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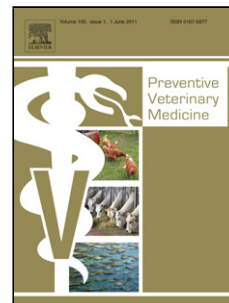
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1 Risk factors for bovine respiratory disease in Australian feedlot cattle: Use of a  
2 causal diagram-informed approach to estimate effects of animal mixing and  
3 movements before feedlot entry

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**21 Abstract**

22 A nationwide longitudinal study was conducted to investigate risk factors for bovine  
23 respiratory disease (BRD) in cattle in Australian feedlots. After induction (processing), cattle  
24 were placed in feedlot pens (cohorts) and monitored for occurrence of BRD over the first 50  
25 days on feed. Data from a national cattle movement database were used to derive variables  
26 describing mixing of animals with cattle from other farms, numbers of animals in groups  
27 before arrival at the feedlot, exposure of animals to saleyards before arrival at the feedlot, and  
28 the timing and duration of the animal's move to the vicinity of the feedlot. Total and direct  
29 effects for each risk factor were estimated using a causal diagram-informed process to  
30 determine covariates to include in four-level Bayesian logistic regression models. Mixing,  
31 group size and timing of the animal's move to the feedlot were important predictors of BRD.  
32 Animals not mixed with cattle from other farms prior to 12 days before induction and then  
33 exposed to a high level of mixing ( $\geq 4$  groups of animals mixed) had the highest risk of  
34 developing BRD (OR 3.7) compared to animals mixed at least 4 weeks before induction with  
35 less than 4 groups forming the cohort. Animals in groups formed at least 13 days before  
36 induction comprising 100 or more (OR 0.5) or 50 to 99 (OR 0.8) were at reduced risk  
37 compared to those in groups of less than 50 cattle. Animals moved to the vicinity of the  
38 feedlot at least 27 days before induction were at reduced risk (OR 0.4) compared to cattle  
39 undergoing short-haul transportation (<6 hours) to the feedlot within a day of induction,  
40 while those experiencing longer transportation durations (6 hours or more) within a day of  
41 induction were at slightly increased risk (OR 1.2). Knowledge of these risk factors could  
42 potentially be used to inform management decisions to reduce the risk of BRD in feedlot  
43 cattle.

**44 Key words:**

45 Bovine respiratory disease, feedlot, risk factors, total effects, causal diagram

## 46 **1. Introduction**

47 Bovine Respiratory Disease (BRD) describes a complex of diseases involving the  
48 respiratory system in cattle. BRD is particularly common where cattle are kept in intensive or  
49 confined conditions, such as in feedlots, and is a multifactorial disease; necessary factors  
50 include pathogenic organisms, environmental stressors and immunological susceptibility  
51 (Edwards, 2010). Previous research has identified market origin, number of cattle in the  
52 animal's 'group', and comingling with cattle from other sources close to the time of feedlot  
53 entry as risk factors for BRD. Increased risk of BRD has been demonstrated in groups  
54 comprised of animals from multiple sources that were mixed at the feedlot compared to  
55 predominately singled-sourced groups (Martin et al., 1982; Wilson et al., 1985; Martin and  
56 Meek, 1986). Wide variation in incidence between source groups has been observed (Martin  
57 et al., 1988) as well as evidence of clustering of fatal BRD cases within truckloads and/or  
58 pens (Ribble et al., 1994). More recent studies agree that comingling of cattle from multiple  
59 sources around the time of feedlot entry increases risk of BRD (O'Connor et al., 2005;  
60 Sanderson et al., 2008; Step et al., 2008).

61 Routine practice in North American sale barns involves comingling of cattle from multiple  
62 farms immediately prior to sale (Macartney et al., 2003), which means that comingling and  
63 market source are interlinked. Cattle identified as coming from a single source such as a  
64 particular truckload or sale barn of origin may, in fact, have originated from several different  
65 farms, leading to potentially important misclassification bias. While some prior studies have  
66 reported that larger group size increases risk of BRD (Martin et al., 1982; Kilgore et al.,  
67 2005; O'Connor et al., 2005), 'groups' may have been assembled for varying lengths of time  
68 and larger groups may be a proxy for a larger number of sources. In determining the effect of  
69 the number of cattle in the animal's group, data indicating the date when the group was  
70 formed were usually not available. These studies lacked sufficient data to separate the effects

71 of more refined individual factors, and to consider the effect of timing of exposure to  
72 comingling and auction sales relative to when cattle commenced being at risk of BRD at the  
73 feedlot.

74 While some North American studies returned equivocal results regarding the effect of  
75 transport distance on BRD risk (Cole et al., 1988; Ribble et al., 1995b; Schwartzkopf-  
76 Genswein et al., 2007), larger more recent studies suggest a positive association between  
77 distance transported and BRD incidence (Sanderson et al., 2008; Cernicchiaro et al., 2012).

78 Causal diagrams facilitate an informed approach to model building with postulated and  
79 potential relationships defined based on *a priori* knowledge and plausible biological  
80 pathways. Causal diagrams allow the separate estimation of total and direct effects, both of  
81 which may be of interest to researchers and industry stakeholders (Dohoo et al., 2009). In a  
82 causal diagram, the direct effect of an exposure is indicated by a single arrow directly linking  
83 the exposure and outcome variables. An indirect effect of an exposure is indicated by a  
84 pathway through a sequence of arrows passing through one or more intervening variables to  
85 the outcome variable. The total effect of an exposure variable is the sum of the direct and all  
86 indirect effects of that exposure on the outcome.

87 Many factors associated with the assembly and movement of animals from their home  
88 property to the feedlot may affect the risk of BRD in the feedlot, and it was postulated that  
89 the effects of these factors depend on the timing of the animal's exposure. This paper  
90 describes the development of a causal diagram and subsequent analyses with the aim of  
91 evaluating the total and direct effects of risk factors relating to mixing of animals with cattle  
92 from other farms before the latest induction date for the animal's pen ('cohort closure'),  
93 numbers of animals in groups before induction, exposure of animals to saleyards before

94 arrival at the feedlot, and the timing and duration of the animal's move to the feedlot on the  
95 risk of BRD in Australian feedlot cattle.

## 96 **2. Materials and methods**

### 97 **2.1. Overview of study design**

98 A nationwide prospective longitudinal study was conducted in Australia to evaluate many  
99 possible risk factors for BRD in feedlot cattle. Results for the subset of exposures relating to  
100 animal mixing, group size, exposure to saleyards and timing and duration of the animal's  
101 move to the feedlot are presented in this paper. Results for other exposures (e.g. animal entry  
102 characteristics, season, pen features) will be reported separately. Managers of feedlots with a  
103 minimum capacity of 1,000 cattle and the necessary resources to keep required records were  
104 invited to participate. After arrival at the feedlot, cattle were inducted (animal identity and  
105 other data recorded, and treatments applied), and enrolled in cohorts where a cohort consisted  
106 of all animals placed together in a feedlot pen following induction. Each cohort consisted of  
107 one of more 'group-13s' where a group-13 consisted of all animals that were together 13 days  
108 before induction that then went into the same cohort. Cohorts were generally selected at the  
109 convenience of the feedlot managers despite attempts to randomise the selection process. A  
110 total of 35,160 animals were inducted into study cohorts from March 2009 to December  
111 2011, of which 35,131 animals had sufficient data for inclusion in this study. The study  
112 population had a nested hierarchical structure such that animals were clustered within 1,077  
113 group-13s which, in turn, were clustered within 170 cohorts, which were clustered within 14  
114 feedlots. The mean number of animals in a group-13 was 33 (range: 1 to 342) and the mean  
115 number of animals per cohort was 207 (range: 17 to 395). The number of animals and cohorts  
116 contributed per feedlot ranged from 466 animals in 3 cohorts to 6,114 animals in 22 cohorts.  
117 Of the 14 participating feedlots, three routinely practiced 'pre-induction assembly'. This is a  
118 management practice whereby animals from different farms are assembled on pasture close to

119 the feedlot for various periods of time prior to induction. Each animal was monitored from  
120 induction until it left the study cohort for any reason (i.e. removal to the hospital pen or  
121 another pen separate from the cohort, death or feedlot exit). Detailed data were recorded for  
122 each animal (e.g. identification number, arrival date, induction date, first day on feed, sex,  
123 dentition, breed, induction weight) and supplied as animal-level electronic files, while further  
124 data were supplied for animals that were hospitalised or died during the observation period.

## 125 **2.2. National Livestock Identification System data**

126 The Australian National Livestock Identification System (NLIS) requires that all cattle are  
127 individually identified with a unique identification string that may be applied as an ear tag or  
128 a rumen bolus, before they leave their farm of origin. Each farm, feedlot and saleyard is  
129 identified by a unique Property Identification Code (PIC). The system relies on registered  
130 users electronically scanning animals every time they are moved from one PIC location to  
131 another ('transfers') and uploading this data to an online national electronic database. The  
132 database records the PIC of issue (the animal's first lifetime PIC), and for each transfer, the  
133 source and destination PIC, transfer date and transfer type. Transfer type distinguishes  
134 between transfers to or from saleyards and 'point to point' (non-saleyard) transfers. NLIS  
135 transfer data were obtained for 98.8% of study animals. Transfer data were simplified to  
136 create a logical sequence from the PIC of issue to the feedlot for each animal. Multiple  
137 transfers occurring within a 48 hour period (e.g. PIC A to a saleyard or intermediate PIC and  
138 saleyard or intermediate PIC to PIC B) were consolidated to form a single record (PIC A to  
139 PIC B) while the transfer detail was retained as a separate variable. Time intervals between  
140 each transfer and the animal's induction date were used to determine the PIC at which the  
141 animal was located at various time points prior to induction date. NLIS imputes some  
142 transfers. For example, if an animal's record contains a transfer from PIC A to PIC B and  
143 then a transfer from PIC C to PIC D, NLIS generates imputed transfers from B to 'unknown'

144 and ‘unknown’ to C, along with associated imputed transfer dates. These imputed transfers  
145 were simplified and retained (PIC B to PIC C; imputed) but the imputed transfer dates were  
146 changed to missing. It was usually possible to determine the location of these cattle at the  
147 time points of interest by using the known transfer dates of other animals from the same  
148 cohort that shared a common move sequence. For transfers to the feedlot PIC, we used the  
149 arrival dates and tail tag numbers (identifying the most recent source PIC) supplied by the  
150 feedlots, to validate the data supplied by NLIS. We also determined the total number of  
151 lifetime transfers and the interval between transfers.

### 152 **2.3. Case definition, exposure variables and causal diagrams**

153 The unit of analysis was the individual animal. The outcome of interest was the  
154 development of BRD during the first 50 days following induction. The case definition was  
155 based on the clinical signs of disease recorded by feedlot staff in computerised hospital  
156 records after suspected ill animals were removed from their cohort for examination and  
157 treatment. Veterinarians servicing participating feedlots conducted regular training sessions  
158 for feedlot staff on the diagnosis of BRD and seven of the fourteen participating feedlots  
159 were serviced by the same veterinary group. Thus diagnosis of BRD was expected to be  
160 relatively consistent across participating feedlots. All animals with clinical signs indicating  
161 respiratory system involvement (“pneumonia”, “respiratory”, “BRD” and “IBR”) were  
162 classified as BRD cases by the research team.

163 All exposure variables were categorised for use in analyses, with definitions of categories  
164 based on prior hypotheses and distributions. Variables were derived from the NLIS data to  
165 determine each animal’s PIC and the number of animals in its group at particular time points  
166 of interest. Each animal’s time at risk began the day after induction into a study cohort; hence  
167 the induction date was designated “day 0”. Days prior to this date were identified using



168 negative values, and time points of particular interest (days -91, -28, -13 and -2) were chosen  
169 based on *a priori* hypotheses. It was hypothesised that the effects of mixing, group size,  
170 exposure to a saleyard and timing of the animal's move to the feedlot on the risk of  
171 developing BRD would differ depending on the timing of these events in relation to day 0.  
172 Early studies describing the epidemic curves for BRD in feedlot calves indicated that the  
173 majority of cases occurred in the first 4 weeks following arrival at the feedlot (Martin, 1983).  
174 Assuming a similar epidemic curve would apply to animals exposed to stress and pathogens  
175 through mixing, saleyard exposure or transport, we postulated that 28 days would be the  
176 minimum amount of time required for the majority of susceptible animals in a group to  
177 develop signs and recover from infection. More recently, researchers identified several  
178 different temporal patterns in the cumulative incidence of BRD in feedlot populations  
179 (Babcock et al., 2010). For the majority of cohorts in their study, the cumulative incidence of  
180 BRD was more than 50% by 28 days. However, different patterns were identified and later  
181 onset and more gradual rises in cumulative incidence were also observed. In all of these  
182 patterns, the cumulative incidence was above 80% by day 90, and in 95% of cohorts it was  
183 above 95% by day 90. Thus, day -91 was chosen to further evaluate the timing of mixing. At  
184 the animal level, uncomplicated respiratory viral infections (e.g. with bovine herpesvirus type  
185 1; (Ellis, 2009)) may resolve within 2 weeks, so day -13 was chosen as a comparative time  
186 point to evaluate the effects of all exposures. Day -2 was only used to derive the variable  
187 describing the timing and duration of transport to the feedlot so that transport within a day of  
188 day 0 would be in a separate category to transport at earlier times.

189 Each animal's group was derived based on which cattle from its cohort were at the same PIC  
190 at each time point. For example, "group-28" identified the animal's group 28 days before  
191 induction. Changes in each animal's group between time points were used to describe mixing.  
192 Mixing before day -27, and between day -27 and day -13, were described as binary variables

193 (mixed or not mixed within period). Because the majority of animals were moved to the  
194 vicinity of the feedlot within the 12 days prior to their induction, the amount of mixing  
195 between day -12 and cohort closure (the latest induction date for the animal's pen) was  
196 described by a categorical variable based on the number of group-13s forming the cohort (1,  
197 2–3, 4–9 and  $\geq 10$ ). These three variables were combined to form a single variable ('mixing  
198 history') to describe the animal's lifetime mixing history. A further variable, ('mix\_first')  
199 consisted of three categories which described the time interval of the earliest mixing event.  
200 Numbers of animals in each animals group were also defined; for example, "group-28N"  
201 indicated the number of animals in the animal's group-28.

202 Three binary saleyard variables were derived to describe whether or not animals had been  
203 exposed to saleyards in the intervals before day -27, day -27 to day -13, and day -12 to  
204 induction. The timing and duration of an animal's move to the feedlot was described by a  
205 composite variable ('feedlot move timing') based on the number of days between arrival at the  
206 feedlot vicinity and induction, and the estimated duration of transport for those animal's  
207 arriving within 12 days before induction. The duration of transport was determined by  
208 estimating the travel time between the source and feedlot PICs. Additional time was included  
209 for transfers via saleyard or intermediate PICs or for driver rest periods for long-haul  
210 transportation. The time interval between arrival at the vicinity of the feedlot and induction  
211 ('Arrival\_day0') and other collapsed versions of variables described above were used in  
212 analyses restricted to animals from the three feedlots routinely using pre-induction assembly.

213 Causal diagrams were constructed to describe postulated links between measured exposure  
214 variables and between exposure variables and occurrence of BRD in the first 50 days at risk.  
215 As this resulted in a very complex diagram, a simplified version (only including variables  
216 relevant to the assessment of the risk factors included in the analyses reported in this paper) is

217 shown in Figure 1. Figure 2 shows the causal diagram used to inform the analyses restricted  
218 to the three feedlots that routinely used pre-induction assembly. Additional variables included  
219 as potential confounders in either of these diagrams were cohort fill duration (all animals  
220 added to their cohort within a single day or over a longer period), total number of animals on  
221 feed in the animal's feedlot (average for the animal's induction month), number of animals in  
222 the animal's cohort, induction weight, breed and season in which the animal was inducted.

#### 223 **2.4. Data management and statistical modelling**

224 The Stata® statistical software package (version 12) was used for all data management  
225 and preliminary analyses and MLwiN® (version 2.27) was used to fit final four-level models.

226 In estimating total effects, care needs to be taken to adjust appropriately for confounders,  
227 including variables that become confounders through conditional associations (Dohoo et al.,  
228 2009). Various methods have been proposed for determining which covariates to fit when  
229 estimating total effects, but they all have similar features (Greenland et al., 1999; Shrier and  
230 Platt, 2008; Dohoo et al., 2009; Textor and Liskiewicz, 2011). The DAGitty® software  
231 (Textor et al., 2011) was used to identify minimal sufficient adjustment sets to assess total  
232 and direct effects of the exposure variable of interest on the occurrence of BRD. A sufficient  
233 adjustment set is a set of variables that appropriately controls confounding of the association  
234 between the exposure variable of interest and the outcome. When direct effects are required,  
235 the sufficient adjustment set also includes all intervening variables between the exposure  
236 variable of interest and the outcome. The causal diagram was reproduced within the  
237 DAGitty® user interface. Each variable of interest was sequentially identified as the exposure  
238 of interest, and the minimal sufficient adjustment sets for both total and direct effects as  
239 defined by DAGitty® were noted.

240 The multilevel modelling software package MLwiN® (version 2.27) was used for  
241 modelling. For each exposure of interest, a model containing covariates determined by the  
242 minimal sufficient adjustment set for the total effects was fitted using second-order penalised  
243 quasi-likelihood methods to produce starting values for the second model using Bayesian  
244 Markov chain Monte Carlo (MCMC) methods. The process was then repeated using the  
245 adjustment set for direct effects, where these were desired. For all models, random effects of  
246 feedlot, cohort nested within feedlot and group-13 nested within cohort were included, and  
247 Metropolis Hastings sampling methods were used. Gaussian prior distributions with  
248 extremely large variances, the default for multilevel logistic models fitted in MLwiN®  
249 (Browne, 2012), were used. After a burn-in of 1000 iterations, 10,000 further iterations were  
250 run and diagnostic trajectory plots and summary statistics (Browne, 2012) assessed to  
251 estimate the required chain length. Further iterations were run and models were reassessed  
252 until convergence was achieved. Animal-level variables such as exposure to a saleyard prior  
253 to day -27 displayed good mixing and low autocorrelation, while mixing history and feedlot  
254 move timing displayed higher autocorrelation and were slower to converge. Consequently,  
255 MCMC chains were run for between 50,000 and 300,000 iterations to obtain final posterior  
256 parameter estimates of mean odds ratios (ORs) and 95% credible intervals.

### 257 **3. Results**

#### 258 **3.1. Descriptive statistics**

259 Induction weights ranged from 196 to 756 kg; 20% of the study population were <400kg,  
260 31% were 400–439kg, 34% were 440–479 kg and 15% were  $\geq$ 480kg. The most common  
261 breeds in the study population were Angus (56%), tropical breeds or crosses (16%), British  
262 breed crosses (12%) and Hereford (6%). The study population comprised 92% steers and 8%  
263 heifers. An estimated 41% of animals had a single lifetime transfer (from the source property  
264 to the feedlot). For animals that had at least 2 lifetime transfers with known transfer dates,

265 the transfer prior to the feedlot move occurred an average of 280 days (about 9 months)  
266 before induction, and 80% of these transfers occurred between 16 and 3 months before  
267 induction.

268 Of all suspected ill study animals removed from their cohort for examination and  
269 treatment, 77.3% (6,406/8,285) met the BRD case definition at first examination, comprising  
270 18.2% (6,406/35,131) of the study population. The majority of animals that had BRD when  
271 first examined were examined during their first 50 days at risk, giving a 50-day BRD  
272 cumulative incidence of 17.6%. This cumulative incidence does not include BRD  
273 occurrences subsequent to diagnosis with another condition at the time of first examination.

274 Descriptive statistics for exposure variables of interest are shown in Table 1. The most  
275 common mixing history involved animals mixed prior to day -27 joining cohorts formed by  
276 10 or more group-13s (labelled 'Yes, no,  $\geq 10$ '; 22%) or 4–9 group-13s ('Yes, no, 4–9'; 16%).  
277 A high level of mixing within 12 days of induction was also common in animals not mixed  
278 prior to day -27 ('No, no,  $\geq 10$ '; 15%; 'No, no, 4–9'; 10%). The majority (62%) of animals had  
279 been mixed prior to day -90 and 5% were mixed for the first time between days -90 and -28.

280 Smaller groups defined at day -13 (<50 animals) were the most common (39% of  
281 animals), but nearly a third of animals (33%) came from groups defined at day-13 with at  
282 least 100 animals. About a third (36%) of the study population had been exposed to saleyards  
283 prior to day -27, while relatively few had been through saleyards within 27 days of induction  
284 (3% between days -27 and -13 and 3% within 12 days of induction). Most cattle (76%) were  
285 moved to the feedlot within a day before induction, with 36% of these being transported for 6  
286 hours or longer.

287 Those cattle that were moved to the vicinity of the feedlot prior to day -12 and mixed  
288 between day -27 and day -13 were mostly from the three feedlots that practiced pre-induction

289 assembly. The pre-induction assembly subset comprised 5,641 animals from 3 feedlots, 297  
290 group-28s, 136 group-13s and 40 cohorts. The 50-day BRD cumulative incidence was 3.3%  
291 in this subset. As shown in Table 2, 31% of these animals arrived at the vicinity of the feedlot  
292 more than 27 days before induction and 31% arrived in the interval between days -27 and -  
293 13. More than half (57%) were in cohorts formed by four or more groups defined at day -28.

### 294 **3.2. Multilevel logistic regression models**

295 Estimated total effects of variables of interest on 50-day BRD cumulative incidence for  
296 the whole study population are shown in Table 1. Mixing history had a marked effect.  
297 Compared to the reference category of animals that had been mixed prior to day-27 from  
298 cohorts formed by 2 or 3 group-13s ('Yes, no, 2-3'), a similar risk level was observed for  
299 those not mixed between day -27 and cohort close ('Yes, no, no': OR 1.2), but risk was  
300 substantially increased if more than 4 group-13s formed the cohort ('Yes, no, 4-9': OR 2.8;  
301 'Yes, no,  $\geq 10$ ': OR 2.2). Risk was also markedly increased for animals not mixed before day  
302 -27 ('No, no, no' and 'No, no, 2-3: OR:2.3), with the highest risk for animals subjected to a  
303 high level of mixing between day -12 and cohort close ('No, no, 4-9' and 'No, no,  $\geq 10$ ': OR  
304 3.7). Based on the causal diagram (Fig. 1), direct and total effects for mixing history were  
305 equivalent. Results from the analysis of the first mix timing variable indicated a similar  
306 marked protective effect of prior mixing, both for animals first mixed before day -90 (OR  
307 0.6) and for those first mixed between day -90 and day -28 (OR 0.6) compared to animals  
308 first mixed between day -27 and cohort close. Estimated odds ratios for mixing between  
309 days -27 and -13 were consistent with increased risk but estimates were imprecise. Subset  
310 analysis (Table 2), used because mixing during this time interval was an uncommon practice  
311 across the whole study population, showed a markedly increased risk for animals in cohorts  
312 formed by mixing 4 or more group -28s (OR:5.5) compared with less than four.

313       Animals in larger groups defined at day -13 were at reduced risk of BRD. Compared to  
314 animals from groups with less than 50 animals, animals from groups with 50 to 99 animals  
315 were at somewhat reduced risk (OR 0.8) and animals from groups with 100 or more animals  
316 were at markedly reduced risk (OR 0.5) of developing BRD (Table 1). The direct effects of  
317 the number of animals in the group-13 (Table 3) were of a similar magnitude to the total  
318 effects, indicating that these effects are not due to differences in mixing history, cohort fill  
319 duration or the number of animals in the cohort.

320       The total effect of exposure to saleyards varied with time of the exposure (Table 1), with  
321 markedly increased risk associated with saleyard exposure closer to induction (exposed  
322 between days -27 to -13: OR 1.9; days -12 to 0: OR 2.6) and modestly decreased risk for  
323 saleyard transfers prior to day -27 (OR 0.8). However, there was no evidence for an important  
324 direct effect of having been through a saleyard prior to day -27 after accounting for the  
325 intervening variable, mixing history (OR 1.0, Table 3). The direct effects of saleyard transfer  
326 between days -27 and -13, and day -12 to induction were also much attenuated, after  
327 accounting for mixing history and feedlot move timing as intervening variables, suggesting  
328 that total effects of exposure to saleyards during these periods were probably largely due to  
329 the effect of mixing. However, an important adverse direct effect of exposure to saleyards  
330 between days -12 and induction was evident (OR 1.8) indicating that exposure within this  
331 period has a negative effect over and above the effects of mixing and feedlot move timing.

332       Compared to animals undergoing transport of less than 6 hours within a day before  
333 induction, animals having longer transport times (6 hours or more) within a day of induction  
334 were at slightly increased risk (OR 1.2), while animals that moved to the vicinity of the  
335 feedlot at least 27 days before induction were at substantially reduced risk (OR 0.4). The  
336 direct effects of the feedlot move timing were generally similar to the total effects, with

337 greater differences in the estimates for exposure categories with very unbalanced  
338 distributions across feedlots (Table 3). Subset analyses restricted to the three feedlots  
339 practicing pre-induction assembly (Table 2) also provided evidence that animals arriving  
340 prior to day -27 were at reduced risk compared to those arriving within 12 days before  
341 induction.

#### 342 **4. Discussion**

343 From this study, we identified important differences in the effects of risk factors relating to  
344 animal mixing and moving depending on the timing of these events in relation to the animal's  
345 induction at the feedlot. We found that there was a protective effect of mixing prior to 27  
346 days before induction and an adverse effect of mixing 4 or more groups compared to less than  
347 4 groups within 12 days of induction. Moving to the vicinity of the feedlot at least 27 days  
348 prior to induction was protective and longer transport duration within a day of induction  
349 slightly increased risk. The effect of saleyard exposure varied depending on the timing of  
350 exposure, and the effect was largely mediated through mixing but saleyard exposure within  
351 12 days of induction increased risk over and above the effect mediated through mixing and  
352 the move to the feedlot. Being part of a larger group (more than 50 animals) established at  
353 least 13 days prior to induction was protective.

354 Comingling of animals from multiple sources immediately prior to arrival at the feedlot  
355 has been consistently shown to be associated with increased risk of BRD (Martin et al., 1982;  
356 Ribble et al., 1995a; O'Connor et al., 2005; Sanderson et al., 2008; Step et al., 2008). Results  
357 from the current study demonstrated that the effect of comingling depends on prior mixing  
358 history; important differences were observed between categories of cattle with differing  
359 mixing histories. By utilising lifetime animal-level data we have been able to examine mixing  
360 history in a way that has not, to our knowledge, previously been described. Mixing prior to



361 27 days before induction was protective and comingling with cattle from less than 4 groups  
362 within the 12 days preceding induction did not increase risk provided cattle had been mixed  
363 prior to 27 days before feedlot entry. A high level of mixing (defined by the combination of 4  
364 or more group-13s forming a cohort) close to induction markedly increased the risk of BRD.

365 Cattle transported for 6 hours or more within one day of induction were at slightly  
366 increased risk of BRD compared to those undergoing shorter duration transport in this period,  
367 which is consistent with findings from recent North American studies (Sanderson et al., 2008;  
368 Cernicchiaro et al., 2012). To our knowledge, prior studies have not investigated the effect of  
369 time interval between arrival at the vicinity of the feedlot and induction on BRD risk after  
370 induction. Our results showed that cattle arriving at the feedlot vicinity more than 27 days  
371 before induction were at reduced risk of BRD. We speculated that this may have been  
372 overestimated as only three feedlots in the study moved cattle to the vicinity of the feedlot  
373 prior to day -12 and there may have been uncontrolled confounding despite having fitted  
374 feedlot as a random effect. However, results of analyses restricted to animals from these three  
375 feedlots were consistent with a large protective effect, although the odds ratio estimate for  
376 cattle moved prior to day -27 was imprecise. We postulated that the total effect of the feedlot  
377 move timing was likely to be partially mediated through mixing (the intervening variable in  
378 the causal diagram (Figure 1). Our results indicated that the timing of the move to the vicinity  
379 of the feedlot was an important contributor to the risk of BRD over and above effects of  
380 mixing.

381 In the current study, animals that were part of a larger group 13 days prior to induction  
382 were at reduced risk of BRD. A larger number of animals in a group has been associated  
383 with increased risk of BRD in prior studies, but this may be due to the effects of more  
384 comingling in larger groups (Martin et al., 1982; Martin, 1983; Martin and Meek, 1986). The

385 interpretation of the effects of 'group size' in prior research is problematic because the length  
386 of time the group has been assembled was usually not able to be determined. The number of  
387 animals in the cohort aligns more closely with group size investigated in other studies, but we  
388 do not draw a conclusion about cohort size because it tended to be clustered by feedlot,  
389 limiting the power to detect an effect and possibly leading to uncontrolled feedlot-level  
390 confounding. We defined group size at a consistent time point for comparison of all study  
391 animals, potentially avoiding misclassification bias if effects of group size depend on time  
392 before induction when group size is assessed. However, group sizes were often stable for  
393 extended periods of time before the move to the feedlot and for the majority of animals the  
394 grouping structure did not change dramatically between 3 months and 13 days before  
395 induction. Hence, our conclusion is that group size is very important, but the stability of  
396 group sizes observed in our study means that the duration of time that the group is formed  
397 should be considered alongside the effects of mixing history and feedlot move timing. As a  
398 consequence of being in a larger group, fewer such groups are likely to be mixed to form a  
399 cohort, but the similar effect in both total and direct effects models, indicates an important  
400 effect over and above that mediated through mixing. Possible additional reasons for the  
401 protective effect could relate to a lower level of stress associated with the disruption of their  
402 social hierarchy, and if the group is of sufficient size, animals may be exposed to fewer novel  
403 pathogens in the feedlot pen.

404 Conclusions are supported by secondary analyses. Although first mixing in the interval  
405 from day -90 to day -28 occurred in only 5% of the full study population, it was associated  
406 with a similar level of reduced risk as prior mixing before day -90. Because mixing from day  
407 -28 to day -13 and moving to the feedlot prior to day -12, and to a lesser extent prior to day -  
408 2, were generally restricted to feedlots that practised pre-induction assembly, the analyses  
409 restricted to these feedlots may be more appropriate for drawing inference about these

410 practices. Although consistent results indicative of a protective effect of moving animals to  
411 the vicinity of the feedlot prior to day -27, and the harmful effect of mixing four or more  
412 group-28s to form a cohort support our conclusions, further research is needed to better  
413 understand the effects of mixing and moving associated with pre-induction assembly.

414 An important finding from this study is that the effects of exposure to saleyards differ  
415 depending on the timing of exposure relative to induction. Our results show that cattle  
416 exposed to saleyards more than 27 days before induction are at lower risk but this protective  
417 effect is primarily mediated by factors other than the process of unloading, yarding, holding  
418 then reloading at saleyards. This was demonstrated by separately estimating total and direct  
419 effects. Similarly, the detrimental total effect of saleyard exposure within 27 days of  
420 induction should be interpreted in combination with the much attenuated direct effect  
421 estimates. Our results showed that saleyard exposure within 27 days of induction increased  
422 risk of BRD but this is also due to factors other than the actual saleyard processes. However,  
423 our results indicate that exposure to saleyards within 12 days prior to induction further  
424 increased risk of BRD over and above effects of mixing and feedlot move timing.

425 There were several potential sources of bias in this study. Despite training of feedlot staff  
426 by veterinarians, there may have been differences in detection and/or recording of clinical  
427 signs between feedlots. Feedlot was fitted as a random effect in all models, and this will have  
428 at least partially removed any confounding by feedlot. In using the PICs to determine whether  
429 cattle were mixed, misclassification errors may have occurred in the classification of animals'  
430 mixing histories and group sizes. Cattle with the same PIC were assumed to have mixed with  
431 each other while on that farm when, in fact, some they may have been maintained on that  
432 farm separately from other cattle. Similarly, cattle moved from multiple sources to a common  
433 PIC were assumed to have been mixed on that new farm. However, these assumptions were

434 supported by additional data obtained from farms selling study cattle directly to feedlots  
435 (about 30% of the study population) which indicated that 94% of study groups were mixed on  
436 these farms. Under-recording of mixing and group sizes may also have occurred. Each  
437 animal's groups were defined based only on the cattle entering the same cohort, and  
438 additional animals may have been mixed with study animals prior to induction. Provided any  
439 misclassification error patterns were the same across all true values of the variables, the  
440 resulting misclassification biases for mixing history and group size variables would be  
441 expected to be towards the null. The finding that cattle with a history of having being through  
442 a saleyard or mixed prior to day -27 are at reduced risk would be expected to be largely  
443 explained by the protective immunity expected to develop following exposure to viruses prior  
444 to feedlot entry.

445 Beef cattle management practices in Australia differ from those in North America and  
446 Europe in some key aspects. Cattle in Australia enter feedlots at an older average age, often  
447 many months after weaning; and it is common for recently weaned cattle to be sold through  
448 saleyards or weaner sales and then spend 6 months or more on an intermediate farm before  
449 being sold to a feedlot (Walker et al., 2007). Accordingly, results of this study may not reflect  
450 causal relationships in feedlot cattle in other countries. In addition, larger capacity feedlots  
451 were more likely to participate in the study, so conclusions may not be generalizable to all  
452 Australian feedlots. However, results should be generalizable to moderate to large feedlot  
453 operations in Australia because feedlots from most major feedlot regions participated in the  
454 study and enrolled cattle would be expected to be representative of the Australian feedlot  
455 population as they came from throughout the wide geographical beef-cattle producing regions  
456 and had a broad range of entry characteristics.

457 The use of a causal diagram and the determination and comparison of separate direct and  
458 total effects provides informative estimates of effect from complex datasets. The adjusted  
459 effects estimated in a multivariable model built using an automated model building process  
460 may be direct, partial or total effects, and therefore do not necessarily reflect the total causal  
461 effect of the exposure variable on the outcome variable (Westreich and Greenland, 2013).  
462 This is because with automated model building processes, variable selection is not based on  
463 whether variables may be potential confounders or intervening variables for particular  
464 exposure-outcome relationships, so some variables that are important confounders may not be  
465 included and intervening variables may be included in the model. However, the use of a  
466 causal diagram to inform model building can result in uncontrolled confounding if the causal  
467 diagram does not accurately capture causal pathways or important confounders are missing  
468 from the diagram. It also relies on some assumptions about the directionality of associations  
469 and this is not always clear.

## 470 **5. Conclusions**

471 The risk of BRD in feedlot cattle varied markedly with prior mixing history; there was a  
472 protective effect of mixing prior to 27 days before induction and an adverse effect of mixing  
473 4 or more groups compared to less than 4 groups within 12 days of induction. Moving to the  
474 vicinity of the feedlot at least 27 days prior to induction was protective. Longer transport  
475 duration (6 hours or more compared to less than 6 hours) within a day of induction slightly  
476 increased risk of BRD. The effect of saleyard exposure varied depending on the timing of  
477 exposure, and the effect was largely mediated through mixing but saleyard exposure within  
478 12 days of induction increased risk. Being part of a larger group (more than 50 animals)  
479 established at least 13 days prior to induction was protective. Management decisions  
480 regarding these factors have the potential to markedly reduce the incidence of BRD in feedlot  
481 cattle.

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Table 1. Distribution of variables and estimated odds ratios for their total effects on the occurrence of BRD by day 50 based on models derived from the causal diagram shown in Figure 1.

Variable & category	Number of animals (%)	Crude BRD 50-day cumulative incidence (%)	Adjusted odds ratio	95% credible interval
Mixing history <sup>a</sup>	34,730			
No, no, no <sup>e</sup>	418 (1)	20.6	2.3	(0.4–7.4)
No, no, 2–3	1,489 (4)	19.5	2.3	(1.3–3.8)
No, no, 4–9	3,334 (10)	30.3	3.7	(1.7–7.2)
No, no, ≥10	5,114 (15)	31.4	3.7	(1.7–7.4)
No, yes, yes	627 (2)	17.2	3.4	(1.4–7.2)
No, yes, no <sup>e</sup>	407 (1)	2.5	2.3	(0.5–6.9)
Yes, no, 2–3	3,893 (11)	5.7	Ref. cat.	
Yes, no, 4–9	5,409 (16)	16.4	2.8	(1.3–5.4)
Yes, no, ≥10	7,793 (22)	20.7	2.2	(1.0–4.5)
Yes, yes, yes <sup>e</sup>	946 (3)	13.7	2.2	(0.9–4.5)
Yes, yes, no <sup>e</sup>	1,958 (6)	3.3	2.5	(0.7–6.6)
Yes, no, no	3,342 (10)	3.4	1.2	(0.5–2.6)
Number of animals in group-13 <sup>b</sup>	35,131			
< 50	13,782 (39)	24.1	Ref. cat.	
50 to 99	9,783 (28)	21.3	0.8	(0.7 - 0.9)
≥ 100	11,566 (33)	6.9	0.5	(0.4 - 0.7)
Saleyard transfer prior to day-27 <sup>b</sup>	34,730			
No	22,223 (64)	18.7	Ref. cat.	
Yes	12,507 (36)	15.7	0.8	(0.7 - 0.9)
Saleyard transfer between day-27 and day-13 <sup>b</sup>	35,131			
No	34,162 (97)	17.8	Ref. cat.	
Yes	969 (3)	11.2	1.9	(1.3 - 2.7)
Saleyard transfer between day-12 and day 0 <sup>b</sup>	35,131			
No	34,200 (97)	17.6	Ref. cat.	
Yes	931 (3)	21.4	2.6	(1.6 - 4.1)
Feedlot move timing <sup>c</sup>	35,131			
Pre day-27 <sup>e</sup>	1,880 (5)	1.5	0.4	(0.2 - 0.8)
Day -27 to -13 <sup>e</sup>	2,000 (6)	4.6	1.0	(0.4 - 1.9)
Day -12 to -2; <6 hours	2,183 (6)	10.9	0.9	(0.6 - 1.2)
Day -12 to -2; ≥6 hours	2,339 (7)	8.0	0.9	(0.5 - 1.4)
Day -1 to 0; <6 hours	17,139 (49)	19.9	Ref. cat.	
Day -1 to 0; ≥6 hours	9,590 (27)	23.5	1.2	(1.0 - 1.5)
First mix timing <sup>d</sup>	34,730			
Pre day -90	21,559 (62)	13.5	0.6	(0.5 - 0.7)
Day -90 to day -28	1,713 (5)	4.6	0.6	(0.4 - 0.9)
Day -27 to 0	11,458 (33)	27.4	Ref. cat.	

565 <sup>a</sup>Mixing history: pre day-27, day-27 to day-13 and day-12 to cohort close; covariates include Fill, Weight, SY-  
566 12\_0, SY-27\_13, SYpre-27, CohortN, Move\_FL, Group-13N, N=34,726

567 <sup>b</sup>Models have no additional covariates as they have empty adjustment sets

568 <sup>c</sup>Feedlot move time interval and transport duration (within 12 days); covariates: SY-12\_0, SY-27\_13, N=35,131

569 <sup>d</sup>First mix timing describes the earliest time period that the animal was mixed with cattle from other PICs;  
570 model derived from a variation of the causal diagram: covariates include Fill, Weight, SY-12\_0, SY-27\_13,  
571 SYpre-27, CohortN, Move\_FL, Group-13N, N=34,726

572 <sup>e</sup>Categories where 7 or more feedlots have no observations

573 Table 2 Distribution of variables and estimated odds ratios for their total effects on the  
 574 occurrence of BRD by day 50 in the pre-induction assembly subset based on models derived  
 575 from the causal diagram shown in Figure. 2.

Variable & category	Number of animals (%)	Crude BRD 50-day cumulative incidence (%)	Adjusted odds ratio	95% credible interval
Days between arrival at vicinity of feedlot and induction <sup>a</sup>	5,641			
> 27	1,747 (31)	1.5	0.6	(0.2 - 1.5)
27 to 13	1,723 (31)	5.3	1.2	(0.4 - 2.7)
12 to 0	2,171 (38)	3.3	Ref. cat.	
Number of animals in group-28 <sup>b</sup>	5,641			
< 50	1,962 (35)	5.3	Ref. cat.	
50 to 99	962 (17)	2.0	0.6	(0.2 - 1.2)
≥ 100	2,717 (48)	2.4	0.8	(0.3 - 1.8)
Number of group-28s forming cohort <sup>c</sup>	5,641			
< 4	2,421 (43)	2.1	Ref. cat.	
≥ 4	3,220 (57)	4.3	5.5	(1.0 - 18.7)

576 <sup>a</sup> Covariates: Breed, Induction weight, Season, SY-27\_0 and SYpre\_27. N=5,551

577 <sup>b</sup> Covariate: Arrival\_day0. N=5,590

578 <sup>c</sup> Covariates: SY-27\_0, Arrival\_day0 and Group-28N. N=5,589

579

579 Table 3. Estimated odds ratios for direct effects of selected variables on the occurrence of BRD by day 50 based  
 580 on models derived from the causal diagram shown in Figure.1

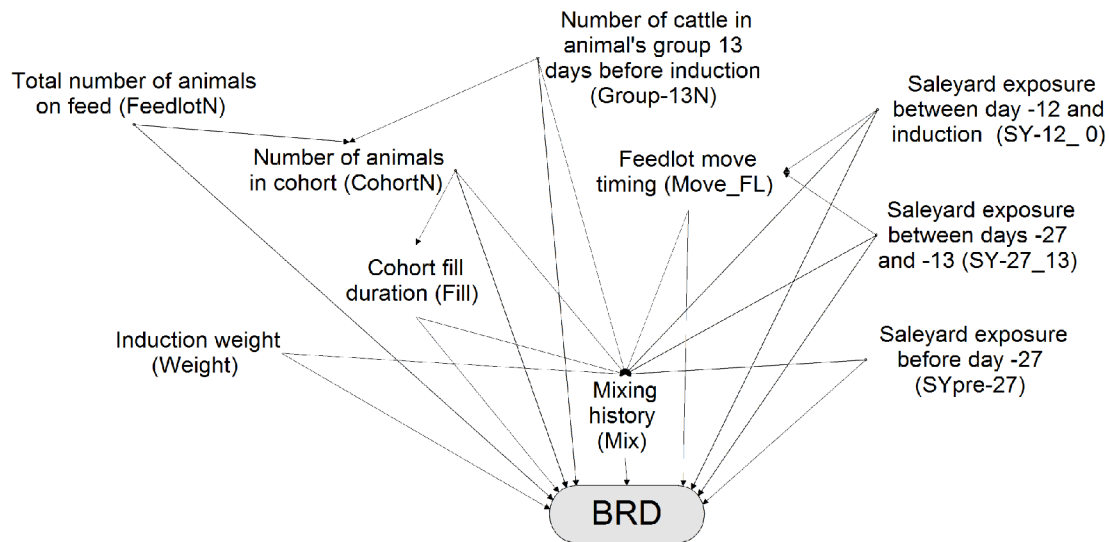
Variable & category	Adjusted odds ratio	95% credible interval
Number of animals in group-13 <sup>a</sup>		
< 50	Ref. cat.	
50 to 99	0.8	(0.7 - 1.0)
≥ 100	0.6	(0.4 - 0.8)
Saleyard transfer prior to day-27 <sup>b</sup>		
No	Ref. cat.	
Yes	1.0	(0.9 - 1.1)
Saleyard transfer between day-27 and day-13 <sup>b</sup>		
No	Ref. cat.	
Yes	1.3	(0.8 - 2.0)
Saleyard transfer between day-12 and day0 <sup>b</sup>		
No	Ref. cat.	
Yes	1.8	(1.0 - 2.9)
Feedlot move timing <sup>b</sup>		
Pre day-27 <sup>c</sup>	0.6	(0.2 - 1.3)
Day-27 to -13 <sup>c</sup>	1.4	(0.6 - 3.0)
Day-12 to -2; <6 hours	0.9	(0.6 - 1.3)
Day-12 to -2; ≥6 hours	1.0	(0.6 - 1.5)
Day-1 to 0; <6 hours	Ref. cat.	
Day-1 to 0; ≥6 hours	1.2	(1.0 - 1.5)

581 <sup>a</sup>Covariates: Group-13N, CohortN, Fill, Weight, SY-12\_0, SY-27\_13, SYpre-27, FeedlotN, Mix and MoveFL.  
 582 N=34,726

583 <sup>b</sup>Model: Mix, Move\_FL, SY-12\_0, SY-27\_13, SYpre-27, CohortN, Group-13N, Fill and Weight. N=34,726

584 <sup>c</sup>Categories where 7 or more feedlots have no observations

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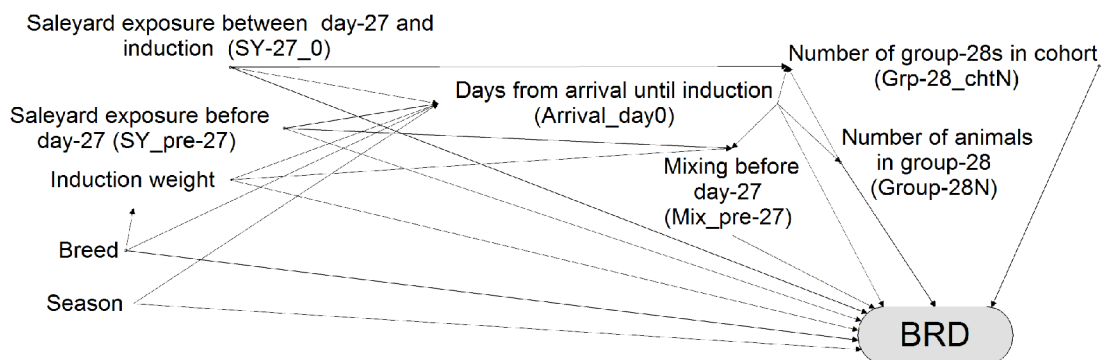
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588 Figure 1: Causal diagram showing postulated causal paths linking variables related to

589 mixing history, group size, exposure to saleyards and timing of the move to the feedlot to

590 occurrence of bovine respiratory disease (BRD) in the first 50 days on feed.

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591

592 Figure 2: Causal diagram showing postulated causal paths linking variables related to the

593 interval between arrival and induction, group size and number of groups combined to

594 occurrence of bovine respiratory disease (BRD) in the first 50 days on feed in three feedlots

595 where pre-induction assembly was implemented routinely.