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Equivalent stress block for normal-strength concrete incorporating strain gradient effect

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To account for the different behaviours of concrete under uniaxial compression and bending in the flexural strength design of reinforced concrete (RC) members, the stress–strain curve of concrete is normally scaled down so that the adopted maximum concrete stress in flexural members is less than the uniaxial strength. However, it was found from previous experimental research that the use of a smaller maximum concrete stress would underestimate the flexural strength of RC beams and columns. To investigate the effect of strain gradient on the maximum concrete stress developed in flexure, a total of 12 plain concrete and RC inverted T-shaped specimens were fabricated and tested under concentric and eccentric loads separately. The maximum concrete stress developed in the eccentric specimens was determined by modifying the concrete stress–strain curve obtained from the counterpart concentric specimens based on axial force and moment equilibriums. The test results revealed that the maximum concrete stress increases with strain gradient up to a certain maximum value. A formula was developed to correlate the maximum concrete stress to strain gradient. A pair of equivalent rectangular concrete stress block parameters that incorporate the effects of strain gradient was proposed for flexural strength design of RC members.

Notation

- A_{α} area of column cross-section
- A_s area of steel bar
- b width of cross-section
- c neutral axis depth
- d distance of longitudinal steel bar to extreme compressive fibre (Equations 5, 6 and 7) or effective depth of cross-section
- E_s Young's modulus of steel bar
- f_{av} average concrete compressive stress over compression area in flexural members
- f'_{s} uniaxial concrete compressive strength represented by cylinder strength
- f_{cu} uniaxial concrete compressive strength represented by cube strength
- f_{max} maximum concrete compressive stress developed under flexure
- f_s stress of steel bar
- f_{y} yield strength of steel bar
- h height of cross-section

cube (f_{cu}) strength

- β ratio between height of equivalent rectangular concrete compressive stress block and neutral axis depth
- \mathcal{E} concrete strain
- $\varepsilon_{\rm cu}$ ultimate concrete strain at extreme compressive fibre measured at maximum load of eccentrically loaded specimen
- ρ_s longitudinal reinforcement ratio
- σ concrete stress
- $\sigma_{\rm c}$ concrete compressive stress in concentrically loaded specimen
- ϕ strain gradient

Introduction

In flexural strength design of reinforced concrete (RC) members, it is essential to determine the concrete stress distribution in the compression zone when the extreme concrete fibre reaches a defined ultimate concrete strain. By assuming plane sections remain plane before and after bending, the strain distribution across the depth of section will be linear, as shown in Figure 1(b). The concrete stress distribution in the compression zone, which is obtained from its uniaxial stress–strain curve, is shown in Figures 1(c) and 1(d). These figures show that the actual concrete stress distribution is non-linear, which is defined by three parameters, k_1 , k_2 and k_3 ([Attard and Stewart, 1998](#page-16-0); [Bae](#page-16-0) [and Bayrak, 2003;](#page-16-0) [Hognestad](#page-17-0) et al., 1955; [Ibrahim and MacGre](#page-17-0)[gor, 1996, 1997;](#page-17-0) Kaar et al.[, 1978; Ozbakkaloglu and Saatcioglu,](#page-17-0) [2004; Sheikh and Uzumeri, 1980](#page-17-0); [Soliman and Yu, 1967](#page-17-0); [Tan](#page-17-0) [and Nguyen, 2004, 2005\)](#page-17-0). k_1 is the ratio of average stress f_{av} over the compression area to maximum stress developed under flexure f_{max} ; k_2 is the ratio of distance between the extreme compressive fibre and the resultant force of the stress block (P_c) to that between the same fibre to the neutral axis (c) ; k_3 is the ratio of f_{max} to uniaxial concrete strength f'_{c} or f_{cu} .

Since the actual concrete stress distribution is non-linear, it is relatively cumbersome to use it directly in the practical flexural strength design of RC members. Therefore, in practice, the actual concrete stress block is replaced by an equivalent rectangular concrete stress block as shown in Figure 1(e), which has the same area and centroid as the actual concrete stress distribution under flexure. In this case, k_1 , k_2 and k_3 are combined and expressed in terms of α and β , which are the parameters of the equivalent rectangular concrete stress block commonly adopted in various current RC design codes ([ACI, 2008; CEN, 2004;](#page-16-0) [SNZ, 2006\)](#page-17-0):

$$
1. \qquad k_1k_3 = \alpha\beta
$$

$$
k_2=0.5\beta
$$

where α is the ratio of equivalent concrete compressive stress developed under flexure to concrete cylinder (f'_c) or cube (f_{cu}) strength and β is the ratio of the height of equivalent rectangular concrete compressive stress block to neutral axis depth (c) . An ideal equivalent rectangular concrete stress block should give a precise representation of the magnitude and location of the resultant concrete compressive force in order to provide an accurate estimate of the flexural strength of RC members.

The currently adopted values of α and β in the aforementioned RC design codes for the flexural strength design of RC members are summarised in Table [1](#page-2-0), which shows that the values of α and β are dependent only on the concrete strength. To study the accuracy of α and β values for flexural strength estimation, a comparison of the theoretical strengths of RC members predicted by the American Concrete Institute code AIC 318M-08 [\(ACI,](#page-16-0) [2008\)](#page-16-0) (M_{ACI}), Eurocode 2 ([CEN, 2004\)](#page-16-0) (M_{EC}) and the New Zealand code NZS 3101 [\(SNZ, 2006\)](#page-17-0) (M_{NZ}) with their corresponding measured flexural strengths M_t tested by some researchers [\(Debernardi and Taliano, 2002](#page-16-0); Lam et al.[, 2003; Mo and](#page-17-0) [Wang, 2000](#page-17-0); [Pecce and Fabbrocino, 1999\)](#page-17-0) is summarised in Table [2](#page-2-0): the theoretical strengths are consistently smaller than the measured strengths and the maximum underestimation in flexural strength can reach 23%. This indicates that the values for α and β stipulated in the current RC design codes are underestimated. It should be noted that the underestimation of flexural strength of

Figure 1. Concrete stress block parameters: (a) cross-section; (b) strain distribution; (c) stress–strain curve under flexure; actual stress distribution at ultimate state; (d) actual stress distribution at ultimate state; (e) equivalent rectangular stress block

* Based on UK National Annex

Table 1. Values of α and β stipulated in various current RC design codes

* [Pecce and Fabbrocino \(1999](#page-17-0))

† [Debernardi and Taliano \(2002](#page-16-0))

‡ [Mo and Wang \(2000](#page-17-0))

§ Lam et al. [\(2003\)](#page-17-0)

Table 2. Comparison of flexural strengths obtained from codes and previous tests

beams and columns should be treated with caution because it will underestimate the shear demand of the members ([Pam and Ho,](#page-17-0) [2001\)](#page-17-0). Failure due to shear would be very brittle and should be avoided in design [\(Baczkowski and Kuang, 2008](#page-16-0); [Bukhari](#page-16-0) et al., [2010;](#page-16-0) Choi et al.[, 2010;](#page-16-0) Lu et al.[, 2009](#page-17-0)). A precise estimation of the beams' flexural strength would also allow engineers to predict the locations of plastic hinges [\(Bai and Au, 2008;](#page-16-0) [Jaafar, 2008](#page-17-0); [Pam and Ho, 2009](#page-17-0)) and hence the deformability ([Ho and Pam,](#page-16-0) [2010;](#page-16-0) [Sebastian and Zhang, 2008;](#page-17-0) Wu et al.[, 2004](#page-18-0)) of members under extreme events. Engineers may then correctly design and detail the reinforcement within the plastic hinge region for the required ductility and rotation capacity [\(Spence, 2008;](#page-17-0) [Zhou and](#page-18-0) [Zheng, 2010\)](#page-18-0).

In fact, the maximum concrete compressive stress that can be developed in the presence of a strain gradient (i.e. the ratio of extreme compressive concrete fibre strain to neutral axis depth) could be studied by the factor k_3 , and the equivalent concrete stress by α . In the past, much experimental research has been conducted to investigate the range of k_3 for RC members ([Hognestad](#page-17-0) et al., 1955; Kaar et al.[, 1978](#page-17-0); [Sheikh and Uzumeri,](#page-17-0) [1980; Soliman and Yu, 1967](#page-17-0)). The test results in this study revealed the following.

- (a) The range of k_3 varied mostly from 0.8 to 1.0 for concentrically loaded columns and from 0.9 to 1. 0 for eccentrically loaded columns; α varied from 0.8 to 1.0 for both columns. These results indicate that the maximum concrete stress that can be developed in concrete under flexure could be larger than that stipulated in the current RC design codes.
- (b) The test results on k_3 and α are fairly scattered. This implies

that the values of k_3 and α depend on other factors apart from just the uniaxial concrete cylinder/cube strength.

In this work, the effect of strain gradient on the maximum concrete compressive stress that can be developed under flexure as compared with its uniaxial strength was experimentally investigated. A total of 12 (divided into five groups) plain concrete (PC) and RC column specimens with concrete strength 2249 MPa were fabricated and tested. The cross-section properties of the specimens in each group were identical, and one specimen in each group was subjected to concentric loading while the rest were subjected to eccentric loading. From the results, ratios of the maximum concrete compressive stress (f_{max}) developed in the eccentrically loaded specimens to the maximum uniaxial compressive stress (σ_c) developed in the concentrically loaded specimens were determined. The equivalent concrete stress block parameters α and β for the tested specimens were also derived based on the obtained ratios. It was found that the values of α were dependent on strain gradient apart from concrete strength, while those of β remained relatively constant with strain gradient and concrete strength. Formulas incorporating the effects of strain gradient were developed for the equivalent rectangular concrete stress block parameters α and β .

Experimental programme

Details of test specimens

In total, 12 (groups 1 to 5) inverted T-shaped specimens were fabricated and tested in this study. Group 1 consisted of a pair of PC specimens, each of groups 2 to 4 consisted of a pair of RC specimens and group 5 consisted of four RC specimens. One of the specimens in each group was tested with concentric load while the rest were tested with eccentric load. All the specimens in each group had identical cross-sections and materials properties. The cross-section dimensions of columns and beams in all the specimens were identical $(400 \times 400 \text{ mm}^2)$. The column height was 1400 mm and the length of the beam was 1500 mm. Figure [2](#page-4-0) shows the steel reinforcement details of the specimens. The test area of the specimens was in the middle 800 mm length of the column; the rest of the specimen was much more heavily reinforced. The PC specimens did not contain any longitudinal reinforcement steel within the test area, while the RC specimens contained different amounts of longitudinal steel ratios (from 0.42 to 1.18%). The cross-sections of the test specimens were those commonly adopted by other researchers (Choi et al.[, 2009](#page-16-0); Han et al.[, 2010](#page-16-0); Sim et al.[, 2009](#page-17-0)). Table [3](#page-5-0) summarises the section properties of all the specimens. In the specimen codes, the first number refers to the concrete strength on day 28 and the second number refers to the longitudinal steel ratio.

In each group of specimens, one was tested under concentric compressive axial load to obtain the uniaxial behaviour of concrete, while the counterpart specimen(s) was/were tested under eccentric load with different eccentricities to simulate different extents of strain gradient. The eccentricities applied to

these columns were varied from 100 to 140 mm for different columns, as summarised in Table [3.](#page-5-0) The applied eccentricity has a direct implication on the specimen's failure mode. When the column is subjected to small eccentricity, it would fail in compression where the tension steel would not yield. However, when the column is subjected to large eccentricity, it would fail in tension where the tension steel would yield. The two failure modes are located in two different regions on the column interaction curve as shown in Figure [3.](#page-5-0)

The column specimen subjected to concentric load in each group was not subjected to strain gradient and served as the reference specimen; the counterpart specimens were all subjected to strain gradient. The axial load applied to the column was produced by a computerised electro-hydraulic servo-controlled multi-purpose testing machine having a maximum compressive loading capacity of 10 000 kN. Figure [4](#page-6-0) shows the test setup of the specimens subjected to concentric and eccentric loading.

Instrumentation

Strain gauges for both steel and concrete were used. Steel strain gauges were attached to longitudinal steel bars located within the testing region to measure axial and bending strains and a concrete strain gauge was attached on each face of every concentric specimen. For the eccentrically loaded specimens, 12 strain gauges (two on each bending face and four on each face perpendicular to the bending face) were attached to every specimen to measure the concrete strain distribution within the elastic range. Details of the strain gauges are shown in Figure [5.](#page-7-0)

For each specimen, 12 linear variable differential transducers (LVDTs) were installed on four sides of the specimen within the test area to measure the deformation due to axial load and/or bending moment. Two LVDTs were installed on each of the bending faces and four LVDTs were installed on each side perpendicular to the bending face. Details of the LVDTs are also shown in Figure [5.](#page-7-0)

Test procedure

For the specimens subjected to concentric load, a 20 mm steel plate was installed on top of the column to ensure a smooth contact during loading application. On the contrary, for specimens subjected to eccentric load, a guided steel roller was installed at a prescribed eccentricity on top of the steel plate. Apart from providing a smooth contact surface, this steel plate also increased the bearing capacity of the column to avoid premature local failure. Loading was applied to all the specimens in a displacement-controlled manner at a rate of 0.36 mm/min. All the data were recorded on a datalogger. Loading was terminated when it had reached more than 80% of the maximum value.

Test results and discussion

Test observations

In all the specimens, the applied axial load initially increased linearly as the axial displacement of the column increased prior

Figure 2. Details of steel reinforcement. All dimensions in millimetres; concrete cover 20 mm

to reaching about 50% of the maximum axial load. At this stage, no significant damage was observed in the concentrically loaded specimens, and flexural cracks or concrete crushing were not observed in the eccentrically loaded specimens. Subsequently, the axial displacement of the columns increased more rapidly as the stiffness reduced. When the applied load reached the maximum value, concrete cracking or crushing and cover spalling were observed.

In all the concentrically loaded specimens, compression crushes occurred around the mid-height of the columns, accompanied by inelastic buckling of the longitudinal steel. For the eccentrically loaded PC specimens, concrete crushing on the compression side and flexural cracking on the tension side were observed, accompanied by a large rotation of the column top. For the eccentrically loaded RC specimens, inelastic buckling of longitudinal steel and a diagonal concrete crack crossing the test area were observed in addition to concrete crushing and flexural cracks. Figure [6](#page-8-0) shows the conditions of two selected groups of specimens after failure.

400

Section A–A

Test results of concentrically loaded specimens

The measured concrete compressive force of the concentrically loaded column specimens is plotted against the axial displacement of the column in Figure [7](#page-9-0) on the primary axis. For the PC specimens, the total concrete compressive force is equal to the compressive axial load applied by the hydraulic servo-actuator. However, for the RC specimens, the total concrete compressive force was obtained by subtracting the compressive force contributed by the longitudinal steel from the applied axial load.

Figure [7](#page-9-0) shows concrete compressive stress plotted against concrete strain for the concentrically loaded specimens. The concrete stress was evaluated based on the gross area of concrete with steel area being subtracted in the RC specimens. The

Table 3. Section properties and applied eccentricities

Figure 3. Failure modes and column interaction diagram

concrete strain was taken as the average reading of all the LVDTs installed on all the column faces divided by the gauge length. These concrete stress–strain curves are used later in the paper to evaluate the maximum concrete compressive stress that can be developed in the counterpart eccentrically loaded specimens.

From Figure [7](#page-9-0) it is evident that:

(a) the concrete compressive force–displacement and concrete compressive stress–strain curves are fairly linear up to about 50% of the maximum force (stress), after which the

displacement (strain) increases more rapidly than the concrete compressive force (stress)

- (b) the measured maximum concrete stress is very close to its uniaxial cylinder concrete strength
- (c) specimens with higher concrete strength have a larger initial elastic stiffness.

Test results of eccentrically loaded specimens

The measured concrete compressive force of the eccentrically loaded specimens is plotted against axial displacement of the column in Figure [8](#page-9-0). The concrete compressive forces of the RC specimens were obtained by subtracting the steel force from the total load. The maximum moments acting on the specimens were evaluated by multiplying the obtained axial load by the eccentricity. These axial loads and moments are used later in the paper to back-calculate concrete stress distribution within the compression zone of the eccentrically loaded specimens.

Derivation of concrete stress block parameters

Derivation of k_1 , k_2 and k_3

The effect of strain gradient on the maximum compressive stress that can be developed in concrete was investigated by determining the ratio of maximum concrete compressive stress developed in the eccentrically loaded specimens (f_{max}) to that in the concentrically loaded counterpart specimens (σ_c) , which is equal to k_3 . The value of k_3 can be evaluated by equating the theoretical with the measured axial force and moment of the eccentrically loaded specimens. The theoretical values were computed based

Figure 4. Test setup under: (a) concentric loading and (b) eccentric loading

on the stress–strain curve obtained from the concentrically loaded specimens multiplied by k_3 to take into account the effects of strain gradient. A numerical analysis method was developed and adopted to determine the value of k_3 for all the eccentrically loaded specimens.

At first, the stress–strain curve of each concentrically loaded specimen was obtained by fitting the measured stress and strain data using the parabolic function:

$$
3. \quad \sigma = A\varepsilon^2 + B\varepsilon
$$

where σ and ε are the concrete stress and strain developed in concentrically loaded specimens respectively, and A and B are coefficients obtained from regression analysis.

From the definition of k_3 , the concrete stress–strain curve devel-

oped in eccentrically loaded specimens under strain gradient can be obtained by multiplying both sides of Equation 3 by k_3 :

4.
$$
k_3\sigma = k_3(A\varepsilon^2 + B\varepsilon)
$$

The next step is to determine numerically the value of k_3 for the eccentrically loaded specimens to assess the effects of strain gradient on the stress–strain curve of concrete. The value of k_3 for each eccentrically loaded specimen can be determined by considering the axial force (P) and moment (M) equilibriums of the column section, which are expressed in Equations 5a and 5b respectively (compression is taken as positive):

$$
\mathbf{P} = \int_{A_c} k_3 (A \varepsilon^2 + B \varepsilon) dA_c + \sum_{i=1}^n f_{si} A_{si}
$$

$$
\mathbf{M} = \int_{A_c} k_3 (A \varepsilon^2 + B \varepsilon) \left(\frac{h}{2} - c + x \right) dA_c
$$

+
$$
\sum_{i=1}^n f_{si} A_{si} \left(\frac{h}{2} - d_i \right)
$$

5c. $\varepsilon = \frac{x}{c} \varepsilon_{\text{cu}}$

where A_c is the area of concrete compression zone, x is the distance of strip dA_c from the neutral axis, *n* is the total number of steel bars, f_{si} and A_{si} are respectively the stress and area of the ith steel bar, d_i is the distance of the *i*th steel bar from the extreme concrete compressive fibre and ε_{cu} is the ultimate concrete strain. The ultimate concrete strain is the concrete strain at extreme compressive fibre when the eccentrically loaded specimens reached the maximum moment [\(Park and Paulay, 1975\)](#page-17-0).

In this study, the neutral axis depth c is treated as an unknown to be determined from the axial force and moment equilibrium equations (Equations 5). Although the neutral axis depth could be obtained approximately by linear interpolating the concrete strains obtained by LVDTs at the extreme compression and tension fibres, the value of such was not adopted in the evaluation of k_3 because the concrete strain at extreme tension fibre is a very small and localised value. Hence, the measurement of average concrete tensile strain over the gauge length of LVDTs will underestimate the actual tensile strain of concrete and subsequently overestimate the neutral axis depth. Instead of using the neutral axis depth obtained from direct measurement, its computational value based on the axial force and moment equilibriums would be more reliable.

By substituting Equation 5c into Equations 5a and 5b, P by the

Figure 5. Details of instrumentation

measured axial load in Equation [5a](#page-6-0) and M by the measured moment in Equation [5b](#page-6-0), k_3 and c can be solved simultaneously. Accordingly, k_1 and k_2 can be solved respectively from Equations 6a and 6b:

$$
M = k_1 k_3 \sigma_c b c \left(\frac{h}{2} - k_2 c\right)
$$

+
$$
\sum_{i=1}^{n} f_{si} A_{si} \left(\frac{h}{2} - d_i\right)
$$

6b.

$$
P = k_1 k_3 \sigma_c b c + \sum_{i=1}^n f_{si} A_{si}
$$
6a.

where σ_c is the maximum concrete compressive stress measured in the concentrically loaded specimen.

(a) PC30-0-CON (group 1)

(b) PC30-0-ECC (group 1)

Figure 6. Observed behaviour of some test specimens

The values of k_1 , k_2 , k_3 and c evaluated for the eccentrically loaded specimens are listed in Table [4](#page-9-0) together with their corresponding σ_c and strain gradient ϕ (in rad/m), which is equal to $\varepsilon_{\rm cu}/c$. The table shows that the value of k_3 increases as ϕ increases. Therefore, it is evident that an increase in strain gradient would enhance the maximum concrete stress developed in RC members under flexure. However, the values of k_1 and k_2 remain relatively constant with the strain gradient.

The average values of k_1 , k_2 and k_3 are compared with the values obtained by other researchers [\(Hognestad](#page-17-0) et al., 1955; Kaar [et al.](#page-17-0), [1978; Mansur](#page-17-0) et al., 1997; [Swartz](#page-17-0) et al., 1985; [Tan and Nguyen,](#page-17-0) [2004, 2005](#page-17-0)) in Table [5](#page-10-0). The average value of k_2 obtained in this study is mostly slightly smaller than that obtained by other researchers, but the average value of k_3 obtained in this study is significantly larger. This indicates that the maximum compressive stress developed in concrete under flexure should be larger.

Figure 7. Load–displacement and stress–strain curves of concrete of concentrically loaded specimens

Figure 8. Load–displacement curves of concrete of eccentrically loaded specimens

Furthermore, it is also seen that the value of the product k_1k_3 obtained in this study is generally larger than the values obtained by other researchers. This therefore reveals that the total compressive force that could be developed by concrete under flexure should also be larger. Further investigation into the internal mechanism within the concrete member that leads to a higher maximum compressive stress under strain gradient is required.

Derivation of equivalent rectangular concrete stress block parameters

To determine the effect of strain gradient on the equivalent rectangular concrete stress block parameters, the values of α and β of the eccentrically loaded specimens are firstly evaluated and compared with the respective values of the concentrically loaded specimens. The value of α for the concentrically loaded specimens can be determined from Equation 7a, while the values of α and β for the eccentrically loaded specimens can be determined from Equations 7b and 7c, which were derived based on axial force and moment equilibrium conditions as shown in Figure [1](#page-1-0)(e).

$$
7a. \quad P = \alpha f'_c \, b \, h
$$

$$
P = \alpha \beta f'_c \; b \; c \; + \; \sum_{i=1}^n f_{si} A_{si}
$$

$$
M = \alpha \beta f'_c bc \left(\frac{h}{2} - \frac{\beta}{2} c\right)
$$

+
$$
\sum_{i=1}^{n} f_{si} A_{si} \left(\frac{h}{2} - d_i\right)
$$

* d is effective depth of RC specimens and is taken as the overall depth of column for the PC specimen

Table 4. Values of k_1 , k_2 and k_3

Table 5. Comparison of values of k_1 , k_2 and k_3

 $*$ d is effective depth of RC specimens and is taken as the overall depth of column for the PC specimen

Table 6. Values of equivalent rectangular stress block parameters and strain gradient

The neutral axis depth c evaluated from Equation 5 is adopted in solving the above equations for α and β , which are listed in Table 6, using the measured axial load P and moment M . The values of α for the concentrically loaded specimens are also listed in Table 6. The following points can be concluded.

- (a) The value of α for the eccentrically loaded specimens subjected to strain gradient is larger than that of the respective concentrically loaded specimens. This therefore implies that strain gradient could enhance the equivalent concrete stress developed in flexural RC members
- (b) The value of α for the eccentrically loaded specimens increases as the strain gradient increases, but decreases as the concrete strength increases.
- (c) The average value of α obtained for the concentrically loaded columns (with $f'_c = 30-46$ MPa) is about 0.866, which is very close to the current design value of $\alpha = 0.85$ stipulated

in AIC 318M-08 ([ACI, 2008\)](#page-16-0), the UK National Annex to Eurocode 2 [\(CEN, 2004\)](#page-16-0) and NZS 3101 [\(SNZ, 2006](#page-17-0)).

It can thus be concluded that the current design codes can predict the strengths of RC columns subjected to pure axial load without strain gradient fairly accurately, but would underestimate the strengths of RC beams and columns subjected to flexure with or without axial load where strain gradient exists.

Effects of strain gradient on stress block parameters

It can be easily observed from Tables [4](#page-9-0) and 6 that the values of k_3 and α actually depend on the strain gradient rather than just the uniaxial concrete strength. Table [4](#page-9-0) shows that k_3 increases as the strain gradient increases, while k_1 and k_2 remain relatively constant with strain gradient. Similarly, α increases with strain gradient, while β remains relatively constant (Table 6).

Variation of the stress block parameters with the strain gradient is now determined. However, since ϕ is a non-dimensionless factor, its adoption in correlating the stress block parameters will include the effect of column size. The proposal is therefore to use another dimensionless factor, the ratio of effective depth to neutral axis depth (d/c) , in the correlation to eliminate effects due to column size. The value of d/c for each specimen is listed in the last column of Tables [4](#page-9-0) and [6.](#page-10-0)

Figures 9(a), 9(b) and 9(c) plot the values of k_1 , k_2 and k_3 against d/c respectively. Figures 9(a) and 9(b) indicate that k_1 and k_2 remain fairly constant, at 0. 627 and 0. 4 respectively. However, Figure 9(c) shows that variation of k_3 with d/c is fairly linear. A linear regression analysis was carried out to correlate k_3 with d/c :

8. $k_3 = 0.855(d/c) + 0.042$

The values of α obtained from the eccentrically loaded specimens are plotted against d/c in Figure 10(a): the rate of change of α with respect to d/c is not constant and dependent on the value of d/c . Hence, the variation of α with d/c cannot be represented precisely by a straight line. For $d/c \leq 1.3$, α remains relatively constant at 0.85. For $1.3 < d/c \le 2.0$, α increases more significantly with d/c until reaching 1.42, which is the maximum value of α obtained in this study. Since there are no data available for $d/c > 2.0$, it is proposed to set an upper bound limit for α at 1.42 when $d/c \ge 2.0$ to ensure a conservative design. In summary, the variation of α with d/c can be represented by the following trilinear curve:

$$
\alpha = \begin{cases} 0.85 & \text{for } 0 \le d/c < 1.3 \\ 0.815(d/c) - 0.21 & \text{for } 1.3 \le d/c < 2.0 \\ 1.42 & \text{for } d/c \ge 2.0 \end{cases}
$$

The variation of α with d/c given by Equation 9a is plotted in Figure 10(a). Furthermore, since the average value of k_2 is about 0.4 as seen in Figure 9(b), Equation [2](#page-1-0) can be rearranged for β as:

9b. $\beta = 2k_2 = 0.80$

which is plotted in Figure [10](#page-11-0)(b).

Verification against flexural strength of RC beams and columns

To validate the obtained equivalent rectangular concrete stress block parameters, the proposed values of α and β (given respec-tively by Equations [9a](#page-11-0) and 9b) and $\varepsilon_{\text{cu}} = 0.0031$ (the obtained

average value of ε_{cu}) are used to evaluate the flexural strengths of RC members tested by other researchers. These RC members include:

- (a) beams [\(Ashour, 2000](#page-16-0); [Debernardi and Taliano, 2002](#page-16-0); [Fathifazl](#page-16-0) et al., 2009; Ko et al.[, 2001](#page-17-0); Lam et al.[, 2008](#page-17-0); [Lee](#page-17-0) et al.[, 2009](#page-17-0); [Pecce and Fabbrocino, 1999; Shin and Lee,](#page-17-0) [2010;](#page-17-0) Shin et al.[, 2007](#page-17-0))
- (b) columns subjected to low axial load level ($0 < P/A_g f'_{c} \leq 0.2$; A_g is the column cross-section area) [\(Ho and Pam, 2003a](#page-16-0); [Marefat](#page-17-0) et al., 2005; [Mo and Wang, 2000](#page-17-0); [Tao and Yu, 2008;](#page-18-0) [Watson and Park, 1994](#page-18-0); [Woods](#page-18-0) et al., 2007)
- (c) columns subjected to medium axial load level $(0.2 < P/A_g f_c)$ ≤ 0.5) (Lam et al.[, 2003; Marefat](#page-17-0) et al., 2005, [2006](#page-17-0); [Mo and](#page-17-0) [Wang, 2000; Sheikh and Khoury, 1993;](#page-17-0) [Tao and Yu, 2008](#page-18-0); [Watson and Park, 1994](#page-18-0))
- (d) columns subjected to high axial load level ($0.5 < P/A_gf'_{c}$ ≤ 0.7) [\(Ho and Pam, 2003b;](#page-16-0) Lam et al.[, 2003; Sheikh and](#page-17-0) [Yeh, 1990](#page-17-0); [Sheikh](#page-17-0) et al., 1994)

(e) columns subjected to ultra-high axial load level $(0.7 <$ $P/A_g f'_{c}$) ([Nemecek](#page-17-0) *et al.*, 2005; [Sheikh and Khoury, 1993](#page-17-0); [Sheikh and Yeh, 1990; Sheikh](#page-17-0) et al., 1994; [Tao and Yu,](#page-18-0) [2008\)](#page-18-0).

These predicted flexural strengths M_p were compared with their respective measured strengths M_t and respective theoretical strengths based on various RC design codes – M_{ACI} based on AIC 318M-08 ([ACI, 2008](#page-16-0)), M_{EC} based on Eurocode 2 ([CEN,](#page-16-0) [2004\)](#page-16-0) and M_{NZ} based on NZS 3101 [\(SNZ, 2006\)](#page-17-0). The comparison is summarised in Table [7](#page-12-0) for beams and Tables 8–[11](#page-15-0) for columns.

Analysis of Tables [7](#page-12-0)–[11](#page-15-0) leads to the following conclusions.

(a) The flexural strengths of RC beams and columns subjected to low and medium axial load levels predicted by the proposed values of α , β and $\varepsilon_{\rm cu}$ have the best agreement with their measured flexural strengths.

Table 8. Comparison of proposed strengths of columns subjected to low axial load level

Table 9. Comparison of proposed strengths of columns subjected to medium axial load level

- (b) For RC beams, the average ratio of predicted (M_n) to measured (M_t) flexural strength is 0.94, whereas the ratios of the theoretical strength based on current RC design codes $(M_{\text{ACI}}, M_{\text{EC}}, M_{\text{NZ}})$ to measured flexural strength are all equal to 0.90. It is evident that the proposed method can increase the accuracy of flexural strength prediction by 4% on average.
- (c) For RC columns subjected to low and medium axial load level, the average ratio of the predicted to measured flexural strength is 0. 94 and 1. 03, respectively, whereas the ratios of the theoretical strength based on current RC design codes to measured flexural strength are all about 0. 85 for low and medium axial load levels. It is evident that the proposed method can increase the accuracy of flexural strength prediction by respectively 9% and 14% on average.
- (d) For RC columns subjected to high and ultra-high axial load levels, the average ratio of the predicted to measured flexural strength is 0.87 and 0. 98, respectively, whereas the ratios of the theoretical strength based on current RC design codes $(M_{\text{ACI}}, M_{\text{EC}}, M_{\text{NZ}})$ to measured flexural strength are about 0.86 and 0. 98 respectively. The flexural strength predicted by

the proposed method is very close to that predicted by current RC design codes.

- (e) The accuracy of flexural strength predictions using the proposed values of α , β and $\varepsilon_{\rm cu}$ is improved for RC beams and columns subjected to low and medium axial load levels. This indicates that the proposed equivalent rectangular concrete stress block parameters α and β , which depend on strain gradient, represent the equivalent concrete stress developed under flexure more precisely .
- (f) The accuracy of flexural strength prediction does not improve very much for RC columns subjected to high and ultra-high axial load levels. This is because the strain gradient is very small in these columns, and the proposed values of α and β resemble the respective values currently adopted in various RC design codes.

Conclusions

The effects of strain gradient on the maximum concrete stress and equivalent concrete stress that can be developed in RC members under flexure were studied experimentally. Five groups

Table 10. Comparison of proposed strengths of columns subjected to high axial load level

Table 11. Comparison of proposed strengths of columns subjected to ultra-high axial load level

(12 specimens) of inverted T-shaped specimens were fabricated; one group was plain concrete and the rest were reinforced. The specimens in each group had the same cross-section properties. One specimen in each group was subjected to concentric axial load while the rest were subjected to eccentric axial load.

The effects of strain gradient on the maximum concrete stress that can be developed under flexure were investigated through the parameter k_3 , which is the ratio of the maximum concrete stress developed under flexure to uniaxial concrete strength. The value of k_3 for each eccentrically loaded specimen was determined by equating the theoretical with the measured values of axial force and moment. The effects of strain gradient on equivalent concrete stress developed under flexure were investigated by the parameter α , which is the ratio of the equivalent concrete stress to the uniaxial concrete cylinder strength.

The obtained values of k_3 and α for the eccentrically loaded specimens were all larger than those reported by previous researchers. Also, the values of α were significantly larger than the respective value stipulated in the current RC design codes (i.e. $\alpha = 0.85$). More importantly, it was found that the values of k_3 and α were dependent on the strain gradient as well as concrete strength.

An empirical formula was proposed for the relation between α and strain gradient, with the latter represented by a dimensionless factor d/c (i.e. the ratio of effective depth to neutral axis depth) to eliminate size effects. A tri-linear curve was proposed for the variation of α with d/c for design purposes. The values of k_1 , k_2 , β and ε_{cu} remained relatively constant at about 0.63, 0.40, 0.80 and 0.0031, respectively.

The validity of the proposed values of α , β and $\varepsilon_{\rm cu}$, which take into account the effects of strain gradient, in flexural strength evaluation of RC members was checked by comparing the theoretical strengths of beams and columns subjected to low, medium, high and ultra-high axial load levels with the strengths measured by previous researchers. The predicted flexural strengths were also compared with those estimated by various RC design codes (AIC 318M-08, Eurocode 2 and NZS 3101) by means of ratios with their measured strengths. The comparisons indicated that the proposed values of α , β and $\varepsilon_{\rm cu}$ predict the flexural strength of RC beams and columns subjected to low and medium axial load levels more accurately than the current RC design codes. The accuracy improvement was about 4% for RC beams, but could reach about 18% for columns subjected to medium axial load levels. For columns subjected to high and ultra-high axial load levels, the flexural strength prediction is similar to that predicted by the current RC design codes due to small strain gradient.

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