for NK cell homeostasis [42].

In addition to influencing development of NKPs, FLT3L is also important for mature NK cell homeostasis *in vivo*. It has recently been shown

that the effect of FLT3L on NK cells may be influenced, at least in part, by the tight link in DC and NK cell homeostasis. When mice were administered recombinant FLT3L expansion of both CD11ch<sup>i</sup> DCs and NK cells was observed. However, ablation of CD11ch<sup>i</sup> DCs greatly reduced expansion of NK cells following FLT3L administration [43]. It is thought that FLT3L therapy induces expansion of IL-15 producing CD11ch<sup>i</sup> DCs, this in turn expands NK cells [43].

MicroRNAs (miRNAs) have recently been shown to be indispensable for NK cell homeostasis. miRNAs are short (~22nt), non-coding RNA molecules that bind to target mRNAs and cause transcriptional repression or degradation. Deletion of Dicer or DiGeorge syndrome critical region 8 (*Dgcr8*), two enzymes involved in miRNA biogenesis, results in a decrease in NK cells in the blood, spleen and liver as a result of decreased turnover rate and survival [44]. The remaining NK cells show defects in ITAM-containing activating NK cell receptors and retarded expansion during mouse cytomegalovirus infection

miRNAs also appear to be vital for NK cell activation. When human and mouse mNK cells are expanded and stimulated with IL-15 or IL-2 *in vitro* they show a dynamic change in their miRNA expression profiles [45, 46]. Of these miRNAs, miR223 shows a significant decrease in mouse NK cells following activation and has been shown to target murine granzyme B *in vitro*[45].

### NK cell malignancies

NK cells are the cause of several aggressive lymphomas and leukemia. Although these diseases are rare in western countries, they are relatively common in Asian populations [47, 48]. The World Health Organization (WHO) divides NK cell neoplasms into four main groups: Extranodal NK/T cell lymphoma, Nasal Type (ENKTL); Aggressive NK cell Leukemia/Lymphoma; Chronic Lymphoproliferative Disorders of NK cells (CLPD-NK), and NK cell lymphoblastic leukemia/lymphoma [49]. These are summarized in **Table 2**. The treatment of NK cell malignancies is beyond the scope of this review, for which readers are directed elsewhere [48, 50, 51].

The most common NK malignancy is ENKTL and therefore the majority of research is focused on

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**Table 2.** NK cell Malignancies

	Natural killer (NK)-cell lymphoblastic leukemia / lymphoma	Chronic lymphoproliferative disorders of NK-cells	Aggressive NK-cell leukemia	Extranodal NK/T-cell lymphoma, nasal type
Definition	Provisional entity WHO 2008 Leukemia due to NK-cell precursors	Provisional entity WHO 2008 Persistent (> 6 months) increase in peripheral blood NK cells ( $\geq 2.0 \times 10^9/L$ ) with no other identifiable cause	Rare neoplastic proliferation of NK-cells almost always associated with EBV causing an aggressive systemic disease	Predominantly extranodal lymphoma causing vascular damage, destruction and prominent necrosis in association with EBV
Presenting features	Constitutional symptoms Leukemic blood picture Cytopenias Not associated with EBV infection	Median age of onset 60 years No sex predominance No racial or genetic predisposition Presentation ranges from asymptomatic to systemic symptoms and cytopenias Lymphadenopathy and organomegaly rare Not associated with EBV infection	More prevalent among Asian populations Median age of onset 42 years Slight male predominance Fever, constitutional symptoms, leukemic blood picture, cytopenias are common Hypersensitivity to mosquito bites, chronic active EBV infection	More prevalent in Asians, native American populations of Mexico, Central and South America Median age of onset 50-60 years Male predominance 3:1 Nasal and non-nasal mass lesions Disease activity can be monitored by measuring circulating EBV DNA
Natural history	Aggressive disease process	Chronic indolent disease process	Very aggressive disease process Median survival less than 2 months	Aggressive disease process with variable prognosis Median survival 4-12 months
Sites involved	Peripheral blood and bone marrow	Peripheral blood and bone marrow predominantly	Peripheral blood, bone marrow, liver and spleen are commonest sites Skin involvement is uncommon	Upper aerodigestive tract (nasal cavity, nasopharynx, paranasal sinuses, palate) commonly Other sites include skin, soft tissue, gastrointestinal tract and testis, rarely bone marrow
Immunophenotype	No specific markers May be CD2+, CD5+, CD7+, and cCD3ε+	Diminished or lost CD2, CD7, and CD57 expression sCD3-, cCD3ε+, CD16+, CD56	CD2+, sCD3-, cCD3ε+, CD56+ Cytotoxic molecules + Frequent CD16 and FAS ligand	CD2+, sCD3-, cCD3ε+, CD56+ Cytotoxic molecules + Negative: CD4, CD5, CD8, TCRδ,

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	T cell markers with CD16 and CD56 expression in the absence of B-cell or myeloid markers CD94 or CD161 may be more specific but are not commonly tested	weak Cytotoxic molecules* + Aberrant coexpression of CD5 or uniform CD8 Diminished CD161	expression CD11b may be expressed CD57 usually negative EBER-ISH positive	CD16, CD57 Commonly positive: CD43, CD45RO, HLA-DR, CD25, FAS Occasionally positive: CD7, CD30 EBER-ISH positive
Morphology	PB: Intermediate to large monocyteoid cells, immature nuclear features with high nuclear:cytoplasmic ratio	PB: Intermediate sized lymphoid cells with round nuclei, condensed chromatin Moderate amounts of slightly basophilic cytoplasm containing fine or coarse azurophilic granules BM: Intrasinusoidal and interstitial infiltration of lymphoid cells with minimally irregular nuclei and modest amounts of pale cytoplasm	PB: Ranges from the appearance of normal LGLs\$ to lymphoid cells with atypical enlarged nuclei with irregular foldings, open chromatin or distinct nucleoli Ample amount of pale or lightly basophilic cytoplasm containing azurophilic granules BM: Range of disease infiltration of usually monotonous cells with intermingled reactive histiocytes and sometimes haemophagocytosis Associated apoptotic bodies and necrosis is common	Soft tissue: Angiocentric or angiodestructive growth pattern with fibrinoid changes in blood vessels, there may be associated inflammatory infiltrate Broad cytological spectrum with variation in size, irregularly folded and sometimes elongated nuclei, granular chromatin, inconspicuous or small nucleoli Moderate amount of pale or clear cytoplasm
Current treatment strategies	Intensive combination chemotherapy	Monitor for progression / transformation	Combination chemotherapy including L-asparaginase e.g. SMILE# Consider allogeneic hematopoietic stem cell transplantation (HSCT)	Chemoradiotherapy or radiotherapy alone for localized disease Combination chemotherapy including L-Asparaginase e.g. SMILE for disseminated disease Consider high-dose chemotherapy and autologous or allogeneic (HSCT)

PB: peripheral blood, BM: bone marrow; \* Cytotoxic molecules include TIA-1, granzyme B, granzyme M, perforin; \$ Large granular lymphocytes; # SMILE chemotherapy: dexamethasone, methotrexate, ifosfamide, L-asparaginase and etoposide. Information in table from [47, 49, 51, 61-64]

this disease. ENKTL is characterized by a diffuse proliferation of mature NK cells and is generally localized to the nasal cavity, although disease can occur at other sites [48, 52]. The mechanisms underlying ENKTL development are poorly understood, however its strong association with the Epstein-Barr virus (EBV) suggests the virus may have an etiological role [48, 52]. EBV is a gamma-herpes virus with known oncogenic properties that is implicated in a range of B, T and NK cell lymphoid malignancies [53]. Although its principal reservoir is B cells, large expansions of circulating EBV infected NK cells are apparent in EBV associated hemophagocytic syndrome and chronic active EBV syndrome [54].

Patients with ENKTL show elevated serum levels of IL-15 compared to healthy controls [55]. This increase in IL-15 allows ENKTL tumor survival, likely through phosphorylation of AKT, a serine/threonine kinase with anti-apoptotic activity [55]. In addition, over expression of IL-15 in mice causes development of fatal lymphocytic leukemia with a T/NK phenotype [56]. It has also been suggested that over-expression of T-bet may be a transforming event in the development of ENKTL. ENKTL cells show amplification and over-expression of T-bet which may have lead to tumorigenesis through loss of growth control and over-function [57]. Several other transcription factors associated with NK cell development including *Eomes*, *MEF* and *Ets1* have also been recently shown to be expressed in ENKTL [7, 39, 58].

Similar to ENKTL, Aggressive NK cell Leukemia/Lymphoma is also believed to be triggered by infection of NK cells with the EBV [48]. As aggressive NK cell Leukemia/Lymphoma is such a rare disease, our understanding of it is limited. Based on the expression of CD56 and CD94 and the lack of CD3, this disease appears to have a mature NK cell origin [59]. However, morphologically, malignant cells appear immature with a fine chromatin pattern and large, pale cytoplasm [48, 50]. As such, it is proposed that transformation may occur at an early stage of development, possibly during transition from stage 3 to stage 4, and trigger up regulation of these surface receptors.

CLPD-NK is a rare entity that is characterized by expansion of mature looking NK cells in the peripheral blood. Based on the expression of

CD16 and low levels of CD56, this disease appears to have a stage 5 mature NK cell origin [48, 50]. CLPD-NK is speculated to develop in response to a stimulus, likely of viral origin, activating mNK cells and selecting for clones [50]. The origin of this stimulus, however, remains undefined but is likely to reside in the bone marrow where it is presented to mNK cells by infected DCs [50].

Unlike the other NK cell malignancies, NK cell Lymphoblastic Leukemia/Lymphoma appears to have an immature NK cell origin. Neoplastic cells express CD56 but have a blastic appearance, suggesting they likely arise from stage 3 iNK cells [48, 60]. Likely as a result of its rarity, little is known about what causes NK cell Lymphoblastic Leukemia/Lymphoma. As with CLPD-NK, EBV is not expressed within the malignant NK cell.

### Concluding Remarks

An understanding of normal human NK cells development will enable the pathogenesis of NK cell malignancies to be elucidated. Perturbations in cytokines, transcription factors, miRNAs and transcription factors are all likely to be implicated, as well as the transforming role of EBV. However, the majority of research remains in the mouse. Future research into pathogenesis is needed, that specifically integrates observations from patient material with *in vivo* and *in vitro* models of human NK cell development. Insights into how disruptions in normal NK cell developmental pathways contribute to tumorigenesis are critical for the development of new targeted therapies.

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