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Title: Does model of maternity care make a difference to birth outcomes for young women? A retrospective cohort study

Author: Jyai Allen Kristen Gibbons Michael Beckmann Mark Tracy Helen Stapleton Sue Kildea



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## Title Page

**Article Title:** Does model of maternity care make a difference to birth outcomes for young women? A retrospective cohort study.

### Author names and degrees:

Jyai Allen (B.A., B.Mid)<sup>a</sup>, Kristen Gibbons (PhD)<sup>b</sup>, Michael Beckmann (MBBS, FRANZCOG)<sup>c</sup>, Mark Tracy (PhD, MSc Epi, MBBS, FRACP)<sup>d</sup>, Helen Stapleton (PhD)<sup>e</sup>, Sue Kildea (PhD)<sup>f</sup>.

### Author affiliations, postal and email addresses:

<sup>a</sup> Australian Catholic University and Mater Research, Level 2, Aubigny Place, South Brisbane, QLD, 4101, Australia. Email: [Jyai.Allen@mater.org.au](mailto:Jyai.Allen@mater.org.au)

<sup>b</sup> Mater Research, Level 2, Aubigny Place, South Brisbane, QLD, 4101, Australia. Email: [kgibbons@mmri.mater.org.au](mailto:kgibbons@mmri.mater.org.au)

<sup>c</sup> Mater Research, Mater Mothers Hospitals, and University of Queensland School of Medicine, Level 1, Aubigny Place, South Brisbane, QLD, 4101, Australia. Email: [Michael.Beckmann@mater.org.au](mailto:Michael.Beckmann@mater.org.au)

<sup>d</sup> Neonatal Intensive Care Unit, Sydney University and Westmead Hospital, 166-174 Darcy Road, Westmead, NSW, 2145, Australia. Email: [mark.tracy@health.nsw.gov.au](mailto:mark.tracy@health.nsw.gov.au)

<sup>e</sup> Mater Research Institute - University of Queensland and School of Nursing and Midwifery University of Queensland, Level 2, Aubigny Place, South Brisbane, QLD, 4101, Australia. Email: [Helen.Stapleton@mater.uq.edu.au](mailto:Helen.Stapleton@mater.uq.edu.au)

<sup>f</sup> Mater Research Institute - University of Queensland and School of Nursing and Midwifery University of Queensland, Level 1, Aubigny Place, South Brisbane, QLD, 4101, Australia. [Sue.Kildea@mater.uq.edu.au](mailto:Sue.Kildea@mater.uq.edu.au)

**Corresponding author:** Jyai Allen, Midwifery Research Unit, Room 251.1, Level 2 Aubigny Place, Mater Health Services, Raymond Terrace, South Brisbane, QLD, 4101, Australia. Email: [jyai.allen@mater.org.au](mailto:jyai.allen@mater.org.au), Telephone: +61 7 3163 6322.

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## Contribution of the Paper

### *What is already known about the topic?*

- There is scant evidence to support the delivery of maternity care to young women through caseload midwifery or young women's clinic models of care.
- A 2013 Cochrane systematic review of midwife-led models of care (which included three trials of caseload midwifery) reported better perinatal outcomes for women and their babies; however the mean age of participants was 26-31 years across the trials. Results cannot therefore be generalised to young women.
- In 2013 we published a study that tested whether a RCT of caseload midwifery for adolescents was possible; we concluded it was not feasible.

### *What this paper adds*

- Caseload midwifery, compared to standard care, may be associated with fewer preterm births and neonatal intensive care unit admissions for women aged 21 years or less.

**Abstract and Key Words**

<b>Background</b>	Adolescent pregnancy is associated with adverse outcomes including preterm birth, admission to the neonatal intensive care unit, low birth weight infants, and artificial feeding.
<b>Objective</b>	To determine if caseload midwifery or young women's clinic are associated with improved perinatal outcomes when compared to standard care.
<b>Design</b>	A retrospective cohort study.
<b>Setting</b>	A tertiary Australian hospital where routine maternity care is delivered alongside two community-based maternity care models specifically for young women aged 21 years or less: caseload midwifery (known midwife) and young women's clinic (rostered midwife).
<b>Participants</b>	All pregnant women aged 21 years or less, with a singleton pregnancy, who attended a minimum of two antenatal visits, and who birthed a baby (without congenital abnormality) at the study hospital during May 2008 - December 2012.
<b>Methods</b>	Caseload midwifery and young women's clinic were each compared to standard maternity care, but not with each other, for four primary outcomes: preterm birth (<37 weeks gestation), low birth weight infants (<2500g), neonatal intensive care unit admission, and breastfeeding initiation.

	<p>Two analyses were performed on the primary outcomes to examine potential associations between maternity care type and perinatal outcomes: intention-to-treat (model of care at booking) and treatment-received (model of care on admission for labour / birth).</p>
<b>Results</b>	<p>1908 births were analysed by intention-to-treat and treatment-received analyses. Young women allocated to caseload care at booking, compared to standard care, were less likely to have a preterm birth (adjusted Odds Ratio (aOR) 0.59 (0.38-0.90, p=0.014) or a neonatal intensive care unit admission aOR 0.42 (0.22-0.82, p=0.010). Rates of low birth weight infants and breastfeeding initiation were similar between caseload and standard care participants.</p> <p>Participants allocated to young women's clinic at booking, compared to standard care, were less likely to have a low birth weight infant aOR 0.49 (0.24-1.00, p=0.049), however when analysed by treatment-received, this finding was not significant. There was no difference in the other primary outcomes.</p>
<b>Conclusions</b>	<p><u>Young women who were allocated to caseload midwifery at booking, and/or were receiving caseload midwifery at the time of admission for birth, were less likely to experience preterm</u></p>

	<u>birth and neonatal intensive care unit admission.</u>
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7 **Key words:** Adolescent Pregnancy, Antenatal Care, Cohort Study, Perinatal  
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9 Outcomes, Maternity Care, Midwifery.  
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## 1 Introduction

2 This cohort study is part of mixed methods evaluation of two models of maternity  
3 care that were designed for, and delivered to, young women aged 21 years or less.

4 The participants in this study have been termed 'young women'. Young adulthood  
5 includes the period from 20-24 years of age (World Health Organisation, 2004),  
6 whereas adolescence is typically defined as the period from 10-19 years of age  
7 (World Health Organisation, 2014). Research literature on adolescent pregnancy is  
8 considered in this paper because it is the most closely related to the participants;  
9 however women aged 20-21 years may not have the same predictors for poor  
10 perinatal outcomes that adolescents have.

11  
12 This study was set in a context where women have access to a number of different  
13 models of maternity care. A model of maternity care is a 'complex intervention'; it has  
14 a number of 'active ingredients' that work together in order to be effective (Medical  
15 Research Council, 2008). The ingredients which define a model of maternity care  
16 include: who provides the care (doctors, midwives, allied health), whether the  
17 providers are known to the woman, where the care occurs (at home, in hospital,  
18 community venue), when the care occurs (gestation at booking, frequency and  
19 length of visits, after hours contact), and how the care is provided (one-to-one or  
20 group visits). Two models of maternity care (caseload midwifery and young women's  
21 clinic) were defined and compared to routine care (standard care) for four primary  
22 outcomes.

## 24 Background



25 Pregnant adolescents are more likely to come from socio-economically  
26 disadvantaged backgrounds (Imamura et al., 2007), which is associated with  
27 smoking, alcohol and illicit drug use (van Gelder et al., 2010), social isolation and  
28 mental health issues (Ickovics et al., 2011), poor nutrition and inadequate weight  
29 gain (Kabir, Sheeder, & Stevens-Simon, 2008), and psychosocial stressors including  
30 low income, unemployment and housing issues (Savitz et al., 2004). These factors  
31 directly affect perinatal outcomes (Malabarey, Balayla, Klam, Shrim, & Abenheim,  
32 2012). Maternal age less than 18 years is an independent risk factor for preterm  
33 birth (Khashan, Baker, & Kenny, 2010), low birth weight (LBW) infants (de Vienne,  
34 Creveuil, & Dreyfus, 2009), intrauterine growth restriction and stillbirth (Khashan, et  
35 al., 2010), and neonatal mortality (de Vienne, et al., 2009).

36  
37 Modifying the risk and protective factors in young women's daily lives, particularly for  
38 those who are socio-economically disadvantaged, can improve health outcomes  
39 (Viner et al., 2012). Young women attend specialist programs more frequently than  
40 standard antenatal care (Allen, Gamble, Stapleton, & Kildea, 2012); attendance  
41 increases the opportunities for health interventions to occur. There is increasing  
42 evidence that 'adequate' antenatal care (e.g. minimum five visits) can improve  
43 perinatal outcomes (Raatikainen, Heiskanen, Verkasalo, & Heinonen, 2005; Vieira et  
44 al., 2012). The different types of maternity care referenced in the literature are  
45 defined and described below.

#### 47 **Standard care**

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Maternity care in Western countries including Australia, Canada, New Zealand (NZ), the United Kingdom (UK) and the United States (US) is typically provided through one-to-one visits with a doctor or midwife. In Canada and the US over 90% of antenatal care is provided by doctors, compared with NZ and the UK where care is generally provided by midwives and is government-funded (public) (Ehiri & Child, 2009). The majority (70%) of Australian women access public maternity care which is provided by hospital-based midwives or obstetricians, and to a lesser extent community-based family physicians; 30% of women access private obstetric care (Department of Health and Ageing, 2008). Ninety-seven percent of women give birth in a hospital delivery suite; while two percent access a birth centre and fewer than one percent give birth at home (Laws & Sullivan, 2009). Public maternity care is often fragmented, with women typically meeting numerous clinicians (Hartz, Foureur, & Tracy, 2012). This is slowly changing in Australia, and elsewhere, as more hospitals are reorganising services to optimise midwifery continuity of care (Hartz, et al., 2012).

### **Caseload midwifery**

Caseload midwifery is increasingly common in countries including Australia, Canada, NZ and the UK (Hartz, et al., 2012). The primary purpose of caseload midwifery is relationship building whereby women feel supported by a “known, trusted midwife” throughout pregnancy, birth and the postpartum period (Sandall, Soltani, Gates, Shennan, & Devane, 2013). In Australia, caseload midwifery is characterised by a midwife undertaking responsibility for the continuum of care throughout pregnancy, birth and postpartum, for a caseload of approximately 40 women per annum in low or

72 all-risk models (Hartz, et al., 2012). Caseload midwives often work in a midwifery  
73 group practice (MGP) of four midwives, who are on-call for labour and birth; and then  
74 continue care up to six weeks following birth (Hartz, et al., 2012). A feature of the  
75 model is that women have 24-hour telephone access to their primary or back-up  
76 midwife (Forti, Stapleton, & Kildea, 2013).

77  
78 A 2013 systematic review included 13 trials of midwife-led continuity models of care  
79 either team midwifery (n=10) or caseload midwifery (n=3); both models aimed to  
80 provide known midwives during pregnancy, birth and postpartum (Sandall, et al.,  
81 2013). While adolescent women were eligible to participate in the three trials of  
82 caseload midwifery (Sandall, et al., 2013); the mean age of participants ranged from  
83 26-31 years. Therefore, the systematic review does not address the suitability and  
84 efficacy of caseload midwifery for young women. Access to caseload midwifery has  
85 been mostly limited to 'low risk' women; indeed two of the three caseload midwifery  
86 trials excluded participants deemed to have risk factors. A recently published  
87 randomised controlled trial (RCT) demonstrates that caseload midwifery is safe and  
88 cost-effective for women of 'all risk' (Tracy et al., 2013); participants in this trial  
89 however were aged 18 years or older.

90  
91 In the research setting, group antenatal care was provided within the caseload model  
92 for young women; therefore group antenatal care research literature is briefly  
93 described here. A Cochrane systematic review of two RCTs of group antenatal care  
94 (CenteringPregnancy™) versus standard care reported no significant differences for  
95 key clinical outcomes including preterm birth (Homer et al. 2012). However, the

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96 largest RCT (n=1047) reported that women who received the intervention (i.e. group  
97 antenatal care) were less likely to experience preterm birth and more likely to initiate  
98 breastfeeding (Ickovics 2007). The inclusion of group antenatal care in the caseload  
99 model is a potential limitation that will be explored further in this paper.

### 100 101 **Young women's clinic**

102 Young women's clinic describes an antenatal model of care that focuses exclusively  
103 on pregnant young women (Allen, et al., 2012). Key elements include a community  
104 clinic setting, multi-disciplinary involvement at the clinic, with midwives following  
105 additional clinical guidelines and accessing specialist training (e.g. sexual health,  
106 illicit drug use) (Allen, et al., 2012). Two cohort studies report an association  
107 between young women's clinic and fewer preterm births for adolescent women  
108 (Fleming, Tu, & Black, 2012; Quinlivan & Evans, 2004) and lower adjusted relative  
109 risk of LBW infants (Fleming, et al., 2012). There are three other published research  
110 papers assessing young women's clinic however the results are unreliable as they  
111 were small, underpowered retrospective cohort studies, with differences in baseline  
112 characteristics that were not controlled for in the analysis (Allen, et al., 2012).

### 113 114 **Aim**

115 There is a paucity of evidence evaluating the specific effects of models of maternity  
116 care on perinatal outcomes for young women. The aim of this study was to  
117 determine if caseload midwifery or young women's clinic were associated with  
118 improved perinatal outcomes when compared to standard care.

120 **Methods**

121

122 **Study design**

123 Ethical approval was granted by the University and Hospital Human Research Ethics

124 Committees prior to study commencement. A retrospective comparative cohort study

125 was designed using routinely collected perinatal data from the hospital's electronic

126 database. Three mutually exclusive study groups: (1) standard care, (2) caseload

127 midwifery and (3) young women's clinic were defined at first booking visit and on

128 admission to hospital for labour/birth. The primary outcomes were then analysed by

129 both intention-to-treat (model of care at booking) and treatment-received (model of

130 care on admission for labour/birth). The secondary outcomes were analysed by

131 treatment-received. Caseload midwifery and young women's clinic were each

132 compared to standard care. Caseload midwifery and young women's clinic were not

133 compared with each other. The model of care at the time of maternity booking was

134 recorded electronically by the booking midwife. The model of care at the time of

135 admission for labour / birth was recorded electronically by the intrapartum midwife

136 after reviewing the woman's antenatal attendance record. If the model of care at the

137 time of maternity booking was different to the model recorded at the time of

138 admission for labour / birth, then the researcher reviewed the electronic appointment

139 system to confirm the model of care received. The model of care received was

140 defined as the one through which the woman accessed the majority of her antenatal

141 care.

142

143 **Setting**

144 The site was an Australian tertiary-level, maternity hospital with around 5000 public  
145 births per year, where both hospital and community-based antenatal services are  
146 provided. Two midwifery-led services for young women operated at this site: young  
147 women's clinic began in 1994 and a caseload midwifery group exclusively for young  
148 women began in May 2008. Pregnant women aged 21 years or less are generally  
149 referred to caseload midwifery in the first instance. If caseload midwifery is full,  
150 women decline caseload midwifery, or women are unable to be contacted via  
151 telephone to arrange a home booking visit; then they are usually allocated to the  
152 young women's clinic. If spaces subsequently become available in caseload  
153 midwifery, young women's clinic attendees are invited to transfer to caseload care.  
154 After the first booking visit, women may 'opt out' of either of these programs and  
155 choose standard care if they prefer to see their family physician (GP), or another  
156 specialist service (e.g. Refugee women), do not like the way the care was provided,  
157 cannot easily access the community venue, or develop serious medical risk factors  
158 that required hospital-based care (e.g. access to medical physician).

159  
160 *Caseload care* is provided by a group of four hospital-employed midwives who  
161 provide care to 'all risk' women aged  $\leq 21$  years with a reduced annual caseload of  
162 35 women per midwife (see Table 1). The woman's primary midwife is available on-  
163 call five days per week; in the event the midwife is unavailable (e.g. day off or annual  
164 leave) the woman will be cared for by a back-up caseload midwife that she has  
165 previously met.

166

167 *Young women's clinic* is staffed by a small team of midwives who provide individual  
168 antenatal visits for women aged  $\leq 21$  years at the same aforementioned community  
169 venue (see Table 1). During labour and birth, young women will be seen in hospital  
170 by clinicians they have not previously met. Women may receive postnatal home  
171 visiting following birth by rostered midwives who they are unlikely to have met.

172  
173 *Standard care* is defined as public maternity care offered by hospital clinicians or  
174 family physicians where the care was not organised to provide continuity of care and  
175 was not specific to young women (see Table 1). The former part of this definition of  
176 standard care was used by a 2013 Australian RCT of caseload midwifery compared  
177 to standard care (Tracy, et al., 2013).

### 179 **Participants and study size**

180 All women who gave birth at the study hospital during the study period, who were  
181 aged 21 years or less at the time of birth, were considered for inclusion (see Figure  
182 1). Additional eligibility criteria were: singleton pregnancy, baby without a diagnosed  
183 congenital abnormality, attendance for at least two scheduled antenatal  
184 appointments, booked as a public patient. Exclusion criteria were: unbooked or  
185 attendance at fewer than two scheduled antenatal appointments, multiple birth, baby  
186 with a congenital abnormality, or in-utero transfer to the tertiary hospital (due to  
187 complications of pregnancy). The sample size was determined by the number of  
188 records available. All records from when caseload midwifery commenced births in  
189 May 2008 -December 2012 were considered for inclusion in the study; see Figure 1.

190 Crossovers between allocation (model at first booking visit) and allocation received  
191 (model on admission for labour/birth) are detailed in Figure 1.

192

### 193 **Data Sources**

194 Midwives prospectively enter standardised information into the electronic hospital  
195 perinatal database. Information is entered at the first booking appointment, and  
196 during any inpatient care episode including labour and birth. At the time of this study  
197 information was not entered during outpatient antenatal appointments. Medical chart  
198 audit was used to locate missing data for pre-pregnancy body mass index (BMI).

199

200 Routinely collected data were obtained from two obstetric databases (Obstetric  
201 Clinical Reporting System (Obstetric CRS), Clinical Reporting Systems Pty Ltd, New  
202 South Wales (NSW), Australia and MatriX, Meridian Health Informatics, NSW,  
203 Australia). Obstetric CRS is checked on a daily basis to identify potential data entry  
204 errors and incomplete records. If discrepancies are found, they are rectified within  
205 the system. MatriX has rules programmed into the system to alert the user as they  
206 are entering data to any entries that are inconsistent, missing, or appear erroneous,  
207 allowing the user to correct errors immediately. Data were extracted based on  
208 maternal age at birth (21 years or less), singleton pregnancy (yes), and baby's date  
209 of birth (May 2008 – December 2012). Once extracted from both databases, data  
210 were merged and imported into a statistical program for manipulation.

211

212 The first author identified participants in the dataset with missing pre-pregnancy BMI,  
213 then used their unique numeric identifiers to request and review patient charts to



214 obtain this information from the hand-written notes. The pre-pregnancy BMI field was  
215 then updated in the statistical program.

216

## 217 **Variables**

218 Demographic characteristics included maternal age (years), adolescent multiparity  
219 (aged 19 years or less when giving birth to a subsequent baby), nulliparity, ethnicity,  
220 socio-economic status (Socio-Economic Indexes for Areas [SEIFA] quintile  
221 (Australian Bureau of Statistics, 2008)), relationship status, smoking during  
222 pregnancy (at first booking appointment), history of illicit drug use, pre-pregnancy  
223 BMI, history of sexually transmitted infection (STI), history of mental illness,  
224 psychology referral offered and accepted, history of family involvement with the  
225 Department of Child Safety, social work referral offered and accepted, medical /  
226 obstetric risk factors (composite); see Table 2.

227

228 Two medical / obstetric risk variables were generated: risk at booking and risk at  
229 birth. These variables were determined by literature review and limited by the data  
230 items that were routinely collected. Risk factors at hospital booking included cardiac  
231 disease, endocrine disease, hypertension, diabetes, and hepatitis; multiple  
232 pregnancies and fetal anomalies were excluded. Risk at birth included (a) any  
233 medical indication for induction of labour or planned caesarean section (i.e.  
234 abnormal fetal welfare studies, antepartum haemorrhage, cardiac disease, cerebro-  
235 vascular disease, cholestasis, chorioamnionitis, diabetes (all types), fetal anomaly,  
236 fetal death, fetal growth disturbance, fetal growth restriction, hypertension (all types),  
237 isoimmunisation, maternal medical/surgical indication (unspecified), non-reassuring

238 fetal status and/or (b) any antenatal hospital admission to an inpatient ward. For the  
239 multivariate logistic regression a dichotomous variable was created:  
240 medical/obstetric risk identified at booking and/or birth (yes/no).

241

242 Four primary outcome measures were defined a priori: preterm birth (<37 weeks  
243 gestation), LBW infant (<2500g), admission at birth to a NICU (yes/no), and  
244 breastfeeding initiation. Breastfeeding was defined dichotomously as either  
245 exclusively breastfeeding (including expressed breast milk) or not exclusively  
246 breastfeeding (including artificial feeding or a combination of artificial and  
247 breastfeeding). The combined results of the intention-to-treat and treatment-  
248 received analyses are presented in Table 3.

249 Potential confounders were identified through review of the research literature.

250 Confounders which demonstrated a significant effect on the primary outcome  
251 through bivariate analysis were included in the logistic regression modelling:

252 admission to a neonatal nursery, antenatal attendance at fewer than five antenatal  
253 visits, birth weight, BMI, caesarean birth, ethnicity, LBW, marital status, maternal  
254 age, medical and/or obstetric risk, mode of birth, nulliparity, opioids / regional  
255 analgesia in labour, preterm birth, smoking at booking and socio-economic status.

256

257 Other outcome measures listed in the Cochrane systematic review of midwife-led  
258 continuity models of care (Sandall, et al., 2013) for which routinely collected data

259 were available, have been reported as secondary outcomes. These include:

260 antenatal attendance (fewer than five visits), antenatal hospitalisation, induction of  
261 labour, amniotomy, oxytocin augmentation during labour, opiate analgesia in labour,

262 regional analgesia in labour (epidural/spinal), mode of birth (spontaneous vaginal,  
263 instrumental vaginal, caesarean section) (Table 4).

264  
265 Secondary neonatal outcomes were gestational age at birth, weight at birth, stillbirth,  
266 Apgar score less than seven at five minutes, breastfeeding on hospital discharge,  
267 small-for-gestational age (SGA; <10<sup>th</sup> centile using customised birth weight centiles)  
268 (Gibbons et al., 2013), and admission to a neonatal nursery (Table 4).

## 270 **Statistical Methods**

271 Analyses were undertaken in StataSE version 10 (StataCorp Pty Ltd, College  
272 Station, Texas). Bivariate analysis to compare variables between the three study  
273 groups was performed using chi-square tests for categorical data. The continuous  
274 data were not normally distributed so Kruskal-Wallis tests were performed, followed  
275 by Wilcoxon rank sum tests to compare caseload midwifery to standard care, and  
276 young women's clinic to standard care; probability value (p value) adjusted to 0.025.

277  
278 Multivariate logistic regression was performed on the primary outcomes to calculate  
279 adjusted odds ratios (aORs) and associated 95% confidence intervals (CIs); p values  
280 less than 0.05 were considered statistically significant. Only those participants with  
281 no relevant missing data, for confounding variables, were included in the bivariate  
282 and multivariate analyses of primary outcomes. Two analyses were conducted on  
283 the primary outcomes: intention-to-treat (model of care at booking) and treatment  
284 received (model of care on admission for labour / birth). Bivariate logistic regression  
285 was used to determine the effect of confounders on the primary outcomes; potential

286 confounders with p values less than 0.1 were included in the multivariate logistic  
287 regression. Table 4 footnotes indicate which confounders were used in the  
288 multivariate regression for each primary outcome.

## 290 **Results**

### 292 **Participants**

293 All publicly-funded young women (aged 21 years or less) who had given birth to a  
294 singleton baby between May 2008 and December 2012 (n=2214) were considered  
295 for inclusion. 1971 women met the inclusion criteria and 243 women were excluded;  
296 complete data were available for 1908 participants (see Figure 1).

### 298 **Descriptive data**

299 Table 2 shows the baseline characteristics of the participant groups with caseload  
300 midwifery and young women's clinic providing care to a significantly higher  
301 proportion of women who were younger, nulliparous, Caucasian, living in areas of  
302 the highest advantage, with a higher incidence of mental health issues, a history of  
303 illicit drug use, and a lower incidence of medical/obstetric risk factors. The standard  
304 care cohort had a significantly higher proportion of older young women, teenage  
305 multiparas, women who were non-Caucasian, who lived in areas of the greatest  
306 disadvantage, with medical / obstetric risk factors. There was no significant  
307 difference between the three groups on measures of smoking at booking, pre-  
308 pregnancy BMI, or history of STI.

## 310 **Main results**

1  
2 311 After adjustment for potential confounders the chances of preterm birth and  
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4 312 admission to NICU were significantly lower for women allocated, and exposed, to  
5  
6 313 caseload midwifery (Table 3), compared to standard care. Allocation to young  
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8 314 women's clinic was weakly associated with fewer LBW babies; however when  
9  
10 315 analysing women who actually received young women's clinic care this association  
11  
12 316 became non-significant (Table 3). Neither caseload midwifery nor young women's  
13  
14 317 clinic were associated with differences in the odds of initiating breastfeeding, when  
15  
16 318 compared to standard care (Table 3). A sensitivity analysis was performed to assess  
17  
18 319 whether the higher proportion of Indigenous young women in standard care,  
19  
20 320 compared to caseload care, was associated with the significant differences found.  
21  
22 321 Sensitivity analysis did not change the findings which remained significant.  
23  
24 322

25  
26 323 The secondary outcomes (Table 4) were analysed by the model of care women were  
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28 324 accessing at the time of admission for labour/birth. Baseline characteristic  
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30 325 differences between the groups were not controlled for during analysis of secondary  
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32 326 outcomes.  
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## 41 327

## 42 328 **Discussion**

### 43 329

### 44 330 **Key Results**

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46 331 This cohort study suggests that, compared to standard care, caseload midwifery may  
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48 332 benefit young women and their infants. While we showed no differences between  
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50 333 young women's clinic and standard care on any of the primary outcomes; the ability  
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334 to detect differences was limited by the relatively small number of women in this  
335 cohort. After controlling for differences in baseline characteristics and known  
336 confounders, caseload midwifery was associated with fewer preterm births and fewer  
337 admissions to NICU by both intention-to-treat and treatment-received analyses.

338

### 339 **Strengths and Limitations**

340 Participants were routinely assigned to a model of maternity care by hospital staff  
341 with the choice to opt out after the first booking visit. This choice may have been  
342 influenced by age, ethnicity, parity, socio-economic status or medical risk factors.  
343 Indeed there were significant differences in the baseline characteristics of the  
344 participant groups i.e. maternal age, nulliparity, ethnicity, socio-economic status and  
345 medical / obstetric risk status. To address this potential source of bias we included  
346 these variables as confounders and controlled for them in the statistical analysis for  
347 primary outcomes. Furthermore, a strength of this study is that data were analysed  
348 both by intention-to-treat, and by treatment-received. So while participant choice and  
349 baseline characteristics may have influenced which model of care they ultimately  
350 received (treatment received analysis); these factors had limited power over the  
351 model of maternity care they were first allocated (intention-to-treat analysis).

352

353 The caseload model in this setting provided a one-on-one booking visit with a  
354 midwife (usually in the home) with all subsequent antenatal care delivered in groups.  
355 A RCT of group antenatal care, compared to standard care, for young women (aged  
356 14-25 years) found a significantly lower incidence of preterm birth for those  
357 randomised to the intervention (Ickovics et al., 2007). Therefore, the inclusion of

358 group antenatal care in the caseload model in this setting is a potential confounding  
359 factor that may have positively affected preterm birth rates for young women in the  
360 caseload cohort.

361

362 No power calculation was performed on primary outcomes. An Australian cohort  
363 study, which included a larger number of participants in young women's clinic  
364 (n=541), reported a significant reduction in preterm birth (OR 0.40 p<0.001) although  
365 the analysis did not control for known confounders (Quinlivan & Evans, 2004). In the  
366 intention-to-treat analysis, the young women's clinic cohort was much larger (n=394)  
367 than in the treatment-received analysis (n=298). It is possible that the reduction in  
368 the number of participants is responsible for the shift from a significant to a non-  
369 significant difference on the outcome of LBW infants. The sample size for young  
370 women's clinic may therefore simply be too small to make robust conclusions about  
371 efficacy.

372

### 373 **Interpretation**

374 Preterm birth has very few known preventative interventions and many efforts to  
375 modify or eliminate specific risk factors have not succeeded to date (Lang & Lams,  
376 2009). Pregnancy in adolescence is a risk factor for preterm birth (Chen et al., 2007;  
377 Khashan, et al., 2010; Shrim et al., 2011). The Cochrane systematic review finds  
378 women randomised to midwife-led continuity of care, compared to standard care, are  
379 less likely to give birth preterm (Sandall, et al., 2013). Our study is the first to report  
380 similar findings specific to young women; albeit not randomised.

381

382 Caseload midwifery is a safe and cost-effective maternity care intervention for  
383 women of all-risk (Tracy, et al., 2013). Higher levels of satisfaction are generally  
384 reported in models providing a known carer (Novick, 2009; Sandall, et al., 2013);  
385 adolescents are no exception (Payne & Smythe, 2007). Women who received  
386 caseload care had continuity of antenatal carer and telephone access to their  
387 midwife, or a known back-up midwife, 24 hours a day. The 'midwife-woman  
388 partnership' (Guilliland & Pairman, 1995) encourages women to engage in antenatal  
389 care: (i) to attend appointments (Raatikainen, et al., 2005), (ii) to disclose risk factors  
390 (Stanley, Borthwick, & Macleod, 2006) and (iii) to follow professional  
391 recommendations (Sheppard, Zambrana, & O'Malley, 2004). We hypothesise that  
392 antenatal engagement is the mechanism by which the complex intervention of  
393 caseload midwifery may affect perinatal outcomes for young women and their  
394 babies.

395  
396 In this study, young women who received caseload midwifery were more likely to  
397 attend five or more antenatal visits compared to those in standard care. Adolescent  
398 attendance is more likely in the event of a good relationship with a care provider  
399 (Novick, 2009); 'vulnerable' women are less likely to attend when they perceive that  
400 clinicians treat them disrespectfully (Milligan et al., 2002). Attendance at five or more  
401 antenatal visits is associated with improved birth outcomes (Raatikainen, Heiskanen,  
402 & Heinone, 2007); it increases opportunities to screen for conditions that are  
403 amenable to intervention (e.g. genito-urinary infection). Further, adolescents who  
404 know and trust their care provider may be more likely to disclose harmful behaviours  
405 and difficult life circumstances (Sheppard, et al., 2004). A significantly higher



1 406 proportion of young women in caseload midwifery reported illicit drug use, mental  
2 407 health issues and Department of Child Safety involvement. Because pregnant  
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4 408 women are more likely to disclose mental health concerns in the context of continuity  
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6  
7 409 of care with an accepting health professional (Stanley, et al., 2006); this finding may  
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9  
10 410 reflect increased disclosure rather than an increased incidence. This is significant  
11  
12 411 because disclosure of risk factors confers opportunities for intervention. Indeed,  
13  
14 412 young women receiving caseload midwifery were more likely to be offered, and to  
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16  
17 413 accept, psychology and social work referral.  
18

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22 415 While we have demonstrated a reduced likelihood of NICU admission under  
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24 416 caseload care, this may be an artefact of fewer preterm births. Of the 98 admissions  
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26 417 to NICU, 57 admissions (58%) were associated with complications of prematurity.  
27  
28  
29 418 Preterm birth and associated conditions (LBW, respiratory distress, poor feeding  
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31 419 and/or hypoglycaemia) frequently lead to NICU admission (Celik, Demirel, Canpolat,  
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33 420 & Dilmen, 2013). The resultant separation between young mothers and their babies  
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36 421 has negative implications for maternal well-being (Lasiuk, Comeau, & Newburn-  
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38 422 Cook, 2013) and breastfeeding (Parker et al., 2013). Admission to NICU is  
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40 423 associated with significantly increased direct health care costs (Gilbert, Nesbitt, &  
41  
42 424 Danielsen, 2003). Reduced preterm birth and subsequent NICU admission could  
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44 425 improve maternal well-being and breastfeeding initiation; while delivering substantial  
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46 426 health care savings.  
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53 428 Some maternal behaviours and stressors common to pregnancy in adolescence are  
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55 429 independently associated with preterm birth. We hypothesise that caseload  
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430 midwifery may be able to address these modifiable risk factors by enhancing  
431 antenatal engagement. Young women's clinic showed promising results; further  
432 research that is statistically powered to assess its' efficacy is warranted. We  
433 recommend caseload midwifery, with obstetric and allied health support, be offered  
434 more widely to young women within a research evaluation framework.

Accepted Manuscript

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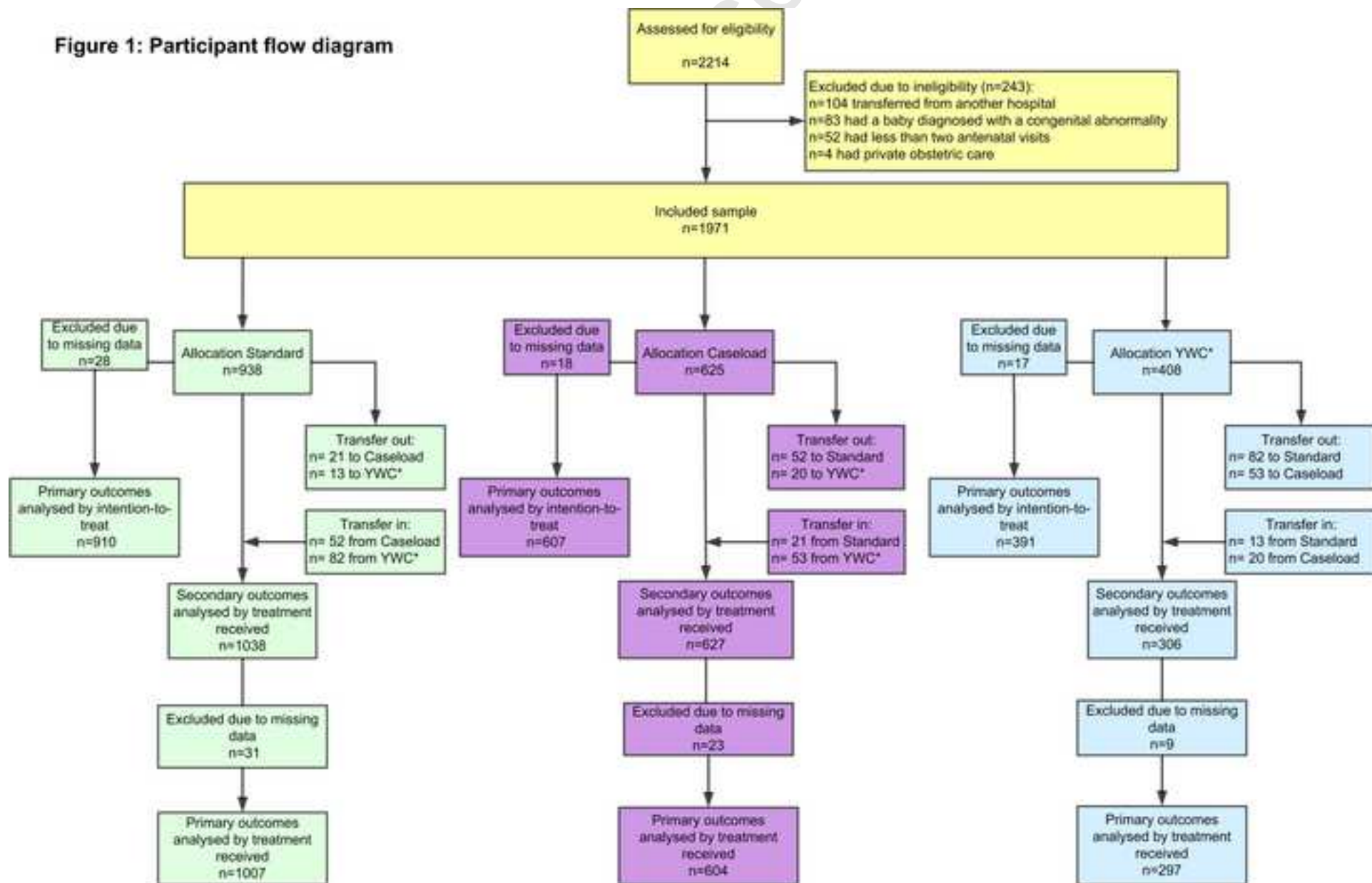
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Figure 1: Participant flow diagram



\*Young Women's Clinic.

Table 1 Differences between exposure groups and control group

	<b>Caseload care (MGP)</b>	<b>Young women's clinic (YWC)</b>	<b>Standard care (control group)</b>
<b>First visit</b>	<ul style="list-style-type: none"> <li>• Primary MGP midwife conducts a home visit</li> <li>• One of two obstetricians conducts obstetric visit at the community venue</li> </ul>	<ul style="list-style-type: none"> <li>• One of four YWC midwives conducts visit in community venue</li> <li>• One of two obstetricians conducts obstetric visit at the community venue</li> </ul>	<ul style="list-style-type: none"> <li>• Rostered midwife conducts visit in a community or hospital clinic</li> <li>• Hospital-based obstetric visit with junior or senior obstetrician</li> </ul>
<b>Subsequent antenatal care</b>	<ul style="list-style-type: none"> <li>• All four MGP midwives provide group antenatal care at community venue</li> </ul>	<ul style="list-style-type: none"> <li>• One of four YWC midwives provides individual visits at community venue</li> </ul>	<ul style="list-style-type: none"> <li>• Rostered midwives provide individual visits in a community or hospital clinic.</li> </ul>
<b>Relationship with care providers</b>	<ul style="list-style-type: none"> <li>• Continuity of carer with a primary MGP midwife</li> <li>• Meets the back-up MGP midwives at group antenatal care</li> <li>• Continuity of care from one of two obstetricians</li> </ul>	<ul style="list-style-type: none"> <li>• Continuity of care from four rostered midwives</li> <li>• Continuity of care from one of two obstetricians</li> </ul>	<ul style="list-style-type: none"> <li>• Maternity care provided by multiple different midwives and obstetricians.</li> <li>• Some women see a family physician</li> </ul>
<b>Antenatal planning and support</b>	<ul style="list-style-type: none"> <li>• Weekly conferences of complex cases includes input and planning from MGP and YWC midwives, an obstetrician, social worker and child protection</li> <li>• On-site psychosocial assessment and support available from a social worker, who can see women immediately if required</li> <li>• Peer support workers assist with housing, income support, legal issues and access to education and training</li> </ul>		<ul style="list-style-type: none"> <li>• Referral to a risk planning meeting with clinicians and allied health unfamiliar with the individual</li> <li>• Referral to allied health with typical two week wait time</li> <li>• No direct access to this community-based service</li> </ul>
<b>After hours contact</b>	<ul style="list-style-type: none"> <li>• Primary or back-up MGP midwife available 24 hours a day via mobile telephone</li> </ul>	<ul style="list-style-type: none"> <li>• Rostered midwife available via hospital telephone number</li> </ul>	

<b>Intrapartum care</b>	<ul style="list-style-type: none"> <li>• Primary or back-up MGP midwife in the birth suite</li> <li>• Known midwifery carer in labour is provided</li> <li>• Obstetric care by rostered doctors is provided if indicated</li> </ul>	<ul style="list-style-type: none"> <li>• Rostered midwife in the birth suite</li> <li>• Known midwifery carer in labour is not provided</li> <li>• Obstetric care by rostered doctors is provided if indicated</li> </ul>
<b>Inpatient postnatal care</b>	<ul style="list-style-type: none"> <li>• Provided by rostered doctors, nurses and midwives who are unfamiliar to the women.</li> </ul>	
<b>Outpatient postnatal care</b>	<ul style="list-style-type: none"> <li>• Primary or back-up MGP midwife provides home visits for six weeks</li> <li>• Known midwifery carer is provided</li> </ul>	<ul style="list-style-type: none"> <li>• Known midwifery carer is not provided</li> <li>• Rostered midwives provide home visits for 10-14 days</li> </ul>
<b>Midwives conditions</b>	<ul style="list-style-type: none"> <li>• Caseload midwives are employed on an annual salary. They work in cycles of 152 hours over four (4) weeks; and do not work in excess of twelve (12) consecutive hours in any twenty four (24) hour period</li> <li>• Each midwife cares for about 35-40 women per annum; and provides back-up care for a further 35-40 women</li> </ul>	<ul style="list-style-type: none"> <li>• Midwives are rostered prospectively to individual work units. They may rotate across all shifts and between work areas</li> <li>• Rostered midwives are paid according to the award for their level of service and whether they are full time (38 hours per week) or part time</li> </ul>



Table 2 Background demographics and antenatal risk factors<sup>a</sup> (by treatment-received)

Baseline characteristics	Standard care (n=1038)	Caseload care (n=627)	Young women's clinic (n=306)	p value
Age (years)	20 (2)	19 (2)	19 (2)	<0.001
Adolescent multiparity <sup>b</sup>	84 (8%)	28 (4%)	23 (7%)	0.015
Nulliparity	736 (71%)	534 (85%)	250 (82%)	<0.001
<b>Ethnicity<sup>c</sup></b>				
<i>Caucasian</i>	561 (54%)	486 (78%)	209 (68%)	<0.001
<i>Aboriginal and/or Torres Strait Islander</i>	141 (14%)	16 (3%)	18 (6%)	
<i>Maori and/or Pacific Islander</i>	72 (7%)	64 (10%)	35 (11%)	
<i>Other e.g. Asian, African, Middle-Eastern</i>	262 (25%)	60 (10%)	44 (14%)	
<b>Socio-Economic Index For Areas<sup>d</sup></b>				
<i>SEIFA 1</i>	274 (27%)	123 (20%)	45 (15%)	<0.001
<i>SEIFA 2</i>	34 (3%)	10 (2%)	5 (2%)	
<i>SEIFA 3</i>	188 (18%)	86 (14%)	61 (20%)	
<i>SEIFA 4</i>	252 (24%)	176 (28%)	77 (25%)	
<i>SEIFA 5</i>	286 (28%)	232 (37%)	118 (39%)	
Relationship status, single <sup>e</sup>	554 (54%)	341 (55%)	188 (63%)	0.023
Smoking at booking <sup>f</sup>	295 (28%)	149 (24%)	86 (28%)	0.097
History of illicit drug use <sup>g</sup>	247 (24%)	203 (33%)	1 (37%)	<0.001
Pre-pregnancy body mass index <sup>h</sup>	22.46 (6.63)	22.43 (6.12)	22.72 (6.17)	0.642
History of sexually transmitted infection	58 (6%)	49 (8%)	26 (9%)	0.089
History of mental illness <sup>i</sup>	163 (16%)	153 (24%)	72 (24%)	<0.001
Psychology referral offered and accepted <sup>j</sup>	21 (2%)	47 (8%)	12 (4%)	<0.001
History of family involvement with Department of Child Safety <sup>k</sup>	53 (5%)	60 (10%)	18 (6%)	0.002
Social work referral offered and accepted <sup>l</sup>	320 (31%)	317 (51%)	137 (48%)	<0.001

<b>Medical / obstetric risk factors</b>				
<i>At hospital booking</i>	132 (13%)	46 (7%)	25 (8%)	0.001
<i>At onset of labour</i>	113 (11%)	35 (6%)	17 (6%)	<0.001
<i>Hospital admission during pregnancy</i>	61 (6%)	26 (4%)	7 (2%)	0.024
<i>At booking and/or onset of labour</i>	191 (18%)	69 (11%)	33 (11%)	<0.001

### Table 2 Legend

Categorical data are analysed with a chi-squared or Fisher's exact test and are presented as n (%). Continuous data are analysed with Kruskal-Wallis test and/or Wilcoxon rank sum test and are presented as median {interquartile range}.

- a. The complete data set (n=1971) was used in the analysis of secondary outcomes. Missing data are reported for each data item.
- b. Adolescent multipara defined as participants aged 19 years or less who gave birth to a subsequent baby. This definition has been used because there is an association between giving birth to a subsequent baby aged 19 years or less, and a three-fold increase in the risk of preterm birth (Smith & Pell, 2001).
- c. Ethnicity missing data n=3.
- d. The Socio-Economic Indexes for Areas (SEIFA) was used to categorise socio-economic status. SEIFA divides areas into quintiles based on postcode with reference to income, education, employment, occupation, housing and other indicators of advantage and disadvantage. SEIFA quintile is used here; score of 1 is the lowest and 5 is the highest. Missing data n=4.
- e. Relationship status was defined dichotomously as partnered (married, defacto) or un-partnered (single, widow); missing data n=23.
- f. Smoking during pregnancy was either smoking or not smoking as self-reported at the booking visit; missing data n=2.
- g. History of illicit drug use during pregnancy was either any history of drug use (e.g. cannabis, cocaine, heroin) or no history of drug use as self-reported at the booking visit; missing data n=15.
- h. Pre-pregnancy body mass index; missing data n=32.
- i. Mental health condition was analysed as any self-reported history of mental health diagnosis (e.g. depression, anxiety, schizophrenia), compared to no previous mental health diagnosis; missing data n=3.
- j. Psychology referral; missing data n=1
- k. Department of Child Safety involvement; 'not able to ask' considered as missing data n=18; additional missing data i.e. question not answered n=3.
- l. Social work referral; missing data n=1

Table 3 Analysis for primary outcomes by intention-to-treat (ITT) and treatment-received (TR)

		Standard	Caseload	Young women's clinic	Caseload vs. Standard		YWC vs. Standard	
					Odds ratio (95% CI)	p value	Odds ratio (95% CI)	p value
Preterm birth	ITT	103 (11%)	35 (6%)	30 (8%)	OR 0.48 (0.32-0.71) <b>aOR<sup>a</sup> 0.59 (0.38-0.90)</b>	<0.001 <b>0.014</b>	OR 0.65 (0.43-1.00) aOR <sup>a</sup> 0.79 (0.50-1.25)	0.048 0.313
	TR	110 (11%)	35 (6%)	23 (8%)	0.50 (0.34-0.74) <b>aOR<sup>a</sup> 0.65 (0.42-0.99)</b>	0.001 <b>0.042</b>	0.68 (0.43-1.09) aOR <sup>a</sup> 0.84 (0.51-1.37)	0.113 0.476
Low birth weight infant	ITT	89 (10%)	28 (5%)	19 (5%)	OR 0.45 (0.29-0.69) aOR <sup>b</sup> 0.74 (0.41-1.37)	<0.001 0.340	OR 0.47 (0.30-0.80) <b>aOR<sup>b</sup> 0.49 (0.24-1.00)</b>	0.004 <b>0.049</b>
	TR	95 (9%)	28 (5%)	13 (4%)	0.47 (0.30 – 0.72) aOR <sup>b</sup> 0.79 (0.43-1.44)	0.001 0.441	0.44 (0.24-0.80) aOR <sup>b</sup> 0.46 (0.21-1.00)	0.007 0.051
Admission to neonatal intensive care unit	ITT	61 (7%)	14 (2%)	13 (3%)	OR 0.33 (0.18-0.59) <b>aOR 0.42<sup>c</sup> (0.22-0.82)</b>	<0.001 <b>0.010</b>	OR 0.48 (0.26-0.88) aOR 0.56 <sup>c</sup> (0.28-1.09)	0.018 0.089
	TR	67 (7%)	12 (2%)	9 (3%)	0.28 (0.15-0.53) <b>aOR<sup>c</sup> 0.35 (0.18-0.69)</b>	<0.001 <b>0.003</b>	0.44 (0.22-0.89) aOR <sup>c</sup> 0.54 (0.25-1.17)	0.022 0.117
Breastfeeding initiation <sup>d</sup>	ITT	687 (79%)	494 (83%)	317 (83%)	OR 1.38 (1.05-1.80) aOR <sup>e</sup> 1.31 (0.92-1.84)	0.020 0.130	1.36 (0.99-1.85) aOR <sup>e</sup> 1.39 (0.95-2.05)	0.057 0.092
	TR	783 (79%)	513 (84%)	250 (83%)	1.41 (1.08-1.83) aOR <sup>e</sup> 1.24 (0.89-1.75)	0.011 0.208	1.33 (0.95-1.87) aOR <sup>e</sup> 1.17 (0.78-1.77)	0.094 0.442

**Table 3 Legend**

Grey shaded results by intention-to-treat analysis (n=1908): Standard (n=910), Caseload (n=607), Young women's clinic (n=391). Unshaded results by treatment-received analysis (n=1908): Standard (n=1007), Caseload (n=604), Young women's clinic (n=297). Outcome data are reported as n (%). Odds Ratios (OR) and Adjusted Odds Ratios (aORs) are presented with 95% Confidence Intervals (CIs) and Probability values (p value).

- a. Adjusted for antenatal attendance, body mass index (BMI), ethnicity, marital status, medical and/or obstetric risk, smoking at booking, and socio-economic status.
- b. Adjusted for antenatal attendance, BMI, ethnicity, medical and/or obstetric risk, preterm birth, smoking at booking and socio-economic status.
- c. Adjusted for antenatal attendance, caesarean birth, ethnicity, low birth weight, preterm birth, smoking at booking and socio-economic status.
- d. Breastfeeding initiation includes breastfeeding and/or expressed breast milk only. Stillborn babies excluded. Feeding recorded as either 'not applicable', 'gavage' or 'other' treated as missing data (n=64).
- e. Adjusted for admission to a neonatal nursery, birth weight, BMI, ethnicity, marital status, maternal age, medical and/or obstetric risk, mode of birth, nulliparity, opioids / regional analgesia in labour, preterm birth, smoking at booking, and socio-economic status.

Table 4 Bivariate analysis for secondary outcomes<sup>a</sup> by treatment received (model of care on admission for labour / birth)

	Standard care (n=1038)	Caseload care (n=627)	Young women's clinic (n=306)	p value
<b>Maternal Outcomes</b>				
<b>Less than five antenatal visits</b>	120 (12%)	41 (7%)	24 (8%)	0.002
<b>Antenatal hospitalisation</b>	88 (8%)	44 (7%)	18 (6%)	0.256
<b>Labour onset</b>				
Spontaneous	693 (67%)	434 (69%)	217 (71%)	0.312
Induction	276 (28%)	176 (29%)	74 (25%)	0.531
Planned CS	69 (7%)	17 (3%)	15 (5%)	0.003
<b>Labour augmentation</b>				
Amniotomy <sup>b</sup>	252 (37%)	187 (44%)	98 (46%)	0.025
Oxytocin <sup>c</sup>	138 (20%)	119 (28%)	70 (32%)	<0.001
<b>Analgesia in labour<sup>d</sup></b>				
Opiate analgesia	304 (29%)	195 (31%)	92 (30%)	0.724
Regional analgesia	374 (39%)	228 (37%)	129 (44%)	0.124
<b>Mode of birth<sup>e</sup></b>				0.402
Spontaneous	737 (71%)	440 (70%)	205 (67%)	
Instrumental	112 (11%)	82 (13%)	42 (14%)	
Caesarean	189 (18%)	105 (17%)	59 (19%)	
<b>Neonatal Outcomes</b>				
<b>Gestation at birth, median weeks<sup>f</sup></b>	39 (2)	40 (1)	39 (1)	<0.001
<b>Birth weight, median grams<sup>f</sup></b>	3330 (700)	3450 (644)	3406 (690)	<0.001

<b>Small for gestational age<sup>g</sup></b>	119 (12%)	60 (10%)	37 (12%)	0.436
<b>Stillbirth</b>	12 (1%)	5 (1%)	0 (0%)	0.154
<b>Apgar &lt;7 at 5 minutes<sup>h</sup></b>	30 (3%)	15 (2%)	1 (0.33%)	0.032
<b>Admission to a separate neonatal nursery</b>	129 (12%)	46 (7%)	24 (8%)	0.001
<b>Breastfeeding on discharge<sup>i</sup></b>	740 (75%)	493 (80%)	220 (73%)	0.010

**Table 4. Legend**

- a. The complete data set (n=1971) was used in the analysis of secondary outcomes. Missing data are reported for each data item.
- b. of those who went into spontaneous labour (n=1354) and were augmented with ARM; missing data n=33.
- c. of those who went into spontaneous labour (n=1354) and were augmented with oxytocin; missing data n=4.
- d. Analgesia in labour excluded participants who did not labour i.e. had a planned caesarean section; missing data n=1.
- e. Instrumental vaginal includes forceps and vacuum assisted births.
- f. Two-sample Wilcoxon rank sum test; p value for significance adjusted to 0.025.
- g. Small-for-gestational age, defined as <10<sup>th</sup> centile on customised birth weight model; missing data n=70.
- h. Apgar score less than 7 at 5 minutes; missing data n=9.
- i. Exclusive breastfeeding (breast and/or breastmilk) at the time of hospital discharge; missing data n=62.