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1 **Projecting Fine Particulate Matter-related Mortality in East China**

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Abstract:

China is suffering from severe air pollution from fine particulate matter [$\leq 2.5\mu\text{m}$ in aerodynamic diameter ($\text{PM}_{2.5}$)], especially East China. But its future trends and potential health impacts remain unclear. The study objectives were to project future trends of $\text{PM}_{2.5}$ and its short-term effect on mortality in East China by 2030. First, daily changes in $\text{PM}_{2.5}$ concentrations between 2005 and 2030 were projected under “current legislation” scenario (CLE) and “maximum technically feasible reduction” scenario (MFR). Then, they were linked to six population projections, two mortality rate projections, and $\text{PM}_{2.5}$ -mortality associations to estimate the changes in $\text{PM}_{2.5}$ -related mortality in East China between 2005 and 2030. Under the CLE scenario, the annual mean $\text{PM}_{2.5}$ concentration was projected to decrease by $0.62\mu\text{g}/\text{m}^3$ in East China, which could cause up to 124, 000 additional deaths, when considering the population growth. Under the MFR scenario, the annual mean $\text{PM}_{2.5}$ concentration was projected to decrease by $20.41\mu\text{g}/\text{m}^3$ in East China. At least 230, 000 deaths could be avoided by such a large reduction in $\text{PM}_{2.5}$ concentration under MFR scenario, even after accounting for the population growth. Therefore, our results suggest that reducing $\text{PM}_{2.5}$ concentration substantially in East China would benefit the public health. Otherwise, it may still remain as a big health risk in the future, especially when the population keeps growing.

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Key words: Fine Particulate Matter, Mortality, Emissions, Projection, China

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45 Introduction:

46 Fine particulate matter [$\leq 2.5\mu\text{m}$ in aerodynamic diameter ($\text{PM}_{2.5}$)] is among the most
47 important air pollutants.¹⁻⁵ Evidence from epidemiological and toxicological studies has
48 consistently linked $\text{PM}_{2.5}$ exposure to adverse health outcomes, especially, mortality. A recent
49 study reported that 3, 223, 540 deaths were attributed to $\text{PM}_{2.5}$ worldwide in 2010.⁶

50 $\text{PM}_{2.5}$ can originate from natural sources, like forest fires and wind erosion, and from human
51 activities, like coal burning, agricultural practices, mobile source emissions, and
52 construction.¹ The concentration of $\text{PM}_{2.5}$ depends on both emissions and meteorological
53 parameters. Increased emissions of primary $\text{PM}_{2.5}$ and precursors of secondary $\text{PM}_{2.5}$ will
54 result in increased concentration of $\text{PM}_{2.5}$.⁷ On the other hand, the $\text{PM}_{2.5}$ concentration could
55 be scavenged by precipitation.⁸

56 Given that climate and emission levels may change in the future, there is growing interest in
57 studying the potential effect of future weather patterns and emission levels on $\text{PM}_{2.5}$ levels,
58 and its subsequent impact on public health.⁹ Several studies projected the concentrations of
59 $\text{PM}_{2.5}$ and related mortality in the future under a changing climate and emission levels on
60 different spatial scales, ranging from a region to the globe.⁹ According to global projections,
61 the largest increased air pollutants-related mortality is more likely to happen in those areas
62 with large precursor's emissions and/or tropical and/or rapidly growing area, such as Eastern
63 United States, central Africa, and Asia.^{10, 11} These regions are highly populated and hence,
64 increases in air pollutants' levels will substantially impact human health.¹¹ However,
65 compared with developed counties, there is less evidence on such issue in the developing
66 regions with large emissions and dense population, for instance, China.⁹

67 From January to March in 2013, China experienced extremely severe and persistent haze
68 pollution, affecting 1.3 million km² and 800 million people.¹² In order to cope with severe air
69 pollution, the central government of China issued a comprehensive air pollution prevention
70 and control plan (Document NO. GUOFA[2013]37) in September, 2013 for three key regions
71 in East China (Beijing-Tianjin-Hebei, Yangtze River Delta (YRD) and Pearl River Delta
72 (PRD)) and 10 cities clusters across China.¹³

73 East China, the most developed and densely populated area in China, is experiencing serious
74 air pollution and its health impacts.¹⁴⁻¹⁷ Due to an increasing trend of rural-urban migration of
75 labour force and families in China, the population sizes in East China will continue to
76 increase in the future.¹⁸ Hence, even a slight increase in PM_{2.5} levels in the future may lead to
77 a substantial excess mortality. In addition, there is evidence that air pollution in China is
78 influencing not only local or regional, but also the global atmospheric conditions and the
79 subsequent public health.^{19, 20} Thus, it is important to investigate what will happen in the
80 future trends of PM_{2.5} concentration and its related health impact in East China. Our study
81 aims to answer these two research questions, which will undoubtedly help decision makers
82 both in East China and other developing regions in planning emission control legislation and
83 reducing future health risks of air pollution.

84 **Method:**

85 *Study area:*

86 East China is most developed and densely populated area in China.^{21, 22} Based on the general
87 classification of different regions of National Bureau of Statistics of China, East China in our
88 study includes Beijing, Tianjin, Hebei, Liaoning, Shandong, Jiangsu, Zhejiang, Shanghai,
89 Guangdong, Fujian, and Hainan.

90 Our study was designed to project future PM_{2.5}-related mortality in each province and
91 municipality in East China. Figure 1 illustrates the basic structure of our analysis. Projecting
92 PM_{2.5}-related mortality involves assumptions on future PM_{2.5} concentration, population,
93 mortality rate and PM_{2.5}-mortality associations, which may have many uncertainties. Thus,
94 instead of relying on only one set of assumptions, we estimated the possible ranges of
95 potential future outcomes for PM_{2.5}-related total, cardiovascular and respiratory mortality, by
96 allowing different assumptions on emission scenario, population, mortality rate, and PM_{2.5}-
97 mortality associations.

98 *Projection of future PM_{2.5} concentrations:*

99 We jointly used global scale chemical transport model (CTM) and regional scales chemical
100 transport modelling system to estimate PM_{2.5} concentration. The daily mean PM_{2.5}
101 concentrations for 2005 and 2030 were calculated to estimate PM_{2.5}-related mortality.

102 The global CTM (MIROC-ESM-CHEM²³) was used to simulate the global scale distribution
103 of PM_{2.5} with the horizontal grid spacing of 300km × 300km. The 6-hourly output of
104 meteorological variables and daily output of chemical variables from MIROC-ESM-CHEM
105 were then introduced as the boundary conditions for regional chemical transport modelling
106 system which was composed of regional weather and air quality models: the Weather
107 Research and Forecasting model (WRF)²⁴ and the Community Multiscale Air Quality model
108 (CMAQ)²⁵ respectively. The regional chemical transport modelling system could simulate
109 PM_{2.5} concentrations at a horizontal resolution of 80 km. The PM_{2.5} in CMAQ was composed
110 of sulphate (SO₄²⁻), nitrate (NO₃⁻), ammonium (NH₄⁺), black carbon (BC), organic aerosols
111 (OAs), and some other minor components. The CTMs calculate the atmospheric
112 concentrations of each PM_{2.5} species, considering the amount of those species or their

113 precursors emitted into the atmosphere, transport by atmospheric motion, bunch of chemical
114 reactions, and deposition process onto the earth's surface.

115 The global and regional modelling system were performed under the following scenarios
116 developed by the International Institute of Applied Systems Analysis (IIASA).²⁶ Each of
117 them projects a scenario for the emissions of primary PM_{2.5} and sources of secondary PM_{2.5}
118 (including nitrogen oxides (NO_x), volatile organic compounds (VOCs), sulphur dioxide (SO₂),
119 etc.), based on the social and economic assumptions described below:

120 (a) The “current legislation” scenario (CLE): it takes into consideration of the current
121 economic development and the anticipated effects of presently decided emission control
122 legislation on province and mega-cities levels based on the Tenth Plan (2001-2005) of the
123 Five-Year Plans of China (FYP).

124 (b) The “maximum technically feasible reduction” scenario (MFR): it takes into account the
125 emission reduction through a full implementation of the best available emission control
126 technologies based on the Tenth Plan (2001-2005) of the FYP, regardless of the cost.

127 The CLE and MFR scenarios were used to project the PM_{2.5} concentration in 2030. By
128 adopting emission levels and economic developments in 2005, a present day scenario (year
129 2005) was used to simulate PM_{2.5} concentration in 2005.

130 ***Population projections:***

131 To explore the sensitivity of PM_{2.5}-related mortality to assumptions about the exposed
132 population, six population projections were selected for our analysis: a) the first was the
133 population for each province and municipality collected from statistical yearbook for 2005,
134 and we assumed no change in populations from 2005 to 2030; b) the other five were selected

135 from the IIASA regional population projections under five scenarios - L1, L2, C, H1, and H2
136 - to provide lower, central and higher bound for regional population projections in 2030 on a
137 provincial or municipality's scale. These scenarios were developed based on assumptions on
138 fertility, mortality, migration and urbanization: a) L1: low fertility, low mortality, low
139 migration, and low urbanization; b) L2: low fertility, low mortality, low migration and high
140 urbanization; c) C: central fertility, central mortality, central migration, and median
141 urbanization; d) H1: high fertility, high mortality, high migration and low urbanization; e) H2:
142 high fertility, high mortality, high migration and high urbanization. The details for the IIASA
143 regional population projections were described elsewhere.²⁷

144 ***Mortality rate projections:***

145 Two projections of total mortality rate for each province and municipality were selected for
146 analysing the sensitivity of PM_{2.5}-related mortality to assumptions about the mortality rate: a)
147 firstly, we simply used the total mortality rate for year 2005, and assumed no change in
148 mortality rate from 2005 to 2030; b) secondly, we used the IIASA regional total mortality
149 rate projections in 2030 on a provincial and municipality's scale, which were described in
150 detail elsewhere.²⁷ Briefly, changing trends in annual regional total mortality rate from 1965
151 to 2000 were used to develop logistic approximations. The parameters from the logistic
152 approximations with the best fitness were treated as input parameters for the logistic
153 forecasting model to project total mortality rate in 2030 for each region.

154 For cardiovascular and respiratory mortality rates, because there is no such projection by the
155 IIASA, we assumed no change in the cardiovascular and respiratory mortality rates from
156 2005 to 2030.

157 The data on mortality rates for year 2005 were collected from statistical yearbook for 2005 in
158 each province and municipality. The annual mortality rate was converted evenly to a daily
159 rate.

160 ***The PM_{2.5}-mortality associations (Concentration-response function (CRF)):***

161 The PM_{2.5}-mortality association is expressed as CRF, which is an estimate of the percentage
162 change in daily mortality due to a change in daily PM_{2.5} concentration (Δx), derived from the
163 log-linear function:²⁸

$$164 \quad \text{CRF} = e^{\beta \Delta x} - 1$$

165 Where β is the regression coefficient slope of PM_{2.5} concentration.

166 Several studies have been conducted in China to investigate the relationships between PM_{2.5}
167 and mortality. The studies conducted in East China were collected. Then a meta-analysis was
168 used to pool averaged associations of PM_{2.5} with total, cardiovascular and respiratory
169 mortality from these studies. The details of the meta-analysis were described in Supporting
170 Information.

171 Seven studies were included in our meta-analysis (Table S1). Because one of them was
172 conducted in three cities, including Beijing, Shanghai and Shenyang, there are nine estimates
173 for CRF in total: five for Shanghai, two for Shenyang, and one for each of Beijing and
174 Guangzhou. Thus, except from using pooled CRF for every province and municipality, we
175 pooled local CRF specifically for Shanghai from five studies in Shanghai, and applied it as
176 the second choice on CRF for Shanghai. We used CRFs reported in two studies conducted in
177 Beijing and Guangzhou as the second choice for Beijing and Guangdong Province,

178 respectively. We also applied CRFs from those two studies conducted in Shenyang as second
179 and third choice on CRF for Liaoning Province.

180 *Projection of PM_{2.5}-related mortality:*

181 The changes in PM_{2.5}-related mortality between 2005 and 2030 in each province and
182 municipality were evaluated as:²⁸

$$M = M_0 \times P \times CRF \times C,$$

183 where M is the estimated number of daily mortality due to changes in daily PM_{2.5}
184 concentration; M_0 is the baseline regional level daily mortality rate; P is the regional level
185 population; CRF is the concentration-response function, which is described above; C is daily
186 PM_{2.5} concentrations, which is interpolated from PM_{2.5} concentration modelling system. The
187 daily PM_{2.5}-related deaths were estimated to calculate the annual PM_{2.5}-related mortality in
188 2005 and 2030, respectively. No threshold was assumed for PM_{2.5}-mortality associations.

189 *The source of uncertainty*

190 In order to investigate which factor has the largest effect on the estimated changes in PM_{2.5}-
191 related total mortality and explore the source of the greatest uncertainty of the final results,
192 analysis of variance was conducted for each region, which decomposed the total variability
193 due to the choices on emission scenarios for projecting PM_{2.5} concentration, total mortality
194 rate, population, CRF and interaction between these modelling choices, respectively. Because
195 there are more than one choice on CRF for Beijing, Shanghai, Liaoning and Guangdong,
196 CRF was only included in the analysis of variance for these regions.

197 Package “metafor” in R3.1.1 was used to conduct meta-analysis. Packages “fields” and
198 “ggplot2” in R3.1.1 were used to plot figures.

199 **Results:**200 ***Changes in PM_{2.5} concentration:***

201 The annual mean PM_{2.5} concentration in East China was projected to decrease by 0.62µg/m³
202 under the CLE scenario and 20.41µg/m³ under the MFR scenario between 2005 and 2030,
203 respectively.

204 A regional variation was observed in changes of annual mean PM_{2.5} concentrations between
205 2005 and 2030 among different regions under each scenario (Figures 2 and 3). Under the
206 CLE scenario, the geographical distribution of simulated PM_{2.5} levels indicates that annual
207 mean PM_{2.5} concentrations would increase in most part of Beijing-Tianjin-Hebei, Liaoning
208 and Shanghai, but decrease significantly in Jiangsu, small part of south-western Shandong
209 and southern Hebei by 2030 (Figure 2). Under the MFR scenario, though PM_{2.5} levels
210 simulated to decrease in general across East China, average PM_{2.5} levels would decrease
211 substantially in Jiangsu, most part of western Shandong and southern Hebei by 2030 (Figure
212 3).

213

214

Table 1. The methodological choices for estimating changes in PM_{2.5}-related mortality between 2005 and 2030

Region	Δ PM _{2.5} (μg/m ³)		CRF for total mortality (%) (95%CI)		CRF for CVM (%) (95%CI)		CRF for RM (%) (95%CI)		Total mortality rate (1/1000)		CVM rate (1/1000)	RM rate (1/1000)	Population (*10,000)					
	CLE	MFR	CRF1*	CRF2**	CRF1*	CRF2**	CRF1*	CRF2**	Year 2005	Year 2030	Year 2005	Year 2005	Year 2005	C	L1	L2	H1	H2
	Beijing	2.53	-11.94	0.44 (0.22,0.66)	0.53 (0.37,0.69)	0.55 (0.25,0.85)	0.58 (0.34,0.82)	0.66 (0.24,1.08)	0.66 (0.21,1.11)	5.2	5.2	2.47	0.53	1538	2206.2	1897.6	2471	1919.1
Shanghai	0.29	-28.18	0.44 (0.22,0.66)	0.38 (0.08,0.67)	0.55 (0.25,0.85)	0.46 (0.02,0.90)	0.66 (0.24,1.08)	0.65 (0.01,1.28)	6.08	9.5	2.58	0.94	1890	2493.9	2110.2	2830.8	2131.7	2857.7
Liaoning	2.38	-15.53	0.44 (0.22,0.66)	0.35 (0.17,0.53)	0.55 (0.25,0.85)	0.46 (0.19,0.73)	0.66 (0.24,1.08)	0.29 (-0.29,0.87)	6.04	7.1	2.64	0.5	4221	4196.5	4165.7	4095.1	4239.9	4168.0
Jiangsu	-5.09	-33.4	0.44 (0.22,0.66)	-	0.55 (0.25,0.85)	-	0.66 (0.24,1.08)	-	7.03	7	1.85	0.63	7588	7849.2	7603.2	7851	7741.4	7990.7
Zhejiang	-1.93	-20.32	0.44 (0.22,0.66)	-	0.55 (0.25,0.85)	-	0.66 (0.24,1.08)	-	6.08	6	1.65	1	4991	5564.4	5252.6	5712.5	5344	5808.2
Fujian	-2.76	-12.47	0.44 (0.22,0.66)	-	0.55 (0.25,0.85)	-	0.66 (0.24,1.08)	-	5.62	6	1.87	0.49	3557	4424	4233	4456.6	4329.3	4555.4
Tianjin	1.72	-28.8	0.44 (0.22,0.66)	-	0.55 (0.25,0.85)	-	0.66 (0.24,1.08)	-	6.01	7	3.08	0.67	1043	1217	1128.3	1270.8	1147.3	1291.1
Hebei	0.06	-22.27	0.44 (0.22,0.66)	-	0.55 (0.25,0.85)	-	0.66 (0.24,1.08)	-	6.75	6.1	1.6	0.37	6851	7605.7	7502.8	7431.9	7668.7	7596.0
Shandong	-2.52	-30.5	0.44 (0.22,0.66)	-	0.55 (0.25,0.85)	-	0.66 (0.24,1.08)	-	6.31	6	2.59	0.85	9248	9984.4	9794	9830.8	9996.1	10031.9
Guangdong	-0.74	-11.25	0.44 (0.22,0.66)	0.90 (0.55,1.25)	0.55 (0.25,0.85)	1.22 (0.63,1.81)	0.66 (0.24,1.08)	0.97 (0.16,1.78)	4.68	5.2	2.23	1.42	9194	16597.7	14562.2	18012.9	14935.3	18439.6
Hainan	-0.75	-7.41	0.44 (0.22,0.66)	-	0.55 (0.25,0.85)	-	0.66 (0.24,1.08)	-	5.72	5.4	3	0.49	828	1079.9	1037.6	1073.9	1068.5	1105.2

215 *The pooled CRF of nine estimates from seven studies conducted in East China.

216 **The regional CRF for Shanghai, Beijing, Guangdong and Liaoning. CRF2 for Shanghai is the pooled CRF from five studies conducted specifically in Shanghai; CRF2 for Beijing and Guangdong
217 are the reported CRF from two studies conducted in Beijing and Guangzhou, respectively. Specifically, there are two studies conducted in Shenyang in Liaoning province. So the CRFs from
218 these two studies were applied as the second and third choice on CRF for Liaoning Province. The second choice on CRF is shown as CRF2 in this Table. The third choice on CRF for Liaoning
219 province was shown as below: CRF for total mortality: 0.49 (0.19, 0.79); CRF for CVM: 0.53 (0.09, 0.97); CRF for RM: 0.97 (0.01, 1.93).

220 Abbreviations:

221 CLE, "Current legislation" scenario; CRF, Concentration-response function; CVM, Cardiovascular mortality; MFR, "Maximum feasible reduction" scenario; RM, Respiratory mortality.

222

223 *Changes in PM_{2.5}-related mortality:*

224 As shown in Figure 1, combining changes in daily mean PM_{2.5} concentration with two total
225 mortality rate projections (only one assumption on cardiovascular and respiratory mortality
226 rate, respectively), six population projections, and one to three choices on CRF (Table 1), we
227 produced 6-36 potential projection answers under both CLE and MFR scenarios, to the
228 following question: how many death cases for PM_{2.5}-related total, cardiovascular and
229 respiratory mortality could be caused or avoided under different scenarios in East China by
230 2030?

231 Figure 4 summarizes the projection results on PM_{2.5}-related mortality in East China, using
232 CRF1 from the meta-analysis. Under the CLE scenario, PM_{2.5}-related mortality was projected
233 to decrease by approximately 20, 000 cases in East China when assuming the static mortality
234 rate and population between 2005 and 2030, while increase up to 124, 000 cases when
235 accounting for population growth. Under the MFR scenario, PM_{2.5}-related mortality was
236 projected to decrease by at least 230, 000 cases in East China under any assumptions on
237 population, due to the substantial reduction in PM_{2.5} concentration.

238 Figure 5 and Figure 6 show the ranges of percent changes in PM_{2.5}-related mortality in East
239 China, including results in each province and municipality. The ranges of estimated PM_{2.5}-
240 related mortality (cases) were summarized in Table S3. Due to regional variation of changes
241 in PM_{2.5} concentrations and other modelling factors, the results on PM_{2.5}-related mortality
242 varied spatially among different regions. Under the CLE scenario, positive ranges of percent
243 changes in PM_{2.5}-related mortality were observed in Beijing, Tianjin, Hebei, Liaoning and
244 Shanghai, mainly due to the projected increase in PM_{2.5} concentrations. For the other regions,
245 the percent changes of PM_{2.5}-related mortality showed a wide range with positive and

246 negative responses, because of the slight reduction of PM_{2.5} concentrations under the CLE
247 scenario and growing population. Under the MFR scenario, negative ranges of percent
248 changes in PM_{2.5}-related mortality were observed for each region, mainly due to the
249 substantial reduction of PM_{2.5} concentration.

250 ***The source of uncertainty:***

251 Different choices on the modelling factors for the risk assessment model (Table S2),
252 including changes in PM_{2.5} concentration, population, mortality rate and CRF, as well as the
253 different combination of these factors could yield different results. The results of the analysis
254 of variance show that emission scenarios were the major source of uncertainty. Generally,
255 population assumption was the second major source of uncertainty.

256 **Discussion:**

257 In this study, we estimated the changes in PM_{2.5} concentration and its related total,
258 cardiovascular, respiratory mortality between 2005 and 2030 in East China under the CLE
259 and MFR scenarios. By combining all modelling choices on population, mortality and CRF,
260 there are 6-36 projection results on PM_{2.5}-related mortality under each scenario.

261 ***Changes in PM_{2.5} concentration:***

262 The annual mean PM_{2.5} concentration was projected to decrease slightly under the CLE
263 scenario but substantially under the MFR scenario in East China between 2005 and 2030. The
264 different results under the CLE and MFR scenarios could be explained by different
265 assumptions on future social and economic situations adopted in the CLE and MFR scenario.
266 The CLE scenario takes into account both the emission control legislation in the "Tenth Five
267 Year Plan (2001-2005)" and the current economic development in China, while the MFR

268 scenario assumes that more aggressive legislations or technologies are employed without
269 considering the economic cost. Thus, as would be expected, air pollution from PM_{2.5} could be
270 remarkably improved across East China under the MFR scenario. In addition, it has been
271 reported that PM_{2.5} concentration decreased slightly between 2005 and 2010 in East China,
272 which reflects the projected decreasing trend of PM_{2.5} concentration under the CLE scenario
273 in our study.²⁹

274 Despite the slight reduction in annual mean PM_{2.5} concentration in whole East China under
275 the CLE scenario, results varied spatially among regions. The annual mean PM_{2.5}
276 concentration was projected to increase in Beijing-Tianjin-Hebei, Liaoning, and Shanghai,
277 but decrease in other regions. In order to further explore the possible reason for the regional
278 variation, we analysed the changes in PM_{2.5} compositions between 2005 and 2030. During the
279 "Tenth Five Year Plan" period, efforts were focused on reducing and controlling the emission
280 of SO₂. SO₂ is the precursor of SO₄²⁻ — the most important contributor to secondary PM_{2.5} in
281 China.³⁰ Hence, the reduction in SO₂ emission may help control PM_{2.5} levels. However, our
282 results illustrate that the concentration of SO₄²⁻ will increase in Beijing-Tianjin-Hebei,
283 Liaoning and Shanghai, while decrease in the other regions between 2005 and 2030 under the
284 CLE scenario (Figure S1). Thus, it indicates that the proposed emission control legislation on
285 SO₂ in the "Tenth Five Year Plan" is not sufficient to improve air pollution from SO₄²⁻ in
286 Beijing-Tianjin-Hebei, Liaoning and Shanghai while it could help control SO₄²⁻ levels in
287 other regions in East China. In addition, the increase of PM_{2.5} concentrations in Beijing-
288 Tianjin-Hebei, Liaoning and Shanghai under the CLE scenario depicted in Figure 2 is not
289 solely attributable to the increase of SO₄²⁻ there, but other PM_{2.5} species such as NO₃⁻ and
290 NH₄⁺³¹ would also increase there (Figure S2). It suggests that the emissions of the precursor
291 of these species-NO_x and ammonia (NH₃)-need to be controlled in Beijing-Tianjin-Hebei,
292 Liaoning and Shanghai to alleviate PM_{2.5} concentrations.

293 Beijing-Tianjin-Hebei and Liaoning are located in north of Huai River, which always suffer
294 from coal burning-related air pollution. China's Huai River policy, which provided free
295 winter heating via the provision of coal for boilers in cities north of Huai River but denied
296 heat to the south, had a serious impact on air quality in northern China.³² Air quality of
297 Beijing, Tianjin, Hebei and Liaoning is often deteriorated by the dust or even dust storm
298 mostly from Inner Mongolia, especially in spring.³³ For example, most northern areas in
299 China were affected by a massive dust storm in mid-April, 2015.³⁴ In addition, Beijing-
300 Tianjin-Hebei area is surrounded by Yanshan and Taihang Mountains, with a dry climate and
301 low precipitation, which can hamper the diffusion of air pollutants. However, unlike Beijing-
302 Tianjin-Hebei and Liaoning, the southern China suffers less from coal and dust-related air
303 pollution. The climatic conditions are more favourable for the diffusion of air pollutants. A
304 slight increase in PM_{2.5} concentration between 2005 and 2010 was reported in most parts of
305 Hebei and Liaoning while a reduction in other regions in East China, which partly reflects the
306 projected results of regional PM_{2.5} concentration in our study.²⁹

307 In this study, Shanghai is the only city in the southern part of East China where PM_{2.5} level
308 was projected to increase under the CLE scenario. It may be because that air pollution in
309 Shanghai is worse than other regions in southern part of East China, due to its higher
310 anthropogenic emission levels and larger vehicle stocks.³⁵ In addition, the air quality in
311 Shanghai could also be affected by the transported air pollutants from north-western China
312 during winter and spring.^{36, 37}

313 Thus, in order to improve the air quality from PM_{2.5} in Beijing-Tianjin-Hebei, Liaoning and
314 Shanghai, the government should target major air pollution emission sources, and take much
315 stricter emission control policies in these regions.

316

317 *Changes in PM_{2.5}-related mortality:*

318 Under the CLE scenario, PM_{2.5}-related mortality in East China was projected to decrease
319 without considering population growth between 2005 and 2030 but increase when accounting
320 for population growth, because the absolute numbers of PM_{2.5}-related mortality are a strong
321 function of population. Due to the increasing PM_{2.5} concentration projected in Beijing,
322 Tianjin, Hebei, Liaoning and Shanghai under the CLE scenario, PM_{2.5}-related mortality was
323 projected to increase in these regions even when assuming the static population between 2005
324 and 2030. However, due to the substantial reduction in PM_{2.5} concentration under the MFR
325 scenario, PM_{2.5}-related mortality was projected to decrease in East China (including every
326 region) under different assumptions on population growths. Our results indicate that PM_{2.5}
327 concentration should be reduced substantially to control its health impact on public health.
328 Otherwise, it may still remain as a severe health risks in the future.

329 To data, air pollution-related health impacts have been projected by several studies in China.
330 ^{20, 38-41} The main characteristics of these studies were summarized in Table S4. Although
331 scenarios vary among studies, the importance of controlling air pollution in East China has
332 been demonstrated by these studies. ^{20, 38-41} However, these studies were all conducted in a
333 single city, which is difficult to generalize. In addition, most of previous studies projected
334 PM₁₀ and SO₂-related health impacts. Only Wang and Mauzerall ²⁰ estimated health benefit
335 of controlling PM_{2.5} by two emission control technologies in 2020 in Zaozhuang. However,
336 they projected PM_{2.5} concentrations for four months in each season (January, April, July and
337 October) and used average concentrations of four months to represent the annual level. In this
338 study, daily PM_{2.5} concentration was used to obtain the annual level and project its health
339 impact. Therefore, this study has advanced the knowledge in this important field.

340

341 *The source of uncertainty:*

342 In our study, we found that the source of the greatest uncertainty of regional changes in
343 PM_{2.5}-related total mortality was the emission scenarios for projecting PM_{2.5} concentration.
344 Similar results have been reported by Post, et al.⁴² However, a recent projection study on O₃-
345 related mortality in U.S. found that the greatest source of uncertainty varied among regions:
346 emission scenarios in some states while population in other states.⁴³ In this study, population
347 assumptions were found to be the second greatest source of uncertainty. Thus, uncertainties
348 of air pollutant-related mortality may be mainly attributed to scenarios and population, which
349 may vary among different regions. Therefore, an ensemble of estimates based on a range of
350 different methodological choices on the regional level, especially scenarios and population, is
351 preferred.^{42, 43}

352 *The progress and challenge of controlling PM_{2.5}:*

353 The air pollution and emission control strategies in China are changing rapidly, due to the
354 increasing public health concern. More stringent and strengthened air pollution and emission
355 control plan has been introduced in the 12th FYP,¹³ which includes ambient air quality
356 concentration targets for the first time and the emission reduction from vehicles by phasing-
357 out heavily-polluted vehicles and supplying cleaner gasoline and diesel from 2013 to 2017.
358 However, the compelling pressure from economic growth may make it difficult to put these
359 environmental policies into practice.⁴⁴⁻⁴⁶

360 In our study, when considering the economic development in the future, PM_{2.5} was projected
361 to decrease slightly under the CLE scenario, which is, however, not enough to reduce its
362 health risk, due to the growing population. As projected under the MFR scenario, if the
363 priority has been given to air pollution control without considering economic development,

364 air pollutant levels would decrease remarkably. However, the economic development will
365 still be the main focus of the government for the next 15-20 years²¹ Therefore, reducing
366 PM_{2.5} concentration as it was projected under MFR scenario is quite arduous. On the other
367 hand, developed economics could provide sufficient financial support for implementation of
368 emission control and air pollution prevention plans, e.g., the application of flue gas
369 desulfurization in the power industry. In other words, the performance of air pollutants
370 reduction can be improved by the economic development. Therefore, in order to reduce
371 health risks of air pollution while developing the economics at the same time, a well-balanced
372 air pollution and emission control strategies are essential.

373 ***Strengths and limitations:***

374 To the best of our knowledge, this is the first study to project PM_{2.5}-related mortality for each
375 province and municipality in East China, by using local health data (including population,
376 mortality and CRF).

377 Several projection studies on the global and Asian scales found increased national PM_{2.5}-
378 related mortality across China in the future.^{10, 11, 47} However, these studies did not consider
379 regional population and mortality projections, and applied CRF derived from other countries
380 to China, which might underestimate or overestimate the final outcomes. The CLE and MFR
381 emission scenarios in our study included emission control legislations in each province and
382 megacity in China, which could better simulate and reflect these legislations' impact on PM_{2.5}
383 levels on a regional scale. In addition, six projections on the population size and two
384 projections on total mortality for each province and municipality were included in our
385 projection to cover the possible range of potential final results. Instead of adopting CRF
386 derived from other countries, we collected studies regarding PM_{2.5}-mortality associations in

387 East China and obtained an averaged CRF for East China, which could better reduce the
388 uncertainty of final results.

389 Several limitations of our study should be acknowledged. The main limitation of our
390 projection is the coarse horizontal resolution of the simulation of PM_{2.5} concentrations, which
391 may not be able to simulate PM_{2.5} levels precisely. Using a present day simulation later than
392 year 2005 would be beneficial for reducing the uncertainties of PM_{2.5} projections. In addition,
393 current air quality models, including CMAQ used in our study, can underestimate PM_{2.5}
394 concentrations.^{48, 49} Thus, the health impact of PM_{2.5} may be underestimated in our study.
395 We did not consider the age distribution in our study, which is important when evaluating the
396 health impact of air pollution. Compared with younger people, the elderly may have higher
397 mortality rate⁵⁰ and be more vulnerable to air pollution.^{51, 52} For example, a 33% increase in
398 the burden of disease attributable to ambient air pollution in China from 1990 to 2010 was
399 partly attributed to the increased rates of cardiovascular disease in China's rapidly aging
400 population.⁵⁰ Furthermore, nearly 35% of the population is expected to be 60 or older in 2050
401 in China.⁵³ Thus, our results may underestimate the future health impact of air pollution. In
402 addition, due to the lack of data, we assumed static cardiovascular and respiratory mortality
403 rate in 2030, and did not consider the possible change of CRF. We did not consider the long-
404 term effect of PM_{2.5} on mortality. Thus, our estimates may understate the possible range of
405 potential future outcomes. Future studies need to be conducted on these issues.

406 Although PM_{2.5} levels are projected to decrease in many regions in 2030 under CLE and
407 MFR scenarios, one issue still remains to be investigated- whether or not PM_{2.5} levels will
408 meet the air quality standard of China in the future. The research priority in the future should
409 also be given to the comprehensive analysis on the cost and economic impacts of air-quality-
410 related health damages/benefits of different emission and air pollution control strategies, with

411 information on how different assumptions could result in uncertainties of the estimates. Such
412 research could provide decision-makers important evidence on the cost and benefit of
413 different policies.

414 **Conclusion:**

415 In summary, the annual mean PM_{2.5} concentration in East China between 2005 and 2030 was
416 projected to decrease by 0.62μg/m³ under the CLE scenario and 20.41μg/m³ under MFR
417 scenario. The slight reduction in PM_{2.5} concentration under the CLE scenario would lead to
418 an increase in PM_{2.5}-related total mortality up to 124, 000 cases under the CLE scenario,
419 when accounting for the population growth. However, the substantial reduction in PM_{2.5}
420 concentration under the MFR scenario would avoid at least 230, 000 total mortality cases,
421 even when considering the population growth. Thus, in order to reduce health risk of PM_{2.5} in
422 East China, more stringent emission control legislations are required for a substantial
423 reduction in PM_{2.5} concentrations. Otherwise, the health impact of control legislations will be
424 offset by the population growth.

425

426 ***Supporting Information Available***

427 Detailed information for the meta-analysis and risk assessment models, and additional tables
428 and figures for the discussion. This material is available free of charge via the Internet at
429 <http://pubs.acs.org>.

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431

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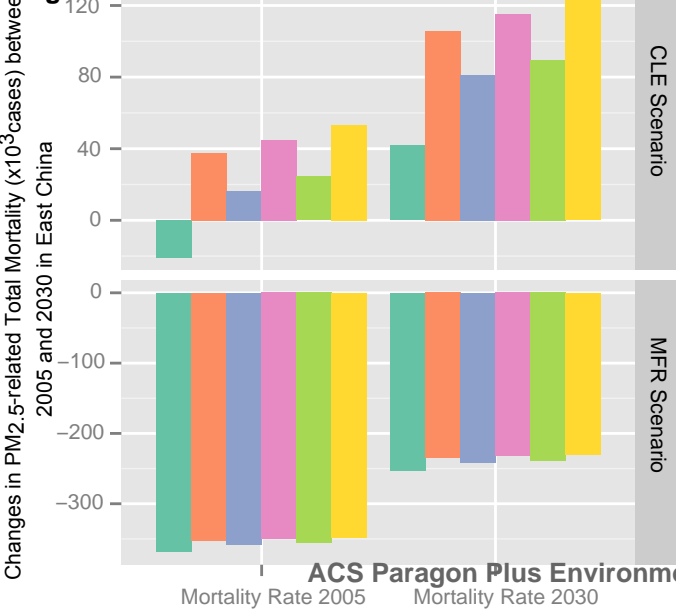
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Abstract Art

Environmental Science & Technology



Population Assumptions

- Population 2005
- Population C
- Population L1
- Population L2
- Population H1
- Population H2

ACS Paragon Plus Environment
Mortality Rate 2005 Mortality Rate 2030

Modelling Assumptions

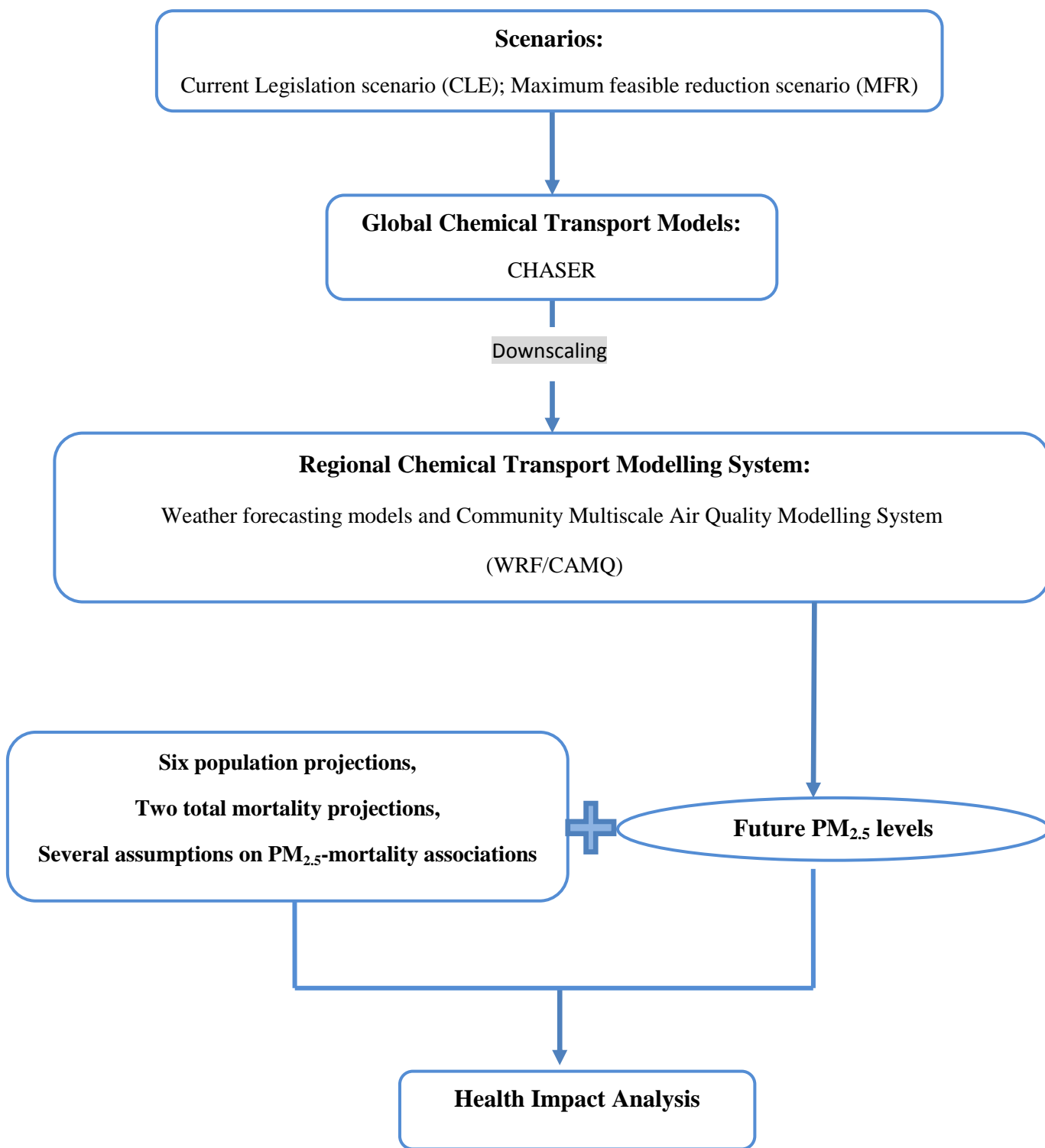


Figure 1. The structure of data analysis of PM_{2.5}-related mortality in the future

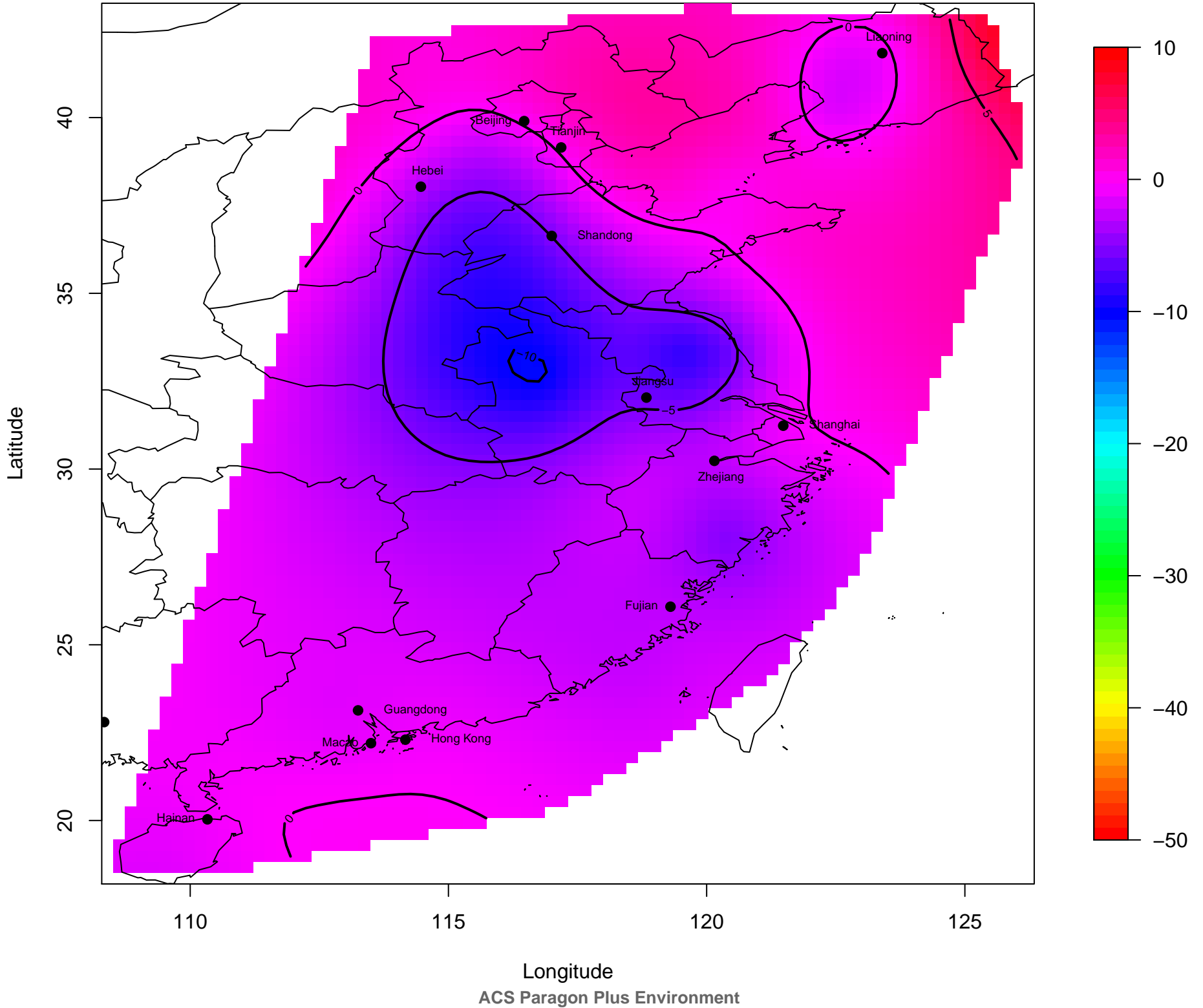


Figure 2. The changes in annual mean of daily $PM_{2.5}$ concentrations between 2005 and 2030 under the CLE scenario

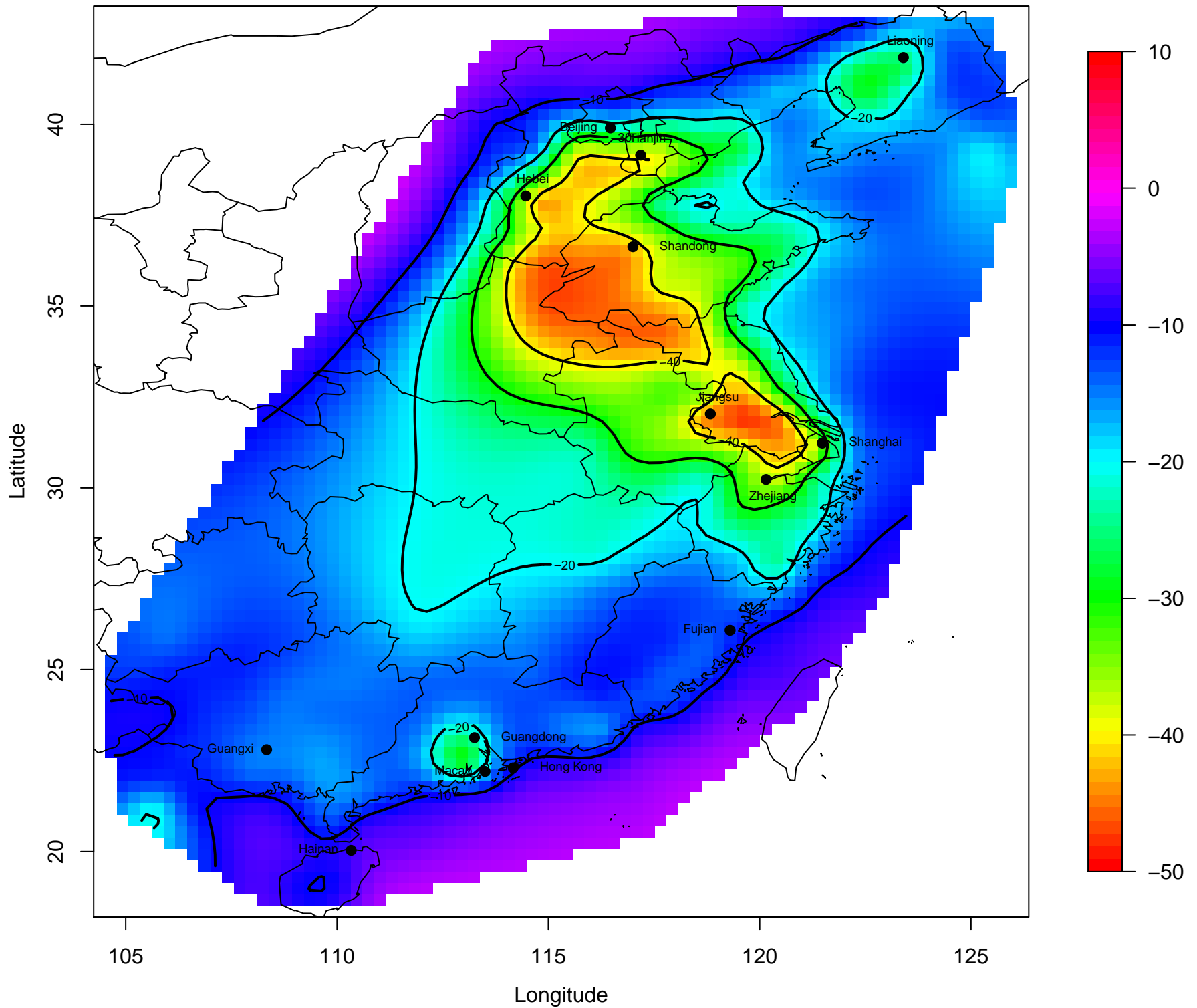
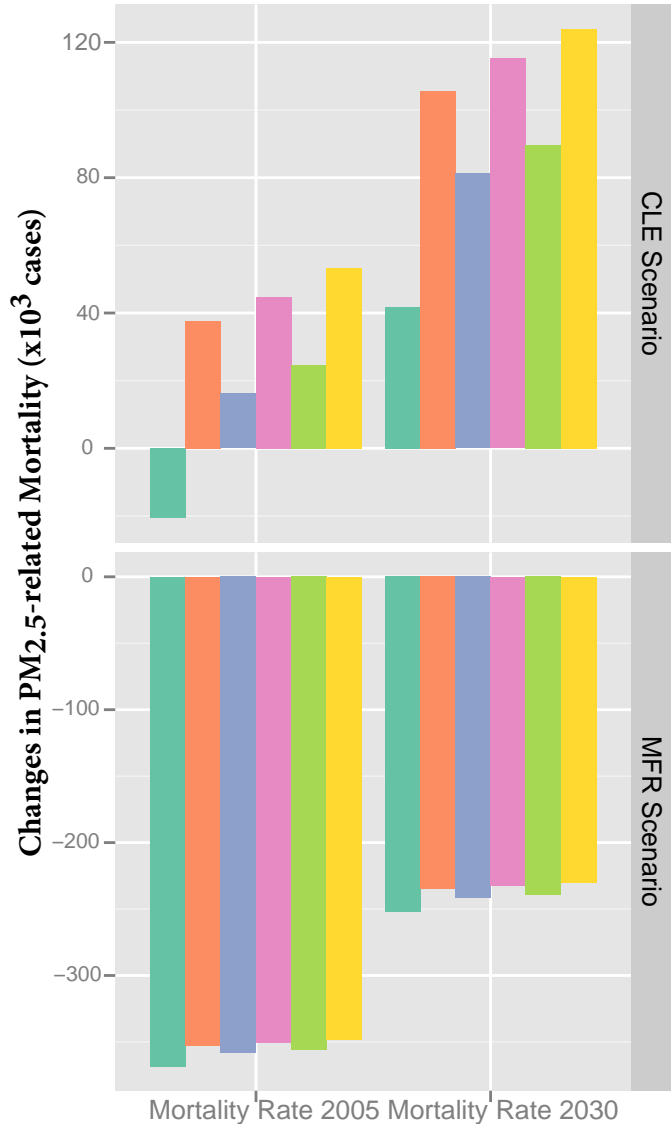
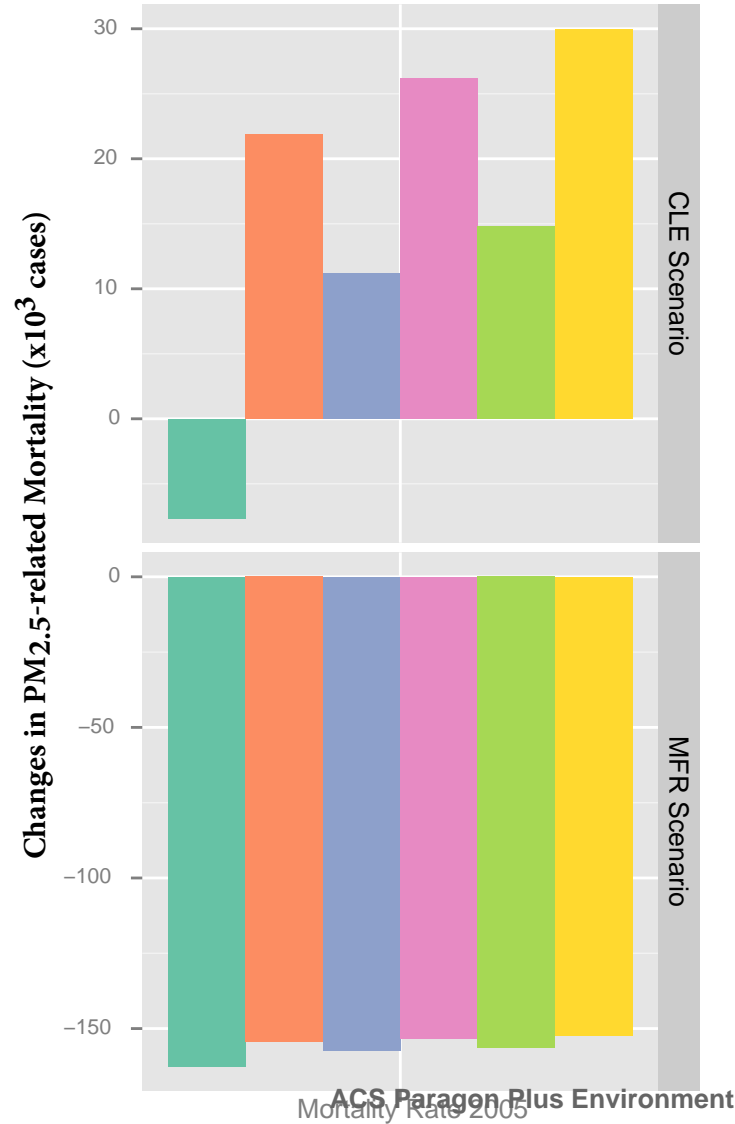


Figure 3. The changes in annual mean of daily $PM_{2.5}$ concentrations between 2005 and 2030 under the MFR scenario

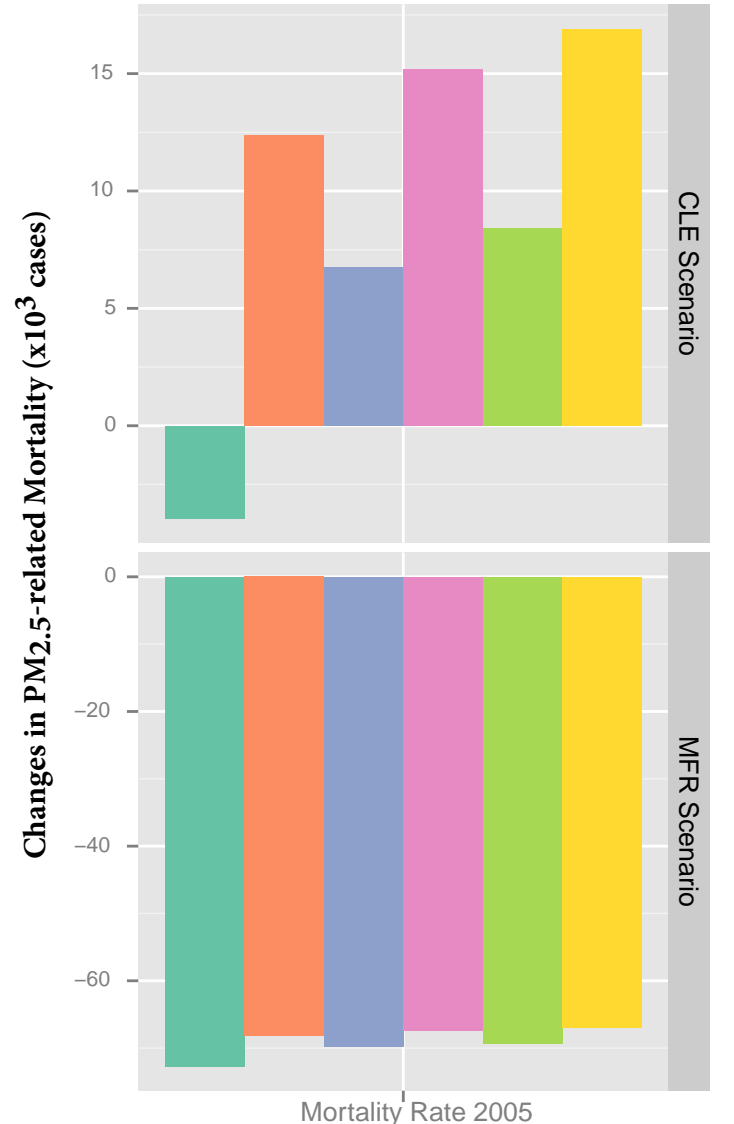
Total Mortality



Cardiovascular Mortality



Respiratory Mortality



Modelling Assumptions

Figure 4. The changes in PM_{2.5}-related mortality between 2005 and 2030 in East China under the CLE and MFR scenarios (CRF1 from the meta-analysis)

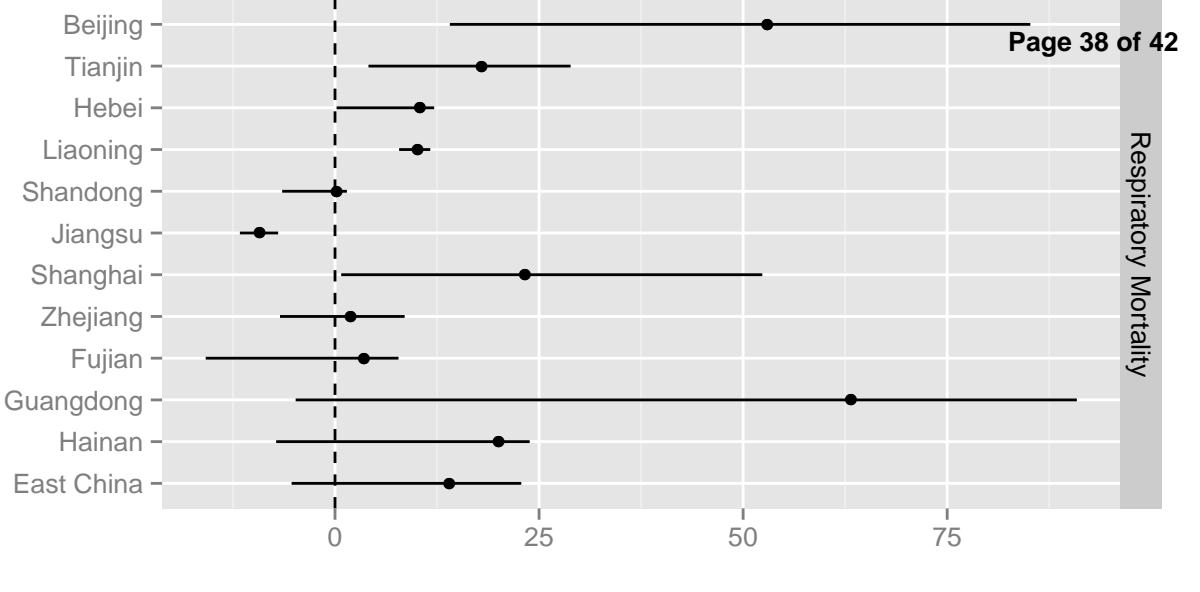
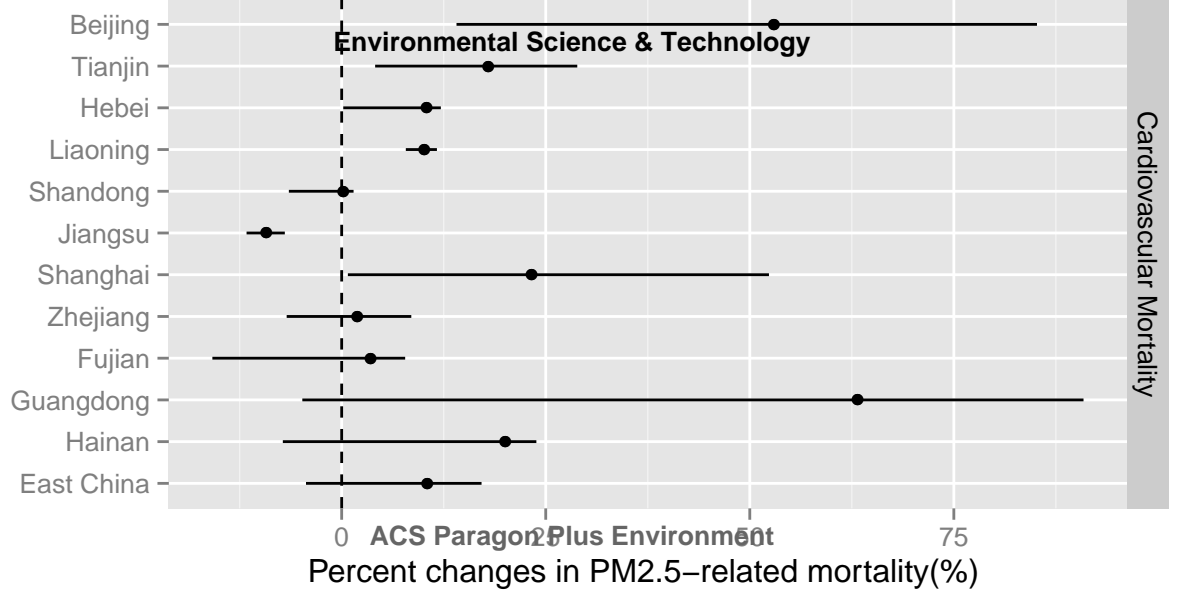
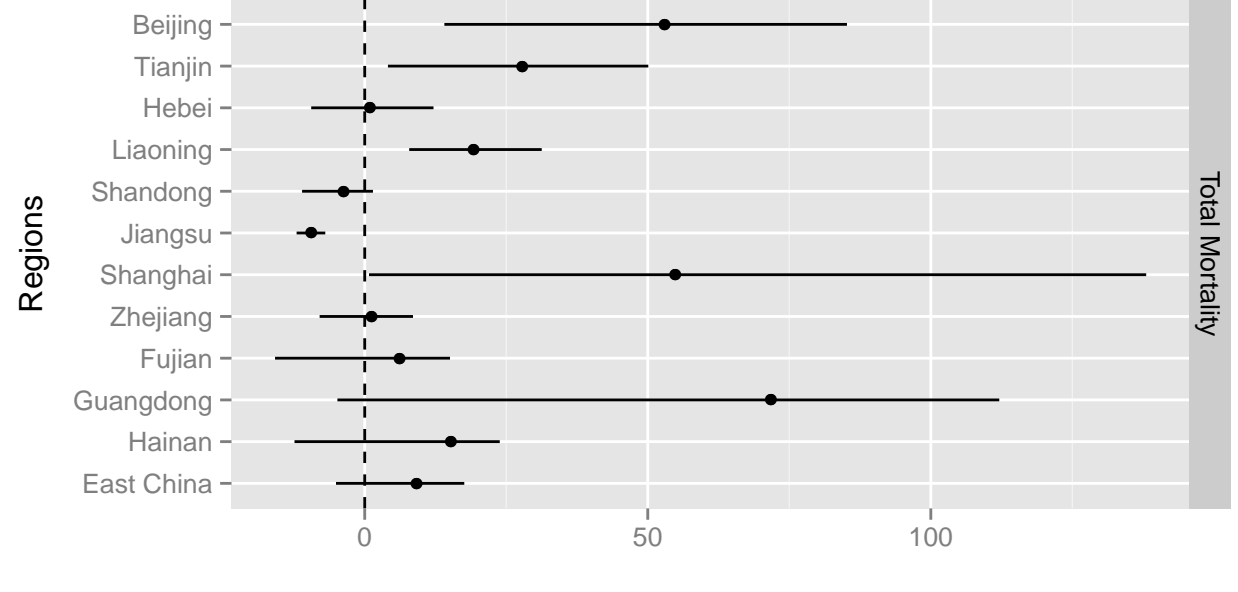
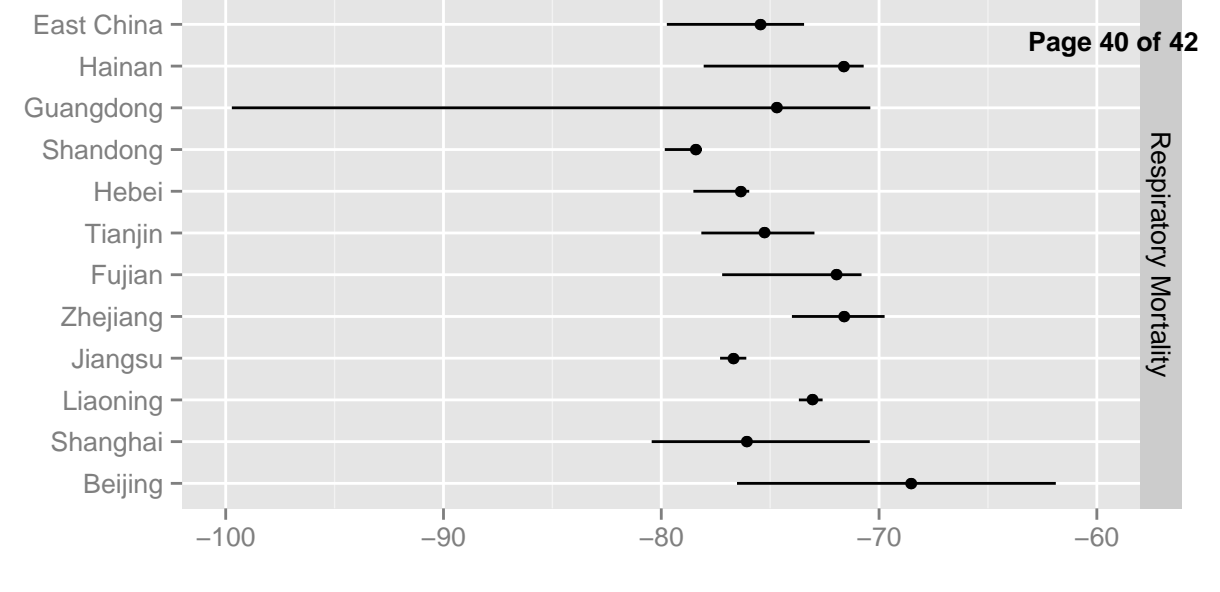
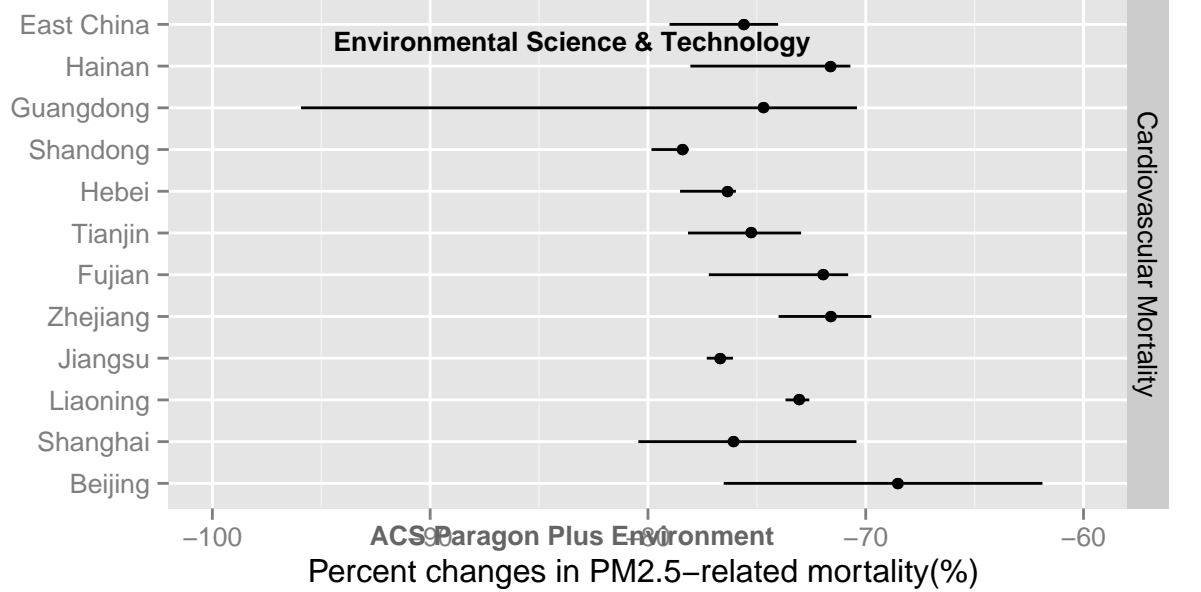
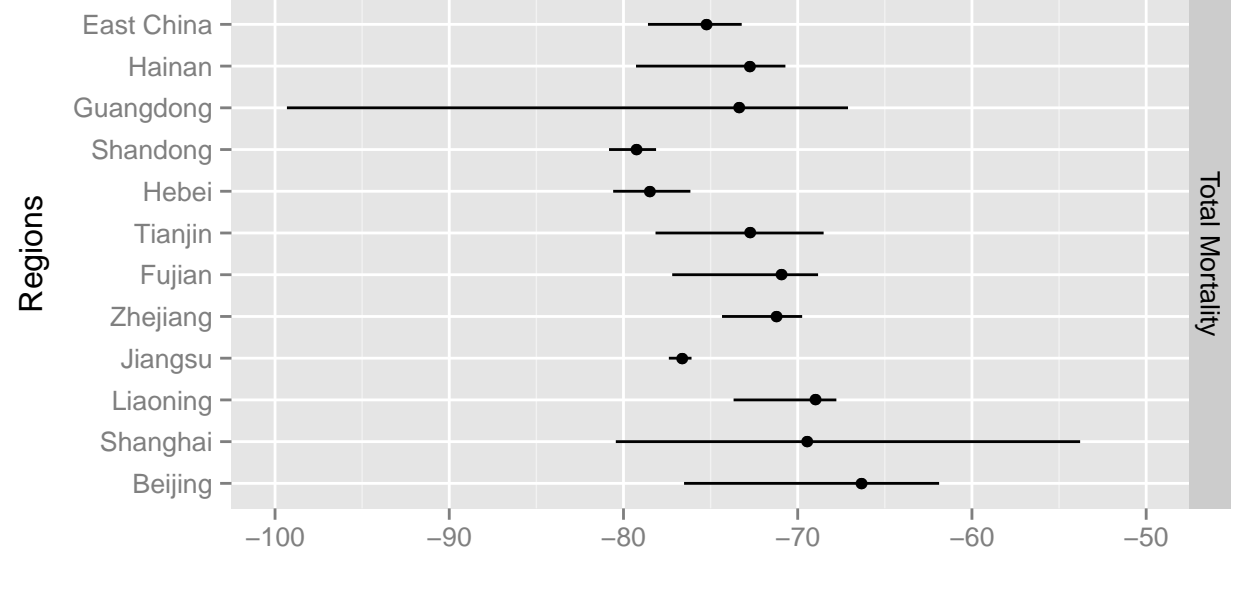


Figure 5. The ranges of percent change in PM_{2.5}-related mortality between 2005 and 2030 in East China under the CLE scenario, including results in each province and municipality (The dot is the medium value of the percent changes in PM_{2.5}-related mortality. The horizontal line is the range of percent changes in PM_{2.5}-related mortality.)

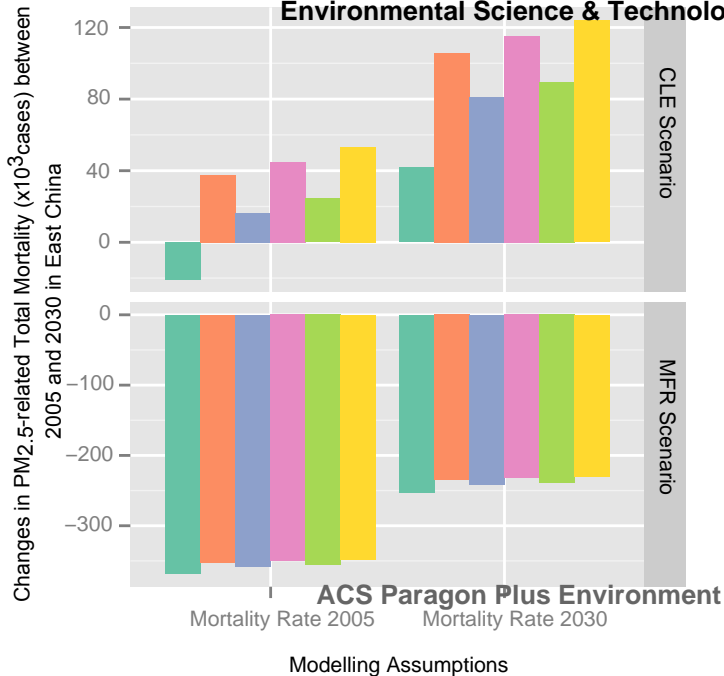


Environmental Science & Technology

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Percent changes in PM2.5-related mortality(%)

Figure 6. The ranges of percent change in PM_{2.5}-related mortality between 2005 and 2030 in East China under the MFR scenario, including results in each province and municipality (The dot is the medium value of the percent changes in PM_{2.5}-related mortality. The horizontal line is the range of percent changes in PM_{2.5}-related mortality.)



Population Assumptions

- Population 2005
- Population C
- Population L1
- Population L2
- Population H1
- Population H2