- 1 TITLE: Evaluating protected area effectiveness using bird lists in the Australian Wet Tropics
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- 4 Running Title: Evaluating protected area effectiveness using bird lists
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- 20 21 ABSTRACT
- Aim:
- 22
- 23 Protected areas underpin many global conservation efforts. However, it is often
- 24 unclear whether they are effective in maintaining their biodiversity values, both
- 25 absolutely, and also relative to other conservation actions or land uses.
- 26 Longitudinal population data are critical for determining protected area
- 27 performance robustly, but such data are rare. As such, there is often insufficient
- 28 information with which to make adequate, informed decisions for policy and
- 29 management. Conversely, informally collected data, such as species lists, are
- 30 common, especially for birds, and they are often the only source of historical
- 31 data. The aim of this study was to use list data to evaluate the contribution of
- 32 protected areas to the conservation of endemic birds.
- 33
- 34 Location: Wet Tropics bioregion of Queensland, Australia.
- 35 36 Methods:
- 37 We used a List Length Analysis (LLA), a recently developed approach. We
- 38 estimate trends in species populations with a Bayesian logistic regression to
- 39 infer bird presence from non-standardised volunteer collected bird surveys from
- 40 the New Atlas of Australian Birds that were conducted both inside and outside
- 41 protected areas.
- 42
- 43 Results:
- 44 Overall, the prevalence of the majority of wet tropics endemics (18 of 21) has
- 45 been stable since 1998. Sixteen species were more likely to be found within
- 46 protected areas, two were more likely to be recorded outside, and three showed
- 47 little difference. However, there was no difference in trends in prevalence
- 48 between protected and unprotected areas for all but one endemic species.
- 49

- 50 Main Conclusions: Where formal data collection is too expensive or time
- 51 consuming, it may still be possible to inform decision-making when citizen-
- 52 collected species list data are available. In the Wet Tropics, our results suggest
- 53 that for the majority of the species, protected areas may contain better habitat
- 54 than unprotected areas, but that birds inside protected areas are not
- significantly better off through time than birds outside protected areas, as long
- as forest outside protected areas remains intact.
- 57
- Key Words: Australian Wet Tropics, birds, citizen science, conservation evaluation,
   impact evaluation, protected areas

# 6061 INTRODUCTION

- 62 Current global conservation strategies rely heavily on protected areas (CBD
  63 2010). Currently, protected areas cover 12 -15% of the world's land surface area
  64 (WCMC & WDPA, 2012). Under new targets (17% terrestrial coverage by 2020)
  65 set at the most recent CBD conference (CBD 2010), they are likely to continue
- 66 expanding. Despite this, extinctions approach mass extinction rates (Barnosky *et*
- 67 *al.*, 2011). In this study we endeavor to evaluate the contribution of protected 68 areas to the conservation of birds.
- 69

70 As a minimum, protected areas are expected to maintain the biodiversity values 71 for which they have been established. Some examples of protected areas with 72 effective management have successfully maintained populations of greater one-73 horned rhinoceros (Rhinoceros unicornis) in Chitwan National Park, Nepal 74 (DNPWC, 2009), and achieved recovery and ongoing persistence of the kakapo 75 (Strigops habroptilus) and kiwis (Apteryx spp.) in New Zealand (Department of 76 Conservation, 2011). However, many governments, scientists, NGOs and 77 management agencies also expect protected areas to be a conservation panacea, 78 maintaining all of the biodiversity values contained therein, not just specifically 79 targeted species and systems, in the face of substantial threats and competing 80 land uses. Unfortunately, the mere existence of a protected area is far from a 81 guarantee that it will achieve its biodiversity goals (Dudley & Stolton, 1999; 82 McKinney, 2002), and it is unclear whether protected areas are able to retain 83 viable populations of vertebrate fauna (Redford & Feinsinger, 2003). Indeed, 84 some protected areas cannot even maintain targeted species within their 85 boundaries (Craigie *et al.*, 2010). Consequently, there is an urgent need for more 86 comprehensive and systematic impact evaluation in conservation, especially 87 with regard to the effectiveness of protected areas (Ferraro & Pattanayak, 2006). 88 89 Long-term systematic population monitoring data are critical for evaluating 90 protected area impact (Lindenmaver & Likens, 2009), but they are uncommon. 91 Collecting high-quality data of sufficient duration and frequency to enable 92 separation of natural fluctuations from ongoing trends requires high levels of 93 monitoring investment, institutional support, and capacity that remains stable 94 over long periods of time (Szabo et al., 2010). Faced with limited resources, 95 managers are often reluctant to invest in monitoring when they could instead 96 allocate resources to management actions (Ferraro & Pattanayak, 2006). 97 Consequently, where monitoring data within protected areas do exist, they often 98 originate from short-term studies or were collected for other purposes (Field et

- *al.*, 2007), and complementary control data are rarely collected. As a result,insufficient data exist with which to determine the return on investment from
- 101 protected areas, and proving that a protected area delivers outcomes above and
- 102 beyond no action, or alternative actions, is challenging. Without an
- 103 understanding of how populations in protected areas change through time
- 104 relative to control sites, management is problematic, and best-practice
- 105 management is not possible (e.g. Lindenmayer *et al.*, 2008).
- 106

107 Ideally, protected area performance would be quantified using a standardised 108 BACI (Before After Control Impact) monitoring program (Lindenmayer & Likens, 109 2010). However, protection has usually been established well before resources 110 were dedicated to biological monitoring. Where only post-establishment data are 111 available, a comparison of temporal change in biodiversity values within and 112 outside of PAs is the best option to determine the impact of protection. Use of an 113 external (preferably matched) control approximates likely conditions in the 114 absence of protection. Studies that evaluate the benefit of protection for 115 vertebrate populations relative to an appropriate control are rare (but for 116 examples see Devictor et al., 2007; Western et al., 2009; Hoffmann et al., 2010). 117 In contrast, studies that compare species richness and/or abundance within and 118 outside PAs at a given time to estimate relative performance are common (e.g. 119 Sinclair et al., 2002; Gardner et al., 2007), but fundamentally flawed as a 120 performance measure, since they only tell us the relative diversity of the sites 121 rather than their capacity to maintain species through time. Without the 122 temporal component, it is impossible to tell whether differences are attributable 123 to initial differences between the two sites (establishment bias), or the result of 124 differential management. There is an urgent need for more comprehensive and 125 systematic impact evaluation in conservation, especially with regard to the 126 effectiveness of protected areas (Bruner et al., 2001; Ferraro and Pattanyak, 127 2006). Knowing if and when protected areas are effective, conservation 128 strategies will ensure those lessons can be applied to maximise biodiversity 129 outcomes.

130

131 Meanwhile, protected area policy and management decisions must be made, and 132 conservation practitioners often make decisions without sufficient information 133 (Cook et al., 2010). Where limited or no information is available, ad-hoc 134 volunteer-collected data can be valuable substitute to evaluate biodiversity 135 status and trends, support the identification of monitoring priorities and inform 136 policy and adaptive management (Tulloch *et al.*, 2012). Citizen science is by no means a new innovation (Bock & Lepthien, 1975; Root, 1988), but programs that 137 utilise citizen science are increasingly popular, and many organisations now hold 138 139 decades-long datasets of amateur observations that can inform estimates of 140 species distributions, abundance and phenology (reviewed in Tulloch et al., 141 2013). 142

143 Species lists are probably the most common form of biodiversity data available

- 144 (Szabo *et al.*, 2010). List data generally cover greater geographic extent and
- 145 longer time periods than more systematic surveys, and are relatively inexpensive
- to collect (Geissler & Noon, 1981; Bart, 2005). Further, historical lists are often
- 147 available where no other monitoring data exists (Szabo *et al.*, 2010). Thus, list

- 148 data represent a vast underutilised resource that can help to shed light on the
- 149 current state of biodiversity, especially for birds (Szabo *et al.*, 2010). Unlike
- 150 other data, they often encompass areas both within and outside of protected
- areas. This presents an exciting opportunity to conduct a robust evaluation of the
- relative performance of protected areas using a control/impact trend evaluationframework.
- 154
- 155 Until recently, volunteer-collected data have often been considered unreliable 156 and hard to interpret, limiting their use (Breed *et al.*, 2013). List Length Analysis
- 157 (LLA) is a technique that employs logistic regression to make inferences from
- species lists using the number of species on a list for a particular visit as the
- 159 primary proxy for survey effort and detectability (Franklin, 1999; Szabo *et al.*,
- 160 2010). In this study, we use the LLA approach to evaluate the impact of protected
- areas, focusing on the tropical rainforests of the Australian Wet Tropics, and
- thereby evaluate whether the Wet Tropics protected areas are an effective
- 163 conservation mechanism for 21 endemic and restricted range species (Table 1),
- both in absolute terms and relative to unprotected forest.

## 166 **METHODS**

- 167
- 168 Study Area
- 169 The Wet Tropics bioregion of Queensland, Australia (Figure 1) is diverse and 170 threatened (WTMA, 2010). It covers 894,420 ha, which is about 0.1% of 171 Australia's land area. It includes the Australian Wet Tropics World Heritage Area 172 (WHA), designated since 1988, which constitutes most of the forests within the 173 region (UNESCO, 2013). Approximately 40% of the bioregion is within Category I 174 and II National Parks, strictly protected from extractive uses. The WHA is subject 175 to oversight by the Wet Tropics Management Authority (WTMA), which 176 administers the WHA and co-ordinates planning within the WHA. Some areas 177 outside protected area estate are subject to some management actions – often 178 restoration and clearing restrictions, by the WHA, regional councils, community 179 groups, and Nature Refuge agreements on private land. However, there are key 180 differences: protected areas often include larger contiguous patches, are more 181 likely to occur on steeper and less fertile soils, less likely to include lowland 182 rainforest, more difficult to access, and have greater restrictions on development
- 183 (Joppa & Pfaff, 2009; WTMA 2010).
- 184
- The Wet Tropics are subject to a wide range of threats and underlying pressuresand are likely to be highly susceptible to climate change (Garnett and Franklin
- 187 2014) as well as habitat fragmentation, invasive alien plant and animal species,
- 188and altered fire and hydrological regimes (WTMA 2010). The WTMA (2010)188188
- identify the primary underlying pressures as regional population growth, urbandevelopment and pollution, demand for community infrastructure, and farming;
- 190 development and polition, demand for community infrastructure, and farming; 191 while tourism and recreation also threaten the biodiversity values of the region.
- 192
- 193 The Queensland Wet Tropics are part of a global biodiversity hotspot, in which
- 194 60% of Australian butterflies (230 species), 50% Australian birds (over 300
- species), 65% of Australian ferns (over 250 species), and 36% of Australian
- 196 mammals occur (WTMA 2010). For 130 species of birds Wet Tropics closed

197 forests (including mangroves) comprise important habitat; including 23 species 198 that are either endemic or have their Australian distribution restricted to the 100 area including 12 unland rainforest endemics.

- area, including 13 upland rainforest endemics.
- 200 201 Figure 1 here
- 202

203 Dataset

204 We used a subset of the data in the New Atlas of Australian Birds (Barrett et al., 205 2003; Birdlife Australia, 2012; hereafter "the Atlas") from the Wet Tropics 206 bioregion of Queensland, Australia for the period of 1998 – 2010. Data from the 207 Atlas consists of bird survey data collected by volunteers using one of three 208 methods: 2-ha area searches for 20 min, area searches within a radius of either 209 500 m or 5 km, both for at least 20 min, or incidental sightings, of unquantified 210 area and duration, in which no formal survey was made (for details see Birds Australia, 2012). Data from all three methods were converted to lists of species 211 212 presence for specific locations. Records with less than 5km accuracy, or missing 213 projection information, were eliminated. All location points were projected in 214 AGD84 according to Australian standards. Monitoring in the Wet Tropics was poor prior to 1998 when the Atlas was established in its current form, so only 215 216 data from 1998 onwards have been used. Bioregional and protected area 217 boundaries were defined using regional ecosystem mapping data (DERM, 2011). 218 Records in unvegetated areas, including urban areas, were eliminated, and the 219 dataset was restricted to rainforest ecoregions. Spatial analysis was conducted 220 using ArcGIS 10.0 (ESRI, 2010). Lists with less than three species were 221 eliminated, as were species unreliably sampled by the method, such as seabirds 222 and nocturnal species (Szabo et al., 2010). Species with less than five records in 223 the dataset were also removed. LLA was applied, and results examined for the 224 remaining 21 endemic or regionally restricted species. Species were ranked 225 according to their level of endemicity based on Williams (2006; Table 1).

226

#### 227 Conceptual Approach: List Length Analysis

- List data have generally been considered of little use to deduce population
  trends because the effort expended to compile a list is generally unknown and
  therefore we cannot control for observation effort (Elphick, 2008). The LLA
- 231 permits estimation of trends in species relative abundance using presence-only
- data from lists collected with unknown effort. The method was first used by
- 233 Franklin (1999) and later by Szabo *et al.* (2010) and Szabo *et al.* (2011).
- Assuming that list length scales with detectability, this method uses the length of
- the list to control for factors that affect detectability on a particular day,
- including survey effort, weather, and observer skill (see Appendix S1 in
- supporting information). For species where this assumption was not met: i.e.
- reporting rate decreased with list length trends could not be estimated.
- 239
- 240 Analysis
- 241 We used LLA to evaluate impacts and examine trends in species prevalence (i.e.
- the proportion of lists on which a species occurs in a given year) with a logistic
- 243 regression using Bayesian inference and Markov chain Monte Carlo (MCMC)
- sampling to estimate the probability of a species occurring on a list as a function
- of list length and survey year. It would be just as easy to perform LLA using a

- 246 different statistical model (e.g., probit regression) or fit it using a different
  247 technique, such as maximum likelihood or machine learning, but in our opinion
- 248 the Bayesian approach makes it more intuitive to interpret.
- 249

In Model I we included a regression term to account for protected area status(recorded in or out of the protected area) and an interaction term to estimate the

- 252 impact of protection for each species.
- 253 Model 1:

$$\Pr(y_{ij} = 1) = \operatorname{logit}^{-1}(\beta_i^{(0)} + \operatorname{log}_e(\mathbf{L}_j)\beta_i^{(1)} + \operatorname{Year}_j\beta_i^{(2)} + \operatorname{PA}_j\beta_i^{(3)} + \operatorname{Year}_j\operatorname{PA}_j\beta_i^{(4)}),$$
  
for  $i = 1, \dots, \operatorname{N}^{\operatorname{species}}; j = 1, \dots, \operatorname{N}^{\operatorname{lists}}$ 

254 255

256 where  $Pr(v_i = 1)$  is the probability of observing the  $i^{\text{th}}$  species on the  $i^{\text{th}}$  List, L is the 257 list length, and Year is year of observation (centered on 2003). The coefficients, 258  $\beta_{\ell^{(0,4)}}$ , each have normal prior distributions (see below);  $\beta_{\ell^{(0)}}$  is the intercept term 259 and reflects the overall prevalence of the species,  $\beta_{i}$  describes the multiplicative 260 effect of increasing list length,  $\beta_{i}^{(2)}$  is the term for change per year, PA is a binary 261 term for protection status (1 for inside, 0 for outside protected area),  $\beta_{i}^{(3)}$  is the effect of protection and  $\beta_{i}^{(4)}$  is the difference in change in prevalence through time 262 263 (i.e., the relative difference in trends through time, depending upon whether the 264 species is within or outside of a protected area).

265

266 We used vague priors (Gelman, 2006) for the regression coefficients (normal distribution with means zero and standard deviation 10,000). List length was 267 268 centered on the mean list length. We sampled from three MCMC chains for each 269 model and assessed convergence using multiple diagnostics, including trace 270 plots, auto-correlations plots, and the Gelman-Rubin statistic (Zuur *et al.*, 2002), 271 for each species. Convergence was always achieved within 5,000 iterations, but 272 we used a more conservative 10,000 samples as burn-in. We used a subsequent 273 20,000 iterations from each of the three chains for inference and further 274 analysis.

275

Analyses were performed in R v2.15.0 (R Development Core Team, 2012) and

- the program JAGS (Plummer, 2003) via the R package R2jags, using the Liszt
- 278 package for LLA in R (Barnes *et al.* 2013; available at
- 279 http://www.edg.org.au/free-tools/listlength.html).
- 280

## 281 **RESULTS**

282 There were 5764 lists available across the Wet Tropics between 1998 and 2009. 283 Of these, 3250 were outside, and 2514 were within protected areas. The 284 maximum list length was 127 species outside, and 94 inside, respectively. Mean 285 list length per year did not change substantially over time ( $r_2 = 0.294$ ), and there 286 was no significant difference in mean list length inside ( $\mu_{inside} = 18.4$ ) and outside ( $\mu_{outside} = 19.5$ ) protected areas (W=2834, p =0.655). Sampling effort 287 varied across years - it was highest in 2000 and 2001, driven by increased 288 289 sampling for a project to map the distribution of birds across all of Australia 290 (Barrett et al., 2003), but the distribution of sampling density across years

- remained similar within and outside of protected areas across years.
- 292

- Among the species with sufficient data, 12 are upland endemics, and nine are
  regionally restricted species or sub-species (based on Williams 2006). No species
  were preferentially recorded on shorter lists (Table 1). All 21 species reached
  convergence for all parameter values in all models (Rhat < 1.10).</li>
- 297

298 Overall, the prevalence of 18 of the 21 species evaluated has remained stable 299 since 1996 (Figure 2a, Table 1). Bower's Shrike Thrush (Colluricincla boweri) and 300 Eastern Whipbird (Psophodes olivaceus) increased and Satin Bowerbird 301 (Ptilonorhynchus violaceus) declined (Figure 2a, Table 1). Not all species were 302 equally likely to be found within and outside of protected areas (Figure 2b). Most 303 wet tropics endemics (*n*=16) were more likely to be recorded within a protected 304 area (credible interval of  $\beta_{i}$ ) parameter estimate does not overlap zero) (Figure 305 2b). Two species, Mountain Thornbill (Acanthiza katherina) and Atherton 306 Scrubwren (Sericornis keri) are more likely to be recorded outside of protected 307 areas. Changes in the prevalence of wet tropics endemics (relative to all species 308 within the community) within protected areas are similar to those in external 309 habitat (Figure 2c). However, Atherton Scrubwren was markedly more prevalent 310 within protected areas, compared to outside protected areas (Figure 2b, Table 311 1), where they are more likely to be recorded (Figure 2b).

312

313 Figure 2 here

314

## 315 **DISCUSSION**

316 Since protected areas underpin most global conservation efforts, to ensure that 317 biodiversity outcomes are maximised it is critical to understand how effectively 318 protected areas are able to protect their conservation values relative to 319 alternative management options. Recent evidence suggests that protected areas 320 often constitute important spatial refuges, and contribute to achieving holistic 321 biodiversity conservation goals (Simberloff, 1998; Devictor et al., 2007; Thiollay, 322 2007), and that species with a greater proportion of their extent within 323 protected area boundaries experienced smaller increases in extinction risk over 324 recent decades (Taylor et al. 2011, Butchart et al. 2012). However, these studies 325 did not account for establishment (placement) bias in the current protected area 326 network (Joppa & Pfaff, 2009), and are therefore unable to estimate impact 327 (Craigie et al. In Press).

328

329 Although conservation overall appears to have impacted vertebrate species 330 trends worldwide (Hoffman et al. 2010), trends within individual protected areas are variable, and it is often unclear whether they are effective in improving 331 332 or even maintaining their biodiversity values, both in absolute terms, and also 333 relative to alternative conservation mechanisms or land uses (Ferraro and 334 Pattanyak 2006). Especially in the tropics, few species have sufficient monitoring 335 data to even estimate status and trends (Sodhi et al. 2011). Meanwhile, policy 336 and management decisions are made in the absence of sufficient information. In 337 Australia, this is the first study to evaluate the impact of protected areas on 338 wildlife relative to a counterfactual alternative, as opposed to performance in 339 terms of coverage or occurrence. It also includes common species, which are 340 more useful surrogate indicators of ecosystem function and health compared to rare species (Gregory *et al.*, 2005). As a result, the findings of this study are 341

342 directly applicable to both management and policy in Australia, and application

- 343 of the approach more broadly could make impact evaluation using citizen
- 344 science possible worldwide.
- 345

#### 346 Overall Trends

347 The availability of lists across the Australian Wet Tropics (AWT) region meant 348 that it was possible to evaluate the impact of protection even though systematic 349 monitoring data are unavailable. Our results indicate that the endemic and 350 regionally restricted avifauna evaluated appear to be stable across the Wet 351 Tropics bioregion since 1998 (prevalence through time stable for 18 of the 21 352 species; Figure 2a), a finding that agrees with the most recent Red List 353 assessment (Garnett et al., 2011). Given dire predictions for a number of Wet 354 Tropics endemic species in the face of climate change (Garnett and Franklin 355 2014), it is good to know that no major declines are yet evident overall. Further, substantial portions of the Wet Tropics were also adversely affected by two 356 357 severe tropical cyclones during the study period, and resultant local declines 358 have been reported for some of the species assessed (notably Golden and Tooth-359 billed Bowerbirds) (Harrington 2011, G. Harrington pers.comm.). Though the confidence intervals for these species are broad (Figure 2a), it is promising that 360 sharp declines have not been noted, especially for Golden Bowerbirds, who are 361 362 among the most vulnerable species in the Wet Tropics under climate change.

363

#### 364 Effect of protected areas

Sixteen species were more likely to be found within protected areas, two more
likely to be recorded outside, and three showed no statistically significant
difference (Figure 2, Table 1), suggesting that these protected areas include
important core habitat for several of these species. This seems likely, as several
of these species prefer cooler, higher altitude rainforest, which is more prevalent
within protected areas as a result of bias in placement to higher, less arable
lands.

372

373 There was no difference in trends in prevalence between protected areas and 374 unprotected forests. Based on this finding, simply maintaining habitat may 375 therefore be enough to ensure the survival of most endemic and regionally 376 restricted avian species or sub-species in the Wet Tropics. It is possible that 377 there is insufficient power to detect change, but the consistency of the finding 378 across the selected species is convincing. The finding is true for all study species 379 except for the Atherton Scrubwren, which was more likely to be recorded outside the protected areas network. Overall Atherton Scrubwren is performing 380 well both inside and outside protected areas, but this species appears to be 381 382 performing better in protected areas. Although the observation sample size is restricted (Table 1), it appears robust. However, species distribution modeling 383 384 predicts a greater likelihood of occurrence within protected areas for Atherton 385 Scrubwren, which is not supported by our findings (Williams, 2006). Since 386 Atherton Scrubwren is very similar to the more widespread Large-billed Scrubwren, so it may be mis-identified, or not recorded when present, and 387 388 variation in detectability (e.g. if they are easier to identify on forest edges) may 389 also be influencing results. Alternatively, if sites of high value for Atherton 390 Scrubwren are preferentially targeted, or targeted by more skilled observers,

this might also explain the discrepancy, especially if known sites outside the
protected area network are more accessible. Either way, further investigation of
the causal mechanisms underlying the estimated trends would be worthwhile.
Further, we would recommend more systematic monitoring for species with
high uncertainty, small sample size, indicated declines and differences between

- 396 protected and unprotected areas: Golden Bowerbird, Fernwren, Atherton
- 397 Scrubwren, and Satin Bowerbird.
- 398

399 The cause for an apparent lack of difference between protected and unprotected 400 areas could be either because of equally effective, or equally ineffective land 401 management within and outside of protected areas. The forests of the entire wet 402 tropics bioregion are subject to landscape planning and broad-scale threat 403 mitigation actions by the WTMA, which made substantial investments in the last 404 20 years in restoration, education, engagement and planning across the region 405 (WTMA, 2010). Further, logging of all wet tropics rainforest ceased in 1988 406 (Kouki & Väänänen, 2000), and ecotourism has become increasingly important 407 in the AWT WHA region, currently generating around 400 million dollars per 408 annum (Prideaux & Falco-Mammone, 2007; Driml et al., 2011). Tourism dollars create an economic incentive for managing private land for biodiversity values. 409 410 As a result, one might expect the conservation values of habitat outside 411 protected areas to be retained. Active management of protected areas in the Wet 412 Tropics is essentially confined to weed control, visitor management, fire 413 management at boundaries, some feral animal control (primarily pig) and 414 facilities maintenance. More than half of the Queensland Parks and Wildlife 415 Service budget is spent on visitor facilities and management (ID Craigie, pers. 416 comm.). Biodiversity objectives are often sidelined in response to other 417 concerns, and less than 30% of operational budgets are spent on biodiversity 418 management, including monitoring and evaluation (ID Craigie, pers. comm.). 419 Given the relative contiguity of remaining rainforest, and management that 420 includes invasive species control outside protected areas, forests of both 421 protection statuses may be functionally similar, explaining the lack of relative 422 impact of protection.

423

424 Alternatively, the trends we identify in this study could be attributed to generally 425 low levels of important threats across the Wet Tropics bioregion in remnant 426 habitat, resulting in no change overall, or equally effective threat mitigation in 427 both protected and unprotected areas. Unprotected areas with remnant habitat 428 may be *de facto* protected as result of being remote, of marginal commercial 429 utility, or both (DeFries et al., 2005; Joppa & Pfaff, 2009). Alternatively, they could be subject to low levels of threat as a result of planning and broad-scale 430 431 management. The greatest marginal benefit would be expected in the presence 432 of extremely high threat (Evans et al., 2011), and may be close to nil in the absence of threat. For instance, threatened vultures in West Africa, which are 433 434 subject to direct persecution, are now found only within protected areas, 435 whereas the distribution of smaller birds, which are not targeted or hunted, 436 remain ubiquitous (Thiollay, 2007). Conversely, restriction of access and 437 retention of larger habitat patches are key actions and consequences of formal 438 protection. Another possible influence on bird density is connectivity – given 439 that protected areas appear to represent important habitat, they could be acting

440 as source populations for other areas. In other studies, proximity to rainforest or

- 441 proportion rainforest cover were the best predictors of population trajectories
  442 for several northern Australian birds (Price *et al.*, 1999), and in Finnish
- 442 for several northern Australian birds (Price *et al.*, 1999), and in Finnish 443 protected areas, proximity to contiguous habitat in Russia was the best predictor

444 of woodland bird populations (Virkkala & Rajasärkkä, 2007). Testing this is

- 445 beyond the scope of this study. It is a logical extension, but would only be
- 446 possible with longitudinal demographic data, or marking and tracking individual
- 447 birds (Pavlacky Jr., 2008; Pavlacky Jr *et al.*, 2009; Shanahan & Possingham,
- 448 2009). For species with estimated declines and high uncertainty, it is a
- 449 potentially worthwhile investment.
- 450

451 It is important to note that LLA can only estimate changes in the abundance of a 452 species relative to other species. If all species were increasing or decreasing in 453 abundance at the same rate, then there would be no measured change for any 454 species. In reality, this is exceedingly unlikely, as all species would have to respond in the same direction at the same rate simultaneously, and for the same 455 456 survey effort lists would become shorter through time (Szabo *et al.*, 2010), which 457 was not the case in this study. Nonetheless, given the absence of monitoring data for many tropical birds (and other taxa), in the absence of standardised 458 459 monitoring programs, this method is an important tool for conservation 460 managers and decision makers

461

## 462 Advantages of LLA for Impact Evaluation

463 Using LLA is a simple and cost-effective option for the post-hoc evaluation of 464 policy and management interventions when only list data is available. We used 465 LLA to evaluate the impact of protected areas, but it could easily be used to 466 investigate any comparators (e.g. areas with management action and control 467 areas), or modified to consider continuous or multinomial factors (e.g. different 468 intensities of management actions, such as feral predator control). For instance, 469 LLA has recently been applied to estimate impacts of climate change (Breed *et* 470 al., 2013), and could be applied to many other spatially or temporally distinct 471 management actions. List Length Analysis therefore has exciting implications for 472 evaluating the impacts of protection elsewhere. Species lists are often the only 473 historical data we have, and in many parts of the world the only existing data. 474 For instance, LLA could be extremely valuable to prioritise monitoring and 475 actions in regions of both high avifaunal diversity and high threat, such as the 476 Brazilian Atlantic Forest or other hotspots (Myers *et al.*, 2000). Further, such 477 evaluation does not need to be restricted to birds. Any taxa with sufficient, reliable, detectability and sampling density are viable candidates for LLA, for 478 479 example: amphibians (especially frogs), Lepidoptera, and Odonata. More 480 complex analytical techniques, such as occupancy modeling, can also be applied 481 where sufficient list data occurs (e.g. Broms et al., 2013)

481 482

## 483 Management Implications

484 Our findings have direct implications for the monitoring and management of the

485 Wet Tropics World Heritage Area and other landscape-scale management

- 486 approaches. Since the planning and management of the Wet Tropics
- 487 Management Authority has no detectable marginal benefit within the National
- 488 Reserve System, it is therefore conceivable that our results reflect the

489 effectiveness of landscape management. Maintaining intact rainforest habitat 490 therefore seems fundamental to ensure the conservation of viable populations of 491 endemic and range-restricted birds in the Queensland wet tropics in the medium 492 term. Stable overall populations over the last 14 years are reassuring from a 493 conservation standpoint, and a positive reflection of the investment in a holistic 494 land management approach in the Wet Tropics (WTMA, 2010, 2012). If this is 495 the case, then arresting further habitat conversion is critical. Clearing between 496 1970 and 1985 was immense, exceeding 1500 hectares per annum (DERM, 497 2010). It has since been reduced, but conversion of wooded habitat in the wet 498 tropics bioregion is still estimated at approximately 423 hectares per annum, 499 although the rate of conversion is lower within protected areas (DERM, 2010), 500 indicating that they may be important future refuges. Our findings are supported 501 by recent work on woodland birds in New South Wales, Australia, which 502 indicates protected area effectiveness for vulnerable woodland birds is strongly influenced by the physical characteristics and landscape context of the site, and 503 504 can diminish with changes in surrounding land use over time (Rayner et al., 505 2013).

506

507 Unfortunately, recent relaxation of land clearing laws that were intended to 508 prevent broad-scale vegetation clearing in Queensland (Queensland

Government, 2013) are likely to result in increased land use conversion. If the
 persistence of birds in Australia can be achieved with simple protection of

511 habitat, Category I – IV protected areas are therefore likely to become more

512 important: legally, they are currently the only areas in Australia that are
513 protected from mining and logging in perpetuity (*Nature Conservation Act 1992*,

protected from mining and logging in perpetuity (*Nature Conservation Act 1992, Qld*), at least in most jurisdictions. However, even when protected areas are
 successful in maintaining species populations, gap analysis based on biodiversity

hot spots and threatened species coverage has concluded that protected areas
alone are not adequate for nature conservation in the long term (Rodrigues *et al.*,
2004; Virkkala & Rajasärkkä, 2007; Watson *et al.*, 2010). Both protected areas
and off-reserve conservation schemes have important roles to play in securing
species populations (Rayner *et al.*, 2013), and the actions of the WTMA and local
landholders in the face of these challenges will therefore be vital for ongoing
maintenance of rainforest avifauna.

522 523

## 524 Acknowledgements

525 The National Environmental Research Program Environmental Decisions Hub 526 funded this work. The authors wish to acknowledge Tara Martin for review, Peter Vesk and Peter Baxter for code analytical advice and review, Luke Shoo, 527 528 Ian Craigie and Marc Hockings for review, Bill Venables for code and analytical 529 advice. Kerrie Mengerson and David Rohde for their sage advice regarding 530 Bayesian methods. We also wish to acknowledge the work of countless volunteer 531 citizen scientists who have informed this work through their love of birds, and 532 BirdLife Australia in compiling the Bird Atlas. HPP and MB were supported by 533 National Environmental Research program funding. We also thank two 534 anonymous editors, and associate editor Mathieu Rouget for their invaluable 535 comments.

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777	

Table 1. List of species included in this analysis with common and scientific names, identification code and level of endemicity (1 =

upland endemics, only found above 700m, 2 = regionally restricted species or sub-species, only found in the AWT, 3 = regionally

restricted species or subspecies only found in rainforest within Queensland (after Williams 2006 and WTMA 2010). Sample size shows

the number of occurrences of each species on all lists, only lists within protected areas, and only lists outside protected areas. The

relative impact column describes the estimated impact of protection: 1 = detected relative impact, 0 = no difference in detected impact

783 between treatments.

	Scientific Name (Chrisitidis	ID	Endemicity	Number of Records			Relative
Common Name	& Boles, 2008)	Code	ŗ	All	In PAs	<b>Outside PAs</b>	Impact
Atherton Scrubwren	Sericornis keri	ATSC	3	158	57	101	1
Australian King-Parrot	Alisterus scapularis	AKPA	1	209	133	76	0
Bower's Shrike-thrush	Colluricincla boweri	BOST	1	228	126	102	0
Bridled Honeyeater	Lichenostomus frenatus	BRIH	3	437	272	165	0
Brown Gerygone	Gerygone mouki	BRGG	2	426	318	108	0
Chowchilla	Orthonyx spaldingii	CHOW	3	290	170	120	0
Double-eyed Fig-Parrot	Cyclopsitta diophthalma	DEFP	3	336	175	161	0
Eastern Whipbird	Psophodes olivaceus	EAWH	3	644	444	200	0
Fernwren	Oreoscopus gutturalis	FEWR	1	138	63	75	0
Golden Bowerbird	Amblyornis newtonianus	GOBB	1	81	44	37	0
Grey Fantail	Rhipidura albiscapa	GRFA	3	893	564	329	0
Grey-headed Robin	Heteromyias cinereifrons	GHRO	1	513	341	172	0
Macleay's Honeyeater	Xanthotis macleayanus	MAHE	2	526	360	166	0
Mountain Thornbill	Acanthiza katherina	MOTB	1	190	71	119	0
Pale-yellow Robin	Tregellasia capito	PYRO	3	624	421	203	0
Pied Monarch	Arses kaupi	PIMO	2	213	153	60	0
Satin Bowerbird	Ptilonorhynchus violaceus	SABB	1	136	99	37	0
Spotted Catbird	Ailuroedus melanotis	SPCB	3	598	403	195	0
Tooth-billed Bowerbird	Scenopoeetes dentirostris	TBBB	1	192	118	74	0
Victoria's Riflebird	Ptiloris victoriae	VIRI	2	514	345	169	0
Yellow-breasted Boatbill	Machaerirhynchus flaviventer	YBBB	3	297	204	93	0

785 Figures786



- 787 788
- Figure 1. Protected area polygons (grey polygons) and distribution of lists
  included (grey circles) both within and outside of protected areas in the
  Australian Wet Tropics, and location of the bioregion in Australia (inset).....5





793 Figure 2. Median parameter estimates with upper and lower 95% credibility 794 intervals for all selected species (n=21). (a) Overall annual change in median 795 prevalence between 1998 and 2012, where credible intervals overlap zero 796 no significant change in prevalence has been detected. (b) Likelihood of 797 occurrence in a protected area. Species with estimates significantly greater 798 than zero are more likely to be recorded within protected areas, species 799 with estimates significantly less than zero are more likely to be recorded outside of protected areas, where credible intervals overlap zero, species 800 exhibit no significant difference in likelihood of being recorded. (c) Impact 801 of protection: species with significantly positive parameter estimates 802 803 exhibit a relatively greater increase in prevalence within protected areas. Significantly negative parameter estimates indicate a relatively greater 804 increase in prevalence outside of protected areas. Species for which credible 805 intervals overlap zero exhibit no difference in change in prevalence between 806 protected and unprotected areas. Full species names are listed in Table 1. 807 Colours indicate the degree of rainforest specialisation as defined by 808 809 Williams (2006). Yellow = Rainforest obligate, Green = Rainforest is core 810 habitat, but also found in wet schlerophyll and Teal= Rainforest is a main 811 habitat, but the species is also common in other forested habitat ......7 812

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#### 816 Supporting Information

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#### 818 APPENDIX S1: Conceptual Approach: List Length Analysis

819
820 Lists are species data that, at a minimum, constitute a list of species identified
821 during a survey, from which the number of species encountered (list length) can
822 be calculated. List Length Analysis (LLA) is a technique developed to estimate
823 population trends from lists using logistic regression in a Bayesian framework.
824

- 825 The technique was first developed by Franklin (1999) to deal with the problem of unknown effort while quantifying long-term declines in granivorous birds in 826 827 Northern Australia. Franklin (1999) used change in relative likelihood of 828 occurrence on species lists to estimate trends. Standard LLA fits a three-829 parameter logistic regression and makes the simple assumption that the more 830 species that are reported during a given survey, the greater the observation 831 effort. The method also assumes a stable community size through time, so that 832 any change through time is assumed to be a result of change in detectability. 833 Adding the list-length parameter to the regression acts as a complex proxy for 834 observer effort and detectability. Use of virtual data (Baxter *et al.* in prep) 835 indicates that list length analysis is superior to reporting rate analysis (i.e. LLA
- 836 without list-lengths) in predicting trends.
- 837

Szabo *et al.* (2010) extended Franklin's methods by examining the relative
performance of various modifications of Franklin's equation in both Bayesian
and frequentist frameworks. They found the simple List Length corrected model
in a Bayesian framework to be more robust compared to a frequentist
framework. In a subsequent publication the technique was validated in
comparison to more robust population survey methods (Szabo *et al.* 2011).

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845 The probability of observing a given species tends to be low on the shortest lists, 846 and reaches 1.0 as the list length reaches the total number of species in all lists 847 combined (i.e. the whole community). List Length Analysis monitors the 848 relationship between the probability of the presence of a species and the length 849 of the lists it occurred on through time. Rare species have a low probability of 850 detection that remains close to zero as list length increases, reaching 1.0 only on 851 the longest lists. Common species have a higher probability of occurrence and 852 detection and compared to rare species are more likely to be recorded on short 853 lists. Therefore, when the relative abundance of a given species changes over time, the curve of the probability of its observation versus list length will change 854 855 correspondingly. The reporting rate (i.e. the proportion of lists on which a 856 species occurs, or the probability of occurrence of a particular species on a list of 857 a given length) will decrease as a species becomes less abundant and increase as 858 it becomes more abundant. Since data are relative, as one species becomes more 859 common and its curve shifts up and towards the left, the remaining species become relatively less common and their curves shift down and towards the 860 861 right. The larger the species pool, the smaller the effect of any one species on the 862 others.

### 864 LITERATURE CITED: Supporting Information

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- 875

BioSketch:

#### 877

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879 in the Centre for Biodiversity and Conservation at the University of Queensland.

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885

All authors are current or past members of the Centre for Excellence in

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888 of the National Environmental Research Program Environmental Decisions Hub.

889The authors focus on developing tools for working with citizen science data

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tools/listlength.html). All four authors work on different aspects of applied

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and management in Australia and worldwide.